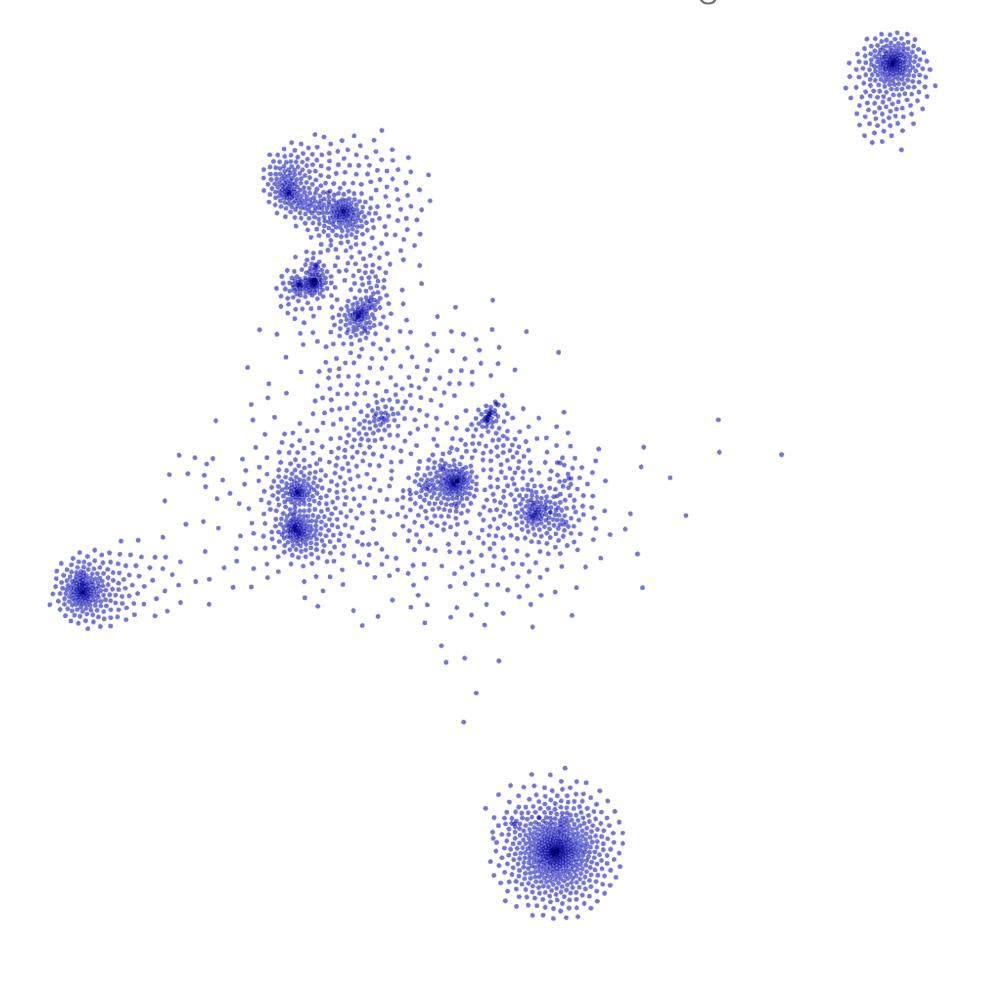
#### 2D Visualization of the CNV Training Dataset



# Predicting the Pathogenicity of Copy Number Variations

BCB330Y1 - 2018 Summer Project

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#### Copy Number Variations

- Copy Number Variations (CNV) are defined as structural genomic variants, either duplications or deletions of sequences larger than 50 base pairs (bp)
- Associated with neurodevelopmental diseases such as autism and schizophrenia as well as other diseases.
- Some commonly occurring and/or large CNVs that have been described in research

#### Research Purpose

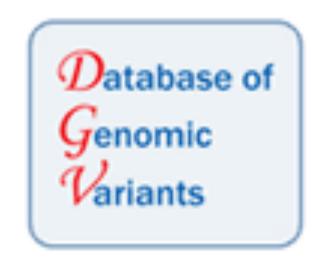
- The purpose of this project is to use state-of-the-art machine learning models to help clinicians and researchers quickly and confidently screen out nonpathogenic CNVs
- Furthermore, this project aims to understand the diversity of CNVs and CNV features, and understand how they play a role in pathogenicity using both visualization techniques and feature-importances from the trained models

## Two Data Sources for CNVs in Population

- DECIPHER for pathogenic and non-pathogenic CNVs
  - Database of genomic variants that are clinically relevant or associated with rare diseases



- DGV for non-pathogenic CNVs
  - Database from The Hospital for Sick Children, consisting of mainly controls



#### Initial Dataset

- Sequence "loss" or "gain" converted to a binary variable:
  - $\cdot$  -1 for loss
  - · +1 for gain

- Pathogenicity description converted to a binary variable:
  - · -1 for {benign, likely benign}
  - +1 for {pathogenic, likely pathogenic}

chr	start	end	size	gain_loss	pathogenicity
chr1	49911	222421	172510	-1	-1
chr1	542945	673049	130104	-1	1
chr1	837847	1477469	639622	1	-1
chr1	862453	1069517	207064	1	1

#### Extracted Feature 1: Gene Annotations

- · Annotations from NCBI RefSeq file: hg19\_ncbi\_refseq.txt
- Converted NCBI accession numbers to Entrez IDs
- Determined if the CNV start and end intervals intersected the gene's txStart/ txEnd intervals
- In the case of multiple annotations for the same gene —> used the widest possible interval

#### Extracted Feature 1: Gene Annotations cont.

. . .

chr	start
chr1	837847
chr1	536263
chr1	862453
chr1	668630

genes_overlapping	number_of _genes
83858;126789;81669;29101;339453;9636;12679 2;219293;84808;64856;26155;6339;55052;2541 73;80772;375790;388581;54587;54973;84069;1 48398;401934;54998;116983;339451;643965;51 150;7293;118424;8784;55210;54991;1855;8375 6;441869;57801	36
81399	1
375790;84808;84069;148398;54991;401934;261 55;339451;9636;57801	10
	0

#### Baseline Correlations

Feature	Pearson Correlation w/ Pathogenicity			
size	0.649			
number_of_genes	0.588			
gain_loss	0.058			

#### Extracted Feature 2: Mouse Phenotype Ontology (MPO)

- After converting human gene numbers to the mouse homologue, the MPO database describes the variety of mice phenotypes are associated with each gene
- · Each phenotype is a column, with the count of every gene associated with it
- Finally, created a column for the total number of phenotypes associated

chr	genes_in_proximity
16	11273;27040;79874;728
2	23040
10	196792;253738;1755;84;

adipose tissue phenotype	behavior/ neurological phenotype	cardiovascular system phenotype	cellular phenotype	
0	1	1	2	
0	0	0	0	
5	11	12	14	

taste/ olfaction phenotype	vision/eye phenotype
0	0
0	0
0	6

#### Extracted Feature 3: Online Mendelian Inheritance in Man (OMIM)

- The OMIM database simply describes if a gene is associated with a disease or not
- Feature is based on the number of CNV associated genes that appear in the OMIM Database

chr	start
10	123150811
10	102969339
22	23717624
19	30379880
13	93422696

genes_in_proximity	omim_num_diseases
196792;253738;1755;8433;3998	11
27343;8945;6468;10660;25911	0
266747;4320;4282;3543;7621;5	4
57616;8725;9745;22847;100507	0
10082;2262	1

#### Extracted Feature 4: pLI / Intolerance from ExAC

- Exome Aggregation Consortium (ExAC) has computed the probability, ranging from 0.0 to 1.0, that a gene is intolerant to a loss of function gene mutation
- Each CNV associated gene is added to bins according to its pLI value: {0.0-0.1}, {0.1-0.2}, {0.2-0.3}, {0.3-0.4}, {0.4-0.5}, {0.5-0.6}, {0.6-0.7}, {0.7-0.8}, {0.8-0.9}, {0.9-1.0}

chr	genes_in_proximity
16	11273;27040;79874;7284;9;
2	23040
21	149998;54033;64092;6782
10	196792;253738;1755;8433;

pli_0.0_ to_0.1	pli_0.1_ to_0.2	pli_0.2_ to_0.3	pli_0.3_ to_0.4	pli_0.4_ to_0.5	pli_0.5_ to_0.6	pli_0.6_ to_0.7	pli_0.7_ to_0.8		pli_0.9_ to_1.0
4	0	0	0	0	0	1	0	1	3
0	0	0	0	0	0	0	0	0	1
4	0	0	0	0	0	0	0	0	0
47	4	0	5	2	4	3	3	1	4

#### Extracted Feature 5: Repetitive Elements

- This feature was inspired by Hehir-Kwa, J. Y. et al., as they found the number and density of repetitive elements helped predict neurodevelopment pathogenicity
- Repetitive elements describe DNA patterns that repeat many times in the genome
- Two examples of repetitive elements, LINEs (Long Interspersed Nuclear Elements) and SINEs (Short Interspersed Nuclear Elements) account for at least 30% of human genomic DNA
- The number of repetitive elements intersecting the CNV start and stop locations were counted and categorized by type

## Extracted Feature 5: Repetitive Elements cont.

Gap	Homo polymer	LINE	LTR	Low complexity	RNA	SINE	Satellite	Segmental	Simple repeat	Trans posable element
0	1	84	51	17	2	353	0	0	29	29
0	2	113	37	58	0	129	0	2	49	34
0	2	172	87	80	0	155	0	0	57	49
4	84	5067	2362	989	24	5628	1	23	1608	1745

#### Extracted Feature 6: Densities

The gene density was calculated as

$$gene\_density = \frac{\text{# of genes}}{\text{size of CNV (kb)}}$$

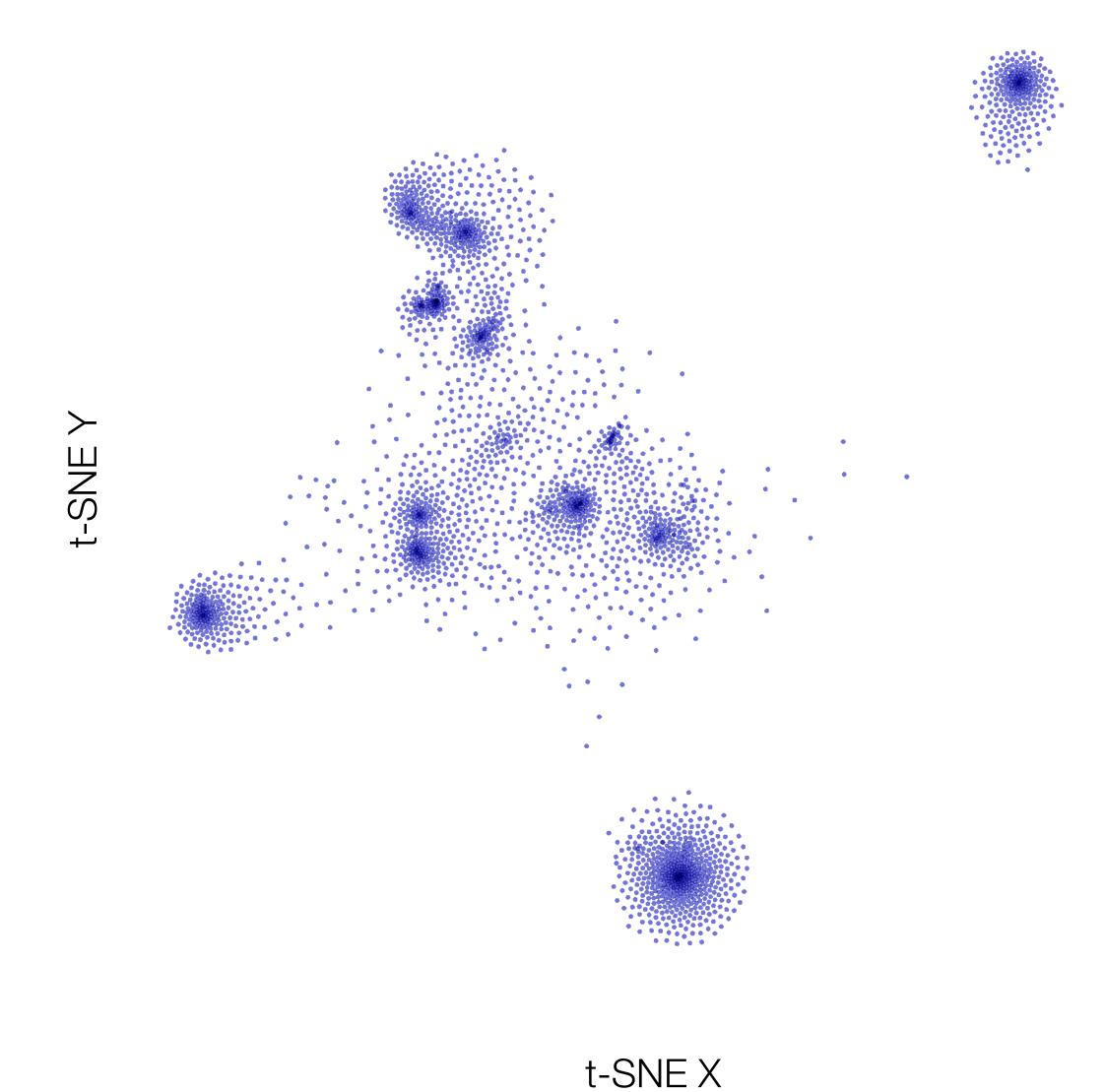
The density for each repetitive element:

Data Exploration

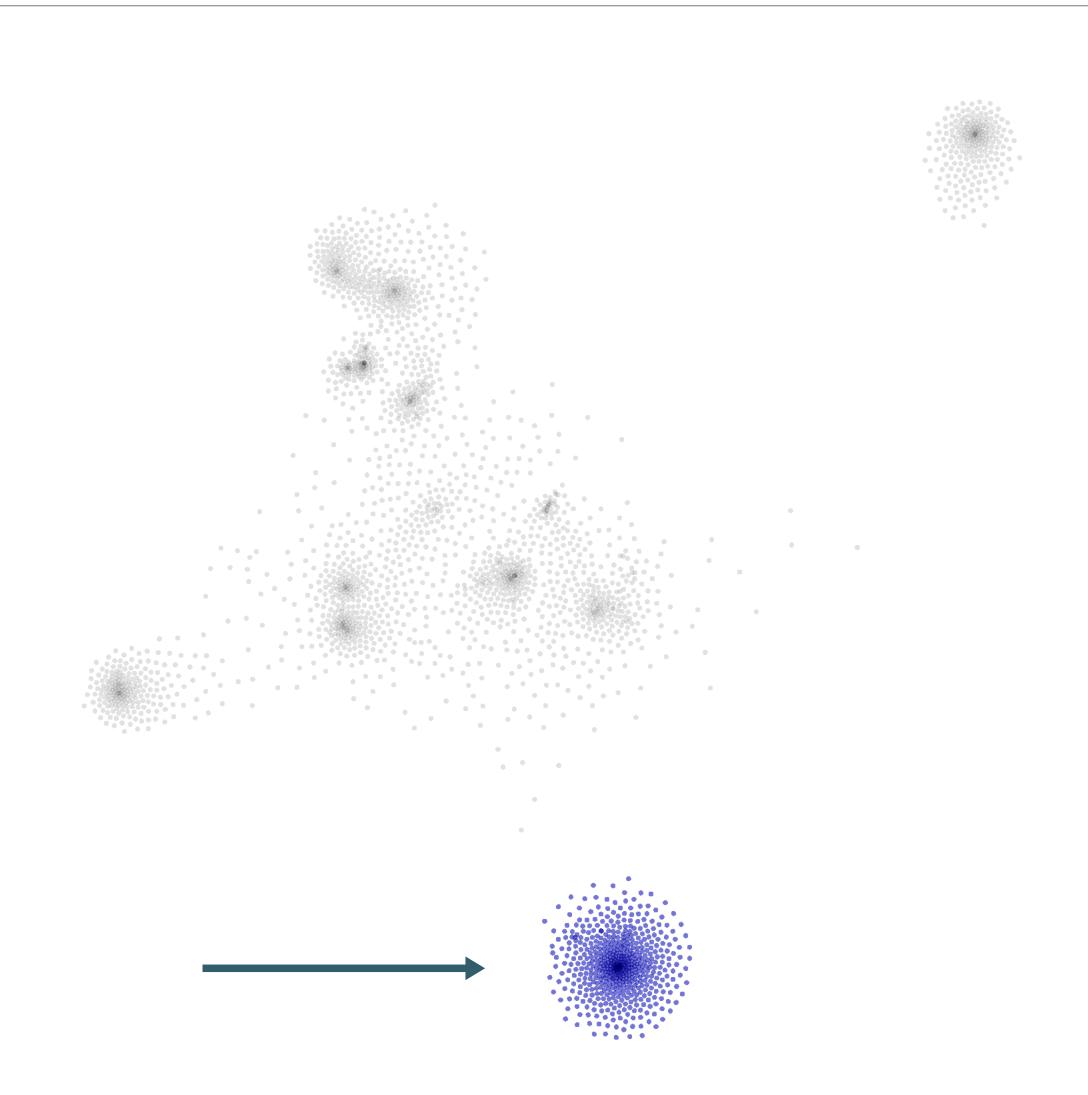
#### Dimensionality Reduction

- t-SNE constructs a probability distribution for each data point and its neighbours in both high dimensional and 2D space, then minimizes the divergence of the two distributions
- Important structures and geometries emerge in the resulting t-SNE visualization

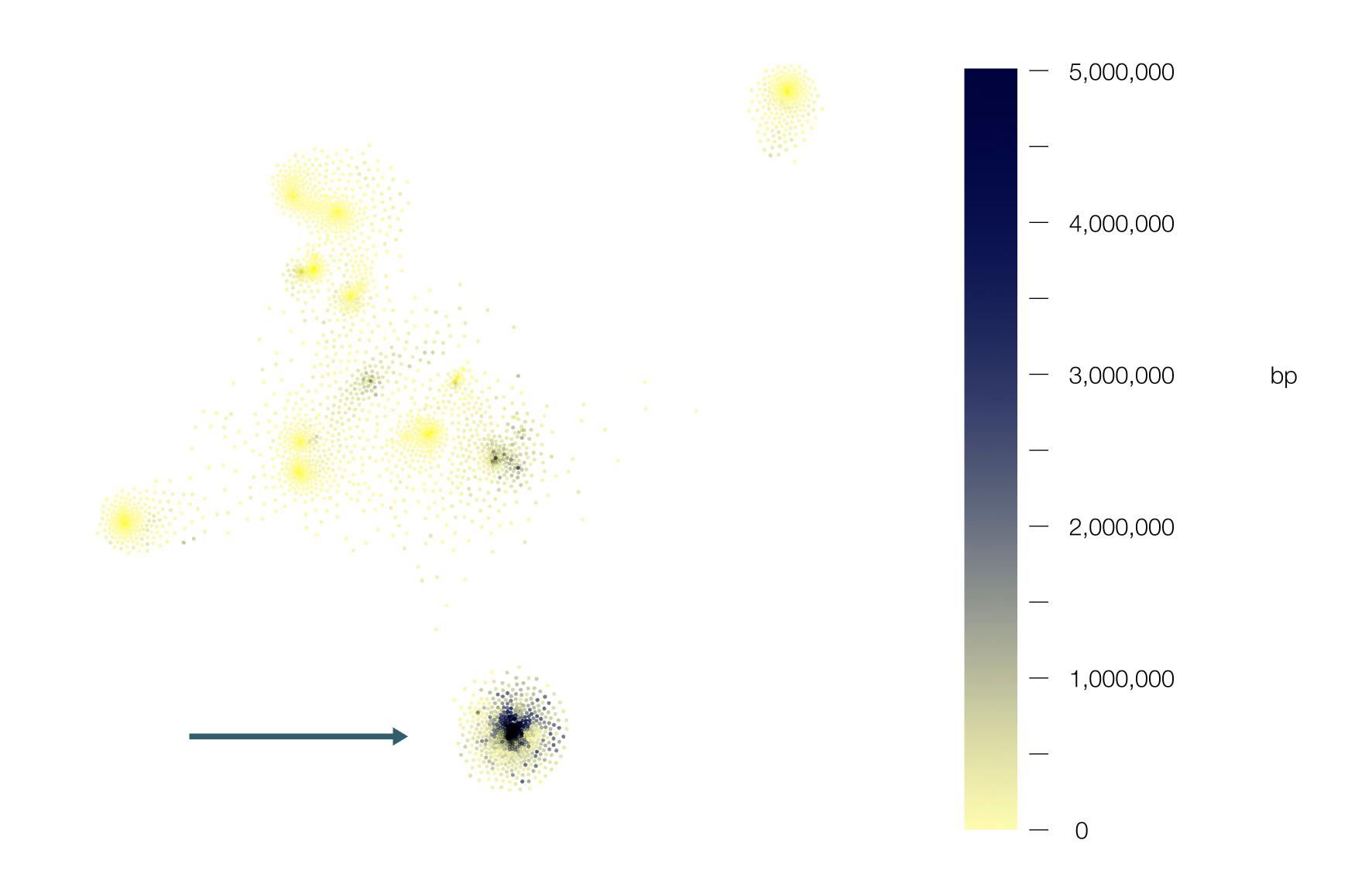
t-SNE of 21 Features (perplexity: 43, learning rate: 10)



## Cluster of Interest

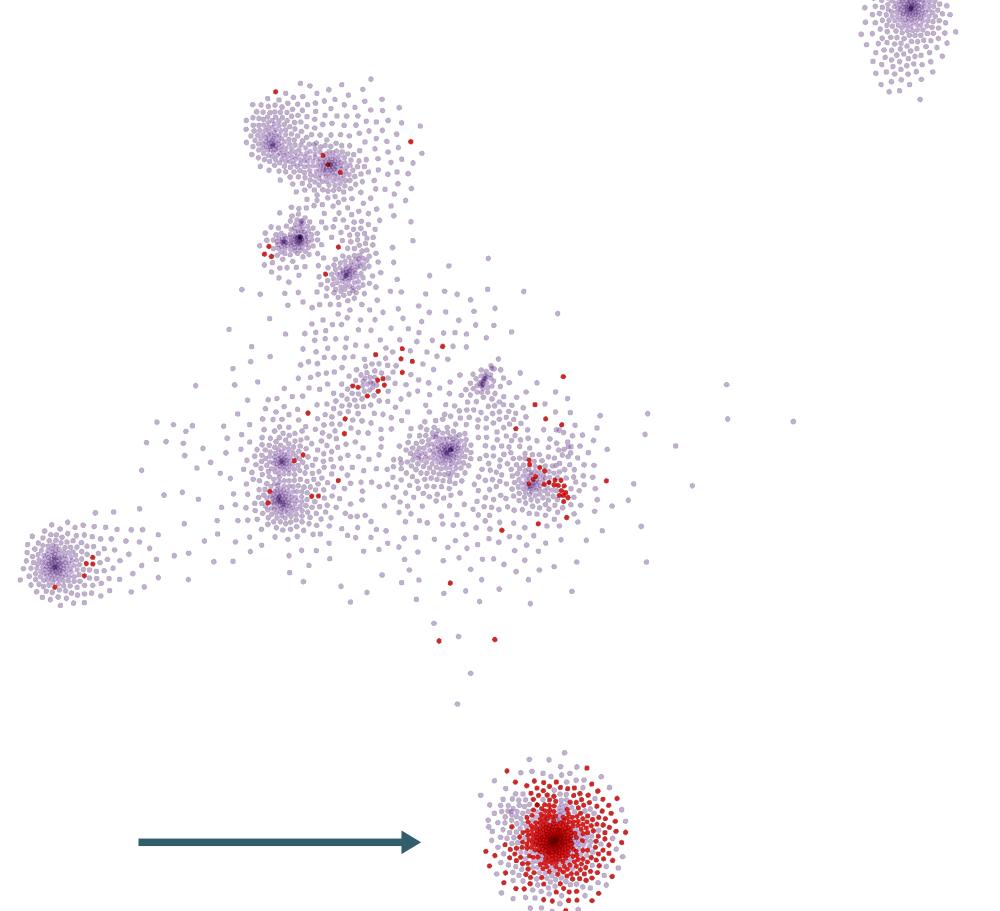


## Coloured by: size



### Coloured by: pathogenicity

t-SNE was never given the pathogenicity value!



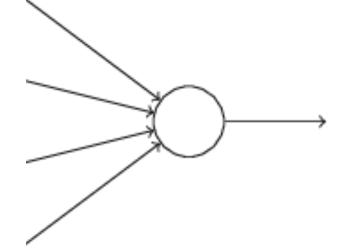
- non-pathogenic
- pathogenic

Models and Design

#### Machine Learning Methods Used

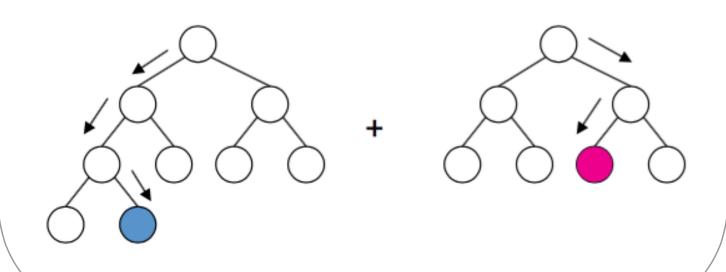
#### Logistic Regression

- Fast and great baseline
- Coefficients provide insight into important features



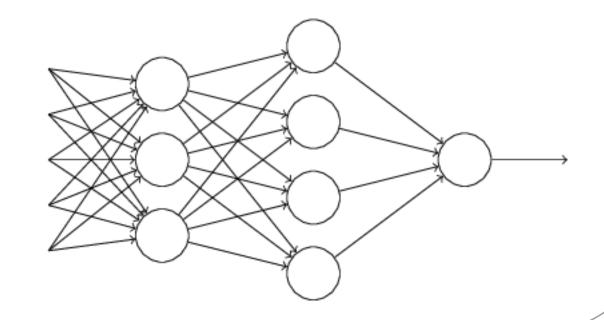
# Gradient-Boosted Trees (XGBoost)

- Also fast, but many parameters to tune
- Provides a ranking of feature importances



#### Fully Connected Neural Networks

- Slow, and many parameters to tune
- Black box, opaque model



#### Model Training and Testing Methodology

- 5-fold cross validation was used to assess the performance metrics of each model during the training phase. For each run:
  - Set aside 20% of the data as validation data
  - Use remaining 80% of the data for model training
  - Use validation data to assess the model's performance
  - Repeat with a new set of validation data
- After the training phase is complete, the models are tested on ClinVar, an independent testing set





#### Feature Selection Explained

- Currently there are 66 features based on the CNV
- If we can reduce the number of features, maybe this will make the models more generalizable and understandable
- Using the feature importance values generated by the XGBoost models, choose the top 10 overall features, and the top 4 from each "feature category" if possible

## List of Features after Selection (ordered by importance)

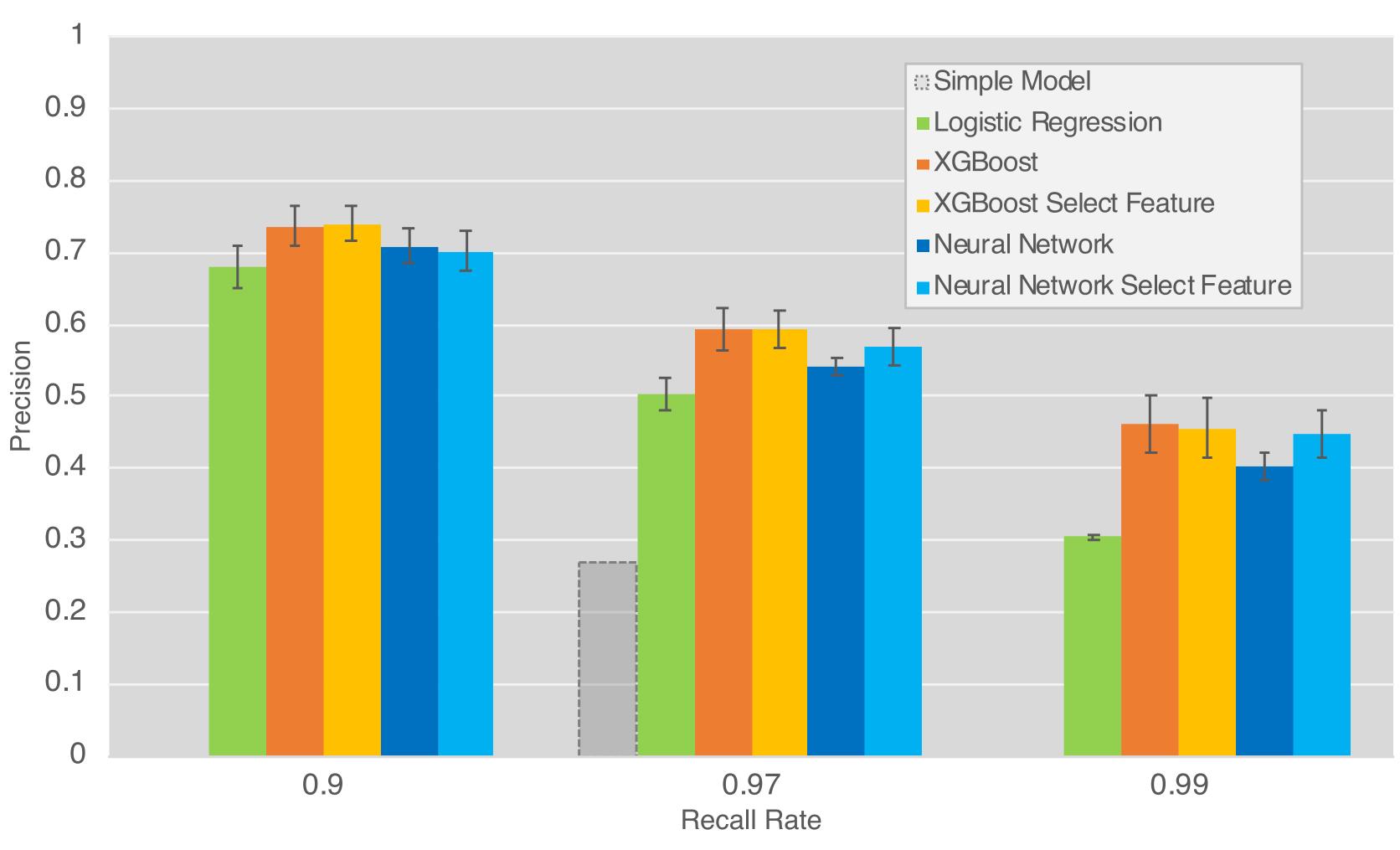
- · size
- repeat\_LTR\_density
- repeat\_Simple\_repeat\_density
- repeat\_SINE\_density
- repeat\_Transposable\_element\_density
- repeat\_Low\_complexity\_density
- repeat\_LINE\_density
- repeat\_Segmental\_duplication\_density
- repeat\_LINE
- gene\_density
- mpo\_num\_phenotypes

- gain\_loss
- pli\_0.9\_to\_1.0
- omim\_num\_diseases
- number\_of\_genes\_in\_proximity
- mpo\_num\_phenotypes\_using\_thresh
- pli\_0.0\_to\_0.1
- mpo\_behavior/neurological\_phenotype
- mpo\_growth/size/body\_region phenotype
- pli\_0.8\_to\_0.9
- pli 0.3 to 0.4

## Results

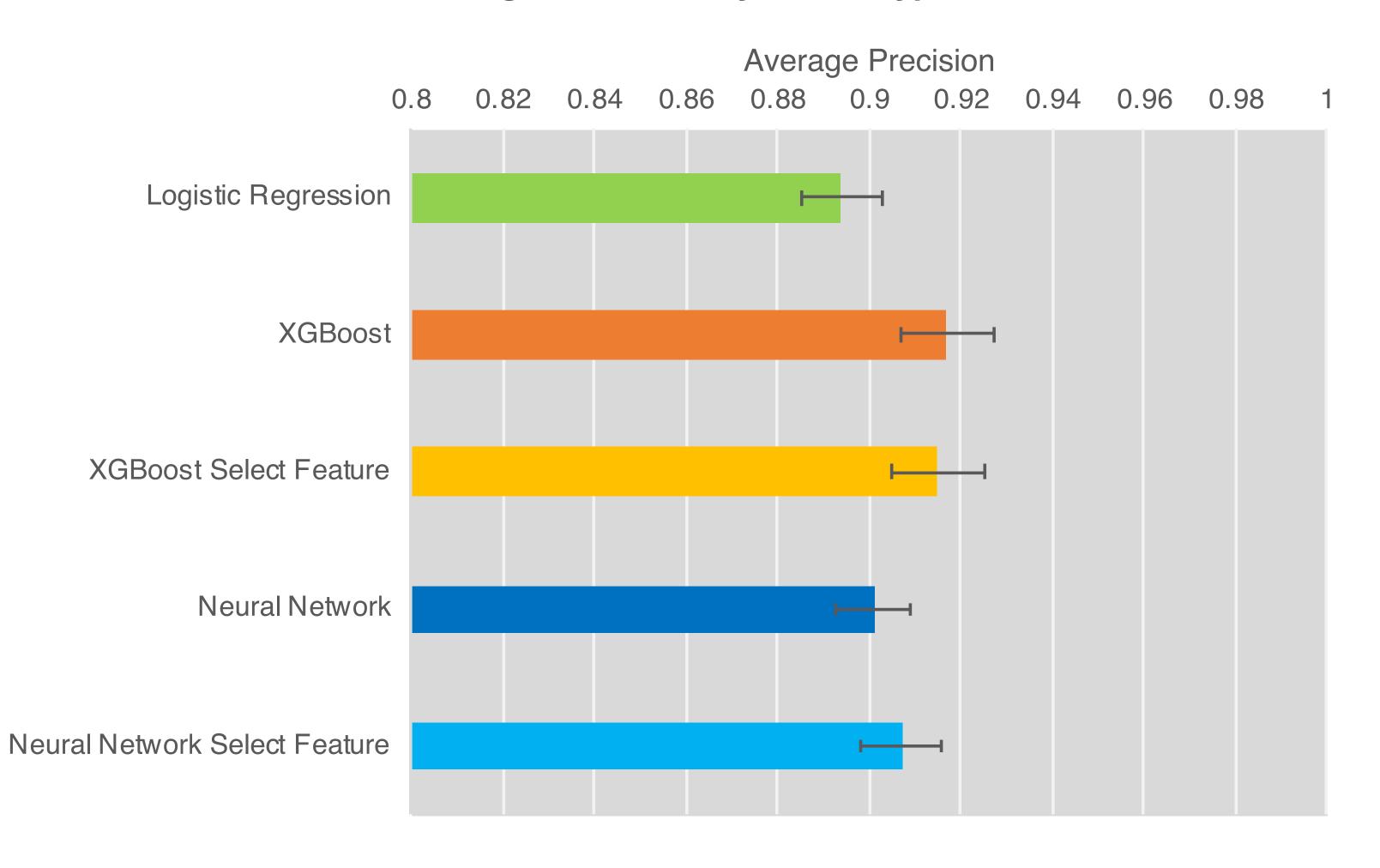
## Precision at 90%, 97%, and 99% Recall





## Average Precision

#### **Average Precision By Model Type**



#### ClinVar Test Results

- All models were tested on an independent CNV database from ClinVar
- The database contains 15,000 CNVs that are known to be definitively benign or pathogenic

#### ClinVar Precision Test using "90% Recall" models

Neural Network All Features: 72.8% Precision, 95.8% Recall

XGBoost All Features: 69.7% Precision, 95.5% Recall

XGBoost Select Features: 65.2% Precision, 95.6% Recall

Neural Network Select Features: 63.2% Precision, 96.2% Recall

## Pathogenicity Prediction Summary

- The XGBoost models performed best during the training phase, achieving up to ~59% Precision at a 97% Recall rate
- However, the Neural Network "all features" model performed best on ClinVar, achieving 73% Precision at a 96% Recall rate
- On ClinVar, "All Features" tested much better than "Select Features" (up to 73% vs 65% precision), indicating that information useful to generalization was lost during feature selection

#### Important Features

- Size of the CNV was the most important feature, although not all large CNVs are pathogenic
- Repetitive element densities were the next most important features
  - A variety of benign CNVs have high repetitive element densities, except ...
  - Many pathogenic CNVs have a high SINE density!
- Gene density was an important feature
- The number of MPO phenotypes associated per CNV was also important