Extract Problem Solved By Patent

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Project Report October:26 th 2021

1 Abstract

For this project the approach is to perform data analysis on the provided patent xml data files accessed from the USPTO dataset. This is done to assess the xml data structure in terms of identifying the location of the target "description" tag. The description tag has been identified as the target tag, because it contains data pertaining to the problems solved by the various patents. The xml file will then be parsed using xml.etree. ElementTree (an xml parser) that parses, explores, modify and populate XML files, which would allow for the separation of each individual patent based on the location of starting and ending xml file tags. Once each patent has been separated, we then target the description tag of each patent to extract the needed information and write each description to a txt file. The purpose of this txt file is to hold all the information that will be used in the topic modelling process to identify keywords that indicate a problem statement within the patent.

2 Introduction

This project is mainly focusing on extracting problems solved by the patents using USPTO dataset. It is achieved using Beautiful soup, xml.etree.ElementTree parser, topic modelling, regex etc methods.

There are three primary steps involved in this process which are:

- 1. Extraction from the patent dataset: In this step we extract data from the patent xml document using beautiful soup.
- 2. Cleaning the data extracted: Here we try to remove as many stop words as possible which makes comparison easier and remove all the unnecessary xml tags.
- 3. Analyze each of them using Entity/Topic extraction: After Cleaning and Tokenizing the data, we check for the correlation and the means.

3 Problem Statement

Our aim is to extract the actual problem solved by a patent from the patent XML document.

4 Data Description

Input dataset Size:

Input dataset consists of **7363** Patents with various tags and Information in XML format.

Input Data:

The training data provided are XML files with many patent documents. Which consist of problem information, solutions to it and how the problem is being solved.

The XML document has the details of patent information, detailed description of a problem, experiments performed, published information, category, background, brief descriptions with images, solutions to the actual problem.

Detailed description paragraphs with little cleanup will give us all the required information which would help us to find the actual problem solved by the patent.

Output Data:

The expected output in this project is to find the actual problem solved by the patent in the patent document i.e extracting description from patent file to find the keyword like "However", "Although" etc.

4.1 Subsection motivating your approach

Our approach is divided into phases:

1) Analyse pattern of problem description:

By conducting analysis of the problem description layout with the xml file, we are able to identify tag structures necessary for proper extraction of the problem description tag element from the xml

2) Description extraction:

Once the description tag patterns have been identified in step 1, an xml parser (xml.etree.ElementTree) can be used to separate the specific description sections from the xml file. By doing so this allows for further analysis which is more focused on identifying the problem statements contained within each description section.

3) Xml tag removal & stop word cleanup:

Once the descriptions have been collectively written to a txt file, the next approach would be cleaning the data to allow for quicker, more efficient data processing

4) Running NLTK concordance:

With all description tag content extract from xml and written to txt file, nltk concordance tool can be used on the descriptions.txt file identify and sentences containing words which identify the start of a problem statement

5) Identify Problems Solved:

Once sentences can be identified using the nltk concordance tool, they must then be extracted into a separate file.

4.2 Subsection describing the details of your methods

Analyze patent document:

Skim through the patent xml files manually and find some patterns in problem statements.

Extraction of description tags:

Extract all the descriptions from the huge xml file with 8000 patent xmls and copy them to a text file

XML tag removal:

Remove all the internal xml tags present in the extracted description document as part of cleanup

Stop words cleanup:

Remove all the unnecessary stop words from the extracted description like then, is, are, them, you etc

NItk Concordance:

Tokenize descriptions data in txt file and locate occurrences of words such as "however" and "advantages", which indicate the start of a problem solution statement

Problem extraction:

Extract all the sentences with the important keywords like however, although from the extracted description text. Using NLTK and similarity functions we need to analyze the actual problem solved from the cleaned up text.

4.3 Subsection details of your experiments

To analyze the xml documents everyone in the team has skimmed through 125 patent documents manually and found some interesting patterns in which the problem statements are structured.

Processed a huge XML file with 8000 patent documents and extracted all the description tags using beautiful soup library

Once extracted descriptions were written to a text file.

Tested Topic Modelling on extracted descriptions.txt file

```
0
                           device network system data memory storage devices communication computer processor
1
              5
                           unit signal control circuit power sensor time output operation state
              5
                           cell group sequence acid cells target sample amino weight comprising
2
              5
3
                           layer light num material structure region element formed substrate plurality
                           data user information image based system content display object location
5
                           figref drawings idref fig num embodiment shown view block figs
6
              5
                           portion side direction position surface end member body assembly frame
7
              5
                           embodiments num present invention method disclosure include components component elements
8
              5
                           num flow temperature pressure system high fluid gas valve liquid
9
                           num level end application entry step description heading pt reference
```

```
<160> LL/token: -8.10753
<170> LL/token: -8.10671
<180> LL/token: -8.10548
<190> LL/token: -8.10498
0
             5
                           device network system data memory storage devices communication computer processor
             5
                           unit signal control circuit power sensor time output operation state
1
2
                           cell group sequence acid cells target sample id amino weight
3
                           layer light material num structure region element formed substrate surface
4
             5
                          data user information image based system content display object location
                          figref drawings idref fig num embodiment shown view block figs
5
             5
6
                          portion side direction position surface end member body assembly frame
7
                           embodiments num present invention method disclosure include component components apparatus
                          flow num temperature system pressure vehicle high fluid gas valve
8
```

num level end application entry step description heading reference pt

<200> LL/token: -8.10492

<150> LL/token: -8.10832

Total time: 11 minutes 21 seconds

Why We Choose Topic Modeling:

Topic modelling was run in an attempt to identify and further select words which indicate or could be used to identify the problem statement that is being solved by any particular patent.

After further discussion, it was decided that a better approach would be to use nltk concordance to identify the occurrences of words which identify the beginning of a problem statement. Example of concordance usage can be seen below:

DSBA-6100-U91:ITCS-6100-U91

```
import nltk.corpus
import sys
from nltk.text import Text

from nltk import word_tokenize

nltk.download('punkt')

contents = open('descriptions.txt','r').read()

#nltk.download('gutenberg')
#textList = Text(nltk.corpus.gutenberg.words('descriptions.txt'))

tokens = word_tokenize(contents)
textList = Text(tokens)
#collect = textList.concordance('however', lines=24197)

saveout = sys.stdout
file2 = open('however.txt', 'w')
sys.stdout = file2
collect = textList.concordance('however', lines=24197)

sys.stdout = saveout
file2.close()
```

```
Edit
             View
                   Language
      oming period is considered medium . However , it was observed that its late dat
       September 17 < \sup > th < \sup > . However , it was observed that its date of
      are recommended for trees growth . However , 'CAKEQUEEN' trees seem to be ve edium length is 158.8 millimeters . However , the leaf length can sometimes rea
      h , and light intensity , without , however , any variance in genotype. 
    7 h , and light intensity , without , however , any variance in genotype . The ne
    {\tt k} h , and light intensity , without , however , any variance in genotype . The ne
    9 ness and flavor to 'Floridal27' . However , the fruit of 'FL 16.30-128' is
   11 ature and light intensity without , however , any variance in genotype. 
   12\, h , and light intensity , without , however , any variance in genotype. 
   13 h , and light intensity , without , however , any variance in genotype. 
   14 h , and light intensity , without , however , any variance in genotype. < /p >
   15 h , and light intensity , without , however , any variance in genotype . The fo
   16 ure and light intensity , without , however , any variance in genotype. 
      ure and light intensity , without , however , any variance in genotype. < /p >
      climatic and cultural conditions , however , without any variance in genotype
   19 h , and light intensity , without , however , any variance in genotype. 
  20 ature and light intensity without , however , any variance in genotype. < /p >
      ure and light intensity , without , however , any variance in genotype. < /p >
  22 ature and light intensity without , however , any variance in genotype. 
   ^{\rm 23} h , and light intensity , without , however , any variance in genotype. 
  24 ure and light intensity , without , however , any variance in genotype. 
  25 rae until they are fused together . However , there exists a possibility that c
  26 ted as having generally a D-shape; however, it is contemplated that upper bod
  27 > to leading end < b > 104 < /b > ; however , it is contemplated that top surfa 28 AWINGS '' > FIG . 5 < /figref > ) ; however , it is contemplated that bottom su
      /b > to adjacent vertebral bodies . However , as bone screws < b > 14 < /b > ar
   30 lade carrier ( < b > 2 < /b > a ) . However , the number of each of the cutting
   31 nd groove surfaces to form a seal . However , even such constructions are ineff
      ecification . It will be apparent , however , to an artisan of ordinary skill t
   33 ertical protrusion is symmetrical , however , any asymmetrical shape may also b
  34 DRAWINGS '' > FIG . 6 < /figref > . However in the embodiment of < figref idref 35 id= '' p-0204 '' num= '' 0203 '' > However , in the current embodiment , the s
      ft < b > 524 < /b > may be broken . However , since the second door < b > 340 <
      613 < /b > slightly rotates left . However , this is just one embodiment , and
       the second door < b > 340 < /b > . However , to manipulate the input member <
  39 to operate , or a pressure switch . However , the present disclosure is not lim
  40 njection molding or a metal plate . However , according to the embodiment , a s
41 id= ''p-0435'' num= '' 0434'' > However , when the second door < b > 340 <
42 RAWINGS'' > FIG . 57 < /figref > . However , in this case , since the ingate <
   43 scription thereof will be omitted . However , when the second door < b > 340 <
   44\, oser to the working vehicle frame . However , these can be cumbersome for the o
       are double acting hydraulic rams , however in an alternative embodiment ; they
   46 /b > is part of the vehicle chassis however in alternative embodiments other su
      ingle locking means < b > 20 < /b > however in this embodiment two locking mean
   48 that limit their potential yields . However , models generated using envelope a
      ion levels of about 1.6 to 1.94 % . However , an increasing proportion of trees
   50 n levels within about 0.55-0.68 % . However , an increasing proportion of trees
   51 t trees are generally recommended . However , the analysis on nutrient interact
   10 level is at about 0.49 to 0.57 % . However , < figref idref= '' DRAWINGS '' >
   53 or homoscedasticity of the system . However , these assumptions may be invalid
   54 ss having medium yield potentials . However , when data for trees with low prod
   55 understanding of the embodiments . However , it will also be apparent to one s
```

5 Discussion and Related Work

The first phase of this project was identifying the target tag/area of the provided xml file. The target tag was identified as seen in the photo below:

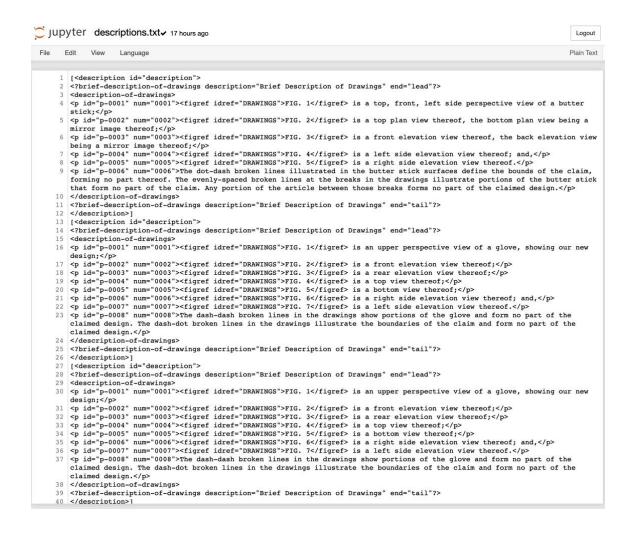
The second phase consisted of using an xml parser (xml.etree.ElementTree) to parse the xml file data and separate then separate each patent contained in the provided file.

```
import re
import pandas as pd
import os
import xml.etree.ElementTree as ET
import xml.etree.ElementTree as x
import matplotlib.pyplot as plt
%matplotlib inline
d = []
filesize= os.path.getsize("output.txt")
print(filesize)
if(filesize<=0):
    f = open("./ipg210907.xml")
    for 1 in f:
        if 1 == "<?xml version=\"1.0\" encoding=\"UTF-8\"?>\n":
           if len(s)>0:
               d.append(s)
       s += 1
    d.append(s)
    #print(d[0])
else:
   f = open("./ipg210907.xml")
    for 1 in f:
        if 1 == "<?xml version=\"1.0\" encoding=\"UTF-8\"?>\n":
           if len(s)>0:
           d.append(s)
       s += 1
    d.append(s)
```

Once each patent is separated into an array, the next step is extracting the description section of each patent by targeting the "description" tag in the xml file and then writing each description to a txt file, as seen below:

```
In [8]: pip install 1xml
          Requirement already satisfied: lxml in /Users/heathst/opt/anaconda3/lib/python3.8/site-packages (4.6.3)
          Note: you may need to restart the kernel to use updated packages.
 In [9]: from bs4 import BeautifulSoup as bs
In [10]: size = len(d)
          #print(size)
          for i in range(size):
              content = d[i]
              bs_content = bs(content, "lxml")
              description_list.append(bs_content.find_all("description"))
          print(len(description_list))
In [11]: print(description_list[7000])
          [<description id="description">
          <?RELAPP description="Other Patent Relations" end="lead"?>
          cheading id="h-0001" level="1">PRIORITY</heading>
This patent application claims priority to U.S. Provisional Application Ser. No. 62/728
          1, which was filed 6 Sep. 2018, which is titled OPTICAL MANDREL, AND FIBER-OPTIC-SENSING SYSTEM THAT INCLUDES THE
          DREL, and which is incorporated by reference.
          <?RELAPP description="Other Patent Relations" end="tail"?>
<?BRFSUM description="Brief Summary" end="lead"?>
          <heading id="h-0002" level="1">SUMMARY</heading>
          id="p-0003" num="0002">This disclosure applies to a class of optical-fiber sensors that launch light into one
          of an optical-fiber assembly and use the light reflected or scattered back from different locations or zones in t
          iber to detect a disturbance and to determine where along the fiber the disturbance occurs. A system is configure
          r sensing an acoustic signal incident on an optical-fiber assembly. For example, if the system is ground based, the acoustic signal may be generated by a vibration caused by a walking human or animal or by a moving vehicle.
           d="p-0004" num="0003">Applications for such a system include providing perimeter security for a ground-based
          tion such as a nuclear power plant, monitoring oil, natural gas, and other types of wells, and detecting and locang unauthorized crossings of a land border between two or more jurisdictions.
             id="p-0005" num="0004">To improve the ability of such a system to sense an acoustic signal, an optical-fiber a
          bly may include, in addition to an optical fiber, optical mandrels spaced apart along the optical fiber. The opti
In [15]: descriptionsHolder = 'descriptions.txt
          file = open(descriptionsHolder, "w");
          for i in description_list:
              file.write(str(i) + "\n")
```

DSBA-6100-U91:ITCS-6100-U91



Following the extraction of the descriptions and writing them to a txt file, topic modelling was done on the data contained in the txt file. The purpose of this is to identify keywords that signal the start of a problem statement or what problem is being solved by each patent. Results of this topic modelling process can be seen below:

DSBA-6100-U91:ITCS-6100-U91

```
import nltk.corpus
import sys
from nltk.text import Text

from nltk import word_tokenize

nltk.download('punkt')

contents = open('descriptions.txt','r').read()

#nltk.download('gutenberg')
#textList = Text(nltk.corpus.gutenberg.words('descriptions.txt'))

tokens = word_tokenize(contents)
textList = Text(tokens)
#collect = textList.concordance('however', lines=24197)

saveout = sys.stdout
file2 = open('however.txt', 'w')
sys.stdout = file2
collect = textList.concordance('however', lines=24197)

sys.stdout = saveout
file2.close()
```

```
    Jupyter however.txt

    2 hours ago

 File Edit
             View
                      Language
    2 oming period is considered medium . However , it was observed that its late dat
        September 17 < \sup > th < \sup > . However , it was observed that its date of
        are recommended for trees growth . However , ' CAKEQUEEN ' trees seem to be ve
       edium length is 158.8 millimeters . However , the leaf length can sometimes rea
       h , and light intensity , without , however , any variance in genotype. < /p >
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      h , and light intensity , without , however , any variance in genotype . The ne ness and flavor to 'Floridal27' . However , the fruit of 'FL 16.30-128' is
   10\, h , and light intensity , without , however , any variance in genotype . The fo
   11 ature and light intensity without , however , any variance in genotype. 
   12\, h , and light intensity , without , however , any variance in genotype. 
   13 h , and light intensity , without , however , any variance in genotype. < /p >
   14 h , and light intensity , without , however , any variance in genotype. < /p >
   15\, h , and light intensity , without , however , any variance in genotype . The fo
   _{\rm 16} ure and light intensity , without , however , any variance in genotype. 
   17 ure and light intensity , without , however , any variance in genotype. 
       climatic and cultural conditions , however , without any variance in genotype
   ^{19} h , and light intensity , without , however , any variance in genotype.  ^{20} ature and light intensity without , however , any variance in genotype. 
   21 ure and light intensity , without , however , any variance in genotype. 
   22 ature and light intensity without , however , any variance in genotype. 
   23 h , and light intensity , without , however , any variance in genotype. < /p >
   24 ure and light intensity , without , however , any variance in genotype. 
   25 rae until they are fused together . However , there exists a possibility that c
   26 ted as having generally a D-shape; however, it is contemplated that upper bod
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   28 AWINGS '' > FIG . 5 < /figref > ) ; however , it is contemplated that bottom su
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   30 lade carrier ( < b > 2 < /b > a ) . However , the number of each of the cutting
   31 nd groove surfaces to form a seal . However , even such constructions are ineff
   32 ecification . It will be apparent , however , to an artisan of ordinary skill t
   as ertical protrusion is symmetrical , however , any asymmetrical shape may also b DRAWINGS '' > FIG . 6 < /figref > . However in the embodiment of < figref idref id= '' p-0204 '' num= '' 0203 '' > However , in the current embodiment , the s
   _{\rm 36} ft < b > _{\rm 524} < /b > may be broken . However , since the second door < b > _{\rm 340} <
        613 < /b > slightly rotates left . However , this is just one embodiment , and
   38 the second door < b > 340 < /b > . However , to manipulate the input member <
   39 to operate , or a pressure switch . However , the present disclosure is not lim
   ^{40} njection molding or a metal plate . However , according to the embodiment , a s ^{41} id= ^{\prime\prime} p-0435 ^{\prime\prime} num= ^{\prime\prime} 0434 ^{\prime\prime} > However , when the second door < b > 340 < ^{42} RAWINGS ^{\prime\prime} > FIG . 57 < /figref > . However , in this case , since the ingate <
   43 scription thereof will be omitted . However , when the second door < b > 340 <
   44\, oser to the working vehicle frame . However , these can be cumbersome for the o
        are double acting hydraulic rams , however in an alternative embodiment ; they
   46 /b > is part of the vehicle chassis however in alternative embodiments other su
   47 ingle locking means < b > 20 < /b > however in this embodiment two locking mean
   48 that limit their potential yields . However , models generated using envelope a
   49 ion levels of about 1.6 to 1.94 % . However , an increasing proportion of trees
   50 n levels within about 0.55-0.68 % . However , an increasing proportion of trees
   51 t trees are generally recommended . However , the analysis on nutrient interact 52 level is at about 0.49 to 0.57 % . However , < figref idref= ^{\prime\prime} DRAWINGS ^{\prime\prime} >
   53 or homoscedasticity of the system . However , these assumptions may be invalid
   _{\rm 54} _{\rm ss} having medium yield potentials . However , when data for trees with low prod
   55 understanding of the embodiments . However , it will also be apparent to one s
```

After further discussion, it was decided that a better approach would be to use nltk concordance to identify the occurrences of words which identify the beginning of a problem statement.

6 Previous Problems

Problem: While Running The concordance Program on a Data set We found some of the description tags Data do not have words like 'However' Or 'Advantages'.

Problem: Experienced errors when attempting to find occurrences of word "advantages" within the descriptions.txt file.

Problem: When extraction was attempted using Beautiful Soup there was a memory and time complexity, when using google collabs to import data files.

Solution: To solve this problem we migrated our experiments from the google collab environment to jupyter notebooks and ran the below command in the local terminal to allow for space requirements in jupyter notebook:

jupyter notebook --NotebookApp.iopub_data_rate_limit=1e10

6.1 Project Weekly Summary

After successfully extracting the Description Tags data into List. We modeled the list Data into Input Format for Concordance. We ran a concordance program on an input dataset of descriptions to do topic modeling on words like 'However' and 'Advantages'.

7 The objective for the next week is:

Next week's objective is to work on the ways to format the bad data ('No However') Need to Discuss with the professor on these issues.

You can use as many pages as you need/want for this summary

	Gordon Willingham	Raj Shah	Kushal	Anoosh G P	Heath vonn Styles
WEEK 1	Created Jupyter Notebook to document for our Patent Project	Created Detail notebook to clean xml data	Understood the actual problem we are going to solve	Analyzed problem statement and dataset	Analyze what is the problem being solved
WEEK 2	Examined XML Data of Patent website.	Presented Group Progress in Class	Gone through 20 plus patent xml files to understand the structure	Tried to extract some tags/entities from the xml file and also convert it to text file using Beautiful soup.	Examine xml
WEEK 3	Delivered In-class presentation of our week 3 progress	Extracted key information like kind ,description and tags.	Tried multiple libraries to extract description tag and found beautiful soup	Analyzing description tag in xml file to search/extract the keywords like "However"	Locate description tag in xml, for extraction

WEEK 4	Examined key-word modifiers & net number of patents.	Performing Experiments on Extracting Specific keywords.	Figuring out how to extract problem being solved by patent	Still trying to figure out how to extract description tag in particular.	Run xml text extraction tests using python libraries in jupyter notebook and visual studio
WEEK 5	Research concordance program implementation	Cleaning up the xml tags in the description.txt file	Cleaning the stop words from the description.txt file	Research about the NLTK library and how to use it to find the frequency of words.	Run more topic modelling to identify the keywords in the patents
WEEK 6	Research on Inputs for Concordance	Extraction of However word through concordance	Cleaning up the stop words from output of Concordance	Research on NLTK Library to Extract the proper words.	Debugging error when using concordance on word "advantage"

8 Conclusion:

Our data is available at:

https://developer.uspto.gov/product/patent-grant-full-text-dataxml

Our Project is available at:

https://colab.research.google.com/drive/1B5d9mMnHy9TF0GryN8B2-aqp_Z5-WzAi?usp=sharing

9 References:

- 1) https://developer.uspto.gov/product/patent-grant-full-text-dataxml
- 2) https://towardsdatascience.com/processing-xml-in-python-elementtree-c8992941efd2
- 3) https://www.nltk.org/
- 4) https://www.crummy.com/software/BeautifulSoup/bs4/doc/
- 5) https://jupyter.org/
- 6) https://evidencen.com/4-ways-to-load-data-in-jupyter-notebook-and-visual-studio-code/
- 7) https://towardsdatascience.com/end-to-end-topic-modeling-in-python-latent-dirichlet-allocation-lda-35ce4ed6b3e0
- 8) https://www.analyticsvidhya.com/blog/2016/08/beginners-guide-to-topic-modeling-in-pyth-on/