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Abstract

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Chapter 1

Introduction

1.1 Background and Recent Research

1.2 Motivation

1.2.1 Literature Survey

1.3 Layout of Paper

Over the course of the past decade, elliptic curve cryptography (ECC) has proven itself a mainstay in the wide world of applied cryptology. While isogeny based cryptography does build itself up from the same underlying field of mathematics as ECC, it simultaneously draws from a slightly more complicated space of algebraic notions. Much of this chapter will be dedicated to illuminating these notions in a manner that should be digestable for those without serious background in algebraic geometry, or abstract algebra in general.

Chapter 2

Technical Background

This chapter will cover the following preliminary topics: cryptographic primitives, isogenies and their relevant properties, supersingular isogeny Diffie-Hellman (SIDH), the Fiat-Shamir construction for digital signatures (and its quantum-safe adaptation), the current landscape of isogeny based signature schemes, and finally the C implementations of isogeny based protocols with which we are concerned.

In the first section of this chapter we will take some time to introduce a few ideas from modern cryptography. We will cover key exchange, identification schemes, and signature schemes - all at as high of an abstraction level as possible. Readers familiar with these topics can skip this section without harm.

Our discussion of isogenies will begin with some basic coverage of the underlying algebra. We will provide the material necessary for the remaining sections as we build up in the level of abstraction; working our way through groups, finite fields, elliptic curves, and finally isogenies and their properties.

Once we have presented the necessary algebra, we will illustrate the specifics of the supersingular isogeny Diffie-Hellman key-exchange protocol. We will spend most of this time dedicated to a modular deconstruction of the protocol, looking at the high-level procedures and algorithms which will be necessary for understanding in detail the signature protocol to come. This subsection will end with a briefing and analysis of the closely related zero-knowledge proof of identity (ZKPoI) isogeny protocol proposed in the original De Feo et al. paper ([LDF]), as it is the foundation for the isogeny based signature scheme presented by Yoo et al in [YY].

In section 2.3 we will discuss the Fiat-Shamir transformation[?]; a technique which, given a secure interactive identification scheme, creates a secure digital signature scheme. We will also look at the quantum-secure adaptation published by Unruh in [?], for applying a non-quantum-resistant transform to a quantum-resistant primitive would be rather frivolous.

Section 2.4 will be dedicated to covering current isogeny-based signature schemes - the topic of which this dissertation is mainly concerned. We will discuss the signature scheme of Yoo et al., which is a near direct application of Unruh's work to the SIDH zero-knowledge proof of identity.

Finally, the last section of this chapter will introduce the SIDH C library released by Microsoft Research, on top of which the core contributions of this thesis are implemented. We will also look at the implementation of the to-be-discussed signature scheme, which is a sort of proof-of-concept built ontop of the Microsoft API.

2.1 Cryptographic Primitives

Cryptographic primitives can be thought of as the basic building blocks used in the design of cryptographically secure applications. The idea of which being that if individual primitives are proven (or believably) secure, we can be more confident in the security of the application as a whole.

To quickly recap some basic information security, there are several different security properties a cryptographic primitive may aim to offer:

- *Confidentiality*: The notion that the information in question is kept private from unauthorized individuals.
- *Integrity*: The notion that the information in question has not been altered by unauthorized individuals.
- *Availability*: The notion that the information in question is available to authorized individuals when requested.
- *Authenticity*: The notion that the source of the information in question is verified.
- *Non-repudiation*: The notion that the source of the information in question **cannot** deny having originally provided the information.

Each of the primitives to come are designed to offer some utility in the communication between a given pair of entities. We will refer to these entities as Alice and Bob. The schemes we are concerned with in this paper are strictly *public key* (also known as *asymmetric key*) schemes. In public key primitives, each user possesses a *public* key (visible to every user in the network) as well as a *private* key, which only they have access to.

The first class of primitives we will discuss, *key exchange* protocols, provide a means by which Alice and Bob can come to the agreement of some secret value. The goal of a key exchange protocol is for Alice & Bob, communicating over some open, insecure channel, to reach mutual agreement of the secret value while also ensuring the *confidentiality* of that value. The secret value is referred to as a *secret* or *shared* key and is intended for use in other cryptographic primitives.

Identification schemes are a class of primitives that aim to ensure *authenticity* of a given entity. If Alice is communicating with Bob and she wants to verify that Bob is who he claims to be, the two can utilize a secure identification scheme. After identification protocols we will look at signature schemes, which are somewhat of an extension of the former. Signature schemes aim to provide *authenticity* on every message sent from Bob to Alice, as well as *non-repudiability* & *integrity* of those messages.

2.1.1 Key Exchange

A key exchange protocol, which we'll denote as Π_{kex} , can be represented in some contexts by a pair of polynomial time algorithms **KeyGen** and **SecAgr**: $\Pi_{kex} = (\mathbf{KeyGen}, \mathbf{SecAgr})$. Alice and Bob will each run both of these procedures. The first they will run on the same input, 1^λ , a bit string of λ 1's. The second, short for "secret agreement", they will run on the output of *KeyGen*.

Execution of Π_{kex} between Alice and Bob involves the following:

- (i) Alice and Bob run **KeyGen**(1^λ): A probabilistic algorithm with input 1^λ and output (sk, pk) . Typically pk is the image of $f(sk)$, where f is some *one-way* function.
- (ii) Alice and Bob exchange (over an insecure channel) their public keys pk_{Alice} and pk_{Bob} .
- (iii) Alice runs **SecAgr**($sk_{\text{Alice}}, pk_{\text{Bob}}$): A deterministic algorithm with input sk_{Alice} and pk_{Bob} and output $k_{\text{Alice}} \in \{0, 1\}^\lambda$. Bob runs **SecAgr**($sk_{\text{Bob}}, pk_{\text{Alice}}$).

Π_{key} is said to uphold *correctness* if $k_{\text{Alice}} = k_{\text{Bob}}$. Because we deal only with correct Π_{key} , we refer to the output of Π_{key} as simply k . Figure 2.1 illustrates an execution of the Diffie-Hellman key exchange protocol which relies on the difficulty of the *discrete logarithm* problem for its one-way function f .

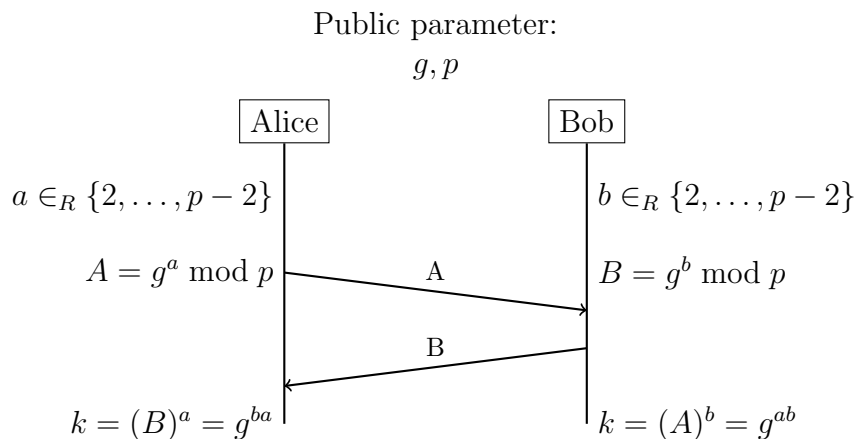


Figure 2.1: Alice and Bob’s execution of Diffie-Hellman key exchange.

2.1.2 Interactive Identification Schemes

Imagine Alice wishes to confirm the identity of Bob. The idea behind interactive identification protocols is to offer Bob some way of proving to Alice (or anyone) that he has knowledge of some secret which **only** Bob could possess. The goal, of course, being to accomplish this without openly revealing the secret, so that it can continue to be used as an identifier for Bob.

An identification scheme (or otherwise “proof of identity”) Π_{id} is composed of by the tuple of polynomial-time algorithms (**KeyGen**, **Prove**, **Verify**). Π_{id} is an interactive protocol, wherein the *prover* (Bob, for example) executes **Prove** and the *verifier* (Alice) executes **Verify**.

Execution of Π_{id} between Alice and Bob involves the following:

- (i) Bob runs **KeyGen**(1^λ): A probabilistic algorithm with input 1^λ and output (sk, pk) .
- (ii) Bob sends to Alice his public key pk and a probabilistically generated initial commitment com . Alice will respond with a *challenge* value ch .

- (iii) Bob runs **Prove**(sk, com, ch): A deterministic algorithm with input sk (Bob's secret key) and ch (challenge) and output $resp$.
- (iv) Alice runs **Verify**($pk, com, ch, resp$): A deterministic algorithm with input pk (Bob's public key), com , ch , and $resp$ and output $b \in \{0, 1\}$. Bob has successfully proven his identity to Alice if $b = 1$.

If Alice accepts Bob's response, and $b = 1$, then we refer to the tuple $(com, ch, resp)$ as an *accepting transcript*.

There exist variations upon this type of primitive wherein Alice is not required to send Bob a specific challenge value. These are known as *non-interactive* identification schemes, or non-interactive proofs of identity (NIPoI). These non-interactive approaches to solving the problem of identity and *authentication* further bridge the gap between identification protocols and signature schemes.

2.1.3 Signature Schemes

We define a signature scheme as the tuple of algorithms $\Pi_{sig} = (\mathbf{KeyGen}, \mathbf{Sign}, \mathbf{Verify})$. Execution of Π_{sig} between Alice and Bob for a particular message m sent from Bob to Alice involves the following:

- (i) Bob runs **KeyGen**(1^λ): A probabilistic algorithm with input 1^λ and output (sk, pk) .
- (ii) Bob sends his public key pk to Alice over an authenticated channel.
- (iii) Bob runs **Sign**(sk, m): A probabilistic algorithm with input sk (Bob's secret key) and m (the message Bob wishes to authorize) and output σ , known as a *signature*.
- (iv) Bob sends m and σ to Alice.
- (v) Alice runs **Verify**(pk, m, σ): A deterministic algorithm with input pk (Bob's public key), m , and σ and output $b \in \{0, 1\}$. Alice has confidence in the *integrity* and origin *authenticity* of m if $b = 1$.

As previously alluded to, it is worth noting that signature protocols and identification schemes are closely related. In essence, they are rather similar; but with two main differences. The first is rather comparable to the aforementioned difference between interactive identification schemes and non-interactive identification schemes. The second arises as a result of aiming to authenticate Bob on any particular message m . To achieve this, the signature scheme needs to be run every time Bob wishes to send a message to Alice. The details of this comparison are intentionally left vague, as it will form a topic of close inspection in section 2.4.

2.1.4 The Random Oracle Model

2.2 Algebraic Geometry & Isogenies

Groups & Varieties. A **group** is a 2-tuple composed of a set of elements and a corresponding group operation (also referred to as the group *law*). Given some group defined by the set G and the operation \cdot (written as (G, \cdot)) it is typical to refer to the group

simply as G . If \cdot is equivalent to some rational mapping[footnote about rational mappings] $f_G : G \rightarrow G$, then the group (G, \cdot) is said to form an *algebraic variety*[footnote about the inverse function]. A group which is also an algebraic variety is referred to as an **algebraic group**.

G is said to be an *abelian* group if, in addition to the four traditional group axioms (closure, associativity, existence of an identity, existence of an inverse), G satisfies the condition of commutativity. More formally, for some group G with group operation \cdot , we say G is an abelian group iff $x \cdot y = y \cdot x \ \forall x, y \in G$. An algebraic group which is also abelian is referred to as an **abelian variety**.

Definition 1 (Abelian Variety). for some algebraic group G with operation \cdot , we say G is an abelian variety iff $x \cdot y = y \cdot x \ \forall x, y \in G$.

For some group (G, \cdot) , some $x, y \in G$, and some rational mapping $f_G : G \rightarrow G$, let the following sequence of implications denote the classification of (G, \cdot) :

$$\text{group} \xrightarrow{x \cdot y = f_G(x, y)} \text{algebraic group} \xrightarrow{x \cdot y = y \cdot x} \text{abelian variety}$$

Morphisms. Let us again take for example some group (G, \cdot) . Let's also define some set $S_{(G, \cdot)}$ which contains every tuple (x, y, z) for group elements x, y, z which satisfy $x \cdot y = z$.

$$S_{(G, \cdot)} = \{x, y, z \in G \mid x \cdot y = z\}$$

Take also for example a second group $(H, *)$ and some map $\phi : G \rightarrow H$. ϕ is said to be *structure preserving* if the following implication holds:

$$(x, y, z) \in S_{(G, \cdot)} \Rightarrow (\phi(x), \phi(y), \phi(z)) \in S_{(H, *)}$$

A **morphism** is simply the most general notion of a structure preserving map. More specifically, in the domain of algebraic geometry, we will be dealing with the notion of a **group homomorphism**, defined as follows:

Definition 2 (Group Homomorphism). For two groups G and H with respective group operations \cdot and $*$, a group homomorphism is a structure preserving map $h : G \rightarrow H$ such that $\forall u, v \in G$ the following holds:

$$h(u \cdot v) = h(u) * h(v)$$

From this simple definition, two more properties of homomorphisms are easily deducible. Namely, for some homomorphism $h : G \rightarrow H$, the following properties hold:

- h maps the identity element of G onto the identity element of H , and
- $h(u^{-1}) = h(u)^{-1}, \forall u \in G$

Furthermore, an *endomorphism* is a special type of morphism in which the domain and the codomain are the same groups. We denote the set of endomorphisms definable over some group G as $\text{End}(G)$. The *kernel* of a particular homomorphism $h : G \rightarrow H$ is the set of elements in G that, when applied to h , map to the identity element of H . We write this set as $\ker(h)$, and it is much analogous to the familiar concept from linear algebra, wherein the kernel denotes the set of elements mapped to the zero vector by some linear map.

Fields & Field Extensions. A **field** is a mathematical structure which, while being similar to a group, demands additional properties. Fields are defined by some set F and two operations: *addition* and *multiplication*. In order for some tuple $(F, +, \cdot)$ to constitute a field, it must satisfy an assortment of axioms:

Addition axioms:

- (closure) If $x \in F$ and $y \in F$, then $x + y \in F$.
- $+$ is commutative.
- $+$ is associative.
- F contains an element 0 such that $\forall x \in F$ we have $0 + x = x$.
- $\forall x \in F$ there is a corresponding element $-x \in F$ such that $x + (-x) = 0$.

Multiplication axioms:

- (closure) If $x \in F$ and $y \in F$, then $x \cdot y \in F$.
- \cdot is commutative.
- \cdot is associative.
- F contains an element $1 \neq 0$ such that $\forall x \in F$ we have $x \cdot 1 = x$.
- $\forall x \neq 0 \in F$ there is a corresponding element $x^{-1} \in F$ such that $x \cdot (x^{-1}) = 1$.

Additionally, a field $(F, +, \cdot)$ must uphold the *distributive law*, namely:

$$x \cdot (y + z) = x \cdot y + x \cdot z \text{ holds } \forall x, y, z \in F$$

While these axioms are known to be satisfied by the sets \mathbb{Q} , \mathbb{R} , and \mathbb{C} with typically defined $+$ and \cdot , our focus will be on a particular class of field known as a *finite field*. Finite fields, as the name suggests, are fields in which the set F contains finitely many elements - we refer to the number of elements in F as the *order* of the field.

Let us take some prime number p . We can construct a finite field by taking F as the set of numbers $\{0, 1, \dots, p-1\}$ and defining $+$ and \cdot as addition and multiplication *modulo* p . Finite fields defined in this fashion are denoted as \mathbb{F}_p , and have order p .

$$\begin{aligned} \forall x, y \in \mathbb{F}_p, x + y &= (x + y) \mod p, \text{ and} \\ \forall x, y \in \mathbb{F}_p, x \cdot y &= (x \cdot y) \mod p \end{aligned}$$

For any given field K there exists a number q such that, for every $x \in K$, adding x to itself q times results in the additive identity 0 . This number is referred to as the *characteristic* of K , for which we write $\text{char}(K)$. Finite fields are the only type of field for which $\text{char}(K) > 0$. Furthermore, if the field in question is finite and has prime order, then the order and the characteristic are equivalent.

A particular field K' is called an *extension field* of some other field K if $K \subseteq K'$. The complex numbers \mathbb{C} , for example, are an extension field of \mathbb{R} . A given field K is *algebraically closed* if there exists a root for every non-constant polynomial defined over K . If K itself is not algebraically closed, we denote the extension of K that is by \bar{K} .

An algebraic group G_a is defined over a field K if each element $e \in G_a$ is defined over K and the corresponding f_{G_a} is also defined over K . To show that a particular algebraic group G_a is defined over some field K we will henceforth denote the group/field pairing as $G_a(K)$. Naturally, in the case where our field is a finite field of order p , we write $G_a(\mathbb{F}_p)$.

These algebraic structures are all important for building up to the concept of an *isogeny*. The lowest-level object we will be concerned with when discussing the forthcoming isogeny-based protocols will typically be elements of abelian varieties. The lowest-level structure in the SIDH C codebase is a finite field element.

2.2.1 Elliptic Curves

An elliptic curve is an algebraic curve defined over some field K , the most general representation of which is given by

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6.$$

This representation encapsulates elliptic curves defined over any field. If, however, we are discussing curves defined specifically over a field K such that $\text{char}(K) > 3$ [ref], then the more compact form $y^2 = x^3 + ax + b$ can be applied (see figure 2.2 for a geometric visualization). In this dissertation we will default to this second representation, as the schemes with which we are concerned will always be defined over \mathbb{F}_p for some large prime p .

We can define a group structure over the points of a given elliptic curve (or any other smooth cubic curve). If we wish to define a group in accordance to a particular curve, we do so with the following notation:

$$E : y^2 = x^3 + ax + b$$

Wherein E denotes the group in question, the elements of which are all the points (solutions) of the curve. Throughout much of this section, the words *point* and *element* can be used interchangeably.

The Group Law. The group operation we define for E , denoted $+$, is better understood geometrically than algebraically. Consider the following.

Given two elements P and Q of some arbitrary elliptic curve group E , we define $+$ geometrically as follows: drawing the line L formed by points P and Q , we follow L to its third intersection on the curve (which is guaranteed to exist), which we will denote as $R = (x_R, y_R)$. We then set $P + Q = -R$, where $-R$ is the reflection of R over the x-axis: $(x_R, -y_R)$. This descriptive definition of $+$ is suitable for all situations *except* for when L is tangent to E and when L is parallel to the y-axis. These cases will be covered in a short moment. See figure 2.2 for an illustrated representation of this process.

The group operation $+$ is referred to as *pointwise addition*. In order for $(E, +)$ to properly form a group under pointwise addition, it must satisfy the four group axioms:

- *Closure:* Because elliptic curves are polynomials of degree of 3, we know any given line passing through two points P and Q of E will pass through a third point R . The exceptions here are twofold. First, when $P = Q$ and thus our line is tangent to E , and second, when $Q = -P$ and our line is parallel with the y-axis. We resolve the first case nicely by defining $P + P$ by means of taking L to be the line tangent

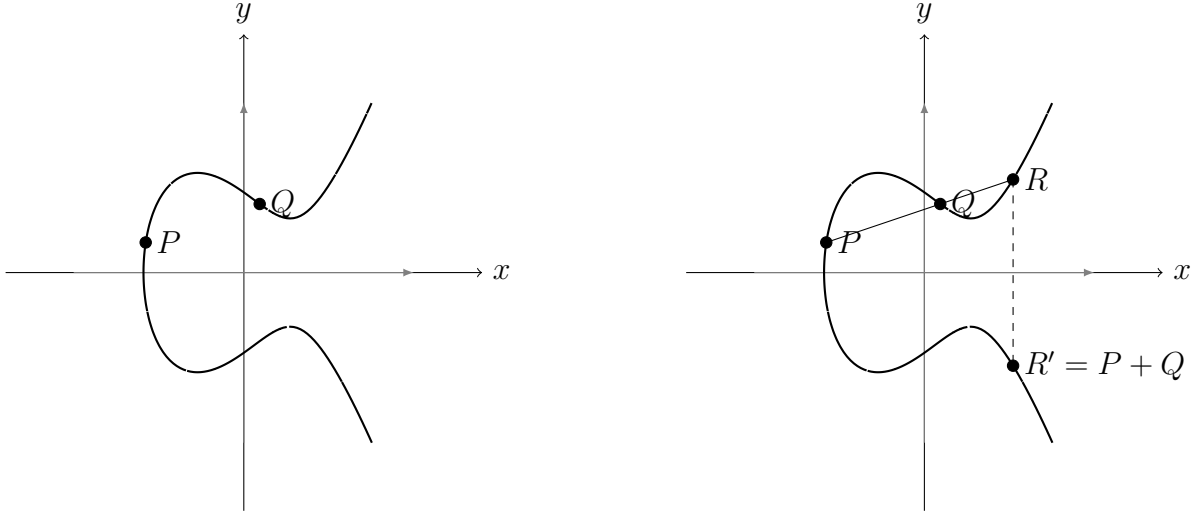


Figure 2.2: $+$ acting over points P and Q of $y^2 = x^3 - 2x + 2$.

to E at point P . In the second case, $P + (-P)$, by group axiom, should yield the identity element of the group. We will define this element and resolve this issue below.

- *Identity*: The identity element of elliptic curve groups, denoted as \mathcal{O} , is a specially defined point satisfying $P + \mathcal{O} = \mathcal{O} + P = P, \forall P \in E$. Because of the inclusion of this special element, we have that $\#(E(K))$ is equal to 1 + the number geometric points on E defined over K . This of course is only a noteworthy claim when K is a finite field (otherwise there are already infinitely many elements in E).
- *Associativity*: For all points P, Q , and R in E , it must be the case $((P + Q) + R = P + (Q + R))$ holds. It is rather easy to see visually why this is true for geometrically defined points in E (see Figure 2.3). Additionally, we can trivially show that this holds when any combination of P, Q , and R are \mathcal{O} by applying the axiom of the identity.
- *Inverse*: Due to the x-symmetry of elliptic curves, every point $P = (x_P, y_P)$ of E has an associated point $-P = (x_P, -y_P)$. If we apply $+$ to P and $-P$, L assumes the line parallel to the y-axis at $x = x_P$. As discussed above, in this case there is no third intersection of L on E . In light of this, \mathcal{O} can be thought of as a point residing infinitely far in both the positive and negative directions of the y-axis. \mathcal{O} is equivalently referred to as the *point at infinity* (see Figure 2.3). [footnote about whether $+$ actually constitutes a rational map due to this exception]

Additionally, we shorthand $\overbrace{P + P + \dots + P}^n$ as nP , analogous to scalar multiplication.

Consequently, because groups defined over elliptic curves in this fashion are commutative, they also constitute abelian varieties[ref].

When referring to curves as abelian varieties defined over a field, we will write them as $E_\alpha(K)$, for some curve E_α and some field K . If we are only concerned with the geometric properties of the curve, or curves as distinct elements of some group structure, it will suffice to write E_α . Moving forward from here, we will assume all general curves

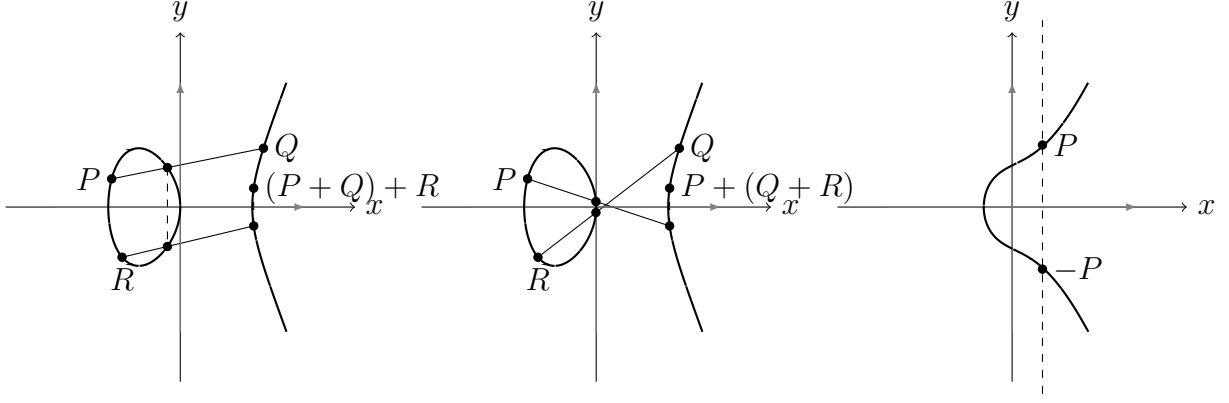


Figure 2.3: associativity illustrated on $y^2 = x^3 - 3x$ (left & center) and $P + (-P) = \mathcal{O}$ illustrated for $y^2 = x^3 + x + 1$ (right).

discussed are capable of definition over some finite field \mathbb{F}_p .

Torsion Groups. The r -torsion group of E is the set of all points $P \in E(\overline{\mathbb{F}}_q)$ such that $[r]P = \mathcal{O}$. We denote the r -torsion group of some curve as $E[r]$.

Supersingular Curves. An elliptic curve can be either *ordinary* or *supersingular*. There are several equivalent ways to define supersingular curves (and thus the distinction between them and ordinary curves,)

For the remainder of this paper, unless otherwise noted, all elliptic curves in discussion will be of the supersingular variety.

Montgomery Arithmetic.

2.2.2 Isogenies & Their Properties

Definition 3 (Isogeny). Let G and H be algebraic groups[ref]. An isogeny is a morphism[ref] $h : G \rightarrow H$ possessing a finite kernel.

In the case of the above definition where G and H are abelian varieties (such as elliptic curves,) the isogeny h is homomorphic[ref] between G and H . Because of this, isogenies over elliptic curves (and other abelian varieties) inherit certain characteristics.

For an isogeny $h : E_1 \rightarrow E_2$ defined over elliptic curves E_1 and E_2 , the following holds:

- $h(\mathcal{O}) = \mathcal{O}$, and
- $h(u^{-1}) = h(u)^{-1}, \forall u \in G$

We write $\text{End}(E)$ to denote the ring formed by all the isogenies acting over E which are also endomorphisms. Note that m -repeated pointwise addition of a point with itself can equivalently be modelled by an endomorphism, we denote the application of such an endomorphism to a point P as $[m]P$, such that $[m] : E \rightarrow E$ and $[m]P = mP$.

2.3 Supersingular Isogeny Diffie-Hellman

This section will aim to accomplish two things. First, we will briefly explain the isogeny-level & key-exchange-level procedures of the SIDH protocol. Second, we will illuminate how these procedures map onto Microsoft Research’s C implementation of SIDH. In this regard, this section can be considered an attempt to meld two domains of SIDH functions & procedures, in hopes of easing the navigation from the SIDH protocol to Microsoft’s C implementation, and vice versa.

The original work of De Feo, Jao, and Plut outlines three different isogeny-based cryptographic primitives: Diffie-Hellman-esque key exchange, public key encryption, and the aforementioned zero-knowledge proof of identity. Because all three of these protocols require the same initialization and public parameters, we will begin by covering these parameters in detail. Immediately after, we will analyze the key exchange at a relatively high level. Our goal of this section is to explain in detail the algorithmic and cryptographic aspects of the ZKPoI scheme, as this forms the conceptual basis for the signature scheme we will be investigating. We begin with the key exchange protocol because its sub-routines are integral to the Yoo et al. signature implementation.

For the discussion that follows, we will assume every instance of an SIDH protocol occurs between two parties, **A** and **B** (eg. **Alice** & **Bob**.) for which we will colorize information particular to **A** in blue and **B** in red. This will include private keys & public keys as well as the variables and constants used in their generation.

Public Parameters. As the name suggests, SIDH protocols work over supersingular curves (with no singular points). Let $\mathbb{F}_q = \mathbb{F}_{p^2}$ be the finite field over which our curves are defined, \mathbb{F}_{p^2} denoting the quadratic extension field of \mathbb{F}_p . p is a prime defined as follows:

$$p = \ell_A^{e_A} \ell_B^{e_B} \cdot f \pm 1$$

Wherein ℓ_A and ℓ_B are small primes (typically 2 & 3, respectively) and f is a cofactor ensuring the primality of p . We then define globally a supersingular curve E_0 defined over \mathbb{F}_q with cardinality $(\ell_A^{e_A} \ell_B^{e_B} f)^2$. Consequently, the torsion group $E_0[\ell_A^{e_A}]$ is \mathbb{F}_q -rational and has $\ell_A^{e_A-1}(\ell_A + 1)$ cyclic subgroups of order $\ell_A^{e_A}$, with the analogous statement being true for $E_0[\ell_B^{e_B}]$. Additionally, we include in the public parameters the bases $\{P_A, Q_A\}$ and $\{P_B, Q_B\}$, generating $E[\ell_A^{e_A}]$ and $E[\ell_B^{e_B}]$ respectively.

This brings our set of global parameters, G , to the following:

$$G = \{p, E_0, \ell_A, \ell_B, e_A, e_B, \{P_A, Q_A\}, \{P_B, Q_B\}\}$$

2.3.1 SIDH Key Exchange

This subsection will illustrate an SIDH key exchange run between party members **Alice** and **Bob**. The general idea of the protocol can be surmised by the diagram below. In the scheme, **private keys** take the form of isogenies[ref] defined with domain E , and **public keys** are the associated co-domain curve of said isogenies.

$$\begin{array}{ccc}
E_0 & \xrightarrow{\phi_A} & E_0/\langle A \rangle \\
\phi_B \downarrow & & \downarrow \phi'_B \\
E_0/\langle B \rangle & \xrightarrow{\phi'_A} & E_0/\langle A, B \rangle
\end{array}$$

The premise of the protocol is that both parties generate some random point (A or B in the diagram,) which, according to theorem [ref], indicates some distinct isogeny $\phi_A : E_0 \rightarrow E/\langle A \rangle$ (or equivalent for B). $Alice$ and Bob then exchange codomain curves and compute

$$\begin{array}{c}
\phi_A(E_0/\langle B \rangle) \\
\text{OR} \\
\phi_B(E_0/\langle A \rangle)
\end{array}$$

To come to the shared secret agreement, the codomain curve of their composed isogenies, denoted E_{AB} . Below we've outlined the SIDH key exchange protocol $\Pi_{\text{SIDH}} = (\mathbf{KeyGen}, \mathbf{SecAgr})$ in a descriptive (though not yet algorithmic) manner.

KeyGen: $Alice$ chooses two random numbers $m_A, n_A \in \mathbb{Z}/\ell_A^{e_A}\mathbb{Z}$ such that $(\ell_A \nmid m_A) \vee (\ell_A \nmid n_A)$. $Alice$ then computes the isogeny $\phi_A : E_0 \rightarrow E_A$ where $E_A = E_0/\langle [m_A]P_A, [n_A]Q_A \rangle$ (equivalently, $\ker(\phi_A) = \langle [m_A]P_A, [n_A]Q_A \rangle$). Bob undergoes the same procedure for random elements $m_B, n_B \in \mathbb{Z}/\ell_B^{e_B}\mathbb{Z}$. After completion, $Alice$ and Bob hold their respective key pairs:

$$\begin{array}{l}
(sk_A, pk_A) = (\{m_A, n_A\}, E_A) \\
(sk_B, pk_B) = (\{m_B, n_B\}, E_B)
\end{array}$$

$Alice$ then applies her isogeny to the points which Bob will use in the creation of his isogeny: $\{\phi_A(Q_B), \phi_A(P_B)\}$. Bob performs the analogous operation.

PK Exchange: After $Alice$ and Bob successfully complete their key generation, they perform the following over an insecure channel:

- $Alice$ sends Bob $(E_A, \{\phi_A(P_B), \phi_A(Q_B)\})$
- Bob sends $Alice$ $(E_B, \{\phi_B(P_A), \phi_B(Q_A)\})$

SecAgr: After reception of Bob 's tuple, $Alice$ computes the isogeny $\phi'_A : E_B \rightarrow E_{AB}$ and Bob acts analogously. $Alice$ and Bob then arrive at the equivalent image curve:

$$E_{AB} = \phi'_A(\phi_B(E_0)) = \phi'_B(\phi_A(E_0)) = E_0/\langle [m_A]P_A + [n_A]Q_A, [m_B]P_B + [n_B]Q_B \rangle$$

From which they can use the common j -invariant of as a shared secret k .

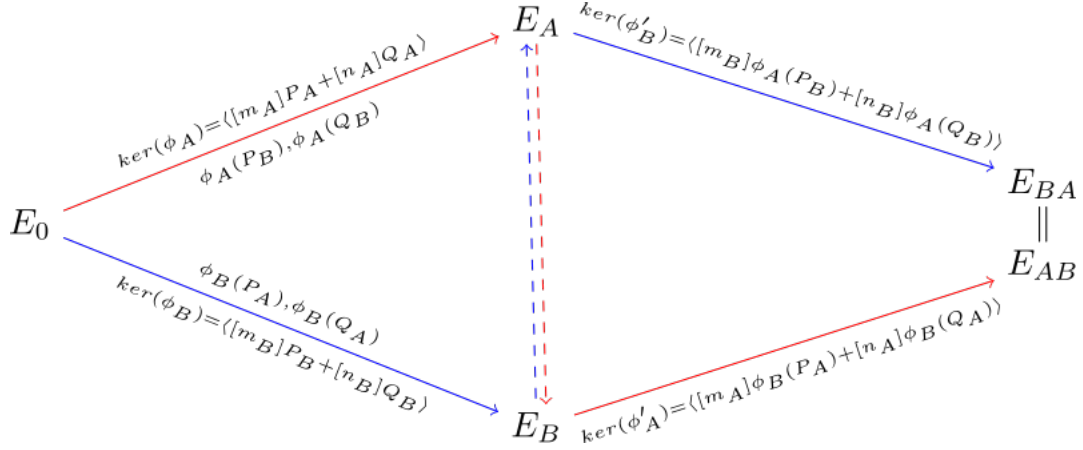


Figure 2.4: SIDH key exchange between Alice & Bob

2.3.2 Zero-Knowledge Proof of Identity

Recall the earlier discussed notion of an identification scheme. A canonical identification scheme $\Pi_{\text{SID}} = (\text{KeyGen}, \text{Prove}, \text{Verify})$ can be derived somewhat analogously to the SIDH protocol, and is outlined in the original work of De Feo et al.

Say Bob has derived for himself the key pair (sk_B, pk_B) with $sk_B = \{m_B, n_B\}$ and $pk_B = E_B = E_0 / \langle [m_B]P_B + [n_B]Q_B \rangle$ in relation to the public parameters E_0 and $\ell_B^{e_B}$. With E_0 and E_B publicly known, Π_{ZKPoI} revolves around Bob trying to prove to Alice that he knows the generator for E_B without revealing it.

To achieve this, Bob internally mimicks an execution of the key exchange protocol Π_{SIDH} with an arbitrary “random” entity Randall.

KeyGen: Key generation is performed exactly as in Π_{SIDH} , the only difference being that in Π_{ZKPoI} only the prover (Bob, in our example,) needs to generate a keypair.

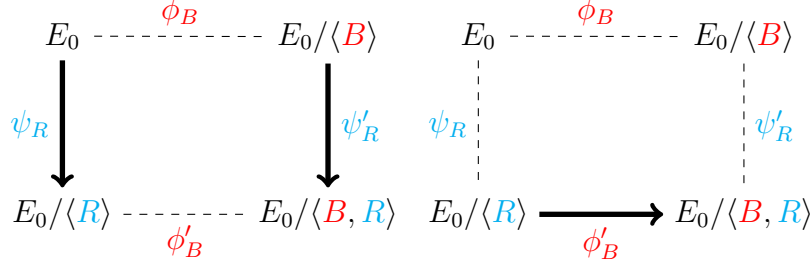
Commitment: Bob generates a random point $R \in E_0[\ell_A^{e_A}]$ ($R = [m_R]P_A + [n_R]Q_A$) along with the corresponding isogenies necessary to compute the diagram below in full (if Alice were acting as the prover in Π_{ZKPoI} , then she would choose $R \in E_0[\ell_B^{e_B}]$). Bob sends his commitment com as $(com_1, com_2) = (E_0 / \langle R \rangle, E_0 / \langle B, R \rangle)$ to Alice.

$$\begin{array}{ccc}
 E_0 & \xrightarrow{\phi_B} & E_0 / \langle B \rangle \\
 \psi_R \downarrow & & \downarrow \psi'_R \\
 E_0 / \langle R \rangle & \xrightarrow{\phi'_B} & E_0 / \langle B, R \rangle
 \end{array}$$

Response: Alice chooses a bit b at random and sends her challenge $ch = b$ to Bob.

Prove: If Alice’s response bit $ch = 0$ then Bob reveals the isogenies ψ_R and ψ'_R (to do this, he can simply reveal the kernels of ψ_R and ψ'_R ; R and $\phi_B(R)$ respectively). This proves he knows the information necessary to form a shared secret with Randall if and

only if he happens to know the private key $B = \{[m_B]P_B + [n_B]Q_B\}$. If $ch = 1$, Bob reveals the isogeny ϕ'_B . This proves that Bob knows the information necessary to form a shared secret with Randall if and only if he knows Randall's secret key R .



Note that Bob cannot at once reveal all of the information necessary to convince Alice that he knows B . If he reveals R , $\phi_B(R)$, and ϕ'_B all in one go, he incidentally reveals his secret key $B = [m_B]P_B + [n_B]Q_B$. This is because Bob reveals ϕ'_B by revealing the generator of $\ker(\phi'_B)$, namely:

$$(B, R) = [m_B]P_B + [n_B]Q_B, [m_R]P_A + [n_R]Q_A$$

How Π_{ZKPoK} handles this is by having Bob and Alice run **Prove()** and **Verify()** for λ iterations, with a different $(com, ch, resp)$ transcript generated for every instance. This way, if Bob is able to provide a $resp$ that satisfies Alice's ch for every iteration, she can be sufficiently confident that Bob has knowledge of B .

Verify(pk, com, ch): Like the proving procedure, verification is a conditional function depending on the value of b :

- if $ch = 0$: return 1 if and only if R and $\phi_B(R)$ have order $\ell_A^{e_A}$ and generate the kernels of isogenies from $E_0 \rightarrow E_0/\langle R \rangle$ and $E_0/\langle B \rangle \rightarrow E_0/\langle B, R \rangle$ respectively.
- if $ch = 1$: return 1 if and only if $\psi_R(B)$ has order $\ell_B^{e_B}$ and generates the kernel of an isogeny over $E_0/\langle R \rangle \rightarrow E_0/\langle B, R \rangle$.

This scheme constitutes what is known in the literature as a *zero knowledge* proof of identity. It is referred to as such because Alice, acting as the verifier, does not gain any information about Bob's secret key sk .

2.4 Fiat-Shamir Construction

The Fiat-Shamir construction (also frequently referred to as the Fiat-Shamir transform, or Fiat-Shamir heuristic,) is a high-level technique for transforming a canonical identification scheme into a secure signature scheme.

The construction is rather simple. The idea is to first transform a given interactive identification protocol Π_{ID} into a *non-interactive* identification protocol. To achieve this, instead of allowing input from the verifier \mathcal{V} , we have our prover \mathcal{P} generate the challenge ch by itself. In order for the verifier to be able to check that ch was generated honestly, we define $ch = H(com)$, where H is some secure hash function. If we model H as a *random oracle*[ref], $H(com)$ is truly random; from this it can be shown that it is just as

difficult for an impersonator of \mathcal{P} to find an accepting transcript $(com, H(com), resp)$ as it would be for them to successfully impersonate \mathcal{P} in Π_{ID} .

Now that we've paired Π_{ID} with H to achieve a non-interactive identification scheme Π_{NID} , we need only to factor in some message m from \mathcal{P} to have constructed a signature scheme Π'_{ID} . This can be achieved by including m in our calculation of the challenge: $ch = H(com, m)$. Therefore, given theorem 1, if $(com, H(com), resp)$ is an accepting transcript of Π_{NID} , then $(com, H(com, m), resp)$ is a secure signature for the message m . Of course, because $H(com, m)$ can be constructed by any passively observing party, it is redundant to include; and so $(com, resp)$ constitutes a valid signature for m . A proof of theorem 1 can be found in [?]. The security of the Fiat-Shamir construct was first proven by Pointcheval & Stern in [?].

Theorem 1 (Fiat-Shamir Security). *Let $\Pi_{ID} = (\mathbf{KeyGen}, \mathbf{Prove}, \mathbf{Verify})$ be a canonical identification scheme that is secure against a passive attack. Then, if H is modeled as a random oracle, the signature scheme Π'_{ID} that results from applying the Fiat-Shamir transform to Π_{ID} is classically existentially unforgeable under an adaptive chosen-message attack.*

We will write $\mathbf{FS}(\Pi)$ to denote the result of applying the Fiat-Shamir transformation to some identification protocol Π .

2.4.1 Unruh's Post-Quantum Adaptation

In 2014, Ambainis et al. showed that classical security proofs for “proof of knowledge” protocols are insecure in the quantum setting. This is due to a technique used in the proof of FST's security whereby the random oracle is subject to “rewinding”: the proof simulates multiple runs of FST with different responses from the random oracle [?].

Following this insight, Unruh proposed in 2015 a construction based off that of Fiat & Shamir which he proved to be secure in both the classical and quantum random oracle models.

Because the focus of this dissertation is not the security (post-quantum or otherwise) of any particular protocol, our coverage in this section is left brief.

2.5 Isogeny Based Signatures

Now that we've seen the zero-knowledge proof of identity (ZKPoI) from [LDF] as well as Unruh's quantum-safe Fiat-Shamir adaption, we have presented all of the material necessary for an indepth analysis of the isogeny based signature scheme presented by Yoo et. Al. The signature protocol, which we'll denote as Σ' , is a near-trivial application of Unruh's construction to the SIDH ZKPoI. In this section we will refer to the SIDH ZKPoI as Σ .

Σ' is defined in the traditional manner, by a tuple of algorithms for key generation, signing, and verifying: $\Sigma' = (\mathbf{KeyGen}, \mathbf{Sign}, \mathbf{Verify})$. As could be predicted, **KeyGen** in Σ' is defined identically to the key generation found in SIDH key exchange. **Sign** and **Verify** are defined as equivalent to **Prove** and **Verify** $\in \mathbf{FS}(\Sigma)$, respectively.

For our discussion of the signature scheme, we will make use of the naming conventions used in section 2.3. That is, we will discuss Σ' as occurring between entities **Bob** and **Alice**, with **Bob** imitating the role of an arbitrary third party **Randall** during **Sign**.

The public parameters used in Σ' are the same as outlined above for all of the protocols found in [LDF]. Namely, we have $p = \ell_A^{e_A} \ell_B^{e_B} \cdot f \pm 1$ where $\ell_A^{e_A} = 2$, $\ell_B^{e_B} = 3$, and f is a cofactor such that p is prime. We also set as parameter the curve E_0 such that $\#(E_0(F_{p^2})) = (\ell_A^{e_A} \ell_B^{e_B})^2$. And again, we include the sets of points (P_A, Q_A) and (P_B, Q_B) generating $E_0[\ell_A^{e_A}]$ and $E_0[\ell_B^{e_B}]$ respectively.

KeyGen(): Select a random point S of order $\ell_A^{e_A}$, compute the isogeny $\phi : E \rightarrow E/\langle S \rangle$. Return (pk, sk) where $pk = (E/\langle S \rangle, \phi(P_B), \phi(Q_B))$ and $sk = S$.

Sign():

Verify():

2.5.1 Algorithmic Definitions

Algorithm 1 KeyGen(λ)

- 1: Pick a random point S of order $\ell_A^{e_A}$
 - 2: Compute the isogeny $\phi : E \rightarrow E/\langle S \rangle$
 - 3: $pk \leftarrow (E/\langle S \rangle, \phi(P_B), \phi(Q_B))$
 - 4: $sk \leftarrow S$
 - 5: **return** (pk, sk)
-

Algorithm 2 Sign(sk, m)

- 1: **for** $i = 1 \dots 2\lambda$ **do**
 - 2: Pick a random point R of order $\ell_B^{e_B}$
 - 3: Compute the isogeny $\psi : E \rightarrow E/\langle R \rangle$
 - 4: Compute either $\phi' : E/\langle R \rangle \rightarrow E/\langle R, S \rangle$ or $\psi' : E/\langle S \rangle \rightarrow E/\langle R, S \rangle$
 - 5: $(E_1, E_2) \leftarrow (E/\langle R \rangle, E/\langle R, S \rangle)$
 - 6: $com_i \leftarrow (E_1, E_2)$
 - 7: $ch_{i,0} \leftarrow_R \{0, 1\}$
 - 8: $(resp_{i,0}, resp_{i,1}) \leftarrow ((R, \phi(R)), \psi(S))$
 - 9: **if** $ch_{i,0} = 1$ **then**
 - 10: $swap(resp_{i,0}, resp_{i,1})$
 - 11: $h_{i,j} \leftarrow G(resp_{i,j})$
 - 12: $J_1 \parallel \dots \parallel J_{2\lambda} \leftarrow H(pk, m, (com_i)_i, (ch_{i,j})_{i,j}, (h_{i,j})_{i,j})$
 - 13: **return** $\sigma \leftarrow ((com_i)_i, (ch_{i,j})_{i,j}, (h_{i,j})_{i,j}, (resp_{i,J_i})_i)$
-

Algorithm 3 Verify(pk, m , σ)

```
1:  $J_1 \parallel \dots \parallel J_{2\lambda} \leftarrow H(pk, m, (\text{com}_i)_i, (\text{ch}_{i,j})_{i,j}, (h_{i,j})_{i,j})$ 
2: for  $i = 0 \dots 2\lambda$  do
3:   check  $h_{i,J_i} = G(\text{resp}_{i,J_i})$ 
4:   if  $\text{ch}_{i,J_i} = 0$  then
5:     Parse  $(R, \phi(R)) \leftarrow \text{resp}_{i,J_i}$ 
6:     check  $(R, \phi(R))$  have order  $\ell_B^{e_B}$ 
7:     check  $R$  generates the kernel of the isogeny  $E \rightarrow E_1$ 
8:     check  $\phi(R)$  generates the kernel of the isogeny  $E/\langle S \rangle \rightarrow E_2$ 
9:   else
10:    Parse  $\psi(S) \leftarrow \text{resp}_{i,J_i}$ 
11:    check  $\psi(S)$  has order  $\ell_A^{e_A}$ 
12:    check  $\psi(S)$  generates the kernel of the isogeny  $E_1 \rightarrow E_2$ 
13: if all checks succeed then
14:   return 1
15: else
16:   return 0
```

2.6 Implementations of Isogeny Based Cryptographic Protocols

Having now introduced all of the background material necessary for understanding SIDH and the isogeny-based signature scheme in detail, we will briefly

2.6.1 Parameters

Data Structures.

Curve Parameters.

Key Representation.

2.6.2 Overall Design

The SIDH library is designed with several layers of abstraction.

Figure 2.5 illustrates the relationship between abstraction levels of the SIDH protocol and modules of the SIDH C library.

2.6.3 Key Exchange

2.6.4 Yoo et al. Signature Layer

If we transcribe the above to the language of the Microsoft SIDH API, we have in essence the following:

2.6.5 Performance

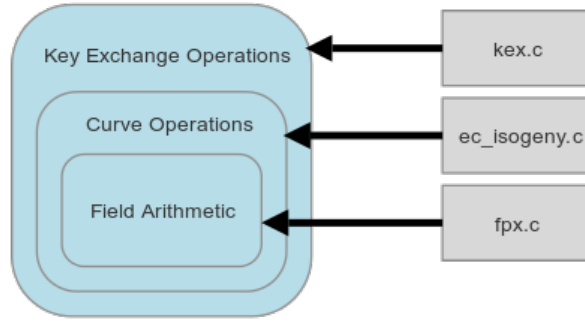


Figure 2.5: Relationship between SIDH key exchange & MR SIDH C library

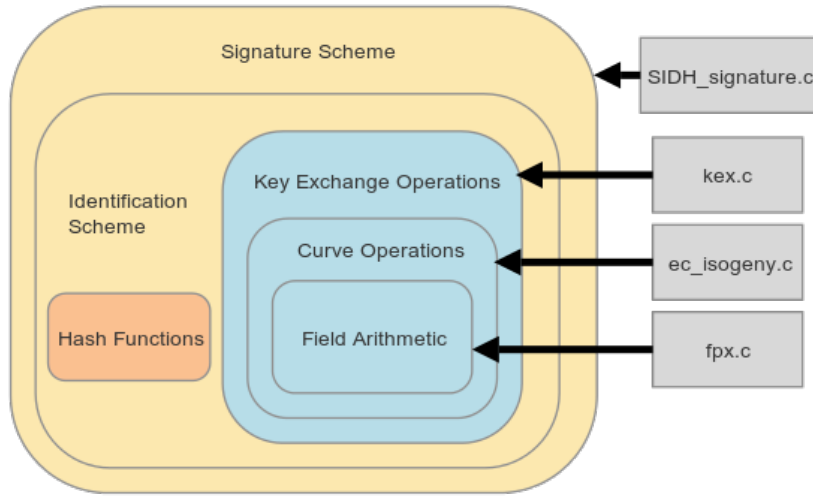


Figure 2.6: Relationship between SIDH based signatures & Our fork of the SIDH C library

Algorithm 4 KeyGen(λ)

```

1:  $(pk, sk) \leftarrow \text{KeyGeneration\_B}()$ 
2: return  $(pk, sk)$ 

```

Algorithm 5 Sign(sk, m)

```

1: for  $i = 1 \dots 2\lambda$  do
2:    $(R, \psi) \leftarrow \text{KeyGeneration\_A}(E)$ 
3:    $E_1 \leftarrow E / \langle R \rangle$ 
4:    $(E_2, E / \langle R, S \rangle) \leftarrow \text{SecretAgreement\_B}()$ 
5:    $(E_1, E_2) \leftarrow (E / \langle R \rangle, E / \langle R, S \rangle)$ 
6:    $\text{com}[i] \leftarrow (E_1, E_2)$ 
7:    $\text{ch}[i] \leftarrow_R \{0, 1\}$ 
8:    $(\text{resp}[i]_0, \text{resp}[i]_1) \leftarrow ((R, \phi(R)), \psi(S))$ 
9:  $J_1 \parallel \dots \parallel J_{2\lambda} \leftarrow H(pk, m, (\text{com}_i)_i, (\text{ch}_i)_i, (h_{i,j})_{i,j})$ 
10: return  $\sigma \leftarrow ((\text{com}_i)_i, (\text{ch}_{i,j})_{i,j}, (h_{i,j})_{i,j}, ((\text{resp})[J_i])$ 

```

Chapter 3

Batching Operations for Isogenies

3.1 Batching Procedure in Detail

One of our main contributions is the embedding of a low-level \mathbb{F}_{p^2} procedure into Microsofts pre-existing SIDH library. The procedure in question reduces arbitrarily many unrelated/potentially parallel \mathbb{F}_{p^2} inversions to a sequence of \mathbb{F}_p multiplications & additions, as well as one \mathbb{F}_p inversion.

More specifically, the procedure takes us from n \mathbb{F}_{p^2} inversions to:

- $2n$ \mathbb{F}_p squarings
- n \mathbb{F}_p additions
- 1 \mathbb{F}_p inversion
- $3(n-1)$ \mathbb{F}_p multiplications
- $2n$ \mathbb{F}_p multiplications

The procedure is as follows:

3.1.1 Projective Space

Because the work of Yoo et al. was built on top of the original Microsoft SIDH library, all underlying field operations (and isogeny arithmetic) are performed in projective space. Doing field arithmetic in projective space allows us to avoid many inversion operations. The downside of this (for our work) is that the number opportunities for implementing the batched inversion algorithm becomes greatly limited.

3.1.2 Remaining Opportunities

There are two functions called in the isogeny signature system that perform a \mathbb{F}_{p^2} inversion: `j_inv` and `inv_4_way`. These functions are called once in `SecretAgreement` and `KeyGeneration` operations respectively. `SecretAgreement` and `KeyGeneration` are in turn

Algorithm 6 Batched Partial-Inversion

```
1: procedure PARTIAL_BATCHED_INV( $\mathbb{F}_{p^2}[\ ]$  VEC,  $\mathbb{F}_{p^2}[\ ]$  DEST, INT N)
2:   initialize  $\mathbb{F}_p$  den[n]
3:   for i = 0..(n-1) do
4:     den[i]  $\leftarrow a[i][0]^2 + a[i][1]^2$ 
5:   a[0]  $\leftarrow$  den[0]
6:   for i = 1..(n-1) do
7:     a[i]  $\leftarrow$  a[i-1]*den[i]
8:   ainv  $\leftarrow$  inv(a[n-1])
9:   for i = n-1..1 do
10:    a[i]  $\leftarrow$  ainv * dest[i - 1]
11:    ainv  $\leftarrow$  ainv * den[i]
12:  dest[0]  $\leftarrow$  ainv
13:  for i = 0..(n-1) do
14:    dest[i][0]  $\leftarrow$  a[i] * vec[i][0]
15:    vec[i][1]  $\leftarrow$  -1 * vec[i][1]
16:    dest[i][1]  $\leftarrow$  a[i] * vec[i][1]
```

called from each signing and verification thread.

This means that in the signing procedure there are 2 opportunities for implementing batched partial-inversion with a batch size of 248 elements. In the verify procedure, however, there are 3 opportunities for implementing batched inversion with a batch size of roughly 124 elements.

3.2 Implementation

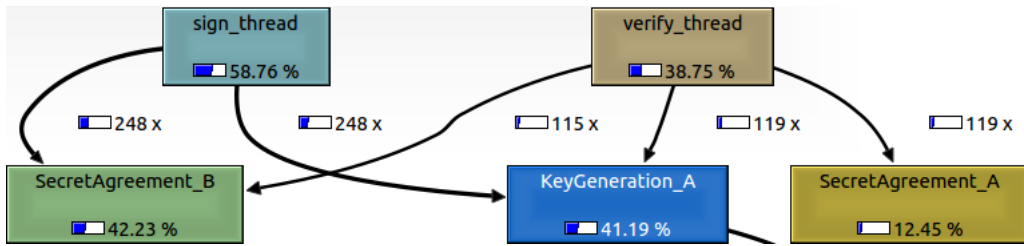


Figure 3.1: ¡Caption here¿

3.3 Results

Two different machines were used for benchmarking. System A denotes a single-core, 1.70 GHz Intel Celeron CPU. System B denotes a quad-core, 3.1 GHz AMD A8-7600.

The two figures below provide benchmarks for KeyGen, Sign, and Verify procedures with both batched partial inversion implemented (in the previously mentioned locations)

and not implemented. All benchmarks are averages computed from 100 randomized sample runs. All results are measured in clock cycles.

Procedure	System A Without Batching	System A With Batching
KeyGen	68,881,331	68,881,331
Signature Sign	15,744,477,032	15,565,738,003
Signature Verify	11,183,112,648	10,800,158,871

Procedure	System B Without Batching	System B With Batching
KeyGen	84,499,270	84,499,270
Signature Sign	10,227,466,210	10,134,441,024
Signature Verify	7,268,804,442	7,106,663,106

System A: With inversion batching turned on we notice a 1.1 % performance increase for key signing and a 3.5 % performance increase for key verification.

System B: With inversion batching turned on we observe a 0.9 % performance increase for key signing and a 2.3 % performance increase for key verification.

3.3.1 Analysis

It should first be noted that, because our benchmarks are measured in terms of clock cycles, the difference between our two system clock speeds should be essentially ineffective.

In the following table, "Batched Inversion" signifies running the batched partial-inversion procedure on 248 \mathbb{F}_{p^2} elements. The procedure uses the binary GCD \mathbb{F}_p inversion function which, unlike regular \mathbb{F}_{p^2} montgomery inversion, is not constant time.

Procedure	Performance
Batched Inversion	1721718
\mathbb{F}_{p^2} Montgomery Inversion	874178

Do performance increases observed make sense?

Chapter 4

Compressed Signatures

4.1 Compression of Public Keys

We discussed rejection sampling A values from signature public keys until we found an A that was also the x-coord of a point. After some simple analysis, however, we found that it was extremely unlikely for A to be a point on the curve.

4.1.1 ;Sub-section title;

4.1.2 ;Sub-section title;

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4.1.3 ;Sub-section title;

4.1.4 ;Sub-section title;

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4.1.5 ;Sub-section title;

4.2 Implementation

4.3 Results

Chapter 5

Discussion & Conclusion

5.1 Results & Comparisons

5.2 Additional Opportunities for Batching

5.3 Future Work

¡Conclusion here¿

Acknowledgments

¡Acknowledgements here¿

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