

# Bio-Medical Waste Management Rules, 2016

UNION OF INDIA

India

## Bio-Medical Waste Management Rules, 2016

### Rule BIO-MEDICAL-WASTE-MANAGEMENT-RULES-2016 of 2016

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Bio-Medical Waste Management Rules, 2016 Published vide Notification No. G.S.R. 343(E), dated 28th March, 2016 Last Updated 23rd February, 2019 Ministry of Environment, Forest and Climate Change G.S.R. 343(E). - Whereas the Bio-Medical Waste (Management and Handling) Rules, 1998 was published vide notification number S.O. 630 (E) dated the 20th July, 1998, by the Government of India in the erstwhile Ministry of Environment and Forests, provided a regulatory frame work for management of bio-medical waste generated in the country; And whereas, to implement these rules more effectively and to improve the collection, segregation, processing, treatment and disposal of these bio-medical wastes in an environmentally sound management thereby, reducing the biomedical waste generation and its impact on the environment, the Central Government reviewed the existing rules; And whereas, in exercise of the powers conferred by sections 6, 8 and 25 of the Environment (Protection) Act, 1986 (29 of 1986), the Central Government published the draft rules in the Gazette vide number G.S.R. 450 (E), dated the 3rd June, 2015 inviting objections or suggestions from the public within sixty days from the date on which copies of the Gazette containing the said notification were made available to the public; And whereas, the copies of the Gazette containing the said draft rules were made available to the public on the 3rd June, 2015; And whereas, the objections or comments received within the specified period from the public in respect of the said draft rules have been duly considered by the Central Government; Now, therefore, in exercise of the powers conferred by section 6, 8 and 25 of the Environment (Protection) Act, 1986 (29 of 1986), and in supersession of the Bio-Medical Waste (Management and Handling) Rules, 1998, except as respects things done or omitted to be done before such suppression, the Central Government hereby makes the following rules, namely:-

#### 1. Short title and commencement.

(1) these rules may be called the Bio-Medical Waste Management Rules, 2016. (2) They shall come into force on the date of their publication in the Official Gazette.

## 2. Application.

(1) These rules shall apply to all persons who generate, collect, receive, store, transport, treat, dispose, or handle bio medical waste in any form including hospitals, nursing homes, clinics, dispensaries, veterinary institutions, animal houses, pathological laboratories, blood banks, ayush hospitals, clinical establishments, research or educational institutions, health camps, medical or surgical camps, vaccination camps, blood donation camps, first aid rooms of schools, forensic laboratories and research labs. (2). These rules shall not apply to, - (a) radioactive wastes as covered under the provisions of the Atomic Energy Act, 1962 (33 of 1962) and the rules made there under; (b) hazardous chemicals covered under the Manufacture, Storage and Import of Hazardous Chemicals Rules, 1989 made under the Act; (c) solid wastes covered under the [Solid Waste Management Rules, 2016] [Substituted 'Municipal Solid Waste (Management and Handling) Rules, 2000' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] made under the Act; (d) the lead acid batteries covered under the Batteries (Management and Handling) Rules, 2001 made under the Act; (e) hazardous wastes covered under the [Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016] [Substituted 'Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] made under the Act; (f) waste covered under the [E-Waste (Management) Rules, 2016] [Substituted 'e-Waste (Management and Handling) Rules, 2011' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] made under the Act; and (g) hazardous micro organisms, genetically engineered micro organisms and cells covered under the Manufacture, Use, Import, Export and Storage of Hazardous Microorganisms, Genetically Engineered Micro organisms or Cells Rules, 1989 made under the Act.

## 3. Definitions.

- In these rules, unless the context otherwise requires, - (a) "Act" means the Environment (Protection) Act, 1986 (29 of 1986); (b) "animal house" means a place where animals are reared or kept for the purpose of experiments or testing; (c) "authorisation" means permission granted by the prescribed authority for the generation, collection, reception, storage, transportation, treatment, processing, disposal or any other form of handling of bio-medical waste in accordance with these rules and guidelines issued by the Central Government or Central Pollution Control Board as the case may be; (d) "authorised person" means an occupier or operator authorised by the prescribed authority to generate, collect, receive, store, transport, treat, process, dispose or handle bio-medical waste in accordance with these rules and the guidelines issued by the Central Government or the Central Pollution Control Board, as the case may be; (e) "biological" means any preparation made from organisms or micro-organisms or product of metabolism and biochemical reactions intended for use in the diagnosis, immunisation or the treatment of human beings or animals or in research activities pertaining thereto; (f) "bio-medical waste" means any waste, which is generated during the diagnosis, treatment or immunisation of human beings or animals or research activities pertaining thereto or in the production or testing of biological or in health camps, including the categories mentioned in Schedule I appended to these rules; (g) "bio-medical waste treatment and disposal facility" means any facility wherein treatment, disposal of bio-medical waste or processes incidental to such treatment and disposal is carried out, and includes common bio-medical waste treatment

facilities;(h)"Form" means the Form appended to these rules;(i)"handling" in relation to bio-medical waste includes the generation, sorting, segregation, collection, use, storage, packaging, loading, transportation, unloading, processing, treatment, destruction, conversion, or offering for sale, transfer, disposal of such waste;(j)"health care facility" means a place where diagnosis, treatment or immunisation of human beings or animals is provided irrespective of type and size of health treatment system, and research activity pertaining thereto;(k)"major accident" means accident occurring while handling of bio-medical waste having potential to affect large masses of public and includes toppling of the truck carrying bio-medical waste, accidental release of bio-medical waste in any water body but exclude accidents like needle prick injuries, mercury spills;(l)"management" includes all steps required to ensure that bio-medical waste is managed in such a manner as to protect health and environment against any adverse effects due to handling of such waste;(m)"occupier" means a person having administrative control over the institution and the premises generating biomedical waste, which includes a hospital, nursing home, clinic, dispensary, veterinary institution, animal house, pathological laboratory, blood bank, health care facility and clinical establishment, irrespective of their system of medicine and by whatever name they are called;(n)"operator of a common bio-medical waste treatment facility" means a person who owns or controls a Common Bio-medical Waste Treatment Facility (CBMWTF) for the collection, reception, storage, transport, treatment, disposal or any other form of handling of bio-medical waste;(o)"prescribed authority" means the State Pollution Control Board in respect of a State and Pollution Control Committees in respect of an Union territory;(p)"Schedule" means the Schedule appended to these rules.

#### **4. Duties of the Occupier.**

- It shall be the duty of every occupier to-(a)take all necessary steps to ensure that bio-medical waste is handled without any adverse effect to human health and the environment and in accordance with these rules;(b)make a provision within the premises for a safe, ventilated and secured location for storage of segregated biomedical waste in colored bags or containers in the manner as specified in Schedule I, to ensure that there shall be no secondary handling, pilferage of recyclables or inadvertent scattering or spillage by animals and the bio-medical waste from such place or premises shall be directly transported in the manner as prescribed in these rules to the common bio-medical waste treatment facility or for the appropriate treatment and disposal, as the case may be, in the manner as prescribed in Schedule I;(c)pre-treat the laboratory waste, microbiological waste, blood samples and blood bags through disinfection or sterilisation on-site in the manner as prescribed by the World Health Organisation (WHO) [guidelines on Safe management of wastes from health care activities and WHO Blue Book, 2014 and then sent to the Common bio-medical waste treatment facility for final disposal] [Substituted 'or National AIDs Control Organisation (NACO) guidelines and then sent to the common bio-medical waste treatment facility for final disposal' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).];(d)[ phase out use of chlorinated plastic bags (excluding blood bags) and gloves by the 27th March, 2019;] [Substituted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).][Explanation. [Inserted by Notification No. G.S.R. 360(E), dated 10.5.2019 (w.e.f. 28.3.2016).] - For removal of doubts, it is hereby clarified that the expression "Chlorinated plastic bags" shall not include urine bags, effluent bags, abdominal bags and chest drainage bags.](e)dispose of solid waste other than bio-medical waste in accordance with

the provisions of respective waste management rules made under the relevant laws and amended from time to time;(f)not to give treated bio-medical waste with municipal solid waste;(g)provide training to all its health care workers and others, involved in handling of bio medical waste at the time of induction and thereafter at least once every year and the details of training programmes conducted, number of personnel trained and number of personnel not undergone any training shall be provided in the Annual Report;(h)immunise all its health care workers and others, involved in handling of bio-medical waste for protection against diseases including Hepatitis B and Tetanus that are likely to be transmitted by handling of bio-medical waste, in the manner as prescribed in the National Immunisation Policy or the guidelines of the Ministry of Health and Family Welfare issued from time to time;(i)establish a Bar-Code System for bags or containers containing bio-medical waste to be sent out of the premises or [for the further treatment and disposal in accordance with the guidelines issued by the Central Pollution Control Board by 27th March, 2019;] [Substituted 'place for any purpose within one year from the date of the notification of these rules' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).](j)ensure segregation of liquid chemical waste at source and ensure pre-treatment or neutralisation prior to mixing with other effluent generated from health care facilities;(k)ensure treatment and disposal of liquid waste in accordance with the Water (Prevention and Control of Pollution) Act, 1974 ( 6 of 1974);(l)ensure occupational safety of all its health care workers and others involved in handling of bio-medical waste by providing appropriate and adequate personal protective equipments;(m)conduct health check up at the time of induction and at least once in a year for all its health care workers and others involved in handling of bio-medical waste and maintain the records for the same;(n)[ in case of all bedded health care units, maintain and update on day to day basis the bio-medical waste management register and display the monthly record on its website according to the bio-medical waste generated in terms of category and colour coding as specified in Schedule I;] [Substituted by Notification No. G.S.R. 129(E), dated 19.2.2019 (w.e.f. 28.3.2016).](o)report major accidents including accidents caused by fire hazards, blasts during handling of bio-medical waste and the remedial action taken and the records relevant thereto, (including nil report) in Form I to the prescribed authority and also along with the annual report;(p)[ in case of all bedded health care facilities (any number of beds), make available the annual report on its web-site within a period of two years from the date of publication of the Bio-Medical Waste Management (Amendment) Rules, 2018;] [Substituted by Notification No. G.S.R. 129(E), dated 19.2.2019 (w.e.f. 28.3.2016).](q)inform the prescribed authority immediately in case the operator of a facility does not collect the bio-medical waste within the intended time or as per the agreed time;(r)establish a system to review and monitor the activities related to bio-medical waste management, either through an existing committee or by forming a new committee and the Committee shall meet once in every six months and the record of the minutes of the meetings of this committee shall be submitted along with the annual report to the prescribed authority and the healthcare establishments having less than thirty beds shall designate a qualified person to review and monitor the activities relating to bio-medical waste management within that establishment and submit the annual report;(s)maintain all record for operation of incineration, hydro or autoclaving etc., for a period of five years;(t)existing incinerators to achieve the standards for treatment and disposal of bio-medical waste as specified in Schedule II for retention time in secondary chamber and Dioxin and Furans within two years from the date of this notification.

## **5. Duties of the operator of a common bio-medical waste treatment and disposal facility.**

- It shall be the duty of every operator to -(a)take all necessary steps to ensure that the bio-medical waste collected from the occupier is transported, handled, stored, treated and disposed of, without any adverse effect to the human health and the environment, in accordance with these rules and guidelines issued by the Central Government or, as the case may be, the central pollution control board from time to time;(b)ensure timely collection of bio-medical waste from the occupier as prescribed under these rules;(c)establish bar coding and global positioning system for handling of bio-medical waste [inaccordance with the guidelines issued by the Central Pollution Control Board by 27th March, 2019] [Substituted 'within one year' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).];(d)inform the prescribed authority immediately regarding the occupiers which are not handing over the segregated bio-medical waste in accordance with these rules;(e)provide training for all its workers involved in handling of bio-medical waste at the time of induction and at least once a year thereafter;(f)assist the occupier in training conducted by them for bio-medical waste management;(g)undertake appropriate medical examination at the time of induction and at least once in a year and immunise all its workers involved in handling of bio-medical waste for protection against diseases, including Hepatitis B and Tetanus, that are likely to be transmitted while handling bio-medical waste and maintain the records for the same;(h)ensure occupational safety of all its workers involved in handling of bio-medical waste by providing appropriate and adequate personal protective equipment;(i)report major accidents including accidents caused by fire hazards, blasts during handling of bio-medical waste and the remedial action taken and the records relevant thereto, (including nil report) in Form I to the prescribed authority and also along with the annual report;(j)maintain a log book for each of its treatment equipment according to weight of batch; categories of waste treated; time, date and duration of treatment cycle and total hours of operation;(k)allow occupier , who are giving waste for treatment to the operator, to see whether the treatment is carried out as per the rules;(l)shall display details of authorisation, treatment, annual report etc on its web-site;(m)after ensuring treatment by autoclaving or microwaving followed by mutilation or shredding, whichever is applicable, the recyclables from the treated bio-medical wastes such as plastics and glass, shall be given to recyclers having valid consent or authorisation or registration from the respective State Pollution Control Board or Pollution Control Committee;(n)supply non-chlorinated plastic coloured bags to the occupier on chargeable basis, if required;(o)common bio-medical waste treatment facility shall ensure collection of biomedical waste on holidays also;(p)maintain all record for operation of incineration, hydroor autoclaving for a period of five years; and(q)upgrade existing incinerators to achieve the standards for retention time in secondary chamber and Dioxin and Furans within two years from the date of this notification.

## **6. Duties of authorities.**

- The Authority specified in column (2) of Schedule-III shall perform the duties as specified in column (3) thereof in accordance with the provisions of these rules.

## 7. Treatment and disposal.

(1) Bio-medical waste shall be treated and disposed of in accordance with Schedule I, and in compliance with the standards provided in Schedule-II by the health care facilities and common bio-medical waste treatment facility. (2) Occupier shall hand over segregated waste as per the Schedule-I to common bio-medical waste treatment facility for treatment, processing and final disposal: Provided that the lab and highly infectious bio-medical waste generated shall be pre-treated by equipment like autoclave or microwave. (3) No occupier shall establish on-site treatment and disposal facility, if a service of common bio-medical waste treatment facility is available at a distance of seventy-five kilometer. (4) In cases where service of the common bio-medical waste treatment facility is not available, the Occupiers shall set up requisite biomedical waste treatment equipment like incinerator, autoclave or microwave, shredder prior to commencement of its operation, as per the authorisation given by the prescribed authority. (5) Any person including an occupier or operator of a common bio medical waste treatment facility, intending to use new technologies for treatment of bio medical waste other than those listed in Schedule I shall request the Central Government for laying down the standards or operating parameters. (6) On receipt of a request referred to in sub-rule (5), the Central Government may determine the standards and operating parameters for new technology which may be published in Gazette by the Central Government. (7) Every operator of common bio-medical waste treatment facility shall set up requisite biomedical waste treatment equipments like incinerator, autoclave or microwave, shredder and effluent treatment plant as a part of treatment, prior to commencement of its operation. (8) Every occupier shall [phase out use of chlorinated plastic bags] [Substituted 'phase out use of non-chlorinated plastic bags' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] within two years from the date of publication of these rules and after two years from such publication of these rules, the chlorinated plastic bags shall not be used for storing and transporting of bio-medical waste and the occupier or operator of a common bio-medical waste treatment facility shall not dispose of such plastics by incineration and the bags used for storing and transporting biomedical waste shall be in compliance with the Bureau of Indian Standards. Till the Standards are published, the carry bags shall be as per [the Plastic Waste Management Rules, 2016.] [Substituted 'the Plastic Waste Management Rules, 2011' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] (9) After ensuring treatment by autoclaving or microwaving followed by mutilation or shredding, whichever is applicable, the recyclables from the treated bio-medical wastes such as plastics and glass shall be given to such recyclers having valid authorisation or registration from the respective prescribed authority. (10) The Occupier or Operator of a common bio-medical waste treatment facility shall maintain a record of recyclable wastes referred to in sub-rule (9) which are auctioned or sold and the same shall be submitted to the prescribed authority as part of its annual report. The record shall be open for inspection by the prescribed authorities. (11) The handling and disposal of all the mercury waste and lead waste shall be in accordance with the respective rules and regulations.

## 8. Segregation, packaging, transportation and storage.

(1) No untreated bio-medical waste shall be mixed with other wastes. (2) The bio-medical waste shall be segregated into containers or bags at the point of generation in accordance with Schedule I prior

to its storage, transportation, treatment and disposal.(3)The containers or bags referred to in sub-rule (2) shall be labeled as specified in Schedule IV.(4)Bar code and global positioning system shall be added by the Occupier and common bio-medical waste treatment facility in one year time.(5)The operator of common bio-medical waste treatment facility shall transport the bio-medical waste from the premises of an occupier to any off-site bio-medical waste treatment facility only in the vehicles having label as provided in part 'A' of the Schedule IV along with necessary information as specified in part 'B' of the Schedule IV.(6)The vehicles used for transportation of bio-medical waste shall comply with the conditions if any stipulated by the State Pollution Control Board or Pollution Control Committee in addition to the requirement contained in the Motor Vehicles Act, 1988 (59 of 1988), if any or the rules made there under for transportation of such infectious waste.(7)Untreated human anatomical waste, animal anatomical waste, soiled waste and, biotechnology waste shall not be stored beyond a period of forty -eight hours:Provided that in case for any reason it becomes necessary to store such waste beyond such a period, the occupier shall take appropriate measures to ensure that the waste does not adversely affect human health and the environment and inform the prescribed authority along with the reasons for doing so.(8)Microbiology waste and all other clinical laboratory waste shall be pre-treated by sterilisation to Log 6 or disinfection to Log 4, as per the World Health Organisation guidelines before packing and sending to the common bio-medical waste treatment facility.

## **9. Prescribed authority.**

(1)The prescribed authority for implementation of the provisions of these rules shall be the State Pollution Control Boards in respect of States and Pollution Control Committees in respect of Union territories.(2)The prescribed authority for enforcement of the provisions of these rules in respect of all health care establishments including hospitals, nursing homes, clinics, dispensaries, veterinary institutions, animal houses, pathological laboratories and blood banks of the Armed Forces under the Ministry of Defence shall be the Director General, Armed Forces Medical Services, who shall function under the supervision and control of the Ministry of Defence.(3)The prescribed authorities shall comply with the responsibilities as stipulated in Schedule III of these rules.

## **10. Procedure for authorisation.**

- Every occupier or operator handling bio-medical waste, irrespective of the quantity shall make an application in Form II to the prescribed authority i.e. State Pollution Control Board and Pollution Control Committee, as the case may be, for grant of authorisation and the prescribed authority shall grant the provisional authorisation in Form III and the validity of such authorisation for bedded health care facility and operator of a common facility shall be synchronised with the validity of the consents.(1)The authorisation shall be one time for non-bedded occupiers and the authorisation in such cases shall be deemed to have been granted, if not objected by the prescribed authority within a period of ninety days from the date of receipt of duly completed application along with such necessary documents.(2)In case of refusal of renewal, cancellation or suspension of the authorisation by the prescribed authority, the reasons shall be recorded in writing:Provided that the prescribed authority shall give an opportunity of being heard to the applicant before such refusal of the authorisation.(3)Every application for authorisation shall be disposed of by the prescribed

authority within a period of ninety days from the date of receipt of duly completed application along with such necessary documents, failing which it shall be deemed that the authorisation is granted under these rules.(4)In case of any change in the bio-medical waste generation, handling, treatment and disposal for which authorisation was earlier granted, the occupier or operator shall intimate to the prescribed authority about the change or variation in the activity and shall submit a fresh application in Form II for modification of the conditions of authorisation.

## **11. Advisory Committee.**

(1)Every State Government or Union territory Administration shall constitute an Advisory Committee for the respective State or Union territory under the chairmanship of the respective health secretary to oversee the implementation of the rules in the respective state and to advice any improvements and the Advisory Committee shall include representatives from the Departments of Health, Environment, Urban Development, Animal Husbandry and Veterinary Sciences of that State Government or Union territory Administration, State Pollution Control Board or Pollution Control Committee, urban local bodies or local bodies or Municipal Corporation, representatives from Indian Medical Association, common bio-medical waste treatment facility and non-governmental organisation.(2)Notwithstanding anything contained in sub-rule (1), the Ministry of Defence shall constitute the Advisory Committee (Defence) under the chairmanship of Director General of Health Services of Armed Forces consisting of representatives from the Ministry of Defence, Ministry of Environment, Forest and Climate Change, Central Pollution Control Board, Ministry of Health and Family Welfare, Armed Forces Medical College or Command Hospital.(3)The Advisory Committee constituted under sub-rule (1) and (2) shall meet at least once in six months and review all matters related to implementation of the provisions of these rules in the State and Armed Forces Health Care Facilities, as the case may be.(4)The Ministry of Health and Defence may co-opt representatives from the other Governmental and nongovernmental organisations having expertise in the field of bio-medical waste management.

## **12. Monitoring of implementation of the rules in health care facilities.**

(1)The Ministry of Environment, Forest and Climate Change shall review the implementation of the rules in the country once in a year through the State Health Secretaries and Chairmen or Member Secretary of State Pollution Control Boards and Central Pollution Control Board and the Ministry may also invite experts in the field of bio-medical waste management, if required.(2)The Central Pollution Control Board shall monitor the implementation of these rules in respect of all the Armed Forces health care establishments under the Ministry of Defence.(3)The Central Pollution Control Board along with one or more representatives of the Advisory Committee constituted under sub-rule (2) of rule 11, may inspect any Armed Forces health care establishments after prior intimation to the Director General Armed Forces Medical Services.(4)Every State Government or Union territory Administration shall constitute District Level Monitoring Committee in the districts under the chairmanship of District Collector or District Magistrate or Deputy Commissioner or Additional District Magistrate to monitor the compliance of the provisions of these rules in the health care facilities generating bio-medical waste and in the common bio-medical waste treatment and disposal facilities, where the bio-medical waste is treated and disposed of.(5)The District Level



Monitoring Committee constituted under sub-rule (4) shall submit its report once in six months to the State Advisory Committee and a copy thereof shall also be forwarded to State Pollution Control Board or Pollution Control Committee concerned for taking further necessary action.(6)The District Level Monitoring Committee shall comprise of District Medical Officer or District Health Officer, representatives from State Pollution Control Board or Pollution Control Committee, Public Health Engineering Department, local bodies or municipal corporation, Indian Medical Association, common bio-medical waste treatment facility and registered non-governmental organisations working in the field of bio-medical waste management and the Committee may co-opt other members and experts, if necessary and the District Medical Officer shall be the Member Secretary of this Committee.

### **13. Annual report.**

(1)Every occupier or operator of common bio-medical waste treatment facility shall submit an annual report to the prescribed authority in Form-IV, on or before the 30th June of every year.(2)The prescribed authority shall compile, review and analyse the information received and send this information to the [Central Pollution Control Board in Form IVA before] [Substituted 'Central Pollution Control Board on or before' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] the 31st July of every year.(3)The Central Pollution Control Board shall compile, review and analyse the information received and send this information, along with its comments or suggestions or observations to the Ministry of Environment, Forest and Climate Change on or before 31st August every year.(4)The Annual Reports shall also be available online on the websites of Occupiers, State Pollution Control Boards and Central Pollution Control Board.

### **14. Maintenance of records.**

(1)Every authorised person shall maintain records related to the generation, collection, reception, storage, transportation, treatment, disposal or any other form of handling of bio-medical waste, for a period of five years, in accordance with these rules and guidelines issued by the Central Government or the Central Pollution Control Board or the prescribed authority as the case may be.(2)All records shall be subject to inspection and verification by the prescribed authority or the Ministry of Environment, Forest and Climate Change at any time.

### **15. Accident reporting.**

(1)In case of any major accident at any institution or facility or any other site while handling bio-medical waste, the authorised person shall intimate immediately to the prescribed authority about such accident and forward a report within twenty-four hours in writing regarding the remedial steps taken in Form I.(2)Information regarding all other accidents and remedial steps taken shall be provided in the annual report in accordance with rule 13 by the occupier.

## 16. Appeal.

(1) Any person aggrieved by an order made by the prescribed authority under these rules may, within a period of thirty days from the date on which the order is communicated to him, prefer an appeal in Form V to the Secretary (Environment) of the State Government or Union territory administration.

(2) Any person aggrieved by an order of the Director General Armed Forces Medical Services under these rules may, within thirty days from the date on which the order is communicated to him, prefer an appeal in Form V to the Secretary, Ministry of Environment, Forest and Climate Change.

(3) The authority referred to in sub-para (1) and (2) as the case may be, may entertain the appeal after the expiry of the said period of thirty days, if it is satisfied that the appellant was prevented by sufficient cause from filing the appeal in time.

(4) The appeal shall be disposed of within a period of ninety days from the date of its filing.

## 17. Site for common bio-medical waste treatment and disposal facility.

(1) Without prejudice to rule 5 of these rules, the department in the business allocation of land assignment shall be responsible for providing suitable site for setting up of common biomedical waste treatment and disposal facility in the State Government or Union territory Administration.

(2) The selection of site for setting up of such facility shall be made in consultation with the prescribed authority, other stakeholders and in accordance with guidelines published by the Ministry of Environment, Forest and Climate Change or Central Pollution Control Board.

## 18. Liability of the occupier, operator of a facility.

(1) The occupier or an operator of a common bio-medical waste treatment facility shall be liable for all the damages caused to the environment or the public due to improper handling of bio-medical wastes.

(2) The occupier or operator of common bio-medical waste treatment facility shall be liable for action under section 5 and section 15 of the Act, in case of any violation.

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[See rules 3 (e), 4(b), 7(1), 7(2), 7(5), 7 (6) and 8(2)] Part-1 Biomedical wastes categories and their segregation, collection, treatment, processing and disposal options

Category	Type of Waste	Type of Bag or Container to be used	Treatment and Disposal options
(1)	(2)	(3)	(4)
Yellow	(a) Human Anatomical Waste: Human tissues, organs, body parts and fetus below the viability period (as per the Medical Termination of	Yellow coloured non-chlorinated plastic bags	Incineration or Plasma Pyrolysis or deep burial*

Pregnancy Act 1971,  
amended from time to  
time).

(b) Animal Anatomical Waste  
: Experimental animal  
carcasses, body parts, organs,  
tissues, including the waste  
generated from animals used  
in experiments or testing  
in veterinary hospitals or  
colleges or animal houses.

(c) Soiled Waste: Items  
contaminated with blood, body  
fluids like dressings, plaster  
casts, cotton swabs and bags  
containing residual or  
discarded blood and  
blood components.

(d) Expired or Discarded  
Medicines: Pharmaceutical  
waste like antibiotics,  
cytotoxic drugs including all  
items contaminated with  
cytotoxic drugs along with  
glass or plastic ampoules, vials  
etc.

Yellow coloured  
non-chlorinated plastic  
bags or containers

Incineration or Plasma  
Pyrolysis or  
deep burial\* In absence  
of above facilities,  
autoclaving  
or micro-waving/  
hydroclaving followed  
by shredding or  
mutilation  
or combination of  
sterilization and  
shredding. Treated  
waste to be sent for  
energy recovery.

Expired cytotoxic  
drugs and items  
contaminated with  
cytotoxic drugs to be  
returned back to the  
manufacturer  
or supplier for  
incineration at  
temperature  
>1200°C or to  
common bio-medical  
waste treatment  
facility or  
hazardous waste  
treatment, storage and  
disposal facility for  
incineration at  
>1200°C Or

		Encapsulation or Plasma Pyrolysis at >12000C. All other discarded medicines shall be either sent back to manufacturer or disposed by incineration.
(e) Chemical Waste: Chemicals used in production of biological and used or discarded disinfectants.	Yellow coloured containers or non-chlorinated plastic bags	Disposed of by incineration or Plasma Pyrolysis or Encapsulation in hazardous waste treatment, storage and disposal facility.
	(f) Chemical Liquid Waste: Liquid waste generated due to use of chemicals in production of biological and used or discarded disinfectants, Silver X-ray film developing liquid, discarded Formalin, infected secretions, aspirated body fluids, liquid from laboratories and floor washings, cleaning, housekeeping and disinfecting activities etc.	After resource recovery, the chemical liquid waste shall be pre-treated before mixing with other wastewater. The combined discharge shall conform to the discharge norms given in Schedule-III.
	(g) Discarded linen, mattresses, beddings contaminated with blood or body fluid[, routine mask and gown.] [Inserted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]	Non-chlorinated yellow plastic bags or suitable packing material  Non-chlorinated chemical disinfection followed by incineration or Plasma Pyrolysis or for energy recovery. In absence of above facilities, shredding or mutilation or combination of

			sterilization and shredding. Treated waste to be sent for energy recovery or incineration or Plasma Pyrolysis. Pre-treat to sterilize with non-chlorinated chemicals on-site [as per World Health Organisation guidelines on Safe management of wastes from health care activities and WHO Blue Book, 2014 and thereafter sent for incineration] [Substituted 'as per National AIDS Control Organisation or World Health Organisation guidelines thereafter for Incineration.' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]
	(h) Microbiology, Biotechnology and other clinical laboratory waste: Blood bags, Laboratory cultures, stocks or specimens of microorganisms, live or attenuated vaccines, human and animal cell cultures used in research, industrial laboratories, production of biological, residual toxins, dishes and devices used for cultures.	[Autoclave or Microwave or Hydroclave safe plastic bags or containers] [Substituted 'Autoclave safe plastic bags or containers' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]	
Red	Contaminated Waste (Recyclable) (a) Wastes generated from disposable items such as tubing, bottles, intravenous tubes and sets, catheters, urine bags, syringes (without	Red coloured non-chlorinated plastic bags or containers	Autoclaving or micro-waving/hydroclaving followed by shredding or mutilation or combination of sterilization and shredding.

	needles and fixed needle syringes) and vacutainers with their needles cut) and gloves.		Treated waste to be sent to registered or authorized recyclers or for energy recovery or plastics to diesel or fuel oil or for road making, whichever is possible. Plastic waste should not be sent to landfill sites.
			Autoclaving or Dry Heat Sterilization followed by shredding or mutilation or encapsulation in metal container or cement concrete; combination of shredding cum autoclaving; and sent for final disposal to iron foundries (having consent to operate from the State Pollution Control Boards or Pollution Control Committees) or sanitary landfill or designated concrete waste sharp pit.
White (Translucent)	Waste sharps including Metals: Needles, syringes with fixed needles, needles from needle tip cutter or burner, scalpels, blades, or any other contaminated sharp object that may cause puncture and cuts. This includes both used, discarded and contaminated metal sharps	Puncture proof, Leak proof, tamper proof containers	
Blue	(a) Glassware: Broken or discarded and contaminated glass	(a) [ Puncture proof and leak proof boxes or containers with blue washed glass	Disinfection (by soaking the

including medicine vials and ampoules except those contaminated with cytotoxic wastes.	colored marking] [Substituted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]	waste after cleaning with detergent and Sodium Hypochlorite treatment) or through autoclaving or microwaving or hydroclaving and then sent for recycling.
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(b) [ Puncture proof and leak proof boxes or containers with blue colored marking] [Substituted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]

(b) Metallic Body Implants

\*Disposal by deep burial is permitted only in rural or remote areas where there is no access to common biomedical waste treatment facility. This will be carried out with prior approval from the prescribed authority and as per the Standards specified in [Schedule-II] [Substituted 'Schedule-III' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]. The deep burial facility shall be located as per the provisions and guidelines issued by Central Pollution Control Board from time to time. Part-2 (1) All plastic bags shall be as per BIS standards as and when published, till then the prevailing Plastic Waste Management Rules shall be applicable. (2) Chemical treatment using at least [1% to 2%] [Substituted '10%' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] Sodium Hypochlorite having 30% residual chlorine for twenty minutes or any other equivalent chemical reagent that should demonstrate Log 104 reduction efficiency for microorganisms as given in Schedule-III. (3) Mutilation or shredding must be to an extent to prevent unauthorized reuse. (4) There will be no chemical pretreatment before incineration, except for microbiological, lab and highly infectious waste. (5) Incineration ash (ash from incineration of any bio-medical waste) shall be disposed through hazardous waste treatment, storage and disposal facility, if toxic or hazardous constituents are present beyond the prescribed limits as given in the Hazardous Waste (Management, Handling and Transboundary Movement) Rules, 2008 or as revised from time to time. (6) Dead Fetus below the viability period (as per the Medical Termination of Pregnancy Act 1971, amended from time to time) can be considered as human anatomical waste. Such waste should be handed over to the operator of common bio-medical waste treatment and disposal facility in yellow bag with a copy of the official Medical Termination of Pregnancy certificate from the Obstetrician or the Medical Superintendent of hospital or healthcare establishment. (7) Cytotoxic drug vials shall not be handed over to unauthorised person under any circumstances. These shall be sent back to the manufactures for necessary disposal at a single point. As a second option, these may be sent for incineration at common bio-medical waste treatment and disposal facility or TSDFs or

plasma pyrolysis is at temperature >1200 oC.(8)Residual or discarded chemical wastes, used or discarded disinfectants and chemical sludge can be disposed at hazardous waste treatment, storage and disposal facility. In such case, the waste should be sent to hazardous waste treatment, storage and disposal facility through operator of common bio-medical waste treatment and disposal facility only.(9)On-site pre-treatment of laboratory waste, microbiological waste, blood samples, blood bags should be disinfected or sterilized as per the Guidelines of World Health Organisation or National AIDS Control Organisation and then given to the common bio-medical waste treatment and disposal facility.(10)Installation of in-house incinerator is not allowed. However in case there is no common biomedical facility nearby, the same may be installed by the occupier after taking authorisation from the State Pollution Control Board.(11)Syringes should be either mutilated or needles should be cut and or stored in tamper proof, leak proof and puncture proof containers for sharps storage. Wherever the occupier is not linked to a disposal facility it shall be the responsibility of the occupier to sterilize and dispose in the manner prescribed.(12)Bio-medical waste generated in households during healthcare activities shall be segregated as per these rules and handed over in separate bags or containers to municipal waste collectors. Urban Local Bodies shall have tie up with the common bio-medical waste treatment and disposal facility to pickup this waste from the Material Recovery Facility (MRF) or from the house hold directly, for final disposal in the manner as prescribed in this Schedule.

## II

[See rule 4(t), 7(1) and 7(6)]Standards for Treatment and Disposal of Bio-Medicalwastess

### 1. Standards For Incineration. -

All incinerators shall meet the following operating and emission standards-A. Operating Standards(1). Combustion efficiency (CE) shall be at least 99.00%.(2). The Combustion efficiency is computed as follows:%CO<sub>2</sub>

$$C.E. = \frac{\%CO_2}{\%CO_2 + \%CO} \times 100$$

%CO<sub>2</sub> + % CO(3). The temperature of the primary chamber shall be a minimum of 800 oC and the secondary chamber shall be minimum of 1050oC + or - 50oC.(4). The secondary chamber gas residence time shall be at least two seconds.B. Emission Standards

Sl. No.	Parameter	Standards	
(1)	(2)	(3)	(4)
		Limiting concentration in[mg/Nm <sup>3</sup> ] [Substituted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]unlessstated	Sampling Duration in minutes, unless stated 30 or 1NM <sub>3</sub> ofsample volume, whichever is more
1.	Particulate matter	50	



2.	Nitrogen Oxides NO and NO <sub>2</sub> expressed as NO <sub>2</sub>	400	30 for online sampling or grab sample
3.	HCl	50	30 or 1NM <sup>3</sup> of sample volume, whichever is more
4.	Total Dioxins and Furans	0.1ngTEQ/Nm <sup>3</sup> (at 11% O <sub>2</sub> )	8 hours or 5NM <sup>3</sup> of sample volume, whichever is more
5.	Hg and its compounds	0.05	2 hours or 1NM <sup>3</sup> of sample volume, whichever is more

C. Stack Height. - Minimum stack height shall be 30 meters above the ground and shall be attached with the necessary monitoring facilities as per requirement of monitoring of 'general parameters' as notified under the Environment (Protection) Act, 1986 and in accordance with the Central Pollution Control Board Guidelines of Emission Regulation Part-III. Note: (a) The existing incinerators shall comply with the above within a period of two years from the date of the notification. (b) The existing incinerators shall comply with the standards for Dioxins and Furans of 0.1ngTEQ/Nm<sup>3</sup>, as given below within two years from the date of commencement of these rules. (c) All upcoming common bio-medical waste treatment facilities having incineration facility or captive incinerator shall comply with standards for Dioxins and Furans. (d) The existing secondary combustion chambers of the incinerator and the pollution control devices shall be suitably retrofitted, if necessary, to achieve the emission limits. (e) Wastes to be incinerated shall not be chemically treated with any chlorinated disinfectants. (f) Ash from incineration of biomedical waste shall be disposed of at common hazardous waste treatment and disposal facility. However, it may be disposed of in municipal landfill, if the toxic metals in incineration ash are within the regulatory quantities as defined under the Hazardous Waste (Management and Handling and Transboundary Movement) Rules, 2008 as amended from time to time. (g) Only low Sulphur fuel like Light Diesel Oil or Low Sulphur Heavy Stock or Diesel, Compressed Natural Gas, Liquefied Natural Gas or Liquefied Petroleum Gas shall be used as fuel in the incinerator. (h) The occupier or operator of a common bio-medical waste treatment facility shall monitor the stack gaseous emissions (under optimum capacity of the incinerator) once in three months through a laboratory approved under the Environment (Protection) Act, 1986 and record of such analysis results shall be maintained and submitted to the prescribed authority. In case of dioxins and furans, monitoring should be done once in a year. (i) The occupier or operator of the common bio-medical waste treatment facility shall install continuous

emission monitoring system for the parameters as stipulated by State Pollution Control Board or Pollution Control Committees in authorisation and transmit the data real time to the servers at State Pollution Control Board or Pollution Control Committees and Central Pollution Control Board.(j)All monitored values shall be corrected to 11% Oxygen on dry basis.(k)Incinerators (combustion chambers) shall be operated with such temperature, retention time and turbulence, as to achieve Total Organic Carbon content in the slag and bottom ashes less than 3% or their loss on ignition shall be less than 5% of the dry weight.(l)The occupier or operator of a common bio-medical waste incinerator shall use combustion gas analyzer to measure CO<sub>2</sub>, CO and O<sub>2</sub>.

## **2. Operating and Emission Standards for Disposal by Plasma Pyrolysis or Gasification:**

A. Operating Standards:All the operators of the Plasma Pyrolysis or Gasification shall meet the following operating and emission standards:(1)Combustion Efficiency (CE) shall be at least 99.99%.(2)The Combustion Efficiency is computed as follows.%CO<sub>2</sub> C.E =

..... X 100

(%CO<sub>2</sub> + % CO)(3)The temperature of the combustion chamber after plasma gasification shall be 1050 ± 50 °C with gas residence time of at least 2(two) second, with minimum 3 % Oxygen in the stack gas.(4)The Stack height should be minimum of 30 m above ground level and shall be attached with the necessary monitoring facilities as per requirement of monitoring of 'general parameters' as notified under the Environment (Protection) Act, 1986 and in accordance with the CPCB Guidelines of Emission Regulation Part-III.B. Air Emission Standards and Air Pollution Control Measures(i)Emission standards for incinerator, notified at Sl No.1 above in this Schedule, and revised from time to time, shall be applicable for the Plasma Pyrolysis or Gasification also.(ii)Suitably designed air pollution control devices shall be installed or retrofitted with the 'Plasma Pyrolysis or Gasification to achieve the above emission limits, if necessary.(iii)Wastes to be treated using Plasma Pyrolysis or Gasification shall not be chemically treated with any chlorinated disinfectants and chlorinated plastics shall not be treated in the system.C. Disposal of Ash Vitriified Material. - The ash or vitriified material generated from the 'Plasma Pyrolysis or Gasification shall be disposed off in accordance with the Hazardous Waste (Management, Handling and Transboundary Movement) Rules 2008 and revisions made thereafter in case the constituents exceed the limits prescribed under Schedule II of the said Rules or else in accordance with the provisions of the Environment (Protection) Act, 1986, whichever is applicable.

## **3. Standards for Autoclaving of Bio-Medical Waste. - The autoclave should be dedicated for the purposes of disinfecting and treating bio-medical waste.**

(1)When operating a gravity flow autoclave, medical waste shall be subjected to:(i)a temperature of not less than 1210 °C and pressure of 15 pounds per square inch (psi) for an autoclave residence time of not less than 60 minutes; or(ii)a temperature of not less than 1350 °C and a pressure of 31 psi for an autoclave residence time of not less than 45 minutes; or(iii)a temperature of not less than 1490 °C and a pressure of 52 psi for an autoclave residence time of not less than 30 minutes.(2)When

operating a vacuum autoclave, medical waste shall be subjected to a minimum of three pre-vacuum pulse to purge the autoclave of all air. The air removed during the pre-vacuum, cycle should be decontaminated by means of HEPA and activated carbon filtration, steam treatment, or any other method to prevent release of pathogen. The waste shall be subjected to the following: (i) a temperature of not less than 121°C and pressure of 15 psi per an autoclave residence time of not less than 45 minutes; or (ii) a temperature of not less than 135°C and a pressure of 31 psi for an autoclave residence time of not less than 30 minutes; (3) Medical waste shall not be considered as properly treated unless the time, temperature and pressure indicators indicate that the required time, temperature and pressure were reached during the autoclave process. If for any reasons, time temperature or pressure indicator indicates that the required temperature, pressure or residence time was not reached, the entire load of medical waste must be autoclaved again until the proper temperature, pressure and residence time were achieved. (4) Recording of operational parameters. - Each autoclave shall have graphic or computer recording devices which will automatically and continuously monitor and record dates, time of day, load identification number and operating parameters throughout the entire length of the autoclave cycle. (5) Validation test for autoclave. - The validation test shall use four biological indicator strips, one shall be used as a control and left at room temperature, and three shall be placed in the approximate center of three containers with the waste. Personal protective equipment (gloves, face mask and coveralls) shall be used when opening containers for the purpose of placing the biological indicators. At least one of the containers with a biological indicator should be placed in the most difficult location for steam to penetrate, generally the bottom center of the waste pile. The occupier or operator shall conduct this test three consecutive times to define the minimum operating conditions. The temperature, pressure and residence time at which all biological indicator vials or strips for three consecutive tests show complete inactivation of the spores shall define the minimum operating conditions for the autoclave. After determining the minimum temperature, pressure and residence time, the occupier or operator of a common biomedical waste treatment facility shall conduct this test once in three months and records in this regard shall be maintained. (6) Routine Test. - A chemical indicator strip or tape that changes colour when a certain temperature is reached can be used to verify that a specific temperature has been achieved. It may be necessary to use more than one strip over the waste package at different locations to ensure that the inner content of the package has been adequately autoclaved. The occupier or operator of a common bio medical waste treatment facility shall conduct this test during autoclaving of each batch and records in this regard shall be maintained. (7) Spore testing. - The autoclave should completely and consistently kill the approved biological indicator at the maximum design capacity of each autoclave unit. Biological indicator for autoclave shall be *Geobacillus stearothermophilus* spores using vials or spore Strips; with at least 1X10<sup>6</sup> spores. Under no circumstances will an autoclave have minimum operating parameters less than a residence time of 30 minutes, a temperature less than 121°C or a pressure less than 15 psi. The occupier or operator of a common bio medical waste treatment and disposal facility shall conduct this test at least once in every week and records in this regard shall be maintained.

**4. Standards of Microwaving. - (1) Microwave treatment shall not be used for cytotoxic, hazardous or radioactive wastes, contaminated animal carcasses, body parts and large metal items.**

(2)The microwave system shall comply with the efficacy test or routine tests and a performance guarantee may be provided by the supplier before operation of the limit.(3)The microwave should completely and consistently kill the bacteria and other pathogenic organisms that are ensured by approved biological indicator at the maximum design capacity of each microwave unit. Biological indicators for microwave shall be *Bacillus atrophaeus* spores using vials or spore strips with at least  $1 \times 10^4$  spores per detachable strip. The biological indicator shall be placed with waste and exposed to same conditions as the waste during a normal treatment cycle.

**5. Standards for Deep Burial. - (1) A pit or trench should be dug about two meters deep. It should be half filled with waste, then covered with lime within 50 cm of the surface, before filling the rest of the pit with soil.**

(2)It must be ensured that animals do not have any access to burial sites. Covers of galvanised iron or wire meshes may be used.(3)On each occasion, when wastes are added to the pit, a layer of 10 cm of soil shall be added to cover the wastes.(4)Burial must be performed under close and dedicated supervision.(5)The deep burial site should be relatively impermeable and no shallow well should be close to the site.(6)The pits should be distant from habitation, and located so as to ensure that no contamination occurs to surface water or ground water. The area should not be prone to flooding or erosion.(7)The location of the deep burial site shall be authorised by the prescribed authority.(8)The institution shall maintain a record of all pits used for deep burial.(9)The ground water table level should be a minimum of six meters below the lower level of deep burial pit.

**6. Standards for Efficacy of Chemical Disinfection. - Microbial inactivation efficacy is equated to "Log10 kill" which is defined as the difference between the logarithms of number of test microorganisms before and after chemical treatment. Chemical disinfection methods shall demonstrate a 4 Log 10 reduction or greater for *Bacillus Subtilis* (ATCC 19659) in chemical treatment systems.**

**7. Standards for Dry Heat Sterilization. - Waste sharps can be treated by dry heat sterilization at a temperature not less than 1850C, at least for a residence period of 150 minutes in each cycle, which sterilization period of 90 minutes. There should be automatic recording system to monitor operating parameters.**

(i)Validation test for Sharps sterilization unit. - Waste sharps sterilization unit should completely and consistently kill the biological indicator *Geobacillus Stearothermophilus* or *Bacillus Atropheaus* spores using vials with at least  $\log_{10} 6$  spores per ml. The test shall be carried out once in three months(ii)Routine test. - A chemical indicator strip or tape that changes colour when a certain temperature is reached can be used to verify that a specific temperature has been achieved. It may be necessary to use more than one strip over the waste to ensure that the inner content of the sharps

has been adequately disinfected. This test shall be performed once in week and records in this regard shall be maintained.

**8. Standards for Liquid Waste. - (1) The effluent generated or treated from the premises of occupier or operator of a common bio medical waste treatment and disposal facility, before discharge into the sewer should conform to the following limits-**

Parameters	Permissible Limits
pH	6.5-9.0
Suspended solids	100 mg/l
Oil and grease	10 mg/l
BOD	30 mg/l
COD	250 mg/l
Bio-assay test	90% survival of fish after 96 hours in 100% effluent.

[Note: - 1. Above limits are applicable to the occupiers of Health Care Facilities (bedded) which are either connected with sewerage network without terminal sewage treatment plant or not connected to public sewers. [Inserted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).]

**2. For discharge into public sewers with terminal facilities, the general standards as notified under the Environment (Protection) Act, 1986 (29 of 1986) shall be applicable.**

**3. [ Health Care Facilities having less than ten beds shall have to comply with the output discharge standard for liquid waste by 31st December, 2019.]**

**4. Non-bedded occupiers shall dispose infectious liquid wastes only after treatment by disinfection as per Schedule II (6) of the principal rules.]**

(2)Sludge from Effluent Treatment Plant shall be given to common bio-medical waste treatment facility for incineration or to hazardous waste treatment, storage and disposal facility for disposal.

### III

[See rule 6 and 9(3)]List of Prescribed Authorities and the Corresponding Duties

Sl. No.(1)	Authority(2)	Corresponding Duties(3)
1	Ministry of Environment, Forest and Climate Change, Government of India	(i) Making Policies concerning bio-medical waste Management in the Country including notification of Rules and amendments to the Rules as and

		<p>when required. (ii) Providing financial assistance for training and awareness programmes on bio-medical waste management related activities to for the State Pollution Control Boards or Pollution Control Committees. (iii) Facilitating financial assistance for setting up or upgradation of common bio-medical waste treatment facilities. (iv) Undertake or support operational research and assessment with reference to risks to environment and health due to bio-medical waste and previously unknown disposables and wastes from new types of equipment. (v) Constitution of Monitoring Committee for implementation of the rules. (vi) Hearing Appeals and give decision made in Form-V against order passed by the prescribed authorities. (vii) Develop Standard manual for Trainers and Training. (viii) Notify the standards or operating parameters for new technologies for treatment of bio medical waste other than those listed in Schedule-I.</p> <p>(i) Grant of license to health care facilities or nursing homes or veterinary establishments with a condition to obtain authorisation from the prescribed authority for biomedical waste management. (ii) Monitoring, Refusal or Cancellation of license for health care facilities or nursing homes or veterinary establishments for violations of conditions of authorisation or provisions under these Rules. (iii) Publication of list of registered health care facilities with regard to bio-medical waste generation, treatment and disposal. (iv) Undertake or support operational research and assessment with reference to risks to environment and health due to bio-medical waste and previously unknown disposables and wastes from new types of equipment. (v) Coordinate with State Pollution Control Boards for organizing training programme to staff of health care facilities and municipal workers on bio-medical waste. (vi) Constitution of Expert Committees at National or State level for overall review and promotion of clean or new technologies for bio-medical waste management. (vii) Organizing or Sponsoring of trainings for the regulatory authorities and healthcare facilities on bio-medical waste management related activities. (viii) Sponsoring of mass awareness campaigns in electronic media and print media.</p>
2	<p>Central or State Ministry of Health and Family Welfare, Central Ministry for Animal Husbandry and Veterinary or State Department of Animal Husbandry and Veterinary.</p>	
3	Ministry of Defence	

		<p>(i) Grant and renewal of authorisation to Armed Forces health care facilities or common bio-medical waste treatment facilities [(Rule 10).] [Substituted '(Rule 9)' by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).] (ii) Conduct training courses for authorities dealing with management of bio-medical wastes in Armed Forces health care facilities or treatment facilities in association with State Pollution Control Boards or Pollution Control Committees or Central Pollution Control Board or Ministry of Environment, Forest and Climate Change. (iii) Publication of inventory of occupiers and bio-medical waste generation from Armed Forces health care facilities or occupiers (iv) Constitution of Advisory Committee for implementation of the rules. (v) Review of management of bio-medical waste generation in the Armed Forces health care facilities through its Advisory Committee (Rule 11). (vi) Submission of annual report to Central Pollution Control Board within the stipulated time period (Rule 13). [(vii) [Inserted by Notification No. G.S.R. 129(E), dated 19.2.2019 (w.e.f. 28.3.2016).] Inspection and monitoring of Medical Inspection (MI) rooms, sick bays on board ships or submarines, station medical centres and field hospitals in forward locations operated by the Director General, Armed Force Medical Services.]</p>
4	Central Pollution Control Board	<p>(i) Prepare Guidelines on bio-medical waste Management and submit to the Ministry of Environment, Forest and Climate Change. (ii) Co-ordination of activities of State Pollution Control Boards or Pollution Control Committees on bio-medical waste. (iii) Conduct training courses for authorities dealing with management of bio-medical waste. (iv) Lay down standards for new technologies for treatment and disposal of bio-medical waste (Rule 7) and prescribe specifications for treatment and disposal of bio-medical wastes (Rule 7). (v) Lay down Criteria for establishing common bio-medical waste treatment facilities in the Country. (vi) Random inspection or monitoring of health care facilities and common bio-medical waste treatment facilities. (vii) Review and analysis of data submitted by the State Pollution Control Board on bio-medical waste and submission of compiled information in the form of annual report along with its observations to Ministry of Environment, Forest and Climate Change. (viii) [</p>

		<p>Inspection and monitoring of health care facilities other than Medical Inspection (MI) rooms, sick bays on board ships or submarines, station medical centres and field hospitals in forward locations operated by the Director General, Armed Forces Medical Services (Rule-9).]</p> <p>[Substituted by Notification No. G.S.R. 129(E), dated 19.2.2019 (w.e.f. 28.3.2016).](ix) Undertake or support research or operational research regarding bio-medical waste.</p>
5	State Government of Health or Union Territory Government or Administration	<p>(i) To ensure implementation of the rule in all health care facilities or occupiers. (ii) Allocation of adequate funds to Government health care facilities for bio-medical waste management. (iii) Procurement and allocation of treatment equipments and make provision for consumables for bio-medical waste management in Government health care facilities. (iv) Constitute State or District Level Advisory Committees under the District Magistrate or Additional District Magistrate to oversee the bio-medical waste management in the Districts. (v) Advise State Pollution Control Boards or Pollution Control Committees on implementation of these Rules. (vi) Implementation of recommendations of the Advisory Committee in all the health care facilities.</p>
6	State Pollution Control Boards or Pollution Control Committees	<p>(i) Inventorisation of Occupiers and data on bio-medical waste generation, treatment &amp; disposal. (ii) Compilation of data and submission of the same in annual report to Central Pollution Control Board within the stipulated time period. (iii) Grant and renewal, suspension or refusal cancellation or of authorisation under these rules (Rule 7, 8 and 10). (iv) Monitoring of compliance of various provisions and conditions of authorisation. (v) Action against health care facilities or common biomedical waste treatment facilities for violation of these rules (Rule 18). (vi) Organizing training programmes to staff of health care facilities and common bio-medical waste treatment facilities and State Pollution Control Boards or Pollution Control Committees Staff on segregation, collection, storage, transportation, treatment and disposal of biomedical wastes. (vii) Undertake or support research or operational research regarding bio-medical waste management. (viii) Any other function under these rules assigned by Ministry of Environment, Forest and Climate Change or Central Pollution Control Board from time to time. (ix) Implementation of recommendations of the</p>



7	Municipalities or Corporations, Urban Local Bodies and Gram Panchayats	<p>Advisory Committee.(x) Publish the list of Registered or Authorised (or give consent) Recyclers.(xi) Undertake and support third party audits of the common bio-medical waste treatment facilities in their State.</p> <p>(i) Provide or allocate suitable land for development of common bio-medical waste treatment facilities in their respective jurisdictions as per the guidelines of Central Pollution Control Board.(ii) Collect other solid waste (other than the bio-medical waste) from the healthcare facilities as per the Municipal Solid Waste (Management and Handling) Rules, 2000 or as amended time to time.(iii) Any other function stipulated under these Rules.</p>
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## IV

[See rule 8(3) and (5)]

### Part A – Label for Bio-Medical Waste Containers or Bags

### Part B – Label for Transporting Bio-Medical Waste Bags or Containers

Waste category Number .....	Day .....Month .....
Waste quantity.....	Year .....
Sender's Name and Address	Date of generation .....
Phone Number .....	Receiver's Name and Address:
Fax Number.....	Phone Number .....
Contact Person .....	Fax Number .....
In case of emergency please contact :	Contact Person .....
Name and Address :	
Phone No.	
Note :Label shall be non-washable and prominently visible.	
Form - I[(See rule 4(o), 5(i) and 15 (2))]Accident Reporting	

- 1. Date and time of accident :**
- 2. Type of Accident :**
- 3. Sequence of events leading to accident :**
- 4. Has the Authority been informed immediately :**
- 5. The type of waste involved in accident :**
- 6. Assessment of the effects of the accidents on human health and the environment:**
- 7. Emergency measures taken :**
- 8. Steps taken to alleviate the effects of accidents :**
- 9. Steps taken to prevent the recurrence of such an accident :**
- 10. Does you facility has an Emergency Control policy? If yes give details:**

Date : .....Place: .....Signature .....Designation .....Form  
- II(See rule10)Application For Authorisation Or Renewal Of Authorisation(To be submitted by  
occupier of health care facility or common bio-medical waste treatment facility)ToThe Prescribed  
Authority(Name of the State or UT Administration)Address.

### **1. Particulars of Applicant:**

(i)Name of the Applicant:(In block letters & in full)(ii)Name of the health care facility (HCF) or  
common bio-medical waste treatment facility (CBWTF) :(iii)Address for correspondence:(iv)Tele  
No., Fax No.:(v)Email:(vi)Website Address:

### **2. Activity for which authorisation is sought:**

ActivityGeneration,segregationCollection,StoragepackagingReceptionTransportationTreatmentor  
processing or conversionRecyclingDisposal ordestructionuseoffering for sale, transferAny  
otherform of handling

Please  
tick

### 3. Application for fresh or renewal of authorisation (please tick whatever is applicable):

(i) Applied for CTO/CTE Yes/No (ii) In case of renewal previous authorisation number and date:----- (iii) Status of Consents: (a) under the Water (Prevention and Control of Pollution) Act, 1974----- (b) under the Air (Prevention and Control of Pollution) Act, 1981:-----

### 4. (i) Address of the health care facility (HCF) or common bio-medical waste treatment facility (CBWTF):

(ii) GPS coordinates of health care facility (HCF) or common bio-medical waste treatment facility (CBWTF):

### 5. Details of health care facility (HCF) or common bio-medical waste treatment facility (CBWTF):

(i) Number of beds of HCF: (ii) Number of patients treated per month by HCF: (iii) Number healthcare facilities covered by CBMWTF: \_\_\_\_\_ (iv) No of beds covered by CBMWTF: \_\_\_\_\_ (v) Installed treatment and disposal capacity of CBMWTF: \_\_\_\_\_ Kg per day (vi) Quantity of biomedical waste treated or disposed by CBMWTF: \_\_\_\_\_ Kg/ day (vii) Area or distance covered by CBMWTF: \_\_\_\_\_ (pl. attach map a map with GPS locations of CBMWTF and area of coverage) (viii) Quantity of Biomedical waste handled, treated or disposed:

Category	Type of Waste	Quantity Generated or Collected, kg/day	Method of Treatment and Disposal (Refer Schedule-I)
(1)	(2)	(3)	(4)
Yellow	(a) Human Anatomical Waste:		
	(b) Animal Anatomical Waste :		
	(c) Soiled Waste:		
	(d) Expired or Discarded Medicines:		
	(e) Chemical Solid Waste:		
	(f) Chemical Liquid Waste :		
	(g) Discarded linen, mattresses, beddings contaminated with blood or body fluid.		

(h) Microbiology,  
Biotechnology and other clinical  
laboratory waste:

Red	Contaminated Waste (Recyclable)
White (Translucent)	Waste sharps including Metals:
Blue	Glassware:
Metallic Body Implants	

## 6. Brief description of arrangements for handling of biomedical waste (attach details):

(i) Mode of transportation (if any) of bio-medical waste: (ii) Details of treatment equipment (please give details such as the number, type & capacity of each unit)

No of units	Capacity of each unit
----------------	-----------------------------

Incinerators

: Plasma Pyrolysis: Autoclaves: Microwave: Hydroclave: Shredder: Needletip cutter  
or destroyer Sharps encapsulation or concrete pit: Deep burial pits: Chemical  
disinfection: Any other treatment equipment:

## 7. Contingency plan of common bio-medical waste treatment facility (CBWTF) (attach documents):

## 8. Details of directions or notices or legal actions if any during the period of earlier authorisation

## 9. Declaration

I do hereby declare that the statements made and information given above are true to the best of my knowledge and belief and that I have not concealed any information. I do also hereby undertake to provide any further information sought by the prescribed authority in relation to these rules and to fulfill any conditions stipulated by the prescribed authority. Date : Place : Signature of the Applicant Designation of the Applicant Form - III (See rule 10) Authorisation (Authorisation for operating a facility for generation, collection, reception, treatment, storage, transport and disposal of biomedical wastes)

**1. File number of authorisation and date of issue.....**

**2. M/s \_\_\_\_\_ an occupier or operator of the facility located at \_\_\_\_\_ is hereby granted an authorisation for;**

ActivityGeneration,segregationCollection,StoragepackagingReceptionTransportationTreatmentor  
processing orconversionRecyclingDisposal ordestructionuseoffering for sale, transferAny Please  
tick  
otherform of handling

**3. M/s \_\_\_\_\_ is hereby authorized for handling of biomedical waste as per the capacity given below;**

(i) Number of beds of HCF:

(ii) Number healthcare facilities covered by CBMWTF: \_\_\_\_\_

(iii) Installed treatment and disposal capacity:\_\_\_\_\_ Kg perday

(iv) Area or distance covered by CBMWTF:\_\_\_\_\_

(v) Quantity of Biomedical waste handled, treated or disposed:

Type of Waste Category

Quantity permitted  
forHandling

Yellow

Red

White (Translucent)

Blue

**4. This authorisation shall be in force for a period of ..... Years from the date of issue.**

**5. This authorisation is subject to the conditions stated below and to such other conditions as may be specified in the rules for the time being in force under the Environment (Protection) Act, 1986.**

Date .....Place: .....Signature.....Designation .....Terms and conditions of authorisation \*

**1. The authorisation shall comply with the provisions of the Environment (Protection) Act, 1986 and the rules made there under.**

**2. The authorisation or its renewal shall be produced for inspection at the request of an officer authorised by the prescribed authority.**

**3. The person authorized shall not rent, lend, sell, transfer or otherwise transport the biomedical wastes without obtaining prior permission of the prescribed authority.**

**4. Any unauthorised change in personnel, equipment or working conditions as mentioned in the application by the person authorised shall constitute a breach of his authorisation.**

**5. It is the duty of the authorised person to take prior permission of the prescribed authority to close down the facility and such other terms and conditions may be stipulated by the prescribed authority.**

Form - IV(See rule 13)Annual Report[To be submitted to the prescribed authority on or before 30th June every year for the period from January to December of the preceding year, by the occupier of health care facility (HCF) or common bio-medical waste treatment facility (CBWTF)]

Sl. No.	Particulars
1.	Particulars of the Occupier :
	(i) Name of the authorised person (occupier : or operator of facility)
	(ii) Name of HCF : or CBMWTF
	(iii) Address for Correspondence :
	(iv) Address of Facility
	(v) Tel. No, Fax. : No
	(vi) E-mail ID :
	(vii) URL of Website
	(viii) GPS coordinates of HCF or CBMWTF
	(ix) Ownership : (State Government or Private or

of HCF or  
CBMWTF

Semi Government or any other)

(x) Status of  
Authorisation

under the  
Bio-Medical :  
Waste(Management  
and Handling)  
Rules

AuthorisationNo.:.....valid  
up to .....

(xi) Status of  
Consents under :  
Water Act and  
Air Act

Valid up to:

2. Type of Health :  
Care Facility

(i) Bedded :  
Hospital

No. of Beds:.....

(ii) Non-bedded  
hospital (Clinic  
or Blood Bank or  
ClinicalLaboratory  
or Research :  
Institute or  
Veterinary  
Hospital or  
anyother)

(iii) License  
number and its  
date of expiry

3. Details of :  
CBMWTF

(i) Number  
healthcare :  
facilities covered  
by CBMWTF

(ii) No of beds  
covered by :  
CBMWTF

(iii) Installed  
treatment and  
disposal capacity :  
of CBMWTF

\_\_\_\_\_ Kg per day

(iv) Quantity of biomedical waste treated or disposed by CBMWTF : \_\_\_\_\_ Kg/day

4. Quantity of waste generated or disposed in Kg per annum (on monthly average basis) : \_\_\_\_\_  
Yellow Category:

Red Category :

White:

Blue Category :

General Solid waste:

5. Details of the Storage, treatment, transportation, processing and Disposal Facility

(i) Details of the on-site storage facility : \_\_\_\_\_ Size :

Capacity :

Provision of on-site storage : (cold storage or any other provision)

disposal facilities	Type of treatment equipment	No of units	Capacity Kg/day	Quantity treated or disposed in kg per annum
Incinerators				
Plasma Pyrolysis				
Autoclaves				



Microwave			
Hydroclave			
Shredder			
Needle tip cutter or destroyer	-		
Sharps encapsulation or concrete pit	-		
Deep burial pits:			
Chemical disinfection:-	-		
Any other treatment equipment:			
(iii) Quantity of recyclable wastes sold to authorized recyclers after treatment in kg per annum.	Red Category (like plastic, glass etc.)		
(iv) No of vehicles used for collection and transportation of biomedical waste :			
(v) Details of incineration ash and ETP sludge generated and disposed during the treatment of wastes in Kg per annum	Incineration Ash ETP Sludge	Quantity generated	Where disposed
(vi) Name of the Common Bio-Medical Waste Treatment Facility Operator through which wastes are			

disposed of

(vii) List of  
member HCF  
not handed  
over  
bio-medical  
waste.

Do you have  
bio-medical  
waste  
management  
committee? If

6. yes, attach  
minutes of the  
meetings held  
during the  
reporting  
period

Details  
trainings  
conducted on  
BMW

7.

(i) Number of  
trainings  
conducted on  
BMW  
Management.

(ii) number of  
personnel  
trained

(iii) number of  
personnel  
trained at the  
time of induction

(iv) number of  
personnel not  
undergone any  
training so far

(v) whether  
standard manual  
for training is  
available?

(vi) any other information)

8. Details of the accident occurred during the year

(i) Number of Accidents occurred

(ii) Number of the persons affected

(iii) Remedial Action taken

(Please attach details if any)

(iv) Any Fatality occurred, details.

9. Are you meeting the standards of air Pollution from the incinerator? How many times in last year could not met the standards?

Details of Continuous online emission monitoring systems installed

10. Liquid waste generated and treatment methods in place. How many times you have not met the standards in a year?

11. Is the disinfection method or sterilization meeting the log 4 standards?  
How many times you have not met the standards in a year?
12. Any other relevant information : (Air Pollution Control Devices attached with the Incinerator)

Certified that the above report is for the period

from.....

.....

.....Name and Signature of the Head of the InstitutionDate:Place[Form IVA] [Inserted by Notification No. G.S.R. 234(E), dated 16.3.2018 (w.e.f. 28.3.2016).][See rule 13(2)]Format for Submission of the Annual Report Information on Bio-medical Waste Management (to be submitted by the State Pollution Control Boards or Pollution Control Committees and Director General Armed Forces Medical Services to Central Pollution Control Board on or before 31st July of every year for the period from January to December of the preceding calendar year)Part-1 (Summary of Information)(1)Name of the Organisation :(2)Name of the Nodal Officer with contact telephone number and e-mail :(3)Total no. of Health Care Facilities / Occupiers :(i)Bedded Hospitals and Nursing Homes (bedded) :(ii)Clinics, dispensaries :(iii)Veterinary institutions :(iv)Animal houses :(v)Pathological laboratories :(vi)Blood banks :(vii)Clinical establishment :(viii)Research Institutions :(ix)Ayush(4)Total no. of beds :(5)Status of authorisation :(i)Total number of Occupiers applied for authorisation :(ii)Total number of Occupiers granted authorisation :(iii)Total number of application under consideration :(iv)Total number of applications rejected :(v)Total number of Occupiers in operation without applying for authorisation :(6)Quantity of Bio-medical Waste Generation (in kg/day) :(please enclose District Wise Bio-medical Waste Generation as per Part-2)(i)Bio-medical waste generation by bedded hospitals(in kg/day) :(ii)Bio-medical waste generation by non-bedded hospitals (in kg/day) :(iii)Any other :Total : \_\_\_\_\_ Kg/day(7)Bio-medical waste treatment and disposal(a)By Captive bio-medical waste treatment and disposal by Health Care Facilities (please enclose details as per Part-3)(i)Number of Health Care Facilities having captive treatment and Disposal facilities :(ii)Total bio-medical waste treated and disposed by captive treatment facilities in kg/day :(b)Bio-medical waste treatment and disposal by Common Bio Medical Waste Treatment Facilities (please enclose details as per Part 4)(i)Number of

Common Bio Medical Waste Treatment Facilities in Operation : (ii) Number of Common Bio Medical Waste Treatment Facilities under construction : (iii) Total bio-medical waste treated in kg/day : (iv) Total treated bio-medical waste disposed through authorised recyclers ( in Kg/day) : (8) Total no. of violation by : (i) Health Care Facilities (bedded and non-bedded) : (ii) Common Bio Medical Waste Treatment Facilities : (iii) Others (please specify) : (9) Show cause notices/directions issued to defaulters : (i) Health Care Facilities (bedded and non-bedded) : (ii) Common Bio Medical Waste Treatment Facilities : (iii) Others : (10) Any other relevant information: (i) Number of workshops / trainings conducted during the year : (ii) Number of occupiers installed liquid waste treatment facility : (iii) Number of captive incinerators complying to the norms : (iv) Number of occupiers organised trainings : (v) Number of occupiers constituted Bio-medical Waste Management Committees : (vi) Number of occupiers submitted Annual Report for the previous calendar year : (vii) Number of occupiers practising pre-treatment of lab microbiology and Bio-technology waste : (viii) Number of Common Bio Medical Waste Treatment Facilities that have installed Continuous Online Emission Monitoring Systems :

**Part 2 – District-wise Bio-medical Waste Generation (for the previous calendar year .....)**

S. No.	Name of the State/Union Territory	Name of the District	Bio-medical Waste Generation (in Kg/day)	Existing Total bio-medical waste treatment capacity (both captive and CBMWTF) in kg/day	Equipment	Total
					Incinerator:	
					Autoclave:	
					Deep	
					Burial:	
					Any other:	

**Part 3 – Information on Health Care Facilities having captive treatment facilities (for the previous calendar Year .....)**

S. No.	Name and address of the Health Care Facility	Quantity of Bio-medical Waste Generation (inkg/day)	Total Installed Treatment Capacity in kg/day	Total biomedical waste treated and disposed by Health Care Facilities in
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Yellow	Red	Blue	White	kg/day Total biomedical waste generated (in kg/day)	Incinerator	Autoclave	Deep Burial	Any other	Incinerator
Autoclave:									
Deep									
Burial:									
Any other:									
									Total:

## Part 4 – Information on Common Bio-Medical Waste Treatment and Disposal Facilities (for the previous calendar Year .....

S. No.	Name and Address of the Common Bio Medical Waste Treatment Facilities with contact person name and telephonenumber	GPS Coordinates	Coverage Area in KMS	Name of the cities/areas covered by Common Bio-Medical Waste Treatment Facilities	Total number of Health Care Facilities being covered	Total number of beds covered
Equipment	Numbers	Total installed capacity kg/day				
Plasma Pyrolysis						
Autoclave				Sharps:Quantity:Disposed by:		
Hydroclave						
Microwave				Plastics:Quantity:Disposed by:		

Shredder  
Sharps  
encapsulation  
or concrete pit

Deep burial  
pits

ETP  
Sludge:Quantity:Disposed  
by:

Any other  
equipment

Effluent  
Treatment  
Plant

Sub-total

(a)Total Number of transportation vehicles used for collection of Bio-medical Waste on daily basis by the Common Bio-Medical Waste Treatment Facilities:(b)List of Health Care Facilities not having membership with the Common Bio-Medical Waste Treatment Facilities and neither having captive treatment facilities:(c)Number of trainings organised by the Common Bio-Medical Waste Treatment Facility operators:(d)Number of Accidents reported by the Common Bio Medical Waste Treatment Facilities:Form-V(See rule 16)Application for filing appeal against order passed by the prescribed authority

**1. Name and address of the person applying for appeal :**

**2. Number, date of order and address of the authority which passed the order, against which appeal is being made (certified copy of order to be attached):**

**3. Ground on which the appeal is being made:**

**4. List of enclosures other than the order referred in para 2 against which appeal is being filed:**

Signature .....Name and Address.....Date :