| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **AC-14** | PERMITTED ACTIONS WITHOUT IDENTIFICATION OR AUTHENTICATION | |
| **AC-14.1**  **AC-14.1.1**  **AC-14.1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization identifies specific user actions that can be performed on the information system without identification or authentication; and* 2. *the organization documents and provides supporting rationale in the security plan for the information system, user actions not requiring identification and authentication.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Access control policy; procedures addressing permitted actions without identification and authentication; information system configuration settings and associated documentation; security plan; list of information system actions that can be performed without identification and authentication; information system audit records; other relevant documents or records]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: AC-2, AC-3, AC-4, AC-6  successor controls: IA-2, IA-3, IA-8 |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **AC-14.1.1.1** | | **Examine** access control policy, procedures addressing permitted actions without identification and authentication, security plan, or other relevant documents; [*reviewing*] for the specific user actions that can be performed on the information system without identification and authentication. |
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| **AC-14.1.2.1** | | **Examine** security plan; [*reviewing*] for the supporting rationale for user actions not requiring identification and authentication. |
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| **ASSESSMENT – Control Enhancement 1** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **AC-14(1)** | PERMITTED ACTIONS WITHOUT IDENTIFICATION OR AUTHENTICATION |
| **AC-14(1).1**  **AC-14(1).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization permits actions to be performed without identification and authentication only to the extent necessary to accomplish mission/business objectives.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Access control policy; procedures addressing permitted actions without identification and authentication; information system configuration settings and associated documentation; security plan; list of information system actions that can be performed without identification and authentication; information system audit records; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: AC-2, AC-3, AC-4, AC-6, PM-11, RA-2, RA-3  successor controls: IA-2, IA-3, IA-8 |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **AC-14(1).1.1.1** | **Examine** access control policy, procedures addressing actions permitted without identification and authentication, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to ensure that actions performed without identification and authentication are permitted only to the extent necessary to accomplish mission/business objectives. |
| **AC-14(1).1.1.2** | **Examine** risk assessments or other relevant documents for the information system; [*reviewing*] for evidence that the measures identified in AC-14(1).1.1.1 are being applied to ensure actions performed without identification and authentication are permitted only to the extent necessary to accomplish mission/business objectives. |
| **AC-14(1).1.1.3** | **Interview** an agreed-upon [*basic*] sample of organizational personnel with responsibilities for defining actions permitted without identification and authentication; conducting [*basic*] discussions for further evidence that the measures identified in AC-14(1).1.1.1 are being applied to ensure actions performed without identification and authentication are permitted only to the extent necessary to accomplish mission/business objectives. |