| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **CA-6** | SECURITY AUTHORIZATION | |
| **CA-6.1**  **CA-6.1.1**  **CA-6.1.2**  **CA-6.1.3**  **CA-6.1.4** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization assigns a senior-level executive or manager to the role of authorizing official for the information system;* 2. *the authorizing official authorizes the information system for processing before commencing operations;* 3. *the organization defines the frequency of security authorization updates; and* 4. *the organization updates the security authorization in accordance with an organization-defined frequency.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Security assessment and authorization policy; procedures addressing security authorization; security authorization package (including security plan; security assessment report; plan of action and milestones; authorization statement); other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with security authorization responsibilities]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: CA-5, PM-4  concurrent controls: CA-2, CA-7, CM-3, CM-4, PM-10, RA-3, RA-5, SA-11, SI-2  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **CA-6.1.1.1** | | **Examine** security assessment and authorization policy, security plan, or other relevant documents; [*reviewing*] for the senior-level executive or manager assigned to the role of authorizing official for the information system. |
| **CA-6.1.2.1** | | **Examine** an agreed-upon [*basic*] sample of security authorization packages; [*reviewing*] for evidence that the authorizing official identified in CA-6.1.1.1 authorizes the information system for processing prior to commencing operations. |
| **CA-6.1.3.1** | | **Examine** security assessment and authorization policy, security plan, or other relevant documents; [*reviewing*] for the frequency for security authorization updates. |
| **CA-6.1.4.1** | | **Examine** an agreed-upon [*basic*] sample of security authorization packages; [*reviewing*] for evidence that security authorizations are updated in accordance with the frequency identified in CA-6.1.3.1. |