| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **CP-3** | CONTINGENCY TRAINING | |
| **CP-3.1**  **CP-3.1.1**  **CP-3.1.2**  **CP-3.1.3** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization provides initial contingency training to personnel with contingency roles and responsibilities with respect to the information system;* 2. *the organization defines the frequency of refresher contingency training; and* 3. *the organization provides refresher training in accordance with organization-defined frequency.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing contingency training; contingency training curriculum; contingency training material; security plan; contingency training records; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with contingency planning, plan implementation, and training responsibilities]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: CP-2  concurrent controls: CP-4  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **CP-3.1.1.1** | | **Examine** contingency planning policy, procedures addressing contingency training, contingency plan, or other relevant documents; [*reviewing*] for the measures to be employed to provide initial contingency training to personnel with contingency roles and responsibilities with respect to the information system. |
| **CP-3.1.1.2** | | **Examine** training records for an agreed-upon [*basic*] sample of organizational personnel with contingency roles and responsibilities with respect to the information system; [*reviewing*] for evidence that the measures identified in CP-3.1.1.1 are being applied. |
| **CP-3.1.1.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel identified in CP-3.1.1.2; conducting [*basic*] discussions for further evidence that the measures identified in CP-3.1.1.1 are being applied. |
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| **CP-3.1.2.1** | | **Examine** contingency planning policy, procedures addressing contingency training, contingency plan, or other relevant documents; [*reviewing*] for the frequency of refresher contingency training. |
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| **CP-3.1.3.1** | | **Examine** training records for an agreed-upon [*basic*] sample of organizational personnel with contingency roles and responsibilities with respect to the information system; [*reviewing*] for evidence that refresher training is being conducted in accordance with the frequency identified in CP-3.1.2.1. |
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| **ASSESSMENT – Control Enhancement 1** | | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | | |
| **CP-3(1)** | | CONTINGENCY TRAINING |
| **CP-3(1).1**  **CP-3(1).1.1**  **CP-3(1).1.2** | | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization incorporates simulated events into contingency training; and* 2. *the incorporation of simulated events into contingency training facilitates effective response by personnel in crisis situations.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing contingency training; contingency training curriculum; contingency training material; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with contingency planning, plan implementation, and training responsibilities]. |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: CP-2  concurrent controls: CP-4  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions** |
| **CP-3(1).1.1.1** | | **Examine** contingency planning policy, procedures addressing contingency training, contingency plan, or other relevant documents; [*reviewing*] for the measures to be employed to incorporate simulated events into contingency training. |
| **CP-3(1).1.1.2** | | **Examine** contingency training curriculum, contingency training material, or other relevant documents; [*reviewing*] for evidence that the measures identified in CP-3(1).1.1.1 are being applied. |
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| **CP-3(1).1.2.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with contingency plan implementation responsibilities; conducting [*basic*] discussions for evidence that the measures identified in CP-3(1).1.1.1 facilitate effective response to crisis situations. |
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| **ASSESSMENT – Control Enhancement 2** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-3(2)** | CONTINGENCY TRAINING |
| **CP-3(2).1**  **CP-3(2).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization employs automated mechanisms that provide a more thorough and realistic contingency training environment.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing contingency training; automated mechanisms supporting contingency training; contingency training curriculum; contingency training material; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with contingency planning, plan implementation, and training responsibilities]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: CP-2  concurrent controls: CP-4  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-3(2).1.1.1** | **Examine** contingency plan, information system design documentation, or other relevant documents; [*reviewing*] for the automated mechanisms and their configuration settings to be employed to provide a more thorough and realistic contingency training environment. |
| **CP-3(2).1.1.2** | **Examine** documentation describing the current configuration settings for an agreed-upon [*basic*] sample of the automated mechanisms identified in CP-3(2).1.1.1; [*reviewing*] for evidence that these mechanisms are configured as identified in CP-3(2).1.1.1. |
| **CP-3(2).1.1.3** | **Interview** an agreed-upon [*basic*] sample of organizational personnel who received contingency plan training and organizational personnel with contingency plan training responsibilities; conducting [*basic*] discussions for further evidence that the mechanisms identified in CP-3(2).1.1.1 are being employed to provide a more thorough and realistic contingency training environment for the information system. |