| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **CP-6** | ALTERNATE STORAGE SITE | |
| **CP-6.1**  **CP-6.1.1**  **CP-6.1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if :*   1. *the organization establishes an alternate storage site; and* 2. *the organization initiates necessary alternate storage site agreements to permit the storage and recovery of information system backup information.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate storage sites; alternate storage site agreements; other relevant documents or records]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-9, CP-10, MP-4  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **CP-6.1.1.1** | | **Examine** procedures addressing alternate storage sites, contingency plan, or other relevant documents; [*reviewing*] for an established alternate storage site. |
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| **CP-6.1.2.1** | | **Examine** an agreed-upon [*basic*] sample of alternate storage site agreements for the alternate storage site identified in CP-6.1.1.1; [*reviewing*] for evidence that these agreements permit storage and recovery of information system backup information. |
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| **ASSESSMENT – Control Enhancement 1** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-6(1)** | ALTERNATE STORAGE SITE |
| **CP-6(1).1**  **CP-6(1).1.1**  **CP-6(1).1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the contingency plan identifies the primary storage site hazards; and* 2. *the alternate storage site is separated from the primary storage site so as not to be susceptible to the same hazards identified at the primary site.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate storage sites; alternate storage site; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-9, CP-10, MP-4  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-6(1).1.1.1** | **Examine** contingency plan; [*reviewing*] for the primary storage site hazards. |
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| **CP-6(1).1.2.1** | **Examine** contingency plan, risk assessment for the alternate storage site, or other relevant documents; [*reviewing*] for evidence that the alternate storage site is separated from the primary storage site so as not to be susceptible to the hazards identified in CP-6(1).1.1.1. |
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| **ASSESSMENT – Control Enhancement 2** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-6(2)** | ALTERNATE STORAGE SITE |
| **CP-6(2).1**  **CP-6(2).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the alternate storage site is configured to facilitate recovery operations in accordance with recovery time objectives and recovery point objectives.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate storage sites; alternate storage site agreements; alternate storage site; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-9, CP-10, MP-4  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-6(2).1.1.1** | **Examine** an agreed-upon [*basic*] sample of alternate storage site agreements for the alternate storage site identified in CP-6.1.1.1; [*reviewing*] for the configurations to be employed to facilitate recovery operations in accordance with the recovery time objectives and recovery point objectives identified in CP-2.1.1.2.b. |
| **CP-6(2).1.1.2** | **Examine** alternate storage site identified in CP-6(2).1.1.1; [*observing*] for evidence that the site is configured as identified in CP-6(2).1.1.1. |
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| **ASSESSMENT – Control Enhancement 3** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-6(3)** | ALTERNATE STORAGE SITE |
| **CP-6(3).1**  **CP-6(3).1.1**  **CP-6(3).1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization identifies potential accessibility problems to the alternate storage site in the event of an area-wide disruption or disaster; and* 2. *the organization outlines explicit mitigation actions for organization identified accessibility problems to the alternate storage site in the event of an area-wide disruption or disaster.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate storage sites; alternate storage site; mitigation actions for accessibility problems to the alternate storage site; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-9, CP-10, MP-4  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-6(3).1.1.1** | **Examine** procedures addressing alternate storage sites, contingency plan, or other relevant documents; [*reviewing*] for potential accessibility problems to the alternate storage site in the event of an area-wide disruption or disaster. |
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| **CP-6(3).1.2.1** | **Examine** procedures addressing alternate storage sites, contingency plan, or other relevant documents; [*reviewing*] for explicit mitigation actions for potential accessibility problems to the alternate storage site as identified in CP-6(3).1.1.1. |