| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **CP-7** | ALTERNATE PROCESSING SITE | |
| **CP-7.1**  **CP-7.1.1**  **CP-7.1.2**  **CP-7.1.3**  **CP-7.1.4** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization establishes an alternate processing site;* 2. *the organization defines the time period for achieving the recovery time objectives within which processing must be resumed at the alternate processing site;* 3. *the organization includes necessary alternate processing site agreements to permit the resumption of information system operations for essential missions and business functions within organization-defined time period; and* 4. *the equipment and supplies required to resume operations are available at the alternate site or contracts are in place to support delivery to the site in time to support the organization-defined time period for resumption.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate processing sites; alternate processing site agreements; security plan; spare equipment and supplies at alternate processing site; equipment and supply contracts; service level agreements; other relevant documents or records]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2 , CP-10  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **CP-7.1.1.1** | | **Examine** procedures addressing alternate processing sites, contingency plan, or other relevant documents; [*reviewing*] for an established alternate processing site. |
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| **CP-7.1.2.1** | | **Examine** contingency planning policy, procedures addressing alternate processing sites, contingency plan, or other relevant documents; [*reviewing*] for the time period(s) within which processing must be resumed at the alternate processing site to achieve recovery time objectives for essential mission/business functions.  Note to assessor: The organization may define different time periods for different mission/business functions. |
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| **CP-7.1.3.1** | | **Examine** an agreed-upon [*basic*] sample of alternate processing site agreements for the alternate processing site identified in CP-7.1.1.1; [*reviewing*] for evidence that these agreements permit the resumption of information system operations for essential missions and business functions within the time period(s) identified in CP-7.1.2.1. |
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| **CP-7.1.4.1** | | **Examine** an agreed-upon [*basic*] sample of alternate processing site agreements, service level agreements, equipment and supply contracts, or other relevant documents for the alternate processing site identified in CP-7.1.1.1; [*reviewing*] for evidence that the equipment and supplies required to resume operations are available at the alternate storage site or contracts are in place to support delivery to the site in time to support the time period(s) identified in CP-7.1.2.1. |
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| **ASSESSMENT – Control Enhancement 1** | | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | | |
| **CP-7(1)** | | ALTERNATE PROCESSING SITE |
| **CP-7(1).1**  **CP-7(1).1.1**  **CP-7(1).1.2** | | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the contingency plan identifies the primary processing site hazards; and* 2. *the alternate processing site is separated from the primary processing site so as not to be susceptible to the same hazards identified at the primary site.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate processing sites; alternate processing site; other relevant documents or records]. |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-10  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions** |
| **CP-7(1).1.1.1** | | **Examine** contingency plan; [*reviewing*] for the primary processing site hazards. |
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| **CP-7(1).1.2.1** | | **Examine** contingency plan, risk assessment for the alternate processing site, or other relevant documents; [*reviewing*] for evidence that the alternate processing site is separated from the primary processing site so as not to be susceptible to the hazards identified in CP-7(1).1.1.1. |
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| **ASSESSMENT – Control Enhancement 2** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-7(2)** | ALTERNATE PROCESSING SITE |
| **CP-7(2).1**  **CP-7(2).1.1**  **CP-7(2).1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization identifies potential accessibility problems to the alternate processing site in the event of an area-wide disruption or disaster; and* 2. *the organization outlines explicit mitigation actions for organization identified accessibility problems* *to the alternate processing site in the event of an area-wide disruption or disaster.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate processing sites; alternate processing site; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-10  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-7(2).1.1.1** | **Examine** procedures addressing alternate processing sites, contingency plan, or other relevant documents; [*reviewing*] for potential accessibility problems to the alternate processing site in the event of an area-wide disruption or disaster. |
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| **CP-7(2).1.2.1** | **Examine** procedures addressing alternate processing sites, contingency plan, or other relevant documents; [*reviewing*] for explicit mitigation actions for potential accessibility problems to the alternate processing site as identified in CP-7(2).1.1.1. |
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| **ASSESSMENT – Control Enhancement 3** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-7(3)** | ALTERNATE PROCESSING SITE |
| **CP-7(3).1**  **CP-7(3).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization develops alternate processing site agreements that contain priority-of-service provisions in accordance with the organization’s availability requirements.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate processing sites; alternate processing site agreements; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-8, CP-10  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-7(3).1.1.1** | **Examine** contingency planning policy, procedures addressing alternate processing sites, contingency plan, business impact assessment, or other relevant documents; [*reviewing*] for the organization’s availability requirements. |
| **CP-7(3).1.1.2** | **Examine** procedures addressing alternate processing sites, contingency plan, or other relevant documents; [*reviewing*] for the alternate processing site agreements that contain priority-of-service provisions in accordance with the availability requirements identified in CP-7(3).1.1.1. |
| **CP-7(3).1.1.3** | **Examine** an agreed-upon [*basic*] sample of alternate processing site agreements identified in CP-7(3).1.1.2; [*reviewing*] for evidence that these agreements contain priority-of-service provisions in accordance with the availability requirements identified in CP-7(3).1.1.1. |
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| **ASSESSMENT – Control Enhancement 4** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-7(4)** | ALTERNATE PROCESSING SITE |
| **CP-7(4).1**  **CP-7(4).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the alternate processing site is configured so that it is ready to be used as the operational site to support essential missions and business functions.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate processing sites; alternate processing site; alternate processing site agreements; other relevant documents or records].  **Test**: [*select from:* Information system at the alternate processing site]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-10  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-7(4).1.1.1** | **Examine** an agreed-upon [*basic*] sample of alternate processing site agreements for the alternate processing site identified in CP-7.1.1.1; [*reviewing*] for the configurations to be employed so that the site is ready to be used to support the essential missions and business functions identified in CP-2.1.1.2.a. |
| **CP-7(4).1.1.2** | **Examine** alternate processing site identified in CP-7(4).1.1.1; [*observing*] for evidence that the site is configured as identified in CP-7(4).1.1.1. |
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| **ASSESSMENT – Control Enhancement 5** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **CP-7(5)** | ALTERNATE PROCESSING SITE |
| **CP-7(5).1**  **CP-7(5).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the alternate processing site provides information security measures equivalent to that of the primary site.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Contingency planning policy; contingency plan; procedures addressing alternate processing sites; alternate processing site; other relevant documents or records]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, CP-10  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **CP-7(5).1.1.1** | **Examine** primary processing site; [*observing*] for the information security measures provided. |
| **CP-7(5).1.1.2** | **Examine** alternate processing site identified in CP-7.1.1.1; [*observing*] for evidence that the site provides information security measures equivalent to that of the primary processing site. |