| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PE-12** | EMERGENCY LIGHTING | |
| **PE-12.1**  **PE-12.1.1**  **PE-12.1.2**  **PE-12.1.3** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization employs automatic emergency lighting for the information system that activates in the event of a power outage or disruption;* 2. *the organization employs automatic emergency lighting for the information system that covers emergency exits and evacuation routes within the facility; and* 3. *the organization maintains the automatic emergency lighting for the information system.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing emergency lighting; emergency lighting documentation; emergency lighting test records; emergency exits and evacuation routes; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with emergency planning responsibilities].  **Test**: [*select from:* Emergency lighting capability]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2, MA-2  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PE-12.1.1.1** | | **Examine** contingency plan, security plan, information system design documentation, facility lighting and wiring diagrams, emergency lighting documentation, or other relevant documents; [*reviewing*] for the emergency lighting mechanisms and associated configuration settings to be automatically employed for the information system in the event of a power outage or disruption. |
| **PE-12.1.1.2** | | **Examine** documentation describing the current configuration settings for the emergency lighting mechanisms identified in PE-12.1.1.1; [*reviewing*] for evidence that these mechanisms are configured as identified in PE-12.1.1.1. |
| **PE-12.1.1.3** | | **Examine** emergency lighting test records for an agreed-upon [*basic*] sample ofthe emergency lighting mechanisms identified in PE-12.1.1.1; [*reviewing*] for evidence that these mechanisms are configured as identified in PE-12.1.1.1 to be automatically activated in the event of a power outage or disruption. |
| **PE-12.1.1.4** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with emergency planning responsibilities; conducting [*basic*] discussions for further evidence that the emergency lighting mechanisms identified in PE-12.1.1.1 are employed to be automatically activated in the event of a power outage or disruption. |
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| **PE-12.1.2.1** | | **Examine** contingency plan, emergency action plan, occupant emergency plan, security plan, information system facility diagrams, or other relevant documents; [*reviewing*] for the emergency exits and evacuation routes within the facility. |
| **PE-12.1.2.2** | | **Examine** an agreed-upon [*basic*] sample of the emergency exits and evacuation routes identified in PE-12.1.2.1; [*observing*] for evidence that the emergency lighting capability identified in PE-12.1.1.1 covers emergency exits and evacuation routes. |
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| **PE-12.1.3.1** | | **Examine** physical and environmental protection policy, information system maintenance policy, procedures addressing emergency lighting, procedures addressing controlled maintenance for the information system, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to maintain the emergency lighting capability identified in PE-12.1.1.1. |
| **PE-12.1.3.2** | | **Examine** an agreed-upon [*basic*] sample of emergency lighting maintenance records, or other relevant documents; [*reviewing*] for evidence that the measures identified in PE-12.1.3.1 are being applied. |
| **PE-12.1.3.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with emergency lighting maintenance responsibilities; conducting [*basic*] discussions for further evidence that the measures identified in PE-12.1.3.1 are being applied. |
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| **ASSESSMENT – Control Enhancement 1** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **PE-12(1)** | EMERGENCY LIGHTING |
| **PE-12(1).1**  **PE-12(1).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization provides emergency lighting for all areas within the facility supporting essential missions and business functions.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing emergency lighting; emergency lighting documentation; emergency lighting test records; emergency exits and evacuation routes; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with emergency planning responsibilities].  **Test**: [*select from:* Emergency lighting capability]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-2  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **PE-12(1).1.1.1** | **Examine** contingency plan, emergency action plan, occupant emergency plan, business impact analysis, information system facility diagrams, security plan, or other relevant documents; [*reviewing*] for the areas within the facility supporting essential missions and business functions. |
| **PE-12(1).1.1.2** | **Examine** an agreed-upon [*basic*] sample of the areas identified in PE-12(1).1.1.1; [*observing*] for evidence that the emergency lighting capability identified in PE-12.1.1.1 covers the areas identified in PE-12(1).1.1.1. |
| **PE-12(1).1.1.3** | **Examine** emergency lighting test records, or other relevant documents for the areas identified in PE-12(1).1.1.2; [*reviewing*] for evidence that the emergency lighting capability identified in PE-12.1.1.1 covers the areas identified in PE-12(1).1.1.1. |