| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PE-16** | DELIVERY AND REMOVAL | |
| **PE-16.1**  **PE-16.1.1**  **PE-16.1.2**  **PE-16.1.3** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization defines the types of information system components to be authorized, monitored, and controlled as such components are entering or exiting the facility;* 2. *the organization authorizes, monitors, and controls organization-defined information system components entering and exiting the facility; and* 3. *the organization maintains records of information system components entering and exiting the facility.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing delivery and removal of information system components from the facility; security plan; facility housing the information system; records of items entering and exiting the facility; other relevant documents or records].  **Interview**: [*select from:* Organization personnel with responsibilities for controlling information system components entering and exiting the facility].  **Test**: [*select from:* Process for controlling information system-related items entering and exiting the facility]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: MA-2, MP-5, PE-3, PE-7, PE-8, SA-12  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PE-16.1.1.1** | | **Examine** physical and environmental protection policy, procedures addressing delivery and removal of information system components from the facility, security plan, or other relevant documents; [*reviewing*] for the types of information system components to be authorized, monitored, and controlled as the components are entering or exiting the facility. |
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| **PE-16.1.2.1** | | **Examine** physical and environmental protection policy, procedures addressing delivery and removal of information system components from the facility, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to authorize, monitor, control, and maintain records for, the types of information system components identified in PE-16.1.1.1 as such components are entering and exiting the facility. |
| **PE-16.1.2.2** | | **Examine** delivery records, removal records, maintenance records, monitoring records, inventory records, or other relevant records for an agreed-upon [*basic*] sample of information system components entering and exiting the facility; [*reviewing*] for evidence that the measures identified in PE-16.1.2.1 are being applied. |
| **PE-16.1.2.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with responsibilities for controlling information system components entering and exiting the facility; conducting [*basic*] discussions for further evidence that the measures identified in PE-16.1.2.1 are being applied. |
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| **PE-16.1.3.1** | | **Examine** delivery records, removal records, maintenance records, monitoring records, inventory records, or other relevant records for an agreed-upon [*basic*] sample of information system components entering and exiting the facility; [*reviewing*] for evidence that the measures identified in PE-16.1.2.1 are being applied. |
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