| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PE-17** | ALTERNATE WORK SITE | |
| **PE-17.1**  **PE-17.1.1**  **PE-17.1.2**  **PE-17.1.3**  **PE-17.1.4** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization defines the management, operational, and technical information system security controls to be employed at alternate work sites;* 2. *the organization employs organization-defined management, operational, and technical information system security controls at alternate work sites;* 3. *the organization assesses, as feasible, the effectiveness of security controls at alternate work sites; and* 4. *the organization provides a means for employees to communicate with information security personnel in case of security incidents or problems.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing alternate work sites for organizational personnel; security plan; list of management, operational, and technical security controls required for alternate work sites; assessments of security controls at alternate work sites; other relevant documents or records].  **Interview**: [*select from:* Organization personnel using alternate work sites]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: CP-7, PL-2, PS-7, SA-9  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PE-17.1.1.1** | | **Examine** physical and environmental protection policy, procedures addressing alternate work sites for organizational personnel, security plan, or other relevant documents; [*reviewing*] for the management, operational, and technical information system security controls to be employed at alternate work sites. |
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| **PE-17.1.2.1** | | **Examine** physical and environmental protection policy, procedures addressing alternate work sites for organizational personnel, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to enforce the information system security controls identified in PE-17.1.1.1 at alternate work sites. |
| **PE-17.1.2.2** | | **Examine** alternate work site agreements, or other relevant documents for an agreed-upon [*basic*] sample of organizational personnel using alternate work sites; [*reviewing*] for evidence that the measures identified in PE-17.1.2.1 are being applied. |
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| **PE-17.1.3.1** | | **Examine** physical and environmental protection policy, procedures addressing alternate work sites for organizational personnel, security plan, or other relevant documents; [*reviewing*] for the criteria deemed feasible by the organization for assessing the effectiveness of security controls at alternate work sites. |
| **PE-17.1.3.2** | | **Examine** physical and environmental protection policy, procedures addressing alternate work sites for organizational personnel, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to assess the effectiveness of security controls at alternate work sites in accordance with the criteria identified in PE-17.1.3.1 |
| **PE-17.1.3.3** | | **Examine** an agreed-upon [*basic*] sample of security control assessments conducted at alternate work sites; [*reviewing*] for evidence that the measures identified in PE-17.1.3.2 are being applied. |
| **PE-17.1.3.4** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with responsibilities for assessing security controls at alternate work sites and/or organizational personnel using alternate work sites; conducting [*basic*] discussions for further evidence that the measures identified in PE-17.1.3.2 are being applied. |
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| **PE-17.1.4.1** | | **Examine** physical and environmental protection policy, procedures addressing alternate work sites for organizational personnel, security plan, or other relevant documents; [*reviewing*] for the means to be employed for employees to communicate with information security personnel in case of security incidents or problems. |
| **PE-17.1.4.2** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel using alternate work sites; conducting [*basic*] discussions for evidence that the means identified in PE-17.1.4.1 are provided. |