| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PE-7** | VISITOR CONTROL | |
| **PE-7.1**  **PE-7.1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization controls physical access to the information system by authenticating visitors before authorizing access to the facility where the information system resides other than areas designated as publicly accessible.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing visitor access control; visitor access control logs or records; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with visitor access control responsibilities].  **Test**: [*select from:* Visitor access control capability]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: MA-2, PE-2, PE-3, PE-4, PE-5, PE-6, PE-8, PE-16, PE-18  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PE-7.1.1.1** | | **Examine** physical and environmental protection policy, procedures addressing visitor access control, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to authenticate visitors before authorizing access to the facility where the information system resides, other than the areas designated in PE-2.1.1.1 as publicly accessible. |
| **PE-7.1.1.2** | | **Examine** an agreed-upon [*basic*] sample of visitor access control logs, or other relevant documents; [*reviewing*] for evidence that the measures identified in PE-7.1.1.1 are being applied. |
| **PE-7.1.1.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with visitor access control responsibilities; conducting [*basic*] discussions for further evidence that the measures identified in PE-7.1.1.1 are being applied. |
| **PE-7.1.1.4** | | **Test** visitor access control capability using an agreed-upon [*basic*] sample of simulated events or conditions; conducting [*basic*] testing for evidence that the measures identified in PE-7.1.1.1 are being applied as intended. |
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| **ASSESSMENT – Control Enhancement 1** | | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | | |
| **PE-7(1)** | | VISITOR CONTROL |
| **PE-7(1).1**  **PE-7(1).1.1** | | **ASSESSMENT OBJECTIVE:**  *Determine if the organization escorts visitors and monitors visitor activity, when required.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing visitor access control; visitor access control logs or records; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with visitor access control responsibilities]. |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: MA-2, PE-2, PE-3, PE-4, PE-5, PE-6, PE-8, PE-16, PE-18  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions** |
| **PE-7(1).1.1.1** | | **Examine** physical and environmental protection policy, procedures addressing visitor access control, security plan, or other relevant documents; [*reviewing*] for the conditions requiring visitors to be escorted and monitored. |
| **PE-7(1).1.1.2** | | **Examine** physical and environmental protection policy, procedures addressing visitor access control, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to escort visitors and monitor visitor activity in accordance with the conditions identified in PE-7(1).1.1.1 |
| **PE-7(1).1.1.3** | | **Examine** an agreed-upon [*basic*] sample of visitor access control logs, or relevant documents; [*reviewing*] for evidence that the measures identified in PE-7(1).1.1.2 are being applied. |
| **PE-7(1).1.1.4** | | **Examine** an agreed-upon [*basic*] sample of visitor escort and monitoring activities; [*observing*] for evidence that the measures identified in PE-7(1).1.1.2 are being applied. |
| **PE-7(1).1.1.5** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with visitor access control responsibilities; conducting [*basic*] discussions for further evidence that the measures identified in PE-7(1).1.1.2 are being applied to escort visitors and monitor visitor activity, when required. |
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| **ASSESSMENT – Control Enhancement 2** | |
| **Assessment Information from Special Publication 800-53A Rev. 1 (June 2010)** | |
| **PE-7(2)** | VISITOR CONTROL |
| **PE-7(2).1**  **PE-7(2).1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization requires two forms of identification for visitor access to the facility.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Physical and environmental protection policy; procedures addressing visitor access control; visitor access control logs or records; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with visitor access control responsibilities]. |
| **Additional Assessment Case Information** | |
|  | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: MA-2, PE-2, PE-3, PE-4, PE-5, PE-6, PE-8, PE-16, PE-18  successor controls: None |
| **Action Step** | **Potential Assessor Evidence Gathering Actions** |
| **PE-7(2).1.1.1** | **Examine** physical and environmental protection policy, procedures addressing visitor access control, security plan, or other relevant documents; [*reviewing*] for the requirement of two forms of identification for visitor access to the facility. |
| **PE-7(2).1.1.2** | **Interview** an agreed-upon [*basic*] sample of organizational personnel with visitor access control responsibilities; conducting [*basic*] discussions for further evidence of the requirement identified in PE-7(2).1.1.1 of two forms of identification for visitor access to the facility. |