| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PM-5** | INFORMATION SYSTEM INVENTORY | |
| **PM-5.1**  **PM-5.1.1**  **PM-5.1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization develops an inventory of its information systems; and* 2. *the organization maintains an inventory of its information systems.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Information security program policy; procedures addressing information system inventory development and maintenance; information system inventory records, other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with information system inventory development and maintenance responsibilities]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: PM-1, PM-9  concurrent controls: RA-2, PM-7  successor controls: CM-8, CM-9 |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PM-5.1.1.1** | | **Examine** information security program policy, procedures addressing information system inventory development and maintenance, information security program plan, or other relevant documents; [*reviewing*] for the measures to be employed to develop an inventory of organizational information systems. |
| **PM-5.1.1.2** | | **Examine** information system inventory records for an agreed-upon [*basic*] sample of organizational information systems; [*reviewing*] for evidence that the measures identified in PM-5.1.1.1 are being applied. |
| **PM-5.1.1.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with responsibilities for developing an inventory of the organizational information systems identified in PM-5.1.1.2; conducting [*basic*] discussions for further evidence that the measures identified in PM-5.1.1.1 are being applied. |
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| **PM-5.1.2.1** | | **Examine** information security program policy, procedures addressing information system inventory development and maintenance, information security program plan, or other relevant documents; [*reviewing*] for measures to be employed to maintain an inventory of organizational information systems. |
| **PM-5.1.2.2** | | **Examine** information system inventory records for an agreed-upon [*basic*] sample of organizational information systems; [*reviewing*] for evidence that the measures identified in PM-5.1.2.1 are being applied. |
| **PM-5.1.2.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with responsibilities for maintaining an inventory of the organizational information systems identified in PM-5.1.2.2; conducting [*basic*] discussions for further evidence that the measures identified in PM-5.1.2.1 are being applied. |
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