| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PS-2** | POSITION CATEGORIZATION | |
| **PS-2.1**  **PS-2.1.1**  **PS-2.1.2**  **PS-2.1.3**  **PS-2.1.4** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization assigns a risk designation to all positions within the organization;* 2. *the organization establishes a screening criteria for individuals filling organizational positions;* 3. *the organization defines the frequency of risk designation reviews and updates for organizational positions; and* 4. *the organization reviews and revises position risk designations in accordance with the organization-defined frequency.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Personnel security policy; procedures addressing position categorization; appropriate codes of federal regulations; list of risk designations for organizational positions; security plan; records of risk designation reviews and updates; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with personnel security responsibilities]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: AC-5  concurrent controls: MA-2, MA-4, MA-5  successor controls: PS-3, PS-6, PS-7, SA-9 |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PS-2.1.1.1** | | **Examine** personnel security policy, procedures addressing position categorization, security plan, or other relevant documents; [*reviewing*] for the risk designations to be assigned to all organizational positions. |
| **PS-2.1.1.2** | | **Examine** risk designations for an agreed-upon [*basic*] sample of organizational positions; [*reviewing*] for evidence that the risk designations identified in PS-2.1.1.1 are being assigned to all organizational positions. |
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| **PS-2.1.2.1** | | **Examine** personnel security policy, procedures addressing position categorization, security plan, or other relevant documents; [reviewing] for the screening criteria established for individuals filling organizational positions. |
| **PS-2.1.2.2** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with personnel security responsibilities; conducting [*basic*] discussions for further evidence of the screening criteria identified in PS-2.1.2.1. |
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| **PS-2.1.3.1** | | **Examine** personnel security policy, procedures addressing position categorization, security plan, or other relevant documents; [*reviewing*] for the frequency for conducting risk designation reviews and updates for organizational positions. |
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| **PS-2.1.4.1** | | **Examine** an agreed-upon [*basic*] sample of risk designation reviews and updates for organizational positions; [*reviewing*] for evidence that risk designations for organizational positions are reviewed and updated in accordance with the frequency identified in PS-2.1.3.1. |
| **PS-2.1.4.2** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with personnel security responsibilities; conducting [*basic*] discussions for further evidence that risk designations for organizational positions are reviewed and updated in accordance with the frequency identified in PS-2.1.3.1. |
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