| **assessment case** | | |
| --- | --- | --- |
| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **PS-5** | PERSONNEL TRANSFER | |
| **PS-5.1**  **PS-5.1.1**  **PS-5.1.2**  **PS-5.1.3** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization reviews logical and physical access authorizations to information systems/facilities when personnel are reassigned or transferred to other positions within the organization;* 2. *the organization defines the transfer or reassignment actions and the time period within which the actions must occur following formal transfer or reassignment; and* 3. *the organization initiates the organization-defined transfer or reassignment actions within an organization-defined time period following formal transfer or reassignment.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* Personnel security policy; procedures addressing personnel transfer; security plan; records of personnel transfer actions; list of information system and facility access authorizations; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with personnel security responsibilities]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: AC-5  concurrent controls: AC-2, AC-3, AC-6, PE-2, PE-3, PS-4  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **PS-5.1.1.1** | | **Examine** personnel security policy, procedures addressing personnel transfer, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to review logical and physical access authorizations to information systems/facilities when personnel are reassigned or transferred to other positions within the organization. |
| **PS-5.1.1.2** | | **Examine** access authorization reviews and personnel transfer records for an agreed-upon [*basic*] sample of personnel transferred or reassigned to other positions within the organization; [*reviewing*] for evidence that the measures identified in PS-5.1.1.1 are being applied. |
|  | |  |
| **PS-5.1.2.1** | | **Examine** personnel security policy, procedures addressing personnel transfer, security plan, or other relevant documents; [*reviewing*] for the transfer or reassignment actions to be initiated when personnel are reassigned or transferred to other positions within the organization. |
| **PS-5.1.2.2** | | **Examine** personnel security policy, procedures addressing personnel transfer, security plan, or other relevant documents; [*reviewing*] for the time period within which the actions identified in PS-5.1.2.1 must occur following formal transfer or reassignment of personnel to other positions within the organization. |
|  | |  |
| **PS-5.1.3.1** | | **Examine** access authorization reviews and personnel transfer records for an agreed-upon [*basic*] sample of personnel transferred or reassigned to other positions within the organization; [*reviewing*] for evidence that the actions identified in PS-5.1.2.1 are initiated within the time period identified in PS-5.1.2.2. |
|  | | |