| **assessment case** | | |
| --- | --- | --- |
| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **SA-13** | TRUSTWORTHINESS | |
| **SA-13.1**  **SA-13.1.1**  **SA-13.1.2** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization defines the organization’s level of trustworthiness; and* 2. *the organization requires that the information system meet the organization-defined level of trustworthiness.*   **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* System and services acquisition policy; procedures addressing security engineering principles used in the development and implementation of the information system; information system design documentation; security requirements and security specifications for the information system; penetration test and vulnerability scan reports; security test and evaluation results; authority to operate documentation; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with system and services acquisition responsibilities; information system authorizing official]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: SA-8, SA-12  concurrent controls: SA-4, SA-5, SA-14, SI-3  successor controls: SA-4, SA-14 |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **SA-13.1.1.1** | | **Examine** system and services acquisition policy, procedures addressing security engineering principles used in the development and implementation of the information system, security plan, or other relevant documents; [*reviewing*] for the organizational level of trustworthiness required for the information system.  Note to assessor: The trustworthiness of an information system may be expressed as a security assurance model/level that defines the security capabilities the information system must consistently demonstrate to achieve the degree of confidence required to authorize the system for operation within defined levels of acceptable risk. |
|  | |  |
| **SA-13.1.2.1** | | **Examine** system and services acquisition policy, procedures addressing security engineering principles used in the development and implementation of the information system, documentation, acquisition contracts, service level agreements, security plan, or other relevant documents; [*reviewing*] for requirement that the information system meet the level of trustworthiness identified in SA-13.1.1.1. |
| **SA-13.1.2.2** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with system and services acquisition responsibilities and/or the authorizing official for the information system; conducting [*basic*] discussions for evidence of the requirement identified in SA-13.1.2.1 |
|  | | |