| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **SA-2** | ALLOCATION OF RESOURCES | |
| **SA-2.1**  **SA-2.1.1**  **SA-2.1.2**  **SA-2.1.3** | **ASSESSMENT OBJECTIVE:**  *Determine if:*   1. *the organization includes a determination of the information security requirements for the information system in mission/business process planning;* 2. *the organization determines, documents, and allocates the resources required to protect the information system as part of its capital planning and investment control process; and* 3. *the organization establishes a discrete line item for information security in organizational programming and budgeting documentation.*   **assessment methods and objects:**  **Examine**: [*select from:* System and services acquisition policy; procedures addressing the allocation of resources to information security requirements; organizational programming and budgeting documentation; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with capital planning and investment responsibilities]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: PM-3, PM-7, PM-11, SA-3, SA-8  concurrent controls: None  successor controls: SA-4, SA-5 |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **SA-2.1.1.1** | | **Examine** system and services acquisition policy, procedures addressing the integration of information security requirements and/or security specifications into the acquisition process, system development life cycle methodology, security requirements and specifications documentation, information system design documentation, security plan, or other relevant documents; [*reviewing*] for the information security requirements for the information system. |
| **SA-2.1.1.2** | | **Examine** system and services acquisition policy, procedures addressing the allocation of resources to information security requirements, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to include a determination of the information security requirements identified in SA-2.1.1.1 for the information system in mission/business process planning. |
| **SA-2.1.1.3** | | **Examine** organizational capital programming and budgeting documentation supporting capital planning and investment requests for the information system; [*reviewing*] for evidence that the measures identified in SA-2.1.1.2 are being applied. |
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| **SA-2.1.2.1** | | **Examine** system and services acquisition policy, procedures addressing the capital planning and investment control process, procedures addressing the allocation of resources to information security requirements, security plan, or other relevant documents; [*reviewing*] for the measures to be employed to determine, document, and allocate the resources required to protect the information system. |
| **SA-2.1.2.2** | | **Examine** organizational capital programming and budgeting documentation supporting capital planning and investment requests for the information system; [*reviewing*] for evidence that the measures identified in SA-2.1.2.1 are being applied. |
| **SA-2.1.2.3** | | **Interview** an agreed-upon [*basic*] sample of organizational personnel with capital planning and investment responsibilities for the information system; [*reviewing*] for further evidence that the measures identified in SA-2.1.2.1 are being applied. |
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| **SA-2.1.3.1** | | **Examine** organizational capital programming and budgeting documentation supporting capital planning and investment requests for the information system; [*reviewing*] for a discrete line item for information security considerations. |
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