| **assessment case** | | |
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| ASSESSMENT – Base Control, Part 1 of 1 | | |
| Assessment Information from Special Publication 800-53A Rev. 1 (June 2010) | | |
| **SI-9** | INFORMATION INPUT RESTRICTIONS | |
| **SI-9.1**  **SI-9.1.1** | **ASSESSMENT OBJECTIVE:**  *Determine if the organization restricts the capability to input information to the information system to authorized personnel.*  **POTENTIAL ASSESSMENT METHODS AND OBJECTS:**  **Examine**: [*select from:* System and information integrity policy; procedures addressing information input restrictions; access control policy and procedures; separation of duties policy and procedures; information system design documentation; information system configuration settings and associated documentation; other relevant documents or records].  **Interview**: [*select from:* Organizational personnel with responsibilities for implementing restrictions on individual authorizations to input information into the information system]. | |
| **Additional Assessment Case Information** | | |
|  | | **POTENTIAL ASSESSMENT SEQUENCING:**  precursor controls: None  concurrent controls: AC-3, AC-5, AC-6, CM-5, MA-5, MP-2, PE-2, PE-3  successor controls: None |
| **Action Step** | | **Potential Assessor Evidence Gathering Actions**  **\*\*See “**[**Assessment Case Overview**](http://csrc.nist.gov/groups/SMA/fisma/assessment-cases-overview.html)**” for selecting, tailoring and executing action steps\*\*** |
|  | | *\*\*Assessment Case Assessor Note:* More convincing evidence (i.e., greater assurance) of correct implementation and operating as intended can be obtained through the assessment case actions by:   1. **Replacing bracketed values in action gathering statements to apply greater rigor in the assessment** (e.g, . replacing [“*reviewing*”] with “*studying*” or “*analyzing*”; replacing [“*observing*”] with “*inspecting*” or “*analyzing*”; replacing [“*basic*”] with “*focused*” or “*comprehensive*”); 2. **Replacing bracketed values in action gathering statements to apply greater sample coverage in the assessment** (e.g, . replacing [“*basic”*] sample with “*focused*” or “*sufficiently large”* sample); 3. **Defining additional action steps to the list of action steps suggested herein that exercise additional test methods** (i.e., Examine, Interview or Test) on additional assessment objects. |
| **SI-9.1.1.1** | | **Examine** security plan, information system design documentation, or other relevant documents; [*reviewing*] for the automated mechanisms and their configuration settings to be employed to restrict the capability to input information to the information system to authorized personnel. |
| **SI-9.1.1.2** | | **Examine** documentation describing the current configuration settings for anagreed-upon [*basic*] sample of the automated mechanisms identified in SI-9.1.1.1; [*reviewing*] for evidence that these mechanisms are configured as identified in SI-9.1.1.1. |
| **SI-9.1.1.3** | | **Test** an agreed-upon [*basic*]sample of the automated mechanisms and their configuration settings identified in SI-9.1.1.1; conducting [*basic*] testing for evidence that these mechanisms are operating as intended. |
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