## Exam Topics (Dec. 11, 2024)

2024. december 15., vasárnap 13:25

<ol> <li>Techniques for generative AI</li> <li>Text to Image models</li> <li>The computational model for Foraging Ants and the details of it's workings</li> <li>The Schelling model and it's working on examples</li> <li>Basic ethical frameworks for technology</li> <li>Different approaches to machine learning (i.e.: supervised, unsupervised, reinforced learning) and their premises, data requirements and limitations</li> <li>The basic concept of supervised learning</li> <li>Supervised learning by decision trees</li> <li>The basic concept of unsupervised learning</li> <li>The working mechanism of k-means algorithm</li> <li>The working mechanism of reinforced learning</li> <li>The Q-learning method</li> <li>Deep-Learning methods, value learning and policy learning</li> <li>The policy gradient algorithm</li> <li>The basic concept of evolutionary algorithms</li> <li>Optimization by genetic algorithm</li> <li>The basic concept of swarm intelligence</li> <li>Optimization by Particle Swarm Optimization</li> <li>The basics of neural networks</li> </ol>	✓ 1. Tests for AGI
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### 1. Tests for Artificial General Intelligence

11:14

2024. december 15., vasárnap

#### Artifical General Intelligence: AGI

- Can match human performance
- Good for any intellectual task



#### Narrow Al

- Can match human performance
- In a narrow, specific domain

#### ★ Tests:::

#### Turing Test:

- Both a machine and a human converse with an another, unseen human
- The evaluator outrules the one it thinks is the machine
- Test is passed when the evaluator is fooled a significant fraction of time

#### • Robot College Student Test (Goertzel):

- A machine takes and passes the same classes that humans would
- It gets a degree
- Some LLMs (Large Language Model) can pass degree-level exams without even attending a single class

#### • Employment Test (Nilsson):

 A machine performs an economically important job atleast as well as humans would

#### Ikea Test (Marcus):

- Aka Flat Pack Furniture Test
- An AI studies the parts and instructions of a product, then controls a machine to assemble it correctly

#### • Coffee Test (Wozniak):

- A machine enters a regular home, and figures out how to make coffee
- o Find machine, coffee, add water/milk etc.
- Not yet comleted

## 2. Techniques for generative Al

2024. december 15., vasárnap 11:39

### **Generative Adversarial Network (GAN):**

• First widely used generative solution

### **Bandit-Cop game:**

- In every iteration, both learn / optimise their output
- After a few rounds, the bandit's output is a new creation

## 3. Text to Image models

2024. december 15., vasárnap

#### **Text to Image models:**

- They take in human text input / prompts, and they create an image that represents said input's content
- There are many widely used models nowadays: Dall-E, Stable-Diffusion, Midjourney

### 4. The CM for Foraging Ants and the details of its workings

2024. december 15., vasárnap

#### Shortest path problem (Observation):

- Ants pick the closest food source first
- Between the nest and said source a direct line is the shortest path
- For navigation, they lay 2 types of pheromones:
  - The first is when they are searching for food (A)
  - o The second is when they are carrying the food back to the nest (B)
- They follow these pheromones stochastically:
  - When heading out for food: (B)
  - o When bringing food back: (A)
  - These pheromone-trails fade after some time
  - Time and effort to reinforce is proportional to the length

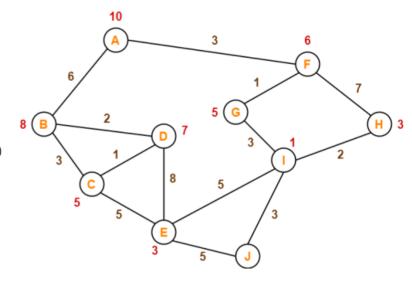
#### Page 99 - Page 100

#### Application:

- Many types, starting from the generic "shortest path" problem
  - o Routing, scheduling, "Travelling Salesman" etc.
- Ant Colony Optimisation (ACO):
  - o Graph, instead of 2D grid (pheromone accumulates on edges)
  - o Random starting node
    - Next is selected probabilistically
  - o Stopping when most ants select the same route

#### Shortest path problem (Model):

- Ants wander around on a 2D grid starting from the nest
- · They avoid obstacles
- They follow pheromones if possible (probabilistically)
- Pick up food if not carrying
  - Place food if at nest + if carrying
  - Meanwhile they constantly lay pheromones
  - Pheromone evaporates at a constant rate of time



### 5. The Schelling model, and it's workings on examples

2024. december 15., vasárnap 13:35

#### A model of housing segregation (Thomas Schelling, 1978):

- 2D environment
- Two types of household: Oranges and Blues
- Each has a tolerance level (TL, max % of different households next to them, ignore empty)
  - o If segregation IvI. < avg. Tolerance IvI.: random population configuration
  - $\circ$  If segregation lvl.  $\geq$  avg. Tolerance lvl.: segregated population
- If below the TL, the household moves to an empty location
- Variants:
  - o Move to random empty,
  - Move to closest empty,
  - o Move if happy there, etc

#### What does the simulation show?

- Groups of same-coloured households emerge
- This grouping would happen "naturally" over time
- Even with an avarage TL of 70%

Notes on topics - 6. lap

## 6. The basic ethical frameworks for technology

2024. december 15., vasárnap 13:55

Ethics in technology and AI fields, now probably more than ever, is a very hot topic. More and more people fear that AI is going to - or at least is going to try - to **take their jobs**, which certainly can be a real threat, but it is not that simple. For example, many people view **AI as a tool** to enhance our abilities, to **help with simple, repetative tasks**. Moreover there are **fields** whose goal is to **bridge that gap** between humans and AI, for example Ethorobotics.

#### **Ethorobotics:**

- Give the technology a body to connect more easily with humans
- Integrate robots into everyday life
- Process:
  - Study the behaviour of animals (deep learning)
  - o Design a robot thats able to perform the taskss
  - o Implement the learned patterns into robots
- Be aware: uncanny valley

### 7/8/10. Different approaches to Machine Learning

2024. december 15., vasárnap 14:54

#### Supervised learning

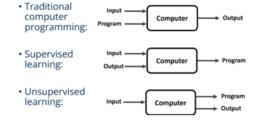
- The input is labeled data
- The objective: generalize knowledge to be able to label new, unlabeled data
- Learn a function that maps inputs to outputs
- · Performance is measured on training sets and test sets
- Generalization:
- Generalizes well if accuratly performs on test set
- Bias-Variance tradeoff:
  - Bias: tendency to deviate from expected value on training sets
  - o Variance: change in hypothesis due to fluctuation in training data

Low-bias	Low-Variance
More complex	• Simpler
• Fits training data more	Generalize better

- Underfitting: fail to find a pattern (too simple model)
- Overfitting: fits too much to the particular training set, performes poorly on unseen data

#### Jnsupervised learning:

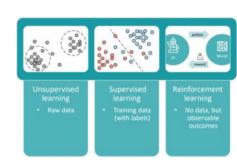
- The input is unlabeled data en masse
- The objective: learn the hidden structure of data, in order to later categorize it (broadly)
- Learn patterns in data without explicit feedback



- Clustering
  - Cluster: group of data very similar/close to eachother
  - o Usage: reducing number of data, labels not always known
  - Market/Data analysis
- Different types of clustering:
  - Agglomerative, SAHN, k-means etc.

#### Reinforcement learning

- No prior knowledge of system
- Gathers feedback to learn and maximize an objective
- The agent (it) can move/execute different actions, and it gets feedback for those actions
- At first it has to gather insights, and later it must exploit the learned knowledge to adjust its behaviour in order to achieve its goal faster, more precisely etc.
- Learn beneficial actions based on rewards / punishments



#### 9. Supervised Learning with Decision tees

2024. december 15., vasárnap 16:09

- What is a decision tree?

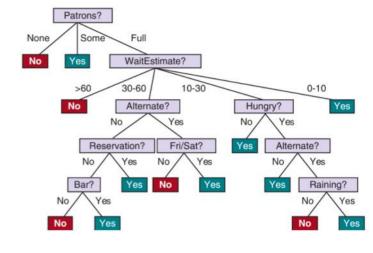
   Maps a vector of values to a single output ("decision")
- Starts from Root Node
   Explores branches based on the input
- Eventually reaches a Leaf Node
   (Node: test of input value)
- Find the most important feature, then solve sub-problems sequentially

- More attributes => overfitting is more likely
   More training sets => overfitting is less likely

- Eliminate not-relevant nodes
   Take a node that has only Leafs as children
   of the test is irrelevant: replace itself with a Leaf
   (Use significance tests to determine if irrelevant)

Pros	Cons
Easy to understand Scalable	If the tree is <b>deep</b> , getting a prediction can be <b>expensive</b>
Perform classification and regression	Unstable (adding a node can change the whole tree)

### Example: Waiting in restaurant



### 11. k-means algorithms

2024. december 15., vasárnap 16:27

#### What is k-means?

- Iterative process for clustering
- It's input:
  - $\circ \{x_1, \dots, x_n\} \subseteq R^p \text{ data set}$
  - o K, the predefined number of clusters
  - $\circ$   $t_{max}$  is the maximum number of iterations
  - $\circ \parallel . \parallel_v$  (v-norm) is a distance measure
  - ε tolerance
- The output:
  - o The partition matrix (U)
  - o The cluster centers (V)

#### The process:

- At the start, we initialize the clusters and centers randomly
- We assign every point to a cluster based on the nearest center point
- Recalculate the centerpoints, move K to the mean of the cluster
- Repeat until no more points can change their membership

Pros	Cons
Easy to implement     Relatively efficient	<ul> <li>Unable to handle noisy data and outliers</li> <li>Often only finds the local minimum</li> </ul>

```
for t in [0, t_max]:
    # Determine the partition matrix U[t]
    # according to the previous iteration's cluster centers V[t-1]
    U[t] = Determine_Partition_Matrix(V[t - 1])

# Determine the cluster centers
# now according to the new partition matrix
    V[t] = Determine_Cluster_Centroids(U[t])

# If the distance between two centroids is smaller than the tolerance
# then end the loop
if Distance_With_V_Norm(V[t], V[t - 1]) <= epsilon:
    break</pre>
```

### 13. Q-learning method

2024. december 16., hétfő 12:01

#### The Q-function:

- Captures the **expected total reward** an agent, who's in the **state**  $s_t$ , can get by executing the **action**  $a_t$
- E[...] stands for "expected
- $R_t$  is the total reward from time t

$$Q(s_t, a_t) = \mathbb{E}[R_t | s_t, a_t]$$

#### Policy $\pi(s)$ :

- Chooses the best action to take in state s
- Should choose an action that maximizes future reward

$$\pi^*(s) = \arg\max_a Q(s, a)$$

- For humans, it can be very difficult to find / accurately estimate Q values
- Use (Deep) NNs to learn the Q function, then use Q to infer the optimal policy

#### **Downsides:**

- Complexity
  - Can't handle continious action spaces
  - o The smaller and the more discrete, the better
- Flexibility
  - Cannot learn stochastic policies

## 14. Deep Learning: Value and Policy learning

2024. december 16., hétfő 12:16

#### Value learning:

- We use NNs to find the Q-function
- Use the Q-function to infer the optimal policy

#### **Policy learning:**

- Approximate the Q-function
- Use the Q-function to infer the optimal policy
- Policy Gradient:
  - o Optimizing the policy
  - $\circ\quad$  Enables the modeling of continious action spaces

## 15. Policy Learning: Policy Gradient algorithm

2024. december 16., hétfő 12:32

### The training algorithm:

- Initialize the agent and run a policy until termination
- Record all used states, actions and the rewards
- Decrease/Increase the probability (w and w') of the action that resulted in low/high reward
  - The amount is determined by the Policy Gradient

$$PG = \log(P(a_t|s_t)) * R_t w' = w \pm PG$$

## 16. Basic concept of EAs

2024. december 16., hétfő 12:39

#### Basic principle:

- Search on the population of solutions, guided by the laws known from biology
- Individuals in the population are the solutions of a given problem
- Population is evolving, meaning we obtain better individuals

The idea of using simulated evolution to solve design and engineering problems has been around since the 50's

#### 3 forms of EAs:

- Evolutionary programming (solving prediction problems)
- Genetic algorithms (solving parameter optimization problems)
- Evolution strategies (develop robust, adaptive systems)

### 17. Optimization by genetic algorithm

2024. december 16., hétfő 12:55

- Genetic algorithm:

  Population based optimization

  Stochastic
  Bio-inspired operators: selection, crossover, mutation

- There are many ways to select chromosomes to survive to the next generation
  For example: Roulette wheel
  The better the chromosome, the higher chance it has to survive



children

- Divide the parents along one or more **random separation** point(s)

1 1 1 1 0 0 0 0 0 0 0 0 0 0 0 0

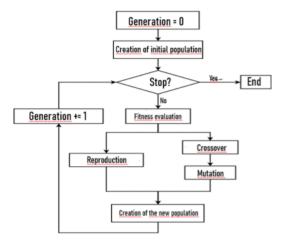
#### Alter each of the children's gene independently The chance of altering is the "Mutation rate" (p<sub>m</sub>) Divide the parents along one or more random separation points, Create children whose traits are their parents' exchanged "DNA" 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 parents 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 parent 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 0 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 0 1 0 0 1 0 1 1 0 0 0 1 0 1 1 0 0 1 child

### 18. Concept of genetic programming

2024. december 16., hétfő 13:06

#### Genetic Programming

- Applies the approach of genetic algorithm to computer programs
- Individuals here are described by an expression tree



#### Differences compared to EAs: Evolutionary operators

#### • Reproduction:

- Select an individual based on chromosomes
- o Copy it into the next generation

#### • Mutation:

- o Select a parent and pick a point in it's expression tree
- Delete the subtree from the selected point, and generate a new subtree the same way as the initial population was generated
- $\circ$   $\;$  Put the offspring (the complete, new tree) into the next generation

#### Crossover

- Select 2 parents, and pick randomly one-one point in their tree
- $\circ \quad \text{Exchange the subtrees starting from these points} \\$
- o Put the results into the new generation

## 19. Swarm Intelligence

2024. december 16., hétfő 13:20

### Basic concept:

- Collective system capable of **performing complex tasks** in **dynamic, changing environments** without external control or coordination
- Collective performance cannot be achieved by a single organism alone
- Models based on this are suitable for distributed problem solving

## 20. Optimization by Particle Swarm Optimization

2024. december 16., hétfő 13:53

#### PSO:

- Applies the concept of social interaction to problem solving
- Uses particles that move in swarms in the search space, searching for the best solution
- Particles adjust their "flight" based on their and their comrades' experiences
- Solutions:
  - o Gbest: best solution achieved by the whole swarm
  - o Pbest: best solution by a lone particle
- Particles accelerate toward the two points, each time with randomly weighted acceleration

# 21. Recent swarm intelligence techniques: Firefly algorithm

2024. december 16., hétfő 16:43

#### Firefly:

- Purpose:
  - Attract partners
  - Attract prey
  - o Protective warning mechanism
- They have limited light intensity

#### The algorithm:

- Inspired by the behaviour of real world fireflies
- Similar to the PSO
- We assume that:
  - The fireflies are unisex
  - Attractiveness is based on brightness, effected by distance
  - o Brightness is determined by an objective function
- Light intensity of fireflies is proportional to the quality of solution
- Each firefly will move towards the brighter fireflies

### 22. Basics of Neural Networks

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#### **Neural Networks:**

- The building block of a network is a neutron
- Neutron:
  - o Information processing unit
  - o Mimicks real neutrons
  - Can perform only simple tasks, but connect them into layers and BOOM, they can collectively achieve more complex solutions of more complex problems
- Each neuron has an input, and a weight ssociated with it
- These inputs can be many types, starting from binary values all the way to functions

## 23. Perception, Percetion training

2024. december 17., kedd 8:34

### The algorithm:

- Has 4 steps:
  - Initialization
    - We set the initial weight values to a random threshold value
    - Set the learning rate to a positive number, that is less than 1
  - Activation
    - Calculate the output values with the chosen activation function
  - o Weight learning
    - Update weight values based on the calculated error
  - o Iteration
    - Repeat 2 and 3 until convergence

### 24. CRISP-DM

2024. december 17., kedd

- 8:45
- The most popular framework for executing data science projects
- 6 phases:
  - Business understanding
    - What the business needs
  - Data understanding
    - What data do we need
    - Is that data clean?
  - Data preparation
    - How should we organize the data for modelling
  - Modelling
    - What model we should use
  - Evaluation
    - Did we achieve our goals?
  - Deployment