### DAA – Unit III

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## **SYLLABUS**

Durat	ion (hour)	15	15	15	15	15
	SLO-1	Introduction-Algorithm Design	Introduction-Divide and Conquer	Introduction-Greedy and Dynamic Programming	Introduction to backtracking - branch and bound	Introduction to randomization and approximation algorithm
S-1	SLO-2	Fundamentals of Algorithms	Maximum Subarray Problem	Examples of problems that can be solved by using greedy and dynamic approach	N queen's problem - backtracking	Randomized hiring problem
	SLO-1	Correctness of algorithm	Binary Search	Huffman coding using greedy approach	Sum of subsets using backtracking	Randomized quick sort
S-2	SLO-2	Time complexity analysis	Complexity of binary search	Comparison of brute force and Huffman method of encoding	Complexity calculation of sum of subsets	Complexity analysis
S-3	SLO-1	Insertion sort-Line count, Operation count	Merge sort	Knapsack problem using greedy approach	Graph introduction	String matching algorithm
5-5	SLO-2	Algorithm Design paradigms	Time complexity analysis	Complexity derivation of knapsack using greedy	Hamiltonian circuit - backtracking	Examples
\$ 4-5	SLO-1 SLO-2	Lab 1: Simple Algorithm-Insertion sort	Lab 4: Quicksort, Binary search	Lab 7: Huffman coding, knapsack and using greedy	Lab 10: N queen's problem	Lab 13: Randomized quick sort
	SLO-1	Designing an algorithm	Quick sort and its Time complexity analysis	Tree traversals	Branch and bound - Knapsack problem	Rabin Karp algorithm for string matching
S-6	SLO-2	And its analysis-Best, Worst and Average case	Best case, Worst case, Average case analysis	Minimum spanning tree - greedy Kruskal's algorithm - greedy	Example and complexity calculation.  Differentiate with dynamic and greedy	Example discussion
S-7	SLO-1	Asymptotic notations Based on growth functions.	Strassen's Matrix multiplication and its recurrence relation	Minimum spanning tree - Prims algorithm	Travelling salesman problem using branch and bound	Approximation algorithm
3-/	SLO-2	0,0,θ, ω, Ω	Time complexity analysis of Merge sort	Introduction to dynamic programming	Travelling salesman problem using branch and bound example	Vertex covering
S-8	SLO-1	Mathematical analysis	Largest sub-array sum	0/1 knapsack problem	Travelling salesman problem using branch and bound example	Introduction Complexity classes
0-0	SLO-2	Induction, Recurrence relations	Time complexity analysis of Largest sub- array sum	Complexity calculation of knapsack problem	Time complexity calculation with an example	P type problems
S 9-10	SLO-1 SLO-2	Lab 2: Bubble Sort	Lab 5: Strassen Matrix multiplication	Lab 8: Various tree traversals, Krukshall's MST	Lab 11: Travelling salesman problem	Lab 14: String matching algorithms

S-1		Solution of recurrence relations	Master Theorem Proof	Matrix chain multiplication using dynamic programming	Graph algorithms	Introduction to NP type problems
.		Substitution method Master theorem examples		Complexity of matrix chain multiplication	Depth first search and Breadth first search	Hamiltonian cycle problem
S-1		Solution of recurrence relations	Finding Maximum and Minimum in an array	Longest common subsequence using dynamic programming	Shortest path introduction	NP complete problem introduction
		Recursion tree	Time complexity analysis-Examples	Explanation of LCS with an example	Floyd-Warshall Introduction	Satisfiability problem
S-1		Solution of recurrence relations	Algorithm for finding closest pair problem	Optimal binary search tree (OBST)using dynamic programming	Floyd-Warshall with sample graph	NP hard problems
.		Examples	Convex Hull problem	Explanation of OBST with an example.	Floyd-Warshall complexity	Examples
S 14-1	SLO-1 SLO-2	Lab 3: Recurrence Type-Merge sort, Linear search	Lab 6: Finding Maximum and Minimum in an array, Convex Hull problem	Lab 9: Longest common subsequence	Lab 12: BFS and DFS implementation with array	Lab 15: Discussion over analyzing a real time problem

### INTRODUCTION TO GREEDY PROGRAMMING

- The greedy method is a straight forward method, because the decision for the solution is taken based on the information that is available. Here the solution is constructed through a sequence of steps, each steps are expanding a partially constructed solution obtained so far. This procedure is repeated until complete solution to the problem is reached. At each step the choices are made to be
- Feasible: It has to satisfy the problem's constraints.
- Locally Optimal: It has to be the best local choice among all feasible choices available on that step.
- Irrevocable: once made, it cannot be changed on subsequent steps of the algorithm.
- In general, greedy algorithms gives the optimal solution.

#### **Example:**

• Consider the five character alphabet {A, B, C, D, -} with the following occurrence probabilities:

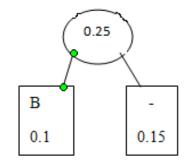
Charac ter	A	В	С	D	-1
Probab ility	0.35	0.1	0.2	0.2	0.15

#### Step1: List the probability in ascending order.

Character	В	1	С	D	A
Probability	0.1	0.15	0.2	0.2	0.35

Step 2: Tie the Smallest 2 probability.

Character	В	-	С	D	A
Probability	0.1	0.15	0.2	0.2	0.35



Step 3: Consider the new root as new probability.

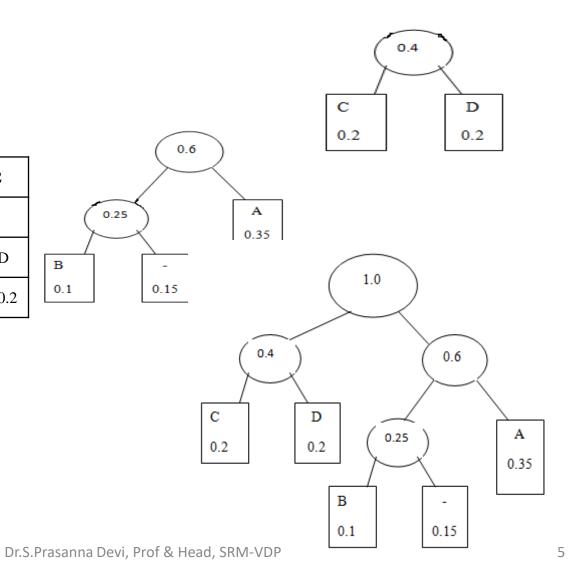
С	D	Ne	A	
0.2	0.2	0.2	0.35	
	-	В	-	
		0.1	0.15	

Step 4:

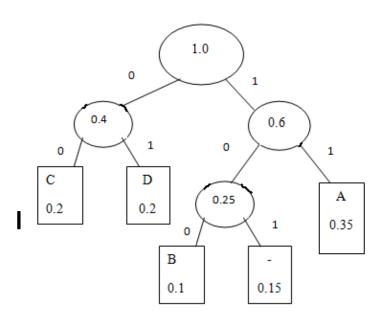
No	ew1	A	New2		
0.25		0.35	0.4		
В	-		С	D	
0.1	0.15		0.2	00.2	

Step 5:

No	New3			
(	0.6			
С	D	0.2	25	A
0.2	0.2	В -		0.35



#### Final Tree & Code Table



Character	Probability	Codeword
A	0.35	11
В	0.1	100
С	0.2	00
D	0.2	01
-	0.15	101

- Its encoding is used in file compression algorithm.
- It is used in transmission of data in the form of encoding.
- This encoding is used in game playing method in which decision trees need to be formed

No. of bits per character = 
$$\sum_{i=1}^{n}$$
 (length of codeword \* frequency of corresponding character)

```
\operatorname{Huffman}(C)
                                                           O(n log n) for
n \leftarrow |C|
                                                        creating a priority
Q \leftarrow C
                                                               Queue
for i \leftarrow 1 to n-1
      do allocate a new node z
          left[z] \leftarrow x \leftarrow Extract-Min(Q)
          right[z] \leftarrow y \leftarrow Extract-Min(Q)
         f(z) \leftarrow f(x) + f(y)
         Insert(Q, z)
return Extract-Min(Q)
                                              Complexity: O(n \lg n)
```

```
Average code length per character = \frac{\Sigma \text{ (frequency}_i \times \text{code length}_i)}{\Sigma \text{ frequency}_i}
= \Sigma \text{ (probability}_i \times \text{code length}_i)
```

## COMPARISON OF BRUTE FORCE AND HUFFMAN METHOD OF ENCODING

Message: BCCABBDDAECCBBAEDDCC

1000010,1000011, 1000011,10000001....

	ASCII Alphabet					
	Α	1000001	Ν	1001110		
	В	1000010	o	1001111		
	С	1000011	P	1010000		
	D	1000100	Q	1010001		
	E	1000101	Ř	1010010		
	F	1000110	S	1010011		
	G	1000111	т	1010100		
	Н	1001000	U	1010101		
	1	1001001	v	1010110		
	J	1001010	W	1010111		
	K	1001011	X	1011000		
	L	1001100	Y	1011001		
	М	1001101	Z	1011010		
Druto						
Brute						
Force						
	UI					

Char	Count	Code				
Α	3	001				
В	5	10				
С	6	11				
D	4	01				
Е	2	000				
Huffman Encoding						

Total = 20 letters \* 8 bits = 160 bits.

Message Size = (3\*3 + 5\*2 + 6\*2 + 4\*2 + 2\*3) = 45 + 6\*2 + 4\*2 + 2\*3 = 45 + 6\*2 + 4\*2 + 2\*3 = 45 + 6\*2 + 4\*2 + 2\*3 = 45 + 6\*2 + 4\*2 + 2\*3 = 45 + 6\*2 + 4\*2 + 2\*3 = 45 + 6\*2 + 4\*2 + 2\*3 = 45 + 4\*2 + 2\*3 = 45 +

### KNAPSACK USING GREEDY APPROACH

#### Algorithm: Greedy-Fractional-Knapsack (w[1..n], p[1..n], W)

```
for i = 1 to n
do x[i] = 0
weight = 0
for i = 1 to n
```

if weight + w[i] ≤ W then
x[i] = 1
weight = weight + w[i]
else x[i] = (W - weight) / w[i]
weight = W - weight
break

return x

#### FRACTIONAL KNAPSACK PROBLEM



Objects i	1	2	3	4	5	6	7	8
Profit p	10	9	5	8	3	12	9	15
Weight w	3	5	1	2	1	9	6	4
Prof/wt. p/w	3.33	1.8	5	4	3	1.33	1.5	3.75





Sort the array in decreasing order according to the ratio of profit/weight

Objects i	1	2	3	4	5	6	7	8
Prof/wt. p/w	5	4	3.75	3.33	3	1.8	1.5	1.33
Profit p	5	8	15	10	3	9	9	12
Weight w	1	2	4	3	1	5	6	9

Capacity	Total Profit	Profit/weight
15	0	0
15-1= 14	5	5
14-2= 12	5+8=13	4
12-4 = 8	13+15=28	3.75
8- 3 = 5	28+10=38	3.33
5 – 1 = 4	38+ 3= 41	3

Next item has weight 5 but capacity is 4, so we place a fraction of it

0

41 + 1.8\*4=48.2

1.8

~algoskills

## COMPLEXITY DERIVATION OF FRACTIONAL KNAPSACK USING GREEDY APPROACH

- Time taken for calculating Pi/Wi = O(n)
- Time taken for sorting Pi/Wi = O(n log n)
- Total complexity = O(n) + O(n logn)= O(n log n)

### TREE TRAVERSALS

#### LEVEL ORDER TRAVERSAL

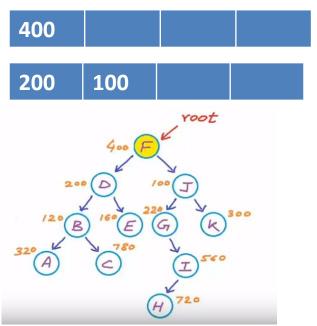
```
T(n) = O(n)

S(n) = O(n/2) - Avg/Worst Case

S(n) = O(1) - Best Case
```

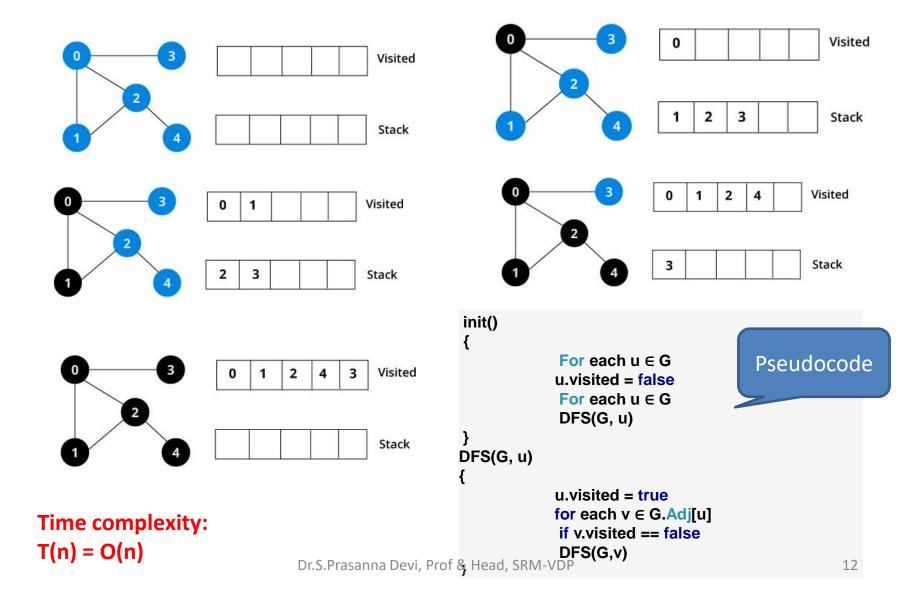
#### **FDJBEGKACIH**

#### Queue



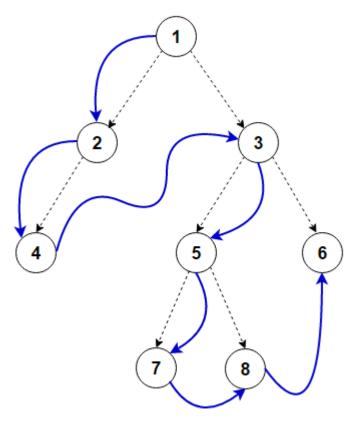
```
Struct Node
Char data:
Node * left;
Node * right;
Void LevelOrder(Node * root)
    if (root==NULL) return;
    queue<Node *> Q; //Queue with ptr to Node
    Q.push(root);
    while(! Q.empty())
          Node * current = Q.front();
          print current->data;
          if(current ->left !=NULL) Q.push(current ->left)
          if(current->right! = NULL) Q.push(current ->right);
          Q.pop();
```

## Tree Traversal - Depth First Search



### Pre-order Tree Traversal - Depth First Search

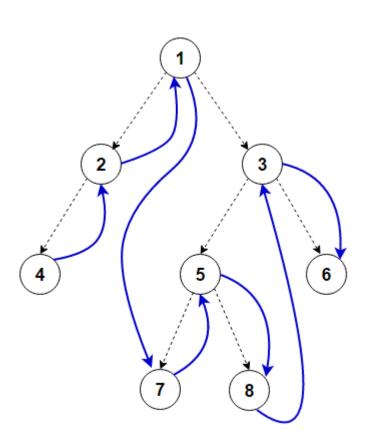
### • Preorder : N, L, R



Preorder: 1, 2, 4, 3, 5, 7, 8, 6

```
// Recursive function to perform pre-order traversal
of the tree
void preorder (Node *root)
           // if the current node is empty
           if (root == nullptr)
                       return;
           // Display the data part of the root (or
current node)
           print (root->data );
           // Traverse the left subtree
           preorder(root->left);
           // Traverse the right subtree
           preorder(root->right);
```

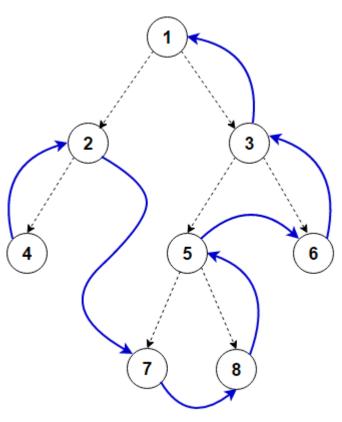
## In-order Tree Traversal - Depth First Search



Inorder: 4, 2, 1, 7, 5, 8, 3, 6

```
// Recursive function to perform in-order
traversal of the tree
void inorder(Node *root)
          // return if the current node is empty
          if (root == nullptr)
                    return;
          // Traverse the left subtree
          inorder(root->left);
          // Display the data part of the root
(or current node)
          print (root->data);
          // Traverse the right subtree
          inorder(root->right);
```

### Post-order Tree Traversal - Depth First Search

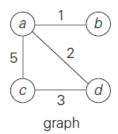


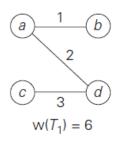
Postorder: 4, 2, 7, 8, 5, 6, 3, 1

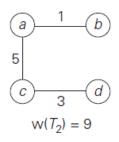
```
// Recursive function to perform post-order
   traversal of the tree
void postorder(Node *root)
   // if the current node is empty
    if (root == nullptr)
          return;
   // Traverse the left subtree
    postorder(root->left);
   // Traverse the right subtree
    postorder(root->right);
   // Display the data part of the root (or
    current node)
    print (root->data);
```

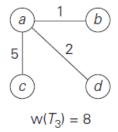
### MINIMUM SPANNING TREE

- **Spanning Tree:** A spanning tree of a connected graph is its connected a cyclic subgraph (i,e; a tree) that contains all the vertices of the graphs.
- Minimum Spanning Tree: A Minimum Spanning Tree of a weighted connected graph is its spanning tree of the smallest weight.
- **Weight:** The weight of the tree is defined as the sum of the weights on all its edges. The minimum spanning tree problem is the problem of finding a minimum spanning tree for a given weighted connected graph.









### MST - KRUSKAL'S ALGORITHM

Kruskal's algorithm used for solving minimum spanning tree (MST) problem. This algorithm is discovered by a student Joseph Kruskal. In this algorithm, we always select the minimum cost edge but it is not necessary when selected edge is with optimum one in adjacent.

#### **Procedure:**

- Initially there are |V| single node tree. Each vertex is initially in its own set.
- Selected the edges (u, v) in the order of smallest weight and accepted if it does not cause the cycle.
- Adding an edge merges 2 trees into one.
- Repeat step 2 until the tree contains all the n vertices.

## MST - KRUSKAL'S ALGORITHM Psuedocode and Time Complexity

- T(n) = O(1) + O(V) + O(E log E) + O(V log V)
   T(n) = O(E log E) + O(V log V)
- T(n) = E log E

```
MST-KRUSKAL(G, w)

o(1) \square 1 A = \emptyset

O(V) 2 for each vertex v \in G.V

3 MAKE-SET(v)

o(E logE) \square 4 sort the edges of G.E into nondecreasing order by weight w

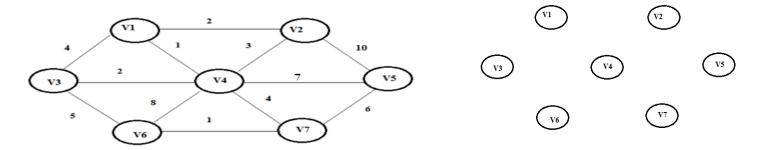
5 for each edge (u, v) \in G.E, taken in nondecreasing order by weight if FIND-SET(u) \neq FIND-SET(v)

7 A = A \cup \{(u, v)\}

UNION(u, v)

9 return A
```

## MST - KRUSKAL'S ALGORITHM Example



V1V4	V6V7	V1V2	V3V4	V2V4	V1V3	V4V7	V3V6	V5V7	V4V5	V4V6	V2V5
1	1	2	2	3	4	4	5	6	7	8	10

## MST - KRUSKAL'S ALGORITHM Example (Contd..)

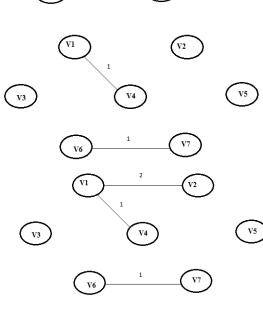
V1V4	V6V7	V1V2	V3V4	V2V4	V1V3	V4V7	V3V6	V5V7	V4V5	V4V6	V2V5
1	1	2	2	3	4	4	5	6	7	8	10

Select the first smallest edge V1V4, both the nodes are different sets it does not form cycle.

v<sub>1</sub> v<sub>2</sub> v<sub>2</sub> v<sub>3</sub> v<sub>4</sub> v<sub>5</sub> v<sub>5</sub>

Select the next smallest edge V6-V7. Those 2 vertexes are different set it does not form a cycle including in the MST.

Select the next smallest edge V1-V2, both are different sets so it is included in the tree.



## MST - KRUSKAL'S ALGORITHM Example (Contd..)

V1V4	V6V7	V1V2	V3V4	V2V4	V1V3	V4V7	V3V6	V5V7	V4V5	V4V6	V2V5
1	1	2	2	3	4	4	5	6	7	8	10

Select the next smallest edge V3—V4, both are different sets so it is included in the tree.

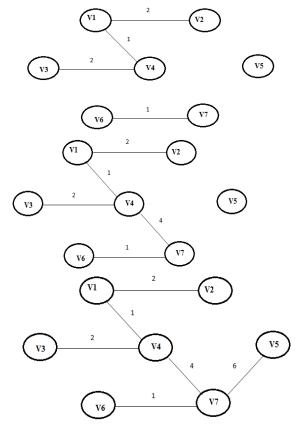
Select the next smallest edge V2-V4, both V2 & V4 are same set. It form cycle so V2-V4 edge is rejected.

Select the next smallest edge V1-V3, it form cycle so V1-V3 edge is rejected.

Select the next smallest edge V4-V7. It is included in the tree.

Select the next smallest edge V3-V6, it form cycle so V3-V6 edge is rejected.

Select the next smallest edge V5-V7, both V5 & V7 are different set. So it is included in the spanning tree.



All the nodes are included. The cost of minimum spanning tree is = 2 + 1 + 2 + 4 + 1 + 6 = 16

### MST – PRIMS ALGORITHM

#### **Procedure:**

- Prim's algorithm constructs a minimum spanning tree through a sequence of expanding sub trees.
- The initial sub tree in such a sequence consists of a single vertex selected arbitrarily from the set V of the graph's vertices.
- On each iteration, we can expand the current tree in the greedy manner by simply attaching to it the nearest vertex not in that tree.
- The algorithm stops after all the graph's vertices have been included in the tree being constructed.
- Since the algorithm expands a tree by exactly one vertex on each of its iterations, the total number of such iterations is n-1, where n is the number of vertices in the graph.
- The tree generated by the algorithm is obtained as the set of edges used for the tree expansions.

#### **Time Complexity**

This algorithm takes its most of time in selecting the edges with minimum length.

#### Time complexity of Prim's algorithm in case of binary heap is

Where

 $\theta(|E|\log_2|V|)$ 

E – Total number of edges.

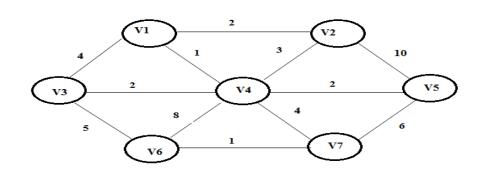
V – Total number of vertices.

#### **Applications of Spanning trees:**

Spanning trees are very important in designing efficient routing algorithms.

Spanning trees have wide applications in many areas such as network design.

## MST – PRIMS ALGORITHM- Example



V	Know	$\mathbf{d_{v}}$	$P_{v}$
V1	0	0	0
V2	0	$\infty$	0
V3	0	$\infty$	0
V4	0	$\infty$	0
V5	0	$\infty$	0
V6	0	$\infty$	0
V7	0	$\infty$	0

Let us select V1 as initial node in the spanning tree and construct initial configuration of the table.















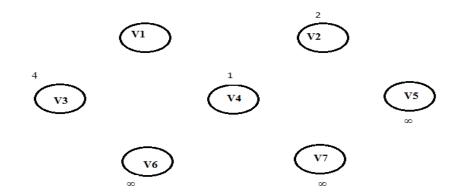
Now V1 is declared as known vertex. Then its adjacent vertices V2V3V4 are updated.

 $T[V2].dist = Min (T[V2].dist (V1V2)) = Min (\infty, 2) = 2$ 

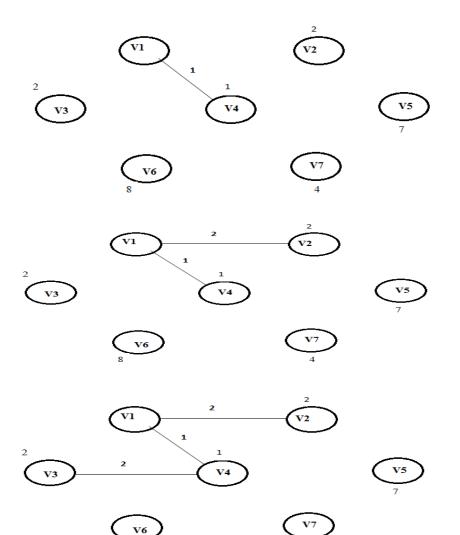
 $T[V3].dist = Min (T[V3].dist (V1V3)) = Min (\infty, 4) = 4$ 

 $T[V4].dist = Min (T[V4].dist (V1V4)) = Min (\infty, 1) = 1$ 

V	Know	$\mathbf{d_v}$	$P_{v}$
V1	1	0	0
V2	0	2	V1
V3	0	4	V1
V4	0	1	V1
V5	0	$\infty$	0
V6	0	$\infty$	0
V7	0	$\infty$	0



### MST – PRIMS ALGORITHM- Example (Contd)...

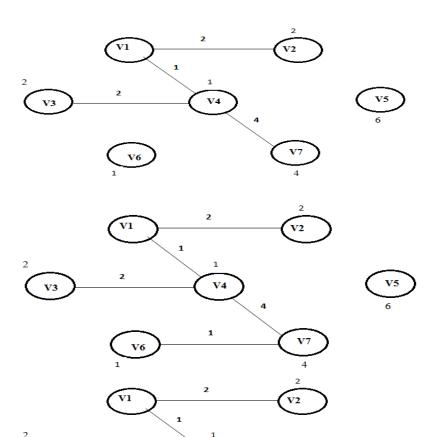


V	Know	$\mathbf{d_v}$	$\mathbf{P_{v}}$
V1	1	0	0
V2	0	2	V1
V3	0	2	V4
V4	1	1	V1
V5	0	7	V4
V6	0	8	V4
V7	0	4	V4

V	Know	$\mathbf{d_v}$	$P_{v}$
V1	1	0	0
V2	1	2	V1
V3	0	2	V4
V4	1	1	V1
V5	0	7	V4
V6	0	8	V4
V7	0	4	V4

V	Know	$d_{v}$	$P_{v}$
V1	1	0	0
V2	1	2	V1
V3	0	2	V4
V4	1	1	V1
V5	0	7	V4
V6	0	5	V3
V7	0	4	V4

### MST – PRIMS ALGORITHM- Example (Contd)..



<ul><li>The minimum</li></ul>	cost	of spa	anning	tree	is	16.	•
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V	Know	$\mathbf{d_v}$	$P_{v}$
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	0	6	V7
V6	0	1	V7
V7	1	4	V4

V	Know	$\mathbf{d_v}$	$P_{v}$
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	0	6	V7
V6	0	1	V7
V7	1	4	V4

V	Know	$\mathbf{d_v}$	$P_{v}$
V1	1	0	0
V2	1	2	V1
V3	1	2	V4
V4	1	1	V1
V5	0	6	V7
V6	0	1	V7
V7	1	4	V4

### MST – PRIMS ALGORITHM

```
ALGORITHM Prim(G)
//Problem Description: Prim's algorithm for constructing a minimum
    spanning tree
//Input: A weighted connected graph G = <V, E>
//Output: E_{\tau}, the set of edges composing a minimum spanning tree of G
VT \leftarrow \{v_0\} //the set of tree vertices can be initialized with any vertex
ET←®
for i \leftarrow 1 to |V| - 1 do
    find a minimum-weight edge e^* = (v^*, u^*) among all the edges (v, u)
    such that v is in V_{\tau} and u is in V - V_{\tau}
    V_{\tau} \leftarrow V_{\tau} U \{u^*\}
    E_{\tau} \leftarrow E_{\tau} U \{e^*\}
return E_{\tau}
```

## INTRODUCTION TO DYNAMIC PROGRAMMING

#### What is dynamic programming?

- Dynamic programming is an algorithm design technique for optimizing multistage decision processes. This technique is invented by a prominent U.S. mathematician, Richard Bellman, in 1950s. The word "programming" in the name of this technique stands for "planning" and does not refer to computer programming.
- Dynamic programming is a technique for solving problems with overlapping sub-problems. It suggests solving each of the smaller sub-problems only once and recording the results in a table from which a solution to the original problem.

#### General Method:

- Dynamic programming is typically applied to optimize.
- For a given problem, we may get any number of solutions. From all those solution we seek for optimum solution (Minimum value or Maximum value solution). And an optimal solution becomes the solution to the given problem.

#### Principles of optimality:

- The dynamic Programming makes use of principle of optimality when finding solution to given problem. The principle of optimality states that "In an optimal sequence of choices or decisions, each subsequence used also be optimal."
- When it is not possible to apply principle of optimality, it is almost impossible to obtain the solution using dynamic programming approach.
- For example: while constructing optimal binary search tree we always select the value of K which is obtained for minimum last. Thus it follows principle of optimality.

## PROBLEMS SOLVED USING DYNAMIC PROGRAMMING

Dynamic programming can solve the following problems:

There are various problems that can be solved using dynamic programming. They are

- For computing nth Fibonacci number
- Computing binomial coefficient
- Warshall's algorithm
- Floyd's algorithm
- Optimal binary search trees

## 0/1 KNAPSACK PROBLEM using DP Psuedocode

#### Objective

Max ∑piwi

ST constraint

∑wixi <= M

1<=i<=n;

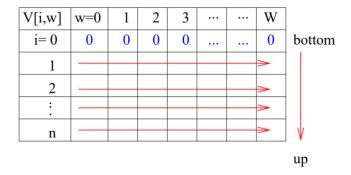
Xi = 0 or 1.

#### Steps:

- 1. Compute S<sup>i</sup> = (pi, wi)
- 2. Assume  $S^0 = (0,0)$
- 3.  $S^{i+1} = \{Merge(S^i, S_1^i)\}$
- Purging Rule: Take two pairs in S<sup>i</sup>
   (pj, wj), (pk, wk); implies (pj < pk), (wj < wk), if not, remove (pj,wj) from the set.</li>
- 5. Find Xi:
  - 1. Xn = 0 if  $(p,w) \in S^{n-1}$
  - 2. Else Xn=1 and (P,W) = (P-pn, W-wn);
  - 3. n = n-1;

## COMPLEXITY OF KNAPSACK ALGORITHM

 Time Complexity: O(nW) where n is the number of items and W is the capacity of knapsack.



## 0/1 KNAPSACK PROBLEM using DP Problem

Refer CW

# Portions for CT2 Until this topic only

## PROBLEMS SOLVED USING DYNAMIC PROGRAMMING - computing nth Fibonacci number

#### **Example:**

Let us consider **Fibonacci Number** for our discussion. Fibonacci serious is identified by European Mathematician Leonardo Fibonacci in 1202. We consider the Fibonacci numbers, a famous sequence

That can be defined by the simple recurrence

$$F(n) = F(n-1) + F(n-2)$$
 for  $n > 1$  -----(2)

And two initial conditions F(0) = 0 and F(1) = 1

If we try to use recurrence (1) directly to compute the *n*th Fibonacci number F(n), then we have to recompute the same values of this function many times like the tree given below

Fig: tree of recursive calls for computing 5<sup>th</sup> Fibonacci number:

```
ALGORITHM F(n)
```

```
//Problem Description:Computes the nth Fibonacci number recursively by using its definition
```

//Input: A nonnegative integer n

//Output: The nth Fibonacci number

if  $n \le 1$ 

return n

else

return 
$$F(n-1) + F(n-2)$$

This algorithm is based on **bottom up dynamic programming** approach, for instance, to compute F(5), we have to compute many smaller sub instances such as F(4), F(3), F(2), F(1) and F(0). For each sub program the solutions are collected, combined and then we get solution to original problem F(5). This is a dynamic programming approach which is used to compute nth Fibonacci number.

## MATRIX CHAIN MULTIPLICATION USING DYNAMIC PROGRAMMING

## COMPLEXITY OF MATRIX CHAIN MULTIPLICATION

## LONGEST COMMON SUBSEQUENCE USING DP

## LONGEST COMMON SUBSEQUENCE USING DP - EXAMPLE

## OPTIMAL BINARY SEARCH TREE USING DP

## OPTIMAL BINARY SEARCH TREE USING DP - EXAMPLE