# 8 Appendix

### 8.1 Proof of Theorem 1

(1) The membership problem for deterministic FAS is decidable in polynomial time. I.e., for any string s, and a deterministic FAS  $\mathcal{A}$ , we can decide whether  $s \in \mathcal{L}(\mathcal{A})$  in polynomial time.

*Proof.* An FAS recognizes a string by treating symbols in a string individually. A symbol y in a string s is recognized if and only if the current state p is reached such that  $y \in p$ . Let  $p_y$  denote the state (a set of nodes) p including symbol y. The next symbol of y is read if and only if y has been recognized at the state  $p_y$ . Let H denote the state-transition diagram of an FAS  $\mathcal{A}$ . Let  $In(\&_i) = \{l | \exists l \in \Sigma : (l \in H. \succ (||i_j) \lor (\exists \&_k \in H. \succ (||i_j) \land l \in In(\&_k))), k \in \mathbb{D}_{\Sigma}, j \in \mathbb{P}_{\Sigma}\}$   $(i \in \mathbb{D}_{\Sigma})$ . The number of nodes in H is  $\lceil \log_2 |\Sigma| \rceil + 2 |\Sigma| + 2$  (including  $q_0$  and  $q_f$ ) at most. Assume that the current read symbol is y and the current state is q:

- 1.  $|q| \ge 1$ ,  $\exists v \in q : y \in H$ .  $\succ (v) \ (v \in \{||_{ij}\}_{i \in \mathbb{D}_{\Sigma}, j \in \mathbb{P}_{\Sigma}} \cup \Sigma)$ . A state (set) q includes  $\lceil \log_2 |\Sigma| \rceil + 2|\Sigma|$  nodes at most. For deterministic FAS, it takes  $\mathcal{O}(|\Sigma|)$  time to search the node v. Then, the state  $p_y = q \setminus \{v\} \cup \{y\}$  can be reached, y is recognized. Thus, for the current state q, it takes  $\mathcal{O}(|\Sigma|)$  time to recognize y.
- 2.  $|q| \geq 1$ ,  $\exists \&_i \in q : y \in In(\&_i)$ . For deterministic FAS, it takes  $\mathcal{O}(|\Sigma|)$  time to search the node  $\&_i$  in state (set) q, and it also takes  $\mathcal{O}(|\Sigma|)$  time to decide whether  $y \in In(\&_i)$ . Then, the state q transits to the state  $q' = q \setminus \{\&_i\} \cup \{||_{ij}|||_{ij} \in H. \succ (\&_i), j \in \mathbb{P}_{\Sigma}\}$ . Then, there is a node  $||_{ij}$  in q' that is checked whether  $y \in H. \succ (||_{ij})$ . Case (1) will be considered. then, for the current state q, it takes  $\mathcal{O}(|\Sigma|^2)$  time to recognize y.
- 3.  $|q| \ge 1$ ,  $\exists \&_i^+ \in q : y \in In(\&_i)$ The state  $\&_i^+$  will transit to the state  $\&_i$ , case (2) is satisfied. Then, for the current state q, it takes  $\mathcal{O}(|\Sigma|^2)$  time to recognize y.
- 4.  $q=q_0$ . If  $y\in H$ .  $\succ (q_0)$ , then, for deterministic FAS, it takes  $\mathcal{O}(|\Sigma|)$  time to search the node y. Otherwise, a node  $\&_i$   $(i\in \mathbb{D}_\Sigma)$  is searched and is decided whether  $y\in In(\&_i)$ . Then, it takes  $\mathcal{O}(|\Sigma|^2)$  time for q transiting to the state  $\&_i$ . Case (2) is satisfied. Then, for the current state q, it takes  $\mathcal{O}(|\Sigma|^2)$  time at most to recognize y.

Thus, for deterministic FAS, and any read symbol y and a current state q, it takes  $\mathcal{O}(|\Sigma|^2)$  time at most to recognize y. Let |s| denote the length of a string s, then for an FAS, it takes  $\mathcal{O}(|s||\Sigma|^2)$  time to recognize s. Therefore, the membership problem for a deterministic FAS is decidable in polynomial time (uniform)<sup>9</sup>.

(2) The deterministic FAS recognizes the language defined by SOREFs.

*Proof.* According to the definition of an FAS, an FAS is defined to recognize the language defined by a SOREF. For the ith subexpression of the form  $r_i = r_{i_1} \& r_{i_2} \& \cdots \& r_{i_k}$   $(i,k \in \mathbb{N},k \geq 2)$ , there are start marker  $\&_i$  and end marker  $\&_i^+$  in an FAS for recognizing the strings derived by  $r_i$ . For each subexpression  $r_{i_j}$   $(1 \leq j \leq k)$  in  $r_i$ , there is a concurrent marker  $||_{ij}$  in an FAS for recognizing the symbols or strings derived by  $r_{i_j}$ .

In addition, for strings recognition, an FAS recognizes a string by treating symbols in a string individually. A symbol y in a string  $s \in \mathcal{L}(r)$  (r is a SOREF) is recognized if and only if the current state (set) p is reached such that  $y \in p$ . A SOREF r is a deterministic expression, every symbol in s can be uniquely matched in r, and for every symbol l in r, there must exist a state (set) in an FAS including l. According to the transition function of an FAS, for the deterministic FAS  $\mathcal{A}$ , every symbol in s can be recognized in a state in  $\mathcal{A}$ . Therefore,  $s \in \mathcal{L}(\mathcal{A})$ . Then,  $\mathcal{L}(r) \subseteq \mathcal{L}(\mathcal{A})$ . The deterministic FAS recognizes the language defined by SOREFs.

#### 8.2 Proof of Theorem 2

*Proof.* For any given finite sample S and a group  $g = [e_1, e_2, \cdots, e_k]$   $(k \ge 2)$  in  $P_{\&}$ , the alphabet symbols in  $e_i$   $(1 \le i \le k)$  occur in S. Thus, there exists a set  $S_{\&}$  of shuffled strings extractable from S such that  $\mathcal{L}(r(e_1)\&\cdots\&r(e_k))\supseteq S_{\&}$ .

Assume that there exists a SOREF r' such that  $\mathcal{L}(r(e_1)\&\cdots\&r(e_k))\supset\mathcal{L}(r')\supseteq S_\&$ . To ensure that r' can capture  $S_\&$ , let  $r'=r(e_1')\&\cdots\&r(e_{k'}')$   $(k'\ge 2)$ , let group  $g'=[e_1',\cdots,e_{k'}']$ . Then,  $k'\le k$ .

If k' < k, then the group g does not have the minimum number of the set of symbols. However, according to lines 5,  $9{\sim}10$ , 7 and 13 in Algorithm 3, the group g with minimum size can be ensured. Then, k' < k does not hold.

Therefore, k' = k, then  $\mathcal{L}(r(e_1)\&\cdots\&r(e_k)) \supset \mathcal{L}(r(e_1')\&\cdots\&r(e_k')) \supseteq S_\&$ . This indicates that there exists  $e_i$  in group g that does not include as many symbols as possible. However, according to lines  $2{\sim}3$  in Algorithm 3, a node (symbol) v with maximum degree is identified, the successors of the node v form a set that can be put in a group, i.e.,  $e_i$  in group g can include maximum number of symbols. There is a contradiction to the assumption. The conclusion in Theorem 2 holds.

#### 8.3 Proof of Theorem 3

(1) The learnt FAS  ${\mathcal A}$  from a sample S is a deterministic FAS.

*Proof.* Let H denote the state-transition diagram of an FAS  $\mathcal{A}$ . By using the SOA built for S (a parameter in  $\mathcal{A}.\Phi$ ) and different marks  $(\&_i,\&_i^+,||_{ij},i\in\mathbb{D}_\Sigma,\in\mathbb{P}_\Sigma)$  for recognizing the shuffled strings extractable from S, every node in H is labelled by distinct symbol. And each symbol y in a string, y is recognized if and only if a state (set) p including symbol (node) y is reached, and only one node in current state is modified if y does not been consumed. Thus, each symbol y in a string can be unambiguously recognized. The FAS  $\mathcal{A}$  is a deterministic FAS.

 $<sup>^9</sup>Note$  that, for non-uniform version of the membership problem for a deterministic FAS, only the string to be tested is considered as input. This indicates that  $|\Sigma|$  is a constant. In this case, the membership problem for a deterministic FAS is decidable in linear time.

(2) There does not exist an FAS  $\mathcal{A}'$ , which is learnt from S such that  $\mathcal{L}(\mathcal{A}) \supset \mathcal{L}(\mathcal{A}') \supseteq S$ . The FAS  $\mathcal{A}$  is a precise representation of S.

*Proof.* Assume that there exists an FAS  $\mathcal{A}'$  learnt from S such that  $\mathcal{L}(\mathcal{A}) \supset \mathcal{L}(\mathcal{A}') \supseteq S$ .

The FAS  $\mathcal{A}$  recognizes the language defined by a SOREF r. Consider the case that  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(r)$ . Let  $S_{\&}$  denote the shuffled strings extracted from the sample S. Suppose  $S_{\&}$  can be captured by the disjoint sets of symbols:  $e_1, e_2, \cdots, e_k$   $(k \geq 2)$  (resp,  $e'_1, e'_2, \cdots, e'_{k'}$   $(k' \geq 2)$ ), where all symbols in each set occurs in FAS  $\mathcal{A}$  (resp. FAS  $\mathcal{A}'$ ). Let  $S = S_{\&}$ . Then,  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(r(e_1)\&r(e_2)\&\cdots\&r(e_k)) \supseteq S_{\&}$ , and  $\mathcal{L}(\mathcal{A}') = \mathcal{L}(r(e'_1)\&r(e'_2)\&\cdots\&r(e'_k)) \supseteq S_{\&}$ . According to Theorem 2, the disjoint sets of symbols:  $e_1, e_2, \cdots, e_k$  precisely capture S, there is a contradiction for  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(r(e_1)\&r(e_2)\&\cdots\&r(e_k)) \supseteq \mathcal{L}(\mathcal{A}') = \mathcal{L}(r(e'_1)\&r(e'_2)\&\cdots\&r(e'_{k'})) \supseteq S$ . Therefore, there is a contradiction to the assumption. The FAS  $\mathcal{A}$  is a precise representation of S.

## 8.4 Proof of Theorem 4

(1) r is a SOREF.

*Proof.* Algorithm *InfSOREF* mainly transforms the learnt FAS  $\mathcal{L}(A)$  to r by using algorithm Soa2Sore. According to the definition of an FAS, every node labelled symbol occurs once in an FAS, and the algorithm Soa2Sore can transform the FAS  $\mathcal{L}(A)$  to a SORE  $r_s$  if we respect marks (&<sub>i</sub>, &<sub>i</sub><sup>+</sup> and  $||_{ij}$ ,  $i \in \mathbb{D}_{\Sigma}$ ,  $j \in \mathbb{P}_{\Sigma}$ ) as alphabet symbols. In Algorithm FAS2SOREF,  $r_s$  is transformed to the SOREF r, where every alphabet symbol in r occurs once. Then, r is a SOREF.

(2) There does not exist a SOREF r' such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq S$ .

*Proof.* Assume that there exists a SOREF r' such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{L}(\mathcal{A})$ . The FAS  $\mathcal{A}$  can be considered as an SOA. According to Theorem 27 presented in (Freydenberger and Kötzing 2015), a SORE  $r_s$  is transformed from the SOA  $\mathcal{A}$  by using algorithm Soa2Sore, there does not exist a SORE  $r'_s$  such that  $\mathcal{L}(r_s) \supset \mathcal{L}(r'_s) \supseteq \mathcal{A}$ . According to algorithm 6,  $r_s$  and  $r'_s$  can be rewritten to SOREFs r and r'(no loss of precision), respectively. For an FAS A, there does not exist a SOREF r' such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{A}$ . There is a contradiction to the initial assumption. Therefore, there does not exist a SOREF r' such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{L}(\mathcal{A})$ . Note that,  $\mathcal{L}(r) \supseteq \mathcal{L}(\mathcal{A}) \supseteq S$  holds by Theorem 3. And Corollary 17 (Freydenberger and Kötzing 2015) implies that, a precise SOREF r (for any given finite sample) satisfies that  $\mathcal{L}(r) \supseteq \mathcal{L}(\mathcal{A})$ . This ensures that, for any given finite sample S, r is a precise representation of S.

#### 8.5 Proof of Theorem 5

*Proof.* According to Theorem 1, an FAS can recognize the language defined by SOREFs. This implies that, for any given SOREF r, an equivalent FAS  $\mathcal A$  can be constructed from the SOREF r. There must exist a finite sample S obtained by traversing the FAS  $\mathcal A$  such that  $\mathcal A=$ 

LearnFAS(S)  $(\mathcal{L}(\mathcal{A}) \supseteq S)$ . The FAS  $\mathcal{A}$  is transformed to a SOREF r' by using algorithm FAS2SOREF. According to Theorem 4, algorithm InfSOREF returns a precise representation of S. Thus,  $\mathcal{L}(r') = \mathcal{L}(\mathcal{A}) = \mathcal{L}(r)$ . Therefore, for any given SOREF r, there exists a finite sample S such that r = InfSOREF(S).