

## 8 Appendix

### 8.1 proof of Theorem 1

(1) The membership problem for deterministic FAS is decidable in polynomial time. I.e., for any string  $s$ , and a deterministic FAS  $\mathcal{A}$ , we can decide whether  $s \in \mathcal{L}(\mathcal{A})$  in polynomial time.

*Proof.* An FAS recognizes a string by treating symbols in a string individually. A symbol  $y$  in a string  $s$  is recognized if and only if the current state  $p$  is reached such that  $y \in p$ . Let  $p_y$  denote the state (a set of nodes)  $p$  including symbol  $y$ . The next symbol of  $y$  is read if and only if  $y$  has been recognized at a state  $p_y$ . Let  $G$  denote the state-transition diagram of an FAS  $\mathcal{A}$ . The number of nodes in  $G$  is  $\lceil \log_2 |\Sigma| \rceil + 2|\Sigma| + 2$  (including  $q_0$  and  $q_f$ ) at most. Assume that the current read symbol is  $y$  and the current state is  $q$ :

1.  $|q| \geq 1, \exists v \in q : y \in G. \succ (v)$  ( $v \in \{||_{ij}\}_{i \in \mathbb{D}_\Sigma, j \in \mathbb{P}_\Sigma} \cup \Sigma$ ).  
A state (set)  $q$  includes  $\lceil \log_2 |\Sigma| \rceil + 2|\Sigma|$  nodes at most. For deterministic FAS, it takes  $\mathcal{O}(|\Sigma|)$  time to search the node  $v$ . Then, the state  $p_y = q \setminus \{v\} \cup \{y\}$  can be reached,  $y$  is recognized. Thus, for the current state  $q$ , it takes  $\mathcal{O}(|\Sigma|)$  time to recognize  $y$ .
2.  $|q| \geq 1, \exists \&_i \in q : y \in \mathcal{R}(\&_i)$ .  
For deterministic FAS, it takes  $\mathcal{O}(|\Sigma|)$  time to search the node  $\&_i$  in state (set)  $q$ , and it also takes  $\mathcal{O}(|\Sigma|)$  time to decide whether  $y \in \mathcal{R}(\&_i)$ . Then, the state  $q$  transits to the state  $q' = q \setminus \{\&_i\} \cup \{||_{ij} \mid ||_{ij} \in G. \succ (\&_i), j \in \mathbb{P}_\Sigma\}$ . Then, there is a node  $||_{ij}$  in  $q'$  that is checked whether  $y \in G. \succ (||_{ij})$ . Case (1) will be considered. Then, for the current state  $q$ , it takes  $\mathcal{O}(|\Sigma|^2)$  time to recognize  $y$ .
3.  $|q| \geq 1, \exists \&_i^+ \in q : y \in \mathcal{R}(\&_i)$   
The state  $\&_i^+$  will transit to the state  $\&_i$ , case (2) is satisfied. Then, for the current state  $q$ , it takes  $\mathcal{O}(|\Sigma|^2)$  time to recognize  $y$ .
4.  $q = q_0$ .  
If  $y \in G. \succ (q_0)$ , then, for deterministic FAS, it takes  $\mathcal{O}(|\Sigma|)$  time to search the node  $y$ . Otherwise, a node  $\&_i$  ( $i \in \mathbb{D}_\Sigma$ ) is searched and is decided whether  $y \in \mathcal{R}(\&_i)$ . Then, it takes  $\mathcal{O}(|\Sigma|^2)$  time for  $q$  transiting to the state  $\&_i$ . Case (2) is satisfied. Then, for the current state  $q$ , it takes  $\mathcal{O}(|\Sigma|^2)$  time at most to recognize  $y$ .

Thus, for deterministic FAS, and any read symbol  $y$  and a current state  $q$ , it takes  $\mathcal{O}(|\Sigma|^2)$  time at most to recognize  $y$ . Let  $|s|$  denote the length of a string  $s$ , then for an FAS, it takes  $\mathcal{O}(|s||\Sigma|^2)$  time to recognize  $s$ . Therefore, the membership problem for a deterministic FAS is decidable in polynomial time (uniform)<sup>10</sup>.

<sup>10</sup>Note that, for non-uniform version of the membership problem for a deterministic FAS, only the string to be tested is considered as input. This indicates that  $|\Sigma|$  is a constant. In this case, the membership problem for a deterministic FAS is decidable in linear time.

(2) The deterministic FAS  $\mathcal{A}$  recognizes the language defined by SOREFs.

*Proof.* According to the definition of an FAS, an FAS is defined to recognize the language defined by a SOREF. For the  $i$ th subexpression of the form  $r_i = r_{i_1} \& r_{i_2} \& \dots \& r_{i_k}$  ( $i, k \in \mathbb{N}, k \geq 2$ ) in a SOREF  $r$ , there are start marker  $\&_i$  and end marker  $\&_i^+$  in an FAS for recognizing the strings derived by  $r_i$ . For each subexpression  $r_{i_j}$  ( $1 \leq j \leq k$ ) in  $r_i$ , there is a concurrent marker  $\|_{ij}$  in an FAS for recognizing the symbols or strings derived by  $r_{i_j}$ .

In addition, for strings recognition, an FAS recognizes a string by treating symbols in a string individually. A symbol  $y$  in a string  $s \in \mathcal{L}(r)$  ( $r$  is a SOREF) is recognized if and only if the current state (a set of nodes)  $p$  is reached such that  $y \in p$ . A SOREF  $r$  is a deterministic expression, every symbol in  $s$  can be uniquely matched in  $r$ , and for every symbol  $l$  in  $r$ , there must exist a state (set) in an FAS including  $l$ . According to the transition function of an FAS, for the deterministic FAS  $\mathcal{A}$ , every symbol in  $s$  can be recognized in a state in  $\mathcal{A}$ . Therefore,  $s \in \mathcal{L}(\mathcal{A})$ . Then,  $\mathcal{L}(r) \subseteq \mathcal{L}(\mathcal{A})$ . The deterministic FAS recognizes the language defined by SOREFs.

## 8.2 proof of Theorem 2

*Proof.* For any given finite sample  $S$  and a group  $g$  in  $P_{\&}$ , assume that there exists a SOREF  $r'$  such that  $\mathcal{L}(r(e_1) \& \dots \& r(e_k)) \supset \mathcal{L}(r') \supseteq S$ . To ensure that  $r'$  can capture  $S$  (a finite set of shuffled strings), let  $r' = r(e'_1) \& \dots \& r(e'_{k'})$  ( $k' \geq 1$ ), let group  $g' = [e'_1, \dots, e'_{k'}]$ . Then,  $k' \leq k$ .

If  $k' < k$ , then the group  $g$  does not have the minimum number of the set of symbols. However, according to lines 5, 9~10, 7 and 13 in Algorithm 3, the group  $g$  with minimum size can be ensured. Then,  $k' < k$  does not hold.

If  $k' = k$ , then  $\mathcal{L}(r(e_1) \& \dots \& r(e_k)) \supset \mathcal{L}(r(e'_1) \& \dots \& r(e'_k)) \supseteq S$ . This indicates that there exists  $e_i$  ( $1 \leq i \leq k$ ) in group  $g$  that does not include as many symbols as possible. However, according to lines 2~3 in Algorithm 3, a node  $v$  with maximum degree is identified, the successors of the node  $v$  form a set that can be put in a group, i.e.,  $e_i$  in group  $g$  can include maximum number of symbols. There is a contradiction to the assumption. The conclusion in Theorem 2 holds.

## 8.3 Proof of Theorem 3

(1) The FAS  $\mathcal{A}$  is a deterministic FAS.

*Proof.* The FAS  $\mathcal{A}$  is constructed from an SOA, which is a deterministic automaton that every node is labelled by distinct alphabet symbol. And each symbol  $y$  in a string,  $y$  is recognized if and only if a state (a set of nodes)  $p$  including symbol  $y$  is reached. Thus, each symbol  $y$  in a string can be deterministically recognized. The FAS  $\mathcal{A}$  is a deterministic FAS.

(2) There does not exist an FAS  $\mathcal{A}'$ , which is learnt from  $S$  such that  $\mathcal{L}(\mathcal{A}) \supset \mathcal{L}(\mathcal{A}') \supseteq S$ .

*Proof.* Assume that there exists an FAS  $\mathcal{A}'$  learnt from  $S$  such that  $\mathcal{L}(\mathcal{A}) \supset \mathcal{L}(\mathcal{A}') \supseteq S$ . Then, according to the states specifications in an FAS, the states  $\&_i$  and  $\&_i^+$  ( $i \in \mathbb{D}_\Sigma$ ) are markers for recognizing shuffled strings, which can be captured by disjoint sets of symbols. Let  $S_\&$  denote the shuffled strings extracted from the sample  $S$ . Suppose  $S_\&$  can be captured by the disjoint sets of symbols:  $e_1, e_2, \dots, e_k$  ( $k \geq 2$ ) (resp.  $e'_1, e'_2, \dots, e'_{k'}$  ( $k' \geq 2$ )), where all symbols in each set occurs in FAS  $\mathcal{A}$  (resp. FAS  $\mathcal{A}'$ ). Let  $S = S_\&$ . Then,  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(r(e_1)\&r(e_2)\&\dots\&r(e_k)) \supseteq S_\&$ , and  $\mathcal{L}(\mathcal{A}') = \mathcal{L}(r(e'_1)\&r(e'_2)\&\dots\&r(e'_{k'})) \supseteq S_\&$ . According to Theorem 2, the disjoint sets of symbols:  $e_1, e_2, \dots, e_k$  precisely capture  $S$ , there is a contradiction for  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(r(e_1)\&r(e_2)\&\dots\&r(e_k)) \supset \mathcal{L}(\mathcal{A}') = \mathcal{L}(r(e'_1)\&r(e'_2)\&\dots\&r(e'_{k'})) \supseteq S$ . Therefore, there does not exist an FAS  $\mathcal{A}'$ , which is learnt from  $S$  such that  $\mathcal{L}(\mathcal{A}) \supset \mathcal{L}(\mathcal{A}') \supseteq S$ .

#### 8.4 Proof of Theorem 4

(1)  $r$  is a SOREF.

*Proof.* Assume that  $r$  derived by algorithm *InfSOREF* is not a SOREF. Algorithm *InfSOREF* mainly transforms the learnt FAS  $\mathcal{A}$  to  $r$  by using algorithm *Soa2Sore*. According to the definition of an FAS, every node labelled alphabet symbol occurs once in an FAS, and the algorithm *Soa2Sore* can transform the FAS  $\mathcal{A}$  to a SORE, every alphabet symbol in  $r$  occurs once. According to Theorem 27 presented in [14], there is  $\mathcal{L}(r) \supseteq \mathcal{L}(\mathcal{A})$ . Moreover, the learnt FAS is deterministic automaton, which recognizes the language defined by SOREFs.  $r$  also recognizes the language defined by SOREFs. Since every alphabet symbol in  $r$  occurs once,  $r$  is a SOREF.

(2) There does not exist a SOREF  $r'$  such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{L}(\mathcal{A})$ .

*Proof.* Assume that there exists a SOREF  $r'$  such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{L}(\mathcal{A})$ . The FAS  $\mathcal{A}$  can be considered as an SOA. According to Theorem 27 presented in [14], a SORE  $r_s$  is transformed from the SOA  $\mathcal{A}$  by using algorithm *Soa2Sore*, there does not exist a SORE  $r'_s$  such that  $\mathcal{L}(r_s) \supset \mathcal{L}(r'_s) \supseteq \mathcal{A}$ . According to algorithm 6,  $r_s$  and  $r'_s$  can be rewritten to SOREFs  $r$  and  $r'$  (no loss of precision), respectively. For an FAS  $\mathcal{A}$ , there does not exist a SOREF  $r'$  such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{A}$ . There is a contradiction to the initial assumption. Therefore, there does not exist a SOREF  $r'$  such that  $\mathcal{L}(r) \supset \mathcal{L}(r') \supseteq \mathcal{L}(\mathcal{A})$ . Note that,  $\mathcal{L}(r) \supseteq \mathcal{L}(\mathcal{A}) \supseteq S$  holds by Theorem 3.

#### 8.5 Proof of Theorem 5

*Proof.* According to Theorem 1, an FAS can recognize the language defined by SOREFs. This implies that, for any given SOREF  $r$ , an equivalent FAS  $\mathcal{A}$  can be constructed from the SOREF  $r$ . There must exist a finite sample  $S$  obtained by traversing the FAS  $\mathcal{A}$  such that  $\mathcal{A} = \text{LearnFAS}(S)$  ( $\mathcal{L}(\mathcal{A}) \supseteq S$ ). The FAS  $\mathcal{A}$  is transformed to a SOREF  $r'$  by using algorithm *FAS2SOREF*. According to Theorem 4, algorithm *InfSOREF* returns a precise representation of  $S$ . Thus,

$\mathcal{L}(r') = \mathcal{L}(\mathcal{A}) = \mathcal{L}(r)$ . If  $r' \neq r$ , we can adjust the sample  $S$  (adding special strings) such that the derived  $r' = r$ . Therefore, for any given SOREF  $r$ , there exists a finite sample  $S$  such that  $r = \text{InfSOREF}(S)$ .