# **CPEN455 Final Project: PixelCNN++G**

Final Project: PixelCNN++G

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#### Abstract

This work introduces PixelCNN++G, an image generation model derived from the PixelCNN++ architecture [1]. PixelCNN++G, a conditional generation model, can also be used for image classification. We demonstrate the capabilities of this modification by training the model on the CPEN450 dataset, categorizing images into four classes.

## 6 1 Model

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## 1.1 PixelCNN++G Improvements

#### 1.1.1 Data Preprocessing:

- PixelCNN++ is sensitive to image orientation, which can hinder its ability to accurately recognize object orientations. To mitigate this, we horizontally flip the images randomly during training, which not only helps the model become invariant to direction but also effectively doubles the training dataset, enhancing the model's generalization capabilities.
- We also rotate the images randomly within a range of -10 to +10 degrees. This helps the model to better learn object orientations with minimal influence from image directionality.
- Further, we employ new data augmentation techniques during the fine-tuning stage, such
  as color jittering and random cropping, to expose the model to more varied data, which is
  crucial in the fine-tuning process.

## 18 1.1.2 Conditional Model:

- The model is conditioned on image class labels, which is represented as one-hot encoding.
- Within the gated\_resnet function, we introduced two additional layers (weight\_a and weight\_b), each multiplicatively interacting with the input label before adding to the resultant parameters (a, b) post-convolution. This approach enables the model to learn class-specific features at each layer effectively. To illustrate this, a simplified diagram of Pixel-CNN++G is presented in Figure 1. It is important to note that this diagram omits detailed features such as shifting and masking; it focuses on demonstrating the influence of the weighting mechanism.

#### 1.1.3 Classification Layer:

• We implemented a classification layer using a modified per-image loss function. By evaluating each image across all potential labels and choosing the label that yields the lowest loss, the model predicts the image's label. This approach, conceptually similar to argmin(softmax(logits)), avoids the pitfalls of floating-point precision errors found in the softmax approach, which occasionally lead to incorrect predictions.

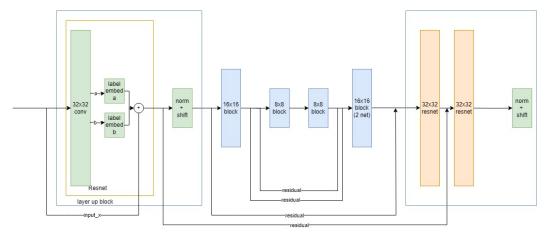


Figure 1: Training curve for the main model.

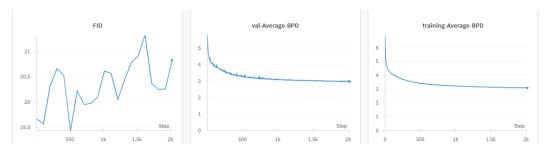


Figure 2: Training curve for the main model.

## 1.1.4 Finetuning Pipeline:

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• A new fine-tuning pipeline has been developed to enhance the model's performance on specific classes or across all classes with a reduced learning rate. This pipeline can incorporate a validation set for additional data analysis; however, subsequent validation on this portion might skew results. This process was not used to produce the final PixelCNN++G checkpoint as the base model performed best, demonstrating efficacy in addressing class imbalance issues observed in other models. A detailed review of this process and the result comparison is available in the appendix under section 2.2.

## 41 **2** Experiments

The model is trained on the CPEN450 dataset, 32x32 pixel images divided into four classes. The training curve is shown in Figure 2. Sample images are shown in Figure 3.

## 44 2.1 major hyperparameter

```
--batch_size 32 '
45
   --nr_resnet 1 '
46
   --nr_filters 128 '
47
48
   --nr_logistic_mix 100
   --lr_decay 0.99995
                            # until batch 501, changed to 0.997 afterward
49
   --1r 0.0002 '
                            # until batch 501, changed to 0.0001 afterward
50
   --max_epochs 801 '
                            # final batch used is 775, however, validation accuracy reached 86+ since
51
   --seed 4399
```



Figure 3: Sample images generated by the model, each row represents a class.

```
100%|
100%|
Average fid score: 21.335773847497343
```

Figure 4: FID score of generated image (100)

## 53 2.2 Training and setup

Please reference the appended CPEN455HW-2023W2\README\_TECH.md file.

#### 55 2.3 Results

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With the model trained, we achieved an accuracy of 0.89% and f1 of 0.89% on the test set with a fid score of 21.3, as shown in Figure 4.

#### 2.4 Alternative solutions attempted

We explored several interesting alternative solutions, detailed below, which did not yield as promising results as our chosen approach. Unless stated otherwise, all models were trained with the hyperparameters [resnet = 1, filter = 40, logistical\_mix = 10] for 200 epochs without fine-tuning. Measured accuracies were taken from the highest performing checkpoints. For context, our reduced parameter model achieved an accuracy of 74.1% on the validation dataset at epoch 200 with a Fréchet Inception Distance (FID) of 37.6, increasing to 81.2% accuracy and a FID of 28.7 at epoch 350 (the optimal point within a 500 epoch span).

- Specialized preprocessing techniques, such as image segmentation, swapping, noise, and data masking, did not enhance the training or fine-tuning performance of the model. These methods may not have been effective due to the limited training data and PixelCNN's pixel-wise generation characteristic. Validation accuracy decreased from 74.1% to 73.3
- Employing a Polyak Averaged Model, integrated at the ResNet block level, showed potential in improving FID scores but failed to significantly boost classification accuracy. Validation accuracy peaked at 77.2% after 450 epochs.
- A complete structural overhaul to include a label channel was unsuccessful in elevating classification accuracy beyond mere random chance. Generated images from this model failed to resemble input images, indicating possible structural or implementation errors. Optimal validation accuracy was a mere 25.2% over 400 epochs, with 28.7 FID at the same checkpoint.
- Modifying the PCNN++G by eliminating the final addition with the input matrix in favor
  of purely relying on convolution layers resulted in a reasonable FID of 25.5 at epoch 200,

Model Variant	Epoch	Validation Accuracy (%)	FID
PIXELCNN++G	350	74.1	28.7
PIXELCNN++G	350	81.2	28.7
Polyak Averaged Model	200	77.2	-
Label Channel Model	400	25.2	-
Pure Convolution	200	69.2	25.5
Modified PixelCNNLayer	300	84.9	-
Single Weight Matrix	500	71.8	;20

Table 1: Model Performance Comparison

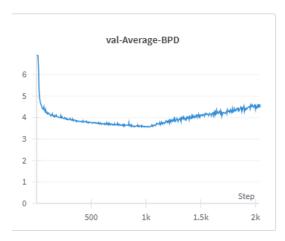


Figure 5: BPD curve for the model with new set of weights at every layer.

but a disappointing classification accuracy of only 69.2%. This is the approach utilized by vann den Oord et al. [2] in third approach to conditional PIXELCNN model. However, the highlighted approach utilized additional conditional AVE decoder which was not implemented by this solution.

- Revising PixelCNNLayer\_up/down and ResNet to introduce a new set of weights at each layer, which are multiplied by the class label embedding prior to convolution, demonstrated a stronger correlation between image components and their classification at every layer. This approach reached an accuracy of 84.9% at epoch 300 but exhibited signs of increasing BPD due to the handling of gradients. The BPD curve for this model variation is shown in Figure 5.
- Eliminating specific label dependencies in favor of a single weight matrix interacting with the labels resulted in no overfitting, achieving a validation accuracy of 71.8% at epoch 500, with occasional sub-20 FID scores. However, this model struggled to generalize effectively to the test dataset at high parameter settings, achieving a test accuracy of 86.1% by epoch 475 (increasing to 87.6% post-fine-tuning). A comparison with our proposed model is illustrated in Figure 6.
- For further details on classification, fine-tuning, data analysis, and training processes, please refer to the technical documentation detailed in 2.2.

## 98 3 Conclusion

In conclusion, with the modifications from the author, the PixelCNN++G model was able to achieve a classification accuracy of 88.9% on the tes dataset, with a FID score of 21.3. The model and corresponding code is included as appendix to this report.

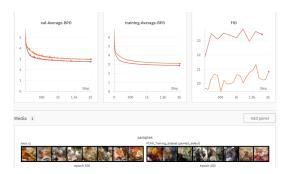


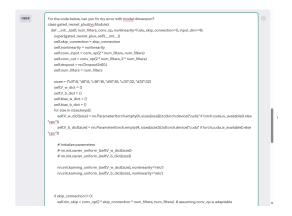
Figure 6: Comparison between the final model and the model with different down-sampling weights for each class.

## 4 Supplementary Material

## 103 **4.1 GPT-Use**

Sections of the code modified by GPT is labeled in line with comment. One can find the chat history with GPT in the supplementary materials section







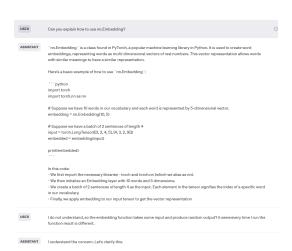


Grammar for.

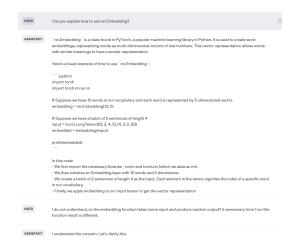
PiedCNN+4 is a conditional generative model based on the PiedCNN architecture. For this project, we aim to implement the PiedCNN+4 model with an additional classification layer, making it an image classification model. The model will be trained on the CPEN450 dataset to classify images into one of four classes.

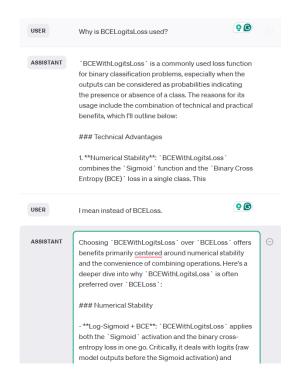
ABBITIANT

PiedCNN+4 is a conditional generative model based on the PiedCNN architecture. For this project, we aim to implement the PiedCNN+4 model with an additional classification layer to transform it into an image classification model. The model will be trained on the CPEN450 dataset to classify images into one for crockases.









- \*\*Log-Sigmoid + BCE\*\*: `BCEWithLogitsLoss` applies both the 'Sigmoid' activation and the binary cross entropy loss in one go. Critically, it deals with logits (raw model outputs before the Sigmoid activation) and internally utilizes the log-sum-exp trick to compute the loss in a numerically stable way. This helps mitigate issues related to floating-point arithmetic, such as underflow or overflow, which can occur when separately applying a Sigmoid function followed by the BCE loss, especially with extreme values. ### Efficiency - \*\*Single-step Process\*\*: By combining the Sigmoid operation and the BCE computation, BCEWithLogitsLoss reduces the need for two separate computational steps into one. This can be slightly more efficient, reducing the computational graph's complexity and potentially speeding up the backpropagation process since it involves fe operations. ### Implementation Differences - \*\*Sigmoid Activation Separation\*\*: When using `BCELoss`, you need to manually apply a Sigmoid function to your model's output before passing it to the loss function. This additional step can be easy to forget and separates the conceptual link between the raw model outputs (logits) and the loss calculation. # Using BCELoss

"Using BCELoss
criterion = torch.nn.BCELoss()
output = model(input)
loss = criterion(torch.sigmoid(output), target)

Compared to the direct approach of
BCEWithLogitsLoss:

"python
#Using BCEWithLogitsLoss
criterion = torch.nn.BCEWithLogitsLoss()
output = model(input)
loss = criterion(output, target)
...

#### ### Conclusion

"BCEWithLogitsLoss" is generally preferred due to its numerical stability and computational efficiency, making it a robust default choice for binary classification problems. It simplifies the implementation by handling the Sigmoid activation within the loss calculation, avoiding potential mistakes in loss calculation and making the code cleaner and more straightforward.

Add message

Can you write again, this time have model accept xy\_set, which is a list of tuple (size 2 tuple of xy), which the model gets all the answers from, accumulates the logits across all xy data provided, then predicts the result?

To implement a function that can evaluate multiple sub-regions of an image specified by a list of 'xy' coordinates and then aggregate results across these regions to make a prediction, we can make the following adjustment. This function, 'get, label, multi-region, armat,' will accept a list of 'xy' coordinate tuples. Each tuple defines a subregion up till '(x, y)' for which we want to consider the image and make predictions.

''`python

def get, label\_multi\_region\_smart(model, model\_input, xy\_set, device):
 batch\_size = model\_input.size(0)

all\_predictions = torch.zeros(NUM\_CLASSES, batch\_size, dtype=torch.float32, device=device)

# Iterate over each region specified by the xy tuple
 for x, y in xy\_set:
 # Masking the image to consider only pixels up to (x, y)
 mask = torch.zeros\_like(model\_input)
 mask(;;; x, y+1] = 1# Include all rows up to x, and all columns up to y

# Apply mask; portions of image beyond (x, y) are zeroed out masked\_input = model\_input "mask
 region\_predictions = torch.zeros(NUM\_CLASSES, batch\_size, dtype=torch.float32, device=device)
 for in range(NUM\_CLASSES):
 # Convert label to tensor representation

```
# To make sure the mask applies properly, we use the same mask on
        masked_output = raw_output * mask
        #Calculate logistic loss for masked region
        region_predictions[i] = discretized_mix_logistic_loss(masked_input,
     #Accumulate predictions across all specified regions by averaging logits all_predictions += region_predictions / len(xy_set)
  # Compute softmax probabilities to find classes and then find the minimum
predicted class label
 _, pred = torch.min(all_predictions, dim=0)
  return pred
### Key Modifications:
1. **Mask application for each region**: For every `(x, y)` in `xy_set`, a mask
is created and applied to the input image. This restricts the model to see and evaluate only up to the specified limit in the image for that iteration.
2. \ ^*\text{Region-specific Predictions} \ ^*\text{: Within the loop of `xy\_set`, we calculate predictions specific to each region `(x,y)` and accumulate these to the }
overall predictions via averaging. This way, the model integrates information from parts of the image specified in 'xy_set'.

3.**Averaging Predictions**: Predictions from each region are averaged
(`all_predictions` gets aggregated over the loop by taking the mean of 
`region_predictions`). In case of handling logits, additional operations like
log-sum-exponential could be necessary depending on how logits are
```

```
Here is a sampling function for a <u>pixelcnn genrative</u> network:
{\tt def\,sample(model,sample\_batch\_size,obs,sample\_op,}\\
class_label:torch.tensor):
  Args:
model: model to sample from
    sample_batch_size: number of samples to generate obs: tuple of dimensions for the data
     sample_op: function to sample from the model output
  with torch.no_grad():

data = torch.zeros(sample_batch_size, obs[0], obs[1], obs[2])

data = data.to(next(model.parameters()).device)
     for i in range(obs[1]):
for j in range(obs[2]):
         data_v = data
         out = model(data_v, sample=True, class_label=class_label)
         out_sample = sample_op(out)
data[:,:,i,j] = out_sample.data[:,:,i,j]
Here is a classification based on the loss of a image:
def get_label_smart(model, model_input, device):
  batch_size = model_input.size(0)
all_predictions = torch.zeros(NUM_CLASSES, batch_size,
dtvpe=torch.float32. device=device)
   for i in range(NUM_CLASSES):
     # Convert label to tensor representation
class_label = label_to_onehot_tensor([my_bidict.inverse[i]]*batch_size)
```

# Forward pass through the model to get raw outputs raw\_output = model(model\_input, class\_label=class\_label) # Convert raw logistics into probabilities or logits # mean pooling over logistic parameters
all\_predictions[i] = discretized\_mix\_logistic\_loss(model\_input,
raw\_output, train=False) # Compute probabilities using softmax \_, pred = torch.min(all\_predictions, dim=0) # bettr, as we are using negative log likelihood # pred\_2 = torch.argmin(torch.softmax(all\_predictions, dim=0), dim=0) Can you write a new get\_label\_supser-smart which takes a xy value and instead of evaluating the compete image, eval the image up to x, y? ie: currently prediction is based on complete image, but I may want it on half the image instead. In order to modify the existing `get\_label\_smart` function to take into  $\frac{\text{consideration}}{\text{consideration}} \text{ only a portion of the image up to a specific pixel } (x, y), we need to mask or zero out the parts of the image that are beyond the specified}$ coordinates x and v. Here is the modified function. "get label super smart". that will evaluate the model based on only the part of the image up to the coordinates (x, y): ```python def get\_label\_super\_smart(model, model\_input, x, y, device): batch\_size = model\_input.size(0) all\_predictions = torch.zeros(NUM\_CLASSES, batch\_size, dtype=torch.float32, device=device)

all\_predictions = torch.zeros(NUM\_CLASSES, batch\_size, dtype=torch.float32, device=device)

# Masking the image to consider only pixels up to (x, y) mask \* torch.zeros\_like(model\_input) mask(f\_x, x, x, y+1) = 1 # include all rows up to x, and all columns up to y

# Apply mask; portions of image beyond (x, y) are zeroed out masked\_input = model\_input \* mask

for i in range(NUM\_CLASSES):

# Convert label to tensor representation
class\_label = label\_to\_enhot\_tensor([my\_bidict.inverse[i]]\*batch\_size)

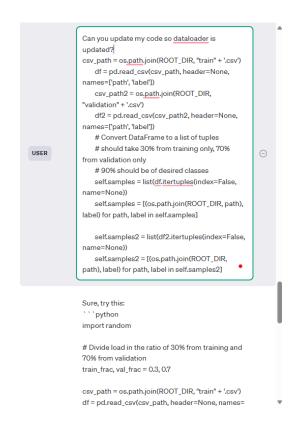
# Forward pass through the model to get raw outputs
raw\_output = model(masked\_input, class\_label=class\_label)

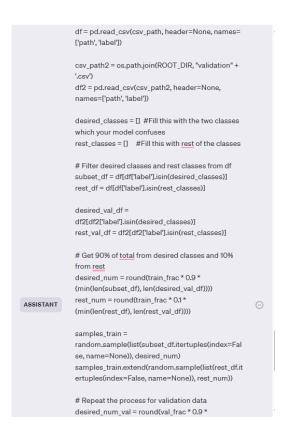
# Evaluate loss only on the visible part of the image
# To make sure the mask applies properly, we apply it similarly on the output equivalent to the input
masked\_output = raw\_output \* mask

# Calculate loss
all\_predictions[i] = discretized\_mix\_logistic\_loss(masked\_input, masked\_output, train=False)

# Compute probabilities using softmax and then find the minimum predicted label
\_\_pred = torch.min(all\_predictions, dim=0) # using negative log likelihood, lower is better

return pred





samples train = random.sample(list(subset\_df.itertuples(index=Fal se, name=None)), desired\_num) samples\_train.extend(random.sample(list(rest\_df.it ertuples(index=False, name=None)), rest\_num)) # Repeat the process for validation data desired\_num\_val = round(val\_frac \* 0.9 \* (min(len(subset\_df), len(desired\_val\_df)))) rest\_num\_val = round(val\_frac \* 0.1 \* (min(len(rest\_df), len(rest\_val\_df)))) samples val = random.sample(list(desired\_val\_df.itertuples(index =False, name=None)), desired\_num\_val) samples\_val.extend(random.sample(list(rest\_val\_d f.itertuples(index=False, name=None)), rest\_num\_val))  $self.samples = \verb|[(os.path.join(ROOT_DIR, path)|,$ label) for path, label in samples\_train] self.samples2 = [(os.path.join(ROOT\_DIR, path), label) for path, label in samples\_val] This code splits your dataset according to classes. The dataset will contain 90% of images from the two desired classes and 10% from the other classes. Make sure to replace the "desired classes" and "rest classes" with the actual class names. This will result in two lists (`self.samples' and `self.samples2') for training and validation data loaders respectively.

## 06 References

- 107 [1] T. van den Salimans, A. Karpathy, X. Chen, and D. p. Kingma, PIXELCNN++: 108 IMPROVING THE PIXELCNN WITH DISCRETIZED LOGISTIC MIXTURE LIKELI-
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