

Running self-energy embedding theory (SEET)

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Outline

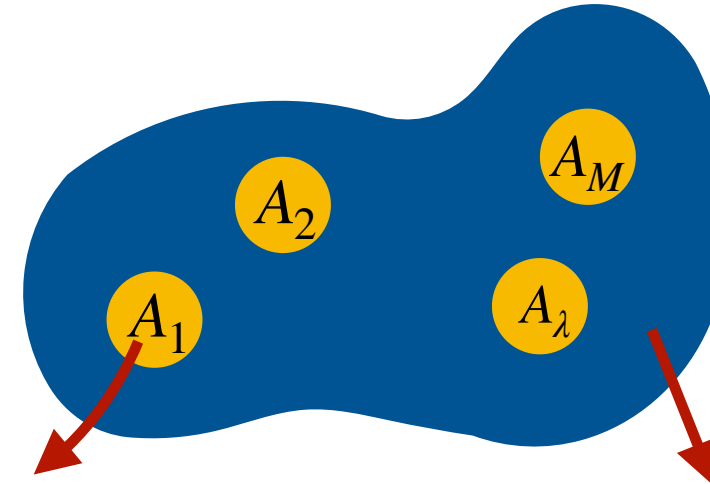
- **Quantum embedding method for Electronic structure problem**
- **Overview of SEET in (UGF2 + PBC_SEET)**
- **Example: AFM MnO**

Quantum Embedding method for electronic structure method

- SEET:**

Zgid and Gull, New J. Phys. 19 023047 (2017)

For M disjoint strongly correlated subsets of local orbitals A_1, \dots, A_M .
(The only parameter)



Strongly correlated subsets:
small; solved exactly;
ED in the present work

Weakly correlated environment:
Large system size; solved approximately;
scGW in the present work

In k space:

$$(\Sigma^{\text{SEET}})_{ij}^{\mathbf{k}} = (\Sigma^{\text{GW}})_{ij}^{\mathbf{k}} + \sum_{\lambda=1}^M (\Sigma_{A_{\lambda},ij}^{\text{imp}} - \Sigma_{A_{\lambda},ij}^{\text{DC,GW}}) \delta_{(ij) \in A_{\lambda}}$$

$$(G^{\text{SEET}})^{\mathbf{k}} = [(i\omega_n + \mu) - H_0^{\mathbf{k}} - (\Sigma^{\text{SEET}})^{\mathbf{k}}]^{-1}$$

The embedding self-consistent condition in real space:

$$(G^{\text{SEET}})^{\text{loc}} = [(i\omega_n + \mu) - H_0^{\text{loc}} - (\Sigma^{\text{SEET}})^{\text{loc}} - \Delta^{\text{SEET}}]^{-1}$$

$$= [(i\omega_n + \mu) - \tilde{F}^{\text{loc}} - \Sigma^{\text{imp}} - \tilde{\Sigma}_{\text{GW}}^{\text{non-loc}} - \Delta^{\text{SEET}}]^{-1} = \underline{[G^{\text{imp}}]^{-1} - \tilde{\Sigma}_{\text{GW}}^{\text{non-loc}}}]^{-1}$$

$\tilde{\Sigma}_{\text{GW}}^{\text{non-loc}} = (\Sigma^{\text{GW}})^{\text{loc}} - \Sigma^{\text{DC,GW}}$ contains the non-local contributions at the GW level

Quantum Embedding method for electronic structure method

Electronic Hamiltonian in Bloch basis: $\chi_i^{\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{R}} \chi_i^{\mathbf{R}}(\mathbf{r}) e^{i\mathbf{k}\mathbf{R}}, \chi_i^{\mathbf{k}}(\mathbf{r} + \mathbf{R}) = \chi_i^{\mathbf{k}}(\mathbf{r})$

$$H = \sum_{\mathbf{k}} \sum_{ij} (H_0)_{ij}^{\mathbf{k}} c_i^{\mathbf{k}\dagger} c_j^{\mathbf{k}} + \frac{1}{2N_k} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \sum_{ijkl} U_{ij}^{\mathbf{k} \mathbf{k}-\mathbf{q} \mathbf{k}' \mathbf{k}'+\mathbf{q}} c_i^{\mathbf{k}\dagger} c_k^{\mathbf{k}'\dagger} c_l^{\mathbf{k}'+\mathbf{q}} c_j^{\mathbf{k}-\mathbf{q}}$$

$$(H_0)_{ij}^{\mathbf{k}} = \int_{\Omega} d\mathbf{r} \chi_i^{\mathbf{k}*}(\mathbf{r}) \left[-\frac{1}{2} \nabla^2 + V(\mathbf{r}) \right] \chi_j^{\mathbf{R}'}(\mathbf{r})$$

$$U_{ij}^{\mathbf{k} \mathbf{k}-\mathbf{q} \mathbf{k}' \mathbf{k}'+\mathbf{q}} = \int_{\Omega} d\mathbf{r} \int d\mathbf{r}' \chi_i^{\mathbf{k}*}(\mathbf{r}) \chi_j^{\mathbf{k}-\mathbf{q}}(\mathbf{r}) U(\mathbf{r} - \mathbf{r}') \chi_k^{\mathbf{k}'*}(\mathbf{r}') \chi_l^{\mathbf{k}'+\mathbf{q}}(\mathbf{r}')$$

Impurity Hamiltonian in localized atomic basis: $\chi_i^{\mathbf{R}=0}(\mathbf{r})$

$$H_{imp} = \sum_{ij} (\tilde{H}_0)_{ij} c_i^{\dagger} c_j + \frac{1}{2} \sum_{ijkl} U_{ijkl} c_i^{\dagger} c_k^{\dagger} c_l c_j + \sum_b \epsilon_b a_b^{\dagger} a_b + \sum_{ib} V_{ib} c_i^{\dagger} a_b + h.c.$$

\tilde{H}_0 , ϵ_b , and V_{ib} depend on the scGW solution

$$U_{ijkl} = \int d\mathbf{r} \int d\mathbf{r}' \chi_i^{\mathbf{0}*}(\mathbf{r}) \chi_j^{\mathbf{0}}(\mathbf{r}) U(\mathbf{r} - \mathbf{r}') \chi_k^{\mathbf{0}*}(\mathbf{r}') \chi_l^{\mathbf{0}}(\mathbf{r}')$$

Overview of material simulations in UGF2

- **Define the problem**
 - Atoms in the primitive unit cell
 - Translational vectors
 - Gaussian basis set
 - \mathbf{k} -mesh
- **Compute matrix elements of the Hamiltonian**
 - Python scripts using PySCF
 - init_data_df.py script in UGF2/scripts/
 - Density fitting for the two-electron Coulomb interaction
 - Store the Hamiltonian matrix elements in HDF5 files
- **Run many-body perturbation theory (MBPT) using UGF2**
 - C++ and CUDA
 - Input: Matrix elements stored in the HDF5 output files from UGF2/script/init_data_df.py
 - Output: Green's function and Self-energy
- **Post-processing:** Band structure, Fermi surface etc

Overview of material simulations in UGF2 + PBC_SEET

- **Define the problem**
 - Atoms in the primitive unit cell
 - Translational vectors
 - Gaussian basis set
 - k -mesh
- **Compute matrix elements of the Hamiltonian**
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 - Output: Green's function and Self-energy
- **Run SEET using PBC_SEET**
 - Python and C++ (for impurity solvers)
 - Input: Impurity matrix elements, Green's function and Self-energy from UGF2
- **Post-processing:** Band structure, Fermi surface etc

Building PBC_SEET

- **Github:** https://github.com/CQMP/PBC_SEET
- **Dependencies**
 - CMake
 - HDF5
 - EDLib (for ED impurity solver)
 - gfmol (for double counting self-energy)
- **Building PBC_SEET**
 1. `git clone https://github.com/CQMP/PBC_SEET`
 2. `cd PBC_SEET && mkdir build && cd build`
 3. `cmake -DALPSCore_DIR=`pwd`/../../install/share/ALPSCore
-DEDLib_DIR=`pwd`/../../install/share/EDLib/cmake
-Dgfmol_DIR=`pwd`/../../install/share/gfmol/cmake
-DUSE_MPI=ON ..`
 4. `make -j 8 && make test`
 5. `cd ..`

Running PBC_SEET

- **Run SEET using PBC_SEET**

- Python and C++ (for impurity solvers)
- Input: Impurity matrix elements, Green's function and Self-energy from UGF2

- **Workflow:**

- (1) **Setup SEET problem (seet_transform.py)**
- (2) **Transform Coulomb integrals (int-transform)**
- (3) **Run SEET (seet_main.py)**

Impurity Hamiltonian in localized atomic basis: $\chi_i^{\mathbf{R}=0}(\mathbf{r}), i \in A$

$$H_{imp} = \sum_{ij} (\tilde{H}_0)_{ij} c_i^\dagger c_j + \frac{1}{2} \sum_{ijkl} U_{ijkl} c_i^\dagger c_k^\dagger c_l c_j + \sum_b \epsilon_b a_b^\dagger a_b + \sum_{ib} V_{ib} c_i^\dagger a_b + h.c.$$

\tilde{H}_0 , ϵ_b , and V_{ib} depend on the scGW solution

$$U_{ijkl} = \int d\mathbf{r} \int d\mathbf{r}' \chi_i^{0*}(\mathbf{r}) \chi_j^0(\mathbf{r}) U(\mathbf{r} - \mathbf{r}') \chi_k^{0*}(\mathbf{r}') \chi_l^0(\mathbf{r}')$$

Running PBC_SEET

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(1) Setup SEET problem (seet_transform.py)

- Choose impurity subspaces A
- compute transformation matrices
- ```
python seet_transform.py
--orth true
--active_space 0 1
--active_space 2 3
--orth_method symmetrical_orbitals
--from_ibz true
--transform_file transform.h5
```

# Running PBC\_SEET

**Impurity Hamiltonian in localized atomic basis:**  $\chi_i^{\mathbf{R}=0}(\mathbf{r}), i \in A$

$$H_{imp} = \sum_{ij} (\tilde{H}_0)_{ij} c_i^\dagger c_j + \frac{1}{2} \sum_{ijkl} U_{ijkl} c_i^\dagger c_k^\dagger c_l c_j + \sum_b \epsilon_b a_b^\dagger a_b + \sum_{ib} V_{ib} c_i^\dagger a_b + h.c.$$

$\tilde{H}_0$ ,  $\epsilon_b$ , and  $V_{ib}$  depend on the scGW solution

$$U_{ijkl} = \int d\mathbf{r} \int d\mathbf{r}' \chi_i^{0*}(\mathbf{r}) \chi_j^0(\mathbf{r}) U(\mathbf{r} - \mathbf{r}') \chi_k^{0*}(\mathbf{r}') \chi_l^0(\mathbf{r}')$$

## (2) Transform Coulomb integrals (int-transform)

- Project the Coulomb integrals for the whole system onto local impurity subspaces

$$U_{i \ j \ k \ l}^{\mathbf{k} \ \mathbf{k}-\mathbf{q} \ \mathbf{k}' \ \mathbf{k}'+\mathbf{q}} \rightarrow U_{ijkl}$$

- int-transform
  - input\_file transform.h5
  - in\_int\_file df\_int
  - transform=1
  - out\_int\_file Uijkl.h5

# ***Running PBC\_SEET***

## **(3) Run SEET (seet\_main.py)**

### **Basic parameters**

- orth - Apply orthogonalization to input data
- compute\_energy - Compute and print energy
- from\_ibz - Whether input data is in the reduced Brillouin zone
- input\_file - Input of one-body matrix elements (input.h5 from init\_data\_df.py)
- gf2\_input\_file - Input of weak-coupling solution from UGF2 (sim.h5)
- output\_file - SEET output file name
- integral\_file - Coulomb integral transformed into active space
- transform\_file - Transformation matrices
- grid\_transforms\_file - Freq/time transform file name
- grid\_transforms\_path - Freq/time transform base path
- lmbda - IR Lambda
- beta - Inverse temperature
- nel - Number of electrons per unit cell
- const\_mu - Fix chemical potential
- max\_iter - Number of iterations
- impurity\_solver - Name of the impurity solver class
- dc\_command - Command to run weakly correlated solver to compute double counting
- number\_of\_impurities - Number of impurities
- damp - Damping for SEET self-energy
- fixed\_DC - Fix double counting self-energy from the outer-loop

Try **python seet\_main.py --help** to check the complete parameter list!

# Running PBC\_SEET

## (3) Run SEET (seet\_main.py)

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  - damp - Damping for SEET self-energy
  - fixed\_DC - Fix double counting self-energy from the outer-loop
- Outputs of the previous two steps (seet\_transform.py and int-transform)

Try **python seet\_main.py --help** to check the complete parameter list!

# ***Running PBC\_SEET***

## **(3) Run SEET (seet\_main.py)**

### **Useful parameters when bath fitting is required**

- spin\_symmetrization - Apply spin symmetrization for impurity Green's function
- orb\_sym\_block - Copy the SEET self-energies to orbitals that have the same symmetry of impurities
- orb\_sym\_groups - Group orbitals with the same symmetry within an impurity. (Only works when quantities are diagonal in the active space)

**Important to reduce accumulated error due to the bath fitting!**

### **ED parameters**

- ed\_input\_file - Name of the input file for ED solver to be generated
- ed\_params - ED parameter file (Check <https://github.com/Q-solvers/EDLib>)
- ed\_command - Command to run ED solver along with necessary parameters (e.g. PBC\_SEET/build/ed\_solver/anderson-example --FREQ\_FILE=/data/common/ir/1e7\_202.hdf5)
- imp\_size - Size of each impurity problem
- min\_type\_file - File with minimization types for different impurities
- bath\_file - File with initial bath parameters

# Running PBC\_SEET

## (3) Run SEET (seet\_main.py)


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$$0: R(i\omega_n) = [\Delta(i\omega_n) - \Delta^{\text{fit}}(i\omega_n)]\sqrt{\omega_n}$$

$$1: R(i\omega_n) = \Delta(i\omega_n) - \Delta^{\text{fit}}(i\omega_n)$$

$$2: R(i\omega_n) = [\Delta(i\omega_n) - \Delta^{\text{fit}}(i\omega_n)]\omega_n$$



# Example: AFM MnO

- Basis set: *gth-dzvp-molopt-sr*, Pseudopotential: *gth-pbe*
- Impurities: Mn  $t_{2g}$ ; Mn  $e_g$ ; O  $p$

min\_type.txt: `{"0": [1, 1, 1], "1": [1, 1], "2": [1, 1, 1]}`

orb\_sym\_block.txt: `{"0": [[-48, -49, -51]], "1": [[-50, -52]], "2": [[-67, -68, -69]]}`

```
python $SEET_dir/scripts/seet_main.py \
 --orth 1 \
 --from_ibz 1 \
 --compute_energy 1 \
 --input_file /home/cnyeh/calc/MnO/nk6/LDA/input.h5 \
 --gf2_input_file /home/cnyeh/calc/MnO/nk6/GW/sim_last.h5 \
 --integral_file /home/cnyeh/calc/MnO/nk6/SEET/t2g_eg_p/integrals/df_int_transform.h5 \
 --transform_file /home/cnyeh/calc/MnO/nk6/SEET/t2g_eg_p/integrals/transform.h5 \
 --grid_transforms_file /home/cnyeh/Project/gf2plus/data/ir/1e6_168.hdf5 \
 --grid_transforms_path=/home/cnyeh/Project/gf2plus/data/ \
 --lmbda=1e6 \
 --beta 700.0 \
 --nel 42 \
 --const_mu 0 \
 --max_iter 10 \
 --damp 0.5 \
 --fixed_DC 1 \
 --number_of_impurities 3 \
 --dc_command="gfmol_seet" \
 --impurity_solver=impuritysolver.edsolver.solver \
 --ed_input_file ed.input.h5 \
 --ed_params anderson.param \
 --ed_output_file=ed.sim.h5 \
 --ed_command="srun --export=ALL,OMP_NUM_THREADS=1,MKL_NUM_THREADS=1 --cpu-bind=cores -n 64 -u
/home/cnyeh/Project/PBC_SEET/build/ed_solver/anderson-example --FREQ_FILE=/home/cnyeh/Project/gf2pl
us/data/ir/1e6_168.hdf5" \
 --imp_size 12 10 12 \
 --min_type_file min_type.txt \
 --bath_file bath.txt \
 --orb_sym_groups 0 0 0 \
 --orb_sym_groups 0 0 \
 --orb_sym_groups 0 0 0 \
 --orb_sym_block orb_sym_block.txt
```