

## Solving the 1D Schrodinger Equation for a Hindered Rotor

Start with the one-dimensional, time-independent Schrodinger equation:

$$-\frac{h^2}{8\pi^2 I_j} \frac{d^2 \Psi(\phi)}{d\phi^2} + V_j(\phi) \Psi(\phi) = E \Psi(\phi)$$

In the equation,  $h$  is the Planck constant,  $I_j$  is the reduced moment of inertia of the  $j^{th}$  hindered rotor,  $V_j$  is the potential energy scan of the  $j^{th}$  hindered rotor,  $\phi$  is the dihedral angle and  $\Psi$  is the wavefunction.

We solve the Schrodinger equation by assuming the wavefunction takes the form:

$$\Psi(\phi) = \sum_{m'=-m}^m c_{m'} \frac{e^{im'\phi}}{\sqrt{2\pi}}$$

$c_{m'}$  are constant coefficients multiplying the basis functions,  $i$  is the imaginary number where  $i^2 = -1$ , and  $m'$  spans from  $-m$  to  $m$ . The value of  $m$  implemented in CanTherm is 200. The second derivative of the wavefunction with respect to the dihedral angle is:

$$\frac{d^2 \Psi}{d\phi^2} = \sum_{m'=-m}^m -(m')^2 c_{m'} \frac{e^{im'\phi}}{\sqrt{2\pi}}$$

We solve the eigenvalue problem by multiplying the Schrodinger equation by  $e^{-in\phi}/\sqrt{2\pi}$  and integrating over  $\phi$  from 0 to  $2\pi$ :

$$\int_0^{2\pi} \left\{ -\frac{h^2}{8\pi^2 I_j} \left[ \sum_{m'=-m}^m -(m')^2 c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} \right] + V_j(\phi) \left[ \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} \right] \right\} d\phi =$$

$$\int_0^{2\pi} E \left[ \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} \right] d\phi$$

In CanTherm, the potential  $V(\phi)$  is fit to a Fourier series:

$$V(\phi) = A + \sum_{k=1}^5 a_k \cos(k\phi) + b_k \sin(k\phi)$$

Expressing sine and cosine in terms of our basis functions:

$$\cos(k\phi) = \frac{1}{2} (e^{ik\phi} + e^{-ik\phi})$$

$$\sin(k\phi) = \frac{1}{2i} (e^{ik\phi} - e^{-ik\phi})$$

The potential takes on the form:

$$V(\phi) = A + \sum_{k=1}^5 \frac{a_k}{2} (e^{ik\phi} + e^{-ik\phi}) + \frac{b_k}{2i} (e^{ik\phi} - e^{-ik\phi}) = A + \frac{1}{2} \sum_{k=1}^5 (a_k - ib_k) e^{ik\phi} + (a_k + ib_k) e^{-ik\phi}$$

Since our basis functions are orthonormal

$$\int_0^{2\pi} \frac{e^{-in\phi}}{\sqrt{2\pi}} \frac{e^{im\phi}}{\sqrt{2\pi}} d\phi = \delta_{n,m} \text{ where } \delta_{n,m} = \begin{cases} 1 & \text{if } n = m \\ 0 & \text{if } n \neq m \end{cases}$$

We can easily express the first (kinetic energy) term of the Schrodinger equation as:

$$\int_0^{2\pi} \left\{ -\frac{h^2}{8\pi^2 I_j} \left[ \sum_{m'=-m}^m -(m')^2 c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} \right] \right\} d\phi = \frac{h^2}{8\pi^2 I_j} \sum_{m'=-m}^m (m')^2 c_{m'} \delta_{n,m'}$$

In matrix form, where the first row corresponds to  $n=-m'$  and the last to  $n=m'$ :

$$\begin{bmatrix} \frac{h^2(-m)^2}{8\pi^2 I_j} & 0 & \cdots & 0 \\ 0 & \frac{h^2(-m+1)^2}{8\pi^2 I_j} & \ddots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & \frac{h^2(m)^2}{8\pi^2 I_j} \end{bmatrix} \begin{bmatrix} c_{-m'} \\ \vdots \\ c_0 \\ \vdots \\ c_{m'} \end{bmatrix}$$

The second (potential energy) term is handled using the following relationship:

$$\int_0^{2\pi} e^{ik\phi} \frac{e^{-in\phi}}{\sqrt{2\pi}} \frac{e^{im\phi}}{\sqrt{2\pi}} d\phi = \delta_{k,n-m} \text{ where } \delta_{k,n-m} = \begin{cases} 1 & \text{if } k = n - m \\ 0 & \text{if } k \neq n - m \end{cases}$$

The integrand of the potential term becomes:

$$\begin{aligned} & \left[ A + \frac{1}{2} \sum_{k=1}^5 (a_k - ib_k) e^{ik\phi} + (a_k + ib_k) e^{-ik\phi} \right] \left[ \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} \right] = \\ & A \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} + \frac{1}{2} \sum_{k=1}^5 (a_k - ib_k) \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n+k)\phi}}{2\pi} + \frac{1}{2} \sum_{k=1}^5 (a_k + ib_k) \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n-k)\phi}}{2\pi} \end{aligned}$$

Integrating over the dihedral angle from 0 to  $2\pi$ :

$$\begin{aligned} & \int_0^{2\pi} \left\{ A \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n)\phi}}{2\pi} + \frac{1}{2} \sum_{k=1}^5 (a_k - ib_k) \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n+k)\phi}}{2\pi} + \frac{1}{2} \sum_{k=1}^5 (a_k + ib_k) \sum_{m'=-m}^m c_{m'} \frac{e^{i(m'-n-k)\phi}}{2\pi} \right\} d\phi = \\ & A \sum_{m'=-m}^m c_{m'} \delta_{n,m'} + \frac{1}{2} \sum_{k=1}^5 (a_k - ib_k) \sum_{m'=-m}^m c_{m'} \delta_{k,n-m'} + \frac{1}{2} \sum_{k=1}^5 (a_k + ib_k) \sum_{m'=-m}^m c_{m'} \delta_{k,m'-n} = \\ & \sum_{m'=-m}^m \left[ A c_{m'} \delta_{n,m'} + \frac{1}{2} \sum_{k=1}^5 ((a_k - ib_k) c_{m'} \delta_{k,n-m'} + (a_k + ib_k) c_{m'} \delta_{k,m'-n}) \right] \end{aligned}$$

The first term, in matrix form, looks similar to the kinetic energy contribution: The “A” term is along the diagonal, with all off-diagonal terms equal to zero. The second term populates the five immediate off-diagonal terms below the diagonal, while the third term populates the five immediate off-diagonal terms above the diagonal:

$$\frac{1}{2} \begin{bmatrix} 0 & \cdots & 0 & a_5 - ib_5 & \cdots & a_1 - ib_1 & 2A & a_1 + ib_1 & \cdots & a_5 + ib_5 & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} c_{-m'} \\ \vdots \\ c_0 \\ \vdots \\ c_{m'} \end{bmatrix}$$

Summing the kinetic and potential energy terms results in the matrix of interest, *i.e.* the matrix whose eigenvalues correspond to the energy levels of the system. Each row in the matrix follows this pattern, where  $n$  varies from  $-m$  to  $m$ :

$$\frac{1}{2} \begin{bmatrix} 0 & \cdots & 0 & a_5 - ib_5 & \cdots & a_1 - ib_1 & 2A + \frac{h^2(n)^2}{4\pi^2 I_j} & a_1 + ib_1 & \cdots & a_5 + ib_5 & 0 & \cdots & 0 \end{bmatrix}$$

The eigenvalues of this  $(2m+1) \times (2m+1)$  matrix are computed and substituted into the partition function for a hindered rotor, where  $E_l$  is the  $l^{th}$  eigenvalue:

$$q = \sum_{l=1}^{2m+1} e^{-E_l / k_b T}$$

The partition function's contributions to the thermodynamic quantities are:

$$H(T) - H(0) = \frac{\sum_l E_l e^{-E_l / k_b T}}{\sum_l e^{-E_l / k_b T}}$$

$$S = k_b \ln \left( \frac{1}{\sigma_{rotor}} \sum_l e^{-E_l / k_b T} \right) + \frac{\sum_l E_l e^{-E_l / k_b T}}{T \sum_l e^{-E_l / k_b T}}$$

$$c_p = \frac{\left( \sum_l E_l^2 e^{-E_l / k_b T} \right) \left( \sum_l e^{-E_l / k_b T} \right) - \left( \sum_l E_l e^{-E_l / k_b T} \right)^2}{k_b T^2 \left( \sum_l e^{-E_l / k_b T} \right)^2}$$

$k_b$  is the Boltzmann constant,  $T$  is the absolute temperature, and  $\sigma_{rotor}$  is the symmetry number of the hindered rotor.

## Free Rotor Partition Function

When the barrier to rotation is  $\ll k_b T$ , a more accurate approximation of the vibrational mode is a free rotor. The partition function for a free rotor is:

$$q_{free} = \frac{\sqrt{8\pi^3 I_j k_b T}}{\sigma_{rotor} h}$$

The free rotor's contributions to the thermodynamic quantities are:

$$H(T) - H(0) = \frac{1}{2} k_b T$$
$$S = R \ln \left( \frac{e^{0.5} \sqrt{8\pi^3 I_j k_b T}}{\sigma_{rotor} h} \right)$$
$$c_p = \frac{1}{2} k_b$$

(Coming soon in CanTherm): For every hindered rotor specified by the user, and for each temperature listed in the input file, CanTherm automatically determines whether the rotor should be treated as hindered or free; the rotor is treated as free rotation if  $k_b T$  is greater than X times the largest barrier height (X to be determined).