

---

# **EECS 349: Machine Learning**

**Bryan Pardo**

**Topic: Concept Learning and  
Version Spaces**

(with some tweaks by Doug Downey)

# Concept Learning

---

- Much of learning involves acquiring general concepts from specific training examples
- *Concept*: subset of objects from some space
- Concept learning: Defining a function that specifies which elements are in the concept set.

# A Concept

---

- Let there be a set of objects,  $X$ .  
 $X = \{\text{White Fang, Scooby Doo, Wile E, Lassie}\}$
- A concept  $C$  is...

A subset of  $X$

$$C = \text{dogs} = \{\text{Lassie, Scooby Doo}\}$$

A function that returns  $1$  only for elements in the concept

$$C(\text{Lassie}) = 1, \quad C(\text{Wile E}) = 0$$

# Instance Representation

---

- Represent an object (or *instance*) as an  $n$ -tuple of *attributes*
- Example: Days (6-tuples)

Sky	Temp	Humid	Wind	Water	Forecast
sunny	warm	normal	strong	warm	same
sunny	warm	high	strong	warm	same
rainy	cold	high	strong	warm	change
sunny	warm	high	strong	cool	change

# Example Concept Function

---

- “Days on which my friend Aldo enjoys his favorite water sport”

INPUT						OUTPUT
Sky	Temp	Humid	Wind	Water	Forecast	C(x)
sunny	warm	normal	strong	warm	same	1
sunny	warm	high	strong	warm	same	1
rainy	cold	high	strong	warm	change	0
sunny	warm	high	strong	cool	change	1

# Hypothesis Spaces

---

- Hypothesis Space  **$H$** : subset of all possible concepts
- For learning, we restrict ourselves to  **$H$**   
 **$H$**  may be only a *small subset* of all possible concepts (this turns out to be important – more later)

# Example: MC2 Hypothesis Space

---

- MC2 (Mitchell, Chapter 2) hypothesis space
  - Hypothesis  $h$  is a conjunction of constraints on attributes
- Each constraint can be:
  - A specific value : e.g.  $Water=Warm$
  - A don't care value : e.g.  $Water=?$
  - No value allowed: e.g.  $Water=\emptyset$
- Instances  $x$  that satisfy the constraints of  $h$  have  $h(x) = 1$ , otherwise  $h(x) = 0$
- Example hypotheses:

Sky	Temp	Humid	Wind	Water	Forecast
sunny	?	?	?	?	?
?	warm	?	?	?	same

# Concept Learning Task

---

## GIVEN:

- Instances  $X$ 
  - E.g., days described by attributes:  
*Sky, Temp, Humidity, Wind, Water, Forecast*
- Target function  $c$ :
  - E.g., EnjoySport  $X \rightarrow \{0,1\}$
- Hypothesis space  $H$ 
  - E.g. MC2, conjunction of literals:  $\langle \text{Sunny} \ ? \ ? \ \text{Strong} \ ? \ \text{Same} \ \rangle$
- Training examples  $D$ 
  - positive and negative examples of the target function:  $\langle x_1, c(x_1) \rangle, \dots, \langle x_n, c(x_n) \rangle$

## FIND:

- A hypothesis  $h$  in  $H$  such that  $h(x)=c(x)$  for all  $x$  in  $D$ .



# Concept Learning Task

---

## GIVEN:

- Instances  $X$ 
  - E.g., days described by attributes:  
*Sky, Temp, Humidity, Wind, Water, Forecast*
- Target function  $c$ :  
E.g.,  $\text{EnjoySport } X \rightarrow \{0,1\}$
- Hypothesis space  $H$ 
  - E.g. MC2, conjunction of literals:  $\langle \text{Sunny} \ ? \ ? \ \text{Strong} \ ? \ \text{Same} \ \rangle$
- Training examples  $D$ 
  - positive and negative examples of the target function:  $\langle x_1, c(x_1) \rangle, \dots, \langle x_n, c(x_n) \rangle$

## FIND:

- A hypothesis  $h$  in  $H$  such that  $h(x)=c(x)$  for all  $x$  in  $D$ .

# Inductive Learning Hypothesis

---

- Any hypothesis found to approximate the target function well over the training examples, will also approximate the target function well over the unobserved examples.
- This might not be true. When it it isn't the hypothesis does not generalize well.

# Number of Instances, Concepts, Hypotheses

---

- Sky: Sunny, Cloudy, Rainy
- AirTemp: Warm, Cold
- Humidity: Normal, High
- Wind: Strong, Weak
- Water: Warm, Cold
- Forecast: Same, Change

distinct instances :  $3*2*2*2*2*2 = 96$

distinct concepts:  $2^{96}$

syntactically distinct hypotheses in MC2:  $5*4*4*4*4*4=5120$

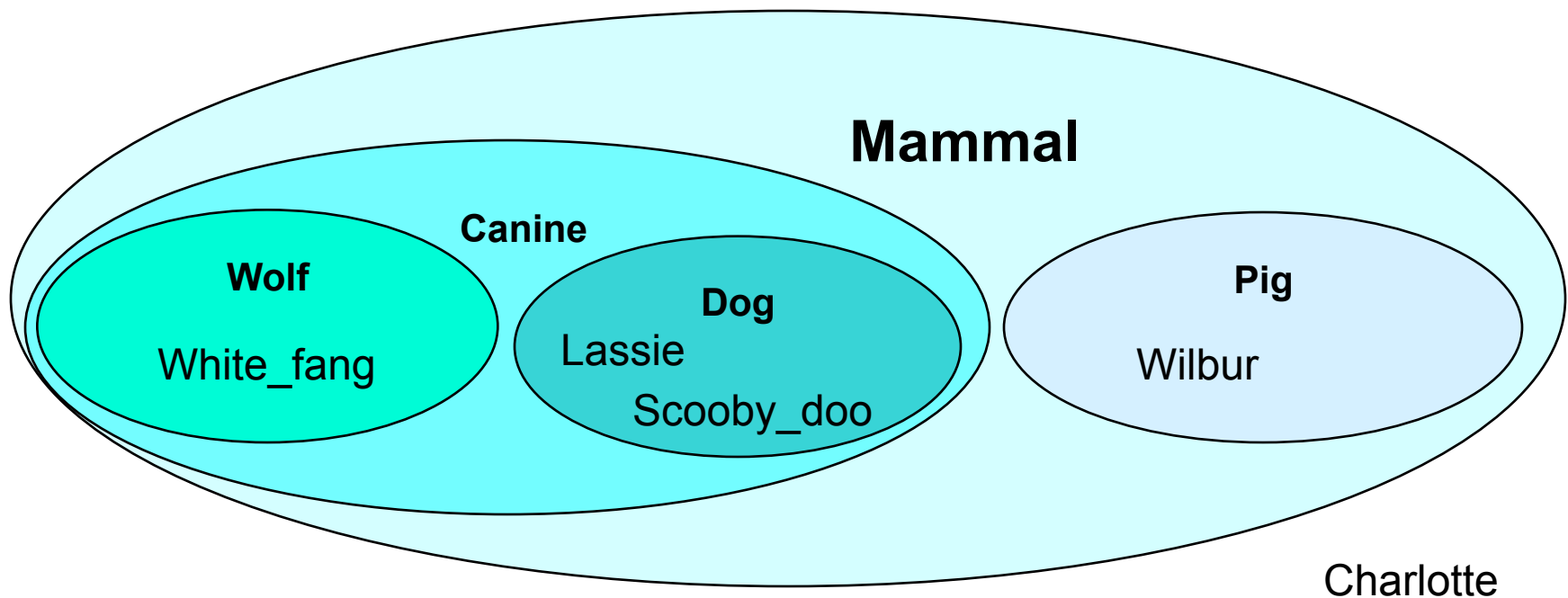
semantically distinct hypotheses in MC2:  $1+4*3*3*3*3*3=973$

Number of possible hypothesis spaces:  $2^{2^{96}}$

# Concept Generality

---

- A concept  $P$  is **more general than or equal to** another concept  $Q$  iff the set of instances represented by  $P$  includes the set of instances represented by  $Q$ .



# General to Specific Order

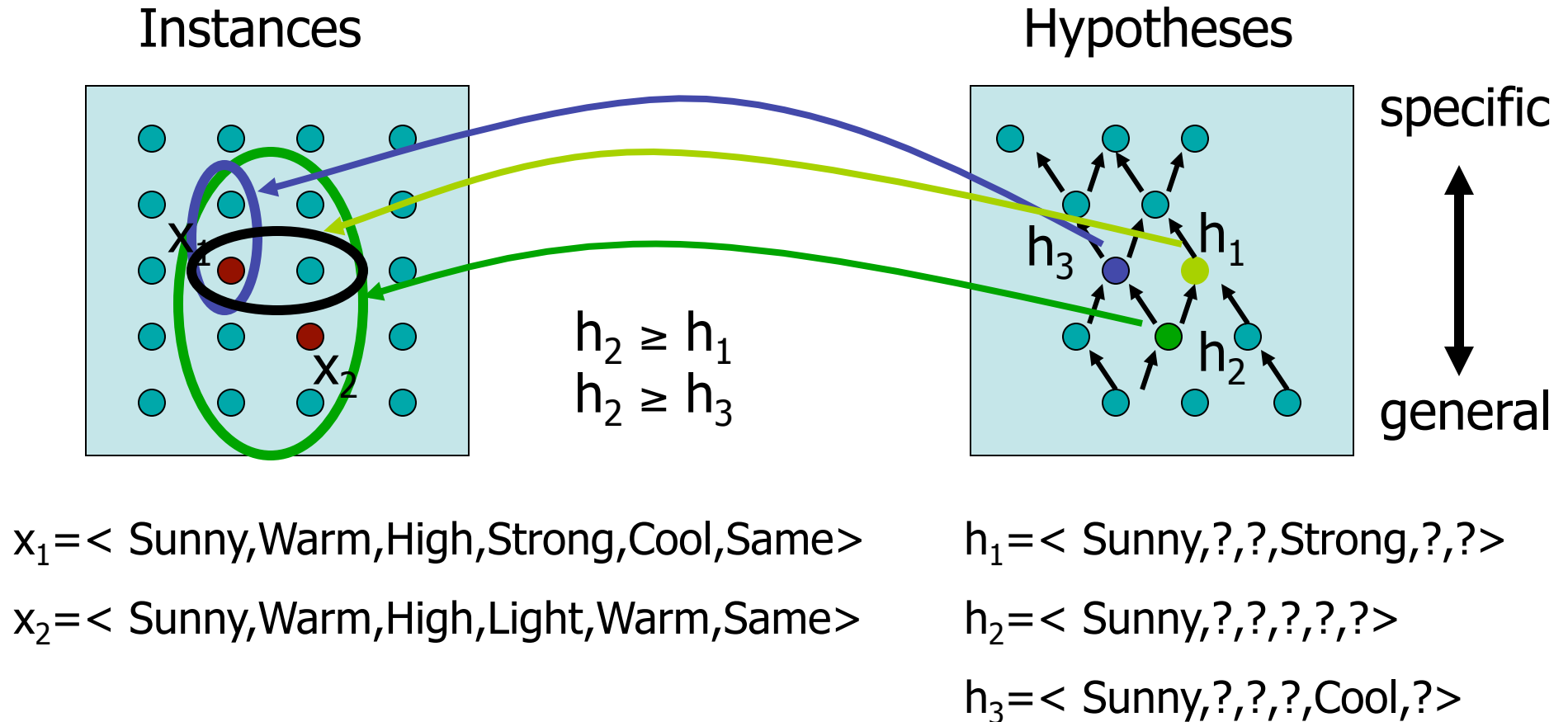
---

- Consider two hypotheses:
  - $h_1 = \langle \text{Sunny}, ?, ?, \text{Strong}, ?, ? \rangle$
  - $h_2 = \langle \text{Sunny}, ?, ?, ?, ?, ? \rangle$
- Definition:  $h_j$  is **more general than or equal to**  $h_k$  iff:

$$h_j \geq h_k \equiv \forall x (h_k(x) = 1 \rightarrow h_j(x) = 1)$$

- This imposes a partial order on a hypothesis space.

# Instance, Hypotheses and "generality"

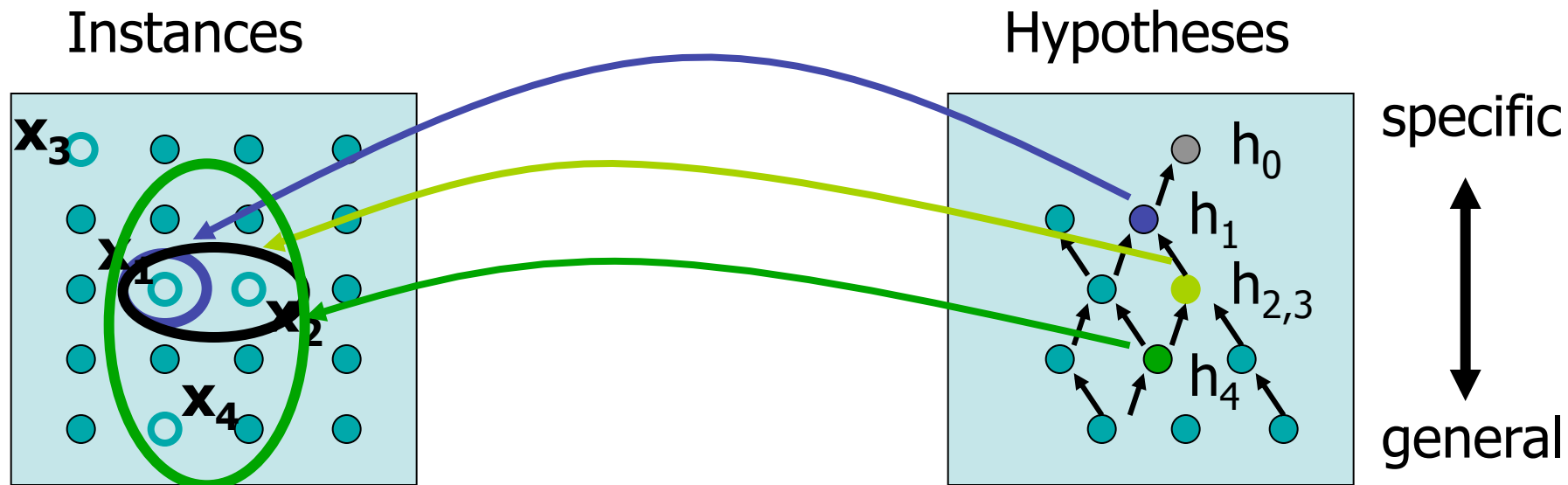


# Find-S Algorithm

---

1. Initialize  $h$  to the most specific hypothesis in  $H$
2. For each positive training instance  $x$ :
  - For each attribute constraint  $a_i$  in  $h$ 
    - If the constraint is satisfied by  $x$ , do nothing
    - else replace  $a_i$  in  $h$  by the next more general constraint that is satisfied by  $x$
3. Output hypothesis  $h$

# Hypothesis Space Search by Find-S



$x_1 = \langle \text{Sunny, Warm, Normal, Strong, Warm, Same} \rangle +$

$x_2 = \langle \text{Sunny, Warm, High, Strong, Warm, Same} \rangle +$

$x_3 = \langle \text{Rainy, Cold, High, Strong, Warm, Change} \rangle -$

$x_4 = \langle \text{Sunny, Warm, High, Strong, Cool, Change} \rangle +$

$h_0 = \langle \emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \rangle$

$h_1 = \langle \text{Sunny, Warm, Normal, Strong, Warm, Same} \rangle$

$h_{2,3} = \langle \text{Sunny, Warm, ?, Strong, Warm, Same} \rangle$

$h_4 = \langle \text{Sunny, Warm, ?, Strong, ?, ?} \rangle$



# Properties of Find-S

---

- When hypothesis space described by constraints on attributes (e.g., MC2)
  - Find-S will output the most specific hypothesis within  $H$  that is consistent with the positive training examples

# Complaints about Find-S

---

- Ignores negative training examples
- Why prefer the most specific hypothesis?
- Can't tell if the learner has converged to the target concept, in the sense that it is unable to determine whether it has found the *only* hypothesis consistent with the training examples.

# Version Spaces

---

- Hypothesis **h** is consistent with a set of training examples **D** of the target concept **c** iff **h(x)=c(x)** for each training example **<x,c(x)>** in **D**.

$$Consistent(h, D) \equiv \left( \forall \langle x, c(x) \rangle \in D \right) h(x) = c(x)$$

- A **version space** : all the hypotheses that are consistent with the training examples.

$$VS_{H,D} \equiv \{h \in H \mid Consistent(h, D)\}$$

- Imposing a partial order (like the  $\geq$  one) on the version space lets us learn concepts in an organized way.

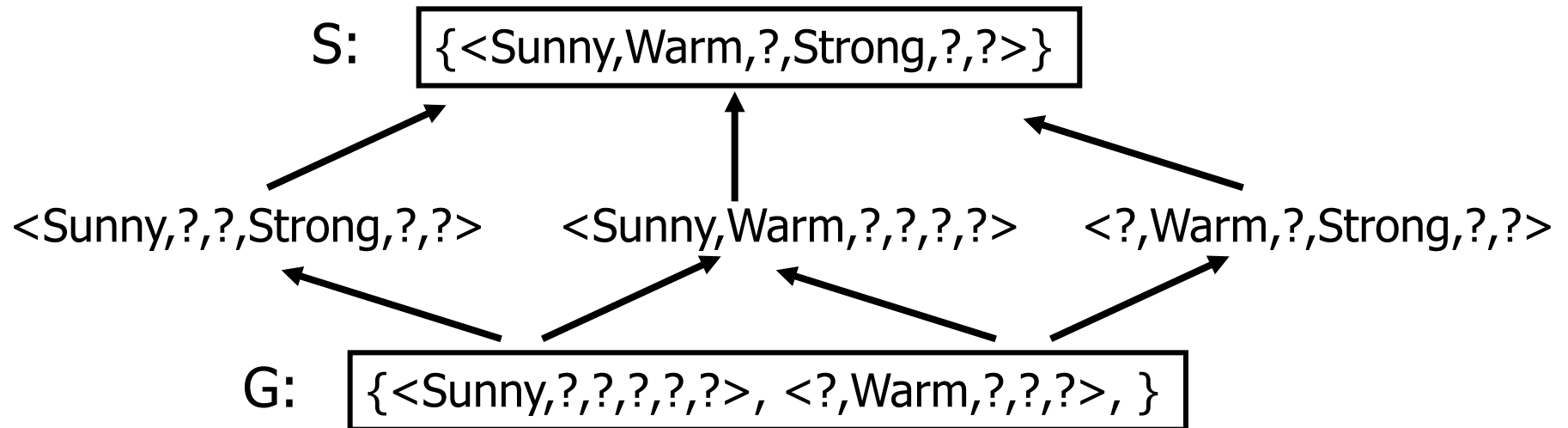
# List-Then Eliminate Algorithm

---

1. *VersionSpace*  $\leftarrow$  a list containing every hypothesis in  $H$
2. For each training example  $\langle x, c(x) \rangle$   
remove from *VersionSpace* any hypothesis  
that is inconsistent with the training example  
 $h(x) \neq c(x)$
3. Output the list of hypotheses in *VersionSpace*

# Example Version Space

---



$x_1 = \langle \text{Sunny Warm Normal Strong Warm Same} \rangle +$   
 $x_2 = \langle \text{Sunny Warm High Strong Warm Same} \rangle +$   
 $x_3 = \langle \text{Rainy Cold High Strong Warm Change} \rangle -$   
 $x_4 = \langle \text{Sunny Warm High Strong Cool Change} \rangle +$

# Representing Version Spaces

---

- The **general boundary**,  $G$ , of version space  $VS_{H,D}$  is the set of maximally general members.
- The **specific boundary**,  $S$ , of version space  $VS_{H,D}$  is the set of maximally specific members.
- Every member of the version space lies between these boundaries

$$VS_{H,D} \equiv \{h \in H \mid \exists(s \in S), \exists(g \in G) \ g \geq h \geq s\}$$

# Candidate Elimination Algorithm

---

Initialize  $G$  to the set of maximally general hypotheses in  $H$

Initialize  $S$  to the set of maximally specific hypotheses in  $H$

For each training example  $d$ , do

- If  $d$  is a positive example
    - Remove from  $G$  any hypothesis inconsistent with  $d$
    - For each hypothesis  $s$  in  $S$  that is not consistent with  $d$ 
      - Remove  $s$  from  $S$
      - Add to  $S$  all minimal generalizations  $h$  of  $s$  such that
        - $h$  is consistent with  $d$ , and some member of  $G$  is more general than  $h$
      - Remove from  $S$  any hypothesis that is more general than another hypothesis in  $S$
  - If  $d$  is a negative example
    - Remove from  $S$  any hypothesis inconsistent with  $d$
    - For each hypothesis  $g$  in  $G$  that is not consistent with  $d$ 
      - Remove  $g$  from  $G$
      - Add to  $G$  all minimal specializations  $h$  of  $g$  such that
        - $h$  is consistent with  $d$ , and some member of  $S$  is more specific than  $h$
      - Remove from  $G$  any hypothesis that is less general than another hypothesis in  $G$
-

# Candidate-Elimination Algorithm

---

- When does this halt?
- If  $S$  and  $G$  are both singleton sets, then:
  - if they are identical, output value and halt.
  - if they are different, the training cases were inconsistent. Output this and halt.
- Else continue accepting new training examples.



# Example Candidate Elimination

---

S:  $\{\langle \emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset \rangle\}$

G:  $\{\langle ?, ?, ?, ?, ?, ? \rangle\}$

$x_1 = \langle \text{Sunny Warm Normal Strong Warm Same} \rangle +$

S:  $\{\langle \text{Sunny Warm Normal Strong Warm Same} \rangle\}$

G:  $\{\langle ?, ?, ?, ?, ?, ? \rangle\}$

$x_2 = \langle \text{Sunny Warm High Strong Warm Same} \rangle +$

S:  $\{\langle \text{Sunny Warm ? Strong Warm Same} \rangle\}$

G:  $\{\langle ?, ?, ?, ?, ?, ? \rangle\}$

# Example Candidate Elimination

---

S: {< Sunny Warm ? Strong Warm Same >}

G: {<?, ?, ?, ?, ?>}

$x_3 = \langle \text{Rainy Cold High Strong Warm Change} \rangle -$

S: {< Sunny Warm ? Strong Warm Same >}

G: {<Sunny,?, ?, ?, ?, ?>, <?, Warm, ?, ?, ?, ?>, <?, ?, ?, ?, ? Same>}

$x_4 = \langle \text{Sunny Warm High Strong Cool Change} \rangle +$

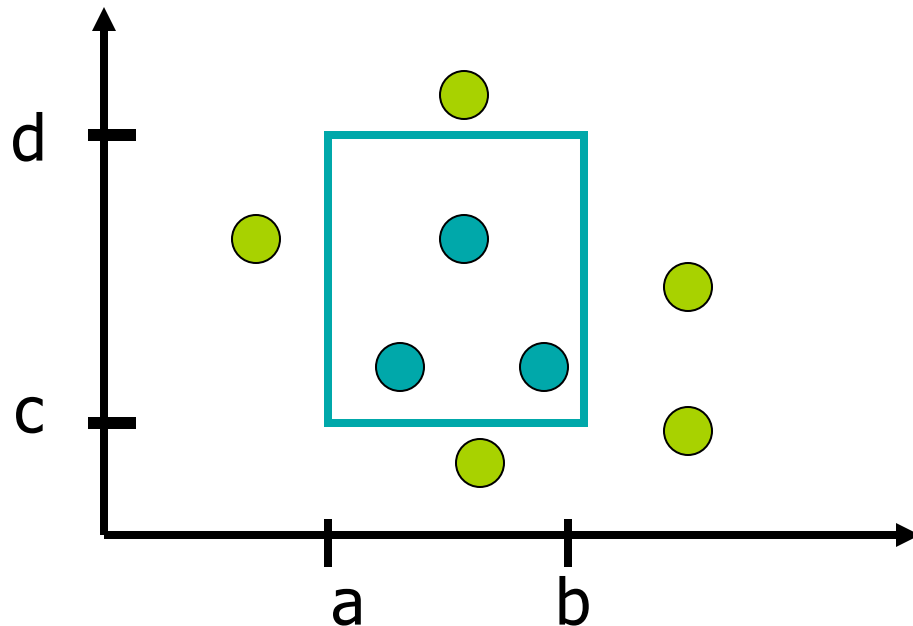
S: {< Sunny Warm ? Strong ? ? >}

G: {<Sunny,?, ?, ?, ?, ?>, <?, Warm, ?, ?, ?> }

# Example Candidate Elimination

---

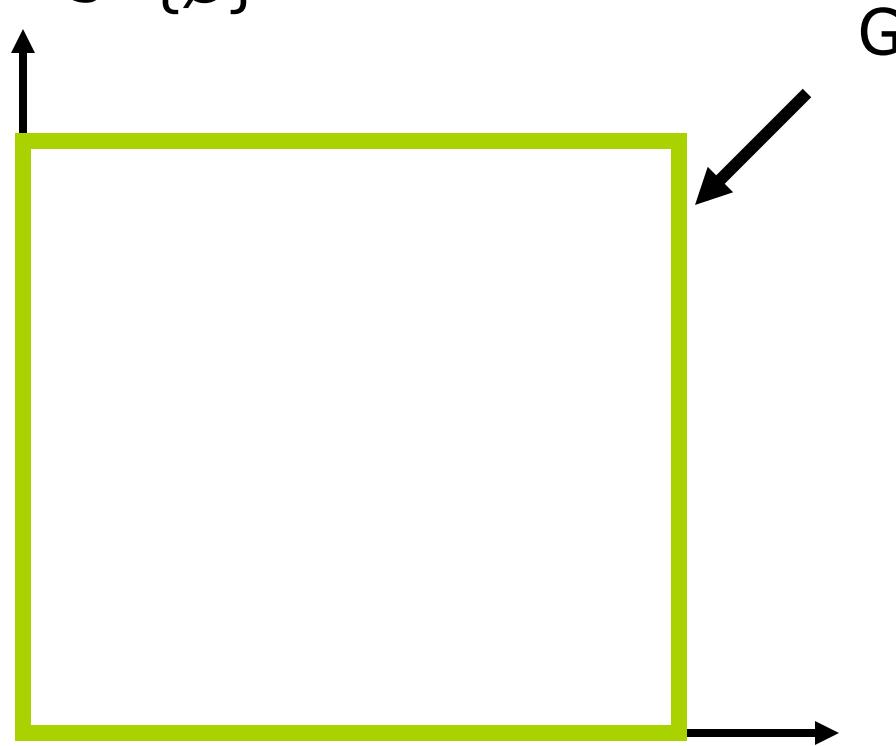
- Instance space: integer points in the  $x,y$  plane with  $0 \leq x,y \leq 10$
- hypothesis space : rectangles. That means hypotheses are of the form  $a \leq x \leq b$  ,  $c \leq y \leq d$



# Example Candidate Elimination

---

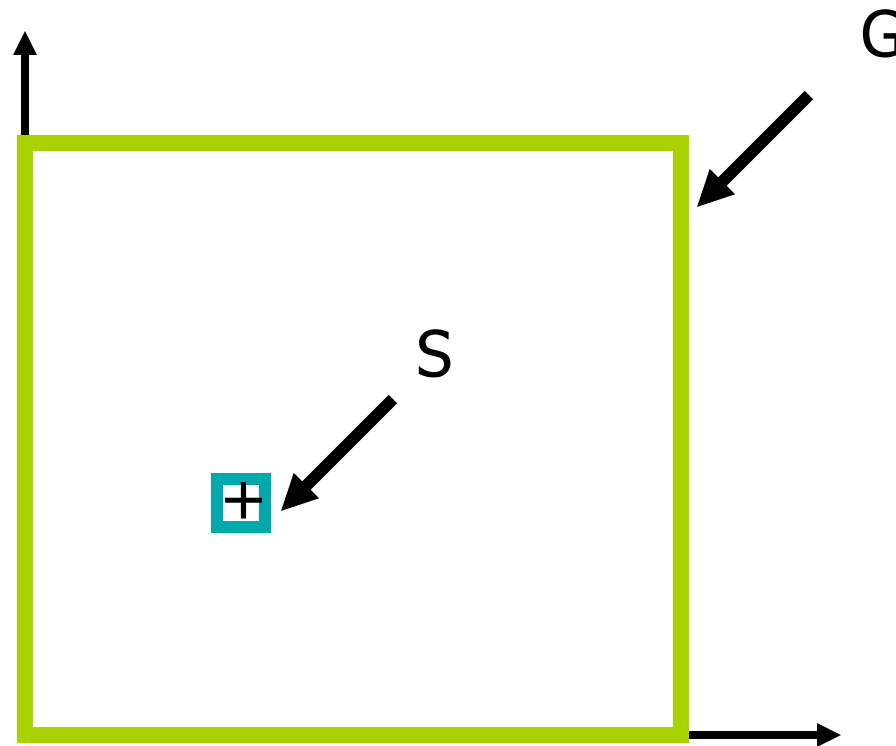
- examples =  $\{\emptyset\}$
- $G = \{0, 10, 0, 10\}$
- $S = \{\emptyset\}$



# Example Candidate Elimination

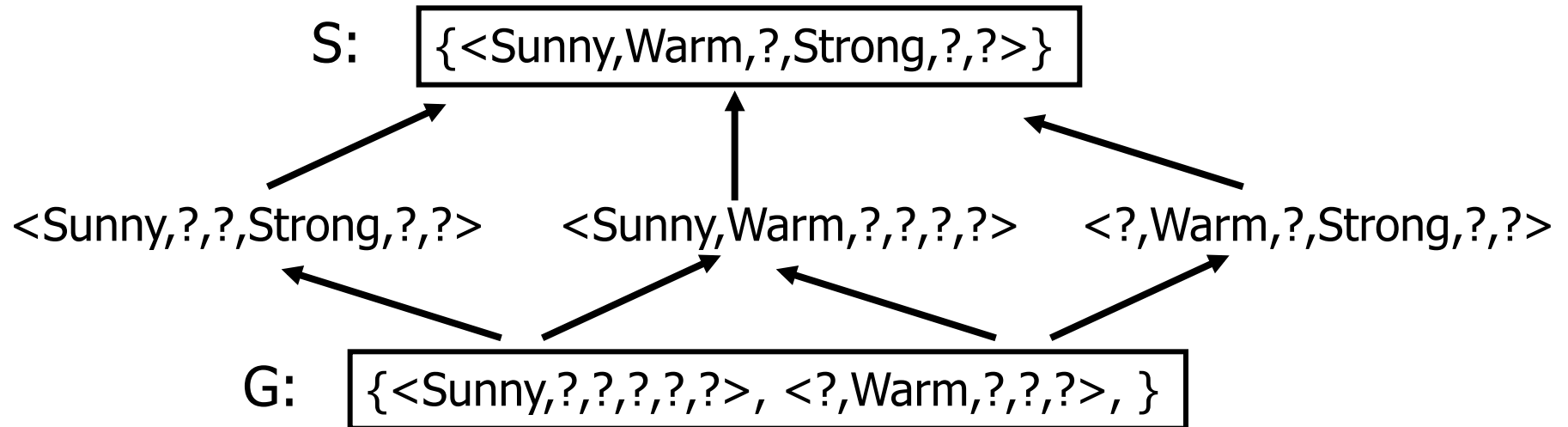
---

- examples =  $\{(3,4), +\}$
- $G = \{(0,10,0,10)\}$
- $S = \{(3,3,4,4)\}$



# Classification of Unseen Data

---



$$\begin{aligned}
 x_5 &= \langle \text{Sunny Warm Normal Strong Cool Change} \rangle + 6/0 \\
 x_6 &= \langle \text{Rainy Cold Normal Light Warm Same} \rangle - 0/6 \\
 x_7 &= \langle \text{Sunny Warm Normal Light Warm Same} \rangle \quad ? \ 3/3 \\
 x_8 &= \langle \text{Sunny Cold Normal Strong Warm Same} \rangle \quad ? \ 2/4
 \end{aligned}$$

# Deductive reasoning

---

- Tries to show a conclusion **MUST** follow from a set of premises (axioms)
- What we typically think of as “Logic” (1<sup>st</sup> order, 2<sup>nd</sup> order, etc.)
- Covered in EECS 348.
- Example
  - All men are mortal
  - Socrates is a man
  - Therefore, Socrates is mortal

# Inductive reasoning

---

- The premises of an inductive argument indicate support (often probabilistic support) but do not ensure the conclusions are true.
- Example
  - 93% of students are right-handed.
  - Will is a student.
  - Therefore, Will is right-handed.



# Inductive Bias

---

- NOT the same as bias in a statistical estimator
- DEFINITION: The set of axioms that would need to be added to the knowledge of the system so that a deductive reasoner would make the same inference as the inductive reasoner.
  - Example: Will does whatever the majority does.

# Inductive Bias

---

**CHOICE OF PERFORMANCE MEASURE IS A KIND OF BIAS:**

**Means squared error (linear regression)**

**Maximum margin between classes (SVM)**

**Fitness function (Genetic algorithm)**

# Inductive Leap

---

- + <Sunny Warm Normal Strong Cool Change>
  - + <Sunny Warm Normal Light Warm Same>
- 

S : <Sunny Warm Normal ? ? ?>

new example <Sunny Warm Normal Strong Warm Same>

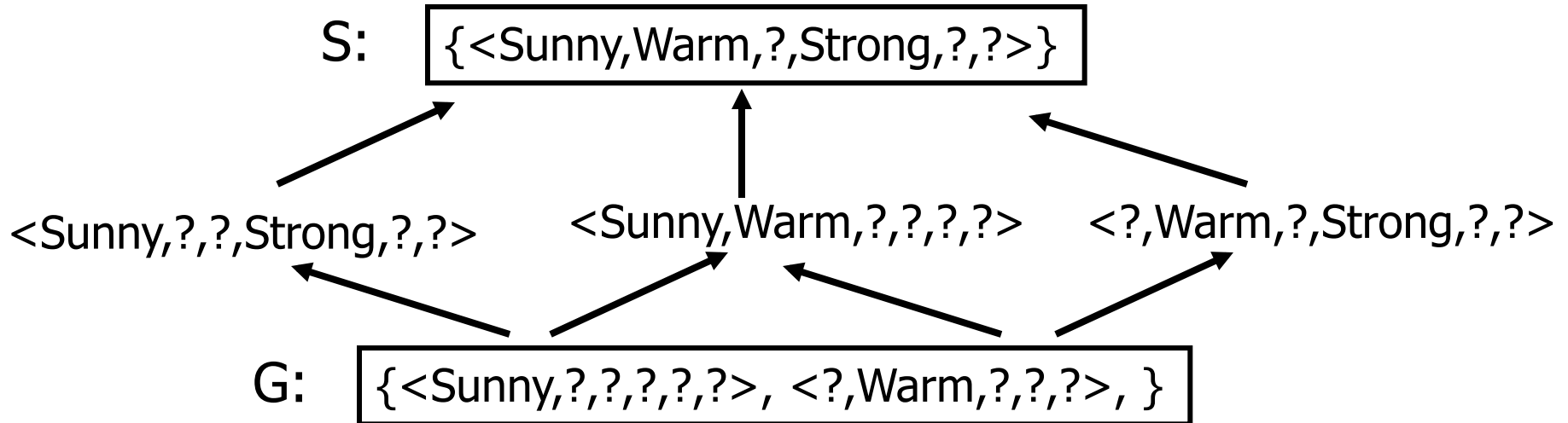
How can we justify classifying the new example as positive?

Since S is the specific boundary all other hypotheses in the version space are more general. So if the example satisfies S it will also satisfy every other hypothesis in VS.

inductive bias: Concept **c** can be described by a conjunction of literals.

# What Example to Query Next?

---



- What would be a good query for the learner to pose at this point?
- Choose an instance that is classified positive by some of the hypotheses and negative by the others.  
     $\langle \text{Sunny}, \text{Warm}, \text{Normal}, \text{Light}, \text{Warm}, \text{Same} \rangle$
- If the example is positive S can be generalized, if it is negative G can be specialized.

# Biased Hypothesis Space

---

- Our hypothesis space is unable to represent a simple disjunctive target concept :

$(\text{Sky}=\text{Sunny}) \vee (\text{Sky}=\text{Cloudy})$

$x_1 = \langle \text{Sunny Warm Normal Strong Cool Change} \rangle +$   
 $S_1 : \{ \langle \text{Sunny, Warm, Normal, Strong, Cool, Change} \rangle \}$

$x_2 = \langle \text{Cloudy Warm Normal Strong Cool Change} \rangle +$   
 $S_2 : \{ \langle ?, \text{Warm, Normal, Strong, Cool, Change} \rangle \}$

$x_3 = \langle \text{Rainy Warm Normal Strong Cool Change} \rangle -$   
 $S_3 : \{ \}$  The third example  $x_3$  contradicts the already overly general hypothesis space specific boundary  $S_2$ .

# Unbiased Learner

---

- Idea: Choose  $H$  that expresses every teachable concept, that means  $H$  is the set of all possible subsets of  $X$
- $|X|=96 \Rightarrow |H|=2^{96} \sim 10^{28}$  distinct concepts
- $H$  = disjunctions, conjunctions, negations  
<Sunny Warm Normal ? ? ?>  $\vee$  <? ? ? ? ? Change>
- $H$  surely contains the target concept.

# Unbiased Learner

---

Assume positive examples  $(x_1, x_2, x_3)$  and negative examples  $(x_4, x_5)$

$$S : \{ (x_1 \vee x_2 \vee x_3) \} \quad G : \{ \neg (x_4 \vee x_5) \}$$

How would we classify some new instance  $x_6$ ?

For any instance not in the training examples  
half of the version space says +  
the other half says –

=> To learn the target concept one would have to present *every* single instance in  $X$  as a training example (Rote learning)

# Three Learners with Different Biases

---

- Rote learner: Store examples, classify  $x$  if and only if it matches a previously observed example.
  - No inductive bias
- Version space candidate elimination algorithm.
  - Bias: Hypothesis space contains target concept.
- Find-S
  - Bias: The hypothesis space contains the target concept & all instances are negative instances unless the opposite is entailed by other knowledge.



# Summary

---

- Concept learning as search.
- General-to-Specific partial ordering of hypotheses
- Inductive learning algorithms can classify unseen examples only because of inductive bias
- An unbiased learner cannot make inductive leaps to classify unseen examples.