

# 3 Employment and Placement

## 310 General Provisions

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### 311 Functional Responsibilities

#### 311.1 Basic Principles

##### 311.11 Best Qualified Personnel

Employee Resource Management designs employment and placement processes to ensure that the recruitment, assignment, promotion, motivation, training, development, and retention procedures yield the best qualified personnel to carry out the mission of the Postal Service with maximum productivity and economy of operations.

##### 311.12 Prohibition of Discrimination

It is Postal Service policy that all employees and applicants for employment be afforded equal opportunities in employment without regard to race, color, sex (including pregnancy, sexual orientation, and gender identity, including transgender status), national origin, religion, age (40 or over), genetic information, disability, or retaliation for engaging in EEO-protected activity as provided by law. As part of its program of equal employment opportunity, the Postal Service prohibits discrimination or harassment based on any of these categories.

In addition, it is also Postal Service policy to prohibit discrimination or harassment based on political affiliation; marital status; status as a parent; and past, present, or future military service. Position changes and advancement are based solely on merit, applicable experience, and knowledge, skills, and abilities.

##### 311.13 Prohibition of Political Recommendations

The law (39 U.S.C. 1002) prohibits political and certain other recommendations for appointments, promotions, assignments, transfers, or designations of persons in the Postal Service.

#### 311.2 Administrative Responsibilities

##### 311.21 Vice President of Employee Resource Management

The vice president, Employee Resource Management, develops policies, methods, and procedures for recruiting, selecting, appointing, assigning, reassigning, and promoting employees.

**311.22 Area Manager of Human Resources**

The manager Human Resources (Area), directs the review, compliance, and continued long-term improvement of all employee and labor relations programs, including collective bargaining, grievance processing, arbitration, contract interpretation, contract analysis, recruitment, compensation, organizational design, staffing, training, Equal Employment Opportunity (EEO), safety, and Postal Career Executive Service (PCES) administration.

**311.23 Diversity and Inclusion**

Diversity and Inclusion ensures that recruitment, retention, and promotion practices draw upon and support the communities served by the Postal Service. Diversity and Inclusion implements affirmative employment plans and takes the following actions:

- a. Develops and implements recruitment and hiring strategies to increase the employability of underrepresented groups, including minorities, women, and people with disabilities.
- b. Establishes retention initiatives to reduce turnover of a diverse workforce.
- c. Establishes methods to increase participation of underrepresented groups in development and promotion activities.
- d. Identifies and removes employment barriers for minorities, women, and people with disabilities.

**311.24 District Manager of Human Resources**

The manager, Human Resources (District), manages the human resources function district-wide, ensuring compliance with postal employment and placement policies and coordinating human resources activities to support postal operations.

## 320 Recruitment

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**321 General Provisions for Recruitment****321.1 Anticipating Recruitment Needs**

Generally, nonbargaining positions are filled from within the Postal Service. When vacancies are not filled by internal placement of employees, career and noncareer vacancies may be filled externally. The appointing official must anticipate recruitment needs in time to ensure that qualified persons are available for appointment. The appointing official must consider the number of anticipated vacancies, and decide whether the needs can be met with noncompetitive recruitment sources, competitive recruitment, or a combination of competitive and noncompetitive recruitment sources. When competitive recruitment will be utilized, the appointing official determines whether additional recruitment efforts are necessary to yield a diverse pool of qualified persons for potential Postal Service employment (see Handbook EL-312, *Employment and Placement*, chapter 2).

- 321.2 **Publicizing Job Opportunities**  
District human resources managers and appointing officials must ensure that job opportunities are widely publicized to recruit new employees (see Handbook EL-312, part 42).
- 321.3 **Veterans' Preference for Eligible Applicants**  
The Postal Service grants veterans' preference to eligible applicants for Postal Service employment. See Handbook EL-312, 48, Veterans' Preference, for specific procedural guidelines.
- 321.4 **Register Preference Factors**  
The Postal Service grants veterans' preference for Postal Service employment. See Handbook EL-312, 48, Veterans' Preference, for specific procedural guidelines.
- 321.5 **Register Maintenance**  
The district maintains registers for filling positions in an installation or group of installations. Entrance examination results are used to create the registers used to fill jobs. A separate register exists for each examination. See Handbook EL-312, chapter 4, for specific procedures for establishing, maintaining, and using registers.
- 322 **Special Employment Programs (Employment of Individuals With Disabilities)**  
See Handbook EL-312, 235, Employment of People With Disabilities, and Handbook EL-307, *Reasonable Accommodation, An Interactive Process*, for more information concerning the employment of people with disabilities.

## 330 Examinations

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- 331 **Purpose of Examinations**  
The Postal Service administers employment and promotion examinations to help identify the best qualified eligible candidates for filling vacant positions. See Handbook EL-312, chapter 3, for detailed provisions regarding employment and promotion examinations.
- 332 **Conducting Examinations**
- 332.1 **General**  
The proper conducting of examinations plays an essential role in the employment and placement function in the Postal Service. See Handbook EL-312, chapter 3, for detailed provisions and instructions for conducting examinations.

### 332.2 **Entrance and Inservice Examinations**

Only authorized personnel and vendors may conduct entrance and inservice postal examinations.

### 332.3 **Inservice Examinations**

Installations that have regularly-assigned examiners must use these trained personnel to administer inservice examinations, provided there are no conflicts of interest such as the examiner is assigned to proctor an exam in which he or she or a relative is enrolled. Any exceptions to this policy must be approved by the Headquarters Testing and Assessment department. See Handbook EL-312, chapter 3, for more information.

### 332.4 **Examination Locations**

The Postal Service obtains qualified employees and helps applicants seeking postal employment through a network of examination locations where persons may take the required examinations for Postal Service employment.

## 333 **Veterans' Preference**

Veterans' preference is granted to eligible applicants for Postal Service employment. The Postal Service's online application system, eCareer, adds claimed preference points to examination ratings as required by the Veterans' Preference Act of 1944, as amended, or arranges the applicants in rank order by veterans' preference claim status (see [441](#)). The appointing official or designee adjudicates the claimed preference. See Handbook EL-312, 48, Veterans' Preference, for more information.

## 334 **Establishing Hiring Lists**

### 334.1 **Applicants**

Persons who apply to a vacancy announcement posted externally on <http://about.usps.com/careers/welcome.htm> are applicants.

### 334.2 **Definition of Hiring List**

A Hiring List consists of a listing of the names of eligible applicants who applied to the specific vacancy announcement arranged in descending order by score or veterans' preference status, as appropriate to the position being filled, for appointment consideration. See Handbook EL-312, subchapter 44.

## 340 **Suitability, Selection, and Appointment**

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### 341 **Positions Restricted to Preference Eligibles**

The Postal Service restricts certain positions to preference eligible applicants under the Veterans' Preference Act of 1944, as amended. This restriction applies only to appointments made from external recruitment sources. See Handbook EL-312, 232.52, Positions Restricted to Applicants Eligible for Veterans' Preference, for more information.

## 342 Preemployment Suitability Determinations

To maintain public trust and confidence in the reliability and integrity of its employees, the Postal Service must evaluate the overall suitability of applicants for postal employment prior to consideration and selection.

It is Postal Service policy not to discriminate in personnel decisions on the basis of:

- a. Race, color, sex (including pregnancy, sexual orientation, and gender identity, including transgender status), national origin, religion, age (40 or over), genetic information, disability, or retaliation for engaging in EEO-protected activity as provided by law; or
- b. Other non-meritorious factors, such as political affiliation; marital status; status as a parent; and past, present, or future military service.

## 343 Applicants Separated for Cause

Prior approval of the manager, Human Resources (District); the manager, Human Resources (Area) for Area positions; or the vice president, Employee Resource Management for Headquarters and Headquarters Field Unit positions, must be obtained before employing any former postal or federal employee who was removed from the Postal Service or other federal employment for cause or who resigned after being notified that charges proposing removal would be, or had been, issued. See Handbook EL-312, 514.11, Handling Removals From Postal Service or Other Federal Employment, for further information.

## 344 Hiring Worksheets

### 344.1 When to Issue Hiring Lists

When necessary to hire externally from a competitive recruitment source, Human Resources publishes a vacancy announcement on <http://about.usps.com/careers/welcome.htm>. If an entrance examination is required, applicants not currently qualified on the examination may be invited to take the test. A Hiring List containing the names of qualified applicants is created after expiration of the testing time limit. Each applicant listed on the Hiring List is invited to be interviewed for the position.

### 344.2 Interviewing Applicants

Interviewers for nonbargaining vacancies must be trained and certified on *Nonbargaining Selection Methods*, Course 10022345 and *Essentials of Interviewing and Hiring: Behavioral Interview Techniques*, Course 3623586. Interviewers for external bargaining vacancies must be trained and certified on Entry Level Interviewer Training, Course 21585-00. Interviewers become certified by completing the course, observing an interview conducted by a certified interviewer, and being observed conducting an interview by a certified interviewer. A trained, certified interviewer conducts interviews with external applicants for bargaining positions.

### 344.3 **Appointing Official Responsibilities**

The selecting official must make selections for appointment from external hiring lists using the *rule of three* (see [344.4](#)). Selections must be made based on merit. It is Postal Service policy not to discriminate in personnel decisions on the basis of:

- a. Race, color, sex (including pregnancy, sexual orientation, and gender identity, including transgender status), national origin, religion, age (40 or over), genetic information, disability, or retaliation for engaging in EEO-protected activity as provided by law; or
- b. Other non-meritorious factors, such as political affiliation; marital status; status as a parent; and past, present, or future military service.

### 344.4 **Rule of Three**

Appointing officials select from among the three highest ranked and available applicants from the appropriate external Hiring List. An applicant who is performing uniformed service is not per se unavailable; consult human resources. This selection method is known as the *rule of three* (see Handbook EL-312, 624).

### 344.5 **Nonselection of a VeteransPreference Eligible**

A veteranspreference eligible candidate may not be passed over to select a nonpreference eligible candidate that is lower on the Hiring List, unless the selecting official objects to the veteran and the objection is sustained. See Handbook EL-312, 627, *Objection to or Pass Over of Preference Eligible*, for requirements for passing over a veteran.

### 345 **Auditing Hiring Lists**

After the appointing official has made all selections and each action has been properly documented, the selections are audited according to provisions found in Handbook EL-312, 63, Auditing Selection Actions.

### 346 **Conditional Offer of Appointment**

When an applicant is selected, the appointing official makes a conditional offer of appointment that includes:

- a. Full particulars regarding the position, including title, duties, level, salary, location of employment, nature, and duration of appointment.
- b. Instructions for medical assessment and any other required conditions the appointee must fulfill after entrance on duty, e.g., serving a probationary period, obtaining a security clearance, or being subject to investigation.

### 347 **Former Postal or Federal Employees**

For applicants selected and hired for noncareer jobs after having previously served in a position in the Postal Service or other federal agency, wherein they were covered by civil service retirement, health benefits, or life insurance, such persons must have at least a 4-day break between such service and their appointment as a noncareer employee. For more

information on restrictions on hiring a postal or federal employee covered by benefits into a temporary postal position, see Handbook EL-312, 233.34, Dual Employment.

## 348 Dual Employment

### 348.1 Dual Employment Within the Postal Service

#### 348.11 General Explanation

Under certain circumstances, as described in this chapter, an employee may be appointed to more than one position in the Postal Service. This type of employment is known as a *dual appointment*. Only one of the appointments may be to a position in the career workforce. The primary purpose of dual appointments is to improve the opportunity of career part-time employees and noncareer employees to gain additional employment and to minimize unemployment compensation expense. Dual appointments also allow the Postal Service to use experienced employees instead of hiring new employees.

Substitute rural carriers (designation/activity codes 720 and 730) may be given a dual appointment to a career part-time position or noncareer position. Postal Support Employees (PSEs), City Carrier Assistants (CCAs), Mail Handler Assistants (MHAs), Casual Mail Handlers, Rural Carrier Reliefs (RCRs), Rural Carrier Associates (RCAs), and Postmaster Relief (Remotely Managed Post Office (RMPO) (PMRs), cannot be given a dual appointment to a career position. Dual appointments of noncareer employees in other noncareer positions may also be limited. Consult the Valid Dual Combinations list on the HRSSC Personnel Administration Toolkit web page as needed.

#### 348.12 Consideration Factors

Installation heads must ensure that all dual appointments are cost effective and in the best interest of the Postal Service. Before deciding to make dual appointments, installation heads should consider the following factors:

- a. Estimated daily workload requirement (hour by hour) in each craft.
- b. Workload that can be covered by increasing the hours of part-time flexible employees currently on the rolls, by the judicious use of overtime hours.
- c. Workload that can be covered by using employees from another craft, in accordance with applicable provisions in collective bargaining agreements.
- d. Practicality of using part-time employees from nearby Post Offices.
- e. Installation flexibility to make necessary leave replacements if dual appointments are made.
- f. Average weekly workhours for each employee on the rolls and dual appointment reduction in the Postal Service's liability for state unemployment compensation benefits.
- g. Combined hours of the dual appointment totaling more than 8 hours a day or 40 hours a week.

**348.13 Appointment Authority**

The district manager or designee has authority to make dual appointments, as appropriate.

**348.14 Appointment Requirements**

Employees considered for dual appointments must meet all qualification requirements for both positions, including examination requirements, if any. Likewise, substitute rural carrier employees (designation/activity codes 720 and 730) may be appointed to entry level career positions noncompetitively as permitted under applicable collective bargaining agreements. All other procedures for selection and appointment are included in Handbook EL-312, *Employment and Placement*.

**348.15 Compensation, Benefits, and Other Rights**

An employee serving under a dual appointment is compensated for the work performed in a particular position at the appropriate rate for that position. If one of the positions of a dual appointment carries the right to benefits, the employee accrues the rights immediately upon appointment to that position and retains the rights even while working in another position that does not have such benefit rights. Other rights, which accrue to a position under the terms of a collective bargaining agreement, are accorded to the employee. Special handling is required to ensure that FLSA-overtime is properly paid where the employee works overtime at two different rates and in more than one facility.

**348.16 Change to Full-time Status**

An employee's change to full-time status requires termination of the dual appointment.

**348.2 Between Postal Service and Other Federal Agencies**

An employee, other than a Governor, may serve and receive pay concurrently as an employee of the Postal Service and as an employee of any other federal agency, except the Postal Regulatory Commission. See Handbook EL-312, 233.35 and 234.23, Dual Employment, for information on restrictions on dual employment. When a postal employee is employed concurrently in another federal agency, postal employment is primary and takes precedence when a scheduling conflict arises.

**348.3 Between Postal Service and Private Industry**

A Postal Service employee may be employed concurrently as an employee in the private sector unless such employment has an adverse impact on postal operations or where conflicts of interest may be generated between the private employer and/or employee and the Postal Service. When a postal employee is employed concurrently by another private employer, postal employment is primary and takes precedence when a scheduling conflict arises. See [662.1](#) for further information.



## 349 Official Personnel Folder

### 349.1 Purpose

The Official Personnel Folder (OPF) documents the employment history of individuals employed by the federal government. The records included in the OPF protect the legal and financial rights of the government and the employee. An OPF is established and maintained for each Postal Service employee, regardless of appointment type or duration.

### 349.2 Contents

The OPF contains personnel records that reflect the employee's official status, benefits, and service and includes other documents that are significant in the employee's Postal Service career. When an employee has former postal or federal civilian service, the OPF for that service must be merged into a single OPF.

### 349.3 Electronic Official Personnel Folder System

The official record of a document in an OPF is the hard copy until the document is scanned and accepted into the Postal Service's electronic Official Personnel Folder (eOPF) system. At that point, the scanned image contained in the eOPF system is the official record of the document, and the hard copy ceases to be the official record.

#### Exceptions:

- a. If a PS Form 50, *Notification of Personnel Actions*, (1) has been created electronically and stored in the Postal Service's human resources information system, and (2) has not been printed out in hard copy and placed in the OPF, then the official record is the electronic version of the PS Form 50 stored in the human resources information system.
- b. If a document has been created electronically and stored in the Postal Service's eOPF system, the official record is the electronic version of the document stored within the eOPF system.

## 350 Assignment, Reassignment, and Promotion

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## 351 Introduction

### 351.1 Purpose and Scope

#### 351.11 Employee Utilization

Postal officials in charge of installations have an overall responsibility to effectively use human resources. Supervisory employees have specific responsibilities to improve the use of human resources. Postal officials in charge of installations and supervisors must carefully plan and analyze the anticipated workload to enable the use of the minimum workforce consistent with effective operations.

### 351.12 **Filling Postal Positions**

The assignment, reassignment, or promotion of postal employees fills most postal positions, except entry-level positions.

### 351.13 **Eligibility for Promotion**

An employee serving under a career appointment is eligible for reassignment or promotion to a career position. An employee serving under a noncareer appointment is not eligible for promotion to a career position.

### 351.2 **Positions Not to Be Filled on a Permanent Basis**

The following are not to be filled on a permanent basis:

- a. Any position from which an employee is absent under circumstances where he or she has a right to be restored to his or her position; for example, because of an on-the-job injury.
- b. Any position from which an employee is on leave without pay to perform military service and has or may have reemployment rights under USERRA (see EL-312, section 77). **Note:** A position does not need to be held for an employee who (1) resigned to perform active military duty and (2) executed a *Resignation to Enter Military Service Declaration*; however, an employee placed into a position vacated by an employee who resigned to perform military service and completed a *Resignation to Enter Military Service Declaration* may be removed from that position in order to comply with the requirements of USERRA to reemploy an employee with reemployment rights who resigned to perform military service.
- c. Any position from which an employee was (a) subjected to an adverse action (e.g., removal, demotion, or reassignment) and (b) for which a grievance or appeal to the Merit Systems Protection Board is pending.

### 351.3 **Qualification and Eligibility Requirements**

#### 351.31 **Qualification**

Employees selected for a position must meet the minimum qualification requirements established for the position. See Handbook EL-312, chapter 7, for explanation of nonbargaining qualification standards and bargaining qualification standards.

#### 351.32 **Eligibility for Consideration**

Eligibility for consideration for some nonbargaining positions is limited to employees in specific grades, occupations, geographic areas, or organizations, especially during periods of organizational change. The limitations for bargaining positions are described in collective bargaining agreements and Handbook EL-312, 72, Bargaining Positions. Employees with restoration rights by reason of military duty may request consideration and are considered for promotion, assignment, and conversion to full-time status, if otherwise eligible. (Consult human resources if an employee absent because of military duty requests consideration, but does not submit a formal application.) Other employees on extended leave or leave without pay are considered, provided they are eligible and have submitted an application. The personnel action is effected upon return to duty and is dated

retroactively to the date the change would have occurred had the employee not been absent.

**Note:** The employee may be entitled to benefits including back pay for leave (including military leave) and retroactive activation of Federal Employees Health Benefits coverage (see Chapter 5, [Employee Benefits](#)).

#### 351.4 **Equal Opportunity**

Postal Service policy provides all qualified employees an equal opportunity for assignments and promotions. Assignments and promotions must be based on merit and the relevant experience, training, knowledge, skills, and ability required for the positions being filled.

It is Postal Service policy not to discriminate in personnel decisions on the basis of:

- a. Race, color, sex (including pregnancy, sexual orientation, and gender identity, including transgender status), national origin, religion, age (40 or over), genetic information, disability, or retaliation for engaging in EEO-protected activity as provided by law, or
- b. Other non-meritorious factors, such as political affiliation; marital status; status as a parent; and past, present, or future military service.

#### 351.5 **General Promotion Policies**

##### 351.51 **Merit Promotion Program**

The promotion program for positions in the Postal Service is based on the principle of promotion by merit. The program provides the means for making selections for promotions according to the relative qualifications of the employees eligible for consideration. Officials engaged in the selection process must administer the program systematically, uniformly, and equitably. Promotions to craft positions must be made in accordance with applicable collective bargaining agreements.

##### 351.52 **Merit Promotion Program Objectives**

The goal of the merit promotion program is to obtain maximum effectiveness and efficiency in postal operations by:

- a. Identifying highly qualified candidates for management consideration in a timely manner.
- b. Ensuring best placement of employees according to their capabilities and potential.
- c. Maximizing use of employees' special skills and abilities.
- d. Providing employees an incentive to improve their performance and develop their knowledge, skills, and abilities.
- e. Providing all employees the maximum possible opportunities for advancement, and ensuring that qualified employees who are eligible and available are given fair and appropriate consideration when filling higher-grade vacancies.

**351.53 Policies**

The following promotion policies apply:

- a. First consideration is given to qualified employees within the Postal Service. The area of consideration is broad enough to provide a supply of well qualified candidates for promotion. The selecting official is provided an adequate number of well qualified candidates from which to choose, but should not be burdened with a lengthy list of candidates to consider.
- b. Consideration is expanded to postal employees outside the minimum area of consideration when appropriate and necessary to ensure that an adequate number of qualified candidates are available for promotion consideration.
- c. Required files are maintained; adequate procedures are developed for periodic review of promotion actions; and appropriate corrective action is taken if procedural, regulatory violations, or other deficiencies are found.
- d. Employees selected for promotion are released from their current positions without undue delay. This is normally not later than 2 to 4 weeks after selection or in conformance with the provisions of any applicable labor agreement.
- e. Information is made available to employees, upon request, about:
  - (1) Promotion program requirements and procedures and the promotion programs affecting them;
  - (2) Promotion opportunities available to them;
  - (3) Their eligibility in specific promotion actions; and
  - (4) The identity of the person selected.
- f. Restrictions on the promotion (or recommendation for promotion) of immediate relatives are explained in Handbook EL-312, 513.3, Relatives.

**351.54 Exceptions to Competitive Promotion Procedures**

Promotions excepted from competitive procedures are listed in Handbook EL-312, 717.32, Exceptions to Competitive Procedures.

**351.55 Temporary Promotions**

See Handbook EL-312, 716.2, Temporary Promotion, for conditions when temporary promotions are appropriate.

**351.6 Mutual Exchanges****351.61 General Policy**

Career employees may exchange positions (subject to the provisions of the appropriate collective bargaining agreement) if the officials in charge at the installations involved approve the exchange of positions. Mutual exchanges must be made between employees in positions at the same grade levels. The following employees are not permitted to exchange positions:

- a. Part-time flexible employees with full-time employees.
- b. Bargaining employees with nonbargaining employees.
- c. Nonsupervisory employees with supervisory employees.

**351.62 Rural Letter Carrier Employees**

The mutual exchange of regular rural letter carrier employees of different Post Offices is permitted in accordance with the applicable provisions of the USPS-NRLCA National Agreement. The following mutual exchanges are not permitted:

- a. Between regular rural letter carrier employees in the same Post Office.
- b. Between rural letter carrier employees and members of other crafts.

**352 Selection for Bargaining Positions**

Procedures and requirements for filling bargaining positions are found in the following publications:

- a. The appropriate collective bargaining agreement contains governing policies and procedures affecting bidding, assignments, reassignments, higher grade assignments, and promotions.
- b. Handbook EL-312, 72, Bargaining Positions, contains detailed procedures and administrative requirements.
- c. Position descriptions online (<http://hrishq.usps.gov/jdonline>) on the Postal Service Intranet contain qualification standards for bargaining positions.

**353 Selection for Nonbargaining Positions****353.1 Promotion**

A promotion is the permanent assignment, with or without relocation, of an employee:

- a. To a position having a higher grade than the position to which the employee is currently assigned, or
- b. To a position with a higher equivalent grade.

In addition to the general promotion policies in 351.3, specific policies and procedures govern promotion to various nonbargaining positions. Selection procedures for Postal Career Executive Service (PCES) employees are described in [380](#) of this manual, and for other nonbargaining positions, in Handbook EL-312, 74, Nonbargaining Positions or 75, Supervisor Selection Process.

**353.2 Reassignment**

A reassignment is the permanent assignment, with or without relocation, of an employee:

- a. To another position with the same grade, or
- b. To a position with an equivalent grade.

**353.21 Management Option**

Authorized management officials may reassign nonbargaining employees without following regular competitive procedures (see Handbook EL-312, section 743.11).

**353.22 Employee Self-Nomination**

Employees who desire noncompetitive reassignment may nominate themselves by making a written request to the selecting official.

**353.23 Unassigned Employees**

Unassigned nonbargaining employees (i.e., employees whose positions have been abolished) are reassigned in accordance with [354](#).

**353.3 Temporary Assignment**

See Handbook EL-312, 716.1, Temporary Assignments.

**353.4 Realignment or Reevaluation**

In a realignment or reevaluation involving nonbargaining positions, Headquarters Employee Resource Management determines the effect on individual positions. Based on those determinations, the following general rules apply when assigning incumbents and filling affected positions:

- a. The incumbent is automatically assigned to the position if there is no significant change in duties or responsibilities and no change in grade.
- b. The incumbent is promoted noncompetitively if the position is upgraded with no significant change in duties or responsibilities.
- c. The incumbent has no assignment or promotion right to the new position if there is a significant change in duties and responsibilities that result in the authorization of a new position at the same or higher grade and abolishment of the present position. The new position is filled in accordance with regular procedures, and the incumbent of the abolished position is assigned in accordance with [354](#).
- d. The incumbent is treated in accordance with [354.241](#) in any situation where a position is evaluated at a lower grade.

**354 Assignment of Unassigned Employees****354.1 Policy****354.11 Bargaining Employees**

Assignment of excess bargaining employees must be in accordance with the applicable provisions of the appropriate collective bargaining agreement.

**354.12 Non-bargaining Employees**

Assignment of unassigned career non-bargaining employees must be in accordance with the procedures described in [354.2](#), as appropriate. Postal Service policy provides equal opportunities for all employees without discrimination because of race, color, religion, sex, national origin, disability, or age.

**354.2 Assignment of Non-bargaining Unit Employees Due to a Potential or Actual Reduction in Force**

The Postal Service has the option to apply to any individual organizational change none, one, or more of the following provisions that are not required

by reduction in force (RIF) statutes and Office of Personnel Management RIF regulations applicable to the Postal Service.

#### 354.21 **General**

##### 354.211 **Definition of Reduction in Force**

A reduction in force (RIF) is the administrative process through which the Postal Service eliminates positions and accounts for the employees who formerly occupied those positions. A RIF action occurs when an employee is released from his or her competitive level by separation, demotion, or a reassignment requiring displacement. Release from a competitive level must be caused by the following:

- › Elimination or significant modification of existing work.
- › Creation of new work.
- › Reorganization.
- › Transfer of function.
- › An individual's exercise of reemployment or restoration rights.
- › Reclassification of an employee's position based on the erosion of duties that will take effect after a RIF has been formally announced in the employee's competitive area (i.e., the area in which the employee competes for retention during a RIF) and when the RIF will take effect within 180 days.

**Note:** With the exception identified above concerning the reclassification of an employee's position, a change to lower grade based on the reclassification of an employee's position due to a change in classification standards or the correction of a classification error is not a RIF.

##### 354.212 **Legal Basis for Reduction in Force**

Laws governing RIF are found in Title 5, United States Code (U.S.C.), Sections 3501-3503. These laws are implemented in the federal government through regulations issued by the U.S. Office of Personnel Management (OPM) (Title 5, *Code of Federal Regulations* (CFR), 351). In the Postal Service, these laws apply only to individuals entitled to veterans' preference during a RIF. The legislative provisions making these laws and regulations applicable to preference eligible employees in the Postal Service are found in Title 39, U.S.C. Section 1005 (a)(2).

##### 354.213 **Management and Human Resources Responsibilities**

Management plans and organizes the workforce based on the strategic direction of the Postal Service. That responsibility includes determining the type, number, and location of positions that are to be filled, abolished, or vacated (see [156](#)). When changes are anticipated as a result of this responsibility, management determines whether a RIF is necessary and when such action will occur.

Human Resources is responsible for coordinating RIF avoidance strategies to exhaust all means of placement for a specific competitive area undergoing a RIF. This responsibility includes advising and assisting management in designing a RIF strategy and implementing management's RIF-related decisions. Human Resources will work with all stakeholders and responsible

managers to coordinate the process associated with a reinstatement list for preference eligible employees (see [354.27](#)).

#### 354.214 **Coverage of Reduction in Force Procedures**

RIF procedures apply to the assignment or separation of career non-bargaining employees who occupy positions that have the potential of being impacted by, or will be directly affected by, a RIF.

These procedures apply to non-career, non-bargaining employees serving in an indefinite appointment period only to the extent necessary to terminate their employment, or to avoid (or minimize) the impact of a RIF on career non-bargaining employees.

**Exclusion:** Bargaining employees are excluded from these procedures. The assignment or separation of excess bargaining employees is in accordance with the applicable collective bargaining agreement and applicable statutes.

#### 354.215 **Veterans Preference Status**

Entitlement to veterans preference is based on the Veterans Preference Act of 1944, as amended, and is codified in various provisions of Title 5, U.S.C. Employees who are eligible for veterans preference for purposes of initial appointment are also eligible for veterans preference for RIF, except for employees who are retired members of the uniformed services. Employees who retired from the military must meet one of several special conditions before they can be granted veterans preference for RIF purposes. The conditions differ and depend on whether the employees retired below, at, or above the rank of major.

#### 354.216 **Veterans Preference Entitlement**

Veterans preference eligible employees are entitled to the following:

- a. *Higher Retention Standing.* A preference eligible employee is entitled to a higher retention standing (seniority) on a retention register than a non-preference eligible employee during a RIF.
- b. *Assignment Rights.* A preference eligible employee is entitled to assignment rights if the employee's last merit performance rating of record before separation was better than a non-contributor for RIF purposes or its equivalent under paragraphs (1) or (2) of this subsection.
  - (1) *Bumping.* A preference eligible employee may replace an employee in the same competitive area who has a lower retention standing and occupies a position that is no more than three grade levels (or appropriate grade interval or equivalent) lower than the position from which the preference eligible employee is released.
  - (2) *Retreating.* A preference eligible employee may replace an employee (in the same competitive area, tenure group, and veterans preference subgroup), who has a lower retention standing, occupies the same position, or a different position that was previously held by the preference eligible employee, that is no more than three grade levels (or appropriate grade interval or equivalent) lower than the position from which the preference eligible employee is released. A preference eligible employee



with a compensable service-connected disability of 30 percent or more can retreat to a position that is up to five grade levels (or appropriate grade interval or equivalent) lower than the position from which he or she is released.

- c. *Appeal Rights.* A preference eligible employee may file an appeal with the Merit Systems Protection Board (MSPB) under the provisions of 5 CFR 351.901. For additional information, refer to the Merit Systems Protection Board website at [mspb.gov](https://www.mspb.gov).

## 354.22 **Processing Requests for Organizational Change**

### 354.221 **Evaluating the Need for Change**

Requests to change a Headquarters or field organizational structure are initiated by the business function with approval of the functional organization vice president. An organizational change is made to meet one or more of the following objectives:

- a. Change in mission.
- b. Change in nature of work.
- c. Duplication of jobs, functions, or responsibilities within or between organizational structures.
- d. Ensure the relevance and consistency of organizational structures and staffing.

Human Resources, in consultation with the business function, reviews and evaluates the specific needs for change with the functional organization vice president to provide possible solutions (see [140](#) for more details).

Human Resources evaluates how the proposed changes will affect specific competitive areas and determines whether a RIF may be necessary. If the potential for a RIF exists, Human Resources provides the business function with a preliminary placement plan that outlines the strategies to minimize or avoid the need for a RIF.

### 354.222 **Implementing the Change**

Following completion of the evaluation process and final approval, Human Resources takes the following actions:

- a. Notifies the business function of the new or modified structure and staffing changes to be implemented.
- b. Develops qualification standards (knowledge, skills, and abilities) for any new positions and assigns the positions to the appropriate competitive levels. If placement of competitive area employees into the new organization based on competitive levels and qualification standards does not result in a potential RIF situation, the vice president and competitive area management are advised to proceed to fill any vacant positions under the normal EAS selection procedures.

If the organizational change does result in a potential RIF, Human Resources is responsible for coordinating RIF avoidance or minimization strategies, conducting the RIF process, and, if necessary, coordinating with competitive area management other placement opportunities that may be available.

**354.23 Minimization Strategies for RIF Avoidance**

To minimize or avoid the impact of a RIF, Human Resources, in coordination with the business function, may implement some or all of the following actions:

- a. Freeze hiring and promotion actions.
- b. Separate contract employees, temporary employees, and reemployed annuitants.
- c. Reassign employees:
  - (1) To vacant positions in the same competitive area or other competitive areas.
  - (2) To positions within or outside the commuting area. This may be voluntary (e.g., where an employee has responded to a vacancy announcement) or directed by management.

**Note:** Reassignments are not subject to RIF procedures when employees are involuntarily placed into same level positions.
- d. Cancel all detail and temporary promotion PS Forms 50, *Notification of Personnel Action*.
- e. Terminate probationary employees.
- f. Approve employee requests to voluntarily change to vacant positions at lower grades within the competitive area, including bargaining positions.
- g. Provide voluntary resignation incentives.
- h. Obtain approval from OPM to offer a voluntary early retirement option.
- i. Provide voluntary early retirement incentives.
- j. When circumstances warrant, implement other RIF avoidance measures, provided such measures comply with regulations and, if appropriate, the applicable collective bargaining agreements.

**354.24 Providing Initial Notification and Making Preliminary Placements****354.241 Notifying Employees of a Potential RIF**

If the potential for a RIF exists, management at the competitive area must meet with employees to advise them of the situation, either individually or in groups. This meeting occurs after approval is received from Human Resources, but before issuance of the specific RIF notice. The information provided to employees includes, if applicable:

- a. A description of the new organization, including the job title and number of any new positions added, their grade levels, and reporting relationships.
- b. The number of positions by title and grade level in the organization being abolished.
- c. The position titles, grade levels, or organizational function that will be directly impacted by the RIF.
- d. The options available to impacted employees, including any RIF avoidance or minimization strategies that will be used (see [354.23](#)).

**354.242 Establishing Employee Job Profile**

Employees who may be impacted by a RIF must update and maintain their employee profile to apply for any available vacant positions.

**354.25 Implementing RIF Procedures****354.251 Issuing Specific RIF Notices**

Human Resources issues specific RIF notices to all impacted employees within the competitive area no less than 60 days before the RIF effective date. Each specific RIF notice either advises an employee that he or she has been placed in the new organization, giving the position title, grade level, occupational code, and duty station location, or that he or she did not receive a placement offer and will be separated from the Postal Service. The specific RIF notice also contains the following:

- a. Information used to determine an employee's assignment rights in the RIF, including the competitive area, competitive level, tenure group, veterans' preference subgroup, RIF service date, and the four most recent merit performance ratings of record.
- b. Notification of how to obtain a copy of OPM's RIF regulations at 5 CFR part 351.
- c. Information concerning saved grade and saved salary under the provisions of [415.1](#).
- d. PS Form 999, *Application for Reinstatement List*, if the employee is preference eligible, did not receive a placement offer, and was notified that he or she would be separated from the Postal Service.
- e. If the employee is preference eligible and was separated or changed to a lower grade level as a result of the RIF, information about his or her right, if any, to appeal to the Merit Systems Protection Board.

**354.252 Placing Unplaced or Displaced Employees**

Procedures for placing employees before the RIF effective date are as follows:

- a. Preference eligible employees who are not placed based on their RIF rights and non-preference eligible employees who are considered for any appropriate and available vacant positions remaining in their competitive area as follows:
  - (1) Following the issuance of the specific RIF notice, Human Resources provides the business function with a list of employees not placed during the specific RIF action (see [354.251](#)), and a list of vacant positions within the competitive area undergoing the RIF, if any. In the case of closure of an entire competitive area, all positions are abolished and no placement opportunities remain within the competitive area.
  - (2) The business function may place the remaining impacted employees into vacant positions as a RIF assignment based on RIF retention standing. This process is strictly optional.
  - (3) If the business function elects to place remaining impacted employees into vacant positions as a RIF assignment, it must

return the entire placement file to Human Resources. If it chooses not to place remaining impacted employees, it must notify Human Resources in writing.

- b. Career bargaining employees, displaced in order to afford a preference eligible employee his or her RIF assignment rights, are reassigned in accordance with the applicable collective bargaining agreement.

#### 354.253 **Advising Employees of Options**

Before the RIF effective date Human Resources meets individually, or in groups, with employees who remain unplaced to discuss in detail the following options:

- a. *Non-pay, Non-duty Status.* Employees who do not expect to be placed by the RIF effective date may request to be placed in a 30-day non-pay, non-duty status, as an extension to the RIF effective date. The placement administrator must receive this request before the RIF effective date. During the 30-day extension, employees may continue to seek a position with the Postal Service based on the conditions specified in [354.26](#).
- b. *Compensation Alternatives.* An overview of the compensation programs available to employees who will be separated can be found in the Organizational Change/RIF FAQs on the Organizational Changes website.

#### 354.254 **Separating Remaining Employees**

Employees who decline or fail to request a 30-day extension in a non-pay, non-duty status by the RIF effective date are separated on that date.

#### 354.26 **Making Post-RIF Employee Placements**

Employees placed on a 30-day extension in a nonpay, nonduty status have the following options:

- a. *Noncompetitive Consideration.* Employees may apply noncompetitively for any vacancy at or below their current grade within the commuting area. Employees assigned to a lower grade position are entitled to grade and salary treatment in accordance with [415.4](#).
- b. *Voluntary Bargaining Position Placement.* If employees meet the qualifications for vacant bargaining positions, they may volunteer for placement into these vacancies subject to the approval of the manager where the vacancy exists. Seniority and pay provisions governing such placements are in accordance with the applicable collective bargaining agreement.

#### 354.27 **Establishing a Reinstatement List**

#### 354.271 **Providing Priority Consideration to Employees**

A reinstatement list (RL) identifies career preference-eligible employees who are eligible for priority consideration for reinstatement to the Postal Service because they have been separated as a result of a reduction-in-force (RIF).

An RL is established the day after the RIF effective date if one or more employees have been found eligible for placement on the RL. The RL remains in effect for 2 years following its establishment or until no eligible employees remain on the RL, whichever is earlier.

**Note:** Acceptance of an employee's PS Form 999, *Application for Reinstatement List*, and placement on the RL does not guarantee the employee's reinstatement to the Postal Service.

#### 354.272 **Determining Employee Eligibility**

A career employee is eligible to be placed on an RL if all conditions listed below occur:

- a. The employee is preference eligible for RIF purposes, as defined in section [354.215](#).
- b. The employee received a specific RIF notice indicating that he or she will be separated from the Postal Service, and that notice has not been canceled or rescinded. An employee who retires on or before the RIF effective date is eligible for placement on the RL, provided he or she meets all other eligibility requirements.
- c. The employee does not refuse an offer of a position under 5 C.F.R. part 351, subpart G, with the same type of work schedule and with a representative rate at least as high as that of the position from which the employee was or will be separated.
- d. The employee's last merit performance rating of record before separation was better than a non-contributor for RIF purposes or its equivalent.
- e. The employee submits an Application for Reinstatement List no later than the RIF effective date.
- f. The employee is at least *minimally qualified* for one or more of the positions identified on the Application for Reinstatement List.

#### 354.273 **Considering Employees on a Reinstatement List**

Provisions for reinstatement list consideration are as follows:

- a. Eligible RL applicants are provided initial consideration before external advertisement of a vacant position within their competitive area and all other competitive areas within commuting distance not undergoing an organizational change/RIF action. Consideration is limited to those applicants who are at least *minimally qualified* for the vacant position.

**Note:** A vacant position does not include positions that are filled through other special programs, such as the restoration of individuals who served in the uniformed services and the reemployment or reassignment of employees injured on duty who recover within one year.

- b. Human Resources maintains the RL and checks the list before publishing external job postings.
- c. Positions identified by eligible RL applicants on their *Application for Reinstatement List* form for which they are at least *minimally qualified* must be:
  - (1) Authorized positions.
  - (2) At the same or lower grade level (or representative rate) as the position held before separation due to a RIF action.
- d. If an otherwise eligible RL applicant is found to be at least minimally qualified for a position identified on his or her Application for

Reinstatement List, he or she must be offered the position before external applicants are considered.

#### 354.274 **Removing Employees From a Reinstatement List**

An employee's name is removed from the RL in the following situations:

- a. The employee accepts a career appointment with the Postal Service or another federal agency;
- b. The employee voluntarily requests, in writing to Human Resources, to have his or her name removed from the RL;
- c. The employee fails to provide Human Resources with any changes in his or her address or telephone number, thereby preventing Human Resources from contacting the employee about potential employment opportunities; or
- d. Human Resources tries to contact the employee about a position with a representative rate at least as high as the representative rate of the position from which the employee was separated, and the employee declines the position, fails to respond, or fails to appear for an interview without having taken reasonable steps to reschedule the interview.

For additional information or to learn more about RIF under OPM's regulations, refer to OPM's website; links are available via the Organizational Change website at [liteblue.usps.gov/humanresources/organizationalchanges/oc\\_home.shtml](http://liteblue.usps.gov/humanresources/organizationalchanges/oc_home.shtml).

### 355 **Light Duty Assignments**

#### 355.1 **Voluntary Requests**

##### 355.11 **Circumstances**

Any full-time regular or part-time flexible employee recuperating from a serious illness or injury and temporarily unable to perform the assigned duties may voluntarily submit a written request to the installation head for temporary assignment to a light duty assignment.

Any ill or injured full-time regular or part-time flexible employee having a minimum of 5 years of postal service, or any full-time regular or part-time flexible employee who sustained injury on duty while performing the assigned duties, regardless of years of service, can submit a voluntary request to the installation head for permanent reassignment to a light duty assignment.

##### 355.12 **Method**

Voluntary requests are made in accordance with the applicable collective bargaining agreement.

##### 355.13 **Response**

The light duty provisions of the various collective bargaining agreements between the Postal Service and the postal unions require that installation heads show the greatest consideration for full-time regular or part-time flexible employees requiring light duty or other assignments, giving each request careful attention, and reassign such employees to the extent possible in the employee's office.

**355.14 No Guarantee**

The light duty provisions of the various collective bargaining agreements between the U.S. Postal Service and the postal unions do not guarantee any employee who is on a light duty assignment any number of hours of work per day or per week.

## 360 Other Personnel Actions

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**361 General Considerations**

It is Postal Service policy to prohibit discrimination and harassment in personnel decisions on the basis of:

- a. Race, color, sex (including pregnancy, sexual orientation, and gender identity, including transgender status), national origin, religion, age (40 or over), genetic information, disability, or retaliation for engaging in EEO-protected activity as provided by law; or
- b. Other non-meritorious factors, such as political affiliation; marital status; status as a parent; and past, present, or future military service. Career advancement is based solely on merit, applicable experience, and knowledge, skills, and abilities.

**362 Change to Lower Level****362.1 Purpose and Authority**

Changes are initiated based on the application of the employee or at the request of the installation head. Postal officials who are not authorized to take final action provide recommendations to the higher authority.

**362.2 When Change to Lower Level May Be Made****362.21 At Request of Employee**

Employees may be changed to a position of lower-grade level at their own request, without regard to adverse action procedures, when their written requests establish that the changes are made solely for personal reasons in their own interests. Employees' written requests become a part of their official personnel folders. The request must contain the following facts:

- a. Employee and not the postal official initiated the request for the action.
- b. Postal official, or any superior, has not pressured the employee.
- c. Employee fully understands the requested transaction and considers the reduction to be in his or her self-interest and benefit.

**362.22 Because of Performance**

When an employee's performance is unsatisfactory due to the employee's inability to do the work, a change to a lower grade may be made to a position where the employee can reasonably be expected to adequately perform. A written notice prepared in compliance with the adverse action procedure precedes the change.

**362.23 Relegation of a Post Office**

A change to a lower grade resulting from relegation of a Post Office must be in accordance with the adverse action procedures. See 650 for nonbargaining employees. See the appropriate collective bargaining agreement for bargaining employees.

**362.24 Realignments**

In organizational realignments, management may offer an employee a lower-grade position. Although the offer is management-initiated, the change, if voluntarily accepted by the employee, is not processed as an adverse action. In realignments involving a RIF, [354.2](#) governs voluntary changes to a lower grade for nonbargaining employees.

**362.3 Restrictions on Change to Lower Level**

To fill a position by change to lower-grade level, an employee must meet the requirements for the new position described in the applicable qualification standard.

**363 Conversions****363.1 Definition**

Conversion refers to the process of changing a noncareer employee's status to a career appointment in one personnel action. The selected noncareer employee should not be separated and then given a career appointment unless the employee's appointment expires before the employee can be converted to career status.

**363.2 Competitive and Noncompetitive Authority**

A conversion to career status based on a competitive authority occurs when a selection is made from a Hiring List and the Hiring List documents the selection. A conversion to career status based on a noncompetitive authority occurs when a selection is made due to noncompetitive eligibility such as reinstatement, transfer from another agency, or veterans recruitment appointment.

**363.3 Restrictions on Conversion to Career Appointment****363.31 Vacancies**

A vacancy for a permanent position must exist for an employee to be converted to a career appointment. Conversion is not possible to a temporary vacancy (for example, due to another employee's absence on active military duty).

**363.32 Positions Restricted to Veterans**

Except as required by a collective bargaining agreement, an employee who is not entitled to veterans' preference may not be converted to career status in a position restricted to veterans unless preference eligibles are unavailable. See Handbook EL-312, 232.52, Positions Restricted to Applicants Eligible for Veterans' Preference.



## 364 **Changes in Duty Status**

Changes in *duty status* are changes that place employees in a nonpay status or return them to active duty and pay status. These changes are defined in items a through d.

- a. *Leave Without Pay (LWOP)* ~~%A~~ temporary nonpay status and absence from duty, granted when appropriate, as a result of an employee's request (includes time spent on active military duty).
- b. *Suspension* ~~%A~~ temporary nonpay status and absence from duty for disciplinary or other reasons. If the suspension is for a specific period, the appropriate documentation specifies the exact number of days and dates of suspension.
- c. *Absence Without Leave (AWOL)* ~~%A~~ temporary nonpay status and absence from duty without appropriate authorization.
- d. *Return to Duty* ~~%A~~ a return to active duty and pay status from LWOP in excess of 30 days. This includes a return to duty from active military service (refer to EL-312 773 for additional requirements on return to duty from 30 days or more of active duty military service). In the remarks section of the personnel action, Human Resources indicates the authority and reason for effecting the change in duty status.

In the remarks section of the personnel action, Human Resources indicates the authority and reason for effecting the change in duty status.

## 365 **Separation**

### 365.1 **Administrative Considerations**

#### 365.11 **Definition**

*Separations* are personnel actions that result in taking the employee off the rolls of the Postal Service.

#### 365.12 **Effective Date of Separation**

The effective date of separation is the last day the employee is carried on the rolls.

#### 365.13 **Standard Terminology**

A separation from the service must be identified by the prescribed term. Care must be taken to use the term appropriate to the case, (e.g., death, removal, resignation). Standard procedures and terminology must be used in preparing personnel action forms.

#### 365.14 **Notice to Federal Employee About Unemployment Insurance**

See [550](#).

### 365.2 **Separations - Voluntary**

#### 365.21 **Resignation**

##### 365.211 **Definition of Resignation**

*Resignation* is a separation at the employee's discretion. Resignations must be accepted and are binding once submitted. However, employees are permitted to withdraw their resignation request provided the request to

withdraw is made before close of business on the effective date of the resignation.

**365.212 To Avoid Separation for Cause**

If an employee submits a resignation after having been notified, either orally or in writing, that an adverse action has been proposed for removal, change to lower grade, or suspension for reasons furnished him or her, the resignation must be accepted. A resignation must also be accepted if an employee receives a written notice of decision to separate the employee for reasons given in a notice of decision.

**365.213 Because of Illness**

The following policies apply in cases of resignation caused by illness:

- a. *5 Years or More of Service.* Employees covered by the Civil Service Retirement System with 5 or more years of civilian service who resign because of illness must be advised of their eligibility to apply for a disability retirement.
- b. *18 Months or More of Service.* Employees covered by the Federal Employees Retirement System with 18 months or more of creditable civilian service must be advised of their eligibility to apply for a disability retirement.
- c. *Less Than 18 Months of Service.* Employees covered by the Federal Employees Retirement System who have less than 18 months of creditable civilian service must furnish medical evidence supporting their claim to the installation head at the time of separation if their seniority on reinstatement is to be restored. The existence of such evidence should be annotated on the separation PS Form 50. The evidence itself is forwarded to the appropriate Postal Service medical official for retention.

**365.214 To Perform Military Service**

If a career or non-career employee seeks to resign postal employment to perform military service and does not intend to return to the Postal Service, he or she must be notified of the effect this will have on his or her restoration rights and benefits. Therefore, only Human Resources can accept and authorize processing of a resignation for military service (see EL-312 772.3).

**365.215 Notice and Acceptance**

The following policies apply regarding notice and acceptance of resignations:

- a. *Written Resignation.* Resignations should be submitted in writing. The employee specifies the reason and effective date. When possible, written notice of resignation must be given at least 2 weeks before the anticipated last day of work.
- b. *Oral Resignation.* If employees decline to submit written resignations, their oral resignations must be accepted, preferably in the presence of witnesses. The supervisor or other official who receives an oral resignation records the date received, the reason given, the effective date, and the names of any witnesses. This record, signed by the supervisor, is placed in the employee's official personnel folder as a permanent record.

- c. *Reason for Resignation.* The reason for a resignation should be clearly stated in either a written or oral resignation. If the employee fails to give a reason for resignation, the supervisor enters the reason she or he believes the employee resigned. See [365.214](#) when the reason for resignation is to perform military service.
- d. *Effective Date.* When the employee sets an effective date of resignation to include a period of unapproved leave, the unauthorized absence may be charged to either earned annual leave or LWOP at the discretion of the appointing official. The employee is informed of the decision and given an opportunity to change the effective date. If the employee is resigning in lieu of an involuntary separation, he or she may not set an effective date for resignation that will occur after the effective date for the involuntary separation.

## 365.22 Separation-Transfer

### 365.221 Definition

*Separation-transfer* is an action by which career employees are taken off the rolls of a postal installation to permit their appointments to positions in other federal agencies without a break in service.

Contact Human Resources before processing a separation-transfer for an employee on military service. If the employee does not return to duty with the Postal Service, he or she has not been reemployed and is not eligible for transfer.

### 365.222 Effective Date

An employee who notifies the appointing officials of a pending separation-transfer may be carried in an annual leave (or nonpay, if no annual leave is available) status between the last workday in the postal installation and the entrance on duty in the other agency. No action is taken until notice of employment has been received from the gaining agency. The personnel action form is then prepared effective as of the close of business the day before the employee's entrance-on-duty date at the new activity.

### 365.223 Replying to Requests for Suitability Information

When another government agency requests information on the suitability of an employee transferring from the Postal Service, relevant information contained in Postal Service records is furnished to safeguard its confidential nature and to protect confidential sources.

## 365.23 Separation, Retirement

See [550](#) and [580](#).

## 365.3 Separations - Involuntary

### 365.31 Removal

#### 365.311 Definition

*Removal* is an action involuntarily separating an employee, other than an employee serving under a temporary appointment or a career employee who has not completed the applicable probationary period, for cause.

365.312 **Effective Date**

The effective date is the date designated by the official making the decision, but is not before the expiration of the required time limit for the notice period.

365.32 **Separation-Disqualification**365.321 **Applicability**

This type of *separation* applies only to employees who have not completed their probationary period.

365.322 **Reasons for Action**

*Separation-disqualification* is an action that results from the failure to meet conditions specified at the time of appointment (such as failure to qualify by conduct or capacity during the probationary period). It may also result from information that, if known at the time of appointment, would have disqualified the employee for the appointment.

365.323 **Probationary Period**

Separation-disqualification must be effected during the probationary period. Action is initiated at any time in the probationary period when it becomes apparent that the employee lacks capacity for efficient service.

365.324 **Who Initiates Action**

Supervisors may recommend separation-disqualification, but such recommendations must be referred for decision to the official having authority to take the action.

365.325 **Procedure in Separating**

If an appointing official decides to terminate an employee who is serving a probationary period due to conditions arising prior to appointment, or because work performance or conduct during this period fails to demonstrate qualification for continued postal employment, the employee's services are terminated by notifying the employee in writing as to why he or she is being terminated and the effective date of the action. The written notice of termination must at a minimum consist of the appointing official's conclusions about the inadequacies of performance or conduct.

365.326 **Effective Date**

The *effective date* of separation must be before the end of the probationary period and must not be retroactive.

365.33 **Termination or Separation of Non-Career Employees**

An employee serving under a temporary appointment may be separated at any time after notice in writing. In determining the proper action for a particular case, the following criteria are used:

- a. *Termination, expiration of appointment*, is the term used to separate an employee who has reached the end of his or her term or whose services are no longer required.
- b. *Separation* is the term used when describing the discontinuance of the service of a non-career employee because of unsatisfactory performance that warrants termination from the Postal Service.

**365.34 Separation-Disability****365.341 Definition**

*Separation-disability* is a term used to indicate the separation of an employee other than a non-career or a probationary employee whose medical condition renders the employee unable to perform the duties of the position and who is ineligible for disability retirement.

**365.342 Applicability**

- a. At the expiration of 1 year of continuous absence without pay, an employee who has been absent because of illness may be separated for disability. This action is not mandatory, however, and if there is reason to believe the employee will recover within a reasonable length of time beyond the 1-year period, the employee may be granted additional leave in 30-day periods, not to exceed 90 days. If the employee's condition indicates that LWOP beyond that period is necessary incident to full recovery, the postal official must submit a comprehensive report to the area manager of Human Resources with appropriate recommendation and retain the employee on the rolls pending a decision.
- b. An employee covered under USERRA who is hospitalized or convalescing due to a service-connected disability is required to return to work once recovered. The recovery period may not exceed 2 years (see EL-312 773(d)). Before any employee covered under USERRA can be separated for disability, the requesting postal official must submit a comprehensive report through the proper channels to the manager of Human Resources (Area), with appropriate recommendations. The employee must be retained on the rolls of the Postal Service pending a decision.
- c. If an employee on the rolls of the Office of Workers' Compensation Programs (OWCP) is unable to return to work at the end of the initial 1-year period of LWOP, the LWOP may be extended for successive additional periods of up to 6 months each. Extensions are granted only if it appears likely that the employee will be able to return to work within the period of the extension. If it does not appear likely that the employee will be able to return to work during the period, the employee, upon approval of the area manager of Human Resources (Area), is separated subject to reemployment rights.
- d. Before any employee on the rolls of the OWCP can be separated, the requesting postal official must submit a comprehensive report through channels to the manager of Human Resources (Area), with appropriate recommendations. The employee must be retained on the rolls of the Postal Service pending a decision.
- e. If the manager of Human Resources (Area) approves the request, and if the employee has sufficient service for entitlement to retirement, the employee is not separated until given an opportunity to retire. For involuntary separation, the notice and appeal procedures outlined in [650](#) or the applicable collective bargaining agreement, whichever is appropriate, is followed.

- f. An employee who is eligible for disability retirement but chooses not to apply is not separated for disability until a complete medical report has been received and the employee has received retirement counseling.
- g. An employee who is eligible for disability retirement is not separated for mental disability. Rather, the appointing official files an application for disability retirement on the employee's behalf provided the requirements are met (see [568](#) and [588](#)).

365.343 **Notice to Employee**

No employees who have completed their probationary period are separated for disability until given a notice in writing of the proposed action and an opportunity to reply in accordance with appropriate adverse action procedures. Employees eligible for disability retirement are advised and notified that unless they file application for disability retirement within 1 year of separation their rights will lapse.

365.344 **Effective Date**

Separation-disability is effective on the date determined by the Human Resources official or on the date authoritative notice is received in the case of legal incompetence. If unused sick leave remains to the employee's credit, the effective date may be extended to permit payment for the unused sick leave. If an annuity is involved, an employee may wish to evaluate an earlier annuity payment against the unused sick leave. Separations for disability may not be effected retroactively or before the date of expiration of the time specified in the notice.

365.35 **Separation, Reduction in Force**

The Postal Service procedure for effecting reductions in force parallels the OPM procedure. The Postal Service attempts to make personnel adjustments by various administrative actions other than RIF. If these actions are not adequate, however, and RIF appears to be appropriate, prior approval for such action must be obtained, through channels of communication, from the vice president of Employee Resource Management.

365.36 **Death**

365.361 **Proof of Death**

No action is taken to process the separation until credible evidence of death is received. Evidence of death is filed in the deceased employee's official personnel folder. The time and date of a postmaster's death should be reported immediately to the vice president of Area Operations and the vice president of Employee Resource Management.

365.362 **Effective Date**

The effective date of separation is the date of the employee's death. Personnel action forms also show the last day that the employee was in a pay status.

365.363 **Expression of Condolence**

The appropriate postal official should express condolences to the survivors, including a sincere expression of sympathy, and an offer to assist in filing claims for any funds to the deceased employee's credit.

**365.37 Separation due to Ineligibility for Reemployment under USERRA****365.371 Definition**

*Separation due to ineligibility for reemployment under the Uniformed Services Employment and Reemployment Rights Act (USERRA).* This action occurs when the Postal Service determines that an employee who is performing or has been released from uniformed (military) service does not have reemployment rights under USERRA. This action is taken after the employee:

- a. Is denied reemployment; or
- b. If still performing military duty, informed that he or she does not have reemployment rights under USERRA.

After being notified, the employee is separated from Postal Service rolls administratively. This is not an adverse or disciplinary action and does not bar future federal employment. The employee may apply for reinstatement under Handbook EL-312, section 233.33, if eligible. (See Handbook EL-312, section 771.1.)

**365.372 Effective Date**

The official making the decision chooses the effective date for the separation due to ineligibility for reemployment under USERRA.

## 370 Performance Evaluation

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**371 Introduction**

Postal Service employees are expected to conduct themselves in a manner that reflects favorably upon the organization and perform their duties in the most efficient manner. Both the supervisor and employee have a mutual responsibility to achieve these goals. When properly used, performance evaluation strengthens the daily supervisor-employee relationship in working toward this end.

**372 Sound Supervision**

The principles of sound supervision require that supervisors evaluate employee performance daily. Supervisors should counsel and instruct their employees as necessary, giving attention to evidence of good performance, as well as to areas where the employees need improvement.

**373 Performance Evaluation Systems****373.1 Formal Evaluation**

Postal Service Headquarters prescribes formal evaluation systems for a variety of purposes. A formal evaluation consists of defined standards of performance, instructions for establishing a measure of where an employee fits within the standards, and a form to record information.

**373.2 Informal Evaluation**

Informal evaluation is based on the supervisor's day-to-day observations and is characterized by the absence of forms and instructions. The supervisor should make use of available human resources and give personal encouragement and guidance as a part of his or her daily practice. These practices must be part of a continuous formal and informal process.

**374 Discussing Performance With Employees**

The supervisor must discuss job performance with each employee. If the employee's performance is unsatisfactory, the supervisor suggests constructive measures for an employee to follow to improve his or her performance to a satisfactory level.

**375 Outstanding and Unsatisfactory Performance****375.1 Outstanding Performance**

*Outstanding performance* is a level of performance that far exceeds that which is normally expected of an individual in a particular position and is far above the performance and achievement of most satisfactory employees in terms of productive effort, proficiency, and significant contributions to the Postal Service. If a supervisor determines that a bargaining employee's performance is outstanding, the supervisor considers recommending the employee for a special achievement award or quality step increase. For EAS employees, such performance is recognized in their annual merit review and recognition programs. See [416](#) and [490](#) for more information.

**375.2 Unsatisfactory Performance**

*Unsatisfactory performance* is a level of performance that is repeatedly or consistently below the minimum requirements expected of an employee in the position, based on an evaluation of job-related factors such as reliability, willingness to work with fellow employees, quantity or quality of work production, and attendance. If a supervisor determines that an employee's performance is unsatisfactory and reasonable efforts toward improving performance to a satisfactory level have not been successful, effort is made to reassign the employee to a job that the employee can be expected to perform satisfactorily. If there is no such job available and if disciplinary action must be taken, the appropriate adverse action procedure is followed.

**376 Performance Evaluation During Probation**

See Handbook EL-312, 584, Employee Evaluation.



## 380 Postal Career Executive Service

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### 381 Purpose

The Postal Career Executive Service (PCES) was established in 1979 to develop and maintain a highly motivated, competent group of individuals capable of filling the key management positions and providing the leadership needed for the continued success of the Postal Service. PCES comprises Officers (PCES II) and Executives (PCES I).

### 382 Equal Opportunity

The principles of equal opportunity apply to all aspects of the PCES, including executive reassignment, training and development, evaluation, compensation, and awards. The Postal Service considers individuals seeking entry into the PCES without regard to:

- a. Race, color, sex (including pregnancy, sexual orientation, and gender identity, including transgender status), national origin, religion, age (40 or over), genetic information, disability, or retaliation for engaging in EEO-protected activity as provided by law; or
- b. Other non-meritorious factors, such as political affiliation; marital status; status as a parent; and past, present, or future military service.

### 383 Positions in the PCES

#### 383.1 Officer Positions

The postmaster general establishes officer positions, subject to approval by the Board of Governors.

#### 383.2 Other Executive Positions

The vice president, Employee Resource Management, establishes executive positions (PCES I), subject to approval of the Postmaster General.

### 384 Filling PCES Vacancies

#### 384.1 PCES II Vacancies

The postmaster general fills PCES II vacancies through appointment (except for the positions of postmaster general and deputy postmaster general, which are filled pursuant to 39 U.S.C. 202).

#### 384.2 PCES I Vacancies

An officer, subject to approval of the next officer level, fills PCES I vacancies through selection from the Succession Planning List or from outside the Postal Service.

Approval Phase			
Hardware and Software	Hardware	Hardware	Hardware and Software
› Technology Initiative Prioritization Assessment (TIPA) Process			

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