Chapter 12 Sequential File Update

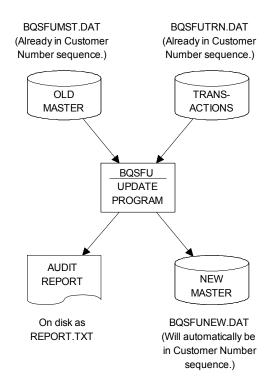
Objectives

Upon completion of this chapter you will be able to:

- List the three transaction types used in a sequential file update program,
- Given simulated MASTER and TRANSACTION files, determine the contents of the new MASTER file,
- Given simulated MASTER and TRANSACTION files, determine the contents of the audit report and reconcile the counts contained therein.
- Describe the processing required for MASTER LOW, TRANSACTION LOW, and MATCH conditions in a sequential file update program, and
- Design and code a program which uses update logic to apply transactions to a master file.

Introduction

In the preceding chapter we looked at the logic required to process two input files. Those programs created reports containing data from both files. The matching logic discussed there is common to many business applications. In this chapter we take that logic one step further, by designating one file as a master file and the other as a transaction file. Rather than simply create a report, the transactions are used to update the master file. This procedure, known as a sequential file **update**, is a very common application. Similar logic is used in other business applications as well such as merge/purge processing in direct marketing and applying payments to receivables in accounting.



The system flowchart for the sequential file update program is shown to the right.

Note: the purpose of this chapter is to illustrate update logic. "Perfect" data is presumed; that is, no field-level editing (such as to verify that a zip code is numeric) is shown.

To keep our example simple, the input (old) master file, transaction file, and output (new) master file all have the same record layout:

Field	d Field					
Nbr	Name	Description	Begins	Ends	Len	Format
1	NBR	Customer number	1	5	5	ZD
2	LNAME	Last name	6	15	10	CH
3	FNAME	First name	16	25	10	СН
4	ADDR	Street address	26	40	15	СН
5	CITY	City	41	50	10	СН
6	STATE	State	51	52	2	CH
7	ZIP	Zip code	53	57	5	CH
8		Unused	58	59	2	
9	ACD	Transaction code	60	60	1	A/C/D
10	CRLF	PC/370 Only	61	62	2	CR/LF

Our input master file, BQSFUMST.DAT, is as follows:

1	2	3	5	6
123456789012345	56789012345	678901234567890	1234567890123	4567890
11224BINFORD	DAN	469 N 400 E	DESOTO TX7	5115 A
12111ARIAS	IDA	4028 ELMO LOOP	MERCED CA9	5340 A
32555RYAN	RICHARD	914 FIFTH ST	NORMAL IL6	1761 A
41499HILMER	DEBBIE	21175 FELIPA	BUENA PARKCA9	0620 A
55123JOSEPHSON	PEGGY	248 MICHIGAN	JAMESTOWN NY1	4701 A
61626HAVLIK	CHERYL	551 WASHINGTON	WHITTIER CA9	0605 A
77271CARPENTER	LOIS	326 BEACH	BERWYN IL6	0650 A
81288BLACK	KATHY	618 S ANZA	PASADENA CA9	1106 A
81997FOOTE	APRIL	635 BURNS	CAROL STRMIL6	0187 A
94993DIXSON	RICHARD	1021 BROWN	CHICAGO IL6	0612 A

The transaction code in column 60 may be one of three types: A for adds, c for changes, or d for deletes. On the master file(s), the transaction code indicates the most recent processing applied to this record. This code is A for all of the above, indicating that no changes have taken place since these records were added. Our transaction file, BQSFUTRN.DAT, is as follows:

1	2	3	4	1 .	5	6
12345678901234	5678901234	5678901	234567890	1234567890	012345678	90
12111		2211 A	PRICOT	MODESTO	CA95356	С
41499						D
55123AMBROSE	FRANK	220 BA	RRETT	ROCKFORD	IL61103	Α
61627QUALLS	CHERYL	201 N	EIGHTH	WHITTIER	CA90605	С
81228						D
82446AMICCI	BRUNO	17397	BARCELON	CORVALLIS	OR97330	Α

The key field, customer number, is required on all transactions. For adds, all other fields would be provided as well. For changes, only those fields to be changed are filled in. Finally, for deletes, only the customer number and transaction code (D) are necessary.

All of the above transactions are *syntactically* correct, but as we will see, not all are *logically* correct.

Both input files must be in key sequence for the same reasons as discussed in the previous chapter. Given the nature of the processing, the output file will automatically be in key sequence as well. We begin by reading one record from the master file and one record from the transaction file. If the key fields do not match, then we process the record with the lower key. For example, the data shown above will be processed as follows:

OLD	TRANS	TRANS		NEW
MASTER	KEY	TYPE	ACTION	MASTER
11224	12111	С	Master Low - Write the master record to New	11224
			Master and read the next Old Master record.	
12111	12111	С	Keys Equal - This is an attempt to change an	12111
			existing record. Write changed record to New	
			Master and read next record from both files.	
32555	41499	D	Master Low - Write the master record to New	32555
			Master and read the next Old Master record.	
41499	41499	D	Keys Equal - This is an attempt to delete an	
			existing record. Simply do not write the record	
			to the New Master. Read the next record from	
			both files.	
55123	55123	A	Keys Equal - This is an attempt to add a record	55123
			with the same key as an existing record. This is	
			an error. Nevertheless, the existing Master	
			record is kept: write it to the New Master and	
			read the next record from both files.	
61626	61627	С	Master Low - This would appear to be a	61626
			transcription error, but an error nonetheless.	
			Write the master record to the New Master and	
			read the next Old Master record.	
77271	61627	С	Transaction Low - This is an attempt to change a	
			record which does not exist. This is an error.	
			Read the next Transaction record.	
77271	81228	D	Master Low - Write the master record to New	77271
			Master and read the next Old Master record.	
81288	81228	D	Transaction Low - This would appear to be a	
			transcription error, but an error nonetheless.	
			Read the next Transaction record.	
81288	82446	A	Master Low - Write the master record to New	81288
			Master and read the next Old Master record.	
81997	82446	A	Master Low - Write the master record to New	81997
			Master and read the next Old Master record.	
94993	82446	A	Transaction Low - This is an attempt to add a	82446
			record which does not exist. This is valid. Write	
			the Transaction record to the New Master and	
			read the next Transaction record.	
94993	EOF	n/a	Transaction file at EOF - Treat Old Master as	94993
			Master Low - Write the record to the New	
			Master and read the next Old Master record.	
EOF	EOF	n/a	Both files at EOF - Done.	

The output from the program is as follows. Of course, the report will usually be formatted more completely rather than showing a card-image of the records as was done here. But our purpose here is to demonstrate update logic and the output has intentionally been kept simple.

```
A:\MIN>bqsfu
BQSFU ... Begin execution
BQSFU ... Audit list on REPORT.TXT
BQSFU ... Normal end of program
A:\MIN>type report.txt
            Name & Address Update Program
                                                     Page
                                                           1
                   Audit Listing
----+---1----+----6 MESSAGES
12111ARIAS
                     4028 ELMO LOOP MERCED
                                           CA95340 A BEFORE CHANGE
12111ARIAS
                     2211 APRICOT MODESTO CA95356 C AFTER CHANGE
            IDA
41499HILMER
           DEBBIE 21175 FELIPA BUENA PARKCA90620 A RECORD DELETED
55123JOSEPHSON PEGGY
                     248 MICHIGAN JAMESTOWN NY14701 A RECORD ON FILE
55123AMBROSE FRANK
                   220 BARRETT ROCKFORD IL61103 A ADD UNSUCCESSFUL
61627OUALLS
            CHERYL 201 N EIGHTH WHITTIER CA90605 C CHNG NOT ON FILE
81228
                                                   D DLTE NOT ON FILE
82446AMICCI
                    17397 BARCELON CORVALLIS OR97330 A ADD SUCCESSFUL
            Name & Address Update Program
                                                     Page
                   Audit Listing
----+---1-----6 MESSAGES
                             6
Transactions In
Transactions Rejected
                             3
Old Masters In
                            10
Old Masters Deleted
                             1
Old Masters Changed
New Masters Added
New Masters Out
```

The output (new) master file, BQSFUNEW.DAT, is as follows:

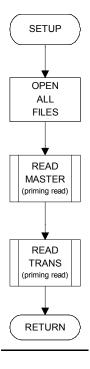
1	2	3 4	1 5		6
123456789012345	56789012345	678901234567890	1234567890	123456789	90
11224BINFORD	DAN	469 N 400 E	DESOTO	TX75115	А
12111ARIAS	IDA	2211 APRICOT	MODESTO	CA95356	С
32555RYAN	RICHARD	914 FIFTH ST	NORMAL	IL61761	Α
55123JOSEPHSON	PEGGY	248 MICHIGAN	JAMESTOWN	NY14701	Α
61626HAVLIK	CHERYL	551 WASHINGTON	WHITTIER	CA90605	Α
77271CARPENTER	LOIS	326 BEACH	BERWYN	IL60650	Α
81288BLACK	KATHY	618 S ANZA	PASADENA	CA91106	Α
81997FOOTE	APRIL	635 BURNS	CAROL STRM	IIL60187	Α
82446AMICCI	BRUNO	17397 BARCELON	CORVALLIS	OR97330	Α
94993DIXSON	RICHARD	1021 BROWN	CHICAGO	IL60612	Α

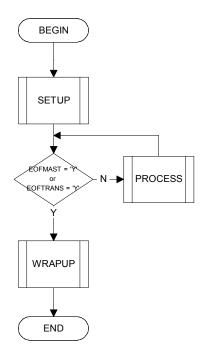
The program name is BQSFU.MLC. The program flowchart, assembler code, and notes follow.

The Mainline Structure

The mainline structure of the update program is the same as we saw in the previous chapter: we continue to process records until either the old master file or the transaction file is at EOF. The BAL code follows:

	BAL	R10,SETUP
MAIN	EQU	*
	CLI	EOFMAST, C'Y'
	BE	EOJ
	CLI	EOFTRANS, C'Y'
	BE	EOJ
	BAL	R10, PROCESS
	В	MAIN
EOJ	EQU	*
	BAL	R10,WRAPUP





The SETUP Routine

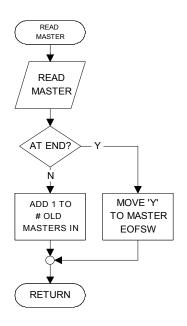
Within the SETUP routine, we open all files and read the first record from the input master file and the transaction file:

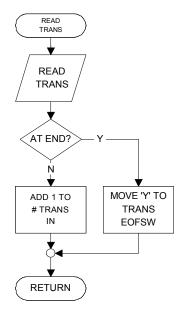
SETUP	EOU	*
	ST	R10, SVSETUP
	OI	MASTERIN+10, X'08'
	OI	TRANSIN+10,X'08'
	OI	MASTEROT+10,X'08'
	OI	REPORT+10,X'08'
	OPEN	MASTERIN
	OPEN	TRANSIN
	OPEN	MASTEROT
	OPEN	REPORT
	BAL	R10, READMST
	BAL	R10, READTRN
	L	R10,SVSETUP
	BR	R10

The READ Routines

We will have two READ routines: one for the input master file and one for the transaction file. In this program we have added the logic to include a record count for each file. These counts are incremented within the READ routines. They will be displayed within the WRAPUP routine.

```
READMST
         EQU
          ST
                R10, SVREADM
         GET
                MASTERIN, IREC
         ΑP
                #OLDIN, =P'1'
                READMX
         В
ATENDMST EQU
         MVI
                EOFMAST, C'Y'
READMX
         EQU
                R10, SVREADM
                R10
```





```
READTRN
         EQU
                R10, SVREADT
         ST
         GET
                TRANSIN, TREC
         ΑP
                #TRANSIN, =P'1'
                READTX
         В
ATENDTRN EQU
                EOFTRANS, C'Y'
         MVI
READTX
         EQU
                R10, SVREADT
         BR
                R10
```

The PROCESS Routine

At the time we perform the PROCESS routine, we have one record from the old master file and one record from the transaction file. We then compare the customer number from these two records. As in the previous chapter, there are three possible conditions as a result of this compare:

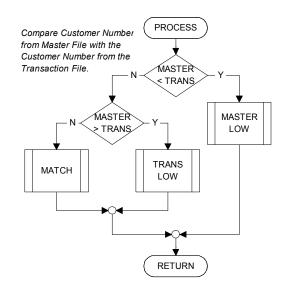
- the customer number on the MASTER is less than the customer number on the TRANSACTION,
- the customer number on the MASTER is greater than the customer number on the TRANSACTION, Or
- the customer number on the MASTER is equal to the customer number on the TRANSACTION.

The first condition would indicate that we have a master for which there is no transaction: the master record would be kept as is.

The second condition would indicate that we have a transaction for which there is no master. If the transaction type is an ADD, then this is valid. Otherwise we have an attempt to CHANGE OF DELETE a record which does not exist.

The third condition would indicate that we have a transaction for an existing master. If the transaction type is CHANGE OF DELETE, then this is valid. Otherwise, we have an attempt to ADD a record which already exists.

Each of these conditions will be handled in a separate routine.



```
PROCESS
          EQU
                 R10, SVPROC
          ST
          CLC
                 INBR, TNBR
          ВН
                 PROC2
                 PROC3
          BT.
          BAL
                 R10, MATCH
                 PROCESSX
          В
PROC2
          EQU
          BAL
                 R10, TRANSLOW
                 PROCESSX
          EOU
PROC3
          BAL
                 R10, MASTLOW
PROCESSX EQU
                 R10, SVPROC
          Τ.
          BR
                 R10
```

Master Low

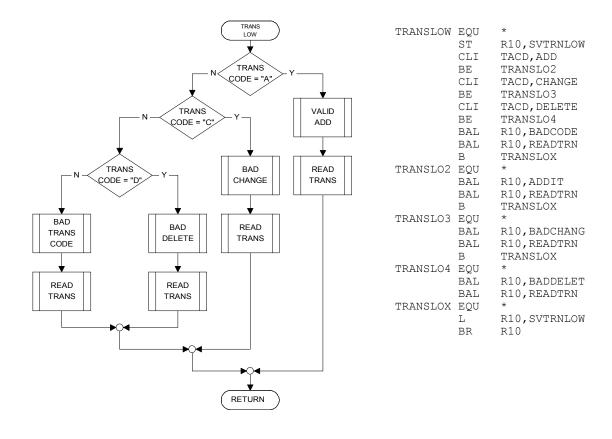
The "Master Low" condition indicates that we have a master record without a matching transaction. This is *not* an error: just write this record to the new master file and read the next old master file record.

```
MASTLOW EQU *
ST R10,SVMSTLOW
MVC OREC,IREC
BAL R10,WRITENEW
BAL R10,READMST
L R10,SVMSTLOW
BR R10
```



Transaction Low

The "Trans Low" condition (aka "Master High") indicates we have a transaction without a matching master file record. Whether or not this is an error depends on the transaction type. If it is an ADD, then it is a valid transaction. If it is a CHANGE or DELETE, then it is an error: we cannot change or delete what isn't there.



Valid Add

An ADD transaction with Trans Low is valid...

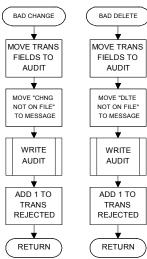
```
ADDIT
          EQU
                 R10, SVADDIT
          ST
          MVC
                 ONBR, TNBR
          MVC
                 OLNAME, TLNAME
                 OFNAME, TFNAME
          MVC
                 OADDR, TADDR
          MVC
          MVC
                 OCITY, TCITY
          MVC
                 OSTATE, TSTATE
          MVC
                 OZIP, TZIP
          MVC
                 OACD, TACD
          MVC
                 OCRLF, TCRLF
          BAL
                 R10, WRITENEW
          BAL
                 R10, CHKLNS
                 RREC, BLANKS
          MVC
          {\tt BAL}
                 R10,WRITE
          MVC
                 RDATA, TREC
                 RMSG, =CL16'ADD SUCCESSFUL'
          MVC
                 R10,WRITE
          BAL
          ΑP
                 #ADDED, =P'1'
ADDITX
          EQU
          L
                 R10, SVADDIT
          BR
                 R10
```

Invalid Change, Invalid Delete

A CHANGE or DELETE transaction with Trans Low is an error...

```
BADCHANG EQU
                R10, SVBADCHG
                R10, CHKLNS
          BAL
                RREC, BLANKS
         MVC
          BAL
                R10, WRITE
          MVC
                RDATA, TREC
                RMSG, =CL16'CHNG NOT ON FILE'
         MVC
          BAL
                R10,WRITE
                #REJECTS, =P'1'
          AΡ
BADCHGX
         EQU
                R10, SVBADCHG
         BR
                R10
BADDELET EQU
                R10, SVBADDEL
         ST
          BAL
                R10, CHKLNS
          MVC
                RREC, BLANKS
                R10, WRITE
         BAL
          MVC
                RDATA, TREC
          MVC
                RMSG, =CL16'DLTE NOT ON FILE'
                R10,WRITE
         BAL
                #REJECTS, =P'1'
          ΑP
BADDELX
         EQU
                R10, SVBADDEL
          Τ.
         BR
                R10
```





Bad Transaction Code

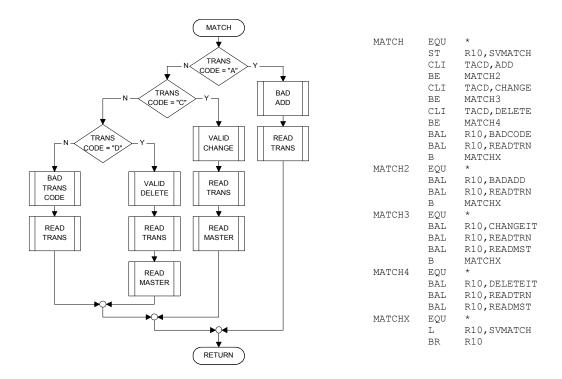
The previous chapter used two input files, but there we were not concerned with transaction codes. In this program, the transaction code *must* be A, C, or D. Anything else is an error condition...

```
BADCODE
         EQU
                R10, SVBADCOD
          ST
          BAL
                R10, CHKLNS
          MVC
                RREC, BLANKS
                R10, WRITE
          BAL
          MVC
                RDATA, TREC
          MVC
                RMSG,=CL16'CODE NOT A/C/D'
                R10, WRITE
          BAL
                #REJECTS, =P'1'
          ΑP
BADCODEX EQU
                R10, SVBADCOD
          Τ.
          BR
                R10
```



Master/Transaction Match

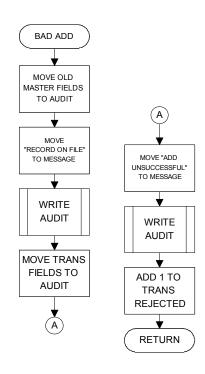
The "Match" condition indicates we have a transaction with a matching master record. Again, whether or not this is an error depends on the transaction type. If it is an ADD, then it is an error: we cannot add a record with the same key as an existing record. If it is a CHANGE or DELETE, then it is valid: we are attempting to change or delete an existing record.



Invalid Add

An add transaction with a matching master record is always invalid...

```
BADADD
          EQU
          ST
                 R10, SVBADADD
          \mathtt{BAL}
                 R10, CHKLNS
          MVC
                 RREC, BLANKS
                R10,WRITE
          BAL
          MVC
                 RDATA, IREC
          MVC
                 RMSG, =CL16'RECORD ON FILE'
                R10, WRITE
          BAL
          MVC
                 RDATA, TREC
          MVC
                 RMSG, =CL16'ADD UNSUCCESSFUL'
                 R10,WRITE
          BAL
                 #REJECTS, =P'1'
          AΡ
BADADDX
          EQU
                 R10, SVBADADD
          BR
                R10
```





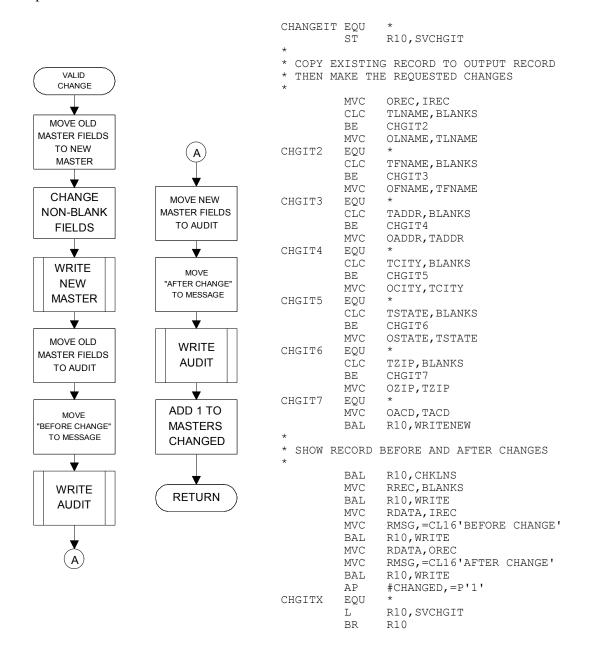
Valid Delete

A DELETE transaction with a matching MASTER record is valid. To delete a record from the old MASTER file, simply do not write it to the new MASTER file...

```
DELETEIT EQU
                 R10, SVDELIT
          ST
                 R10, CHKLNS
          {\tt BAL}
                 RREC, BLANKS
          {\tt MVC}
                 R10, WRITE
          BAL
          MVC
                 RDATA, IREC
                 RMSG, =CL16'RECORD DELETED'
          MVC
                 R10, WRITE
          BAL
                 #DELETED, =P'1'
          AΡ
DELETEX
          EQU
                 R10, SVDELIT
                 R10
          BR
```

Valid Change

A CHANGE transaction with a matching MASTER record is valid. Copy the old MASTER record to the new MASTER record. Move all non-blank TRANSACTION fields to the corresponding fields on the new MASTER record. Write the new MASTER record. Show "before" and "after" images on the audit report.



Write New Master

Recall that the new MASTER file is separate from the old MASTER file. There is nothing unusual in this routine. We include a count of the records written.

```
WRITENEW EQU *
ST R10,SVWRITEN
PUT MASTEROT,OREC
AP #NEWOUT,=P'1'
L R10,SVWRITEN
BD P10
```

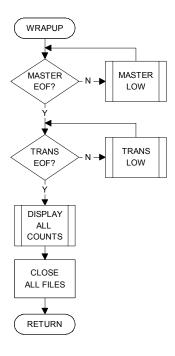


The WRAPUP Routine

The WRAPUP routine for this program is very similar to the one shown in the previous chapter. Recall that we continue to process records until the MASTER file *or* the TRANSACTION file is at EOF. Therefore, when we reach WRAPUP, it is likely that one of the these files is *not* at EOF. We will therefore execute one of the following loops:

- If the old MASTER file is not at EOF, then we process all remaining MASTER file records as unmatched; that is, master low. (Recall such records are written to the new MASTER file without changes.)
- Otherwise, if the TRANSACTION file is not at EOF, then
 we process all remaining TRANSACTION file records as
 unmatched; that is, transaction low. (Recall
 unmatched ADDS will be added, while unmatched
 CHANGES and DELETES are errors.)

```
WRAPUP
         EQU
               R10, SVWRAP
         ST
WRAPUP2
         EQU
               EOFMAST, C'Y'
         CLI
               WRAPUP3
         BE
               R10, MASTLOW
         BAL
               WRAPUP2
         В
WRAPIIP3
         EOU
               EOFTRANS, C'Y'
         CLI
         BE
               WRAPUP4
         BAL
               R10, TRANSLOW
               WRAPUP3
         В
WRAPUP4
         EQU
         CLOSE MASTERIN
         CLOSE TRANSIN
         CLOSE MASTEROT
               R10, DOCOUNTS
         BAL
         CLOSE REPORT
                'BQSFU ... Audit list on REPORT.TXT'
         WTO
               R10.SVWRAP
         T.
         BR
               R10
```



Program Solution

The complete annotated program, BQSFU.MLC, follows.

```
PRINT NOGEN
******************
      FILENAME: BQSFU.MLC
      AUTHOR: Bill Qualls
SYSTEM: PC/370 R4.2
REMARKS: Sequential File Update Sample Program
       START 0
       REGS
BEGIN
       BEGIN
       WTO 'BQSFU ... Begin execution'
       BAL R10, SETUP
MAIN
       EQU
       CLI EOFMAST, C'Y'
       BE
            EOJ
       CLI EOFTRANS, C'Y'
       BE
            EOJ
       BAL R10, PROCESS
            MAIN
       В
EOJ
       EQU
       BAL R10, WRAPUP
       WTO
             'BQSFU ... Normal end of program'
       RETURN
**********
       SETUP - Those things which happen one time only, *
        before any records are processed.
*************************************
SETUP EQU *
       ST
            R10, SVSETUP
       OI
           MASTERIN+10, X'08' PC/370 ONLY - Convert all
                              input from ASCII to EBCDIC
       OI TRANSIN+10,X'08' PC/370 ONLY - Convert all
                             input from ASCII to EBCDIC
       OI MASTEROT+10,X'08' PC/370 ONLY - Convert all
                             output from EBCDIC to ASCII
        OI REPORT+10, X'08' PC/370 ONLY - Convert all
                             output from EBCDIC to ASCII
        OPEN MASTERIN
        OPEN TRANSIN
        OPEN MASTEROT
OPEN REPORT
       BAL R10, READMST
BAL R10, READTRN
            R10, SVSETUP
       L
           R10
       BR
***********
       HDGS - Print headings.
************
       EQU *
HDGS
            R10,SVHDGS
        ST
       ΑP
            PGS,=P'1'
                            Add 1 to page count
       MVC HDPGS,=X'40202120' Edit pattern for page count ED HDPGS,PGS Move page count to heading PUT REPORT,FORMFEED PC/370 ONLY
```

```
PUT
            REPORT, HD1
        PUT
             REPORT, HD2
        PUT
            REPORT, HD3
           REPORT, HD4
       PUT
        ZAP
            LNS, =P'0'
                             Reset line count to zero
            R10, SVHDGS
       L
       BR
           R10
      PROCESS - Those things which happen once per record. *
**********
PROCESS EQU *
       ST
            R10, SVPROC
       CLC
            INBR, TNBR
                            Attempt match on customer nbr
       ВН
             PROC2
                             Transaction low
       BL
            PROC3
                             Master low
       BAL R10, MATCH
                             Otherwise a match was found
       В
            PROCESSX
PROC2
       EQU
                             No master for this transaction
       BAL R10, TRANSLOW
       В
            PROCESSX
       EQU *
PROC3
                             No transaction for this master
           R10, MASTLOW
       BAL
PROCESSX EQU
           R10, SVPROC
       L
           R10
       BR
***********
       MASTLOW - No updates for this master record.
               Just write this record and go to next.
*****************
MASTLOW EOU *
           R10,SVMSTLOW OREC,IREC
       ST
       Move input to output
BAL R10,WRITENEW Write new master record
BAL R10.READMST
       BAL R10, READMST
                            Read next master record
          R10
            R10, SVMSTLOW
*************
      MATCH - Transaction for existing master record.
************
       EQU *
MATCH
       ST
            R10, SVMATCH
           TACD, ADD
       CLI
                             Attempt to add?
                           Can't do it - already exists
Attempt to change?
OK to change existing record
       BF.
            MATCH2
           TACD, CHANGE
       CLI
       ΒE
            MATCH3
       BE MATCHS
CLI TACD, DELETE
                           Attempt to delete?
OK to delete exist.
        ΒE
            MATCH4
                             OK to delete existing record
            R10,BADCODE
                            Error - unrecognized code
       BAL
       BAL R10, READTRN
                            Read next transaction
       В
            MATCHX
MATCH2
       EQU
                             Attempt to add
       BAL R10, BADADD
                             Can't add - it already exists
       BAL
            R10, READTRN
                             Read next transaction
       В
            MATCHX
матсн3
       EQU
                             Attempt to change
            R10, CHANGEIT OK to change entour Read next transaction
       BAL
                             OK to change existing record
       BAL R10, READTRN
            R10, READMST
       BAL
                             Read next master
       В
            MATCHX
MATCH4
       EQU
                             Attempt to delete
           R10, DELETEIT
       BAL
                             OK to delete existing record
```

```
R10, READTRN
       BAL
                          Read next transaction
       BAL
            R10, READMST
                            Read next master
MATCHX EOU
            R10, SVMATCH
       L
       BR
            R10
************
      TRANSLOW - Transaction without a matching master.
***********
           R10, SVTRNLOW
TRANSLOW EQU *
       ST
       CLI
                            Attempt to add?
           TRANSLO2
       ΒE
                           OK since it doesn't exist
       CLI TACD, CHANGE
                          Attempt to change?
                         Can't change - doesn't exist
Attempt to delete?
Can't delete - not there
       ΒE
            TRANSLO3
       CLI TACD, DELETE
       ΒE
            TRANSLO4
       BAL
            R10,BADCODE
                            Error - Unrecognized code
       BAL R10, READTRN
                           Read next transaction
       В
            TRANSLOX
TRANSLO2 EQU
                            Attempt to add
       BAL R10, ADDIT
                            OK to add - not there already
       BAL R10, READTRN
                           Read next transaction
       В
            TRANSLOX
                           Attempt to change
TRANSLO3 EQU
       BAL R10, BADCHANG Can't change - doesn't exist
BAL R10, READTRN Read next transaction
       В
           TRANSLOX
TRANSLO4 EQU
                           Attempt to delete
       BAL R10, BADDELET
BAL R10, READTRN
                            Can't delete - doesn't exist
                           Read next transaction
TRANSLOX EQU
            R10, SVTRNLOW
       L
          R10
       BR
*************
      BADCODE - Bad Transaction Code
******************
BADCODE EOU *
       ST
            R10, SVBADCOD
           R10, CHKLNS
       BAL
       MVC RREC, BLANKS
       BAL
            R10,WRITE
       MVC RMSG,=CL16'CODE NOT A/C/D'BAL R10.WRTTF
           RDATA, TREC
            #REJECTS, =P'1'
       AP
BADCODEX EQU
          R10
            R10, SVBADCOD
       BR
****************
     BADADD - Bad Add Attempted
***************
BADADD EQU *
       ST
            R10, SVBADADD
       BAL
           R10, CHKLNS
           RREC, BLANKS
       MVC
       BAL
            R10,WRITE
       MVC RDATA, IREC
           RMSG,=CL16'RECORD ON FILE'
       MVC
       BAL
            R10,WRITE
       MVC RDATA, TREC
       MVC RMSG, =CL16'ADD UNSUCCESSFUL'
```

```
R10,WRITE
       BAL
       ΑP
            #REJECTS, =P'1'
BADADDX EQU
           R10, SVBADADD
       L
       BR
           R10
*******************
     BADCHG - Bad Change Attempted
*****************
BADCHANG EOU *
           R10, SVBADCHG
       ST
       BAL
           R10, CHKLNS
          RREC, BLANKS
       MVC
          R10,WRITE
       BAL
       MVC
           RDATA, TREC
       MVC
          RMSG, =CL16'CHNG NOT ON FILE'
       BAL R10, WRITE
       ΑP
           #REJECTS, =P'1'
BADCHGX EQU
          R10,SVBADCHG
R10
      L
      BR
******************
     BADDEL - Bad Delete Attempted
***********
BADDELET EQU
          R10, SVBADDEL
       ST
       BAL
           R10, CHKLNS
          RREC, BLANKS
       MVC
          R10,WRITE
       BAL
       MVC
           RDATA, TREC
       MVC RMSG, =CL16'DLTE NOT ON FILE'
       BAL R10, WRITE
       ΑP
           #REJECTS, =P'1'
BADDELX EQU
          R10, SVBADDEL
       L
       BR
           R10
************
     ADDIT - Add a new record to master file
*************
ADDIT EQU *
          R10,SVADDIT
ONBR,TNBR
       ST
       MVC
          OLNAME, TLNAME
       MVC
          OFNAME, TFNAME
OADDR, TADDR
       MVC
       MVC
       MVC
          OCITY, TCITY
       MVC
           OSTATE, TSTATE
       {\tt MVC}
           OZIP, TZIP
       MVC
           OACD, TACD
       MVC
           OCRLF, TCRLF
           OREC, TREC
       MVC
          R10, WRITENEW
       BAL
           R10, CHKLNS
       BAL
       MVC
           RREC, BLANKS
           R10,WRITE
       BAL
       MVC.
           RDATA, TREC
       MVC
           RMSG, =CL16'ADD SUCCESSFUL'
           R10,WRITE
       BAL
       AΡ
           #ADDED, =P'1'
ADDITX
       EQU
           R10, SVADDIT
       L
       BR
           R10
```

```
*****
CHANGEIT EQU *
       ST
            R10, SVCHGIT
       COPY EXISTING RECORD TO OUTPUT RECORD
       THEN MAKE THE REQUESTED CHANGES
            OREC, IREC
       MVC
       {\tt CLC}
            TLNAME, BLANKS
       ΒE
            CHGIT2
       {\tt MVC}
            OLNAME, TLNAME
CHGIT2
       EQU
       CLC
            TFNAME, BLANKS
            CHGIT3
       BE
       MVC
            OFNAME, TFNAME
CHGIT3
       EQU
            TADDR, BLANKS
       CLC
       BE
            CHGIT4
       MVC
            OADDR, TADDR
CHGIT4
       EQU
       CLC
            TCITY, BLANKS
       ΒE
            CHGIT5
       MVC
            OCITY, TCITY
CHGIT5
       EQU
       CLC
            TSTATE, BLANKS
            CHGIT6
       BE
       MVC
            OSTATE, TSTATE
CHGIT6
       EQU
            TZIP, BLANKS
       CLC
       ΒE
            CHGIT7
       MVC
            OZIP, TZIP
CHGTT7
       EQU
       MVC
            OACD, TACD
            R10, WRITENEW
       BAL
       SHOW THE RECORD BEFORE AND AFTER CHANGES
       BAL
            R10, CHKLNS
       MVC
            RREC, BLANKS
            R10, WRITE
       BAL
       MVC
            RDATA, IREC
       MVC
            RMSG, =CL16'BEFORE CHANGE'
            R10, WRITE
       BAL
       MVC
           RDATA, OREC
       MVC
            RMSG, =CL16'AFTER CHANGE'
            R10, WRITE
       BAL
            #CHANGED, =P'1'
       ΑP
CHGITX
       EQU
            R10, SVCHGIT
       L
       BR
           R10
******************
       DELETEIT - Delete an existing master record
DELETEIT EQU *
           R10, SVDELIT
       ST
       BAL
            R10, CHKLNS
       MVC RREC, BLANKS
```

```
R10,WRITE
       BAL
       MVC
           RDATA, IREC
           RMSG,=CL16'RECORD DELETED'
       MVC
       BAL R10, WRITE
       ΑP
           #DELETED, =P'1'
DELETEX EQU
          R10,SVDELIT
      L
       BR
*****************
      READMST - Read a master record.
*********
READMST EQU
       ST R10, SVREADM
GET MASTERIN, IREC
       ST
       AP
           #OLDIN, =P'1'
           READMX
       В
ATENDMST EQU
      MVI
          EOFMAST, C'Y'
READMX
      EQU
       L
           R10, SVREADM
          R10
      BR
      READOFF - Read a transaction record.
READTRN EQU
       ST
           R10, SVREADT
          TRANSIN, TREC
       GET
           #TRANSIN, =P'1'
       ΑP
       В
           READTX
ATENDTRN EOU
      MVI EOFTRANS, C'Y'
READTX
      EQU
       L
           R10, SVREADT
      BR
          R10
************
      CHKLNS - Check lines printed. Full page?
CHKLNS EQU *
          R10, SVCHKLNS
       ST
       CP
          LNS, MAXLNS
       _{\mathrm{BL}}
           CHKLNSX
       BAL R10, HDGS
CHKLNSX EQU
       L
           R10, SVCHKLNS
          R10
      BR
      WRITE - Write a single detail line.
      EQU
WRITE
           R10, SVWRITE
       ST
       PUT REPORT, RREC Write report line
       AP LNS, =P'1'
       L
           R10, SVWRITE
          R10
       BR
      WRITE - Write a new master record.
*************
WRITENEW EOU *
       ST
           R10, SVWRITEN
       PUT MASTEROT, OREC
```

```
AΡ
              #NEWOUT, =P'1'
              R10, SVWRITEN
        L
            R10
        BR
       WRAPUP - Those things which happen one time only,
                after all records have been processed.
WRAPUP EQU *
        ST R10, SVWRAP
                                At this point we know that
                                at least one of the input
                                files is at EOF. Process
                                other file as "unmatched"
                                until at EOF also.
WRAPUP2 EQU
        CLI EOFMAST, C'Y'
        ΒE
             WRAPUP3
            R10, MASTLOW
        BAL
             WRAPUP2
        В
WRAPUP3 EQU
        CLI EOFTRANS, C'Y'
             WRAPUP4
        BE
        BAL
             R10, TRANSLOW
        В
             WRAPUP3
WRAPUP4 EQU
        CLOSE MASTERIN
        CLOSE TRANSIN
        CLOSE MASTEROT
        BAL
             R10, DOCOUNTS
        CLOSE REPORT
        WTO 'BQSFU ... Audit list on REPORT.TXT'
        L
              R10, SVWRAP
        BR
             R10
       DOCOUNTS - Show counts for audit
DOCOUNTS EOU
        ST
             R10, SVCOUNTS
        BAL
            R10, HDGS
            AREC, BLANKS
        MVC
        BAL
             R10,WRITE
             ADESC,=CL25'Transactions In'
        MV/C
        MVC
             ACOUNT, EDCOUNT
             ACOUNT, #TRANSIN
        ED
             R10,WRITE
        BAL
             ADESC, =CL25'Transactions Rejected'
        MVC
             ACOUNT, EDCOUNT
        MVC
        ΕD
              ACOUNT, #REJECTS
             R10, WRITE
        BAL
             ADESC, =CL25'Old Masters In'
        MVC
        MVC
             ACOUNT, EDCOUNT
             ACOUNT, #OLDIN
        ED
        BAL
             R10,WRITE
             ADESC, =CL25'Old Masters Deleted'
        MVC
        MVC
             ACOUNT, EDCOUNT
        ED
             ACOUNT, #DELETED
```

```
BAL R10, WRITE
       MVC ADESC, =CL25'Old Masters Changed'
       MVC ACOUNT, EDCOUNT
       ED
           ACOUNT, #CHANGED
       BAL R10, WRITE
       MVC ADESC, =CL25'New Masters Added'
       MVC ACOUNT, EDCOUNT
       ED
           ACOUNT, #ADDED
       BAL
           R10,WRITE
       MVC ADESC,=CL25'New Masters Out'
       MVC
           ACOUNT, EDCOUNT
       ED
           ACOUNT, #NEWOUT
       BAL R10, WRITE
          R10, SVCOUNTS
          R10
      BR
      Literals, if any, will go here
      LTORG
************
     File definitions
***********
MASTERIN DCB LRECL=62, RECFM=F, MACRF=G, EODAD=ATENDMST,
DDNAME='BQSFUMST.DAT'
TRANSIN DCB LRECL=62, RECFM=F, MACRF=G, EODAD=ATENDTRN,
           DDNAME='BOSFUTRN.DAT'
MASTEROT DCB LRECL=62, RECFM=F, MACRF=P,
           DDNAME='BQSFUNEW.DAT'
REPORT DCB LRECL=80, RECFM=F, MACRF=P,
           DDNAME='REPORT.TXT'
*************
      RETURN ADDRESSES
************
SVSETUP DC F'0'
                           SETUP
SVHDGS DC F'0'
                           HDGS
          F'0'
      DC
SVPROC
                           PROCESS
           F'0'
SVREADM DC
                           READMST
SVREADT DC
          F'0'
                          READTRN
          F'0'
SVWRITE DC
                          WRITE
           F'0'
SVWRITEN DC
                          WRITENEW
          F'0'
SVWRAP DC
                          WRAPUP
SVCHKLNS DC
           F'0'
                          CHKLNS
SVMATCH DC
           F'0'
                          MATCH
SVMSTLOW DC
           F'0'
                          MASTLOW
          F'0'
SVTRNLOW DC
                          TRANSLOW
           F'0'
SVCOUNTS DC
                          DOCOUNTS
SVBADCOD DC
          F'0'
                          BADCODE
SVBADADD DC
           F'0'
                          BADADD
           F'0'
SVBADCHG DC
                          BADCHANG
           F'0'
SVBADDEL DC
                          BADDELET
          F'0'
SVADDIT DC
SVCHGIT DC
                          ADDIT
           F'0'
                           CHANGEIT
SVDELIT DC
          F'0'
                          DEELTEIT
****************
      Miscellaneous field definitions
************
```

EOFMAST EOFTRANS EDCOUNT PGS LNS MAXLNS * BLANKS	DC DC DC DC DC	CL1'N' CL1'N' X'40206B2 PL2'0' PL2'20' PL2'20' 0CL80 CL78' ',X	L2'0D25'	Nbr of pages printed. Lines printed on this page. Max nbr lines per page. My line counts exclude hdgs.
******		********* action cod		************
*****				*********
ADD CHANGE DELETE ******	EQU EQU EQU *****	C'A' C'C' C'D' *******	*****	*******
*	Count	s for audi	t purpose	* ************************************
#TRANSIN		PL4'0'	Transact	
#REJECTS		PL4'0'		zions Rejected
#OLDIN	DC	PL4'0'	Old Mast	
#DELETED		PL4'0'		ers Deleted
#CHANGED		PL4'0'		ers Changed
#ADDED #NEWOUT	DC DC	PL4'0' PL4'0'	New Mast	ers Added
				.ELD OUL
*	Input	record de	finition	- Master In *
*****				*******
IREC	DS	0CL62	1-62	Master record
INBR	DS	CL5	1- 5	Customer nbr
ILNAME	DS	CL10	6-15	Last name
IFNAME	DS	CL10	16-25	First name
IADDR ICITY	DS DS	CL15 CL10	26-40 41-50	Address City
ISTATE	DS DS	CL10 CL2	51-52	State
IZIP	DS	CL5	53-57	Zip
	DS	CL2	58-59	Unused
IACD	DS	CL1	60-60	Transaction code (A/C/D)
ICRLF	DS	CL2	61-62	PC/370 only - CR/LF
*****				*********
*				- Transaction *
TREC	DS	0CL62	1-62	Transaction record
TNBR	DS	CL5	1- 5	Customer nbr
TLNAME	DS	CL10	6-15	Last name
TFNAME	DS	CL10	16-25	First name
TADDR	DS	CL15	26-40	Address
TCITY	DS	CL10	41-50	City
TSTATE	DS	CL2	51-52	State
TZIP	DS	CL5 CL2	53-57	Zip
TACD	DS DS	CL2 CL1	58-59 60-60	Unused Transaction code (A/C/D)
TCRLF	DS	CL2	61-62	PC/370 only - CR/LF

*				r - Master Out *

OREC	DS	0CL62	1-62	Master record
ONBR	DS	CL5	1- 5	Customer nbr
OLNAME	DS	CL10	6-15	Last name
OFNAME	DS	CL10	16-25	First name

07.000	D.C	GT 1 F	06.40	7 1 1	
OADDR	DS	CL15	26-40	Address	
OCITY	DS	CL10	41-50	City	
OSTATE	DS	CL2	51-52	State	
OZIP	DS	CL5	53-57	Zip	
	DS	CL2	58-59	Unused	
OACD	DS	CL1	60-60	Transaction code (A/C/D)	
OCRLF	DS	CL2	61-62	PC/370 only - CR/LF	
****				*******	****
*		ut (line) d ******		n ************	*
RREC	DS	0CL80	1-80	Report record	
RDATA	DC	CL60' '	1-60	Transaction Data	
INDITITI	DC	CL2''	61-62	Transacción baca	
RMSG	DC	CL16' '	63-78	Audit message	
RCRLF	DS	CL2	79-80	PC/370 only - CR/LF	
				*****************	****
*		ut record d			*
*				RREC IS REDEFINED !!!	*
****	****	****	****	******	****
	ORG	RREC			
AREC	DS	0CL80	1-87	Audit Line	
ADESC	DC	CL25' '	1-25	Description on count	
ACOUNT	DC	CL10' '	26-35	Count	
	DC	CL43' '	36-78		
ACRLF	DS	CL2	79-80	PC/370 only - CR/LF	
	ORG				
****	****	*****	****	******	****
*	Head	ings defini	tions		*
*****	****	******	*****	******	****
FORMFEED	DS	0CL80		PC/370 only	
*	DC	X'0C'		EBCDIC formfeed	
*	DC	CL77''			
	DC	78C''		For testing	
	DC	X'0D25'		EBCDIC CR/LF	
HD1	DS	0CL80			
	DC	CL40'		Name & Address Update Pi	~o.'
	DC	CL26'gram	1	Page'	
HDPGS	DC	CL4'BZZ9'		± 4.90	
1171 00	DC	CL4 BZZ9			
	DC DC	XL2'0D25'			
IID2					
HD2	DS	0CL80		7	
	DC	CL78'		Audit Listing'	
0	DC	XL2'0D25'			
HD3	DS	0CL80			
	DC	CL78''			
	DC	XL2'0D25'			
HD4	DS	0CL80			
	DC			+2+3+	- 4 '
	DC	CL38'	+5	+6 MESSAGES'	
	DC	XL2'0D25'			
	END	BEGIN			

Note the use of the ORG instruction above. This allows us to "redefine" a record. The first instruction, ORG RREC, says to reset the location counter (remember: "stuff on the left") to what it was at RREC above. The second instruction, ORG (alone), says to put the location counter back to what it was prior to the first ORG. By using the ORG, we have saved 80 bytes of memory. When using ORG, it is easy to forget the "closing" ORG. Don't do it!

Reconciling the Audit Report

Let's take one more look at the audit report produced by this program:

Name & Address Upda Audit List	3	Page 2
+3	-+6	MESSAGES
Transactions In	6	
Transactions Rejected	3	
Old Masters In	10	
Old Masters Deleted	1	
Old Masters Changed	1	
New Masters Added	1	
New Masters Out	10	

It is important that we be able to reconcile the counts in this report; that is, we need to be able to account for all transaction and master records. For example, we see that there were six transactions in. So what what happened to them? Three were rejected for errors, one was a valid delete, one was a valid change, and one was a valid add: 3 + 1 + 1 + 1 = 6.

We see that there were 10 old masters in and 10 new masters out. How do we reconcile these counts? We see that one record was deleted, so that takes us from ten down to nine. One record was changed, but changes have no affect on the number of records. Finally, one record was added, so that takes us from nine back up to ten: 10 - 1 + 1 = 10.

- 1. True or false. When two files are processed using sequential file update logic...
 - T F a. there are three different transaction types.
 - T F b. both files must be in "key" sequence.
 - T F C. the MAINLINE logic will continue until both files are at EOF.
 - T F d. the SETUP routine will contain a priming read for both files.
 - T F e. an add transaction in match is an error.
 - T F f. a change transaction in match is an error.
 - T F g. a delete transaction in match is an error.
 - T F h. an add transaction in translow is an error.
 - T F i. a Change transaction in Translow is an error.
 - T F j. a delete transaction in translow is an error.
 - T F k. we read the next master and transaction records at the end of the process routine.
 - T F 1. NEW MASTERS = OLD MASTERS + valid ADDS valid DELETES.
 - T F m. TRANSACTIONS IN = TRANSACTIONS rejected + valid ADDS valid DELETES.
- 2. The following table shows the key for records on the Old Master file, and the key and transaction type for records on the Transaction file. Determine which records will be written to the New Master file, and supply the counts for the Audit Report.

Old
Master
112
222
317
469
558
627
731
880
914
921

Trans	Trans
Key	Type
222	D
223	С
496	А
558	D
628	D
731	С
808	D
914	А

New
Master

Audit Report

Transactions In	
Transactions Rejected	
Old Masters In	
Old Masters Deleted	
Old Masters Changed	
New Masters Added	
New Masters Out	

3. Which of the following audit reports indicate a program error?

(a)	Transactions In	35	(b)	Transactions	s In	2.3
(/	Transactions Reje		(/	Transactions		3
	Old Masters In	50		Old Masters	In	10
	Old Masters Delet	ed 10		Old Masters	Deleted	6
	Old Masters Chang	jed 5		Old Masters	Changed	7
	New Masters Added	15		New Masters	Added	8
	Now Mastors Out	60		Now Mastors	O11+	12

4. In the sample program, BQSFU.MLC, we assumed a blank field on a change transaction indicated that the corresponding field on the master record should not be changed. But how would we indicate that a field should be changed to blanks? For example, we may know that a customer has moved to a new city, but we don't know the new zip code. One common solution to this type of problem is to designate some special character (such as dollar sign) which, if found in the first position of a field in the transaction record, indicates that blanks should be moved to the corresponding field in the master record. Change the sample program to include this feature. Test with the following transactions:

1	2	3	4	5	6	
1234567890123	45678901234	1567890123456789	0123456789	012345678	90	
12111		2211 APRICOT	MODESTO	CA\$	С	(changed)
41499					D	
55123AMBROSE	FRANK	220 BARRETT	ROCKFORD	IL61103	Α	
61627QUALLS	CHERYL	201 N EIGHTH	WHITTIER	CA90605	С	
81228					D	
81997	KEVEN	\$	WEST CHGO	IL60185	С	(new)
82446AMICCI	BRUNO	17397 BARCELON	CORVALLIS	OR97330	A	

- 5. In the sample program, BQSFU.MLC, deleted records were *physically* deleted; that is, those records were no longer included in the MASTER file. Sometimes we prefer records be *logically* deleted; that is, the records are still included in the MASTER file, but they are tagged in such a way as to indicate that they should not be processed by other programs. (This is how dbase handles deleted records: an asterisk in the first position of the record is used to indicate logically deleted records. dbase's pack command is used to physically delete them.) We have included the most recent transaction code in position 60 of the master record. We could say a D in this position indicates the record is logically deleted.
 - (a) Change the sample program to include this feature.
 - (b) Show how the READ routine would be modified for all other programs using this file.
 - (c) Write a program which would physically delete all records which are currently logically deleted (similar to dbase's PACK).
 - (d) Write a program which would "undelete" all records which are currently logically deleted (similar to dBASE's RECALL).

6. (Refer to the Small Town Payroll database in <u>More Datasets</u>.) The following table describes the transactions used to update the EMPL file:

Field	Field					
Nbr	Name	Description	Begins	Ends	Len	Format
1	NUM	Employee number	1	3	3	ZD
2	LNAME	Last name	4	13	10	CH
3	FNAME	First name	14	23	10	СН
4	DEPT	Department	24	24	1	CH
5	RATE	Pay rate	25	29	5	999V99
6	TYPE	Rate type	30	30	1	H or S
7	ACD	Transaction code	31	31	1	A/C/D
8	CRLF	PC/370 Only	32	33	2	CR/LF

Write a program which will apply the following transactions to the EMPL file.

1	2	3
123456789012	3456789012	2345678901
270		32000 C
310DECARLO	RICHARD	30000HA
610		D
688SMITH	GERRY	A00525HA
791		00475 C
828GOYAK	DEBBIE	A34000SA
857		D

Design a meaningful audit report with the appropriate counts. Before updating the file, verify the following limits:

Rate type	Minimum Rate	Maximum Rate
Н	\$4.00	\$10.00
S	\$200.00	\$500.00

7. (Refer to the Small Town Hardware Store database in <u>More Datasets</u>.) The following table describes the transactions used to update the cost and sell price (only) for the TOOL file:

Field Nbr	Field Name	Description	Begins	Ends	Len	Format
1	TID	Tool ID	1	3	3	СН
2	TCOST	Tool cost each	4	8	5	999V99
3	TSELL	Tool sells for	9	13	5	999V99
4	CRLF	PC/370 Only	14	15	2	CR/LF

Write a program which will apply the following transactions to the TOOL file. Note that there are no transaction codes: records can be changed (only). Of course, a record must exist before it can be changed...

1 1234567890123 H8 01099 PLM00375 PLX 00599 SPM0025000419 SSM0041900250 SSS00399 WSP00025

Design a meaningful audit report with the appropriate counts. Before updating the file, verify that the cost is less than the sell price, except for wrappers, which must have a sell price of zero. (A wrapper is indicated by a w in the first position of the tool ID.)