

# Analysis on the popular vote of the 2020 American federal election

Yena Joo, Woolim Kim, Guemin Kim

Nov 2, 2020

**Predictions on the 2020 US Presidential Election based on the voter survey responses.**

*Code and data supporting this analysis is available at: [https://github.com/Guemin/Problem\\_Set\\_3](https://github.com/Guemin/Problem_Set_3)*

## Model

As the 2020 presidential election of the United States approaches, people across the world are interested in to which candidate the vote of the US citizens will be concentrated, either to Donald Trump or to Joe Biden. Since the election outcome will also affect our community in Canada, we are going to analyze and predict the winner of the popular vote in the 2020 American federal election.

Using the survey and census data obtained from Democracy Fund + UCLA Nationscape and IPUMS USA, we are going to predict the popular vote outcome of the election. To be more specific, we are going to use two logistic regression models, one for each candidate, and employ a post-stratification technique<sup>1</sup> with the models. Then, we will predict the electoral vote outcome of the election, using a post-stratification outcome divided into states and compare it with the popular vote prediction.

In the following sub-sections, we will describe the model specifics, the post-stratification calculation, and the result of the analysis.

## Model specifics

As already mentioned, we will be using the logistic regression models and post-stratification technique with R software to predict the proportions of voters who will vote for either Donald Trump or Joe Biden. Specifically, we will create two models, each for proportions of voters for Trump or Biden, using 6 different variables (age\_group, gender, race, education, household\_income, and state)<sup>2</sup>.

Since our response variables, vote\_Trump and vote\_Biden, are binary (either 'vote for' or 'not vote/not sure'), the logistic regression model is a suitable model to be used. Logistic regression is a mathematical model used to estimate the probability of an event occurring using binary data.

The logistic regression models we are using are:

---

<sup>1</sup>Post-stratification is a technique used in sample survey design to improve the quality of population estimates. In the post-stratification analysis, the population is partitioned into subgroups, and estimates are predicted within the subgroups. Then, the sum of the estimate times the respective population size in each group is calculated, and finally, it is divided by the sum of the total population size. Detailed procedures on post-stratification for our analysis will be shown in the following sub-sections.

<sup>2</sup>\* age\_group is divided into 4 different groups: "18-29 year olds", "30-44 year olds", "45-64 year olds", "65 years and older".

\* gender indicates either "Male" or "Female".

\* race is divided into 5 different categories: "White", "Black", "Native", "Asian", "Other".

\* education is divided into 4 different categories: "Didn't graduate from high school", "High school graduate",

"Some college or associate degree", "Bachelor's degree or higher".

\* household income consists of 9 categories range from "Less than \$14,999" to "\$150,000 and over".

\* state indicates abbreviated names of 52 states in the US.

$$\log\left(\frac{p_i}{1-p_i}\right) = \beta_0 + \beta_1 x_{age\ group} + \beta_2 x_{gender} + \beta_3 x_{race} + \beta_4 x_{education} + \beta_5 x_{household\ income} + \beta_6 x_{state}$$

where  $\log(\frac{p_i}{1-p_i})$  represents log odds in each model, and  $p_i$  is the proportion of voters who will vote for Donald trump or Joe Biden. Similarly,  $\beta_0$  represents the intercept, and  $\beta_1, \dots, \beta_6$  indicate the slope parameters of the model. (Detailed descriptions on the x variables can be found in the footnote<sup>3</sup>).

### Model Diagnostics

With the logistic regression models we created above, we are going to study diagnostics of the models. First, we need to keep in mind that logistic regressions are well performed under the following assumptions:

1. Linearity between the log odds and the predictor variables  
(independent variables should be linearly related to the log odds)
2. Binary logistic regression requires the response variable to be binary.
3. Large sample size
4. Multicollinearity among predictors is not too high  
(predictor variables should be independent to each other)

In our models, we do not need to worry about the violation of the first assumption since all of our predictor variables are categorical; hence, the categorization of the independent variables is not necessary. Similarly, since our response variables, `vote_trump` and `vote_biden` are binary, and the size of the survey data is large enough, we can confirm that the second and the third assumptions are also satisfied.

Now, we want to check if the multicollinearity among predictor variables is not too high. This can be done by calculating the variance inflation factor(VIF) for each predictor variable, which measures the amount of multicollinearity in a set of multiple regression variables; the bigger the VIF, the bigger the multicollinearity is. When the variance inflation factor is greater than 5, the corresponding predictor is said to be highly correlated with other predictors. Here are the values of variance inflation factors for predictors in each model:

Table 1: VIF models

model_trump_predictor	VIF	model_biden_predictor	VIF
age_group	1.210003	age_group	1.246368
gender	1.068826	gender	1.072452
race	1.240050	race	1.353103
education	1.477839	education	1.452881
household_income	1.555977	household_income	1.564889
state	1.468859	state	1.461147

As shown above, VIF values do not exceed 2 for both models for Trump and Biden, which suggest that there is no sign of multicollinearity among predictors. Therefore, it is safe to say that the last assumption is also satisfied.

<sup>3</sup>\*  $x_{age\ group}$  represents one of the four age groups that the respondent is in.

\*  $x_{gender}$  indicates the gender of the respondent(either "Male" or "Female").

\*  $x_{race}$  indicates the race ethnicity of the respondent.

\*  $x_{education}$  indicates the education attainment of the respondent.

\*  $x_{household\ income}$  indicates the total pre-tax income of the respondent's household.

\*  $x_{state}$  indicates the state in which the respondent is located.

## Model content

Prior to the modelings, we mutated variables in the survey data to create new variables that could be used in the analysis. Our response variables, `vote_trump` and `vote_biden` are also mutated from a variable named “`vote_2020`”, which provides a name of a candidate that the respondent supports<sup>4</sup>. Also, the predictor variables, `age_group`, `gender`, `race`, `education`, `household_income`, and `state` are mutated in the data cleaning process so that the categories in each variable in the survey data match with those in the census data.

Since only those who are 18 years old or older are eligible to vote, we removed the observations obtained from the respondents who are younger than 18 years old in the data cleaning process. Similarly, we removed the observations of respondents who answered “No, I am not eligible to vote” as `vote_intention`, since their responses to `vote_trump` and `vote_biden` will not count in the actual election. Also, we removed people who are “less than 1 year old” or “90 (90+ in 1980 and 1990)” since their responses are unrealistic or not necessary in our analysis.

## Post-Stratification

Using the log odds estimates, we are going to find `vote_Trump` and `vote_Biden` (the proportions of voters each for Donald Trump and Joe Biden) in every possible combination of categories in our predictor variables, `age_group`, `gender`, `race`, `education`, `household income`, and `state`.

In order to estimate the proportions of voters for both Donald Trump and Joe Biden, we are going to perform a post-stratification analysis. In order to use this technique, we need to subdivide the population having similar characteristics into cells. Hence, we are going to create a total of 55,325 cells based on different age groups, `gender`, `race-ethnicity`, `education attainment`, `household income`, and `state`.

Using the logistic regression models presented in the previous sub-section, we will estimate the proportions of voters in each cell for each candidate. Then, we will weigh each estimate within each cell by the respective population size of the cell, and sum those values, and divide that by the entire population size. This process can also be described by the expression:

$$\hat{y}^{ps} = \frac{\sum N_j * \hat{y}_j}{\sum N_j}$$

where  $\hat{y}_j$  is the estimate of the probability of voting for either Trump or Biden in each cell, and  $N_j$  is the population size of the  $j^{th}$  cell based off demographics.

reason for Choice of the variables...

## Results

In the previous sub-sections, we have created the Logistic Regression models on proportions of voters voting for Donald Trump and Joe Biden using 6 different following variables: `age_group`, `gender`, `race`, `education`, `household_income`, and `state`. Based on the post-stratification analysis we made, our estimation of the proportion of voters voting for Donald Trump is 0.433 (43.3%) and Joe Biden to be 0.394(39.4%). From the result of our estimations, We can predict that Donald Trump is more likely to win the popular vote in the 2020 American federal election.

```
## # A tibble: 70 x 5
##   term                                estimate std.error statistic  p.value
##   <chr>                                <dbl>    <dbl>    <dbl>    <dbl>
## 1 (Intercept)                       -0.707    0.741    -0.954 3.40e- 1
## 2 as.factor(age_group)30-44 year olds    0.575    0.0950     6.05 1.43e- 9
## 3 as.factor(age_group)45-64 year olds    0.743    0.0940     7.91 2.59e-15
```

---

<sup>4</sup>`vote_trump` is 1 when `vote_2020` is “Donald Trump”, and 0 otherwise; `vote_biden` is 1 when `vote_2020` is “Joe Biden”, and 0 otherwise.

```
## 4 as.factor(age_group)65 years and older      0.782    0.108      7.25 4.10e-13
## 5 as.factor(gender)Male                      0.422    0.0612     6.90 5.25e-12
## 6 as.factor(race)Black                     -1.42    0.209     -6.79 1.12e-11
## 7 as.factor(race)Native                    0.483    0.285      1.70 8.99e- 2
## 8 as.factor(race)Other                     -0.132    0.200     -0.661 5.08e- 1
## 9 as.factor(race)White                     0.589    0.160      3.68 2.37e- 4
## 10 as.factor(education)Didn't graduate fr~ 0.357    0.119      3.01 2.61e- 3
## # ... with 60 more rows

## # A tibble: 70 x 5
##   term                                estimate std.error statistic    p.value
##   <chr>                                <dbl>     <dbl>     <dbl>     <dbl>
## 1 (Intercept)                       -0.418      0.839     -0.498 6.18e-1
## 2 as.factor(age_group)30-44 year olds -0.200      0.0856    -2.34 1.93e-2
## 3 as.factor(age_group)45-64 year olds -0.287      0.0855    -3.35 8.01e-4
## 4 as.factor(age_group)65 years and old~ -0.125      0.101     -1.24 2.14e-1
## 5 as.factor(gender)Male              -0.302      0.0592    -5.11 3.27e-7
## 6 as.factor(race)Black                0.999      0.166      6.03 1.67e-9
## 7 as.factor(race)Native              -0.442      0.278     -1.59 1.12e-1
## 8 as.factor(race)Other                0.00339     0.173      0.0196 9.84e-1
## 9 as.factor(race)White               -0.449      0.143     -3.14 1.66e-3
## 10 as.factor(education)Didn't graduate ~ -0.667      0.114     -5.86 4.61e-9
## # ... with 60 more rows
```

Table 2: Comparison of predicted estimate between Trump and Biden

total	predict_trump	total	predict_biden
	0.4334444		0.3944298

In the summary model for Trump(Figure n), “45-64 year-olds” and “65 years and older” have relatively higher estimates(0.743 and 0.782) which mean for every one-unit increase in the predictor variable, we expect an increase in the log odds, which makes Trump more likely to get voted. Similarly, estimates in household\_income show that log-odds get lower for people who have relatively low household income (\$15,000 to \$24,999). Black race significantly shows low estimates, -1.42192, which lowers the log odds by a significant amount.

(Figure n) For Biden, as opposed to Trump, shows a high estimate in the black race (0.999153), but has a low estimate in a native race(-0.441602). Also, younger people are more likely to vote, individuals with high income (\$150,000 or more) lowers the log odds(-0.046616), but overall well distributed.

- individuals with household\_income “less than \$14,999” are more likely to vote for Biden over Trump (due to Biden’s election promises for lower-income people?)
- Individuals with a household income " \$100,000 to \$149,999" or “\$150,000 or more” show a higher probability of voting for Trump over Biden.

## Discussion

Here you will summarize the previous sections and discuss conclusions drawn from the results. Make sure to elaborate and connect your analysis to the goal of the study.

Using the survey and census data obtained from Democracy Fund + UCLA Nationscape and IPUMS USA, we have predicted the popular vote outcome of the 2020 presidential election in the USA. In the “Model Specifics” section, Logistic Regression is used to predict who is more likely to be elected for the 2020 presidential

election. Explanatory variables used for the logistic regression model are age\_group, gender, race, education, household\_income, and state. There is a possibility of having omitted variable bias<sup>5</sup> and measurement error bias, because people tend to hide their political orientation.

Then, by using the post stratification technique, 55,325 cells are made using the census data - based on the 6 variables that were used in the Logistic Regression model - and the probability of voting estimates is estimated for each cell. Using the probability for each cell, the popular vote proportion estimates of voters for both Donald Trump and Joe Biden,  $\hat{y}^{ps}$  are measured to estimate the proportion of voters in favor of voting for each candidate.

For an additional estimate to predict the electoral vote, we grouped each cell estimates into states and predicted who is expected to win in each state. The result shows that Trump is ahead of Biden by 11 counts in the estimate for each state, where Trump is more likely to win in 31 states, and Biden has a higher probability to win in 20 states.

The result of the popular vote shows that the estimated value  $\hat{y}^{ps}$  for the proportion of voters voting for Joe Biden is 39.4% and for Donald Trump is 43.34%. Just by considering the six factors used in the model above, using the 2020 survey data and 2018 census data, there is a higher possibility of Donald Trump being the next president.

To conclude, based on the estimated proportion of voters in favor of voting for Donald Trump being 0.4334 (43.34%) and expected to win 31 states out of 51 states, we predict that Trump will win the 2020 presidential election. However, this is just estimation based on the data given. Historically, in 2016, Hillary Clinton won in the popular vote but lost the election because Trump had a higher vote in Electoral College vote. Winning in the popular vote does not determine the winner of the election.

## Weaknesses

**improvement** Some variables could not be included in the generalized logistic model because either census data or survey data did not include the particular variables. If there is an important variable that could have affected the vote outcome, there might exist an omitted variable bias. (The omitted variables should be correlated with the dependent variable and with the explanatory variables included in the model).

The Census data used in the analysis is 2018 data, so it might not reflect the most accurate vote outcome. 2020 data is more suitable to analyze more accurate results. Also, people who were underage in 2016, hence not included in the estimate would have the right to vote in 2020.

Even if a candidate wins in the public vote, he/she can lose the presidency if the electoral college gives the candidate a majority, and vice versa. Therefore, using the popular vote to predict the winner of the presidential election is not the most accurate way to use it.

## Next Steps

The analysis does not include the possible effect of other factors - such as an individual's Health insurance state - on the vote result. Analyzing the vote outcome focusing on the election promise would be a more realistic and reasonable prediction of the election. Also, 2016 census data is used for the analysis so it does not reflect the most accurate population. With the 2020 census data, we could estimate the proportion of voting for each candidate by the factors that are closely related to the election promises such as health care, market industry, etc.

Also, throughout the analysis, popular vote and a brief idea of the electoral vote (group the estimate by states, and compare the probability to determine who wins for each state), but did not use the actual election method that is used in the states. Following the procedure of the proper electoral vote, using more accurate data of the electoral colleges would make a huge difference in the analysis.

---

<sup>5</sup>\* explanation of omitted variable bias is described in "Weakness section"

- Create a visualization of the results to view the groups of the voting estimates at once.
- In our future analysis, we can try to analyze the multilevel regression models using Bayes coding techniques.
- We can compare our prediction and the result of the actual 2020 presidential election.  
(something about comparing with the actual election results and do a post-hoc analysis (or at least a survey) of how to better improve estimation in future elections.)

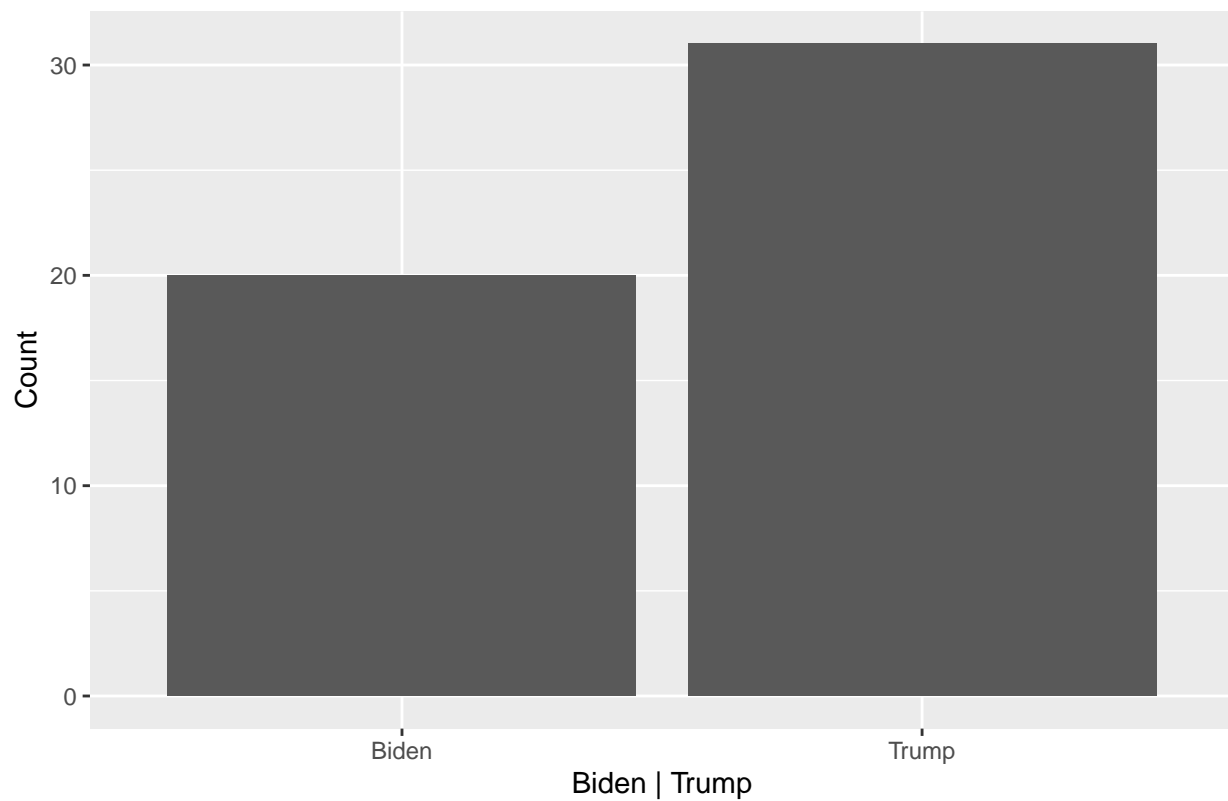
## References (MLA8)

1. Survey data: <https://www.voterstudygroup.org/downloads?key=9337162e-e5ef-49d7-96fd-48a5c5dba31c> “Insights into the Beliefs and Behaviors of American Voters.” Democracy Fund Voter Study Group, [www.voterstudygroup.org/downloads?key=9337162e-e5ef-49d7-96fd-48a5c5dba31c](https://www.voterstudygroup.org/downloads?key=9337162e-e5ef-49d7-96fd-48a5c5dba31c).
2. Census data: [https://usa.ipums.org/usa-action/extract\\_requests/summary?](https://usa.ipums.org/usa-action/extract_requests/summary?) “Census Data.” IPUMS USA: Extract Summary, [usa.ipums.org/usa-action/extract\\_requests/summary](https://usa.ipums.org/usa-action/extract_requests/summary).
3. Post-Stratification technique: <https://www.microsoft.com/en-us/research/wp-content/uploads/2016/04/forecasting-with-nonrepresentative-polls.pdf> Wang, Wei, et al. “Forecasting Elections with Non-Representative Polls.” *International Journal of Forecasting*, vol. 31, no. 3, 2015, pp. 980–991., doi:10.1016/j.ijforecast.2014.06.001.
4. Logit Regression Assumptions source 1: <https://rpubs.com/guptadeepak/logit-assumptions> Gupta, Deepak. “Logit Regression Assumptions.” RPubS, 18 May 2018, [rpubs.com/guptadeepak/logit-assumptions](https://rpubs.com/guptadeepak/logit-assumptions).
5. Logit Regression Assumptions source 2: <https://www.statisticssolutions.com/wp-content/uploads/wp-post-to-pdf-enhanced-cache/1/assumptions-of-logistic-regression.pdf>
6. Variance Inflation Factor(VIF): <https://www.statisticshowto.com/variance-inflation-factor/> Stephanie. “Variance Inflation Factor.” *Statistics How To*, 9 July 2020, [www.statisticshowto.com/variance-inflation-factor/](https://www.statisticshowto.com/variance-inflation-factor/).
7. Tables side by side: <https://bookdown.org/yihui/rmarkdown-cookbook/kable.html> Yihui Xie, Christophe Dervieux. “R Markdown Cookbook.” 10.1 The Function Knitr::Kable(), 21 Sept. 2020, [bookdown.org/yihui/rmarkdown-cookbook/kable.html](https://bookdown.org/yihui/rmarkdown-cookbook/kable.html).

## Electoral vote kinda stuff

The presidential election actually uses the electoral college vote. There are 538 electors in the electoral college, divided among each state. Electors vote based on the results of the popular vote in their respective states. Since there is no information given about the electoral colleges, we are instead going to see how we can predict using a similar method. For each state, we will compare Trump and Biden’s  $\hat{y}^{ps}$  value, and whoever has the bigger value wins in the state. This way, we would have a better idea who would win, rather than predict using just a popular vote.

Figure n: Predicted Win Counts Per State



The histogram above shows the predicted win counts per state. Trump is expected to have a higher proportion of being elected in 31 states, and Biden has a higher proportion of voters voting for him in 20 states, which makes Trump the winner of the presidential election. Both popular vote and electoral vote shows same prediction where Trump wins the election.

## Appendix

state	predict_trump2	state	predict_biden2
AK	0.6178175	AK	0.2121776
AL	0.5294578	AL	0.3429311
AR	0.5690489	AR	0.2163157
AZ	0.4970620	AZ	0.3532261
CA	0.3500102	CA	0.4605909
CO	0.4748248	CO	0.3723088
CT	0.2840064	CT	0.5343268
DC	0.2715509	DC	0.7314580
DE	0.3901171	DE	0.5308874
FL	0.4677497	FL	0.3841190
GA	0.4716816	GA	0.3827232
HI	0.3371692	HI	0.5260513
IA	0.4501696	IA	0.3894466
ID	0.6617140	ID	0.2276048
IL	0.4152228	IL	0.3984838
IN	0.4497127	IN	0.3483643
KS	0.5724607	KS	0.2903427
KY	0.4997506	KY	0.4122667
LA	0.4574786	LA	0.4197140
MA	0.2894075	MA	0.5138926
MD	0.3519287	MD	0.4938823
ME	0.4062306	ME	0.4861133
MI	0.4074363	MI	0.4562030
MN	0.4807777	MN	0.4625594
MO	0.4489350	MO	0.3787824
MS	0.4849136	MS	0.3758363
MT	0.5407824	MT	0.3513251
NC	0.4647314	NC	0.4126773
ND	0.5234047	ND	0.1747900
NE	0.4228730	NE	0.3367801
NH	0.4164238	NH	0.4753414
NJ	0.4045109	NJ	0.4240766
NM	0.2288712	NM	0.5074545
NV	0.5159548	NV	0.3375036
NY	0.3888962	NY	0.4355101
OH	0.4457807	OH	0.3743578
OK	0.4921180	OK	0.2217403
OR	0.4084833	OR	0.4259771
PA	0.4706141	PA	0.3096477
RI	0.3574909	RI	0.4515775
SC	0.5061444	SC	0.2786692
SD	0.5185028	SD	0.3387865
TN	0.5126872	TN	0.2784533
TX	0.5087421	TX	0.3048833
UT	0.4055765	UT	0.2632457
VA	0.3846518	VA	0.4476426
VT	0.1026603	VT	0.7455384
WA	0.3766525	WA	0.4615358
WI	0.3970139	WI	0.4124047
WV	0.5386586	WV	0.3194931
WY	0.1878584	WY	0.2659662



Table 3: Figure n

household_income	predict_trump	household_income	predict_biden
\$100,000 to \$149,999	0.4788905	\$100,000 to \$149,999	0.3644576
\$15,000 to \$24,999	0.3819243	\$15,000 to \$24,999	0.4089684
\$150,000 and over	0.5013108	\$150,000 and over	0.3746106
\$25,000 to \$34,999	0.3917869	\$25,000 to \$34,999	0.3918452
\$35,000 to \$44,999	0.4036812	\$35,000 to \$44,999	0.4117412
\$45,000 to \$54,999	0.4363749	\$45,000 to \$54,999	0.3898337
\$55,000 to \$74,999	0.4219549	\$55,000 to \$74,999	0.4162607
\$75,000 to \$99,999	0.4191757	\$75,000 to \$99,999	0.4305907
Less than \$14,999	0.3219549	Less than \$14,999	0.3930797