# **mimoCoRB**

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## MIMOCORB OVERVIEW:

mimoCoRB: multiple-in multiple-out Configurable Ring Buffer

The package **mimoCoRB** provides a central component of each data acquisition system needed to record and preanalyze data from randomly occurrig proceses. Typical examples are waveform data as provided by single-photon counters or typical detectors common in quantum mechanical measurements or in nuclear, particle physics and astro particle physics, e. g. photo tubes, Geiger counters, avalanche photo-diodes or modern SiPMs.

The random nature of such processes and the need to keep read-out dead times low requires an input buffer and a buffer manager running as a background process. While a data source feeds data into the ringbuffer, consumer processes are fed with an almost constant stream of data to filter, reduce, analyze or simply visualize data and on-line analysis results. Such consumers may be obligatory ones, i. e. data acquisition pauses if all input buffers are full and an obligatory consumer is still busy processing. A second type of random consumers or "observers" receives an event copy from the buffer manager upon request, without pausing the data acquisition process. Typical examples of random consumers are displays of a subset of the wave forms or of intermediate analysis results.

This project originated from an attempt to structure and generalize data acquision for several experiments in advanced physics laboratory courses at Karlruhe Institute of Technology (KIT).

As a simple demonstration, we provide data from simulatd signals as would be recored by a detector for comsmic myons with three detection layers. Occasionally, such muons stop in an absorber between the 2nd and 3rd layer, where they decay at rest and emit a high-energetic electron recorded as a 2nd pulse in one or two of the detection layers. After data acquitision, a search for typical pulses is performed, data with detected double pulses are selected and fed into a second buffer. A third buffer receives data in a reduced format which only contains the parameters of found pulses. These data and the wave forms of all double-pulses are finally stored on disk. This application is a very typical example of the general process of on-line data processing in modern experiments and may serve as a starting point for own applictions.

## 1.1 Detailed description of components

Ring buffer

Writer, Reader and Observer classes

User Access classes wrapping the mimoCoRB classes

Configuraion of DAQ with yaml files

### Simple application example (also provided as a unittest)

An application example of *mimo\_buffer* is shown below. This code may serve as a starting point for own projects. The set-up is as follows:

2 ring buffers are defined:

• input Buffer RB\_1: 10 ch x 1024 slots (int32)

• output Buffer RB 2: 10 ch x 2 slots/ch (float64)

Simple data is filled into RB\_1, copied and extended by a process writing data into RB\_2, and finally a reader process to check integrity and completenss of the data. The most complex part of the code is in function  $run\_control()$ , which demonstrates how to set up the buffers, define Reader and Writer instances and start the prallel processes for generating, processing and reading the data.

The example including coment lines for explanation is shown here:

```
import time
import unittest
import numpy as np
from multiprocessing import Process, Value
from mimocorb import mimo_buffer as bm
# global variables
N_requested = 1000 # numer of data injectios ("events")
Time_tick = 0.001 # time between events
Ncpu1 = 2
                    # number of parallel abalyzer processes
def data_generator(sink_dict):
  """writes continuously rising integers to buffer specified in sink_dict
  sink = bm.Writer(sink_dict)
 n=0
  # inject data
  for x in range(N_requested):
     buffer = sink.get_new_buffer() # get new buffer and pass last item
      # random wait for next data item
      time.sleep(-Time_tick*np.log(np.random.rand() ))
      # fill "data"
     n += 1
      buffer[:] = n
  # process last data item
  sink.process_buffer()
def analyzer(source_dict, sink_dict):
  """read from source and write first element and a time difference to sink
  source = bm.Reader(source_dict)
  sink = bm.Writer(sink_dict)
  start_time = time.time()
  while True:
      input_data = source.get()
      output_data = sink.get_new_buffer()
      # process data
      output_data[0] = input_data[0]
      # mimick processing time
      time.sleep(2*Time_tick)
      output_data[1] = time.time() - start_time
```

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```
sink.process_buffer()
def check_result(source_dict, res):
  """reads RB_2 and sum up the integer content (value should be sum(1-35) = 630);
    sum is returned as shared memory Value-object
  source = bm.Reader(source_dict)
  sum_rb = 0
  while True:
      input_data = source.get()
     res.value +=int(input_data[0])
def run_control():
  """Setup buffers, start processes and shut_down when 1st writer done
  # Create ring buffers: #2: 10 channel, 2 value per channel
      (1: buffer content; 2: time difference as int)
       d_type = [('chA', np.float)] #not necessary: always the same type
  generator_buffer = bm.NewBuffer(10, 1, np.int32)
  eval_buffer = bm.NewBuffer(10, 2, np.float32)
  # create readers first
  source_dic_gen = generator_buffer.new_reader_group()
  source_dic_eval = eval_buffer.new_reader_group()
  # Create worker processes (correct sequence: first action as last)
  process_list = []
  # evaluation to test ring buffer behavior
  result = Value('i', 0) # int variable in shared meomry
  process_list.append(Process(target=check_result,
                              args=(source_dic_eval, result)))
  # data transfer between the 2 buffers: generator_buffer -> eval_buffer
  sink_dic_eval = eval_buffer.new_writer()
  # work with all cpu's requested
  number_of_workers = Ncpu1
  for i in range(number_of_workers):
     process_list.append(Process(target=analyzer,
                                  args=(source_dic_gen, sink_dic_eval)))
  # fill buffer (generator_buffer) with data first
  sink_dic_gen = generator_buffer.new_writer()
  process_list.append(Process(target=data_generator,
                              args=(sink_dic_gen,)))
  for p in process_list:
     p.start()
  run_active = True
```

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```
while run_active:
     run_active = False if process_list[-1].exitcode==0 else True
     time.sleep(0.1) # wait
  time.sleep(0.1) # some grace-time for readers to finish
  generator_buffer.shutdown()
  eval_buffer.shutdown()
  del generator_buffer, eval_buffer
  for p in process_list:
     p.join()
  return result.value
class RPTest(unittest.TestCase):
  def test_process(self):
      # start python test module and check result
      a = run_control()
      expected_result = N_requested*(N_requested+1)//2
      self.assertEqual(a, expected\_result) # expected result: sum(i); i = 1, N\_requested
if __name__ == "__main__":
  unittest.main(verbosity=2)
    print(process_buffer())
```

## ACCESS CLASSES IN MODULE BUFFER\_CONTROL

To ease user interaction with the buffer manager, a set of additional classes is provided in the module *buffer\_control* to set-up and manage cascades of ringbuffers and the associated sub-processes for filling, filtering and extracting data.

#### · class buffer control

Set-up and management ringbuffers and associated sub-processes

#### · class SourceToBuffer

Read data from source (e.g. from file, simulation, PicoScope etc.) and put data in mimo\_buffer

#### · class BufferToBuffe

Read data from input buffer, filter and write data to output buffer(s)

#### • class BufferToTxtfile:

Save data to file in csv-format

These classes shield much of the complexity from the user, who can thus concentrate on writing the pieces of code need to acquire and press the data.

## 2.1 Application example

The subdirectory examples/ contains a rather comlete application case. Code snippets and configuration data are provided in the subdirectories examples/modules/ and examples/config/, respectively. Waveform data, as provided by a multi-channel oscilloscope, are generated and filled into the first of a cascaded set of three ringbuffes. The raw data are analysed, and accepted data with a double-pulse signature are selected and directly passed on to a second ringbuffer. The third buffer contains only the information about found signal pulses; a result file in *csv* format contains the data extracted from this buffer.

The buffer layout the associated functions are defined in the main configuration file *simultest\_setup.py*, which serves as the input the execution script *run\_daq.py* in the top-level directory of the package. The *python* files *simulation\_source.py*, *liftime\_filter.py* and *save\_files.py* contain the user code for data generation, analysis and filtering and extraction of the final data to disk files. The *yaml* file simulation\_config.py contains configurable data provided to these functions.

An observer process receives a sub-set of the data in the second buffer and shows them as an oscilloscope display on screen while data are generated and propagated through the buffers.

This example is executed form the directory examples/ by entering:

../run\_daq.py simultest\_setup.yaml

The *yaml* file is shown here:

```
# Application example for mimoCoRB
RingBuffer:
  # define ring buffers
    # raw input data buffer (waveforms from PicoScope, filele_source or simulation_
→source)
    number_of_slots: 128
    channel_per_slot: 4250
    data_type:
        1: ['chA', "float64"]
        2: ['chB', "float64"]
        3: ['chC', "float64"]
        4: ['chD', "float64"]
  - RB_2:
      # buffer with accepted signatures (here double-pulses)
      number_of_slots: 128
      channel_per_slot: 4250
      data_type:
        1: ['chA', "float64"]
        2: ['chB', "float64"]
        3: ['chC', "float64"]
        4: ['chD', "float64"]
  - RB 3:
      # buffer with pulse parameters (derived from waveforms)
      number_of_slots: 32
      channel_per_slot: 1
      data_type:
        1: ['decay_time', "int32"]
        3: ['1st_chA_h', "float64"]
4: ['1st_chB_h', "float64"]
        5: ['1st_chC_h', "float64"]
        6: ['1st_chA_p', "int32"]
        7: ['1st_chB_p', "int32"]
        8: ['1st_chC_p', "int32"]
        9: ['1st_chA_int', "float64"]
        10: ['1st_chB_int', "float64"]
11: ['1st_chC_int', "float64"]
        12: ['2nd_chA_h', "float64"]
        13: ['2nd_chB_h', "float64"]
        14: ['2nd_chC_h', "float64"]
        15: ['2nd_chA_p', "int32"]
        16: ['2nd_chB_p', "int32"]
        17: ['2nd_chC_p', "int32"]
        18: ['2nd_chA_int', "float64"]
        19: ['2nd_chB_int', "float64"]
        20: ['2nd_chC_int', "float64"]
        21: ['1st_chD_h', "float64"]
22: ['1st_chD_p', "int32"]
        23: ['1st_chD_int', "float64"]
        24: ['2nd_chD_h', "float64"]
```

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```
25: ['2nd_chD_p', "int32"]
       26: ['2nd_chD_int', "float64"]
Functions:
  # define functions and assignments
  - Fkt_main:
     config_file: "config/simulation_config.yaml"
  - Fkt_1:
     file_name: "modules/simulation_source"
    kt_name: "simulation_source"
    num_process: 1
    RB_assign:
      RB_1: "write"
  - Fkt_2:
    file_name: "modules/lifetime_filter"
     fkt_name: "calculate_decay_time"
    num_process: 2
    RB_assign:
      RB_1: "read"
                      # input
      RB_2: "write"  # waveform to save (if double pulse was found)
      RB_3: "write" # pulse data
  - Fkt_3:
    file_name: "modules/save_files"
     fkt_name: "save_to_txt"
    num_process: 1
    RB_assign:
      RB_3: "read" # pulse data
  - Fkt_4:
     file_name: "modules/save_files"
     fkt_name: "save_parquet"
    num_process: 1
    RB_assign:
      RB_2: "read" # waveform to save
  - Fkt_5:
    file_name: "mimocorb/plot"
     fkt_name: "plot_graph"
    num_process: 1
    RB_assign:
      RB_2: "observe" # double pulse waveform
```

## 2.1.1 Indices and tables

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## MODULE DOCUMENTATION

mimo-buffer: Module implementing a multiprocessing capable buffer as described in ETP-KA/2022-06.

Buffer creation and management is handled by the NewBuffer-class, access to the buffer content is handled by the Reader, Writerand Observer classes.

class mimocorb.mimo\_buffer.NewBuffer(number\_of\_slots, values\_per\_slot, dtype, debug=False)

Class to create a new 'FIFO' buffer object (typically called in the host/parent process).

Creates all the necessary memory shares, IPC queues, etc and launches background threads for buffer management.

The NewBuffer-object provides methods to create setup dictionaries for Reader, Writer or Observer instances.

## important methods:

- \_\_init\_\_() constructor to create a new 'FIFO' buffer
- new\_writer() create new writer
- new\_reader\_group() create reader group
- new\_observer() create observer
- buffer\_status() display status: event count, processing rate, occupied slots
- pause() disable writers
- resume() (re-)enable writers
- shutdown() end connected processes, delete buffer

## buffer\_status()

Processing Rate and approximate number of free slots in this buffer. This method is meant for user information purposes only, as the result may not be completely accurate due to race conditions.

#### Returns

Number of free slots in this buffer

## Return type

int

## $event\_loop\_executor(loop: AbstractEventLoop) \rightarrow None$

Internal method continuously run in a background thread. It runs the asyncio event loop needed for the websocket based IPC of Observer-instances.

#### increment\_reader\_pointer()

Internal method called by the reader\_queue\_listener()-threads after a new element was marked as 'processing is done'. It checks if all reader groups are done with processing the oldest buffer slot, and if so, adds it to the 'free buffer slots' queue used by the Writer-instances. For this function to work properly and without race conditions self.heap\_lock has to be acquired BEFORE entering the function!

#### new\_observer()

Method to create a new observer. It's possible to create multiple observers or simply share the setup dictionary between different Observer-instances. It is very much possible for the data seen by the Observer instance to be corrupted (especially with non ideal buffer configurations and/or heavily loaded PC systems).

## "Observer" -instances MUST NOT rely on data integrity!!

#### Returns

The setup\_dict object passed to an Observer-instance to grant read access to this buffer.

## Return type

dict

## new\_reader\_group()

Method to create a new reader group. The processing workload of a group can be distributed by using the same setup dictionary (setup\_dict) in multiple processes creating a Reader-object with it. Each buffer element is only processed by one reader group process. It's possible to create multiple reader groups per buffer, where each reader group gets every element written to the buffer. If a reader group is created, at least one Reader instance MUST steadily call the Reader.get() method to prevent the buffer from blocking and to allow a safe shutdown.

#### Returns

The setup\_dict object passed to a Reader-instance to grant read access to this buffer.

#### Return type

dict

## new\_writer()

Method to create a new writer. It's possible to create multiple writers or simply share the setup dictionary between different Writer-instances.

#### Returns

The setup\_dict object passed to a Writer-instance to grant write access to this buffer.

## Return type

dict

## async observer\_check\_active\_state() $\rightarrow$ None

Internal asyncio function to check if NewBuffer.shutdown() was called

#### async observer\_main()

Internal asyncio method run in the background to handle websocket connections. A websocket server is started on the loopback device, providing IPC between the main process and an Observer-instance in a different process.

#### async observer\_server(websocket, path)

Internal asyncio method implementing the Observer IPC. As of now: for every message, the current write\_pointer is sent (index in the shared memory array containing the latest added element to the buffer). **CAUTION!** The buffer element *IS NOT LOCKED*, so it has to be copied as soon as possible in the Observer-process. For conventional signal analysis chains and PC setups, this should not be a constraint. But it is very much possible for the data seen by the Observer instance to be corrupted (especially with non ideal buffer configurations and/or heavily loaded PC systems).

## "Observer"-instances MUST NOT rely on data integrity!!

#### pause()

Disable writing to buffer (paused)

## reader\_queue\_listener(done\_queue, done\_heap)

Internal method run in a background thread (one for each reader group). It handles dispatching free ring buffer slots :param done\_queue: the multiprocessing.queue created in new\_reader\_group() :param done\_heap: the heap created in new\_reader\_group()

#### resume()

(Re)enable writing to buffer (resume)

#### shutdown()

Shut down the buffer, closing all backgorund threads, terminating all processes associated with it (all processes using a Reader, Writer or Observer instance to access this buffer) and releasing the shared memory.

A 'trickel down' approach is used to have as few buffer elements as possible unprocessed. This may not work correctly with more complex signal analysis chains. So always make sure to shut down the buffers in data flow order (start with first element of the chain, the buffer closest to the signal source).

**CAUTION!** If there are loops in the signal analysis chain, this method may end in an infinite loop!

## writer\_queue\_listener()

Internal method run in a background thread. It takes the index (a 'pointer' in the array) of the 'ready to process' buffer element from the 'filled'-queue and distributes it to every reader groups 'todo'-queue

## class mimocorb.mimo\_buffer.Observer(setup\_dict)

Class to read select elements from a buffer.

The buffer may be created, filled and read by different processes. Buffer elements are structured NumPy arrays, the returned array won't change until the next Observer.get() call, *not* blocking the buffer slot for the time beeing. Interfaces with the buffer manager via web socket (better error resilience compared to multiprocessing. SimpleQueue)

## **async check\_active\_state()** → None

Internal asyncio function to check if NewBuffer.shutdown() was called in the main process

#### async establish\_connection() $\rightarrow$ None

Internal asyncio function establishing the websocket connection with the buffer manager in the main process (used for IPC)

## $event_{loop}$ \_executor(loop: AbstractEventLoop) $\rightarrow$ None

Internal function executing the event loop for the websocket connection in a different thread.

#### get()

Get a copy of the latest element added to the buffer by a Writer process.

#### Returns

One element (structured numpy.ndarray) from the buffer as specified in the dtype of the  ${\tt NewBuffer()}\mbox{-}object.$ 

#### Return type

numpy.ndarray

#### async get\_new\_index() $\rightarrow$ None

Internal asyncio function to query the index of the latest buffer slot from the buffer manager

## class mimocorb.mimo\_buffer.Reader(setup\_dict)

Class to read elements from a buffer.

The buffer may be created, filled and read by different processes. Buffer elements are structured NumPy arrays and strictly **read-only**, the returned array won't change until the next Reader.get() call, blocking the buffer slot for the time beeing. So a program design quickly processing the buffer content and calling Reader.get() again as soon as possible is highly advised.

#### get()

## Get a new element from the buffer, marking the last element obtained by calling

this function as "processing is done". No memory views of old elements may be accessed after calling this function (memory might change, be corrupted or be inconsistent). This function blocks if there are no new elements in the buffer.

#### **Raises**

**SystemExit** – If the shutdown()-method of the NewBuffer object was called, a SystemExit is raised, terminating the process this Reader-object was created in.

#### Returns

One element (structured numpy.ndarray) from the buffer as specified in the dtype of the NewBuffer()-object. All returned elements have not yet been processed by a process of this *reader group*.

## **Return type**

numpy.ndarray

#### get\_metadata()

Get the metadata corresponding to the latest element obtained by calling the Reader.get()-method.

#### Returns

## Returned is a 3-tuple with (counter, timestamp, deadtime)

of the latest element obtained from the buffer. The content of these variables is filled by the Writer-process (so may be changed), but convention is:

- counter (int): a unique, 0 based, consecutive integer referencing this element
- timestamp (float): the UTC timestamp
- deadtime (float): In a live-data environment, the dead time of the first writer in the analyses chain. This is meant to be the fraction of dead time to active data capturing time (so 0.0 = no dead time whatsoever; 0.99 = only 1% of the time between this and the last element was spent with active data capturing)

#### Return type

tuple

class mimocorb.mimo\_buffer.Writer(setup dict)

Class to write elements into a buffer.

The buffer may be created, filled and read by different processes. Buffer elements are structured NumPy arrays and may only be written to until Writer.process\_buffer() is called or Writer.get\_new\_buffer() has been called again. The buffer slot is blocked while writes to the NumPy array are permitted, so a program design quickly writing the buffer content and calling Writer.process\_buffer() or Writer.get\_new\_buffer() again as soon as possible is highly advised.

### get\_new\_buffer()

## Get a new free spot in the buffer, marking the last element obtained by calling

this function as "ready to be processed". No memory views of old elements may be accessed after calling this function. This function blocks if there are no free spots in the buffer, always returning a valid NumPy array to be written to.

#### Raises

**SystemExit** – If the shutdown()-method of the NewBuffer object was called, a SystemExit is raised, terminating the process this Writer-object was created in.

#### Returns

One free buffer slot (structured numpy.ndarray) as specified in the dtype of the NewBuffer()-object. Free elements may contain older data, but this can be safely overwritten

#### **Return type**

numpy.ndarray

## process\_buffer()

Mark the current element as "ready to be processed". The content of the array MUST NOT be changed after calling this function. If there is no current element, nothing happens. As the ring buffer slot is blocked while writing to the NumPy array obtained by calling Writer.get\_new\_buffer() is allowed, it is highly advised to call Writer.process\_buffer() as soon as possible to unblock the the ring buffer.

#### Returns

Nothing

#### set\_metadata(counter, timestamp, deadtime)

Set the metadata corresponding to the current buffer element. If there is no current buffer element (because process\_buffer() has been called or get\_new\_buffer() has not been called yet), nothing happens. Copying metadata from a Reader to a Writer object (called source and sink) can be done with:

sink.set\_metadata(\*source.get\_metadata())

#### **Parameters**

- **counter** (*integer* (*np.longlong*)) a unique, 0 based, consecutive integer referencing this element
- timestamp (float (np.float64)) the UTC timestamp
- **deadtime** (*float* (*np.float64*)) In a live-data environment, the dead time of the first writer in the analyses chain. This is meant to be the fraction of dead time to active data capturing time (so 0.0 = no dead time whatsoever; 0.99 = only 1% of the time between this and the last element was spent with active data capturing)

Collection of classes to set-up, manage and access ringbuffers and associated access funtions

Read data from input buffer, filter data and write to output buffer(s)

#### Args:

- buffer configurations (only one source and severals sinks, no observers!)
- function ufunc() must return
  - None if data to be rejected,

- int if only raw data to be copied to sink[0]
- list of parameterized data to be copied to sinks[]

Action:

store accepted data in buffers

**class** mimocorb.buffer\_control.**BufferToParquetfile**(source\_list=None, observe\_list=None, config\_dict=None, \*\*rb\_info)

Save data a set of parquet-files packed as a tar archive

Save data to file in csy-format

 $\textbf{class} \ \ \texttt{mimocorb.buffer\_control.0bserverData} (\textit{observe\_list=None}, \textit{config\_dict=None}, **rb\_\textit{info})$ 

Deliver data from buffer to an observer process

**class** mimocorb.buffer\_control.**SourceToBuffer**(*sink\_list=None*, *observe\_list=None*, *config\_dict=None*, *ufunc=None*, \*\*rb info)

Read data from source (e.g. file, simulation, Picoscope etc.) and put data in mimo\_buffer.

 $\textbf{class} \ \texttt{mimocorb.buffer\_control}. \textbf{buffer\_control} (\textit{buffers\_dict}, \textit{functions\_dict}, \textit{output\_directory})$ 

Set-up and management ringbuffers and associated sub-processes

Class methods:

- setup buffers()
- setup\_workers()
- start\_workers()
- pause()
- resume()
- shutdown()

#### static get\_config(config\_file)

## Args:

config\_file: defined in main\_setup file (yaml) with fixed name key config\_file

Returns: yaml configuration file content (dict)

static import\_function(module\_path, function\_name)

Import a named object defined in a config yaml file from a module.

#### **Parameters:**

module\_path (str): name of the python module containing the function/class function\_name (str): python function/class name

#### **Returns:**

(obj): function/method name callable as object

#### **Raises:**

ImportError: returns None

## pause()

Pause data acquisition

## resume()

re-enable data acquisition

## setup\_workers()

Set up all the (parallel) worker functions

## shutdown()

Delete buffers, stop processes by calling the shutdown()-Method of the buffer manager

## start\_workers()

start all of the (parallel) worker functions

**rb\_unittest:** application example for mimo\_buffer

This code may serve as a very basic starting point for own projects

Set-up: 2 ring buffers are defined:

- input Buffer RB\_1: 10 ch x 1024 slots (int32)
- output Buffer RB\_2: 10 ch x 2 slots/ch (float64)

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