

FACULDADE DE ENGENHARIA DA UNIVERSIDADE DO PORTO

# Refactoring-assisted migration of monoliths to microservices

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# Abstract

In the world of software development, the concept of microservices is gaining popularity. This architectural style has received a lot of attention in both business and academia, and converting a monolithic application into a microservice-based application has become a regular practice. Companies with limited resources struggle with migrating their already existing monolithic applications to microservices and software architects and developers frequently face challenges as a result of a lack of complete awareness of alternative migration methodologies making the refactoring process even harder. The goal of this study is to structurally analyse the state of the art in regards to the migration of monolithic applications to microservices architectural style, mainly how tools are helping architects, engineers, and developers in this migration and how automated they are. To address this challenge, a systematic literature review was conducted, resulting in the identification of one hundred and six relevant publications. These publications were organised and grouped to provide a more comprehensive understanding of the current tools available for microservice migration. Finally, we present a high-level idea for an extensible tool that may aid architects, engineers, and developers during the refactoring process by addressing gaps in understanding of various migration tools and approaches, allowing for easy comparison between multiple options.

# Acknowledgments

Amet omnis quasi sit eius repellendus. Quia excepturi illum pariatur ipsa voluptas Quam eligendi vero amet rem aut fugiat optio Animi dicta nesciunt fuga esse magni id Magni labore impedit.

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# Chapter 1

## Introduction

Microservices is an architectural style that evolved from Service Oriented Architecture (SOA). Just like SOA, microservices are an alternative to monolithic architecture. The main contrasts are that, while monolithic applications are software systems with a single, integrated codebase that includes all necessary components, and features [22], microservices tend to be separated, and loosely coupled [30]. Also while monoliths tend to be easier to develop they may scale poorly and are harder to maintain when compared to microservices [29]. Microservices are increasingly being used in the development of modern applications, particularly in the areas of cloud computing and DevOps [35]. Many organizations, including large enterprises and startups, are adopting microservices as a way to build and deploy applications more quickly and efficiently [36]. Microservices are particularly well-suited for distributed, cloud-based environments, where they can take advantage of the flexibility and scalability of the cloud [14]. This type of architecture is already being applied in multiple well-known companies, like Uber, Netflix, eBay [37], and also being followed by the rest of the herd when compared to monolith architecture [39].

Refactoring from monoliths to microservices is a heavily debated topic both in the academic world and the industry. The main takes from this debate are that refactoring is difficult and time-consuming, especially for companies with limited resources who struggle with migrating their already existing monolithic applications to microservices. To help address this, some tools were developed that help with the refactor [47, 49, 50], but in today's world, where the amount of data and information is constantly increasing, it would be ideal to have a centralised location where architects, engineers, and developers can access and utilise all the tools that are currently available as well as those that will be developed in the future. Unfortunately, at the moment, no tool that offers multiple options for migrations with different possibilities exists.

The goal of this study is to structurally analyse the state of the art in regards to the migration of monolithic applications to microservices architectural style, mainly how tools are helping architects, engineers and developers in this migration, and how automated they are. Furthermore, in the following thesis, the purpose is to develop a tool which aims to aggregate existing tools into a single platform, as well as provide the means to extend and incorporate new tools. This tool will offer a convenient and comprehensive way to access and use a variety of tools that help the

refactor from monoliths to microservices as well as provide them with a perspective on several migration proposals, allowing for easily comparable and different combinations options.

To achieve this, the guidelines presented by Kitchenham and Charters [24] were followed while performing a systematic literature review. The research protocol was defined at first and then followed to ensure all results could be reproduced.

According to Kitchenham and Charters [24], research questions should be specified as they will direct the entire review methodology. The research questions formulated are as follows:

*RQ1. What tools already exist that aid in the migration process of monoliths to microservices?*

*RQ1.1. How do they take the monolith as input?*

*RQ1.2. How do they produce the microservice as output?*

*RQ1.3. Are they bound to a specific language?*

*RQ2. How can an aggregator of those tools help architects, engineers and developers in their microservice migration?*

## Chapter 2

# Background

To give readers a foundational understanding of microservices architecture and its key features, we will provide a brief overview of microservices and contrast them with traditional monolithic applications. This will allow readers to clearly understand the differences between the two architectures.

### 2.1 Monoliths

Monolithic applications are software systems that are designed as a single, self-contained unit [19]. In other words, monolithic applications are composed of a single, integrated codebase that includes all of the necessary components and features for the application to run [22]. This means that all of the different parts of the application, such as the user interface, business logic, and database access are all contained within a single codebase and are not modularized or separated into distinct components that are separately deployed and executed.

Monolithic architecture is a traditional approach to software development that has been widely used for many years [19]. It is generally characterized by a strong emphasis on simplicity and ease of development. However, monolithic applications can also be more difficult to maintain and update, as changes to one part of the codebase can have unintended consequences on other parts of the system. This can make it challenging to introduce new features or make changes to the application without significant testing and debugging [22].

Despite these challenges, monolithic applications are still widely used in many contexts due to their simplicity and ease of development. They are particularly well-suited for small to medium-sized applications that do not require a high level of modularity or separation of concerns.

### 2.2 Microservices

Microservices is an architectural style that structures an application as a collection of loosely coupled services [30]. This means that each microservice is a self-contained unit of functionality,

which communicates with other microservices through well-defined interfaces, typically using a lightweight messaging protocol such as HTTP [15].

One key benefit of this approach is that it allows for greater flexibility and scalability [29]. Because each microservice is independent and modular, it can be modified and deployed independently of the other services in the application. This can make it easier to make changes to the system, as it is not necessary to redeploy the entire application every time a change is made. In addition, the modular nature of microservices allows for easier scaling, as individual services can be scaled up or down as needed to meet changing demand [15, 29, 30].

Another advantage of microservices is that they can be developed and maintained by small, autonomous teams [7]. This can be beneficial for organizations with a large codebase or a distributed development team, as it allows for more focused development and faster deployment of changes [28].

However, there are also challenges to consider when adopting a microservices architecture [16]. One challenge is the added complexity of managing a distributed system, as there may be a larger number of moving parts to monitor and troubleshoot [30]. In addition, the communication between microservices can add latency to the system, which may impact the performance of the overall application [16, 31].

Overall, microservices can be an effective way to structure an application, particularly for large, complex systems that require a high degree of flexibility and scalability [30]. However, it is important to carefully evaluate the trade-offs and consider whether the benefits of a microservices architecture are worth the added complexity [16].

## 2.3 Refactoring

Refactoring is the process of modifying the internal structure of an existing codebase without changing its external behaviour [3]. When migrating from a monolithic architecture to a microservices architecture, it may be necessary to refactor the existing codebase to break it into independent microservices. This can be a complex and time-consuming process, particularly for large, complex systems [29].

There are several factors to consider when refactoring an existing codebase for a microservices architecture [29]. One challenge is ensuring that the code is modular and loosely coupled so it can be developed and deployed independently as a microservice. This may require restructuring the code, introducing new abstractions and interfaces, and potentially even rewriting parts of the code.

Another challenge is preserving the application's existing functionality while making changes to the codebase. It is important to carefully plan and test the refactoring process to ensure that the application continues to work as expected after the changes are made.

Overall, refactoring an existing codebase for a microservices architecture can be a significant undertaking, and it is important to carefully evaluate the resources and time required to complete the process [29].

## Chapter 3

# Systematic Literature Review

A systematic literature review is a type of review that aims to identify, evaluate, and summarize the results of all studies that address a specific research question or topic [20, 23, 24]. It involves following a specific methodology to identify, analyse, and interpret all relevant evidence related to the research question being addressed. The purpose of a systematic literature review is to provide a comprehensive and up-to-date overview of the current state of knowledge on a specific research question or topic. It is a critical appraisal of the existing research and it can help identify gaps in the literature and inform future research directions [24].

As per Kitchenham and Charters guidelines [24], a systematic literature review (SLR) involves three phases: planning, conducting, and reporting. The planning phase involves establishing the review protocol based on the research questions and the need for the review. The conducting phase involves selecting primary studies and applying the criteria established in the review protocol to analyse them. Finally, the reporting phase involves the creation of the report. These guidelines were loosely followed in the development of this review.

### 3.1 Research Methodology

To address the research questions posed in Chapter 1, the appropriate research methods were utilised as means to properly investigate the current state of the art. To give guidance, Figure 3.1 shows a diagram of the review iterations that will be explained in the following sections.

#### 3.1.1 Data sources

To access relevant research and information in this field, it is advisable to search several databases that specialise in scientific literature. Table 3.1 presents a list of several such databases, including the ACM Digital Library, Science Direct, IEEE Xplore, Wiley, Springer Link, Engineering Village, and Google Scholar. These databases contain a wealth of knowledge and resources, including journal articles, conference proceedings, technical reports, and more, which can be useful for staying up to date on the latest developments in this field.

Figure 3.1: Review iterations

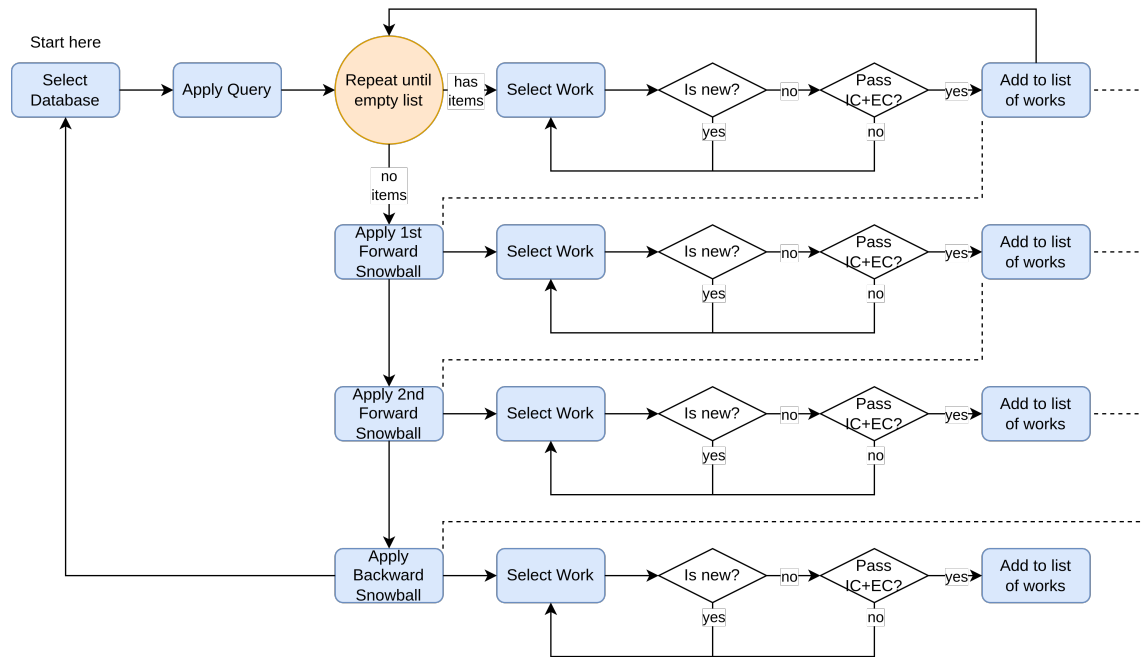


Table 3.1: Databases

ID	Search Engine	Website
DB.1	ACM Digital Library	<a href="https://dl.acm.org/">https://dl.acm.org/</a>
DB.2	IEEE Xplore	<a href="https://ieeexplore.ieee.org/">https://ieeexplore.ieee.org/</a>
DB.3	Springer Link	<a href="https://link.springer.com/">https://link.springer.com/</a>
DB.4	Wiley	<a href="https://onlinelibrary.wiley.com/">https://onlinelibrary.wiley.com/</a>
DB.5	Science Direct	<a href="https://www.sciencedirect.com/">https://www.sciencedirect.com/</a>
DB.6	Engineering Village	<a href="https://www.engineeringvillage.com/">https://www.engineeringvillage.com/</a>
DB.7	Google Scholar	<a href="https://scholar.google.com/">https://scholar.google.com/</a>

### 3.1.2 Search strategy

To ensure a thorough and comprehensive search for relevant publications in this field, we will utilise a breadth-first search approach. This method involves starting with a specific query string and selecting relevant publications from a given database. We will then use a technique called snowballing to expand the search and locate additional relevant publications. Snowballing involves searching for citations and publications that are related to the initially selected publications.

There are two types of snowballing that we will employ in this search: forward snowballing and backward snowballing. Forward snowballing involves searching for citations and publications using Google Scholar for the initially selected publications. This process can be repeated multiple times, with each iteration referred to as a level of snowballing. For this search, we will perform two levels of forward snowballing, in which we extract the references of the initially selected

publications (level one) and then select the references of those references (level two).

Backward snowballing involves searching for publications that have been cited by the initially selected publications. This technique can also be repeated multiple times, but for this search, we will only perform one level of backward snowballing. This will include all previous publications found during the forward snowballing step.

By utilising both forward and backward snowballing techniques, we aim to cast a wide net and identify as many relevant publications as possible.

After completing the search for relevant publications in a given database using the specified query string, we will move on to the next database. This approach is advantageous because it allows us to efficiently locate relevant publications while minimizing the number of duplicates that are analysed. By searching multiple databases and using snowballing techniques, we can identify a large number of relevant publications and eliminate the need to analyse many of them in subsequent iterations.

### 3.1.3 Query definition

To identify relevant publications for this research, we will utilise a range of keywords related to the topic of microservices. These keywords will include various phrases and terms used to describe microservices. As for the practices that may help identification of microservices, keywords that help this architectural refactoring should be included, such as “migration”, “refactor”, “identification”. It could also be useful to use “monolith” (and all its possible synonyms) to be the comparison against “microservices”, although this can result in some extra publications not related to microservices but instead related to “service-oriented architecture”. An expected outcome or conclusion of the publication could be included, “approach” or even “tool”. The main keywords that will be used are present in Table 3.2.

Table 3.2: Keywords

<b>Focus</b>	microservices
<b>Refactoring</b>	migration, decomposition, identify, refactor, evolve, discover, transition
<b>Target</b>	monolith
<b>Outcome</b>	approach, tool

#### First iteration

The first iteration of the review has the main purpose of determining how many tools exist that are able to solve this research question or, at the very least, help partially with it. In order to do this, one could not be limited to tools that are documented in academic databases therefore,

in addition to the databases mentioned in Table 3.1, [GitHub](#), [GitLab](#) and even [DuckDuckGo](#) were searched for, even though they do not represent a scientific search engine.

By using some keywords mentioned in Table 3.2 the following initial trial query was created:

*("microservice" OR "micro-service") AND ("migration" OR "identification") AND ("monolithic" OR "monolith") AND ("tool")*

## Second iteration

In the second iteration of the review process, the focus is on locating publications that describe alternative approaches for migrating from monolithic to microservices architectures that may not have been implemented in practice. This will allow an increased understanding of the current state of the art in this area, identify any gaps or areas where further research is needed, and determine what can be improved upon. This information will be useful in guiding the development of our tool and abstraction.

As this was the beginning of a new iteration of the review process, the results of the previous "test run" were not considered when extracting literature. Only after this iteration was completed did we incorporate the previously analysed literature and exclude it from further analysis to avoid duplication of effort. This allowed us to focus on identifying new and potentially relevant publications for our purposes.

Relying on the keywords identified in Table 3.2, the following query was created:

*(microservice\* OR micro?.service\*) AND (migrat\* OR identif\*) AND (monolith\*) AND (migrat\* NEAR/2 (process\* OR approach\*))*

In the event that the publications located through the snowballing approach are no longer adding significant value to the overall understanding of the topic, a new search query should be applied to the remaining databases. In some instances, the query produced more than two thousand results, which would have been impractical to analyse within the given timeframe. Therefore, we modified the query to focus only on the titles and abstracts of the publications. The revised query that should be used is:

*(microservice\* OR "micro-service") AND (migrat\* OR decompos\* OR identif\* OR refactor\* OR evolv\* OR extract\* OR discover\* OR transition\*)*

### 3.1.4 Selection Criteria

In order to filter the publications, the title and the abstract will be analysed and should mention at least one of:

IC1. A tool that automates the process of migration of monoliths to microservices.

IC2. Identification of microservices from monolith systems.



IC3. Analysis of tools or approaches for migrating from monoliths to microservices.

In cases of ambiguous abstracts, further inspection of the publication may be done. When this happens, and if relevant publications apply, conclusions should also be taken into account.

As for more practical approach for exclusion of publications, the criteria will be:

EC1. Publications that are not written in English or Portuguese.

EC2. For Portuguese publications, English must be the language used in the abstract.

EC3. Publication is not accessible.

## 3.2 Research Results

The query mentioned in the first iteration Section 3.1.3 was then applied to DB.1, DB.2 and DB.3 and after applying the selection criteria mentioned in Section 3.1.4, results were gathered and are presented in Table 3.3.

Table 3.3: Tool Search

Database	Total number of results	Extracted Results
DB.1	118	3
DB.2	4	0
DB.3	658	3

As for [GitHub](#), [GitLab](#) and [DuckDuckGo](#), the query would be essentially typed into their respective search engine and the results gathered as well as the query are presented in Table 3.4.

Through this search process, we can also trace the references used in these publications to determine if the tools described were based on previous work, but only implemented a specific approach. This will help us to understand the context and origins of these tools and how they fit into the broader landscape of research in this area.

As for the second iteration mentioned in Section 3.1.3, we then proceeded to apply the query and search the first database. As shown in Table 3.5, from four hundred and fifty results, only twelve passed the selection criteria defined in Section 3.1.4.

After reviewing the references of the identified papers and applying forward and backward snowballing techniques, we were able to locate additional related publications and expand the scope of our search as demonstrated in Table 3.6. This helped us to increase the number of relevant publications that we were able to consider in the next steps of the process.

In the case of Science Direct, as presented in Table 3.7, two queries were done. The main reason for this is that Science Direct is limited to 7 *OR* conditions, therefore it was necessary to

Table 3.4: Search Engine Tool Search

Search Engine	Query	Total number of results	Extracted Results
GitHub	<a href="https://github.com/search?q=monolith+to+microservice">https://github.com/search?q=monolith+to+microservice</a>	745	4
GitLab	<a href="https://gitlab.com/search?search=monolith%20to%20microservice">https://gitlab.com/search?search=monolith%20to%20microservice</a>	0	0
DuckDuckGo	<a href="https://duckduckgo.com/?q=monolith+to+microservices+tool">https://duckduckgo.com/?q=monolith+to+microservices+tool</a>	Uncountable	2

Table 3.5: DB.1 Results

Total number of results	Extracted New Results
450	12

Table 3.6: DB.1 Snowballing Results

1st Forward	2nd Forward	Backward
23	2	45

split it into two queries where it does not affect the general condition. In the specific case, the “*evolv*” keyword was moved into a separate query. Also, Science Direct automatically accepts truncations without using the “\*” char. The two queries are:

1. (*microservice OR "micro-service"*) AND ( *migrat OR decompos OR identif OR refactor OR extract OR discover OR transition*)
2. (*microservice OR "micro-service"*) AND (*evolv*)

After iterating over the results and reviewing the references of the newly found publications, we did not identify any additional publications that were worth including in the final list. This

Table 3.7: Remaining DB Results

Database	Total number of results	Extracted New Re-sults
DB.5 - 1st	21	1
DB.5 - 2nd	0	0
DB.4	9	3
DB.6	114	12
DB.3	20	0
DB.2	0	0

marked the end of our general search for relevant publications. We were able to find one hundred and six relevant publications.

### 3.3 Publications Grouping

Given the large number of publications that were identified as potential candidates for further analysis, it was necessary to further reduce the list to a more manageable size. To accomplish this, we employed a categorization approach in order to better organize and prioritize the publications for later selection. This allowed us to select and analyse the most relevant publications for our purposes. Through this process, we arrived at three main categories that were derived from RQ1 into which we could place each publication. This will be especially relevant when creating the new tool, by enhancing the possibility of integrating various tools that employ different approaches, in order to provide the developer with multiple perspectives, which may facilitate the ability to make comparisons and informed decisions.

- The **approach** used for identifying microservices from monoliths, Table 3.8.
  - *Data flow.*
  - *Dependency analysis.*
  - *Execution log.*
  - *etc.*
- The current **status** of the publication, Table 3.9.
  - It only explains the *method* at a high level.
  - Has implementation details with the *algorithm* on how to identify.
  - Already has a working *tool*.
- The **language** in which that it targets, Table 3.10.

- *Java.*
- *Cpp.*
- *C.*
- *Language Agnostic.*
- *etc.*

The publications that were selected are grouped in Tables 3.8, 3.9 and 3.10. It is important to note that the papers analysed in this study were classified into multiple categories, as opposed to a singular classification. To facilitate a more comprehensive understanding, the classified papers can be viewed on the online spreadsheet <sup>1</sup>.

Table 3.8: Approach grouping

<b>Approach</b>	<b>Amount</b>
Data flow	8
Control flow	7
Dynamic analysis	11
Semantic analysis	4
Problem frames	1
Model based	10
Static analysis	13
Dependency analysis	15
Multi objective	1
Feature analysis	7
Data analysis	6
REST	4
Graph based	2
Domain analysis	10
Neural analysis	2
Layer	1
Business analysis	3
Strangler pattern	1
Code change history	1
Contributor based	1
Logs analysis	1
Transactional contexts	1
Execution flow	1
Unknown	2

<sup>1</sup><https://bit.ly/publication-grouping>

Table 3.9: Status grouping

Status	Amount
Method	48
Algorithm	7
Tool	25
Unknown	1

Table 3.10: Language grouping

Language	Amount
Agnostic	59
Java	16
Ruby	1
Python	3
Unknown	4

### 3.4 Selected Work

Having evaluated most of the literature in regard to tools that help with the migration of monoliths to microservices, we need to select those that are most relevant for the purpose of this thesis. Given our focus on tools and their implementation, we will prioritise works that have already developed a tool and made it available for a free inspection and use. Therefore, if a publication does not provide a link to the tool or instructions for self-hosting or deploying it, it is not worth further consideration. This will help us to focus our efforts on publications that provide practical and useful information about tools and their implementation.

### 3.5 Publication Analysis

In the following sections, we will provide an analysis of the data collected during the knowledge extraction process from the selected publications. This analysis will allow us to address the primary research question (RQ1) and its sub-questions.

In the following sections, we will provide an analysis of the data collected during the knowledge extraction process from the selected publications. This analysis will allow us to address the primary research question (RQ1) and its sub-questions.

#### 3.5.1 Monolith as an input for the tool

The first aspect to be analysed is the input requirements for the tool. Despite the growing interest in microservice migration using automated tools, the field is still in its infancy, and the existing solutions tend to address specific issues rather than being versatile. As a result, the inputs

for these tools are often rigid and not easily adjustable. For example, raw source code and OpenAPI specification were possible ways tools use for identifying microservices from monoliths and will be further discussed.

### Source Code

One potential method for providing input to a tool is by utilising source code directly. Our research revealed that eighteen of the contributions analysed use source code as input for their tools with multiple using Spring Boot or other equivalent frameworks to help in understanding the overall code structure. One reason for the use of frameworks is that they provide building blocks for developers, meaning the core functionality of the framework is already in place and developers simply fill in the gaps allowing for the framework to apply inversion of control [18]. Since the behaviour of the framework is kept intact, the tool can then safely analyse the overall code and even apply the refactoring.

For instance, Freitas et al. [49] tool, MicroRefact<sup>2</sup>, utilises Java source code to extract structural information by relying on the Abstract Syntax Tree. This information is used to generate a list of candidate microservices. They then leverage Spring Boot decorators, particularly those utilising the Java Persistence API (JPA), to infer the entities of the database and their relationships. This process then results in the output of working Java code for each identified microservice.

### OpenAPI

In a microservices architecture, one of the common solutions for communication between different microservices is through HTTP calls. Therefore, it is reasonable to assume that identifying microservices within a monolithic application could be done by examining their REST endpoints since they will be exposed through HTTP protocols. This inspection of REST endpoints can also serve as a guide for decomposing the monolithic application into smaller, independent services. In fact, when the programming language was not a determining factor, OpenAPI was commonly used as the standard for distinguishing microservices from monolithic systems.

The tool proposed by Al-Debagy and Martinek [43] utilises the OpenAPI specification file to identify microservices within a monolithic application. The tool begins by extracting the operation names from the OpenAPI file, which are then input into the Affinity Propagation Algorithm [17]. This algorithm calculates the number of microservices by analyzing the messages exchanged between data points. Afterwards, clustering is performed by utilising the Silhouette coefficient [38] which results in the identification and grouping of similar microservices, helping in the decomposition of the monolithic system.

MsDecomposer<sup>3</sup> [60] uses a similar approach to identify microservices within a monolithic application. The first step is to calculate the similarity of candidate topics and response messages among the APIs. Then, it constructs a graph that represents the similarity between different APIs,

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<sup>2</sup><https://github.com/FranciscoFreitas45/MicroRefact>

<sup>3</sup><https://github.com/HduDBSI/MsDecomposer>

where the APIs are represented as nodes and the similarity score is the weight. Finally, it applies a graph-based clustering algorithm on the constructed graph, which helps to identify the candidate microservices.

This highlights that OpenAPI is a language-agnostic method for identifying the architecture of software systems.

### **Other**

Besides the input types that have been previously mentioned, there are other types that may not be able to create a new category but are still relevant to the microservices identification process. For example, using a specific system model as input [59] or utilising the history of changes to understand in addition to common artefacts like classes and methods [58].

### **3.5.2 Microservices as an output for the tool**

For microservices identification, it is important to understand how existing tools output their identified microservices in order to cater to the needs of users. The ideal outcome would be a fully functional code ready to be deployed, as it makes the migration process smoother, ensuring that the resulting microservices have all the necessary components and reducing the effort needed for manual migration. From the work that was analysed, tools that output a list of candidates and tools that output source code are more relevant to take into consideration and will be further discussed.

### **Candidates List**

A prevalent approach for identifying microservices in a monolithic system is by producing a candidate list. This approach is used in most of the publications and tools found, and it is a way of providing an organized and structured output of the microservices that can be derived from the monolithic application, in order to facilitate the migration process. The candidate list is commonly used as a guide or checklist for architects, engineers, and developers to assist in the actual partitioning of the application. It typically includes but is not limited to, data entities, interfaces, methods, and other relevant system components that are used as references to guide the migration process.

The tool proposed by Al-Debagy and Martinek [43] uses OpenAPI as input to identify microservices within a monolithic application. One of the limitations of their output is that it only provides a list of candidates, which may not include information about the relationships and interactions between each microservice. This can be an inconvenience when assigning different development teams or groups with the task of applying the migration, as they may not have enough information to understand how the microservices interact and depend on each other, making it harder to assign and split the workload accordingly.

There are other tools available that output a more informative result for microservices identification. Even though they still output candidate lists, where no migration is done yet, these

tools provide more detailed information about the relationships and connections between microservices, some of them even including visual feedback such as clusters and call context tree diagrams [50–52, 54]. This additional information can be very beneficial for architects and developers, as it makes it easier for them to understand the connections and dependencies between microservices, and make informed decisions about how to proceed with the migration process.

### Source Code

Generating the final output of the migration process as source code that is ready to be deployed would be the ideal outcome for most cases, as it would lessen the efforts needed to migrate, but it is not yet commonly used in current literature. Out of the tools that were analysed, only two of them employ this method. One of them is the work of Freitas et al. [49], and another is Mono2Micro [50–52, 54] that in an update explained in a YouTube video <sup>4</sup> they now output Java code.

#### 3.5.3 Tool target language

The majority of works utilised Java as their primary programming language for input [42, 44, 46, 48, 50–52, 55, 57, 62]. This may be attributed to the language’s strict syntax rules, which facilitate the examination of source code during the inspection process. Additionally, some of them utilised the Spring Boot framework in conjunction with Java [47, 49, 56–58, 61], which further enforces structure through the utilisation of decorators. In contrast, those who employed programming languages other than Java, such as Python, utilised corresponding frameworks like Django, to compensate for the language’s more lenient syntax constraints [45, 53].

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<sup>4</sup><https://youtu.be/NXn01fUoC8U>



## Chapter 4

# Tool Design

During our analysis described in Section 3.5, it was impossible to locate any relevant works that could address the second research question (RQ2). As a result, it will be necessary to tackle this question and strive to answer it.

As of the time of writing this paper, the design of the proposed tool is not yet finalised. We have only developed initial ideas about how we will approach the problem and have created a sketch of the proposed tool architecture, which is shown in Figure 4.1.

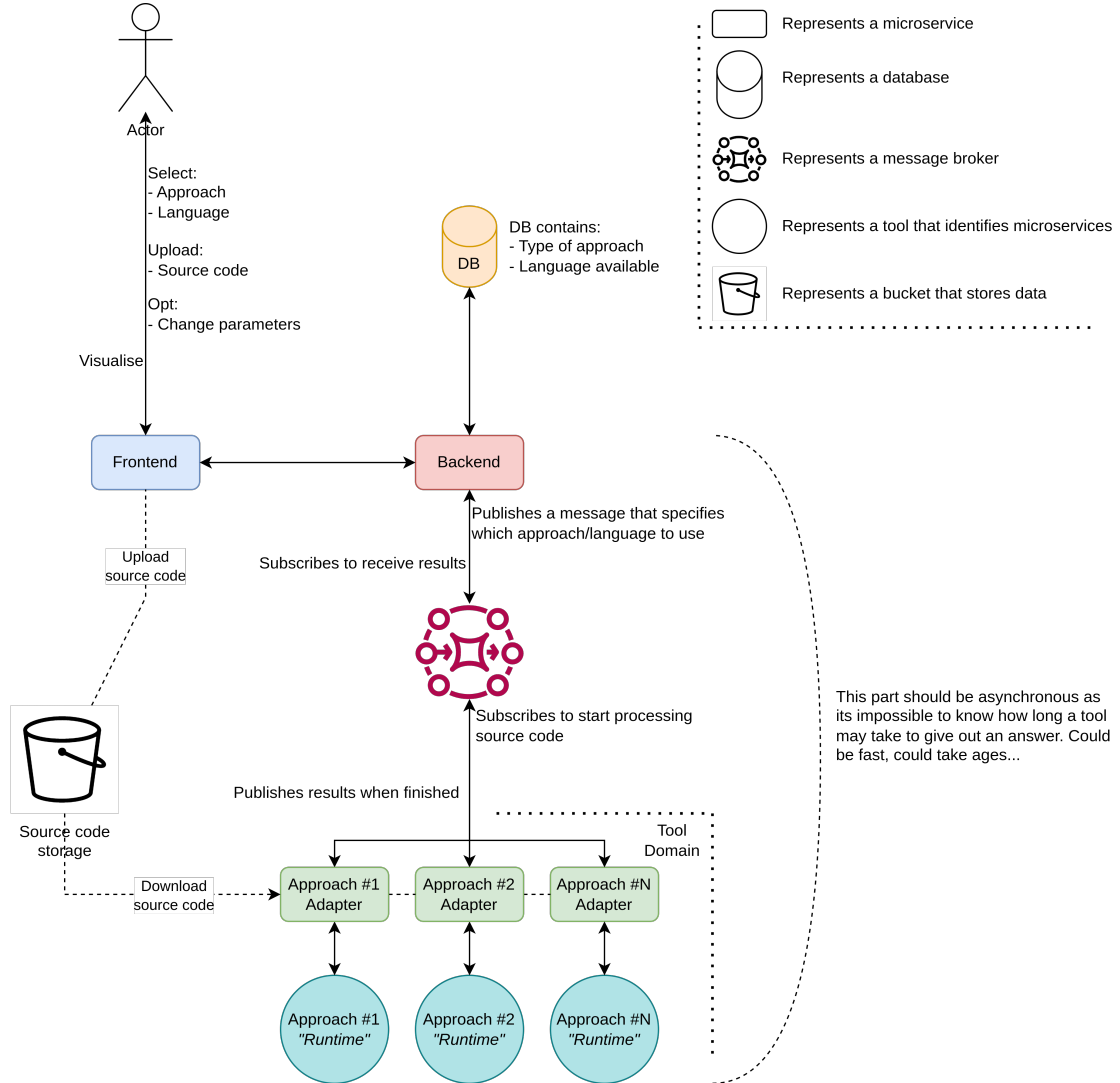
The proposed tool architecture consists of three distinct components. The frontend is responsible for the interface between the tool logic and the user. The tool domain contains adapters for each individual tool and the tool runtime, which receives the monolithic input and generates the candidate microservices. The backend serves as a bridge between the frontend, the database of available tools, and the tool domain.

The main role of the backend component in the proposed tool architecture is to initiate jobs and notify the frontend when a given job has been completed. A job consists of the process of identifying microservices from a given monolithic input, which is then completed when the output containing the identified microservices is produced. To avoid potential bottlenecks when multiple jobs are run concurrently, the backend does not perform these tasks directly but rather delegates them to the individual tools, therefore acting like a bridge.

In the tool domain, the purpose of the adapter is to provide a consistent interface for interacting with the tool runtime, regardless of the specific input and output formats that it uses. This is important because different tools may accept different inputs and produce different outputs, such as JSON or raw code. By using an adapter to translate between these formats, it becomes easier to process the inputs and outputs of the tool and integrate them with the overall tool logic. The tool runtime receives the monolithic input and generates the candidate microservices, while the adapter serves as a “middleman” between the tool runtime and the other components of the architecture.

One of the first decisions that we have considered is which target platform the tool should support. There are three major platforms that are relevant for this purpose: macOS, Windows, and Linux. Determining which of these platforms to focus on would require extensive surveying to ensure that the tool will be used by the intended audience. While market share data suggests

Figure 4.1: Candidate tool architecture



that Windows is the most widely used desktop operating system, followed by macOS and Linux [1], this may not necessarily reflect the operating systems used by the architects, engineers, and developers who are responsible for migration. Given the limited time frame, it is infeasible to conduct a thorough survey to determine the preferred operating system of this target audience. As a result, we have decided to adopt a more cross-platform solution. After evaluating the options, we have determined that the browser is the most suitable platform for this purpose.

Ideally, each component of the proposed tool architecture would be deployed as a microservice in a Docker container to facilitate scalability and cross-platform deployment. This is especially important for the tool runtime component, as it is uncertain at this point whether the tools will take advantage of multithreading. By using Docker, we can create a new container for each job, which would allow us to handle multiple jobs concurrently and avoid the need for users to wait for their jobs to complete. Of course, it is possible that certain tool limitations may prevent us from implementing this approach, but it is our goal to use microservices and Docker to the greatest

extent possible to ensure the flexibility and scalability of the tool.

Given that the tasks performed by the tool runtime component are asynchronous, the communication between the tool runtime and the backend cannot be based on synchronous HTTP request-response cycles. Instead, we will use a message queue to connect the two components, with the use of message brokers. The backend will send a message to initiate a job, which will be picked up by the appropriate adapter and executed by the tool runtime. Once the job is completed, the tool runtime will send another message to the backend to indicate that it is finished. This approach allows us to process multiple jobs concurrently and avoid potential bottlenecks in the communication between the tool runtime and the backend.

## 4.1 Requirements

Software requirements, also known as system specifications, refer to the explicit delineations of the functionalities a given system should offer, the scope of services it should provide, and the operational constraints it must adhere to. There are two types of requirements: Functional and Non-Functional, where the first focuses on what a system is supposed to do and the latter on how a system is supposed to be [41]. We used the MoSCoW method to prioritise each requirement, and it is an acronym from the first letter of each prioritisation category: Must have, Should have, Could have, Will not have [4].

We delimited eighteen functional and eight non-functional requirements as an initial Minimum Value Product (MVP), presented in Table 4.1 and Table 4.2, respectively. It is essential to highlight that no requirement fits the *"Will not have"* category because requirement elicitation was not conducted with a user but rather a brainstorming between the entities responsible for this work.

Table 4.1: Functional Requirements

<b>Requirement</b>	<b>Priority</b>
Web app allows for user selection of an approach.	Must have
Web app allows for user selection of a language.	Must have
Web app allows for user parameter tuning.	Must have
Web app allows for an user visualisation of the identified microservices.	Must have
Web app allows for user code upload (either source code or specification).	Must have
Web app allows comparrison between multiple identifications.	Must have
Web app allows for user session.	Should have
Web app allows for download of output.	Could have
Web app allows for upload of previous outpus.	Could have
Backend does not halt when an identification is running.	Must have
Backend starts the identification as soon as the input is uploaded.	Must have
Backend must serve the current existing approaches/languages/parameters to the user.	Must have
Backend must signal the user when the identification is completed.	Must have
Backend must signal the tool domain to start processing a code.	Must have
Tool domain has at least one approach.	Must have
Tool domain with each approach running separatly.	Should have
Tool domain with extensible adapter for other approaches.	Must have
Tool domain signals the backend when it finishes processing.	Must have

Table 4.2: Non-Functional Requirements

<b>Requirement</b>	<b>Priority</b>
Web app must be deployed individually.	Must have
Web app must be deployed using Docker.	Must have
Web app could be split into multiple microservices.	Could have
Backend must be deployed individually.	Must have
Backend must be deployed using Docker.	Must have
Backend could be split into multiple microservices.	Could have
Tool domain should be deployed individually.	Should have
Each tool implementation deployed individually.	Could have

## Chapter 5

# Solution Development

The system’s backend is implemented using Node.js, an open-source JavaScript runtime environment. Despite JavaScript’s inherent limitations, such as its dynamic nature, employing Node.js allowed us to rapidly prototype a solution, a crucial requirement in this work. To mitigate the drawbacks associated with JavaScript, TypeScript was chosen as the primary programming language, benefiting from its static typing and enhanced tooling capabilities.

### 5.1 Technologies

#### Node.js

Node.js is an open-source runtime environment that enables the execution of JavaScript code outside of the web browser. Initially developed in 2009 by Ryan Dahl as a response to the criticisms he had expressed towards the widely used Apache HTTP Server [8].

In contrast to traditional execution models, where code is executed sequentially and relies on thread mechanisms to prevent blocking, Node.js adopts a distinct approach. It capitalises on JavaScript’s event loop [11] paradigm to manage asynchronous I/O operations. This event-driven architecture enables Node.js to handle concurrent requests efficiently, maximising performance [12].

Moreover, the Node.js ecosystem offers modules via npm <sup>1</sup> that address various common challenges encountered in software development. These modules help with functionalities such as file and network access, manipulation of binary data, cryptography, and other general-purpose tasks.

Node.js has become widely adopted as a versatile tooling platform for developing a wide range of applications, even when those applications themselves do not run on Node.js. Notably, frameworks such as React <sup>2</sup>, Vue <sup>3</sup>, and Angular <sup>4</sup> utilise Node.js for tooling purposes, specifically for compiling their JavaScript framework code into browser-compatible JavaScript.

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<sup>1</sup><https://www.npmjs.com/>

<sup>2</sup><https://github.com/facebook/react>

<sup>3</sup><https://github.com/vuejs/core>

<sup>4</sup><https://github.com/angular/angular>

In the context of this work, Node.js is used for deploying both the backend and the underlying tool system, while is also used as a build tool for the frontend.

### TypeScript

TypeScript, an open-source language developed and maintained by Microsoft [40], is considered a superset of JavaScript that introduces static typing to the language [25]. The motivation behind TypeScript originates from the shortcomings experienced when developing large-scale applications with JavaScript. While JavaScript offers speed and ease of use, as projects grow in size and complexity, maintaining and updating it becomes increasingly challenging.

TypeScript brings some benefits, namely:

- **Compilation:** TypeScript code must be compiled to JavaScript before execution. This compilation step allows developers to identify errors during the process. If there is an invalid code, the compilation will fail, providing an opportunity to catch and rectify errors before runtime.
- **Strong and Static Typing:** TypeScript introduces a type system that builds upon JavaScript. While JavaScript permits variables to have any type, TypeScript enforces static typing, requiring developers to explicitly declare the type of variables, functions, methods, and many more. This approach promotes code clarity and helps prevent type-related errors by ensuring that variables are assigned appropriate types and used correctly throughout the codebase.

For this work, TypeScript is used transversally across all implementations. Anytime JavaScript is required, TypeScript is used instead.

### NestJS

Kamil Myśliwiec created NestJS to facilitate the development of efficient and scalable backend applications using Node.js. This framework supports JavaScript and TypeScript languages, allowing developers to choose the language that best suits their project requirements.

One of the distinguishing features of NestJS is its ability to use various programming paradigms, namely Functional Programming (FP), Object-Oriented Programming (OOP), and Functional Reactive Programming (FRP). By incorporating elements from these paradigms, NestJS provides developers with a toolkit to build applications using a combination of functional and object-oriented concepts that allow them to leverage the strengths of each approach, fostering code modularity, maintainability, and reusability [27].

NestJS is an opinionated framework that draws inspiration from Angular and is similar to the Spring framework for Java in the Node.js ecosystem. Like Spring, NestJS offers comprehensive documentation outlining solutions to common backend challenges. It accomplishes this by providing adapters and integrations with popular existing solutions. With the speed, ease of use and integrations it provides, NestJS is used for both the backend and the underlying tool implementation.

## Redis

Redis is an in-memory multi-model database famous for its sub-millisecond latency. It was created in 2009 by Salvatore Sanfilippo based on the idea that a cache can also be a durable data store. Around this time, apps like Twitter were growing exponentially and needed a way to deliver data to their end users faster than a relation database could handle.

Redis, which means Remote Dictionary Server [34], was adopted by some of the most trafficked sites in the world, because it changed the database game by creating a system in which data is always modified or read from the main computer memory as opposed to the much slower disk, but at the same time, it stores its data on the disk so it can be reconstructed as needed.

Every data point in the database is a key followed by a value that can be any of its many data structures, like lists, sets, streams, json, and others [32]. It can be used as a distributed key-value store, cache, and message broker [33]. This latter use case is exactly how Redis is used in the context of this application, by serving as the communication mechanism between the backend and the underlying tools. In the case of the implementation of the underlying tool, Redis is used as an internal event queue.

## Astro, React and Solid

One of the most difficult decisions of a frontend developer is choosing between the UI framework; this is primarily due to the difficulty in switching once a particular framework is adopted. Astro addresses this by adopting a framework-agnostic approach [2]. It enables the creation of components in popular frameworks such as React, Svelte, Vue, Solid, among others, which translates into being unrestricted by the technological specifics of each framework, thereby enabling the integration of components from various ecosystems to speed up the development process.

## Docker

Docker is a tool that can package software into containers that run reliably in any environment [9]. One way to package an application is with a Virtual Machine (VM), where the hardware is simulated and installed with the required Operating System (OS) and dependencies. It enables the ability to run multiple applications on the same infrastructure; however, because each VM runs alongside its OS, they tend to be bulky and slow. A Docker container is conceptually similar to a VM, but instead of virtualising hardware, containers only virtualise the OS, which results in faster and more efficient applications [10]. Figure 5.1 demonstrates this difference.

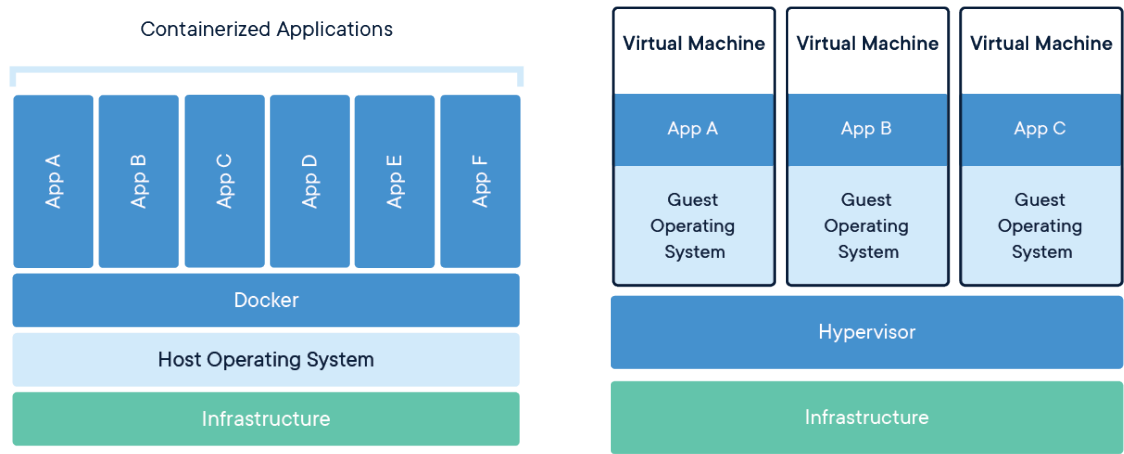
## 5.2 Database

Structured Query Language (SQL) is generally considered the most effective approach for representing structured data. In our system, there are five fundamental entities:



Figure 5.1: Container and Virtual Machines

Source: <https://www.docker.com/resources/what-container/>



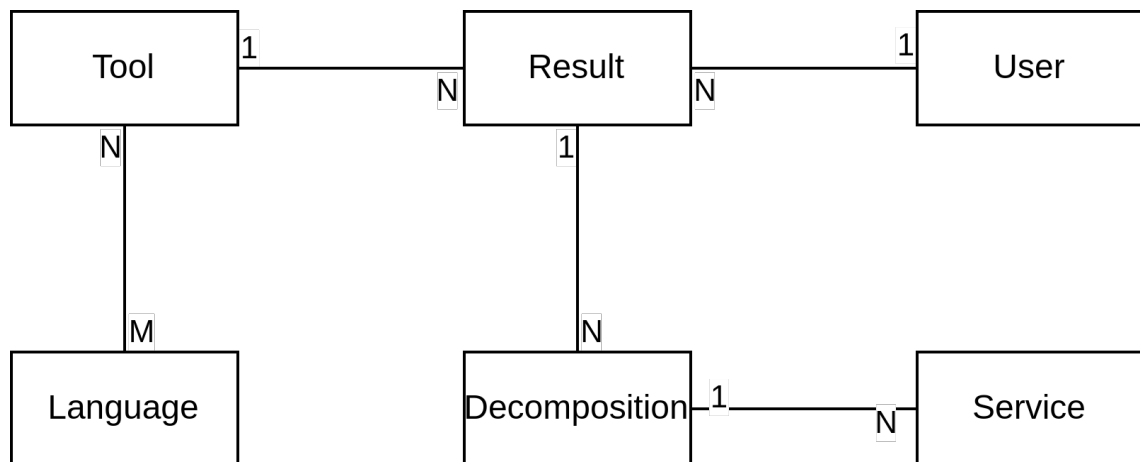
1. Tool - represents the underlying tool utilised during the decomposition process. It encompasses the specific software or framework for breaking down a system into smaller components.
2. Language - signifies the programming languages that can be targeted for decomposition. It encapsulates the various programming languages that the system supports for component extraction.
3. Result - denotes whether a decomposition job succeeded or encountered any issues.
4. Decomposition - encapsulates the actual decomposition and includes all the necessary data to represent it comprehensively.
5. Service - represents each microservice within the system.

The relationships between these entities are illustrated in Figure 5.2, visually depicting their interconnections.

### 5.3 Backend

As stated in Section 5.1, the entire backend of the system is developed using the Node.js runtime, the TypeScript language, and the NestJS framework. Following the specifications outlined in Chapter 4, both the backend and the tool adapter components are monolithic applications. These components communicate through a message broker, utilising Redis as the underlying technology for message queuing and delivery. This messaging system enables seamless interaction and data exchange between the backend and the tool adapter, facilitating the flow of information within the system's infrastructure.

Figure 5.2: Database Model



### 5.3.1 API Design

The backend architecture follows the principles of the REST (Representational State Transfer) style and utilises JSON (JavaScript Object Notation) as the format for data transportation. The implemented RESTful API adheres to the guidelines of Level 2 in the Richardson Maturity Model [13], which signifies a high level of API maturity and conformity to REST principles.

Table 5.1 provides a comprehensive depiction of the available endpoints within the backend. These endpoints represent the various functionalities and operations that can be performed through the API, allowing clients to interact with the backend system and access its resources. By conforming to Level 2 of the Richardson Maturity Model, the backend ensures standardised and efficient communication between clients and the server, enhancing interoperability and scalability.

Table 5.1: REST Endpoints

Endpoint/Method	GET	POST
/users	N/A	Creates a user
/tools	Retrieves all tools	N/A
/results	Retrieves all results	Creates a result
/results/:id	Retrieves a result that matches “:id”	N/A
/decompositions	Retrieves all decompositions	N/A
/decompositions/:id	Retrieves a decomposition that matches “:id”	N/A
/decompositions/:id/export	Exports a decomposition that matches “:id”	N/A

### 5.3.2 Authentication and Authorisation

The authentication and authorisation mechanisms implemented within the system serve multiple purposes. Firstly, it allows users to retrieve their previous decompositions and separate their own findings and knowledge from those of others. This ensures a personalised and enhanced user experience when returning to the application.

Authentication, as a standalone concept, is not explicitly implemented. Users can be created by making a POST request to the `/users` endpoint, which generates a new user without requiring any additional fields.

On the other hand, authorisation is enforced for specific paths within the API. The paths `/results` and their subpaths, as well as `/decompositions` and their subpaths, require a user id to be accessible. This mechanism ensures that each user's content remains segregated, preventing mixing or unauthorised access to other users' data.

To provide a seamless user experience, the API client (e.g., the frontend application described in Section 5.4) needs to store the user id locally. This enables smooth integration when the user returns to the application, allowing them to retrieve their personalised data and settings effortlessly.

Further implementation details of the frontend application can be found in Section 5.4.4, providing a comprehensive overview of how the frontend interacts with the backend API to deliver the desired functionality and user experience.

## 5.4 Frontend

The application frontend is developed using Astro as the foundational framework while primarily utilising React and Solid as the underlying UI frameworks. The frontend implementation encompasses three main pages: the tool selection page, which also serves as the homepage, the results page, and the comparison page.

1. Tool Selection Page/Homepage (Section 5.4.1) - serves as the initial interface where users can select the desired tool for the decomposition process. It provides a user-friendly environment for tool exploration and selection, enabling users to make informed decisions about the tools they wish to utilise.
2. Results Page (Section 5.4.2) - displays the outcomes of the decomposition process. It presents the findings and relevant information, showcasing the success or failure of each decomposition job. Users can review and analyse the results, as well as select the decompositions for further comparison.
3. Comparison Page (Section 5.4.3) - facilitates a side-by-side evaluation of different decomposition results or tool combinations. It allows users to compare and contrast the outcomes of various decompositions, aiding in the identification of patterns, trends, and performance differences among the different tools employed.

### 5.4.1 Tool Selection

The tool selection page necessitates the user to choose the underlying tool for the decomposition process. Currently, the only implemented tool available for selection is the one developed by Brito et al. [47].



Figures 5.3 to 5.5 visually depict the step-by-step procedure involved in selecting a tool, uploading a file, and waiting for the decomposition to be performed. These illustrations provide a clear representation of the user interface and the corresponding actions taken by the user during the tool selection process. Figure 5.3 showcases the tool selection interface, where the user can choose the desired tool. Figure 5.4 exhibits the file upload functionality, allowing the user to upload a file that contains the project to be decomposed. Finally, Figure 5.5 illustrates the waiting process as the system performs the decomposition, providing the user with a progress indicator or other relevant information.

Figure 5.3: Tool Selection

BS Tools Results f44ddc36-7b3b-45b2-a2b2-6aa62b503680

In this area, you select the project you want to decompose into microservices.

1 Tools >> 2 File >> 3 Uploading >> 4 Results

UNDERLYING TOOL	LANGUAGES
Miguel Brito	 <input type="checkbox"/>
Dongjin Yu	 <input type="checkbox"/>

Showing 1-5 of 2

< - 1 - >

Next

### 5.4.2 Results

The results page displays a comprehensive overview of all the results from the user's previous decompositions. This page allows the user to select up to five decompositions for comparison purposes, providing the flexibility to mix and match results from different runs of the tool.

Figures 5.6 and 5.7 provide a visual representation of the results page and showcase the user interface elements and features available on this page, such as the list of decompositions, selection checkboxes, and any other relevant information or metadata.

Figure 5.4: Project Upload

Figure 5.5: Awaiting Decomposition

### 5.4.3 Comparison

The comparison page serves as the core component of the solution, providing users with essential functionalities. On this page, users can:

1. Toggle the visibility of decompositions allowing users to focus on the ones they want to compare.
2. Visualise microservices generated by each decomposition, enabling users to gain insights into the composition and structure of the system components.
3. Focus on a microservice and check its constituent modules where users can delve into the details of each microservice and understand its internal components.
4. Compare modules across different decompositions, which helps users identify similarities, differences, and variations in the composition of the system components.

Figure 5.6: All Results

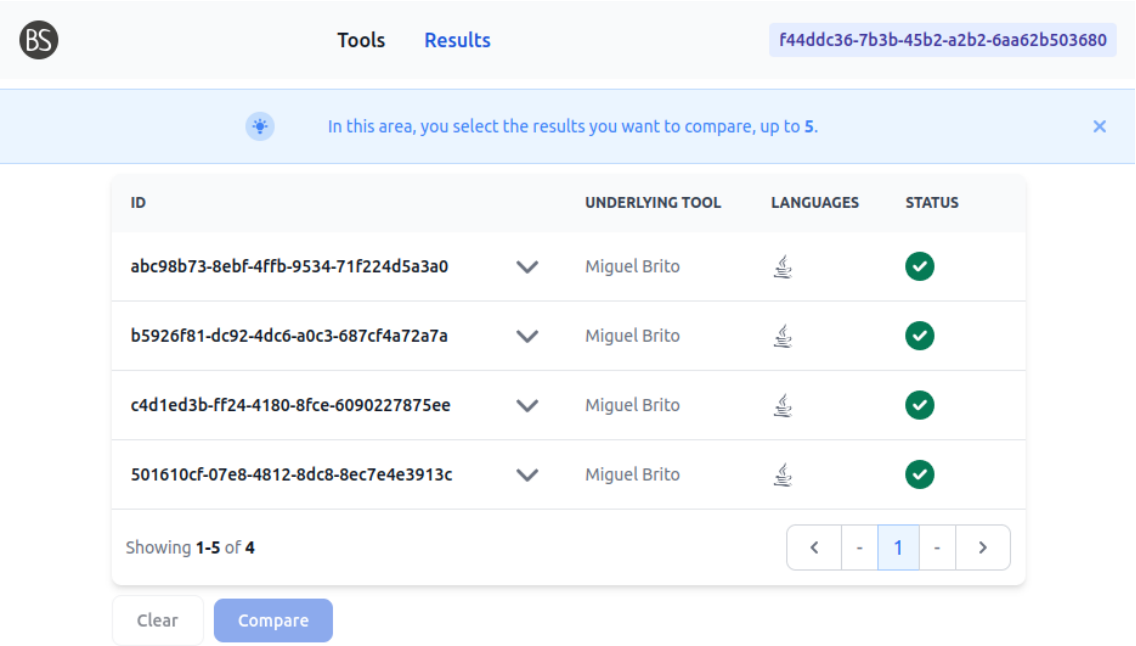
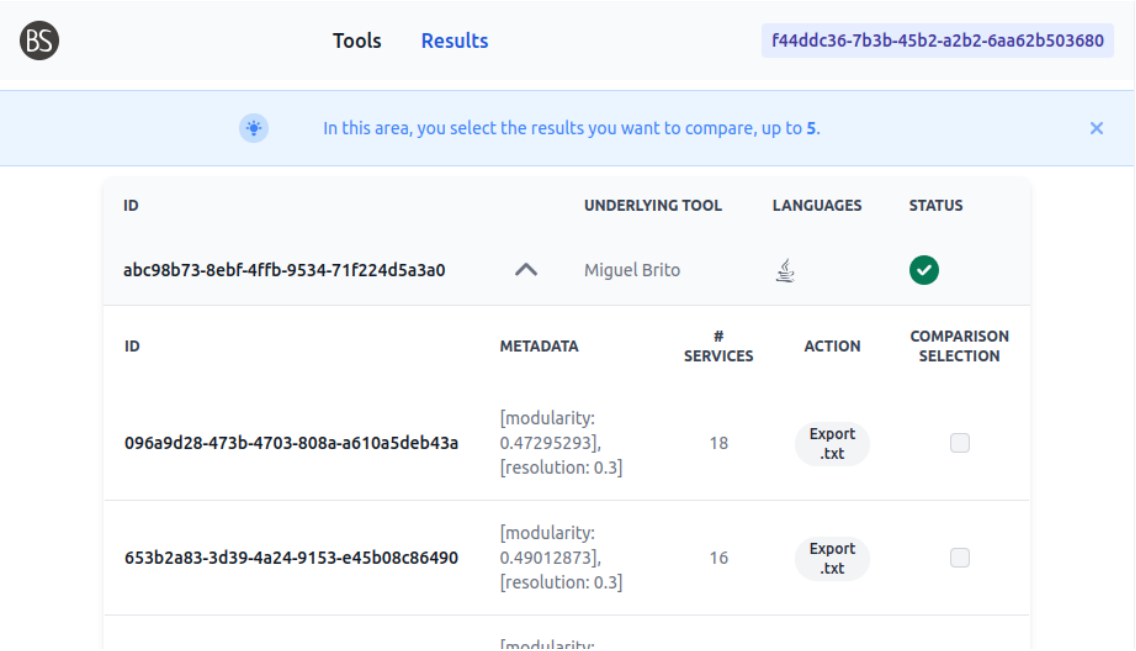


Figure 5.7: Expanded Result



During the evaluation of various tools for visualising the comparison view, four options were

considered: Graphviz <sup>5</sup>, D3.js <sup>6</sup>, Gephi <sup>7</sup>, and Chart.js <sup>8</sup>. Each tool was assessed based on four categories: Customizability, Ease of use, Charts available, and Real-time interactivity. A matrix analysis was conducted (as presented in Table 5.2) to assess the strengths and weaknesses of each tool.

Table 5.2: Visualisation Tool Comparison

Visualisation Tool	Customizability	Ease of use	Charts available	Real-time interactivity
Graphviz	Limited customization options, suitable for creating static diagrams and graphs.	Easy-to-use syntax, accessible to non-experts.	Suitable for creating static diagrams and graphs, with limited support for charts.	Limited interactivity options.
D3.js	Highly customizable, suitable for creating custom and interactive visualizations.	Steep learning curve, requires a good understanding of JavaScript and web technologies.	Suitable for creating a wide range of charts, including bar charts, line charts, scatterplots, and more.	Provides advanced interactivity options, such as brushing and zooming, making it suitable for real-time visualizations.
Gephi	Customizable with a range of features, but may have limited design options.	User-friendly interface, easy to learn for beginners.	Suitable for creating network graphs and visualizations.	Allows users to interact with graphs and manipulate layouts in real-time.
Chart.js	Customizable with various options for color schemes, font styles, and animation effects.	Simple and easy-to-use API, minimal setup required.	Supports common chart types such as line charts, bar charts, pie charts, and more.	Provides basic interactivity features such as hover effects and tooltips.

Considering the industry's preference for node graphs in presenting microservices [6] and after careful consideration of the pros and cons, D3.js was determined to be the most powerful and suitable visualisation tool for the specific use case.

In addition to having a powerful tool, the way information is presented and the visual elements used are crucial. As suggested by Moody moody2009physics, using different shapes, colours, strokes, and line dashes to depict entities and their relationships is an effective way of expressing variation between entities in visualisations.

Table 5.3 illustrates how each visual representation tool expresses different entities, highlighting the specific shapes and visual cues employed to convey information effectively.

<sup>5</sup><https://graphviz.org/>

<sup>6</sup><https://d3js.org/>

<sup>7</sup><https://gephi.org/>

<sup>8</sup><https://chartjs.org/>

Table 5.3: Visual Expressiveness

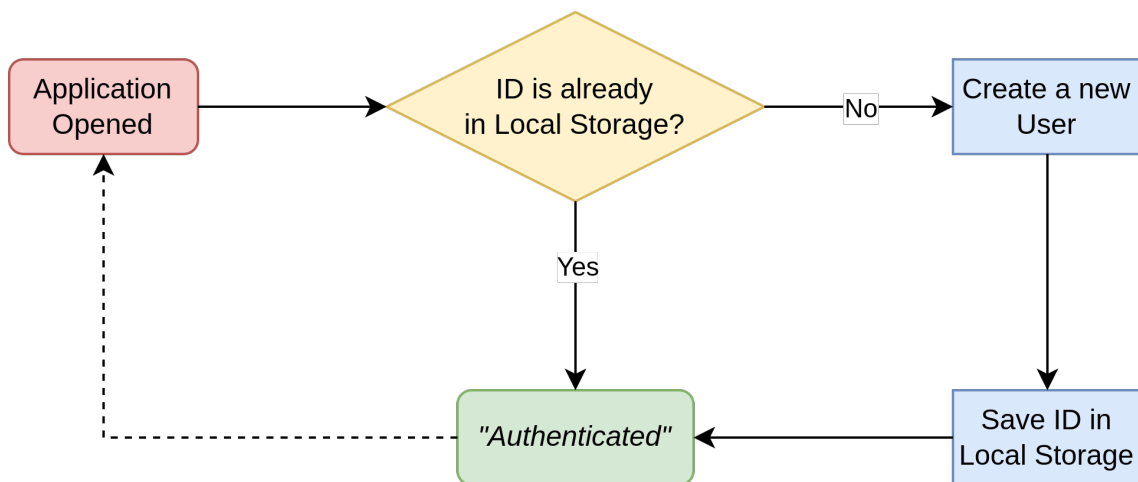
Entity	Shape	Size	Colour
Service	Circle	Variable according amount of modules	Based selected decomposition *
Module	Square	Static	Mix between selected decompositions
Relationships	Line	Static	Static

\* Each decomposition colour is random.

#### 5.4.4 Authentication and Authorisation

In order to enhance the user experience of the authentication and authorisation mechanism described in Section 5.3.2, the application utilises local storage to store the user id. This approach provides a seamless and persistent user experience by retaining the user's identification information across sessions. The flowchart depicting the logic of this process is presented in Figure 5.8.

Figure 5.8: User ID storage Flowchart



However, it is essential to note that users can manually remove their user id from local storage. This action carries the risk of losing access to all their previous decompositions. Therefore, users should exercise caution when manually deleting their user id, as it may result in losing important data and restrict their access to previous work within the application.

## 5.5 Deployment

The application incorporates a Continuous Integration and Delivery (CI/CD) process. Whenever a new push is made to the *master* branch of the Git repository, it triggers a GitHub Action that initiates the build process and deploys the applications. The hosting platform used for the



application is Railway. However, since the application is packaged with Docker, it can be hosted on any platform that supports Docker images, as explained in Section 5.1. This flexibility allows the application to be deployed to various hosting environments based on specific requirements and preferences.

## 5.6 Implemented requirements

From the comprehensive enumeration of requirements delineated in Tables 4.1 and 4.2, it should be noted that their implementation still needs to be fully realised. This limitation in the embodiment of all stipulated requirements can primarily be attributed to the existing time constraints.

Table 5.4: Not Implemented Requirements

Requirement	Priority
Web app allows for download of output.	Could have *
Web app allows for upload of previous output.	Could have

*\* It is possible to export the decomposition, but the exportation content would need to be revised to work with the upload requirement.*

Table 5.4 contains requirements that primarily pertain to enhancing the quality of life for users, rather than directly impacting the core workflow of the application. These requirements were identified as non-essential to the fundamental operational processes of the application.

## Chapter 6

# Empirical Validation

Empirical validation is used to validate a developed application. Thus, we prepared an empirical study to confirm that it helps decompose monoliths. The study design is presented in Section 6.1, outlining the methodology and approach employed. Subsequently, Section 6.2 explains the execution of the study, providing details on how the validation process was carried out. Data analysis is the primary focus of Section 6.3, where the collected data from the empirical study is analysed and evaluated. Section 6.4 interprets the data analysis, offering conclusions, interpretations, and implications from the study's findings.

### 6.1 Design

As mentioned in Chapter 4, we developed an application to address RQ2 stated in Chapter 1. It is important to note that the validation of such a tool is not solely based on the quality of the microservices decomposition itself, as this responsibility lies with the underlying tools used for decomposition. Instead, the validation focuses on how effectively and efficiently the application aids architects, engineers, and developers in their migration processes.

The study conducted aims to evaluate the effectiveness and efficiency of users utilising the application in performing decomposition tasks. Once participants complete the task, they are requested to fill out a questionnaire based on the System Usability Scale (SUS) [5] and Raw Task Load Index (RAW-TLX) [21].

The target audience for this study is software developers, engineers, and architects at various levels of expertise. We created a questionnaire (Appendix A) that serves as a structured tool for collecting data and participant feedback, aiding in evaluating and analysing the application's usability and task load.

#### 6.1.1 Subjects and Objects

In the study, the subjects refer to the individuals who are the target participants for evaluation. In contrast, the objects refer to the tasks or activities the participants perform during the study.

For the subjects, the study aims to target a broad demographic of participants. The population includes senior-level developers, engineers, and architects who can assess the viability of the application in their migration processes and more junior-level users looking into a more accessible entry point for monolith decompositions. By including participants from both ends of the experience spectrum, the study can gather insights and feedback from a diverse range of users.

As for the objects, the subjects are required to complete a monolith decomposition task. The specific tasks are consistent across all participants, but the monolith projects targeted for decomposition differ. Three projects are considered, one serving as a tutorial or instructional example, while the other two are reserved for the participants to apply the application to their respective tasks. The choice of projects varies regarding the number of classes and complexity, providing a heterogeneous study environment.

### 6.1.2 Instrumentation

As mentioned in Section 6.1.1, three specific projects have been selected to be used in this study. The tutorial project, designated as YarkAdminMS<sup>1</sup>, consists of sixty-nine classes [47]. Task number one utilises a Warehouse System<sup>2</sup> project with a total of seventy-nine classes [47], while task number two is based on a Petclinic System<sup>3</sup> project with forty classes. All of these projects are implemented in Java since, currently, the application supports Java as the primary language for decomposition. It is worth noting that the projects used in the study were forked into the author's GitHub account to ensure permanent accessibility and availability for the study.

### 6.1.3 Pre Study

The purpose of an empirical study is to ensure that the study accurately reflects the functionality and performance of the application. It is crucial to minimise any potential issues arising from the study design or tasks that could affect participants' responses, ensuring that their feedback reflects the application's performance.

We employed an iterative approach of conducting mock studies with live support. Participants' experiences and difficulties were carefully observed and noted during these mock studies. Exactly two mock studies were conducted.

Based on the feedback received and the observations made during the mock studies, we made improvements and adjustments to the study design and tasks. The aim was to address and rectify any issues identified during the mock runs, ensuring that the final study provided participants with a smoother and more authentic experience.

To mitigate bias and prevent participants from being influenced by prior knowledge or experience with the study, individuals who participated in the mock runs were automatically excluded from the final study, helping to maintain the integrity of the study results by ensuring that the responses obtained are unbiased and representative of the application's performance.

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<sup>1</sup><https://github.com/Guergeiro/Yark-AdminMS>

<sup>2</sup><https://github.com/Guergeiro/Warehouse-system>

<sup>3</sup><https://github.com/spring-projects/spring-petclinic>

### 6.1.4 Data Collection

The study is divided into eight parts. The questionnaire presented in appendix TODO contains the following sections:

1. Small video <sup>4</sup> describing what microservices are.
2. Background questionnaire about age, years of industry experience, etc. TODO appendix
3. A tutorial about the application in video <sup>5</sup> format.
4. The task section where users are required to take note of start and end time. TODO: appendix
5. A section where users should paste what they think is the best decomposition for the given task, including a video <sup>6</sup> on how to fill it.
6. System Usability Scale. TODO: appendix
7. NASA Task Load Index. TODO: appendix
8. An optional section where general feedback is appreciated. TODO: appendix

## 6.2 Execution

The study was disseminated among professional colleagues, academic peers, and within the open-source communities to ensure diverse participants. The optimisation described in Section 6.1.3 allowed for asynchronous participation, making it more convenient for participants to engage in the study. Nevertheless, real-time assistance was provisioned in case of issues arising.

Participants were required to validate their knowledge of microservices. Initially, those with limited prior knowledge could watch a small video to provide a basic understanding of microservices concepts. Following this, participants completed a questionnaire that collected background information such as age, gender, industry and academic experience, educational level, and proficiency in programming languages.

A tutorial follows, demonstrating an example task and explaining the expected approach. Participants were then assigned their specific tasks and asked to replicate the steps demonstrated in the tutorial.

Afterwards, participants were asked to identify the microservice they believed to be the best for their assigned task. Additionally, participants filled out the System Usability Scale and Task Load Index questionnaires to assess the usability and perceived workload of the application.

Finally, an optional section was included where participants were encouraged to provide additional feedback on the tool, allowing them to share any additional thoughts, suggestions, or comments they had.

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<sup>4</sup><https://youtu.be/1TAcCNbJ7KE>

<sup>5</sup><https://youtu.be/WQAAU9vQddA>

<sup>6</sup><https://youtu.be/3SVlrkiFNmU>

## **6.3 Analysis**

In order to perform a quantitative analysis of the study, we collected the answers of 12 different people.

### **6.3.1 Subjects**

In order to perform a quantitative analysis of the study, we collected the answers of 12 different people.

### **6.3.2 System Usability Scale Scores**

In order to perform a quantitative analysis of the study, we collected the answers of 12 different people.

## **6.4 Interpretation**

### **6.4.1 Threats to validity**

The goal of the study was to show that it is better to use our application to perform these tasks than to not use it. Multiple validity threats exist, these were analysed and split into four categories as defined in TODO:citation: Internal validity, conclusion validity, construct validity and external validity.

#### **Conclusion validity**

The goal of the study was to show that it is better to use our application to perform these tasks than to not use it. Multiple validity threats exist, these were analysed and split into four categories as defined in TODO:citation: Internal validity, conclusion validity, construct validity and external validity.

## **6.5 Discussion**

## Chapter 7

# Conclusion

Microservices are becoming more popular in the development of new applications. Many businesses, both large and small, are using microservices to design and deliver applications more rapidly and efficiently [36]. Microservices are especially well-suited for distributed, cloud-based systems, where they may benefit from the cloud's flexibility and scalability [14].

We performed a literature review on the subject of refactoring architecture from monolithic applications to microservices for this study. A total of one hundred and six primary research contributions were chosen, categorised, and analysed using a clear research protocol in order to collect pertinent migration data. A tool's target programming languages, the processes it uses to convert monolithic inputs into microservices, and the output of these identified microservices are the subject of analysis in this study.

Tool input needs were examined first. Despite increased interest in microservice migration using automated tools, the topic is still young, and current solutions have strict inputs rather than being adaptable. Raw source code and OpenAPI were one method tools to identify microservices from monoliths.

As for how existing tools output their identified microservices, the outcome would ideally be fully functional code ready for deployment, as it would make the migration process smoother and ensure that the resulting microservices have all necessary components, thus reducing the effort needed for manual migration. From the research analysed, common outputs from microservices identification tools include a list of candidates and source code.

The proposed tool architecture consists of three distinct components. The frontend is responsible for the interface between the tool logic and the user. The backend serves as a bridge between the frontend, the database of available tools, and the tool domain. Each tool's adapter provides a consistent interface for interacting with the tool runtime. Ideally, each component of the proposed tool architecture would be deployed as a microservice in a Docker container to facilitate scalability and cross-platform deployment. This approach allows us to process multiple jobs concurrently and avoid potential bottlenecks in the communication between the tool runtime and the backend.

## **7.1 Future Work**

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