## USER GUIDE

# OPTICX (PRE-ALPHA VERSION)

Juan José Esteve-Paredes<sup>1</sup>

<sup>1</sup>Centro de Física de Materiales, Universidad del País Vasco (UPV/EHU), 20018 Donostia-San Sebastián, Spain

May 19, 2025

### Contents

1	Theoretical framework  Compilation  Examples of usage			3
2				3
3				3
	3.1	Evalua	ation of optical absorbance	3
		3.1.1	Input file example	3
		3.1.2	Description of the input file	4
		3.1.3	Running the calculation	4
		3.1.4	Additional notes	4
	3.2	Evalua	ation of shift conductivity	5
		3.2.1	Input file example	5
		3.2.2	Description of the input file	5
		3.2.3	Running the calculation	6
		3.2.4	Additional notes	6

Last iteration of this user guide: 19-05-2025.

#### 1 Theoretical framework

In a future version, we will briefly explain here the quantities that can be calculated with the code.

#### 2 Compilation

Unzip the opticx\_test\_19052025.zip file. Go into the generated folder, then do:

\$ make

The binary directory /bin should contain the opticx binary opticx.x. Jobs will be run from this directory.

#### 3 Examples of usage

As a general rule, an input file telling the program the relevant parameter for the calculation will be placed in the /bin directory. The alpha version package contains an example file in such directory, called input\_false.txt. The \_false denotes that only calculations in the independent particle approximation (IPA) can be performed in this test version. Even more, we will limit test to 2D systems.

Apart from the input file, we only need a 2Dmaterial\_tb.dat file to start running the code. These files will be placed in the /wannier90\_files\_input folder. Important note: in this test version, a modification is required to be done in the wannier90 file before running the code. Please erase the first line of the file, which contains the date of creation of the file and other information, and write instead the number of lines before the first line containing the Bravais coefficients n1 n2 n3 appears. The wannier90 files in the Atomelix.Databank already include in this modification, see them to visualize an example.

Below I provide a step-by-step guide to compute the frequency dependent optical absorbance and shift conductivity for a given wannierization. These are the only two quantities that can be evaluated in this version.

#### 3.1 Evaluation of optical absorbance

#### 3.1.1 Input file example

The test version includes a file called input\_false\_absorbance.txt with the following text inside:

```
# Periodic dimensions
2
# Wannier90_filename
GeS_wannier_04062024
# Xatu_interface
false
# Bandlist
-1 0 1 2
# Ncells
102
```

```
# Nfermi
20
# OME_sp
linear
# Response
absorbance
# Energy_variables
1 9 0.025 400
```

#### 3.1.2 Description of the input file

I will briefly describe every section:

- # Periodic dimensions: only 2 is allowed by now.
- # Wannier90\_filename: the filename part of the filename\_tb.dat file.
- # Xatu\_interface: only false is allowd by now.
- # Bandlist: bands taken into account for the optical calculation. Same meaning than in the XATU code.
- # Ncells: number of reciprocal space points per periodic calculation for the Brillouin Zone sampling. Same meaning than in the XATU code.
  - # Nfermi: number of total energy bands below the bandgap in the wannierized band structure.
- # OME\_sp: the acronym OME stands for "Optical matrix elements", while sp means "single-particle". This part controls the set of optical matrix elements that the code evaluates before computing the frequency-dependent optical response. The word linear means that only optical matrix elements relevant for linear-response quantities will be calculated, as we are interested in optical absorbance in this case (which is related to the linear conductivity).
  - # Response: the optical response that will be evaluated in this case.
- # Energy\_variables: this part controls the energy variables for the calculation. In order, the 4 numbers are:  $E_0$  (eV),  $E_f$  (eV),  $\eta$  (eV), and  $n_w$  number of frequency points. This is, we will sample the optical response in  $n_w$  points in the interval  $E \in (E_0, E_f)$ .

#### 3.1.3 Running the calculation

To run the calculation, do:

\$ ./bin/opticx input\_false\_absorbance.txt

Two files called sigma\_first\_sp\_real\_filename.dat and sigma\_first\_sp\_imag\_filename.dat will be generated with columns of numbers, containing the real and imaginary parts of the optical conductivity. Every column correspond to: E (eV),  $\sigma_{xx}$ ,  $\sigma_{xy}$ ,  $\sigma_{xz}$ ,  $\sigma_{yx}$ ,  $\sigma_{yy}$ ,  $\sigma_{yz}$ ,  $\sigma_{zx}$ ,  $\sigma_{zy}$ ,  $\sigma_{zz}$  (conductivity is in Hartree units).

#### 3.1.4 Additional notes

Finally, some additional notes:

**Note 1**: note that even though we use the absorbance keyword, this version of the code actually evaluates the optical conductivity.

Note 2: the parts # OME\_sp and # Response keywords can be used in several ways. First,

# OME\_sp
linear

## # Response absorbance

absorbance

evaluates the optical matrix elements (a file will be generated containing them) and the optical absorbance in the same run. These two tasks can be performed in separated runs. This is, one can also do

```
# OME_sp
linear
# Response
none
to only evaluate the optical matrix elements. In a subsequent run, one will set
# OME_sp
none
# Response
```

to evaluate the optical response.

#### 3.2 Evaluation of shift conductivity

#### 3.2.1 Input file example

The test version includes a file called input\_false\_shift.txt with the following text inside:

```
# Periodic dimensions
# Wannier90_filename
GeS_wannier_04062024
# Xatu_interface
false
# Bandlist
-1 0 1 2
# Ncells
102
# Nfermi
20
# OME_sp
nonlinear
# Response
shift_shiftvector
# Energy_variables
1 9 0.025 400
```

#### 3.2.2 Description of the input file

The only two sections that are different than in the absorbance example are:

# OME\_sp: In this case the word linear in the previous example is replaced nonlinear. Now more optical matrix elements are evaluated, which are needed for calculations related the second-order optical conductivity.

# Response: the optical response that will be evaluated in this case. We will use shift\_shiftvector, where the \_shiftvector part of the string denotes the method that will be used to evaluate the shift conductivity. In this test version, only the shift vector method is available.

#### 3.2.3 Running the calculation

To run the calculation, do:

\$ ./bin/opticx input\_false\_shift.txt

A file called shift\_shiftvector\_filename.dat will be generated with columns of numbers, containing the shift conductivity response. Every column corresponds to: E (eV),  $\sigma_{xxx}^{\text{sh}}$ ,  $\sigma_{xyy}^{\text{sh}}$ ,  $\sigma_{yyy}^{\text{sh}}$ ,  $\sigma_{yxx}^{\text{sh}}$ . Units are  $(\mu A/V^2) \cdot \text{nm}$ .

#### 3.2.4 Additional notes

Finally, some additional notes:

**Note 1**: note that the evaluation of nonlinear optical matrix elements will be much heavier than in the linear case.

Note 2: as in the linear response case, the parts # OME\_sp and # Response keywords can be used in several ways. First,

```
# OME_sp
nonlinear
# Response
shift_shiftvector
```

evaluates the set optical matrix elements relevant for nonlinear calculations (a file will be generated containing them) and the shift conductivity in the same run. These two tasks can be performed in separated runs. This is, one can also do

```
# OME_sp
nonlinear
# Response
none
```

to only evaluate the optical matrix elements. In a subsequent run, one will set

```
# OME_sp
none
# Response
shift_shiftvector
```

to evaluate the optical response.