

# SixTrack Physics Manual (Draft)

R. De. Maria, M. Fitterer, M. Fjellstrom

September 15, 2016

## Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Basic Principles and Hamiltonian formalism and notation</b>	<b>3</b>
<b>3</b>	<b>Beam-line elements tracking maps</b>	<b>5</b>
3.1	Drift . . . . .	6
3.2	Dipole . . . . .	7
3.2.1	Thick dipole . . . . .	7
3.2.2	Thin dipole . . . . .	8
3.2.3	Dipole Edge effects . . . . .	9
3.3	Quadrupole . . . . .	9
3.3.1	Thick quadrupole . . . . .	9
3.3.2	Thick skew quadrupole . . . . .	10
3.4	Combined function magnet . . . . .	11
3.4.1	Thin combined function magnet . . . . .	11
3.5	Thin Multipole . . . . .	12
3.6	RF-cavity . . . . .	12
3.7	Solenoid . . . . .	13
3.8	Beam-beam lens . . . . .	14
3.9	Beam-beam element . . . . .	15
3.9.1	Round beam . . . . .	15
3.9.2	Elliptical beam . . . . .	15
3.10	Hirata's beam-beam element . . . . .	16
3.11	RF multipole . . . . .	17
3.12	Phase-trombone, matrix element . . . . .	19
3.13	Wire . . . . .	19
3.14	Crab cavity . . . . .	20
3.15	AC-dipole . . . . .	20
3.16	Electron lens . . . . .	21
3.16.1	Hollow electron lens - uniform annular profile . . . . .	21
3.17	Misalignment . . . . .	22

<b>4</b>	<b>Optics calculations</b>	<b>22</b>
4.1	Diagonalisation of one-turn matrix . . . . .	23
4.2	Normalisation of eigenvectors . . . . .	24
4.3	Conversion to normalized coordinates . . . . .	25
4.4	Twiss parameters . . . . .	27
<b>5</b>	<b>Notes on tracking programs</b>	<b>29</b>
<b>6</b>	<b>Table of symbols</b>	<b>31</b>

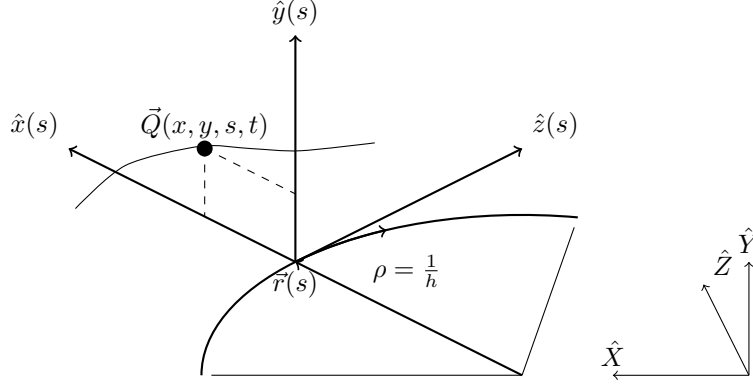


Figure 1: Moving reference frame  $(\hat{x}, \hat{y}, \hat{z})$  parametrized by  $s(t)$ . The trajectory of a particle  $Q$  can be described by the coordinates  $(x, y, s, t)$ .

## 1 Introduction

These notes give a short self-contained exposition of the physical assumptions and models implemented in SixTrack. Emphasis is given on the physical concepts rather than delving in to the details of the implementation. The content is meant to complement the SixTrack user guide (see [1]).

SixTrack is a 6D single particle symplectic tracking code used to compute the trajectories of individual relativistic charged particles in circular accelerators. It has been developed based on the 4D tracking code RaceTrack [2] by adding the third degree of freedom, introducing beam-beam forces and extending the pre- and post- processing capabilities.

The physical models are collected from the main references [3–9], which contain more details of the derivation of the maps.

## 2 Basic Principles and Hamiltonian formalism and notation

The speed, momentum, energy, rest mass, charge of a particle are indicated by  $v$ ,  $P$ ,  $E$ ,  $m$  and  $q$ , respectively. These quantities are related by the following equations:

$$v = \beta c \quad E^2 - P^2 c^2 = m^2 c^4 \quad E = \gamma m c^2 \quad Pc = \beta E \quad (1)$$

where  $\beta$  and  $\gamma$  are the relativistic factors.

In a curvilinear reference frame defined by a constant curvature  $h_x$  in the  $\hat{X}, \hat{Z}$  plane and parameterized by  $s$  (see Fig. 1), the position of the particle at a time  $t$  can be written as:

$$\vec{Q}(t) = \vec{r}(s) + x \hat{x}(s) + y \hat{y}(s), \quad (2)$$

and therefore identified by the coordinates  $s, x, y, t$  in the reference frame defined by  $\hat{x}(s)$  and  $\hat{y}(s)$ . In particle tracking,  $s$  is normally used as independent parameter and  $t$  as a coordinate.

The electromagnetic fields  $\mathbf{E}$  and  $\mathbf{B}$  can be derived in a curvilinear reference frame from the potentials  $V(x, y, s, t)$  and  $\mathbf{A}(x, y, s, t)$ , where

$$\mathbf{A}(x, y, s, t) = A_x(x, y, s, t)\hat{x}(s) + A_y(x, y, s, t)\hat{y}(s) + A_s(x, y, s, t)\hat{z}(s) \quad (3)$$

and for which:

$$\mathbf{E} = -\nabla V - \frac{\partial \mathbf{A}}{\partial t} = -\partial_x V \hat{x} - \partial_y V \hat{y} - \frac{1}{1+hx} \partial_s V \hat{z} - \partial_t \mathbf{A} \quad (4)$$

$$\mathbf{B} = \nabla \times \mathbf{A} = \left( \partial_y A_s - \frac{\partial_s A_y}{1+hx} \right) \hat{x} + \dots \quad (5)$$

$$\dots + \left( \frac{\partial_s A_x}{1+hx} - \frac{hA_s}{1+hx} - \partial_x A_s \right) \hat{y} + (\partial_x A_y - \partial_y A_x) \hat{z}. \quad (6)$$

In this reference frame the canonical momenta are:

$$P_x = m\gamma\dot{x} + qA_x, \quad P_y = m\gamma\dot{y} + qA_y, \quad P_s = m\gamma\dot{s}(1+hx)^2 + q(1+hx)A_s. \quad (7)$$

If  $s(t)$  is monotonically increasing, it is possible to derive the equations of motion using  $s$  as the independent parameter and  $t$  as a coordinate with  $-E$  as the conjugate momentum. Since in accelerators the orbits of the particles are often a perturbation of the reference trajectory followed by a particle with rest mass  $m_0$ , charge  $q_0$ , speed  $\beta_0 c$  and momentum  $P_0$ , one could use the following derived quantities that usually assume small values:

$$\Delta s = s - \beta_0 ct \quad c\Delta t = s/\beta_0 - ct \quad \sigma = s - \beta_0 ct \quad (8)$$

$$\delta = \frac{P - P_0}{P_0} \quad p_t = \frac{E - E_0}{P_0 c} \quad p_\sigma = \frac{E - E_0}{\beta_0 P_0 c}. \quad (9)$$

and rescale the momenta according to:

$$p_x = P_x/P_0 \quad p_y = P_y/P_0 \quad p_s = P_s/P_0 \quad (10)$$

$$a_x = qA_x/P_0 \quad a_y = qA_y/P_0 \quad a_s = qA_s/P_0. \quad (11)$$

The corresponding Hamiltonians, kept implicit for brevity, are:

$$H(x, p_x, y, p_y, \sigma, p_\sigma; s) = p_\sigma - p_s \quad (12)$$

$$H(x, p_x, y, p_y, c\Delta t, p_t; s) = \frac{p_t}{\beta_0} - p_s \quad (13)$$

$$H(x, p_x, y, p_y, \Delta s, \delta; s) = \delta - p_s, \quad (14)$$

where

$$p_s = (1 + hx) \left( \sqrt{(1 + \delta)^2 - (p_x - a_x)^2 - (p_y - a_y)^2} + a_s \right) \quad (15)$$

$$\frac{P}{P_0} = 1 + \delta = \sqrt{\left(\frac{E}{P_0 c}\right)^2 - \left(\frac{mc}{P_0}\right)^2} = \sqrt{p_t^2 + \frac{2p_t}{\beta_0} + \frac{1}{\beta_0^2} \left(1 - \frac{m^2}{m_0^2}\right) + 1} \quad (16)$$

$$\frac{E}{P_0 c} = \frac{1}{\beta_0} + p_t = \sqrt{\left(\frac{P}{P_0}\right)^2 + \left(\frac{mc}{P_0}\right)^2} = \sqrt{(1 + \delta)^2 + \left(\frac{m}{m_0}\right)^2 \left(\frac{1}{\beta_0 \gamma_0}\right)^2} \quad (17)$$

$$(1 + \delta)^2 = \beta_0^2 p_\sigma^2 + 2p_\sigma + 1 \quad \beta = \frac{Pc}{E} = \frac{1 + \delta}{1/\beta_0 + p_t} \quad (18)$$

$$(19)$$

In addition the following derivatives and approximations can be derived:

$$\delta \simeq p_\sigma - \frac{1}{\gamma_0^2} \cdot \frac{1}{2} p_\sigma^2 \quad \frac{d\delta}{dp_\sigma} = \frac{\beta_0}{\beta} \simeq 1 - \frac{p_\sigma}{\gamma_0^2} \quad \frac{d^2\delta}{dp_\sigma^2} = -\frac{1}{\gamma_0^2} \cdot \left(\frac{p_0}{p}\right)^3 \quad (20)$$

$$\delta \simeq \frac{p_t}{\beta_0} - \frac{1}{\beta_0^2 \gamma_0^2} \cdot \frac{1}{2} p_t^2 \quad \frac{d\delta}{dp_t} = \frac{1}{\beta} \simeq \frac{1}{\beta_0} - \frac{p_t}{\beta_0^2 \gamma_0^2} \quad \frac{d^2\delta}{dp_t^2} = -\frac{1}{\beta_0^2 \gamma_0^2} \cdot \left(\frac{p_0}{p}\right)^3 \quad (21)$$

### 3 Beam-line elements tracking maps

Each beam line elements is often characterized by a specific field configuration which determine the way the equation of motions are solved. The solutions of the equation of motion are typically explicit and derived by solving exactly the Hamilton equations for arbitrary initial conditions of an approximated Hamiltonian.

The following section illustrates the tracking maps used by SixTrack, labelled by the beam line elements that the maps approximately represent. The map is the solution of the equations of motion for a step  $L$  in the independent parameter  $s$  with a given set of initial conditions in the canonical coordinates. For brevity, when a coordinate is left unchanged by the map, the corresponding equation is omitted. High order integrators for single beam line elements can be built by combining simpler maps (e.g. thin kick and drifts).

The following maps use the canonical conjugate pairs  $(x, p_x)$ ,  $(y, p_y)$  and  $(\sigma, p_\sigma)$ . It should be noted that SixTrack compute  $x'$  and  $y'$  during tracking instead of the momentum coordinates  $p_x$  and  $p_y$  and  $(E, E_0)$ ,  $(P, P_0)$  instead of  $p_\sigma$ . The canonical variables are used instead for the generation of linear and higher order symplectic maps needed for action-angle variable transformations and Twiss parameter extraction.

### 3.1 Drift

A drift is a straight, field-free region ( $h_{x,y} = 0$ ,  $V = 0$  and  $\mathbf{A} = 0$ ). The exact and expanded Hamiltonian for a drift space are

$$H = p_\sigma - \sqrt{(1 + \delta)^2 - p_x^2 - p_y^2} \approx p_\sigma - \delta + \frac{1}{2} \frac{p_x^2 + p_y^2}{1 + \delta}. \quad (22)$$

With the help of the following definitions:

$$x_p = \frac{P_x}{P_0(1 + \delta)} \simeq \frac{p_x}{p_z} = x', \quad y_p = \frac{P_y}{P_0(1 + \delta)} \simeq \frac{p_y}{p_z} = y', \quad (23)$$

the map relative to the expanded Hamiltonian is (eq. 3.49 in [5])

$$x \rightarrow x + x_p L \quad y \rightarrow y + y_p L \quad (24)$$

$$c\Delta t \rightarrow c\Delta t + \frac{L}{\beta_0} - \frac{L}{\beta} \left( 1 + \frac{x_p^2 + y_p^2}{2} \right) \quad \Delta s \rightarrow \Delta s - \frac{x_p^2 + y_p^2}{2} \quad (25)$$

$$\sigma \rightarrow \sigma + L - \beta_0 \frac{L}{\beta} \left( 1 + \frac{x_p^2 + y_p^2}{2} \right). \quad (26)$$

With the additional definitions:

$$p_z = \frac{P_z}{P_0} \quad (27)$$

$$p_z = \sqrt{(1 + \delta)^2 - p_x^2 - p_y^2} = \sqrt{\beta_0^2 p_\sigma^2 + 2p_\sigma + 1 - p_x^2 - p_y^2}, \quad (28)$$

$$\beta_z = \beta \frac{P_z}{P} = \frac{p_z}{1 + \delta} = \frac{p_z}{1/\beta_0 + p_t} = \frac{p_z}{1/\beta_0 + \beta_0 p_\sigma}, \quad (29)$$

the map of the exact Hamiltonian (eq. A.6a in [6]) is

$$x \rightarrow x + x' L \quad y \rightarrow y + y' L \quad (30)$$

$$c\Delta t \rightarrow c\Delta t + \left( \frac{1}{\beta_0} - \frac{1}{\beta_z} \right) \cdot L \quad \Delta s \rightarrow \Delta s + \frac{p_z - 1 - \delta}{p_z} \cdot L \quad (31)$$

$$\sigma \rightarrow \sigma + \left( 1 - \frac{\beta_0}{\beta_z} \right) \cdot L \quad \sigma \rightarrow \sigma + \frac{p_z - 1 - \beta_0^2 p_\sigma}{p_z} \cdot L. \quad (32)$$

It is possible to define a “polar” drift that has the effect of rotating the reference frame [10] for instance in the  $x$ - $z$  plane:

$$p_x \rightarrow p_x \cos \theta + p_z \sin \theta \quad p_z \rightarrow -p_x \sin \theta + p_z \cos \theta \quad (33)$$

$$z = -x \sin \theta \quad x' = p_x/p_z \quad y' = p_y/p_z \quad (34)$$

$$x \rightarrow x \cos \theta - x' z \quad y \rightarrow y - x' z \quad (35)$$

$$\Delta s \rightarrow \Delta s + \frac{1 + \delta}{p_z} z \quad \sigma \rightarrow \sigma + \frac{\beta_0}{\beta_z} z. \quad (36)$$

where  $\theta$  is the angle bringing the new  $\hat{x}$  towards the old  $\hat{z}$ . The map can be also generated by combining a rotation with a  $-x \sin(\theta)$ -length drift. This map is not used in SixTrack.

### 3.2 Dipole

In a curvilinear reference system with a constant curvature  $h_x$  in the horizontal plane or  $h_y$  in the vertical plane (but not both, i.e.  $h_x \cdot h_y = 0$ ) a uniform magnetic field can be derived by the vector potential:

$$A_x = 0, \quad A_y = 0, \quad A_s = -B_y x \left( 1 - \frac{h_x x}{2(1 + h_x x)} \right), \quad (37)$$

where we have chosen  $h_y = 0$ . Often the bending radius of the dipole correspond to  $h_{x,y} = \frac{q_0}{p} B_{x,y}$ , where  $q_0$  is the charge of the reference particle, which simplify the Hamiltonian further. In these conditions the exact and expanded Hamiltonian for a horizontal bending magnet is (eq. 2.12 in [4])

$$H = p_\sigma - p_s + q \cdot h_x x \left( 1 + \frac{h_x x}{2} \right) \quad (38)$$

$$\approx p_\sigma + \frac{1}{2} \frac{p_x^2 + p_y^2}{1 + \delta} - h_x x (1 + \delta) + \frac{q}{q_0} h_x x \left( 1 + \frac{h_x x}{2} \right) \quad (39)$$

#### 3.2.1 Thick dipole

Defining the following quantities,

$$G_x = \frac{q}{q_0} \cdot \frac{h_x^2}{1 + \delta}, \quad G_y = \frac{q}{q_0} \cdot \frac{h_y^2}{1 + \delta} \quad (40)$$

$$C_{x,y} = \cos(\sqrt{G_{x,y}} L), \quad S_{x,y} = \sin(\sqrt{G_{x,y}} L) \quad (41)$$

the map relative to the expanded Hamiltonian is (eq. 4.11 in [4])

$$\begin{aligned} x &\rightarrow C_x \cdot x + \frac{S_x}{\sqrt{G_x}} \frac{1}{1 + \delta} \cdot p_x + \frac{\delta}{h_x} (1 - C_x) \\ p_x &\rightarrow -\sqrt{G_x} (1 + \delta) \cdot S_x \cdot x + C_x \cdot p_x + \delta \sqrt{1 + \delta} \cdot S_x \\ y &\rightarrow C_y \cdot y + \frac{S_y}{\sqrt{G_y}} \frac{1}{1 + \delta} \cdot p_y + \frac{\delta}{h_y} (1 - C_y) \\ p_y &\rightarrow -\sqrt{G_y} (1 + \delta) \cdot S_y \cdot y + C_y \cdot p_y + \delta \sqrt{1 + \delta} \cdot S_y \\ \sigma &\rightarrow \sigma + L \left( 1 - \frac{\beta_0}{\beta} \right) \\ &\quad - \frac{\beta_0}{\beta} \left[ \frac{h_x S_x}{\sqrt{G_x}} \cdot x + \frac{1 - C_x}{h_x} \cdot p_x + \frac{h_y S_y}{\sqrt{G_y}} \cdot y + \frac{1 - C_y}{h_y} \cdot p_y + \delta \left( 2L - \frac{S_x}{\sqrt{G_x}} - \frac{S_y}{\sqrt{G_y}} \right) \right] \\ &\quad - \frac{1}{4} \frac{\beta_0}{\beta} \left[ G_x \left( L - \frac{C_x S_x}{\sqrt{G_x}} \right) \left( x - \frac{\delta}{h_x} \right)^2 + \left( L + \frac{C_x S_x}{\sqrt{G_x}} \right) \frac{p_x^2}{(1 + \delta)^2} - \left( x - \frac{\delta}{h_x} \right) \frac{2S_x^2}{1 + \delta} \cdot p_x \right. \\ &\quad \left. + G_y \left( L - \frac{C_y S_y}{\sqrt{G_y}} \right) \left( y - \frac{\delta}{h_y} \right)^2 + \left( L + \frac{C_y S_y}{\sqrt{G_y}} \right) \frac{p_y^2}{(1 + \delta)^2} - \left( y - \frac{\delta}{h_y} \right) \frac{2S_y^2}{1 + \delta} \cdot p_y \right]. \end{aligned}$$

An alternative version of the ideal sector bend (eq. 12.18 in [10]) with arbitrary bending field  $b_1 = \frac{q_0}{P_O} B_y$  and reference radius  $\rho$  is

$$\alpha(s) = \sin^{-1} \left( \frac{p_x(s)}{\sqrt{(1+\delta^2) - p_y^2}} \right) \quad (42)$$

$$p_z(s) = \sqrt{(1+\delta)^2 - p_x^2(s) - p_y^2} \quad (43)$$

$$x \rightarrow \frac{\rho}{b_1} \left( \frac{1}{\rho} p_z(L) - p'_x(L) - b_1 \right) \quad (44)$$

$$p_x \rightarrow p_x \cos(\theta) + (p_z(0) - b_1(\rho + x)) \sin(\theta) \quad (45)$$

$$y \rightarrow y + \frac{p_y L}{b_1 \rho} + \frac{p_y}{b_1} (\alpha(0) - \alpha(L)) \quad (46)$$

$$ct \rightarrow ct + \frac{(1+\delta)L}{b_1 \rho} + \frac{(1+\delta)}{b_1} (\alpha(0) - \alpha(L)) \quad (47)$$

where  $\theta = hL$  is the bending angle. This map is numerically unstable for  $L \rightarrow 0$ ,  $b_1 \rightarrow 0$ ,  $\rho \rightarrow \infty$ . This map is not used in SixTrack.

### 3.2.2 Thin dipole

The map for a thin dipole kick (horizontal or vertical) from the expanded Hamiltonian is (eq. 4.12 in [6]):

$$p_x \rightarrow p_x - \frac{q}{q_0} \cdot (h_x L)(1 + h_x x) + (h_x L)(1 + \delta) \quad (48)$$

$$p_y \rightarrow p_y - \frac{q}{q_0} \cdot (h_y L)(1 + h_y y) + (h_y L)(1 + \delta) \quad (49)$$

$$\sigma \rightarrow \sigma - \frac{\beta_0}{\beta} (h_x x + h_y y) L. \quad (50)$$

The map for a horizontal ( $h_y = 0$ ) thin dipole with the exact Hamiltonian can be expressed as (eq. 3.21 in [7])  $T_{II}(L/2) \circ T_I(L) \circ T_{II}(L/2)$  where  $T_{II}(L)$  is given by

$$p_x \rightarrow \frac{1}{1 + (h_x L)^2} \left[ p_x + (h_x L)(1 + \delta) \left( \sqrt{1 - \frac{p_x^2 + p_y^2 - C}{(1 + \delta)^2}} - 1 \right) \right] \quad (51)$$

$$x \rightarrow x + (h_x L) \cdot x \cdot \frac{p_x}{p_z} \quad (52)$$

$$y \rightarrow y + (h_x L) \cdot x \cdot \frac{p_y}{p_z} \quad (53)$$

$$\sigma \rightarrow \sigma + (h_x L) \cdot x \cdot \left( \frac{\beta_0}{\beta} - \frac{\beta_0}{\beta_z} \right), \quad (54)$$



with  $C = -(h_x L)^2 p_y^2 + 2(h_x L)(1 + \delta)p_x$  and  $T_I(L)$  is given by

$$p_x \rightarrow p_x - h_x^2 L x + (h_x L) \cdot \delta \quad (55)$$

$$\sigma \rightarrow \sigma - (h_x L)x \cdot \frac{\beta_0}{\beta}. \quad (56)$$

In order to represent a dipole of length  $L$  this map is to be combined with two surrounding drift spaces using the exact Hamiltonian, each of half the length of the dipole.

The exact thin dipole could be also generated by the composition of

$$D(-L/2) \circ D_p(\theta/2) \circ K(L) \circ D_p(\theta/2) \circ D(L/2) \quad (57)$$

for which  $D$  is a drift,  $D_p$  a polar drift and  $K$  the map generated by  $K = b_y(x + \frac{h_x}{2}x^2)$ . This map is not used in SixTrack.

### 3.2.3 Dipole Edge effects

The dipole edge effects from a dipole of length  $L$  and bending angle  $\theta$ , can be approximated by the map:

$$p_x \rightarrow p_x + \frac{1 + \delta}{\rho} \tan(\alpha) \cdot x \quad (58)$$

$$p_y \rightarrow p_y - \frac{1 + \delta}{\rho} \tan(\alpha) \cdot y, \quad (59)$$

where the bending radius  $\rho$  and  $\alpha$  are defined as

$$\rho^{-1} = \frac{h_x}{\sqrt{1 + \delta}} \quad \alpha = \frac{1}{2} \frac{L}{\rho} = \frac{\theta}{2}. \quad (60)$$

## 3.3 Quadrupole

A quadrupole is characterized by the vector potential

$$a_x = 0 \quad a_y = 0 \quad a_s = -\frac{1}{2}k_1(y^2 - x^2). \quad (61)$$

The expanded Hamiltonian for a particle in a quadrupole is

$$H = p_\sigma - \delta + \frac{1}{2} \frac{p_x^2 + p_y^2}{1 + \delta} + \frac{1}{2}k_1(x^2 - y^2). \quad (62)$$

### 3.3.1 Thick quadrupole

By defining the following quantities

$$g = q \cdot \frac{g_0}{1 + \delta} \quad (63)$$

we have the following two cases

$$C = \begin{cases} \cos(\sqrt{g}L) & g > 0 \\ \cosh(\sqrt{-g}L) & g < 0 \end{cases} \quad S = \begin{cases} \sin(\sqrt{g}L) & g > 0 \\ \sinh(\sqrt{-g}L) & g < 0 \end{cases} \quad (64)$$

$$\hat{C} = \begin{cases} \cosh(\sqrt{-g}L) & g > 0 \\ \cos(\sqrt{g}L) & g < 0 \end{cases} \quad \hat{S} = \begin{cases} \sinh(\sqrt{g}L) & g > 0 \\ \sin(\sqrt{-g}L) & g < 0 \end{cases} \quad (65)$$

Using the above definitions the map of a thick quadrupole is (eq. 4.2 in [4])

$$x \rightarrow C \cdot x + \frac{S}{\sqrt{|g|}} \frac{p_x}{1 + \delta} \quad (66)$$

$$p_x \rightarrow -(1 + \delta) \sqrt{|g|} S \cdot x + C \cdot p_x \quad (67)$$

$$y \rightarrow \hat{C} \cdot y + \frac{\hat{S}}{\sqrt{|g|}} \frac{p_y}{1 + \delta} \quad (68)$$

$$p_y \rightarrow (1 + \delta) \sqrt{|g|} \hat{S} \cdot y + \hat{C} \cdot p_y \quad (69)$$

$$\sigma \rightarrow \sigma + L \left( 1 - \frac{\beta_0}{\beta} \right) - \frac{|g|}{4} \frac{\beta_0}{\beta} \left[ \left( L - \frac{C \cdot S}{\sqrt{|g|}} \right) \cdot x^2 - \left( L - \frac{\hat{C} \cdot \hat{S}}{\sqrt{|g|}} \right) \cdot y^2 \right] \quad (70)$$

$$- \frac{1}{4} \frac{\beta_0}{\beta} \left[ \left( L + \frac{C \cdot S}{\sqrt{|g|}} \right) \cdot \frac{p_x^2}{(1 + \delta)^2} + \left( L + \frac{\hat{C} \cdot \hat{S}}{\sqrt{|g|}} \right) \frac{p_y^2}{(1 + \delta)^2} \right] \quad (71)$$

$$- \frac{1}{2} \frac{\beta_0}{\beta} \left[ -x \cdot \frac{p_x}{1 + \delta} \cdot S^2 + y \cdot \frac{p_y}{1 + \delta} \hat{S}^2 \right]. \quad (72)$$

### 3.3.2 Thick skew quadrupole

The Hamiltonian for a skew quadrupole

$$H = p_\sigma - \delta + \frac{1}{2} \frac{p_x^2 + p_y^2}{1 + \delta} - N \cdot xy, \quad (73)$$

where  $N = \frac{1}{2} \frac{q}{E_0} \left( \frac{\partial B_x}{\partial x} - \frac{\partial B_y}{\partial y} \right)_{x=y=0}$ . For a thick skew quadrupole the map is (eq. 3.19, 3.20 in [3])

$$x \rightarrow C^+ \cdot x + S^+ \cdot p_x - C^- \cdot y - S^- \cdot p_y \quad (74)$$

$$p_x \rightarrow -\hat{S}^- \cdot x + C^+ \cdot p_x + \hat{S}^+ \cdot y - C^- \cdot p_y \quad (75)$$

$$y \rightarrow -C^- \cdot x - S^- \cdot p_x + C^+ \cdot y + S^+ \cdot p_y \quad (76)$$

$$p_y \rightarrow \hat{S}^+ \cdot x - C^- \cdot p_x - \hat{S}^- \cdot y + C^+ \cdot p_y \quad (77)$$

$$\sigma \rightarrow \sigma + \frac{1}{8}(x^2 + y^2) (C^+ \hat{S}^- + C^- \hat{S}^+) \quad (78)$$

$$- \frac{1}{8} \frac{p_x^2 + p_y^2}{(1 + \delta)^2} [L + C^+ S^+ + C^- S^-] \quad (79)$$

$$+ \frac{1}{2} N x y [L - C^+ S^+ - C^- S^-] + \frac{1}{2} \frac{p_x p_y}{(1 + \delta)^2} [C^+ S^- + C^- C^+] \quad (80)$$

$$+ \frac{x p_x + y p_y}{1 + \delta} S^+ \hat{S}^- - \frac{x p_y + p_x y}{1 + \delta} \frac{1}{2} [S^+ \hat{S}^- + S^- \hat{S}^+] \quad (81)$$

where

$$C^- = \frac{\cos \sqrt{N} L - \cosh \sqrt{N} L}{2} \quad C^+ = \frac{\cos \sqrt{N} L + \cosh \sqrt{N} L}{2} \quad (82)$$

$$S^- = \frac{\sin \sqrt{N} L - \sinh \sqrt{N} L}{2\sqrt{N}} \quad S^+ = \frac{\sin \sqrt{N} L + \sinh \sqrt{N} L}{2\sqrt{N}} \quad (83)$$

$$\hat{S}^- = \frac{\sqrt{N}}{2} (\sin \sqrt{N} L - \sinh \sqrt{N} L) \quad \hat{S}^+ = \frac{\sqrt{N}}{2} (\sin \sqrt{N} L + \sinh \sqrt{N} L) \quad (84)$$

### 3.4 Combined function magnet

#### 3.4.1 Thin combined function magnet

The map is the combination of the map for the thin dipole and for a thin quadrupole using the thin multipole expansion (eq. 3.12 in [5])

$$p_x \rightarrow p_x - G_1 L \cdot x + (1 + \delta) h_x L - q \cdot h_x L \quad (85)$$

$$p_y \rightarrow p_y - G_2 L \cdot y + (1 + \delta) h_y L - q \cdot h_y L \quad (86)$$

$$\sigma \rightarrow \sigma - \frac{\beta_0}{\beta} (h_x x + h_y y) L, \quad (87)$$

where  $G_1 = q \cdot h_x^2 + k_1$  and  $G_2 = q \cdot h_y^2 - k_1$ .

### 3.5 Thin Multipole

A longitudinally uniform static magnetic field can be described by the following equations

$$A_z = -\Re \sum_{n=1} \frac{1}{n} (B_n + iA_n) \frac{(x + iy)^n}{r_0^{n-1}} \quad (88)$$

$$B_y + iB_x = \sum_{n=1} (B_n + iA_n) \frac{(x + iy)^{n-1}}{r_0^{n-1}}. \quad (89)$$

A thin multiple idealize the effect of the field by taking the limit of the integration length going to zero while keeping constant the integrated strength. The Hamiltonian is:

$$H = -\delta(s) L \frac{q}{p} A_z. \quad (90)$$

The corresponding map is:

$$p_x \rightarrow p_x - L \cdot \Re \left[ \sum_{n=0} \frac{1}{n!} (k_n + i\hat{k}_n) (x + iy)^n \right], \quad (91)$$

$$p_y \rightarrow p_y + L \cdot \Im \left[ \sum_{n=0} \frac{1}{n!} (k_n + i\hat{k}_n) (x + iy)^n \right], \quad (92)$$

for which

$$k_n = \frac{q}{p} \frac{\partial^n B_y}{\partial x^n} = \frac{q}{p} \frac{n!}{r_0^n} B_{n+1} \quad B_{n+1} = \frac{r_0^n}{n!} \frac{\partial^n B_y}{\partial x^n} \quad (93)$$

$$\hat{k}_n = \frac{q}{p} \frac{\partial^n B_x}{\partial x^n} = \frac{q}{p} \frac{n!}{r_0^n} A_{n+1} \quad A_{n+1} = \frac{r_0^n}{n!} \frac{\partial^n B_x}{\partial x^n} \quad (94)$$

For instance, the map for a normal quadrupole, sextupole and octupole are :

$$p_x \rightarrow p_x - L \cdot k_1 \cdot x \quad p_y \rightarrow p_y + L \cdot k_1 \cdot y, \quad (95)$$

$$p_x \rightarrow p_x - L \cdot \frac{k_2}{2} \cdot (x^2 - y^2) \quad p_y \rightarrow p_y + L \cdot k_2 \cdot xy, \quad (96)$$

$$p_x \rightarrow p_x - L \cdot \frac{k_3}{6} \cdot (x^3 - 3xy^2) \quad p_y \rightarrow p_y + L \cdot \frac{k_3}{6} \cdot (3x^2y - y^3). \quad (97)$$

### 3.6 RF-cavity

The expanded Hamiltonian for a RF-cavity is (eq. 2.30 in [5])

$$H = p_\sigma + \frac{1}{\beta_0^2} \frac{C}{2\pi h_a} \frac{qV(s)}{E_0} \cos \left( h_a \frac{2\pi}{C} \sigma + \varphi \right), \quad (98)$$

where  $h_a$  is the harmonic number,  $\varphi$  is the phase angle,  $V$  is the voltage and  $C$  is the design circumference length. The thin lens transfer map is (eq. 3.44 in [5])

$$p_\sigma \rightarrow p_\sigma + \frac{1}{\beta_0^2} \frac{qV(s_0)}{E_0} \cdot \sin \left( h_a \frac{2\pi}{C} \sigma + \varphi \right). \quad (99)$$

Using the relation between  $p_\sigma$  and the energy  $E$ , the map can be expressed as the change in energy of the tracked particle

$$E \rightarrow E + q \cdot V \sin \left( h_a \frac{2\pi}{C} \sigma + \varphi \right). \quad (100)$$

This is how Sixtrack performs the calculation.

### 3.7 Solenoid

The expanded Hamiltonian for a particle in a solenoid is

$$H = p_\sigma + \frac{1}{2} \frac{(p_x + R \cdot y)^2 + (p_y - R \cdot x)^2}{1 + \delta}, \quad (101)$$

where  $R = \frac{1}{2} \frac{q}{P_0 c} \mathbf{B}(0, 0, s)$ . The map for a solenoid of length  $L$  in the thin lens approximation with the expanded Hamiltonian (eq. 4.35 in [6])

$$x \rightarrow C \cdot x + S \cdot y \quad (102)$$

$$p_x \rightarrow -\theta R \cdot C \cdot x + C \cdot p_x - \theta R \cdot S \cdot y + S \cdot p_y \quad (103)$$

$$y \rightarrow -S \cdot x + C \cdot y \quad (104)$$

$$p_y \rightarrow \theta R \cdot S \cdot x - S \cdot p_x - \theta R \cdot C \cdot y + C \cdot p_y \quad (105)$$

$$\sigma \rightarrow \sigma - \frac{\beta_0}{\beta} \frac{\theta}{1 + \delta} \left( \frac{1}{2} R(x^2 + y^2) + (p_x y - p_y x) \right) \quad (106)$$

where  $R \equiv R(s_0)$ ,  $\theta = \frac{R}{1 + \delta}$ ,  $C = \cos(\theta)$  and  $S = \sin(\theta)$ .

The map for a thick solenoid is (eq. 3.47, 3.48 in [3])

$$x \rightarrow C^2 \cdot x + \frac{1}{R} \cdot S \cdot C \cdot p_x + S \cdot C \cdot y + \frac{1}{R} \cdot S^2 \cdot p_y \quad (107)$$

$$p_x \rightarrow -R \cdot S \cdot C \cdot x + C^2 \cdot p_x - R \cdot S^2 \cdot y + S \cdot C \cdot p_y \quad (108)$$

$$y \rightarrow -S \cdot C \cdot x - \frac{1}{R} \cdot S^2 \cdot p_x + C^2 \cdot y + \frac{1}{R} \cdot S \cdot C \cdot p_y \quad (109)$$

$$p_y \rightarrow R \cdot S^2 \cdot x - S \cdot C \cdot p_x - R \cdot S \cdot C \cdot y + C^2 \cdot p_y \quad (110)$$

$$\sigma \rightarrow \sigma - \frac{L}{2} \left[ R^2(x^2 + y^2) + 2R \left( \frac{p_x}{1 + \delta} y - \frac{p_y}{1 + \delta} x \right) + \frac{p_x^2 + p_y^2}{(1 + \delta)^2} \right] \quad (111)$$

where  $\theta = R \cdot L$ ,  $C = \cos \theta$  and  $S = \sin \theta$ .

### 3.8 Beam-beam lens

In order to study beam-beam interactions the strong bunch can be split longitudinally into several slices, each slice described by an electrostatic potential of the form (eq. 2.1 in [8])

$$\hat{U}(x, y; \hat{\Sigma}_{11}, \hat{\Sigma}_{33}; \theta) \equiv U(\hat{x}, \hat{y}; \hat{\Sigma}_{11}, \hat{\Sigma}_{33}) = -\frac{r_p}{\gamma_0} \int_0^\infty \frac{\exp\left(-\frac{\hat{x}^2}{2\hat{\Sigma}_{11}+u} - \frac{\hat{y}^2}{2\hat{\Sigma}_{33}+u}\right)}{\sqrt{2\hat{\Sigma}_{11}+u}\sqrt{2\hat{\Sigma}_{33}+u}} du, \quad (112)$$

where  $\Sigma_{ij}$  are the elements of the  $6 \times 6$  phase-space envelope matrix of the strong bunch.

To evaluate the effect of the beam-beam interaction on a test particle two sets of transformations need to be considered. The first is a transformation of Cartesian to accelerator coordinates and a Lorentz boost making the collision between the bunches head-on, this is accomplished by the Lorentz transformation given by (eq. 2.21 in [8])

$$\mathcal{L} = \begin{pmatrix} \frac{1}{\cos \phi} & -\cos \alpha \sin \phi & -\tan \phi \sin \phi & -\sin \alpha \sin \phi \\ -\cos \alpha \tan \phi & 1 & \cos \alpha \tan \phi & 0 \\ 0 & -\cos \alpha \sin \phi & \cos \phi & -\sin \alpha \sin \phi \\ -\sin \alpha \tan \phi & 0 & \sin \alpha \tan \phi & 1 \end{pmatrix}, \quad (113)$$

where  $\alpha$  is the crossing plane angle and  $2\phi$  is the total crossing angle. The second set of transformations is called the Synchro-Beam Mapping (SBM). In the SBM the test particle at the interaction point (IP) is brought to the collision point by a drift, then the beam-beam interaction is applied and finally the position of the test particle is brought back to the IP.

For a test particle with Lorentz transformed coordinates  $(x^*, p_x^*, y^*, p_y^*, z^*, p_z^*)$  the explicit form for the SBM is (eq. 2.65 in [8])

$$x^* \rightarrow x^* + S n^* F_x^* \quad (114)$$

$$p_x^* \rightarrow p_x^* - n^* F_x^* \quad (115)$$

$$y^* \rightarrow y^* + S n^* F_y^* \quad (116)$$

$$p_y^* \rightarrow p_y^* - n^* F_y^* \quad (117)$$

$$p_z^* \rightarrow p_z^* - n^* F_z^* - \frac{1}{2} \left[ n^* F_x^* (p_x^* - \frac{1}{2} n^* F_x^*) + n^* F_y^* (p_y^* - \frac{1}{2} n^* F_y^*) \right], \quad (118)$$

where  $n^*$  is the number of particles in the slice  $S$  is the distance between a test particle and the strong bunch and

$$F_x^* = \frac{\partial}{\partial \bar{x}^*} \hat{U}(\bar{x}^*, \bar{y}^*; \hat{\Sigma}_{11}(\varphi), \hat{\Sigma}_{33}(\varphi); \theta(\varphi)) \quad (119)$$

$$F_y^* = \frac{\partial}{\partial \bar{y}^*} \hat{U}(\bar{x}^*, \bar{y}^*; \hat{\Sigma}_{11}(\varphi), \hat{\Sigma}_{33}(\varphi); \theta(\varphi)) \quad (120)$$

$$F_z^* = \frac{\partial}{\partial \bar{z}^*} \hat{U}(\bar{x}^*, \bar{y}^*; \hat{\Sigma}_{11}(\varphi), \hat{\Sigma}_{33}(\varphi); \theta(\varphi)), \quad (121)$$

which are calculated from the expression for  $\hat{U}$  given above. Finally the inverse Lorentz transformation  $\mathcal{L}^{-1}$  is applied to the SBM transformed coordinates.

### 3.9 Beam-beam element

In the following,  $r_e$  is the classical electron radius and  $S$  is the strength ratio with respect to the nominal beam-beam kick strength. The maps below assumes no linear coupling between the transverse planes.

#### 3.9.1 Round beam

Definitions

$$r_x = x - x_{\text{co}} + x_{\text{sep}}, \quad (122)$$

$$r_y = y - y_{\text{co}} + y_{\text{sep}}, \quad (123)$$

$$r^2 = r_x^2 + r_y^2. \quad (124)$$

The kick is

$$p_x \rightarrow p_x + r_e \cdot S \cdot \frac{r_x}{r^2} \left[ 1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right] - \text{beamoff}(4), \quad (125)$$

$$p_y \rightarrow p_y + r_e \cdot S \cdot \frac{r_y}{r^2} \left[ 1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right] - \text{beamoff}(5). \quad (126)$$

#### 3.9.2 Elliptical beam

For this map, it is assumed that  $x > y$  (i.e. the horizontal extension of the beam is larger than the vertical extension.) For the reverse case,  $y > x$ , interchange  $x$  and  $y$  in the map.

$$r_x = x - x_{\text{co}} + x_{\text{sep}}, \quad (127)$$

$$r_y = y - y_{\text{co}} + y_{\text{sep}}, \quad (128)$$

$$\bar{\sigma} = \sqrt{2(\sigma_x^2 - \sigma_y^2)}. \quad (129)$$

The kick is

$$p_x \rightarrow p_x + \text{sgn}(r_x) \left[ r_e \cdot S \frac{\sqrt{\pi}}{\bar{\sigma}} \left( \text{erf}\left(\frac{|r_y|}{\bar{\sigma}}\right) - \exp\left(-\frac{1}{2} \left[ \frac{r_x^2}{\sigma_x^2} + \frac{r_y^2}{\sigma_y^2} \right] \right) \text{erf}\left(\frac{\sigma_x |r_y|}{\sigma_y \bar{\sigma}}\right) \right) \right] - \text{beamoff}(4), \quad (130)$$

$$p_y \rightarrow p_y + \text{sgn}(r_y) \left[ r_e \cdot S \frac{\sqrt{\pi}}{\bar{\sigma}} \left( \text{erf}\left(\frac{|r_x|}{\bar{\sigma}}\right) - \exp\left(-\frac{1}{2} \left[ \frac{r_x^2}{\sigma_x^2} + \frac{r_y^2}{\sigma_y^2} \right] \right) \text{erf}\left(\frac{\sigma_y |r_x|}{\sigma_x \bar{\sigma}}\right) \right) \right] - \text{beamoff}(5). \quad (131)$$

### 3.10 Hirata's beam-beam element

Initial transformation of coordinates.

$$x_i \rightarrow (x + dx) - x_{\text{co}} \quad (132)$$

$$p_{x,i} \rightarrow p_x - p_{x,\text{co}} \quad (133)$$

$$y_i \rightarrow (y + dy) - y_{\text{co}} \quad (134)$$

$$p_{y,i} \rightarrow p_y - p_{y,\text{co}} \quad (135)$$

$$\sigma_i \rightarrow \sigma - \sigma_{\text{co}} \quad (136)$$

$$\delta_i \rightarrow \delta - \delta_{\text{co}}. \quad (137)$$

Lorentz boost.  $\phi$  is the crossing plane angle and  $\alpha$  is the half crossing angle. The particle has initial coordinates  $(x^i, p_x^i, y^i, p_y^i, \sigma^i, \delta^i)$ . We define  $h = (1 + \delta^i) - \sqrt{(1 + \delta^i)^2 - (p_x^i)^2 - (p_y^i)^2}$ .  $p_z$  is computed with the most recent values of  $\delta$ ,  $p_x$  and  $p_y$ .

$$\delta \rightarrow \delta^i - \cos \alpha \tan \phi \cdot p_x^i - \sin \alpha \tan \phi \cdot p_y^i + h \cdot \tan^2 \phi \quad (138)$$

$$p_x \rightarrow p_x^i \frac{1}{\cos \phi} (p_x^i - \tan \phi \cos \alpha \cdot h) \quad (139)$$

$$p_y \rightarrow p_y^i \frac{1}{\cos \phi} (p_y^i - \tan \phi \sin \alpha \cdot h) \quad (140)$$

$$\sigma \rightarrow \frac{\sigma^i}{\cos \phi} + \left(1 - \frac{1 + \delta}{p_z}\right) (\sin \phi \cos \alpha \cdot x^i + \sin \phi \sin \alpha \cdot y^i) \quad (141)$$

$$x \rightarrow \cos \alpha \tan \phi \cdot \sigma + \left(1 + \cos \alpha \sin \phi \frac{p_x}{p_z}\right) \cdot x^i + \sin \alpha \sin \phi \frac{p_x}{p_z} \cdot y^i \quad (142)$$

$$y \rightarrow \sin \alpha \tan \phi \cdot \sigma + \left(1 + \sin \alpha \sin \phi \frac{p_y}{p_z}\right) \cdot y^i + \cos \alpha \sin \phi \frac{p_y}{p_z} \cdot x^i \quad (143)$$

Inverse Lorentz boost. We define the determinat of the inverse Lorentz transformation matrix as

$$D = \frac{1}{\cos \phi} + \tan \phi \left[ \frac{p_x^i}{p_z} \cos \alpha + \frac{p_y^i}{p_z} \sin \alpha - \left(1 - \frac{1 + \delta^i}{p_z}\right) \sin \phi \right]. \quad (144)$$



$$x \rightarrow \frac{1}{D} \left[ x \left( \frac{1}{\cos \phi} + \sin \alpha \tan \phi \left( \frac{p_y}{p_z} - \sin \alpha \sin \phi \left( 1 - \frac{1+\delta}{p_z} \right) \right) \right) \right] \quad (145)$$

$$+ y \sin \alpha \tan \phi \left( \left( 1 - \frac{1+\delta}{p_z} \right) \cos \alpha \sin \phi - \frac{p_x}{p_z} \right) \quad (146)$$

$$- \sigma \tan \phi \left( \cos \alpha + \sin \phi \cos \alpha \sin \alpha \frac{p_y}{p_z} - \sin^2 \alpha \sin \phi \frac{p_x}{p_z} \right) \quad (147)$$

$$y \rightarrow \frac{1}{D} \left[ y \left( \frac{1}{\cos \phi} + \cos \alpha \tan \phi \left( \frac{p_x}{p_z} - \cos \alpha \sin \phi \left( 1 - \frac{1+\delta}{p_z} \right) \right) \right) \right] \quad (148)$$

$$+ y \cos \alpha \tan \phi \left( \left( 1 - \frac{1+\delta}{p_z} \right) \sin \alpha \sin \phi - \frac{p_y}{p_z} \right) \quad (149)$$

$$- \sigma \tan \phi \left( \sin \alpha + \sin \phi \cos \alpha \sin \alpha \frac{p_x}{p_z} - \cos^2 \alpha \sin \phi \frac{p_y}{p_z} \right) \quad (150)$$

$$\sigma \rightarrow \frac{1}{D} \left[ \sigma \left( 1 + \frac{p_x}{p_z} \cos \alpha \sin \phi + \frac{p_y}{p_z} \sin \alpha \sin \phi \right) - x \left( 1 + \frac{1+\delta}{p_z} \right) \cos \alpha \sin \phi \right. \quad (151)$$

$$\left. - y \left( 1 - \frac{1+\delta}{p_z} \right) \sin \alpha \sin \phi \right] \quad (152)$$

$$\delta \rightarrow \delta + \cos \alpha \sin \phi \cdot p_x + \sin \alpha \sin \phi \cdot p_y \quad (153)$$

$$p_x \rightarrow p_x + \cos \alpha \sin \phi \cos^3 \phi [(1+\delta) - p_z^i] \quad (154)$$

$$p_y \rightarrow p_y + \sin \alpha \sin \phi \cos^3 \phi [(1+\delta) - p_z^i] \quad (155)$$

### 3.11 RF multipole

The Hamiltonian for a thin RF multipole is

$$H = \delta \left( s - \frac{L}{2} \right) \left\{ - \frac{1}{k_{\text{RF}}} \frac{q V_{\text{RF}}}{p_s c} \cos(\vartheta_{\text{RF}} - k_{\text{RF}} z) + \dots \right. \quad (156)$$

$$\left. \dots + \sum_{n=0}^N \frac{1}{(n+1)!} \Re [(K_{\text{N},n} L \cos(\vartheta_n - k_{\text{RF}} z) + i K_{\text{S},n} L \cos(\varphi_n - k_{\text{RF}} z))(x + iy)^{n+1}] \right\} \quad (157)$$

where the  $n$ -th order normal- and skew- multipole magnetic strengths are

$$K_{\text{N},n} = \frac{q}{p_s} \frac{\partial^n B_y}{\partial x^n}, \quad K_{\text{S},n} = \frac{q}{p_s} \frac{\partial^n B_x}{\partial x^n}, \quad (158)$$

respectively. The multipolar expansion is done similar as for a static magnetic field, but to account for the oscillatory behaviour the multipolar coefficients are expressed as

$$\tilde{B}_n(z) = \Re[B_n e^{j(\vartheta_n - k_{\text{RF}} z)}] = B_n \cos(\vartheta_n - k_{\text{RF}} z) \quad (159)$$

$$\tilde{A}_n(z) = \Re[A_n e^{j(\varphi_n - k_{\text{RF}} z)}] = A_n \cos(\varphi_n - k_{\text{RF}} z), \quad (160)$$

where  $\vartheta_n$  and  $\varphi_n$  are the phases for the normal and skew coefficients,  $\tilde{B}_n(z)$  and  $\tilde{A}_n(z)$ , respectively,  $k_{\text{RF}}$  is the RF wave number of the generating field ( $k_{\text{RF}}z = \omega_{\text{RF}}t$ ).

The kick experienced by an arbitrary particle in  $(x, y, z)$  can be expressed as (eq. 16 in [9])

$$\Delta p_x = - \sum_{n=0}^N \frac{1}{n!} \Re [(K_{\text{N},n} L \cos(\vartheta_n - k_{\text{RF}}z) + i K_{\text{S},n} L \cos(\varphi_n - k_{\text{RF}}z))(x + iy)^n], \quad (161)$$

$$\Delta p_y = \sum_{n=0}^N \frac{1}{n!} \Im [(K_{\text{N},n} L \cos(\vartheta_n - k_{\text{RF}}z) + i K_{\text{S},n} L \cos(\varphi_n - k_{\text{RF}}z))(x + iy)^n], \quad (162)$$

$$\Delta p_t = \frac{q V_{\text{RF}}}{p_s c} \sin(\vartheta_{\text{RF}} - k_{\text{RF}}z) + \dots \quad (163)$$

$$\dots - k_{\text{RF}} \sum_{n=0}^N \Re [(K_{\text{N},n} L \sin(\vartheta_n - k_{\text{RF}}z) + i K_{\text{S},n} L \sin(\varphi_n - k_{\text{RF}}z))(x + iy)^n]. \quad (164)$$

Temporarily in Sixtrack the following equations are used:

$$\Delta p_x = b_2 x \cos(\phi + k z) \quad (165)$$

$$\Delta p_y = -b_2 y \cos(\phi + k z) \quad (166)$$

$$\Delta \delta = -k \frac{b_2}{2} (x^2 - y^2) \sin(\phi + k z) \quad (167)$$

$$\Delta p_x = b_3 (x^2 - y^2) \cos(\phi + k z) \quad (168)$$

$$\Delta p_y = -2b_3 xy \cos(\phi + k z) \quad (169)$$

$$\Delta \delta = -k \frac{b_3}{3} (x^3 - 3xy^2) \sin(\phi + k z) \quad (170)$$

$$\Delta p_x = b_4 (x^3 - 3xy^2) \cos(\phi + k z) \quad (171)$$

$$\Delta p_y = -b_4 (3x^2y - y^3) \cos(\phi + k z) \quad (172)$$

$$\Delta \delta = -k \frac{b_4}{4} (x^4 - 6x^2y^2 + y^4) \sin(\phi + k z) \quad (173)$$

$$\Delta p_x = a_2 y \cos(\phi + k z) \quad (174)$$

$$\Delta p_y = a_2 x \cos(\phi + k z) \quad (175)$$

$$\Delta \delta = -k a_2 xy \sin(\phi + k z) \quad (176)$$

$$\Delta p_x = 2a_3 xy \cos(\phi + kz) \quad (177)$$

$$\Delta p_y = -a_3 (y^2 - x^2) \cos(\phi + kz) \quad (178)$$

$$\Delta \delta = k \frac{a_3}{3} (y^3 - 3yx^2) \sin(\phi + kz) \quad (179)$$

$$\Delta p_x = a_4 (y^3 - 3yx^2) \cos(\phi + kz) \quad (180)$$

$$\Delta p_y = a_4 (3xy^2 - x^3) \cos(\phi + kz) \quad (181)$$

$$\Delta \delta = -k a_4 (x^3 y - y^3 x) \sin(\phi + kz) \quad (182)$$

### 3.12 Phase-trombone, matrix element

The linear "phase trombone" allows to introduce a change in the transverse phases without spoiling the linear optics of the rest of the machine, i.e. the Twiss parameters are the same at entrance and exit of the element. The effect of the element is contained in the matrix  $M$ . An addition to the closed orbit can be done through the elements  $(x_{co}, x'_{co}, y_{co}, y'_{co}, \sigma_{co})$ .

$$\sigma \rightarrow \sigma + \sigma_{co} + M_{51} \cdot x + M_{52} \cdot x' + M_{53} \cdot y + M_{54} \cdot y' + M_{56} \cdot \delta \quad (183)$$

$$x \rightarrow x_{co} + M_{11} \cdot x + M_{12} \cdot x' + M_{16} \cdot \delta \quad (184)$$

$$x' \rightarrow x'_{co} + M_{21} \cdot x + M_{22} \cdot x' + M_{26} \cdot \delta \quad (185)$$

$$y \rightarrow y_{co} + M_{33} \cdot y + M_{34} \cdot y' + M_{36} \cdot \delta \quad (186)$$

$$y' \rightarrow y'_{co} + M_{43} \cdot y + M_{44} \cdot y' + M_{46} \cdot \delta \quad (187)$$

### 3.13 Wire

Step 0. Internal change of variables

Step 1. xy- shift

$$x \rightarrow x + \Delta x \quad y \rightarrow y + \Delta y \quad (188)$$

Step 2. Polar drift

$$p_x \rightarrow p_x \cos \theta + p_z \sin \theta \quad p_z \rightarrow -p_x \sin \theta + p_z \cos \theta \quad (189)$$

$$z = -x \sin \theta \quad x' = p_x / p_z \quad y' = p_y / p_z \quad (190)$$

$$x \rightarrow x \cos \theta - x' z \quad y \rightarrow y - x' z \quad (191)$$

$$\sigma \rightarrow \sigma + \frac{\beta_0}{\beta_z} \cdot z. \quad (192)$$

where  $\theta$  is the angle ....

Step 3. Kick log) or Kick sinh)

$$x' \rightarrow x' - \frac{\frac{cur \cdot 10^{-7}}{chi} \cdot x_i}{x_i^2 + y_i^2} \left[ \sqrt{(3/2l)^2 + x_i^2 + y_i^2} - \sqrt{(l/2)^2 + x_i^2 + y_i^2} \right] \quad (193)$$

$$y' \rightarrow y' - \frac{\frac{cur \cdot 10^{-7}}{chi} \cdot y_i}{x_i^2 + y_i^2} \left[ \sqrt{(3/2)^2 + x_i^2 + y_i^2} - \sqrt{(l/2)^2 + x_i^2 + y_i^2} \right] \quad (194)$$

Step 4. - Polar drift

Step 5. - xy shift

Step 6. Restoring variables

### 3.14 Crab cavity

The voltage of the crab cavity is denoted by  $V$ , the frequency by  $f$  and the phase by  $\phi$ . The map for a horizontal crab cavity is

$$p_x \rightarrow p_x - \frac{V}{p} \cdot (1 + \delta) \sin \left( 2\pi f \frac{\sigma}{c} + \phi \right) \quad (195)$$

$$\delta \rightarrow \delta - 2\pi f \frac{V}{pc} \cdot x \cdot \cos \left( 2\pi f \frac{\sigma}{c} + \phi \right). \quad (196)$$

Followed by updates of the momentum and energy due to the change of  $\delta$ . The map for a vertical crab cavity is given by replacing  $x \rightarrow y$  and  $p_x \rightarrow p_y$  in the map above.

### 3.15 AC-dipole

The excitation amplitude of the AC-dipole is denoted by  $A$  [Tm], the excitation frequency by  $q_d$  [ $2\pi$ ] and the phase of the excitation by  $\phi$ . The map presented here is for a purely horizontal dipole, the map for a vertical dipole is obtained by replacing  $p_x \rightarrow p_y$ .

The effect of the AC-dipole is split into four stages. The turn number is denoted by  $n$ .

1. A number of free turns  $n_{\text{free}}$ , in which the AC-dipole has no effect on the motion.
2. Ramp-up of the voltage from 0 to the excitation amplitude  $A$  for  $n_{\text{ramp-up}}$  turns.

$$n' = \frac{n - n_{\text{free}}}{n_{\text{ramp-up}}} \quad (197)$$

$$p_x \rightarrow p_x + n' \cdot \frac{A}{pc} \cdot (1 + \delta) \sin (2\pi q_d \cdot (n - n_{\text{free}}) + \phi) \quad (198)$$

3. Constant excitation amplitude for  $n_{\text{flat}}$  turns.

$$p_x \rightarrow p_x + \frac{A}{pc} \cdot (1 + \delta) \sin (2\pi q_d \cdot (n - n_{\text{free}}) + \phi) \quad (199)$$

4. Ramp-down of the voltage from the excitation amplitude  $A$  to 0 for  $n_{\text{ramp-down}}$  turns.

$$n' = \frac{n - n_{\text{free}} - n_{\text{ramp-up}} - n_{\text{flat}} - n_{\text{ramp-down}}}{n_{\text{ramp-down}}} \quad (200)$$

$$p_x \rightarrow p_x + n' \cdot \frac{A}{p} \cdot (1 + \delta) \sin(2\pi q_d \cdot (n - n_{\text{free}}) + \phi) \quad (201)$$

### 3.16 Electron lens

#### 3.16.1 Hollow electron lens - uniform annular profile

For a uniform distribution of the electron beam between  $R_1$  and  $R_2$ , the radial kick can be described by a shape function  $f(r)$  and a maximum kick strength  $\theta_{\text{max}}$ :

$$\theta(r) = \frac{f(r)}{(r/R_2)} \cdot \theta_{\text{max}} \quad (202)$$

with  $r = \sqrt{x^2 + y^2}$  and  $\theta_{\text{max}}$  independent of  $r$ . The shape function  $f(r)$  is defined as

$$f(r) = \frac{I(r)}{I_T} = \frac{2\pi}{I_T} \int_0^r r \rho(r) dr \quad (203)$$

where  $I_T$  is the total electron beam current,  $I(r)$  is the current enclosed in a radius  $r$  and  $\rho(r)$  is the electron beam density distribution.

For a uniform profile one then obtains:

$$f(r) = \begin{cases} 0 & , \quad r < R_1 \\ \frac{r^2 - R_1^2}{R_2^2 - R_1^2} & , \quad R_1 \leq r < R_2 \\ 1 & , \quad R_2 \leq r \end{cases} \quad (204)$$

and

$$\theta_{\text{max}} = \theta(R_2) = \frac{2LI_T(1 \pm \beta_e \beta_p)}{4\pi\epsilon_0 (B\rho)_p \beta_e \beta_p c^2} \cdot \frac{1}{R_2} \quad (205)$$

where  $L$  is the length of the e-lens,  $I_T$  the total electron beam current,  $\beta_e$  and  $\beta_p$  the relativistic  $\beta$  of electron and proton beam,  $B\rho$  the magnetic rigidity,  $c$  the speed of light and  $\epsilon_0$  the vacuum permittivity. The  $\pm$ -sign represents the two cases of the electron beam traveling in the direction of the proton beam (+) or in the opposite direction (-). For hollow electron beam collimation, electron and proton beam travel in the same direction.

The kick in  $(x', y')$  can then be expressed as (note  $\frac{x}{r} = \cos(\phi)$ ,  $\frac{y}{r} = \sin(\phi)$ ):

$$x' = x' - \theta_{\text{max}} \cdot \frac{R_2}{r^2} \cdot f(r) \cdot x \cdot \frac{1}{1 + \delta} \quad (206)$$

$$y' = y' - \theta_{\text{max}} \cdot \frac{R_2}{r^2} \cdot f(r) \cdot y \cdot \frac{1}{1 + \delta} \quad (207)$$

If the electron lens is offset by  $(x_{\text{offset}}, y_{\text{offset}})$ , the coordinates  $(x, y)$  are simply transferred to:

$$\tilde{x} = x + x_{\text{offset}} \quad (208)$$

$$\tilde{y} = y + y_{\text{offset}} \quad (209)$$

$$\tilde{r} = \sqrt{\tilde{x}^2 + \tilde{y}^2} \quad (210)$$

and the kick is then given by:

$$x' = x' - \theta_{\text{max}} \cdot \frac{r_2}{\tilde{r}^2} \cdot f(\tilde{r}) \cdot \tilde{x} \quad (211)$$

$$y' = y' - \theta_{\text{max}} \cdot \frac{r_2}{\tilde{r}^2} \cdot f(\tilde{r}) \cdot \tilde{y} \quad (212)$$

This idealized implementation of the hollow e-lens has no explicit dependence on the particle energy. The particle energy is only taken into account in form of the input parameter  $\theta_{\text{max}}$  provided by the user. A change of the particle energy could occur if the particle enters with an initial  $x'$  or  $y'$  and thus sees an accelerating or respectively decelerating field.

### 3.17 Misalignment

Misalignments of elements affects the coordinates at the entrance of an element as follows

$$x \rightarrow (x - x_s) \cdot t_c + (y - y_s) \cdot t_s \quad (213)$$

$$y \rightarrow -(x - x_s) \cdot t_s + (y - y_s) \cdot t_c, \quad (214)$$

where  $x_s$  and  $y_s$  are the displacements in the horizontal and vertical directions, respectively.  $t_c$  and  $t_s$  are the cosine and sine of the tilt angle for the element.

## 4 Optics calculations

Optics calculation are needed to study the motion around the closed orbit. By defining  $z$  as the vector of  $2k$  coordinates,

$$z = (z_1, \dots, z_{2k})^T = (x - x_0, p_x - p_{x0}, y - y_0, p_y - p_{y0}, \tau - \tau_0, p_t - p_{t0})^T \quad (215)$$

one can define linear transfer maps (e.g.  $M_{1 \rightarrow 2}$  that propagates coordinates between two points  $s_1, s_2$ ) and the one-turn map (e.g.  $M_1$  that combines the effects for one turn starting from  $s_1$ ):

$$z(s_2) = M_{1 \rightarrow 2} z(s_1) \quad z(C + s_1) = M_1 z(s_1). \quad (216)$$

In the following we will describe the optics calculation based on the Ripken formalism described in [11]. A good summary is also given in the MAD8 physics manual [12].

#### 4.1 Diagonalisation of one-turn matrix

Since the matrices derive from symplectic maps, the eigenvalue spectrum of the one-turn map  $M$  consists of  $2k$  distinct eigenvalues and linearly independent eigenvectors. In addition, for the motion to be stable the eigenvalues  $\lambda_k^\pm$  with eigenvectors  $v_k^\pm$  have to be complex [11]:

$$Mv_k^\pm = \lambda_k^\pm v_k^\pm, \quad k = 1, \dots, 3 \quad (217)$$

$$v_k^+ = (v_k^-)^*, \quad \lambda_k^+ = (\lambda_k^-)^*, \quad |\lambda_k^\pm| = 1 \quad (218)$$

As the eigenvectors are linearly independent  $M$  can be diagonalized with

$$M = V\Lambda V^{-1}, \quad (219)$$

where  $V$  consists of the eigenvectors and  $\Lambda$  of the eigenvalues:

$$V = \begin{pmatrix} v_{1,1}^+ & v_{1,1}^- & \cdots & v_{3,1}^- \\ v_{1,2}^+ & v_{1,2}^- & \cdots & v_{3,2}^- \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \quad \Lambda = \begin{pmatrix} \lambda_1^+ & & & \\ & \lambda_1^- & & \\ & & \ddots & \\ & & & \lambda_3^- \end{pmatrix} \quad (220)$$

for which  $v_{i,j}^\pm$  is the component  $j$  of eigenvector  $v_i^\pm$ .

The same calculation can be carried out with real numbers by the following definitions:

$$v_k^\pm = a_k \pm ib_k, \quad \lambda_k^\pm = \cos \mu_k \pm i \sin \mu_k, \quad \mu_k, a_k, b_k \in \mathbb{R} \quad (221)$$

such that:

$$M = WRW^{-1} \quad (222)$$

with

$$R = R(\mu_k) = \begin{pmatrix} \cos \mu_1 & \sin \mu_1 & & & \\ -\sin \mu_1 & \cos \mu_1 & & & \\ & & \ddots & & \\ & & & \cos \mu_3 & \sin \mu_3 \\ & & & -\sin \mu_3 & \cos \mu_3 \end{pmatrix}, \quad (223)$$

$$W = \begin{pmatrix} a_{1,1} & b_{1,1} & \cdots & a_{3,1} & b_{3,1} \\ a_{1,2} & b_{1,2} & \cdots & a_{3,2} & b_{3,2} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ a_{1,6} & b_{1,6} & \cdots & a_{3,6} & b_{3,6} \end{pmatrix} \quad (224)$$

Usually  $\mu_k$  is written as  $\mu_k = 2\pi Q_k$ , where  $Q_k$  is then the tune of the mode  $k$ .

## 4.2 Normalisation of eigenvectors

By convention, the eigenvectors and values are normalized, sorted and rotated so that the following three conditions are fulfilled:

1. Plane 1 is associated with the horizontal, plane 2 with the vertical and plane 3 with the longitudinal plane. This is achieved by first normalizing the eigenvectors  $v_k^\pm$  and then sorting them so that:

$$|v_{j,2j-1}^+| = |v_{j,2j-1}^-| = \max_{k=1,2,3} v_{k,j}, \quad j = 1, \dots, 3 \quad (225)$$

2. The eigenvectors are then rotated with a phase term  $\psi_k$

$$v_k \rightarrow v_k \exp(i\psi_k) \quad (226)$$

such that

$$\text{angle}(v_{k,2k-1}^+) = 0 \leftrightarrow \psi_k = -\text{angle}(v_{k,2k-1}^+) \quad (227)$$

In real space, Eqn. 225 and 227 then become equivalent to:

$$|a_{j,2j-1}| = \max_{k=1,2,3} |a_{k,j}|, \quad b_{j,2j-1} = 0, \quad j = 1, \dots, 3 \quad (228)$$

This has the effect that a particle with  $x = 0$  is transformed to  $\tilde{x}$  in the normalized phase space.

3. The sign of  $b_{k,j}$  is fixed by the symplectic condition on  $W$

$$W^T S W = S \quad (229)$$

with  $S$  defined as

$$S = \begin{pmatrix} 0 & 1 & & \\ -1 & 0 & & \\ & & \ddots & \end{pmatrix} \quad (230)$$

which is equivalent to:

$$\begin{aligned} a_k^T \cdot S \cdot b_k &= 1, & b_k^T \cdot S \cdot a_k &= -1, & \text{for } k = l \\ a_k^T \cdot S \cdot b_l &= 0, & & & \text{for } k \neq l \\ a_k^T \cdot S \cdot a_l &= 0, & b_k^T \cdot S \cdot b_l &= 0, & k, l = 1, \dots, 3 \end{aligned} \quad (231)$$

Eqn. 231 yields that in phase space  $a_k$  is thus obtained by an anticlockwise rotation of  $b_k$  by  $\pi/2$  and a scaling of its length with  $|a_k| = \frac{1}{|b_k|}$ .



### 4.3 Conversion to normalized coordinates

We will show in the following that in the normalized phase space the propagation of particle coordinates  $z(s)$  from  $s_1$  to  $s_2$  is just a rotation by an angle  $\phi_k$  in the  $k = 1, \dots, 3$  planes, while the amplitude  $I_k$  and initial phase  $\phi_{k,0}$  stay constant, explicitly  $z(s)$  is then given by:

$$z(s) = \sum_{k=1}^3 \sqrt{2I_k} (a_k(s) \cos(\phi_{k,0} + \phi_k(s)) - b_k(s) \sin(\phi_{k,0} + \phi_k(s))) \quad (232)$$

and

$$z(s_2) = W(s_2)R(\phi_k)W(s_1)^{-1}z(s_1), \quad (233)$$

with  $\phi_k = \phi_k(s_2) - \phi_k(s_1)$

This implies that one turn is simply a rotation by  $\phi_k = 2\pi Q_k$  where  $Q_k$  is the tune of the mode  $k$ . In the transverse plane the tune ( $Q_{I,II}$ ) is usually positive and the particles rotate clockwise, while in the longitudinal plane the tune ( $Q_{III}$ ) is negative above  $\gamma_T$  leading to an anticlockwise rotation.

For the derivation the following steps are needed:

1. The effect of one turn on the normalized variable  $\tilde{z}(s) = W^{-1}(s)z(s)$  is a rotation:

$$\tilde{z}(C+s) = W^{-1}z(s+C) \stackrel{(\text{Eqn. 222})}{=} W^{-1}WRW^{-1}z(s) = R\tilde{z}(s), \quad (234)$$

As  $M$  and  $R$  are symplectic also  $W$  is symplectic, and its inverse is thus given by  $S^{-1}W^TS$ , explicitly:

$$W^{-1} = \begin{pmatrix} b_{12} & -b_{11} & b_{14} & -b_{13} & b_{16} & -b_{15} \\ -a_{12} & a_{11} & -a_{14} & a_{13} & -a_{16} & a_{15} \\ b_{22} & -b_{21} & b_{24} & -b_{23} & b_{26} & -b_{25} \\ -a_{22} & a_{21} & -a_{24} & a_{23} & -a_{26} & a_{25} \\ b_{32} & -b_{31} & b_{34} & -b_{33} & b_{36} & -b_{35} \\ -a_{32} & a_{31} & -a_{34} & a_{33} & -a_{36} & a_{35} \end{pmatrix} \quad (235)$$

2. The one-turn map and  $W$ -matrix can be propagated from  $s_1$  to  $s_2$  by

$$M_2 = M_{1 \rightarrow 2} M_1 M_{1 \rightarrow 2}^{-1} \quad W_2 = M_{1 \rightarrow 2} W_1 \quad (236)$$

As Eqn. 234 represents a similarity transformation, the eigenvalues are thus independent of the position  $s$  and as the rotation matrix  $R$  consists of the eigenvalues of  $M$ , the angle of the rotation  $\mu_k = 2\pi Q_k$  is thus also independent of  $s$ .

3. As Eqn. 222 represents a basis transformation from the standard  $\mathbb{R}^2$  basis to the eigenvector basis, the vectors  $a_k$  and  $b_k$  are projected onto

(Eqn. 231):

$$\begin{aligned}
\tilde{a}_1 &= W^{-1}a_1 = -SW^T Sa_1 \\
&= -S(a_1 Sa_1, b_1 Sa_1, \dots, b_3 Sa_1)^T = (1, 0, \dots, 0) \\
\tilde{b}_1 &= W^{-1}b_1 = -SW^T Sb_1 \\
&= -S(a_1 Sb_1, b_1 Sb_1, \dots, b_3 Sb_1)^T = (0, 1, \dots, 0) \quad (237) \\
&\dots \\
\tilde{b}_3 &= W^{-1}b_3 = -SW^T Sb_3 \\
&= -S(a_1 Sb_3, b_1 Sb_3, \dots, b_3 Sb_3)^T = (0, 0, \dots, 1)
\end{aligned}$$

in the normalized phase space.

4. From Eqn. 234 it follows that the amplitude  $I_k$  and initial phase  $\phi_{k0}$  of  $\tilde{z} = W^{-1}z = (\tilde{z}_{a_1}, \tilde{z}_{b_1}, \dots, \tilde{z}_{b_3})$

$$I_k = \frac{(\tilde{z}_{a_k})^2 + (\tilde{z}_{b_k})^2}{2}, \quad k = 1, \dots, 3 \quad (238)$$

$$\tan \phi_{k0} = -\frac{\tilde{z}_{b_k}}{\tilde{z}_{a_k}} \quad (239)$$

are constants of the motion, which is illustrated in Fig. 2. The initial

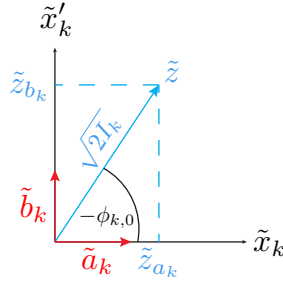


Figure 2: Normalized phase space.

phase is defined with a minus sign in view of the definition of the Twiss parameters, where the initial phase is then added (and not subtracted) to the phase advance. The components of  $\tilde{z}$  are then explicitly given by:

$$\tilde{z}_{a_k} = \sum_{j=1}^3 b_{k,2j} z_{2j-1} - b_{k,2j-1} z_{2j}, \quad k = 1, \dots, 3 \quad (240)$$

$$\tilde{z}_{b_k} = \sum_{j=1}^3 a_{k,2j-1} z_{2j} - a_{k,2j} z_{2j-1}, \quad k = 1, \dots, 3. \quad (241)$$

An arbitrary vector  $z(s)$  can thus be written in the following form:

$$\begin{aligned}
z(s) &= W(s)\tilde{z}(s) \\
&= W(s) \left( \sum_{k=1}^3 \tilde{z}_{a_k} \tilde{a}_k + \tilde{z}_{b_k} \tilde{b}_k \right) \\
&= \sum_{k=1}^3 \tilde{z}_{a_k} W(s) \tilde{a}_k + \tilde{z}_{b_k} W(s) \tilde{b}_k \stackrel{\text{Eqn. 237}}{=} \sum_{k=1}^3 \tilde{z}_{a_k} a_k + \tilde{z}_{b_k} b_k \\
&\stackrel{\text{Eqns. 238, 239}}{=} \sum_{k=1}^3 \sqrt{2I_k} (a_k \cos \phi_{k0} - b_k \sin \phi_{k0}) \tag{242}
\end{aligned}$$

#### 4.4 Twiss parameters

In the following the parameter  $k$  will always be used for the mode  $k$  and the parameter  $j = 1, 2, 3$  for the horizontal  $(x, x')$ , vertical  $(y, y')$  and longitudinal plane  $(\sigma, \delta)$  in the phase space.  $z_{2j-1}$  then stands for the coordinates  $(x, y, \sigma)$  and  $z_{2j}$  for  $(x', y', \delta)$ .

The Twiss parameters can be introduced by writing the components of the eigenvector basis  $(a_k(s), b_k(s))$  as the product of two envelope functions  $\sqrt{\beta_{k,j}(s)}$ ,  $\sqrt{\gamma_{k,j}(s)}$  and phase functions  $\phi_{k,j}(s)$ ,  $\bar{\phi}_{k,j}(s)$ , also called Twiss parameters or lattice functions, with

$$\begin{aligned}
a_{k,2j-1}(s) &= \sqrt{\beta_{k,j}(s)} \cos \phi_{k,j}(s), \\
b_{k,2j-1}(s) &= \sqrt{\beta_{k,j}(s)} \sin \phi_{k,j}(s), \quad k, j = 1, \dots, 3, \tag{243}
\end{aligned}$$

$$\begin{aligned}
a_{k,2j}(s) &= \sqrt{\gamma_{k,j}(s)} \cos \bar{\phi}_{k,j}(s), \\
b_{k,2j}(s) &= \sqrt{\gamma_{k,j}(s)} \sin \bar{\phi}_{k,j}(s), \quad k, j = 1, \dots, 3 \tag{244}
\end{aligned}$$

where  $\beta_{k,j}(s), \alpha_{k,j}(s), \gamma_{k,j}(s)$  represent the projection of the ellipse of mode  $k$  on the plane of coordinates  $z_{2k-1} - z_{2k}$  (see Fig. 3)

Using Eqns. 232, 243, 244 and  $\cos(x+y) = \cos x \cos y - \sin x \sin y$ , the coordinates  $z(s)$  can be expressed by:

$$z_{2j-1}(s) = \sum_{k=1}^3 \sqrt{2I_k \beta_{k,j}(s)} \cos(\phi_{k,j}(s) + \phi_{k,0}) \tag{245}$$

$$z_{2j}(s) = \sum_{k=1}^3 \sqrt{2I_k \gamma_{k,j}(s)} \cos(\bar{\phi}_{k,j}(s) + \phi_{k,0}), \quad j = 1, \dots, 3 \tag{246}$$

Conversely the lattice functions can also be expressed by  $a_k$  and  $b_k$  with

$$\beta_{k,j}(s) = a_{k,2j-1}(s)^2 + b_{k,2j-1}(s)^2 \tag{247}$$

$$\alpha_{k,j}(s) = a_{k,2j-1}(s)a_{k,2j}(s) - b_{k,2j-1}(s)b_{k,2j}(s) \tag{248}$$

$$\gamma_{k,j}(s) = a_{k,2j}(s)^2 + b_{k,2j}(s)^2, \tag{249}$$

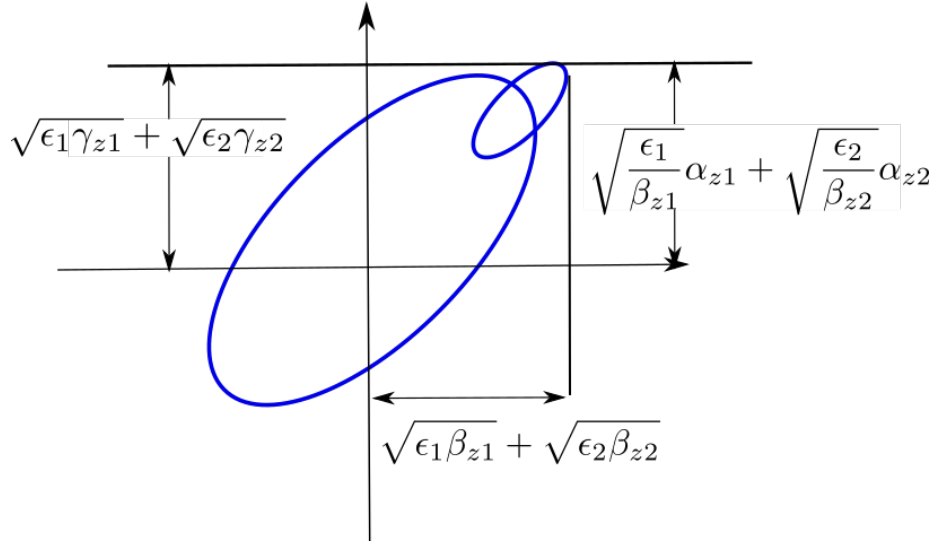


Figure 3: Projection of lattice function in the  $z - z'$  plane.

The well known relations between the lattice functions

$$\sum_{j=1}^3 \beta_{k,j} \phi'_{k,j} = 1 \quad (250)$$

$$\gamma_{k,j} = \frac{\beta_{k,j}^2 \phi_{k,j}'^2 + \alpha_{k,j}^2}{\beta_{k,j}}, \text{ with} \quad (251)$$

$$\alpha_{k,j} := -\frac{1}{2} \beta'_{k,j} \quad (252)$$

can then be derived with the help of the normalization condition (Eqn. 231)

$$a_k^T S b_k = 1 \quad (253)$$

by the following steps:

1. As  $x' = \frac{dx}{ds}$ ,  $y' = \frac{dy}{ds}$  and  $\delta = \frac{d\sigma}{ds}$  the following relations hold also for  $a_k$

and  $b_k$ :

$$a_{k,2j} = a'_{k,2j-1} = \frac{d}{ds}(a_{k,2j-1}), \quad (254)$$

$$b_{k,2j} = b'_{k,2j-1} = \frac{d}{ds}(b_{k,2j-1}), \quad k, j = 1, \dots, 3 \quad (255)$$

2. The normalization condition Eqn. 231 can then be written as

$$\begin{aligned} a_k^T S b_k &= \sum_{j=1}^3 \sqrt{\beta_{k,j}} \cos \phi_{k,j} \left( \sqrt{\beta_{k,j}} \sin \phi_{k,j} \right)' \\ &\quad - \left( \sqrt{\beta_{k,j}} \cos \phi_{k,j} \right)' \sqrt{\beta_{k,j}} \sin \phi_{k,j} \\ &= \sum_{j=1}^3 \beta_{k,j} \phi'_{k,j} \\ &= 1 \end{aligned} \quad (256)$$

Note that Eqn. 256 yields the the following relation between the phase advance  $\phi$  and  $\beta$  in 2D:

$$\phi(s) = \phi(0) + \int_{s_0}^s \frac{1}{\beta(\bar{s})} d\bar{s} \quad (257)$$

3. Using the abbreviation  $\alpha_{k,j} := -\frac{1}{2}\beta_{k,j}$ , one finds for each mode  $k$  and plane  $j$

$$\sqrt{\gamma_{k,j}} \cos \phi_{k,j} = a_{k,2j} = a'_{k,2j-1} = (\sqrt{\beta_{k,j}} \cos \phi_{k,j})' \quad (1)$$

$$\sqrt{\gamma_{k,j}} \sin \phi_{k,j} = b_{k,2j} = b'_{k,2j-1} = (\sqrt{\beta_{k,j}} \sin \phi_{k,j})' \quad (2)$$

$$\stackrel{(1)^2 + (2)^2}{\Rightarrow} \gamma_{k,j} = \frac{\beta_{k,j}^2 \phi_{k,j}'^2 + \alpha_{k,j}^2}{\beta_{k,j}}, \quad k, j = 1, \dots, 3 \quad (258)$$

which simplifies in the 2D case to:

$$\gamma \stackrel{\text{Eqn. 256}}{=} \frac{1 + \alpha^2}{\beta} \quad (259)$$

## 5 Notes on tracking programs

A particle tracking program for accelerators like Sixtrack is based on the concepts of beamline elements representing real devices (dipoles, quadrupole, cavities) and symplectic integrators using predefined maps (i.e. solution of equations of motion).

A map is a transformation of particle coordinates formulated in such a way that coordinates can be either floating point numbers or truncated polynomials.

A map may reflect the effects of a field on a particle or a change of the reference frame or other even non physical transformations.

An integrator solves the equations of motion of a particle under the influence of a specific force often generate by electromagnetic fields using some approximations. It is often coded as an explicit map or sequence of them.

A particle trajectory in beam line element can equally well computed using different type of integrators depending on the initial coordinates and the application.

For relativistic particles an integrator can be qualified in terms of:

- the longitudinal coordinate definition:  $(\Delta t, p_t)$ ,  $(\sigma, p_\sigma)$ ,  $(\Delta s, \delta)$ .
- the approximation of the Hamiltonian: exact or expanded.
- the type of Hamiltonian splitting:
  - kick-drift,
  - matrix-kick: keep the linear motion of the original Hamiltonian exact,
  - no splitting: solving the motion exactly.
- the order of the approximation in  $s$ .
- the integrations step inside an element.

Tracking codes can be used:

- for tracking studies: compute the evolution of one or more particle trajectories along beam-line elements for one or more turns and perform post processing analysis (particle loss, frequency maps, invariant reconstruction,...)
- optics studies: compute the sensitivity of the particle trajectories with respect to the initial conditions around a reference trajectories. It can be implemented using TPSA algebraic operations as substitute of floating point ones or by explicit equations. Finite differences on real trajectories may be used as well at the cost of loosing precision on simplistic invariants.

In Sixtrack the build up of an integrator is left to the user when defining the lattice. An exact correspondence between beam line element is not necessarily needed. Sixtrack uses only one particular choice for the longitudinal coordinates. It can be used for periodic structures only around one closed orbit. Sixtrack has the tools to compute linear and non-linear optics using matrix manipulation and TPSA, however it is not meant to be used as an interactive design tool like MAD-X.

## 6 Table of symbols

$\vec{R}$ : moving reference frame origin

$s$ : path length of the reference frame origin trajectory

$\hat{X}, \hat{Y}, \hat{Z}$ : global reference frame bases

$X, Y, Z$ : reference frame origin coordinates  $\vec{R}(s) = X(s)\hat{X} + Y(s)\hat{Y} + Z(s)\hat{Z}$

$\vec{Q}$ : particle position

$\hat{x}, \hat{y}, \hat{z}$ : moving reference frame bases

$x, y$ : transverse particle coordinates  $\vec{Q}(s) = \vec{R}(s) + x(s)\hat{x}(s) + y(s)\hat{y}(s)$

$t(s)$ : time at which the particle is located in the plane  $\hat{x}(s), \hat{y}(s)$

$v, P, E, m, q$ : particle velocity momenta, energy, rest mass, charge

$v_0, P_0, E_0, m_0, q_0$ : reference momentum, energy, rest mass,

$\beta_0, \gamma_0$ : reference relativistic factors

$\Delta t = s/\beta_0 - ct$ : Mad time deviation, canonical conjugate of  $p_t$

$\sigma = s - \beta_0 ct$ : Sixtrack time deviation, canonical conjugate of  $p_\sigma$

$\Delta s = s - \beta ct$  path length deviation, canonical conjugate of  $\delta$

$z = \beta(s/\beta_0 - ct)$  John time deviation, canonical conjugate of  $\delta$

$\rho = 1/h$  Radius of curvature for a moving reference frame in a circular trajectory.

$P_x, P_y, P_s$ : canonical momenta coordinates in a straight or curved reference frame. Note that for a circular trajectory:  $v_s = (1+hx)\dot{s}$ ,  $P_x = m\gamma\dot{x} + qA_x$ ,  $P_y = m\gamma\dot{y} + qA_y$  and  $P_s = m\gamma\dot{s}(1+hx)^2 + q(1+hx)A_s$ .

$p_x = P_x/P_0, p_y = P_y/P_0, p_s = P_s/P_0$  normalized momenta coordinates

$x' = P_x/P_s, y' = P_y/P_s$  transverse divergence coordinates

$x_p = P_x/P, y_p = P_y/P$  approximated divergence coordinates

$\delta = (P - P_0)/P_0$ : normalized momentum deviation

$p_t = (E - E_0)/P_0 c$ : normalized energy deviation

$p_\sigma = (E - E_0)/\beta_0 P_0 c$ : SixTrack energy deviation canonical conjugate of  $\sigma$

$H$  Hamiltonian function

$E_x, E_y, E_s$  Electric fields in a straight or curved reference frame

$B_x, B_y, B_s$  Magnetic fluxes in a straight or curved reference frame

$A_x, A_y, A_s$  Magnetic fluxes in a straight or curved reference frame

## References

- [1] F. Schmidt. SIXTRACK: Single particle tracking code treating transverse motion with synchrotron oscillations in a symplectic manner. Technical Report 94-56, CERN, 1994.
- [2] A. Wrulich. RACETRACK: a computer code for the nonlinear particle motion in accelerators. Technical Report 84-026, DESY, 1984.
- [3] G. Ripken. Non-linear canonical equations of coupled synchro-betatron motion and their solutions within the framework of a non-linear six-dimensional (symplectic) tracking program for ultrarelativistic protons. Technical Report 85-084, DESY, 1985.
- [4] D.P. Barber, G. Ripken, and F. Schmidt. A non-linear canonical formalism for the coupled synchro-betatron motion of protons with arbitrary energy. Technical Report 87-36, DESY, 1987.
- [5] G. Ripken and F. Schmidt. A symplectic six-dimensional thin-lens formalism for tracking. Technical Report DESY 95-63 and CERN/SL/95-12(AP), DESY, CERN, 1995.
- [6] K. Heinemann, G. Ripken, and F. Schmidt. Construction of nonlinear symplectic six-dimensional thin-lens maps by exponentiation. Technical Report 95-189, DESY, 1995.
- [7] D.P. Barber, K. Heinemann, G. Ripken, and F. Schmidt. Symplectic thin-lens transfer maps for SIXTRACK: Treatment of bending magnets in terms of the exact hamiltonian. Technical Report 96-156, DESY, 1996.
- [8] L.H.A. Leunissen, F. Schmidt, and G. Ripken. 6D beam-beam kick including coupled motion. Technical report, 2001.
- [9] A. Latina and R. De Maria. RF multipole implementation. Technical report, CERN-ATS. 2012-088.
- [10] E. Forest. *Beam Dynamics: A New Attitude and Framework*. Harcourt Academic Publisher, 1999.
- [11] F. Willeke and G. Ripken. Methods of beam optics. Technical Report 88-114, DESY, 1988.
- [12] F. C. Iselin. The mad program (methodical accelerator design), physics methods manual. Technical Report CERN/SL/92-??, CERN, 1992.