

**SixTrack**  
Version 4.5.40  
Single Particle Tracking Code Treating Transverse Motion with  
Synchrotron Oscillations in a Symplectic Manner  
**User's Reference Manual**

*F. Schmidt, update by M. Fitterer, J.F. Wagner, S.J. Wretborn, R. De Maria, and K. Sjobak*

**Abstract**

The aim of SixTrack is to track two nearby particles taking into account the full six-dimensional phase space including synchrotron oscillations in a symplectic manner. It allows to predict the long-term dynamic aperture which is defined as the border between regular and chaotic motion. This border can be found by studying the evolution of the distance in phase space of two initially nearby particles. Parameters of interest like nonlinear detuning and smear are determined via a post-processing of the tracking data. An analysis of the first order resonances can be done and correction schemes for several of those resonances can be calculated. Moreover there is the feature to calculate a one-turn map to very high order and the full six-dimensional case, using the LBL differential algebra. This map allows a subsequent theoretical analysis like normal form procedures which are provided by É. Forest [1].

The linear elements are usually treated as thick elements in SixTrack. In that case there is at least one non-zero length element in the structure file which is not a drift-element. If the accelerator, however, is modelled exclusively with drifts and kicks SixTrack automatically uses the thin-lens formalism according to G. Ripken [2]. A common header of output data and the format of these data has been found for MAD and SixTrack tracking data.

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# Chapter 1

## Introduction

The Single Particle Tracking Code SixTrack is optimised to carry two particles<sup>1</sup> through an accelerator structure over a large number of turns. It is an offspring of RACETRACK [3] written by Albin Wrulich and its input structure has been changed as little as possible so that slightly modified RACETRACK input files or those of other offsprings like FASTRAC [4] can be read in.

The main features of SixTrack are:

1. Treatment of the full six-dimensional motion including synchrotron motion in a symplectic manner [5]. The energy can be ramped at the same time considering the relativistic change of the velocity [6].
2. Detection of the onset of chaotic motion and thereby the long-term dynamic aperture by evaluating the Lyapunov exponent.
3. Post-processing procedure allowing
  - calculation of the Lyapunov exponent
  - calculation of the average phase advance per turn
  - FFT analysis
  - resonance analysis
  - calculation of the average, maximum and minimum values of the Courant-Snyder emittance and the invariants of linearly coupled motion
  - calculation of smear
  - plotting using the CERN packages HBOOK, HPLOT and HIGZ [7, 8, 9]
4. Calculation of first-order resonances and of correction schemes for the resonances [10].
5. Calculation of the one-turn map using the differential algebra techniques. The original DA package by M.Berz [11] has been replaced by the package of LBL [1]. The Fortran code is transferred into a Map producing via the (slightly modified) “DAFOR” code [12].
6. The code is vectorised, with two particles, the number of amplitudes, the different relative momentum deviations  $\frac{\Delta p}{p_0}$  in parallel [13].
7. Operational improvements:
  - free format input
  - optimisation of the calculation of multipole kicks
  - improved treatment of random errors
  - each binary data-file has a header describing the history of the run (Appendix D)

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<sup>1</sup>Two particles are needed for the detection of chaotic behaviour.

The SixTrack input is line oriented. Each line of 80 characters is treated as one string of input in which a certain sequence of numbers and character strings is expected to be found. The numbers and character strings must be separated by at least one blank, floating point numbers can be given in any format, but must be distinguished from integer numbers. Omitted values at the end of an input line will keep their default values ( B.1), and lines with a slash “/” in the first column will be ignored by the program.

For detailed questions concerning rounding errors, calculation of the Lyapunov exponent and determination of the long-term dynamic aperture, see [14].

In chapter 3, the input structure of SixTrack is discussed in detail. To facilitate the use of the program, a set of appendices are added, giving a list of keywords (Appendix A), a list of default values (Appendix B), the input and output files (Appendix C), a description of the data structure of the binary data-files (Appendix D) and tracking examples (Appendix E).

## Chapter 2

# Versions and Service

There are two versions: for element by element tracking there is a vector version, and there is a version to produce a one-turn map using the LBL Differential Algebra package. In both cases the input structure file # 2 is used to determine if the thick or thin linear element mode has to be used.

To use the power of the Differential Algebra, for instance to calculate the 6-D closed orbit in an elegant fashion, the tracking versions may also be equipped with a low order map facility to avoid the otherwise huge demand on memory.

It must be mentioned that in the linear thin-lens version dipoles have to be treated in a special way. See section 3.2.1.3 for details.

To convert MAD files into SixTrack input a special conversion program *mad\_6t* [15] has been developed (see also 3.1.5).

The following subroutines are taken from various packages:

Table 2.1: External Routines

Package	Routine	Purpose
NAGLIB	E04UCF, E04UDM, E04UEF, X04ABF	using internally Normal Forms
HBOOK	HBOOK2, HDELET, HLIMIT, HTITLE	graphic basics
HPLOT	HPLAX, HPLCAP, HPLEND, HPLINT,	graphic options
	HPLOPT, HPLSET, HPLSIZ, HPLSOF	
HIGZ	IGMETA, ISELNT, IPM, IPL	graphic output

All versions can be downloaded from the web. The project webpage is found at <http://sixtrack.web.cern.ch/>, and primary source repository is located at <https://github.com/SixTrack/SixTrack>. Older versions can be found at <http://cern.ch/Frank.Schmidt/Source>.

In case of problems, please see the CERN SixTrack egroups “sixtrack-users” and “sixtrack-developers”. If these are not accessible to you, you are welcome to contact the coordinators: Riccardo De Maria and Kyrre Sjobak, as well as the original developer Frank Schmidt. Our contact details are available from the CERN phonebook.

If you think you have found a defect in the program, please create a report on the issue tracker at <https://github.com/SixTrack/SixTrack/issues>. Note that for this to be usefull, you need to describe what the program is doing, what you expected it to do, and an example which demonstrates the unwanted behaviour. Plase also look through the issues that are already listed, and see if it is known. If so, you are welcome to add a comment to the issue, which may influence its priority or give additional and useful information to the developers.

The most up to date version of the documentation can always be found on the GitHub repository

mentioned above. Additionally, various older documentation can be found at <http://cern.ch/Frank.Schmidt/Documentation/doc.html>.

### 2.0.1 Evolution of SixTrack

Lastly, I would like to give a short historical overview how the versions of SixTrack have evolved.

- **Version 1**

The first version has been an upgrade of RACETRACK [3] to include the full 6D formalism for long linear elements by G. Ripken [5].

- **Version 2**

The DA-package and the Normal Form techniques [11, 17] have been added to allow the production of high-order one-turn Taylor maps and their analysis. The 6D thin-lens formalism [2] has also been included to speed-up the tracking without appreciable deterioration of the accelerator model for very large Hadron colliders like the LHC.

- **Version 3**

For the present version the beam-beam kick à la Bassetti and Erskine [18] has been included together with the 6D part by Hirata et al. [19]. Moreover, this 6D part has been upgraded to include the full 6D linear coupling [20]. Lastly, the LBL DA-package has replaced the original one by Berz and all operations, needed to set-up the accelerator structure, are now performed with the help of Forest's LieLib package [1].

- **Version 4 – in preparation**

Upgrading the program to FORTRAN90. This is of interest in particular as É. Forest has wrapped his tools in this more powerful language. Using operator overloading it will be possible to perform the map production with a code which is almost identical to that which does the normal tracking.

Update version history



## Chapter 3

# Input Structure

The idea of RACETRACK input is to use a sequence of input blocks, each block with a specific keyword in the first line, the keyword “NEXT” in the last line and the input data in the lines in between. The keyword “ENDE” ends this sequence, and all blocks after this keyword are ignored. This system makes it easy to read input and allows easy change and addition of input blocks. It was therefore also used in SixTrack.

### 3.1 General Input

#### 3.1.1 Program Version

**Description** The *Program Version* input block determines if all of the input will be in the input file # 3 or if the geometry part of the machine (see 3.2) will be in a separate file # 2. The latter option is useful if tracking parameters are changed but the geometry part of the input is left as it is. The geometry part can be produced directly from a MAD input file (see 3.1.5).

**Keyword** FREE or GEOM

**Number of data lines** 0

**Format** *keyword comment title*

**keyword** The first four characters of the first line of the input file # 3 are reserved for the keyword (FREE for free format input with all input in file # 3; GEOM if the geometry part is in file # 2)

**comment** Following the first four characters, 8 characters are reserved for comments

**title** The next 60 characters are interpreted as the title of the output file # 6

#### 3.1.2 Print Selection

**Description** Use of the *Print Selection* input block causes the printing of the input data to the output file # 6. It is advisable to always use this input block to have a complete protocol of the tracking run.

**Keyword** PRIN

**Number of data lines** 0

### 3.1.3 Comment Line

**Description** An additional comment can be specified with this block. It will be written to the binary data files (Appendix D) and will appear in the post-processing output as well.

**Keyword** COMM

**Number of data lines** 1

**Format** A string of up to 80 characters.

### 3.1.4 Iteration Errors

**Description** For the processing procedures, the number of iterations and the precision to which the processing is to be performed are chosen with the *Iteration Errors* input block. If the input block is left out, default values will be used.

**Keyword** ITER

**Number of data lines** 1 to 4

**Format** Each data line holds three values as in table 3.1, except for the fourth line one which the horizontal and vertical aperture limits can be additionally specified. This has been added to avoid artificial crashes for special machines.

Table 3.1: Iteration Errors

data line	integer	double	default value	number of iterations for	demanded precision of	variations of
1	ITCO		50	closed orbit calculation		
		DMA	1e-12		closed orbit displacements	
		DMAP	1e-15		derivative of closed orbit displacements	
2	ITQV		10	Q adjustment		
		DKQ	1e-10			quadrupole strengths
		DQQ	1e-10		tunes	
3	ITCRO		10	chromaticity correction		
		DSM0	1e-10			sextupole strengths
		DECH	1e-10		chromaticity correction	
4		DE0	1e-9			momentum spread for chromaticity calculation
		DED	1e-9			momentum spread for evaluation of dispersion
		DSI	1e-9		desired orbit r.m.s. value; compensation of resonance width	
		APER(1)	1000[mm]		horizontal aperture limit	
		APER(2)	1000[mm]		vertical aperture limit	

### 3.1.5 MAD – SixTrack Conversion

**Description** A converter has been developed [15] which is directly linked to MAD8. It produces the geometry file # 2; an appendix to the parameter file # 3 which defines which of the multipole errors are switched on; the error file # 16 and the file # 8 which holds the transverse misalignments and the tilt of the nonlinear kick elements. It also produce a file (unit 34) with linear lattice functions, phase advances and multipole strengths needed for resonance calculations for the program *SODD* [21].

## 3.2 Machine Geometry

### 3.2.1 Single Elements

**Description** The *Single Elements* input block defines the name and type of linear and nonlinear elements, the inverse bending radius or multipole strength respectively, and the strength and length of the elements. Linear and nonlinear elements are distinguished by length; linear elements have a nonzero length and nonlinear elements have zero length. Both kinds of elements can appear in the input block in arbitrary order. The input line has a different format for linear and nonlinear elements. Moreover, the multipoles, being a set of nonlinear elements, are treated in a special way. The maximum number of elements is set as a parameter (see Appendix B.2).

**Keyword** SING

**Number of data lines** variable

**Format** See the following three sections.

#### 3.2.1.1 Linear Elements

**Description** Each linear single element has a name, type, inverse bending radius, focusing and a nonzero length.

**Format** *name type  $\varrho^{-1}$  K length*

**name** May contain up to sixteen characters

**type** As shown in the table 3.2

$\varrho^{-1}$  Inverse bending radius in  $\text{m}^{-1}$

**K** Focusing strength in  $\text{m}^{-2}$

**length** Magnet length in meters

Table 3.2: Different Types of Linear Elements

type	$\varrho^{-1}$	K	description
0	0	0	drift length magnet
1	X	0	horizontal (rectangular) bending
2	0	X	quadrupole (– focusing, + defocusing)
3	X	0	horizontal (sector) bending
4	X	0	vertical (rectangular) bending
5	X	0	vertical (sector) bending
6	X	X	horizontal combined function magnet
7	X	X	vertical combined function magnet
8	X	0	edge focusing

**Remarks**

1. For the horizontal plane the bending radius is defined to be negative ( $\varrho < 0$ ). This is different from other programs like MAD [22].
2.  $K < 0$  corresponds to a horizontal focusing quadrupole.
3. For the length of an edge focusing element (type=8) the same value must be used as for the corresponding bending magnet. A sector bending magnet is transformed into a rectangular magnet with an edge focusing element of positive length on either side, while for the opposite transformation a negative length is required.
4. It is important to note that the splitting of a rectangular magnet, which is sometimes necessary if multipole errors are to be introduced, does change the linear optics. It is therefore advisable to replace the rectangular magnet with a sector magnet, which can be split without affecting the linear optics, and make an overall transformation into a rectangular magnet via edge focusing elements. Do not forget to use the total length of dipole as the length of the edge focusing element.

**3.2.1.2 Nonlinear Elements**

**Format** *name type  $K_n$ -strength r.m.s.-strength length*

**name** May contain up to sixteen characters

**type** As shown in table 3.3

**$K_n$ -strength** Average multipole strength

**r.m.s.-strength** Random multipole strength

**length** Must be  $\geq 0$

Table 3.3: Different Types of Nonlinear Elements

type	strength	description
0	–	observation point (for instance for aperture limitations)
1 –1	$b_1[\text{rad} \cdot \text{m}^0]$ $a_1$	horizontal bending kick vertical bending kick
2 –2	$b_2[\text{rad} \cdot \text{m}^{-1}]$ $a_2$	normal quadrupole kick skew quadrupole kick
$\vdots$		
10 –10	$b_{10}[\text{rad} \cdot \text{m}^{-9}]$ $a_{10}$	normal 20 <sup>th</sup> pole skew 20 <sup>th</sup> pole

**Remarks**

1. Because the horizontal bending magnet is defined to have a negative bending radius, the sign for normal elements is different from other programs like MAD, while skew elements have the same sign.
2. Again contrary to other programs the factor  $(n - 1)!$  is already included in the multipole strength, which is defined as follows:

- for normal elements  $b_n(\text{SixTrack}) = \frac{-1}{(n-1)!} L_{\text{element}} b_n(\text{MAD})$
- for skew elements  $a_n(\text{SixTrack}) = \frac{1}{(n-1)!} L_{\text{element}} a_n(\text{MAD})$

3. Unlike in RACETRACK, the horizontal and vertical displacements do not fit into the 80 character input lines of SixTrack. They have to be introduced in a separate *Displacements of Elements* input block (see 3.2.4).

### 3.2.1.3 Multipole Blocks

**Description** A set of normal, normal-r.m.s., skew and skew-r.m.s. errors can be combined effectively. The actual values for the strengths have to be given in a separate *Multipole Coefficient* input block (see 3.3.1) which must have the same name. To consider the curvature of dipoles which are replaced by drifts and dipole kicks this block is used in two different ways.

**Format** *name type cstr cref length*

- Marker for high order kick (default)

**name** May contain up to sixteen characters

**type** Must be = 11

**cstr** The bending strength given in the *Multipole Coefficient* input block ( 3.3.1) is multiplied with this factor.

**cref** The reference radius given in the *Multipole Coefficient* input block ( 3.3.1) will be multiplied by this factor. If it is zero the multipole block will be ignored.

**length** Must be = 0

- Default + dipole curvature

**name** May contain up to sixteen characters

**type** Must be = 11

**cstr** The bending strength [rad] of horizontal or vertical dipole.

Internally the value is set to one to allow the processing of a multipole block ( 3.3.1).

**cref** The length [m] of the dipole that is approximated by a kick. Internally this value is set to one to allow the processing of a multipole block ( 3.3.1).

**length**

- *length* = -1 : horizontal dipole
- *length* = -2 : vertical dipole

**Remark** The definition of the multipole strength in a block will be given in ( 3.3.1).

### 3.2.1.4 Cavities

**Format** *name type u0 harm lag*

**name** May contain up to sixteen characters

**type** Type identifier is +12 and -12 for above and below transition energy respectively.

**u0** Circumference voltage in [MV]

**harm** Harmonic number

**lag** Lag angle [degrees] in the cavity (zero is default)

**3.2.1.5 Beam–Beam Separation****Format** *name type h-sep v-sep strength-ratio  $\sigma_{\text{hor}}^2$   $\sigma_{\text{ver}}^2$   $\sigma_{\text{lon}}^2$* **name** May contain up to sixteen characters**type** 20**h-sep** Horizontal beam–beam separation [mm]**v-sep** Vertical beam–beam separation [mm]**strength-ratio** Strength ratio with respect to the nominal beam–beam kick strength. This is useful, in particular for 4D, to allow for splitting one beam–beam kick into several (longitudinally close by) kicks. $\sigma_{\text{hor}}^2$  when the flag  $lhc = 2$  is set in the BEAM block of the fort.3 file, this column represent the horizontal  $\sigma$  for the strong beam [mm<sup>2</sup>] $\sigma_{\text{ver}}^2$  when the flag  $lhc = 2$  is set in the BEAM block of the fort.3 file, this column represent the vertical  $\sigma$  for the strong beam [mm<sup>2</sup>] $\sigma_{\text{lon}}^2$  this variable is for future purposes, at the present it is always equal to zero.**Remark** These beam–beam elements become active when the “Beam–Beam” input block 3.3.5 is used.**3.2.1.6 “Phase–trombone” or matrix element****Format** *name type***name** May contain up to sixteen characters**type** 22**Remark** These “trombone” elements become active when the “Phase Trombone Element” input block 3.3.6 is used.**3.2.1.7 AC dipole****Format** *name type ACdipAmp Qd ACdipPhase***name** May contain up to sixteen characters**type** Type identifier is +16 and −16 for horizontal and vertical AC dipoles respectively.**ACdipAmp** Maximum excitation amplitude [Tm].**Qd** Excitation frequency in units of  $[2 \times \pi]$ .**ACdipPhase** Phase of the harmonic excitation in radians.**Remark** The length of the ramps and the flat top are specified in the “Displacement” block 3.2.4. The energy introduced in the “Initial coordinates” block 3.6.2 is used to compute the deflection angle.

### 3.2.1.8 Crab Cavity

**Format** *name type Voltage Frequency Phase*

**name** May contain up to sixteen characters

**type** Type identifier is +23 and −23 for horizontal and vertical crab cavities respectively.

**Voltage** Crab Cavity voltage [MV].

**Frequency** Crab Cavity frequency [MHz].

**Phase** Phase of the excitation in radians.

### 3.2.1.9 Beam Position Monitor

**Format** *BPMname 0 0 0 0*

**BPMname** Must start with “BP” and maybe followed by fourteen characters.

**Remark** This element dumps the coordinates of the 1st particle to the file with name *BPMname*. The file contains 7 columns:  $x, x', y, y', ct, \delta p/p$  and  $E$ . Usual SixTrack units are used. Any number of BPM elements can be used but the names must differ.

### 3.2.1.10 Other element types

Some other elements, such as dipole edge (24), solenoid (25), multipole RF kicks ( $\pm 26, \pm 27, \pm 28$ ) are accepted by SixTrack, but they are not currently supported by the development team or tested for correctness. It is therefore advised to not use these elements.

## 3.2.2 Block Definitions

**Description** In four-dimensional transverse tracking, the linear elements between nonlinear elements can be combined to a single linear block to save computing time.

**Keyword** BLOC

**Number of data lines** variable but at least one

**Format**

- first data line: *mper msym(1) ... msym(mper)* (integers)
- from second data line on: *block-name {element-name}*

**mper** Number of super-periods. The following set of blocks is considered a *super-period*. The accelerator consists of *mper* super-periods.

**msym(i)**  $\pm 1$  for each super-period. If *msym(i)*=1, the *i*'th super-period will be built up in the order in which linear elements appear in the blocks below. If *msym(i)*=−1, the super-period will be built up in reverse order.

**block-name** The name of the block with up to sixteen characters

**element-name** The element names have to appear as a linear element in the list of “single elements” (3.2.1.1). If one line is too short to contain all the elements of a block, a line with additional elements to the same block can be added. At least 5 (five) blanks must appear at the beginning of the extra line so that names of blocks and names of linear elements in a block can be distinguished.

**Remarks**

1. When synchrotron oscillation is introduced, the linear elements can no longer be lumped into one block, because in that case even a drift length magnet is a nonlinear element with respect to the longitudinal plane. However, the block structure is still kept to make use of the speed-up in case one can restrict the studies to the four-dimensional case.
2. The maximum number of blocks and the maximum number of entries in each block are defined as parameters (Appendix B.2).
3. The inversion of a super-period ( $msym(i) = -1$ ) is presently no longer allowed.

**3.2.3 Structure Input**

**Description** The model of the accelerator is put together by constructing a sequence of blocks of linear elements, nonlinear elements, observation points, and possibly a cavity with the keyword “CAV” used if this name does not appear in the list of single elements ( 3.2.1) with type  $\pm 12$ . In that case, its parameters are given in the *Synchrotron Oscillations* input block ( 3.6.3).

**Format** { *structure-element* | CAV | GO }

**structure-element** Structure elements must appear as nonlinear and observation elements in the single element list or in the list of blocks of the *Block Definition* input block ( 3.2.2).

**CAV** A cavity can be introduced by a keyword “CAV”. This element does not appear in the single element list ( 3.2.1).

**GO** Starting point: the keyword “GO” denotes where the tracking is started and where the tracked coordinates are recorded at each turn.

**Remark** Repetition of parts of the structure is indicated by parentheses with a multiplying factor N in front of them. If the left parenthesis “(” occurs in a line of input, the factor N is expected to be found in the preceding characters. If the characters are blank, N is set to 1. The right parenthesis “)” signals the end of the sequence to be repeated.

**3.2.4 Displacement of Elements**

**Description** This block allows to displace nonlinear elements in horizontal and vertical positions. With the r.m.s. values of the horizontal and vertical displacements it is possible to achieve a displacement that is different from element to element.

To simulate a measured closed orbit at the position of nonlinear elements, it is convenient to use the *Displacement of Elements* input block instead of trying to produce a closed orbit by dipole kicks.

**Keyword** DISP

**Number of data lines** variable

**Format** *name xd xdrms yd ydrms*

**name** Name of the element which is displaced

**xd** Horizontal displacement [mm]

**xdrms** R.m.s. of horizontal displacement [mm]

**yd** Vertical displacement [mm]



**ydrms** R.m.s. of vertical displacement [mm]

In the case of an AC dipole these variables are not meant for displacing this element but are used for the following AC dipole parameters:

**Format** *name nfree nramp1 nplato nramp2*

**name** May contain up to sixteen characters

**nfree** Number of turns free of excitation at the beginning of the run.

**nramp1** Number of turns to ramp up the excitation amplitude from 0 to *ACdipAmp*.

**nplato** Number of turns of constant excitation amplitude.

**nramp2** Number of turns to ramp down the excitation amplitude.

**Remark** In RACETRACK the displacements had been included in the *Single Element* input block ( 3.2.1). In SixTrack they must be given in the separate *Displacement of Elements* input block because of the limited length of one line of input.

### 3.3 Special Elements

One advantage of SixTrack, that has been adopted from RACETRACK, is that it easily allows to define elements for a specific purpose. The special elements implemented till now are found in this section.

#### 3.3.1 Multipole Coefficients

**Description** Sets of normal and skew multipoles of up to tenth order, each with an r.m.s. value, can be combined with this block. The multipole kick is calculated using a Horner scheme which saves considerably in computation time. Moreover, using the multipole block reduces the number of elements in the single element list ( 3.2.1).

**Keyword** MULT

**Number of data lines** 2 to 12

**Format**

- first data line: *name R<sub>0</sub> δ<sub>0</sub>*
- data lines 2 to 12: *B<sub>n</sub> r.m.s.-B<sub>n</sub> A<sub>n</sub> r.m.s.-A<sub>n</sub>*

**name** Name of the multipole block which must appear in the list of single elements ( 3.2.1.3).

*R<sub>0</sub>* Reference radius (in mm) at which the magnet errors are calculated. This makes it convenient to use values from field measurements.

*δ<sub>0</sub>* Bending strength of the dipole (in mrad). Field errors of line 2–11 are taken to be relative to the bending strength.

**Remarks**

1. The  $B_n$  and  $A_n$  are related to the  $b_n, a_n$  of the single nonlinear element ( 3.2.1.2) in the following way:

$$b_n = \delta_0 B_n R_0^{1-n} 10^{3n-6}; a_n = \delta_0 A_n R_0^{1-n} 10^{3n-6}$$

2. The sign convention and the factorial ( $n!$ ) are treated as for the single nonlinear elements in ( 3.2.1.2).
3. Multipoles of different names can be set to be equal using the “ORG” input block.
4. 22-poles are included ( $n = 11$ ). By enlarging the parameter “MMUL”(Appendix B.2) up to 40-poles (MMUL=20) can be treated. To make the change of MMUL effective, it is of course necessary to recompile the program.

**3.3.2 Aperture Limitations**

**Description** This input data block is used to introduce additional collimators or aperture limitations in the machine. Each nonlinear element can be used for this purpose. Rectangular or elliptical shapes of the aperture limitations are allowed. On top of that there is a general (rectangular) aperture check at each non-zero length element. The general aperture values are chosen to be large enough ( B.1) to define the short-term dynamic aperture.

**Keyword** LIM

**Number of data lines** variable

**Format** *name type-of-limitation xaper yaper*

**name** The name of any nonlinear (zero length) element in the *Single Element* input block ( 3.2.1.2) except multipole blocks ( 3.2.1.3).

**type-of-limitation** Two types of aperture limitations are allowed:

“RE” for a rectangular aperture shape, i.e.

$$x_i < \text{xaper}, y_i < \text{yaper}$$

“EL” for an elliptical aperture shape, i.e.

$$\frac{x_i^2}{\text{xaper}^2} + \frac{y_i^2}{\text{yaper}^2} < 1$$

**xaper** Aperture in the horizontal plane in mm

**yaper** Aperture in the vertical plane in mm

**3.3.3 Power Supply Ripple**

The RIPP block is been deprecated since release 4.5.20, and the functionality is now provided by the DYNK block (3.3.4). A fort.3 file containing a RIPP block is therefore no longer valid, and will result in an error message. The description below is therefore only provided as a reference for those who need to convert old input files.

**Description** If power supply ripple is to be considered this input data block can be used. A nonlinear quadrupole is expected as a ripple element (type=2 and zero length in the single element list ( 3.2.1.2)), but in principle other nonlinear elements are also allowed. Ripple depth, ripple frequency and starting phase of the ripple frequency are the input parameters.

**Keyword** RIPP

**Number of data lines** variable

**Format** *name ripple-depth ripple-frequency start-phase nrtun*

**name** Name of the nonlinear element in the “single element” block ( 3.2.1.2)

**ripple-depth** Maximum kick strength of the ripple element, a quadrupole kick is usually expected

**ripple-frequency** Given in number of turns (a real value is allowed) of one ripple period

**start-phase** Initial phase of the ripple element

**nrtun** Initial number of turns, for prolongation runs the number of turn already done

### 3.3.4 Dynamic Kicks

**Description** The DYNamic Kicks module [32] allows time-dependent modification of the settings of single elements. The supported elements and attributes are listed in Table 3.5. The settings can be computed on-the fly using several functions, loaded from input files or a combination, as described in Table 3.4.

Further, unless explicitly switched off using a NOFILE statement, DYNK produces an output file “dynksets.dat”. This file contains the setting of all elements and attributes for which DYNK is active. It is written in all turns of the simulation, even if DYNK is not active in that exact turn.

**Keyword** DYNK

**Number of data lines** variable

**Format** There are four types of statements possible in a DYNK block, listed below. On top of this, lines starting with “/” are treated as a comment and ignored.

**FUN** *FUN function-name function-type arg1 arg2 arg3 ...*

This statement defines a function, i.e. something which when evaluated produces a numerical value which can be used to set the value of an element attribute. The functions in DYNK all have a unique name, and they may take up to 7 arguments (a limitation imposed by the internal parameter *getfields.n\_max\_fields*). The function type must be one of those listed in Table 3.4. A function may be defined so that it uses the result of another function, which must be defined above it in the DYNK block. This requirement avoids any possibility for infinite recursion. The functions are only evaluated when needed, i.e. when used by a SET statement in that turn. The functions may thus be evaluated multiple times in one turn (if used by multiple SET statements which are active in that turn, or referenced by multiple other FUN statements which are themselves used more than once in that turn), or it may not be evaluated at all. The functions are always evaluated as a function of the current turn number  $t$ , which may be shifted by a turn-shift specified in a SET statement.

Table 3.4: Available function types in DYNK.

Type name	Arguments	Description
“System” functions		
GET	<i>element-name</i> [string]	Extracts the original value of a setting, i.e. as specified in the SINGLE ELEMENT section.
FILE	<i>function-name</i> [string] <i>filename</i> [string]	Loads the settings from file; the file is expected to be an ascii file with two columns where the first column is the turn number (should start at 1 and include all turns up to as long as is wanted), and the second column is the value for that turn number.
FILELIN	<i>filename</i> [string]	Similar to FILE, but any double can be used as the turn number as long as they are monotonically rising. When evaluated, the function interpolates from the line-segments specified in the file.
PIPE	<i>inPipeName</i> [string] <i>outPipeName</i> [string] <i>ID</i> [string] <i>fileUnit</i> [int]	Uses a pair of UNIX FIFOs, through which it can communicate with an external program. When evaluated, it sends a message through the outpipe, and then waits for a message on the inpipe which should contain the value the FUN should returned. The ID is used in case several DYNK PIPE FUNs are using the same outPipe and inPipe, so that the controlling external program can choose what to calculate. Note that it will use both <b>fileUnit</b> and <b>fileUnit+1</b> , and if several PIPE FUNs are using the same file, they must also use the same <b>fileUnit</b> . For more details, see the example below. Also note that PIPE is not available in the checkpoint/restart version of SixTrack.
RANDG	<i>seed1</i> [int] <i>seed2</i> [int] <i>mu</i> [real] <i>sigma</i> [real] <i>mcut</i> [int]	Returns a pseudorandom number generated from a Gaussian distribution. The mean value and width is controlled by <i>mu</i> and <i>sigma</i> , while <i>mcut</i> is the maximum number of sigmas to generate numbers up to, set to 0 to disable this cut. The integers <i>seed1</i> and <i>seed2</i> are the seed used to initialize the RANECU generator. Note that every RANDG function defined in DYNK uses its own separate random number stream.
(The table continues on the next page)		

Type name	Arguments	Description
Filters		
FIR	$N[\text{int}]$ $filename[\text{string}]$ $baseFun[\text{string}]$	Applies a Finite Impulse Response (FIR) filter of order $N$ to the function $baseFun$ . The output is given as $y[t] = \sum_{i=0}^N b_i * x[t-i]$ , where $t$ is the current turn and $x[t-0]$ is the result of the most recent call to $baseFun$ . The coefficients $b_0 \dots b_N$ and initial values of $x[t-0] \dots x[t-N]$ are loaded from the given file $filename$ , which is a space-separated ascii file with three columns. These columns are (1) row index $[int]$ , (2) coefficients $b_i$ $[float]$ and (3) initial values of the $x[]$ array $[float]$ . The row indices are expected to go from 0 to at least $N$ in steps of 1. Note that the filter is stepped once per call, i.e. the array $x[]$ is shifted once every time the FUN is called. Also note that when called, the filter is first stepped, then the new value is filled into the first position in $x[]$ , and finally the sum is evaluated. This means that the last value in the $x[]$ array is never used, while the first value ( $x[t-0]$ ) is immediately pushed into $x[t-1]$ before the first evaluation.
IIR	$N[\text{int}]$ $filename[\text{string}]$ $baseFun[\text{string}]$	Applies an Infinite Impulse Response (IIR) filter of order $N$ to the function $baseFun$ . This is very similar to FIR, except that it also uses its own previous outputs. The sum is thus written as $y[t] = \sum_{i=0}^N b_i * x[t-i] + \sum_{i=1}^N a_i * y[t-i]$ . The file $filename$ is identical to that which is used for FIR, except for adding two more columns. These columns are (4) $a_0 \dots a_N$ $[float]$ and (5) initial values for the $y[]$ array $[float]$ . Note that $a_0$ is never used, and the value of $y[t-0]$ is pushed back to $y[t-1]$ before the first evaluation of the sum, such that $y[t-N]$ is never used.
2-operand operators		
ADD	$function-name-1[\text{string}]$ $function-name-2[\text{string}]$	Evaluate the functions referenced by $function-name-1$ and $function-name-2$ , and return the sum of the results.
SUB	$function-name-1[\text{string}]$ $function-name-2[\text{string}]$	Similar to ADD, but return the result of function1 minus function2.
MUL	$function-name-1[\text{string}]$ $function-name-2[\text{string}]$	Similar to ADD, but return the product of the results.
DIV	$function-name-1[\text{string}]$ $function-name-2[\text{string}]$	Similar to ADD, but return the result of function1 divided by function2
POW	$function-name-1[\text{string}]$ $function-name-2[\text{string}]$	Similar to ADD, but return the result of function1 raised to the power of function2.
(The table continues on the next page)		

Type name	Arguments	Description
1-operand operators		
MINUS	<i>function-name</i>	Returns the value of the named function, with the opposite sign.
SQRT	<i>function-name</i>	Returns the square root of the value generated by the named function.
SIN	<i>function-name</i>	Returns the sine of the value generated by the named function.
COS	<i>function-name</i>	Returns the cosine of the value generated by the named function.
LOG	<i>function-name</i>	Returns the natural logarithm of the value generated by the named function.
LOG10	<i>function-name</i>	Returns the common logarithm of the value generated by the named function.
EXP	<i>function-name</i>	Returns the natural exponential function $e^x$ , where $x$ is the value generated by the named function.
Polynomial and elliptical functions		
CONST	<i>value[real]</i>	Always returns the value specified.
TURN	(none)	Return the turn number, i.e. $y(t) = t$ .
LIN	<i>a[real] b[real]</i>	Computed value from the linear function $y(t) = a \cdot t + b$ .
LINSEG	<i>x1[real] x2[real] y1[real] y2[real]</i>	The function is defined by a line segment between the points $(x_1, y_1)$ and $(x_2, y_2)$ , and undefined for $x < x_1$ and $x > x_2$ . It is required that $x_1 < x_2$ .
QUAD	<i>a[real] b[real] c[real]</i>	Computed value from the quadratic function $y(t) = a \cdot t^2 + b \cdot t + c$ .
QUADSEG	<i>x1[real] x2[real] y1[real] y2[real] deriv1[real]</i>	The quadratic function is defined by overlapping the quadratic curve segment which passes through the points $(x_1, y_1)$ and $(x_2, y_2)$ , and $dy/dx$ at $x_1$ is <i>deriv1</i> . The quadratic coefficients $a, b, c$ are calculated as $a = \frac{deriv1}{x_1 - x_2} + \frac{y_2 - y_1}{(x_1 - x_2)^2}$ , $b = \frac{y_2 - y_1}{x_2 - x_1} - (x_1 + x_2) \cdot a$ and $c = y_1 + (-x_1^2 \cdot a - x_1 \cdot b)$ .
Trancendental functions		
SINF	<i>A[real] phi[real] omega[real]</i>	Computes $y(t) = A \sin(\omega t + \phi)$ .
COSF	<i>A[real] phi[real] omega[real]</i>	Computes $y(t) = A \cos(\omega t + \phi)$ .
COSF_RIPP	<i>A[real] phi[real] period[real]</i>	Computes $y(t) = A \cos\left(\frac{2\pi(t-1)}{\text{period}} + \phi\right)$ . This specialized cosine is provided for compatibility, to be used when replacing old <i>RIPP</i> blocks.
(The table continues on the next page)		

Type name	Arguments	Description
Specialized functions		
PELP	$tinj[real]$ $Iinj[real]$ $Inom[real]$ $A[real]$ $D[real]$ $R[real]$ $te[real]$	<p>This function describes a patched “Parabolic-Exponential-Linear-Parabolic” function, as used for ramping the LHC dipoles and described in [33, Appendix C] and [34]. The parameters are:</p> <ul style="list-style-type: none"> <li>• The injection time <math>tinj</math>, which is the time (in turn numbers) when the ramp starts.</li> <li>• The injection value <math>Iinj</math>, which is the value when <math>t \leq t_{inj}</math></li> <li>• The final value <math>Inom</math>, which is the value after the end of the ramp.</li> <li>• The acceleration parameter <math>A</math>, which describes how fast the current is growing in the first (parabolic) segment.</li> <li>• The deceleration parameter <math>D</math>, which describes how fast the current growths flattens out in the forth (parabolic) segment.</li> <li>• The ramp rate <math>R</math>, which describes the maximum ramp rate, seen in the third (linear) segment.</li> <li>• The start time of the ramp <math>te</math>, which describes at what time it switches from the parabolic (first) to the exponential (second) segment.</li> </ul>

**SET** *SET element-name attribute-name function-name first-turn last-turn turn-shift*

This statement defines an element setpoint, which changes an element/attribute to the value computed by the given function. The *SET* becomes active when the turn number reaches *first-turn*, and switches off once *last-turn* has been passed. When switched off, the value applied in **last-turn** stays for the rest of the simulation, or until overwritten by another *SET*. If *last-turn* equals -1, the *SET* is active until the end of the simulation. The element type and attribute combinations which can be used in DYNK is shown in Table 3.5.

The argument *turn-shift* is an integer (positive, negative, or zero) number which is added to the current turn number before computing the function. Thus, in order to (as an example) apply an exponential decay from the value  $v_0$  starting in turn  $t_0$  using the function defined as  $f(t) = V_0 \exp(-t/\tau)$ , a *turn-shift*  $-t_0$  should be applied.

In addition to changing single element attributes, it is also possible to use DYNK to change certain global attributes such as the reference energy. This is done through the “element” **GLOBAL VARS**; for example one may want to simulate an energy ramp following the function **eramp** throughout the whole simulation. For this, one would use the *SET* command “**SET GLOBAL-VARS E0 eramp 1 -1 0**”. Because of this, SixTrack does not accept a real single element in **fort.2** named **GLOBAL-VARS** if DYNK is active.

**NOFILE** The presence of this statement in a DYNK block switches off the normal writing of the output file “dynksets.dat” in every line, instead producing a file only containing the message “### DYNK file output was disabled with flag NOFILE in fort.3 ###”. This can be useful to save disk space in very long simulations.

**DEBU** This statement switches on extra “debugging” output from DYNK. This can be useful if debugging the code or if debugging the input.

Table 3.5: Element types and attributes available in DYNK.

Element type (idx)	Attribute	Units	Description
Standard thin elements ( $\pm 1 - \pm 10$ ), Section 3.2.1.2	<b>average_ms</b>	radians * m <sup>-n</sup>	See Table 3.3
RF cavities ( $\pm 12$ ), Section 3.2.1.4	<b>voltage</b> <b>harmonic</b> <b>lag_angle</b>	MV – degrees	One-turn accelerating voltage Harmonic number of the cavity Lag angle of the cavity
RF multipoles ( $\pm 23, \pm 26 - \pm 28$ ), Section 3.2.1.8	<b>voltage</b> <b>frequency</b> <b>phase</b>	MV MHz radians	Kick voltage Frequency Offset between zero-crossing and ideal bunch center
Electron lens ( $\pm 29$ ), Section 3.3.8	<b>thetamax</b>	mrad	Maximum angular kick
GLOBAL-VARS Not a real element, changes global variable	E0	MeV	Reference energy of synchronous particle

**Output file *dynksets.dat*** When a DYNK block is present in the input file, a file “dynksets.dat” is created and in the current working directory. Unless a *NOFILE* statement is present, this file contains first a header “# turn element attribute SETidx funname value”, followed by rows of data in the format specified in the header. This data is written for all element/attribute combinations and in all turns, whether a SET is active for this element/attribute in this turn or not. If no *SET* is active when the line is written out, the *SETidx* is written as -1, and the *funname* is “N/A”. If a SET is active when the line is written out, the *SETidx* is the index of the currently active *SET* statement, where the first statement occurring in fort.3 has index 1 etc. Similarly, the *funname* is the name referencing the currently active *FUN* statement.

## Examples

**Replacement of RIPP block** One use of the DYNK block is to replace the functionality of the RIPP block (Section 3.3.3). The FUN type COSF\_RIPP is provided for exactly this purpose, and provides an exact replacement. As an example, the RIPP block in the SixTest test-case prob1 looks like (slightly reduced in size):

```
RIPPLE OF POWER SUPPLIES-----
      dmqx1f50l5+2      3.2315D-10      224.9
      dmqx2af50l5+2     -3.2315D-10      224.9
      dmqx1f10mel5+2     2.5246D-16      0.0011245
NEXT
```

This can be replaced by the following:

```
DYNK
NOFILE
FUN RIPP-dmqx1f50l5+2 COSF_RIPP 3.2315D-10 224.9 0.0
SET dmqx1f50l5+2 average_ms RIPP-dmqx1f50l5+2 1 -1 0
FUN RIPP-dmqx2af50l5+2 COSF_RIPP -3.2315D-10 224.9 0.0
SET dmqx2af50l5+2 average_ms RIPP-dmqx2af50l5+2 1 -1 0
FUN RIPP-dmqx1f20kl5+2 COSF_RIPP 2.5246D-12 0.56225 0.0
SET dmqx1f20kl5+2 average_ms RIPP-dmqx1f20kl5+2 1 -1 0
NEXT
```



Here, each RIPP data line is replaced with two lines, one FUN statement for generating the function, and one SET statement for applying the value. Note that the SET statements have an end-time “-1”, meaning it is used until the end of the simulation. Also note the presence of the NOFILE flag, which is used to not generate a potentially very large (for very long simulations) dynkfile.dat output file.

**Starting tracking inside a bump** This example was taken from the paper [32], and demonstrates how a bump can be temporarily disabled if the starting point of the tracking is inside of it. The reason for doing this is removing the necessity of generating a starting distribution with the bump already applied. Here, the HL-LHC v1.1 lattice is used, with vertical crab cavities around the first interaction point (IP1, ATLAS), which is also the point where the tracking is started. The crab cavities opening the bump are called CRAB\_IP1\_L1...4, while the closing cavities are CRAB\_IP1\_R1...4. The DYNK block for this looks like:

```
DYNK
FUN zero CONST 0.0
FUN CV_1R1 Get CRAB_IP1_R1 voltage
FUN CV_1R2 GET CRAB_IP1_R2 voltage
FUN CV_1R3 GET CRAB_IP1_R3 voltage
FUN CV_1R4 GET CRAB_IP1_R4 voltage
SET CRAB_IP1_R1 voltage zero 1 1 0
SET CRAB_IP1_R2 voltage zero 1 1 0
SET CRAB_IP1_R3 voltage zero 1 1 0
SET CRAB_IP1_R4 voltage zero 1 1 0
SET CRAB_IP1_R1 voltage CV_1R1 2 2 0
SET CRAB_IP1_R2 voltage CV_1R2 2 2 0
SET CRAB_IP1_R3 voltage CV_1R3 2 2 0
SET CRAB_IP1_R4 voltage CV_1R4 2 2 0
NEXT
```

Here, the function “zero” is defined such that it always returns 0.0, and is used to switch off the closing cavities in the first turn, i.e. when the beam exits the bump. Further, the functions CV\_1R1...1R4 and CV\_1L are used to store the original value of the voltages, without having to explicitly enter them into the DYNK block.

The SET statements then first sets the voltage of all the cavities to zero in turn 1, and then in turn 2 sets it to their respective “switched on” voltages. The SET statements end after turn 2, but the last values are retained.

This means that when the simulation starts with the bunch in IP1, it exits the bump without any kicks from the closing crab cavities. It then comes around (still in turn 1), and encountered the switched-on opening cavities CRAB\_IP1\_L1...4, which crabs the beam. After passing through IP1, the turn counter is increased from 1 to 2, triggering the SET statements to switch on the closing cavities CRAB\_IP1\_R1...4 as well.

**Ramp and exponential decay of crab voltage, combined with a linear drift of crab phase** This slightly more complicated example builds on the example given above. It shows how to change two parameters (voltage and phase) of several objects. It also demonstrates how functions can be chained together, making more complicated functions. Some of the resulting functions are shown in Figure 3.1, and the DYNK block here looks like:

```
DYNK
/DEBUG
FUN zero CONST 0.0
FUN CV_R1 GET CRAB_IP1_R1 voltage
FUN CV_R2 GET CRAB_IP1_R2 voltage
FUN CV_R3 GET CRAB_IP1_R3 voltage
FUN CV_R4 GET CRAB_IP1_R4 voltage
```

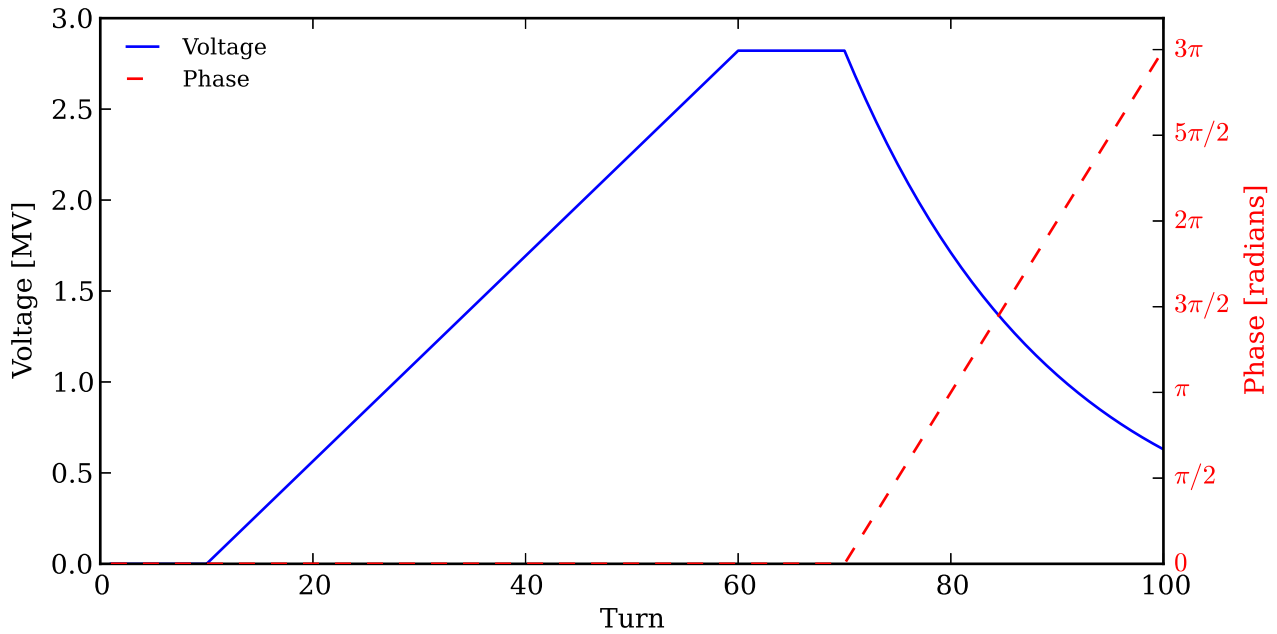


Figure 3.1: Signals generated by DYNK example for ramp + exponential decay of crab voltage, and also linear drift of crab phase. Only the signals for CRAB\_IP1.L1 are shown. The plot is made from the data in dynksets.dat.

```

FUN CV_L GET CRAB_IP1_L1 voltage
FUN ramp LIN 0.02 0
FUN ramp_R1 MUL CV_R1 ramp
FUN ramp_R2 MUL CV_R2 ramp
FUN ramp_R3 MUL CV_R3 ramp
FUN ramp_R4 MUL CV_R4 ramp
FUN ramp_L MUL CV_L ramp
SET CRAB_IP1_R1 voltage zero 1 10 0
SET CRAB_IP1_R2 voltage zero 1 10 0
SET CRAB_IP1_R3 voltage zero 1 10 0
SET CRAB_IP1_R4 voltage zero 1 10 0
SET CRAB_IP1_L1 voltage zero 1 9 0
SET CRAB_IP1_L2 voltage zero 1 9 0
SET CRAB_IP1_L3 voltage zero 1 9 0
SET CRAB_IP1_L4 voltage zero 1 9 0
/
SET CRAB_IP1_R1 voltage ramp_R1 11 61 -11
SET CRAB_IP1_R2 voltage ramp_R2 11 61 -11
SET CRAB_IP1_R3 voltage ramp_R3 11 61 -11
SET CRAB_IP1_R4 voltage ramp_R4 11 61 -11
SET CRAB_IP1_L1 voltage ramp_L 10 60 -10
SET CRAB_IP1_L2 voltage ramp_L 10 60 -10
SET CRAB_IP1_L3 voltage ramp_L 10 60 -10
SET CRAB_IP1_L4 voltage ramp_L 10 60 -10
/
/Voltage decay and detuning
FUN expCore LIN -0.05 0.0
FUN decay EXP expCore
FUN decayScaled MUL decay CV_L
SET CRAB_IP1_L1 voltage decayScaled 70 100 -70

```

```

SET CRAB_IP1_L2 voltage decayScaled 70 100 -70
SET CRAB_IP1_L3 voltage decayScaled 70 100 -70
SET CRAB_IP1_L4 voltage decayScaled 70 100 -70
FUN phasedrift LIN 0.3141592654 0.0
SET CRAB_IP1_L1 phase phasedrift 70 100 -70
SET CRAB_IP1_L2 phase phasedrift 70 100 -70
SET CRAB_IP1_L3 phase phasedrift 70 100 -70
SET CRAB_IP1_L4 phase phasedrift 70 100 -70
NEXT

```

The first functions defined here are the same as above, storing the default values (as defined in the single element list) for the relevant elements and also zero. Then follows a normalized linear ramp function “ramp”, with gradient  $0.02 = 1/50$ . This is then used by the “specialized” ramp functions “ramp\_R1..R4”, which scales “ramp” so that the end point is the standard voltages for  $t \in 0 \dots 50$ .

These functions are used to first set the crabs to 0.0 for the first 9 revolutions, and in the 10th revolution the ramp starts. As the “ramp” function is defined starting at turn 0, a shift -10 or -11 is applied to the ramps. The ramp is switched off after turn 60/61, leaving the crabs to be operating at the last SET value.

Further, we want to demonstrate a failure in the crab voltage. This is done using an exponential decaying function  $V(t) = V_0 \exp(-0.05t)$ , which is implemented as three chained functions:

expCore:  $f(t) = -0.05t + 0.0$

decay:  $g(t) = \exp(f(t)) = \exp(-0.05t + 0.0)$

decayScaled:  $h(t) = V_0 \cdot g(f(t)) = V_0 \cdot \exp(f(t)) = \exp(-0.05t + 0.0)$

For the SET, the time  $t$  is then shifted by -70 turns, so that the functions are evaluated starting at  $t=0$ .

### Detuning a cavity (accelerating or crab)

Write

### Using the PIPE function

To use the PIPE functionality, add a FUN and SET to the DYNK block such as:

```

FUN pipe1 PIPE /tmp/pip1 /tmp/pip2 myID1 4242
SET ACFCA.AR1.B1 voltage pipe1 10 -1 -9

```

Then create the two pipes using the `mkfifo` UNIX command, e.g. `mkfifo pip1` and `mkfifo pip2` in the chosen directory. When starting SixTrack, it will first open the input pipe (while reading the DYNK block), and wait for the external program to do the same. This can be simulated by running `cat > pip1`; it is also possible to open the input pipe before starting SixTrack. After opening the input pipe, SixTrack will open the output pipe, again this can be simulated by running `cat pip2`, and again this pipe may be opened before starting SixTrack. Note that when SixTrack ends, the output pipe will be closed, so the receiving `cat` process is terminated.

After opening the output pipe, SixTrack writes the line `DYNKPIPE !*****!` to this file. It then writes a line similar to `INIT ID=myID1 for FUN=pipe1` for each FUN using this output pipe.

During tracking, when one of the PIPE FUNs are called SixTrack writes a line similar to `GET ID=myID1 TURN= 1` to the output pipe. Note that the turn number is the one passed to the FUN from SET, i.e. including any turn-shift. It then waits for a single floating point number to be written (in ascii) to the input pipe, which is then read and returned from the FUN.

### 3.3.5 Beam–Beam Element

**Description** The beam–beam kick, including a separation of the beams, is treated à la Basetti and Erskine [18] and implemented as in MAD [22]. However, a much faster but nevertheless precise calculation using interpolation can be used [23]. For SixTrack version 3 the beam–beam is also available in the 6D form à la Hirata [19]. Lastly, the linear coupling has been considered in 4 and 6 dimensional phase space [20].

**Keyword** BEAM

**Number of data lines** variable but at least one

**Format**

- first data line: *partnum emitnx emitny sigz sigx ibeco ibtyp lhc ibbc*
- other data lines: *name ibsix xang xplane xstr*

**partnum** (float) Number of particles in bunch

**emitnx,emitny** (floats) Horizontal and vertical normalized emittance respectively [ $\mu\text{m} \cdot \text{rad}$ ]

**sigz,sigx** (floats) R.m.s. bunch length [m] and r.m.s. energy spread

**ibeco** (integer) Switch (0 = off; 1 = on) to subtract the closed orbit introduced by the separation of the beams. It is recommended to always subtract it as it is not yet calculated in a selfconsistent manner.

**ibtyp** (integer) Switch (0 = off; 1 = on) to use the fast beam–beam algorithms developped in collaboration with G.A. Erskine and E. McIntosh. The linear optics are calculated with “exact” beam–beam kicks.

**lhc** For the LHC with its anti–symmetric IR the separation of the beams in one plane can be calculated by the  $\beta$ –function of the other plane. For flat beams (not anti-symmetric optics) the separation can be loaded from the fort.2 file. (0 = off; 1 = anti-symmetric; 2 = load from file).

**ibbc** Linear coupling considered in 4D and 6D (0 = off; 1 = on).

**name** Name of 6D beam–beam element. Beam–beam elements that do not appear will be treated as 4D kicks.

**ibsix** (integer) Number of slices of the 6D beam–beam kick. If *ibsix* is set to 0 this element is treated as a 4D element.

**xang** (float) Half crossing angle (angle the between the trajectories of the two beams) at this particular element [rad].

**xplane** (float) Crossing plane angle [rad].

**xstr** (float) Angle of the position of the slices in the boosted frame [rad] (i.e.  $X = Z \sin(xstr) \cos(xplane)$ ,  $Y = \sin(xstr) \cos(xplane)$ ). In absence of crabbing user should make sure  $xstr=xang$ .

**Remark** These beam–beam elements have beam–beam have to appear in the single element list ( 3.2.1.2) (type 20) together with their horizontal and vertical beam–beam separations (see 3.2.1.5).

### 3.3.6 “Phase Trombone” Element

**Description** The linear “phase trombone” allows to introduce a change in the tranverse phases without spoiling the linear optics of the rest of the machine, i.e. the Twiss parameters are the same at entrance and exit of the element.

**Keyword** TROM

**Number of data lines** 1 line with name and then in blocks of 14 lines with 3 entries each

**Format**

- first data line: *name*
- second data line: *cx*, *cx'*, *cy*
- third data line: *cy'*, *cz*, *cz'*
- fourth till 15<sup>th</sup>  $M(6 \times 6)$  matrix

**name** May contain up to sixteen characters

**cx**, **cx'**, **cy**, **cy'**, **cz**, **cz'** (floats) 6D closed orbit to be added to the coordinates.

**M**( $6 \times 6$ ) (floats)  $6 \times 6$  matrix elements

**Remark** The user has to make sure that the above stated conditions are fulfilled. When using the *mad\_6t* [15] converter from MAD8 to SixTrack this is guaranteed to be the case. Note also that the crossterms between the transverse planes are not considered for the time being.

### 3.3.7 Beam Distribution EXchange (BDEX)

**Description** The Beam Distribution EXchange allows an external program to read and modify the beam distribution in SixTrack. This can be used for tracking part of the machine in an external program, for example for including physics processes that are normally not available in SixTrack. Another possible use is for multi-bunch tracking, i.e. with an external program “swapping” the bunch at a some point in the ring. This would be useful for studying (for example) beam loading, where the external program would read the position of a bunch in the cavity, use that to compute an update of the cavity voltage (which can be sent to SixTrack using DYNK FUN PIPE), swap the bunch with another one and track that to the cavity (still at “physics turn” 1, but “SixTrack turn” 2) etc.

Please note that BDEX is currently not supported in the checkpoint/restart version or in the collimation version. Including BDEX in one of these versions results in a run-time error.

**Keyword** BDEX

**Number of data lines** variable

**Format** There are three types of statements possible in a BDEX block, listed below. Additionally, lines starting with “/” are treated as comments and are ignored.

**ELEM** *ELEM chanName elemName action*

This associates a given element with an already existing channel and an action. The element must appear in the SINGLE ELEMENT block, and be of type 0 (marker). The action indicates what should be done with the particle distribution when it reaches this element. Currently, the only allowed action is “1”, which means “particle exchange”, i.e. output the beam distribution and read back another one at the same point.

**CHAN** *CHAN chanName chanType ...*

This creates a new channel through which the BDEX can communicate. Currently, the only implemented *chanType* is PIPE, however TCPIP is also foreseen.

For the PIPE type, the statement including arguments is *CHAN PIPE inPipeName outPipeName format fileUnit*. This uses a pair of UNIX FIFOs, through which SixTrack can communicate with an external program. When the channel is used, it sends a message on the outpipe, then waits for a reply with the new distribution over the inPipe. The format is an integer used to indicate the output/input format, and is currently unused. The fileUnit is the Fortran unit number that should be used to open the inPipe. The outPipe is opened on the next unit, so both units fileUnit and fileUnit+1 must be free.

**DEBU** This statement switches on extra “debugging” output from BDEX. This can be useful if debugging the code or if debugging the input.

**Communication protocols** The communication protocols used by the different channel types are listed below:

**PIPE communication protocol** When a pair of pipes are first initialized, a header “BDEX-PIPE !\*\*\*\*\*!” is written to the output pipe. Then, when the tracking reaches an element which is marked as active for this channel, it writes another header like “BDEX TURN= 1 BEZ=ip1 I= 1 NAPX= 64”, where TURN is the number of the current SixTrack turn, BEZ the name of the SINGLE ELEMENT, I the index of the STRUCTURE ELEMENT, and NAPX the number of particles to be written out. After this follows NAPX lines with the particle information (one per particle), each line of the format *xv(1,j) yv(1,j) xv(2,j) yv(2,j) sigmv(j) ejv(j) ejfv(j) rvv(j) dpsv(j) oidpsv(j) dpsv1(j),nlostp(j)* where all but the last floating point numbers, the last being an integer. Finally, it writes “BDEX WAITING...” to the output pipe, and waits for data on the input pipe.

The first line expected on the input pipe should be an integer containing the number of particles to write back. If this integer is -1, the current particle distribution is kept. Otherwise, a number of lines of the same format as with the output is expected. After reading in the expected number of particles, the string “BDEX TRACKING...” is written to the output pipe and tracking is resumed.

**TCPIP communication protocol** TCPIP is not yet implemented, as it would require an external library. The FLUKA version implements this, we should make sure that we are compatible with their requirements and ideally their protocol.

### Example

Example BDEX block, example manual usage, example minimal Python program (location or listing).

### 3.3.8 Electron lens

**Description** The electron lens module serves for reading in the input parameters for different types of electron lenses. Each e-lens also needs to be added as single element in the list of single elements. Currently only the ideal hollow electron lens is implemented.

**Keyword** ELEN

**Number of data lines** variable

**Format** *name type thetamax r2 r2ovr1 offset\_x offset\_y flag\_entrance flag\_exit* A description of the input parameters for the different e-lens types is given in Table 3.6. Currently only the ideal hollow electron lens is implemented in SixTrack (type ANNULAR).

Table 3.6: Input parameters for ELEN block.

Type name	Arguments	unit	Description
valid for all types			
	<i>name</i>	-	Name of e-lens. Must be the same as in list of single elements.
	<i>type</i>	-	type of electron lens. Available types are ANNULAR.
type specific parameters			
ANNULAR	<i>thetamax</i>	mrad	Maximum kick. This equals the kick received at $r = r_2$ where $r_2$ is the outer radius of the electron lens.
	<i>r2</i>	mm	Outer radius of e-lens.
	<i>r2ovr1</i>	-	Outer radius/inner radius.
	<i>offset_x</i>	mm	horizontal offset of e-lens.
	<i>offset_y</i>	mm	vertical offset of e-lens.
	<i>flag_entrance</i>	-	enable bends at entrance of e-lens.
	<i>flag_exit</i>	-	enable bends at exit of e-lens (not yet implemented).

**Remark** The user has to check that the e-lens defined in the ELEN block is also defined in the list of single elements and vice versa. All parameters except for the type (type 29) are ignored in the single element definition. The implementation of the ANNULAR type (ideal hollow e-lens) has no explicit energy-dependency, except for the user defined parameter *thetamax* (see [16]).

**Example** In the following we give some examples for e-lens definitions.

**ANNULAR** This example defines two electron lenses hel1 and hel2. The input block in `fort.3` is then given by:

```
ELEN
hel1 ANNULAR 4.920e-03 6.928 1.5 0 0 0 0
hel2 ANNULAR 4.920e-03 6.928 1.5 1.1547 2.3093 0 0
NEXT
```

The single and structure element definition in `fort.2` is given by:

```
SINGLE ELEMENTS-----
...
hel1          29    0.000000000e+00  0.000000000e+00
  0.000000000e+00  0.000000000e+00  0.000000000e+00  0.000000000e+00
hel2          29    0.000000000e+00  0.000000000e+00
  0.000000000e+00  0.000000000e+00  0.000000000e+00  0.000000000e+00
...
STRUCTURE INPUT-----
...
BLOC56          hel1          hel2
...
```

Note that all parameters except for the type are set to 0 in the single element definition.

### 3.4 Organising Tasks

In this section the input data blocks are described, which are used to organise the input structure.

#### 3.4.1 Random Fluctuation Starting Number

**Description** If besides mean values for the multipole errors (Gaussian) random errors should be considered this input data structure is used to set the start value for the random generator.

**Keyword** FLUC

**Number of data lines** 1

**Format** *izu0 mmac mout mcut* (integers)

**izu0** Start value for the random number generator

**mmac** – *Sorry: disabled for the time being, i.e. mmac is fixed to be 1* – (In the vectorised version the number of different starting seeds can be varied. Each seed is calculated as  $k \times izu0$  where  $k$  runs from 1 to *mmac* which can not exceed 5 to save storage space (see list of parameters in Appendix B.2).)

**mout** A binary switch for various purposes, so all options, as described below, can be combined.

- *mout* = 0 : multipole errors internally created
- *mout* = 1 : multipole errors read-in from external file  
External multipole errors are read-in from file 16 into the array of random values. To activate these values one has to set to a value of 1 the relevant r.m.s.-positions of the corresponding multipole blocks ( 3.3.1). The systematic components are added as usual and multipoles not found in the fort.16 are treated as for (*mout* = 0 ). An error is only detected if there are too few sets of multipoles in fort.16.
- *mout* = 2: the geometry and strength file is written to file # 4 in the same format as the input file # 2; the multipole coefficients are written to file # 9; name, misalignments and tilt is written to file # 27 and finally name, random single multipole strength and both random transverse misalignments are written to file # 31.
- *mout* = 4: Name, horizontal and vertical misalignment and also the element tilt are read-in from file # 8.
- *mout* = 8: Name and 3 Random numbers for single kick strength and both random transverse misalignments and also the value of the tilt are read-in from file # 30.

**mcut** The random distribution can be cut by *mcut* sigma of the distribution. No cuts are applied for *mcut* = 0.

#### Remarks

1. The RANECU random generator [24] is used as it produces machine independent sequences of random numbers.
2. If the starting point has to be changed or another nonlinear element is to be inserted, this can be done without changing the once chosen random distribution of errors by using the *Organisation of Random Numbers* input block.
3. The description of an accelerator is fully contained in 4 files: fort.2 (geometry), fort.3 (tracking parameters and definition of multipole blocks), fort.16 (multipole errors) and fort.30 (random numbers of the single multipole kick, the horizontal and vertical misalignment and the value of the tilt). This block allows to write out the files # 4, 9, 27, 31 which may serve as the input files # 2, 16, 8 and 30 respectively. The file fort.30 superseeds fort.8 if both files are read in.



### 3.4.2 Organisation of Random Numbers

**Description** Working on a lattice for an accelerator often requires to introduce new nonlinear elements. In those cases simply introducing this new element means that the previously chosen random distribution of the errors will be changed and with it often the linear parameters. This input data block is mainly used to avoid this problem by reserving extra random numbers for the new elements. It also allows to change the observation point without affecting the machine. The random values of different nonlinear elements including blocks of multipoles can be set to be equal to allow to vary the number of nonlinear kicks in one magnet which clearly should have the same random distribution for each multipolar kick. Finally multipole sets with different name can be made equal with this input data block.

**Keyword** ORGA

**Number of data lines** variable

**Format** *ele1 ele2 ele3* The data lines can be set in three different ways:

1. Ele1 = “name” where name  $\neq$  MULT  
     Ele2 = ignored  
     Ele3 = ignored  
     The nonlinear element or multipole set will have its own set of random numbers.
2. Ele1 = “name1” where name1  $\neq$  MULT  
     Ele2 = “name2”  
     Ele3 = ignored  
     The nonlinear element or multipole block Ele1 has the same random number set as those of Ele2, if it follows Ele2 as the first nonlinear element in the structure list ( 3.2.3).
3. Ele1 = “MULT”  
     Ele2 = “name2”  
     Ele3 = “name3”  
     The multipole set “name3” is set to the values of the set “name2”. random errors are not influenced in this case.

**Remarks**

1. A simple change of the starting point, by placing a “GO” somewhere in structure, used to change the machine optics as the random numbers were shifted, too. Simply calling this block even without a data line, will always fix the sequence of random numbers to start at the first multipole in the structure.
2. This input data block must follow the definition of the multipole block, otherwise multipoles cannot be set equal (option 3).
3. Do not use the keyword “MULT” in the single element list ( 3.2.1).

### 3.4.3 Combination of Elements

**Description** It is often necessary to use several families of magnetic elements with a certain ratio  $R$  of magnetic strength to perform corrections like tune adjustment ( 3.5.2), chromaticity correction ( 3.5.3) or resonance compensation ( 3.5.8). The *Combination of Elements* input block allows such a combination of elements. The maximum number of elements is defined by the parameter NCOM (see Appendix B.2).

**Keyword** COMB

**Number of data lines** variable

**Format**  $e0\ R1\ e1\ \dots\ Rn\ en$

**e0** Reference element which appears in the input of the processing procedure

**e1, ..., en** Elements to be combined with  $e0$

**Rj** Ratio of the magnetic strength of element  $ej$  to that of element  $e0$

## 3.5 Processing

This section comprises all the input blocks that do some kind of pre- or post-processing.

### 3.5.1 Linear Optics Calculation

**Description** The linear optics calculation input block is used to make a printout of all linear parameters (magnet lengths,  $\beta$  and  $\alpha$  functions, tunes, dispersion and closed orbit) in the horizontal and vertical planes at the end of each element or linear block. The number of elements or blocks can be chosen.

**Keyword** LINE

**Number of data lines** variable but at least 1

**Format**

- first data line: *mode number-of-blocks ilin ntco E\_I E\_II*
- other data lines: *name(1), ..., name(nlin)*

**mode** “ELEMENT” for a printout after each single element (3.2.1); “BLOCK” for a printout after each structure block (3.2.2)

**number-of-blocks** (integer) The number of the blocks in the structure to which the linear parameter will be printed. If this number is set to zero or is larger than the number of blocks, the complete structure will be calculated.

**ilin** (integer) Logical switch to calculate the traditional linear optics calculation in 4D ( $1 = ilin$ ) and with the DA approach 6D ( $2 = ilin$ ).

**ntco** (integer) A switch to write out linear coupling parameters.

- $ntco = 0$  : no write-out
- $ntco \neq 0$  : write-out of all linear coupled (4D) parameters including the coupling angle. These parameters (name, longitudinal position, the phase advances at that location, 4  $\beta$ -,  $\alpha$ - and  $\gamma$ -functions, 4 angles for coordinates and momenta respectively, plus the coupling angle [rad]) are written in ascii format on file # 11. This write-out happens every  $ntco$  turns.

**E\_I, E\_II** (floats) The two eigen-emittances to be chosen to determine the coupling angle. They are typically set to be equal.

**names** (char) For  $nlin$  ( $\leq nele$ ) element- and block names the linear parameters are printed whenever they appear in the accelerator structure.

**Remarks**

1. To make this block work the Tracking Parameter block ( 3.6.1) has to be used as well.
2. When the “ELEMENT 0” option is used a file unit # 34 is written with the longitudinal position, name, element type, multipole strength,  $\beta$  functions and phase advances in the horizontal and vertical phase space respectively. This file is used as input for the “SODD” program [21] to calculate detuning and distortion terms in first and second order. A full program suite can be found at: `/afs/cern.ch/group/si/slap/share/sodd`
3. If the “BLOCK” option has been used, the tunes may be wrong by a multiple of  $1/2$ . This option is not active in the DA part ( $\ell = \text{ilin}$ ), which also ignores the (*NTCO*) option.

**3.5.2 Tune Variation**

**Description** This input block initializes a tune adjustment with zero length quadrupoles. This is normally done with two families of focusing and defocusing quadrupoles. It may be necessary, however, to have a fixed phase advance between certain positions in the machine. This can be done with this block by splitting the corresponding family into two sub-families which then are adjusted to give the desired phase advance.

**Keyword** TUNE

**Number of data lines** 2 or 4

**Format**

- data lines 1: *name1 Qx iqmod6*
- data lines 2: *name2 Qy*
- data lines 3 and 4, optional: *name3  $\Delta Q$*  and *name4 name5* respectively

**name1, name2** Names of focusing and defocusing quadrupole families respectively (in the single element list ( 3.2.1.1))

**Qx, Qy** (floats) Horizontal and vertical tune *including* the integer part

**iqmod6** (integer) Logical switch to calculate the tunes in the traditional manner ( $1 = \text{iqmod6}$ ) and with the DA approach including the beam-beam kick ( $2 = \text{iqmod6}$ ).

**name3** Name of the second sub-family, where the first sub-family is one of the above (*name1* or *name2*) This second sub-family replaces the elements of the first sub-family between the positions marked by *name4* and *name5*.

**$\Delta Q$**  Extra phase advance *including* the integer part (horizontal or vertical depending on the first sub-family) between the positions in the machine marked by *name4* and *name5*

**name4, name5** Two markers in the machine for the phase advance  $\Delta Q$  with the elements of the second sub-family between them

**Remark** The integer has to be included as the full phase advance around the machine is calculated by the program.

**3.5.3 Chromaticity Correction**

**Description** The chromaticity can be adjusted to desired values with two sextupole family using this input block.

**Keyword** CHRO

**Number of data lines** 2

**Format** data lines 1: *name1*  $Q'_x$  *ichrom*

**Format** data lines 2: *name2*  $Q'_y$

**name1/2** Names (in the single element list ( 3.2.1.2) of the two sextupole families

$Q'$  Desired values of the chromaticity:  $Q' = \frac{\delta Q}{\delta(\frac{\Delta p}{p_0})}$ .

**ichrom** (integer) Logical switch to calculate the traditional chromaticity calculation ( $1 = ichrom$ ) and with the DA approach including the beam-beam kick ( $2 = ichrom$ ).

**Remark** To make the chromaticity correction work well a small momentum spread is required (DE0 in table ( 3.1)). It sometimes is required to optimize this spread.

### 3.5.4 Orbit Correction

**Description** Due to dipole errors in a real accelerator a closed orbit different from the beam axis is unavoidable. Even after careful adjustment one always will be left over with some random deviation of the closed orbit around the zero position. A closed orbit is introduced by nonzero strengths of  $b_1$  and  $a_1$  components of the multipole block ( 3.3.1), horizontal and vertical dipole kicks ( 3.2.1.2) or displacements of nonlinear elements ( 3.2.4). This input data block allows the correction of a such a random distributed closed orbit using the first two types in a “most effective corrector strategy” [25]. For that purpose correctors have to be denoted by “HCOR= ” and “VCOR= ” and monitors by “HMON= ” and “VMON= ” for the horizontal and vertical plane respectively. After correction the orbit is scaled to the desired r.m.s. values unless they are zero.

On file unit 28 the horizontal orbit displacement, measured at the horizontal monitors, will be written together with the monitor number, on file unit 29 the same is done for the vertical closed orbit displacement.

**Keyword** ORBI

**Number of data lines** variable but at least 1

**Format**

- first data line: *sigmax sigmay ncorru ncorrep*
- other data lines: “HCOR= ” *namec* or “HMON= ” *namem*  
or “VCOR= ” *namec* or “VMON= ” *namem*

**sigmax, sigmay** Desired r.m.s.-values of the randomly distributed closed orbit

**ncorru** Number of correctors to be used

**ncorrep** Number of corrections

If *ncorrep*=0 the correction is iterated until *ITCO* (see table 3.1) iterations or after the both desired r.m.s.-values have been reached.

“HCOR= ” **namec** Horizontal correction element of name *namec*

“HMON= ” **namem** Horizontal monitor for the closed orbit of name *namem*

“VCOR= ” **namec** Vertical correction element of name *namec*

“VMON= ” **namem** Vertical monitor for the closed orbit of name *namem*

**Remarks**

1. Elements can have only one extra functionality: either horizontal corrector, horizontal monitor, vertical corrector or vertical monitor. If the number of monitors in a plane is smaller than the number of correctors it is likely to encounter numerical problems.
2. The “*HCOR=* ”, “*HMON=* ”, “*VCOR=* ” and “*VMON=* ” must be separated from the following name by at least one space.

**3.5.5 Decoupling of Motion in the Transverse Planes**

**Description** Skew-quadrupole components in the lattice create a linear coupling between the transverse planes of motion. A decoupling can be achieved with this block using four independent families of skew-quadrupoles, which cancel the off-diagonal parts of the transfer map. As these skew-quadrupoles also influence the tunes an adjustment of the tunes is performed at the same time.

**Keyword** DECO

**Number of data lines** 3

**Format**

- first data line: *name1,name2,name3,name4*
- data lines 2 and 3: *name5 Qx* and *name6 Qy* respectively

**name1,2,3,4** Names of the four skew-quadrupole families

**name5, name6** Names of focusing and defocusing quadrupole families respectively (in the single element list ( 3.2.1.1))

**Qx, Qy** (floats) Horizontal and vertical tune *including* the integer part

**Remark** A decoupling can also be achieved by compensating skew-resonances ( 3.5.8). The two approaches, however, are not always equivalent. In the resonance approach the zeroth harmonic is compensated, whilst a decoupling also takes into account the higher-order terms.

**3.5.6 Sub-resonance Calculation**

**Description** First order resonance widths of multipoles from second to ninth order are calculated following the approach of Guignard [10]. This includes resonances, which are a multiple of two lower than the order of the multipole. The first order detuning including feed-down from closed orbit is calculated from all multipoles up to tenth order.

**Keyword** SUBR

**Number of data lines** 1

**Format** *n1 n2 Qx Qy Ax Ay Ip length*

**n1, n2** (integers) Lowest and highest order of the resonance

**Qx, Qy** Horizontal and vertical tune including the integer part

**Ax, Ay** Horizontal and vertical amplitudes in mm

**Ip** (integer) Is a switch to change the nearest distance to the resonance  $e = nxQx + nyQy$ . In cases of structure resonances a change of  $p$  by one unit may be useful.

- $ip = 0$  :  $e$  is unchanged
- $ip = 1$  :  $(e \pm 1) = nxQx + nyQy - (p \pm 1)$

**length** Length of the accelerator in meters

### 3.5.7 Search for Optimum Places to Compensate Resonances

**Description** To be able to compensate a specific resonance one has to know how a correcting multipole affects the cosine and sine like terms of the resonance width at a given position in the ring. This input data block can be used to find best places for the compensation of up to three different resonances, by calculating the contribution to the resonance width for a variable number of positions. For each position the effect of a fixed and small change of magnetic strength on those resonance widths is tested.

**Keyword** SEAR

**Number of data lines** variable but at least 2

**Format**

- data line 1:  $Qx\ Qy\ Ax\ Ay\ length$
- data line 2:  $npos\ n\ ny1\ ny2\ ny3\ ip1\ ip2\ ip3$  (integers)
- data lines from 3 on:  $name1, \dots, namen$

**Qx, Qy** Horizontal and vertical tune including the integer part

**Ax, Ay** Horizontal and vertical amplitudes in mm

**length** Length of the accelerator in m

**npos** Number of positions to be checked

**n** Order of the resonance

**ny1, ny2, ny3** Define three resonances of order  $n$  via :  $nxQx + nyQy = p$  with  $|nx| + |ny| = n$

**ip1,ip2,ip3** The distance to a resonance is changed by an integer  $ip$  for each of the three resonances:  
 $e = nxQx + nyQy - (p + ip)$ .

**namei** i'th name of a multipole of order  $n$  , which has to appear in the single element list ( 3.2.1.2)

### 3.5.8 Resonance Compensation

**Description** The input block allows the compensation of up to three different resonances of order  $n$  simultaneously the chromaticity and the tunes can be adjusted. For mostly academic interest there is also the possibility to consider sub-resonances which come from multipoles which are a multiple of 2 larger than the resonance order  $n$ . However, it must be stated that the sub-resonances depend differently on the amplitude compared to resonances where the order of the resonances is the same as that of the multipoles.

**Keyword** RESO

**Number of data lines** 6

**Format**

- data line 1: *nr n ny1 ny2 ny3 ip1 ip2 ip3* (integers)
- data line 2: *nrs ns1 ns2 ns3* (integers)
- data line 3: *length Qx Qy Ax Ay*
- data line 4: *name1, ..., name6*
- data line 5: *nch name7 name8*
- data line 6: *nq name9 name10 Qx0 Qy0*

**nr** Number of resonances (0 to 3)

**n** Order of the resonance, which is limited to  $nrc0 = 5$  (see list of parameters in Appendix B.2).

normal:  $3 \leq n \leq nrc0$ ; skew:  $2 \leq n \leq nrc0$

**ny1, ny2, ny3** Define three resonances of order  $n$  via :  $nxQx + nyQy = p$  with  $|nx| + |ny| = n$

**ip1, ip2, ip3** The distance to the resonance  $e$  can be changed by an integer value:

$$e = nxQx + nyQy - (p + ip).$$

**nrs** Number of sub-resonances (0 to 3)

**ns1, ns2, ns3** Order of the multipole with  $ns \leq 9$  and  $(ns - n)/2 \in \mathbf{N}$

**length** Length of the machine in meters

**Qx, Qy** Horizontal and vertical tune including the integer part

**Ax, Ay** Horizontal and vertical amplitudes in mm

**name1, ..., name6** Names ( 3.2.1.2) of the correction multipoles for the first, second and third resonance

**nch** (integer) Switch for the chromaticity correction (0 = off, 1 = on)

**name7, name8** Names ( 3.2.1.2) of the families of sextupoles to correct the chromaticity

**nq** (integer) Switch for the tune adjustment (0 = off, 1 = on)

**name9, name10** Names ( 3.2.1.1) of the families of quadrupoles to adjust the tune

**Qx0, Qy0** Desired tune values including the integer part

### 3.5.9 Differential Algebra

**Description** This input block initiates the calculation of a one turn map using the LBL Differential Algebra package [1]. The use of this block inhibits post-processing. The same differential algebra tools allow a subsequent normal form analysis (see [17]). A four-dimensional version integrated in SixTrack is available as described in sections 3.5.10 and 3.5.11.

**Keyword** DIFF.

**Number of data lines** 1 or 2

**Format**

- data line 1: *nord nvar preda nsix ncor*
- data line 2: *name(1), ..., name(ncor)*

**nord** (integer) Order of the map

**nvar** (integer) Number of the variables (2 to 6). *nvar* = 2,4,6 : two- and four-dimensional transverse motion and full six-dimensional phase space respectively. *nvar* = 5 : four-dimensional transverse motion plus the relative momentum deviation  $\frac{\Delta p}{p_0}$  as a parameter.

**preda** Precision needed by the DA package, usually set to *preda* = 1e-38

**nsix** (integer) switch to calculate a  $5 \times 6$  instead of a  $6 \times 6$  map. This saves computational time and memory space, as the machine can be treated up to the cavity as five-dimensional ( constant momentum ).

- *nsix* = 0 :  $6 \times 6$  map
- *nsix* = 1 :  $5 \times 6$  map  
(*nvar* must be set to 6; 6D closed orbit must not be calculated, i.e. *iclob* = 0 (3.6.2) and the map calculation is stopped once a cavity has been reached and being evaluated.)

**ncor** (integer) Number of zero-length elements to be additional parameters besides the transverse and/or longitudinal coordinates (i.e. two-, four-, five- or six-dimensional phase space).

**name(i)** (char) *Ncor* names ( 3.2.1.2) of zero-length elements (e.g dipole kicks, quadrupole kicks, sextupoles kicks etc.).

**Remarks**

- For *nsix* = 1 the map can only be calculated till a cavity is reached.
- If the 6D closed orbit is calculated, the  $5 \times 6$  map can not be done, *nsix* is therefore forced to 0.
- If *nvar* is set to 5, the momentum dependence is determined without the need for including a fake cavity. With other words: the linear blocks are automatically broken up into single linear elements so that the momentum dependence can be calculated.
- If a DA map is needed at some longitudinal location one just has to introduce an element denoted “DAMAP” at that place in the structure, “DAMAP” has also to appear as a marker (zero length, element type = 0) in the single element list ( 3.2.1.2). This extra map is written to file # 17.

**3.5.10 Normal Forms**

**Description** All the parameters to compute the Normal Form of a truncated one-turn map are given in the *Normal Form* input block. Details on these procedures including the next block 3.5.11 can be found in reference [26].

**Keyword** NORM

**Number of data lines** 1

**Format**

- first data line: *nord nvar*

**nord** (integer) Order of the Normal Form

**nvar** (integer) Number of variables



**Remarks**

- The *Normal Form* input block has to be used in conjunction with the *Differential Algebra* input block that computes the one-turn map of the accelerator.
- The value of the parameter *nord* should not exceed the order specified for the transfer map plus one.
- The value of the parameter *nvar* should be equal to the number of coordinates used to compute the map plus eventually the number of correctors specified in the *Differential Algebra* input block.
- the value 1 for the off-momentum order is forbidden. This case corresponds to the linear chromaticity correction. It is in fact corrected by default when *par1* = 1 or *par2* = 2.

**3.5.11 Corrections**

**Description** All the parameters to optimise the tune-shift using a set of correctors are given in the *Correction* input block. (For details see reference [26].)

**Keyword** CORR

**Number of data lines** 3

**Format**

- first data line: *ctype ncor*
- second data line: *name(1), ..., name(ncor)*
- third data line: *par1, ..., par5*

**ctype** (integer) Correction type :

- *ctype* = 0 order-by-order correction
- *ctype* = 1 global correction

**ncor** (integer) Number of zero-length elements to be used as correctors in the optimisation of the tune-shift.

**name(i)** (char) *Ncor* names of zero-length elements (e.g sextupoles kicks, octupoles kicks etc.).

**par1, ..., par5** Parameters for the correction. Their meaning depend on the value of *ctype* and is explained in Table 3.7.

**Remarks**

- The names of the elements specified in the *Correction* input block should be grouped according to the multipole type: first sextupoles, then octupoles ... etc.
- In case of order-by-order corrections, at least one of the quantities *par1*, *par2* has to be zero, i.e. the correction of tune-shift terms depending on both amplitude and momentum is not allowed (as stated in the previous section).

Table 3.7: Tune-shift correction parameters

	par1	par2	par3	par4	par5
variable type	integer	integer	real	real	real
ctype = 0	tune-shift order $\leq 2$	off-momentum order $\leq 3$	0.0	0.0	0.0
ctype = 1	$N_{min} \geq 2$	$N_{max} \leq 3$	$\alpha_H$	$\alpha_V$	$\delta_0$

### 3.5.12 Post-processing

**Description** It has been seen in the past that the tracking data hold a large amount of information which should be extracted for a thorough understanding of the nonlinear motion. It is therefore necessary to store the tracking data turn by turn and post-process it after the tracking has been finished. The following quantities are calculated:

1. **Lyapunov exponent analysis** This allows to decide if the motion is of regular or chaotic nature, and, in the later case, that the particle will ultimately be lost. This is done with the following procedure:
  - (a) Start the analysis where the distance in phase space of the two particles reaches its minimum.
  - (b) Study the increase in a double logarithmic scale so that the slope in a regular case is always one, while a exponential increase stays exponential when we have chaos.
  - (c) Average the distance in phase space to reduce local fluctuations, as we are interested in a long range effect.
  - (d) Make a weighted linear fit with an increasing number of averaged values of distance in phase space, so that an exponential increase results in a slope that is larger than one and is increasing. (The weighting stresses the importance of values at large turn numbers).
2. **Analysis of the tunes** This is done either by the averaged phase advance method leading to very precise values of the horizontal and vertical tunes. A FFT analysis is also done. With the second method one can evaluate the relative strength of resonances, rather than achieve a precise tune measurement. In both cases the nearby resonances are determined.
3. **Smear** The smear of the horizontal and vertical emittances and the sum of the emittances are calculated in case of linearly coupled and un-coupled motion.
4. **Nonlinear Invariants** A rough estimate of the nonlinear invariants are given.
5. **Plotting** The processed tracking data can be plotted in different ways:
  - (a) The distance of phase space as a function of amplitude
  - (b) Phase space plots
  - (c) Stroboscoped phase space
  - (d) FFT amplitudes
6. **Summary** The post-processing results for a complete tracking session with varying initial parameters are summarised in a table at the end of the run.

**Keyword** POST

**Number of data lines** 4

**Format**

- data line 1: *comment title*
- data line 2: *iav nstart nstop iwg dphix dphiy iskip iconv imad cma1 cma2* (general parameters)
- data line 3: *Qx0 Qy0 ivox ivoy ires dres ifh dfft* (parameters for the tune calculation)
- data line 4: *kwtype itf icr idis icow istw iffw nprint ndafi* (integer parameters for the plotting)

**iav** (integer) Averaging interval of the values of the distance in phase space. Typically a tenth of the total turn number should be used as this interval.

**nstart, nstop** (integers) Start and stop turn number for the analysis of the post-processing (0 0 = all data used).

**iwg** (integer) Switch for the weighting of the slope calculation of the distance in phase space (0 = off, 1 = on).

**dphix, dphiy** Horizontal and vertical angle interval in radians that is used to stroboscope phase space. This stroboscoping of one of the two phase space projections is done by restricting the angle in the other phase space respectively to lie inside  $\pm dphix$  or  $\pm dphiy$ .

**iskip** (integer) This parameter allows to reduce the number of data to be processed: only each *iskip* sample of data will be used.

**iconv** (integer) If *iconv* is set to 1 the tracking data are not normalised linearly. Sometimes it is necessary to compare normalised to unnormalised data as the later will be found in the real machine.

**imad** (integer) This parameters is useful when MAD data shall be analysed (*imad* set to one).

**cma1, cma2** (floats) To improve the Lyapunov analysis for MAD data and in the case that the motion is 6D but the 6D closed orbit is not calculated the off-momentum and the path-length difference ( $\sigma = s - v_o \times t$ ) can be scaled with *cma1* and *cma2* respectively (see also 3.6.3). Please set both to 1. when the 6D closed orbit is calculated.

**Qx0, Qy0** (floats) Values of the horizontal and vertical tune respectively (integer part) to be added to the averaged phase advance and to the *Q* values of the FFT analysis.

**ivox, ivoy** (integers) The tunes from the average phase advance are difficult to be calculated when this phase advance is strongly changing from turn to turn and when the tune is close to 0.5, as then the phase may become negative leading to a deviation of one unit. This problem can partly be overcome by setting these switches in the following way:

- tune close to an integer: *ivox, ivoy* = 1
- tune close to half an integer: *ivox, ivoy* = 0

**ires, dres** (integer,float) For the calculated tune values from the average phase advance method and the FFT-routine the closest resonances are searched up to *ires*'th order and inside a maximum distance to the resonance *dres*, so that  $nxQx + nyQy < dres$  and  $nx + ny \leq ires$ .

**ifh, dfft** (integer,float) For the FFT analysis the tune interval can be chosen with *ifh*. To find resonances with the FFT spectrum, all peaks below a fraction *dfft* of the maximum peak are accepted.

- $ifh = 0 : 0 \leq Q \leq 1$
- $ifh = 1 : 0 \leq Q \leq 0.5$
- $ifh = 2 : 0.5 \leq Q \leq 1$

**kwtype** – Disabled, set to 0 – (Terminal type, e.g. 7878 for the Pericom graphic terminals. For details, consult the HPLOT manual [8].)

**itf** Switch to get PS-file of plots

- $itf = 0$  : off
- $itf = 1$  : on

**icr** – Disabled, set to 0 – (Switch to stop after each plot (0 = no stop, 1 = stop after each plot)).

**idis, icow, istw, iff** Switches (0 = off) to select the different plots. If all values are set to zero, the HBOOK/HPLOT routine will not be called.

- $idis = 1$  : plot of distance in phase space
- $icow = 1$  : a set of plots of projections of the six-dimensional phase space and the energy E versus the turn number
- $istw = 1$  : plot of the stroboscoped phase space projection by restricting the phase in the other phase space projection
- $iff = 1$  : plots of the horizontal and vertical FFT spectrum with linear amplitude scale
- $iff = 2$  : plots of the horizontal and vertical FFT spectrum with logarithmic amplitude scale

**nprint** Switch to stop the printing of the post-processing output to unit 6 (0 = printing off, 1 = printing on).

**ndafi** Number of data-files to be processed (units : from 90 to (90-ndafi+1) ).

### Remarks

1. The post-processing can be done in two ways :
  - (a) directly following a tracking run by adding this input block to the input blocks of the tracking
  - (b) as a later run where the tracking parameter file (unit # 3) consists of only the *Program Version* input block 3.1.1 (using the *FREE* option) and of this input block specifying the post-processing parameters followed by *ENDE* as usual
2. The HBOOK/HPLOT routines are only used at the start of the main program for initialisation and termination. The actual plots are done in the post-processing subroutine. The routines are activated only if at least one of the plotting parameters (*idis*, *icow*, *istw*, *iff*) is set to one.

## 3.6 Initial Conditions for Tracking

**Description** For the study of nonlinear system the choice of initial conditions is of crucial importance. The input structure for the initial conditions was therefore organised in such a way as to allow for maximum flexibility. SixTrack is optimised to reach the largest possible number of turns. In order to derive the Lyapunov exponent and thereby to distinguish between regular and chaotic motion, the particle has a close by companion particle. Moreover, experience has shown that varying only the amplitude while keeping the phases constant is sufficient to understand the nonlinear dynamics, as a subsequent detailed post-processing allows to find the dependence of the parameter of interest on these phases.

### 3.6.1 Tracking Parameters

**Description** All tracking parameters are defined with this input block, the initial coordinates are generally set here, too. A fine tuning of the initial condition is done with Initial Coordinates block ( 3.6.2) and the parameters for the synchrotron oscillation are given in block ( 3.6.3)

**Keyword** TRAC

**Number of data lines** 3

**Format**

- data line 1: *numl numlr napx amp(1) amp0 ird imc niu(1) niu(2) numlcp numlmax*
- data line 2: *idy(1) idy(2) idfor irew iclo6* (integers)
- data line 3: *nde(1) nde(2) nwr(1) nwr(2) nwr(3) nwr(4) ntwini ibidu iexact* (integers)

**numl** (integer) Number of turns in the forward direction

**numlr** (integer) Number of turns in the backward direction

**napx** (integer) Number of amplitude variations (i.e. particle pairs)

**amp(1), amp0** (floats) Start and end amplitude (any sign) in the horizontal phase space plane for the amplitude variations. The vertical amplitude is calculated using the ratio between the horizontal and vertical emittance set in the *Initial Coordinates* block ( 3.6.2), where the initial phase in phase space are also set. Additional information can be found in the *Remarks*.

**ird** (integer) Switch for the type of amplitude variation. In case  $napx = 1$  the amplitude nstart is used.

- $ird = 0$  : amplitudes are varied between the amplitudes  $amp(1)$  and  $amp0$  with equal increments:

$$delta = (amp0 - amp(1)) / (napx - 1)$$

- $ird = 1$  : amplitude variation to find an estimate for the short term dynamic aperture. The amplitude is increased or decremented corresponding to stable motion or particle loss respectively. The change of amplitude is reduced each iteration  $i \leq (napx - 1)$  to:

$$delta = (amp0 - amp(1)) / 2^i$$

**imc** (integer) Number of variations of the relative momentum deviation  $\frac{\Delta p}{p_0}$ . The maximum value of the relative momentum deviation  $\frac{\Delta p}{p_0}$  is taken from that of the first particle in the *Initial Coordinates* block ( 3.6.2). The variation will be between  $\pm \frac{\Delta p}{p_0}(\max)$  in steps of  $\frac{\Delta p}{p_0}(\max) / (imc - 1)$ .

**niu(1), niu(2)**

**numlcp**

**numlmax**

**idy(1), idy(2)** A tracking where one of the transversal motion planes shall be ignored is only possible when all coupling terms are switched off. The part of the coupling that is due to closed orbit and other effects can be turned off with these switches.

- $idy(1), idy(2) = 1$  : coupling on
- $idy(1), idy(2) = 0$  : coupling to the horizontal and vertical motion plane respectively switched off

**idfor** Usually the closed orbit is added to the initial coordinates. This can be turned off using *idfor*, for instance when a run is to be prolonged.

- *idfor* = 0 : closed orbit added
- *idfor* = 1 : initial coordinates unchanged
- *idfor* = 2 : prolongation of a run, taken the initial coordinates from unit # 13

**irew** To reduce the amount of tracking data after each amplitude and relative momentum deviation iteration  $\frac{\Delta p}{p_0}$  the binary output units 90 and lower (see Appendix C) are rewound. This is always done when the post-processing is activated ( 3.5.12). For certain applications it may be useful to store all data. The switch *irew* allows for that.

- *irew* = 0 : unit 90 (and lower) rewind
- *irew* = 1 : all data on unit 90 (and lower)

**iclo6** This switch allows to calculate the 6D closed orbit using the differential algebra package. It is ignored in the regular tracking versions. It is active in all versions that link to the Differential Algebra package. This 6D closed orbit can be calculated from any longitudinal position contrary to earlier versions.

- *iclo6* = 0 : switched off
- *iclo6* = 1 : calculated
- *iclo6* = 2 : calculated and added to the initial coordinates ( 3.6.2).
- *iclo6* = 5 or =6: like for 1 and 2 but in addition a guess closed orbit is read (in free format) from file unit # 33.

**nde(1)** Number of turns at flat bottom, useful for energy ramping.

**nde(2)** Number of turns for the energy ramping. *numl-nde(2)* gives the number of turns on the flat top. For constant energy with *nde(1)* = *nde(2)* = 0 the particles are considered to be on the flat top.

**nwr(1)** Every *nwr(1)*'th turn the coordinates will be written on unit 90 (and lower) in the flat bottom part of the tracking.

**nwr(2)** Every *nwr(2)*'th turn the coordinates in the ramping region will be written on unit 90 (and lower).

**nwr(3)** Every *nwr(3)*'th turn at the flat top a write out of the coordinates on unit 90 (and lower) will occur. For constant energy this number controls the amount of data on unit 90 (and lower), as the particles are considered on the flat top.

**nwr(4)** In cases of very long runs it is sometimes useful to save all coordinates for a prolongation of a run after a possible crash of the computer. Every *nwr(4)*'th turn the coordinates are written to unit 6.

**ntwin** For the analysis of the Lyapunov exponent it is usually sufficient to store the calculated distance of phase space together with the coordinate of the first particle (*ntwin* set to one). You may want to improve the 6D calculation of the distance in phase space with *sigcor*, *dpacor* (see 3.6.2) when the 6D closed orbit is not calculated with *iclo6*  $\neq$  2. If storage space is no problem, one can store the coordinates of both particles (*ntwin* set to two). The distance in phase space is then calculated in the post-processing procedure (see 3.5.12). This also allows a subsequent refined Lyapunov analysis using differential-algebra and Lie-algebra techniques ([27]).

**ibidu** Switch to creat or read binary dump of the full accelerator decription on file # 32. The parameters relevant to tracking, i.e.  $numl$ ,  $amp0$ ,  $amp(1)$ ,  $amp(2)$ ,  $damp$ ,  $chi0$ ,  $chid$ ,  $rat$ ,  $x_1$ ,  $x'_1$ ,  $y_1$ ,  $y'_1$ ,  $\sigma_1$ ,  $\frac{\Delta p}{p_{o1}}$ ,  $x_2$ ,  $x'_2$ ,  $y_2$ ,  $y'_2$ ,  $\sigma_2$ ,  $\frac{\Delta p}{p_{o2}}$ ,  $time0$ ,  $time1$ , are to be given via the tracking parameter file # 3.

- $ibidu = 1$  : write dump
- $ibidu = 2$  : read dump

**ixexact** Switch to enable exact solution of the equation of motion into tracking and 6D (no 4D) optics calculations.

- $ibidu = 0$  : approximated equation (e.g.  $x' \simeq \frac{P_x}{P_0(1+\delta)}$ ,  $y' \simeq \frac{P_y}{P_0(1+\delta)}$ );
- $ibidu = 1$  : exact equation (e.g  $x' \simeq \frac{P_x}{P_0\sqrt{(1+\delta)^2 - P_x^2 - P_y^2}}$ ,  $y' \simeq \frac{P_y}{P_0\sqrt{(1+\delta)^2 - P_x^2 - P_y^2}}$  ).

### Remarks

1. This input data block is usually combined with the *Initial Coordinates* input block ( 3.6.2) to allow a flexible choice of the initial coordinates for the tracking.
2. For a prolongation of a run the following parameters have to be set :
  - in this input block :  $idfor = 1$
  - in the *Initial coordinates* input block :
    - (a)  $itra = 0$
    - (b) take the end coordinates of the previous run as the initial coordinates (including all digits) for the new run.
3. A feature is installed for a prolongation of a run by using  $idfor = 2$  and reading the initial data from unit # 13. The end coordinates are now written on unit # 12 after each run. Intermediate coordinates are also written on unit # 12 in case the turn number  $nwr(4)$  is exceeded in the run. The user takes responsibility to transfer the required data from unit # 12 to unit # 13 if a prolongation is requested.
4. Some illogical combinations of parameters have been suppressed.
5. The initial coordinates are calculated using a proper linear 6D transformation:  $amp(1)$  is still the maximum horizontal starting amplitude (excluding the dispersion contribution) from which the emittance of mode 1  $e_I$  is derived,  $rat$  (see 3.6.2) is the ratio of  $e_{II}/e_I$  of the emittances of the two modes. The momentum deviation  $\frac{\Delta p}{p_{o1}}$  is used to define a longitudinal amplitude. The 6 normalized coordinates read:

- horizontal:

$$\sqrt{e_I} = \frac{amp(1)}{\sqrt{\beta_{xI} + \sqrt{|rat|} \times \beta_{xII}}},$$

0.

- vertical:

$$sign(rat) \times \sqrt{e_{II}}, \text{ with } e_{II} = |rat| \times e_I,$$

0.

- longitudinal:

0.,

$$\frac{\Delta p}{p_{o1}} \times \sqrt{\beta_{sIII}}$$

and are then transformed with the 6D linear transformation into real space. Note that results may differ from those of older versions.

### 3.6.2 Initial Coordinates

**Description** The *Initial Coordinates* input block is meant to manipulate how the initial coordinates are organised, which are generally set in the tracking parameter block ( 3.6.1). Number of particles, initial phase, ratio of the horizontal and vertical emittances and increments of  $2 \times 6$  coordinates of the two particles, the reference energy and the starting energy for the two particles.

**Keyword** INIT

**Number of data lines** 16

**Format**

- first data line: *itra chi0 chid rat iver*
- data lines 2 to 16: *15 initial coordinates as listed in Table 3.8*

**itra** (integer) Number of particles

- *itra* = 0 : Amplitude values of tracking parameter block ( 3.6.1) are ignored and coordinates of data line 2–16 are taken. *itra* is set internally to 2 for tracking with two particles. This is necessary in case a run is to be prolonged.
- *itra* = 1 : Tracking of one particle, twin particle ignored
- *itra* = 2 : Tracking the two twin particles

**chi0** Starting phase of the initial coordinate in the horizontal and vertical phase space projections

**chid** Phase difference between first and second particles

**rat** Denotes the emittance ratio ( $e_{II}/e_I$ ) of horizontal and vertical motion. For further information see the *Remarks* of the TRAC input block in Section 3.6.1.

**iver** In tracking with coupling it is sometimes desired to start with zero vertical amplitude which can be painful if the emittance ratio *rat* is used to achieve it. For this purpose the switch *iver* has been introduced:

- *iver* = 0 : Vertical coordinates unchanged
- *iver* = 1 : Vertical coordinates set to zero.

#### Remarks

1. These 15 coordinates are taken as the initial coordinates if *itra* is set to zero (see above). If *itra* is 1 or 2 these coordinates are added to the initial coordinates generally defined in the tracking parameter block ( 3.6.1). This procedure seems complicated but it allows freely to define the initial difference between the two twin particles. It also allows in case a tracking run should be prolonged to continue with precisely the same coordinates. This is important as small difference may lead to largely different results.
2. The reference particle is the particle in the centre of the bucket which performs no synchrotron oscillations.
3. The energy of the first and second particles is given explicitly, again to make possible a continuation that leads precisely to the same results as if the run would not have been interrupted.
4. There is a refined way of prolonging a run, see the *Tracking Parameters* input block ( 3.6.1).



Table 3.8: Initial Coordinates of the 2 Particles

data line	contents
2	$x_1$ [mm] coordinate of particle 1
3	$x'_1$ [mrad] coordinate of particle 1
4	$y_1$ [mm] coordinate of particle 1
5	$y'_1$ [mrad] coordinate of particle 1
6	path length difference 1 ( $\sigma_1 = s - v_o \times t$ ) [mm] of particle 1
7	$\frac{\Delta p}{p_{o1}}$ of particle 1
8	$x_2$ [mm] coordinate of particle 2
9	$x'_2$ [mrad] coordinate of particle 2
10	$y_2$ [mm] coordinate of particle 2
11	$y'_2$ [mrad] coordinate of particle 2
12	path length difference ( $\sigma_2 = s - v_o \times t$ ) [mm] of particle 2
13	$\frac{\Delta p}{p_{o2}}$ of particle 2
14	energy [MeV] of the reference particle
15	energy [MeV] of particle 1
16	energy [MeV] of particle 2

### 3.6.3 Synchrotron Oscillation

**Description** The parameters needed for treating the synchrotron oscillation in a symplectic manner are given in the *Synchrotron Oscillation* input block.

**Keyword** SYNC

**Number of data lines** 2

**Format**

- first data line: *harm alc u0 phag tlen pma ition dppoff*
- second data line: *dpscor sigcor*

**harm** Harmonic number

**alc** Momentum compaction factor, used here only to calculate the linear synchrotron tune  $Q_S$ .

**u0** Circumference voltage in [MV]

**phag** Acceleration phase in degrees

**tlen** Length of the accelerator in meters

**pma** rest mass of the particle in MeV/c<sup>2</sup>

**ition** (integer) Transition energy switch

- *ition* = 0 for no synchrotron oscillation (energy ramping still possible)
- *ition* = 1 for above transition energy
- *ition* = -1 for below transition energy

**dppoff** Offset Relative Momentum Deviation  $\frac{\Delta p}{p_o}$ : a fixpoint with respect to synchrotron oscillations. It becomes active when the 6D closed orbit is calculated (see item *iclo6* in section 3.6.1).

**dpscor, sigcor** Scaling factor for relative momentum deviation  $\frac{\Delta p}{p_o}$  and the path length difference ( $\sigma = s - v_o \times t$ ) respectively. They can be used to improve the calculation of the 6D distance in phase space, but is only used when *ntwin* = 1 in the tracking parameter input block (3.6.1). Please set to 1 when the 6D closed is calculated.

## 3.7 Extra output files

For some studies, extra output from the simulation is desired. How to do this is described below.

### 3.7.1 Dumping of beam population

**Description** The DUMP block allows the beam population (i.e. the position in phase-space for all the particles) to be written to file. This can be done in any SINGLE ELEMENTS which are directly mentioned in the STRUCTURE INPUT part of fort.2 (BLOCs cannot be used). The particles are dumped just after the kick is applied, and how often to dump (every turn, every second turn, etc.) is user-selectable. Please note that each single element can only be selected once; it is possible to overcome this limitation by placing multiple markers with different names in the same position in the sequence.

**Keyword** DUMP

**Number of data lines** variable, one for each element for which dump is active

**Format** `element_name frequency unit format (filename) (first last)`  
or HIGH  
or FRONT

**element\_name** one of the SINGLE ELEMENTS or ALL to dump at the exit of all single elements.

**frequency** how often the beam population should be dumped in number of turns.

**unit** fortran unit number to use, should not be used in other parts of SixTrack. The unit number and filename may be shared between different DUMP outputs, as long as they have the same format and **element\_name** is not ALL.

**format** an integer specifying the output format. The following is accepted:

0 – General format:

No header

Lines: `turn structure_element_idx single_element_idx single_element_name s x1[m] x1'[rad]`  
`y1[m] y2'[rad] momentum[GeV/c] dE/E[GeV]`

1 – Format for aperture check:

Header: `# ID turn s[m] x[mm] xp[mrad] y[mm] yp[mrad] dE/E ktrack`

Lines: `particleID turn s[m] x[mm] xp[mrad] y[mm] yp[mrad] dE/E ktrack`

2 – Modified format for aperture check:

Header: `# ID turn s[m] x[mm] xp[mrad] y[mm] yp[mrad] z[mm] dE/E ktrack`

A number of lines describing which elements are used and the current dump period is added one per relevant line in DUMP block.

Lines: `particleID turn s[m] x[mm] xp[mrad] y[mm] yp[mrad] z[mm] dE/E ktrack`

**filename** is the name of the file to write to. This argument may be omitted (unless **first** and **last** are present, if so then **filename** must also be present), and if so the output file is named `fort.unit`.

**first** is the first turn where this dump should be active. This argument may be omitted if **last** is also omitted, and if so it defaults to turn 1.

**last** is the last turn where this dump should be active, -1 meaning “until the end of the simulation”. This argument may be omitted if **first** is also omitted, and if so it defaults to -1.

**HIGH** If present anywhere in the DUMP block this triggers high-precision output, meaning more digits in the output files.

**FRONT** If present anywhere in the DUMP block, this keyword triggers the DUMPed particles to be dumped in front of the element, i.e. before the kick. This works for all elements, including BLOCs, when combined with the ALL “element name”. Note that FRONT is not yet supported for thick tracking, and trying to use this combination will produce a run-time error.

### Example

```
DUMP
/ALL 1 663 2
/CRAB5 1 659 0
ip1 1 660 2 IP1_DUMP.dat
ip5 1 662 2
mqml.1014.b1..1 1 661 2 MQ_DUMP.dat
NEXT
```

### 3.7.2 FMA analysis

**Description** The FMA block generates the basic files needed for frequency map analysis (FMA). Explicitly, it returns one output file with calculated tunes and amplitudes for the files specified in the DUMP block, see Sec. 3.7.1. For the calculation of the tunes ( $Q_1$ ,  $Q_2$  and  $Q_3$ ) in normalized phase space, the normalization matrix is extracted from the LINE block (linear optics calculation in 6D, 3.5.1). The tunes  $Q_1$ ,  $Q_2$  and  $Q_3$  are then calculated with the routine specified in the FMA block either in physical coordinates  $(x, x', y, y', z, dE/E)$  or normalized phase space coordinates and dumped to the file `fma_sixtrack` together with the minimum, maximum and average normalized particle amplitudes and phases.

**Keyword** FMA

**Number of data lines** variable, one for each file with particle amplitudes and tune calculation method

**Format of input block** The FMA block has to be preceded by the LINE block (calculation of the normalization matrix) and the DUMP block (dump particle coordinates).

```
DUMP
element_name_1 1 unit_1 2 filename_1 first_turn_1 last_turn_1
element_name_2 1 unit_2 2 filename_2 first_turn_2 last_turn_3
NEXT
LINE
ELEMENT 0 2 1 emit_1 emit_2
NEXT
FMA
filename_1 method_1 fma_flag_norm_1
filename_2 method_2 fma_flag_norm_2
NEXT
```

For the DUMP block (Sec. 3.7.1) the frequency has to be 1 (dump every turn) and the file format has to be 2. For the linear optics calculation 3.5.1, the optics needs to be calculated at each element (mode ELEMENT), the number-of-blocks is then 0 and 6D linear optics calculation is required (`ilin = 2`) in order to decouple the 6D motion.

**filename** one of the outputfiles specified in the FMA block preceding DUMP block.

**method** method used to calculate the tune. Available methods are: TUNELASK, TUNEFIT, TUNENEWT1, TUNEABT, TUNEABT2, TUNEFFT, TUNEFFTI, TUNENEWT, TUNEAPA. A short description of the different methods is given in Table 3.9.

**fma\_flag\_norm** flag for calculating the tunes with physical  $(x, x', y, y', s, dp/p)$  or normalized coordinates. The default is using normalized coordinates (**fma\_flag\_norm**=1). For using physical coordinates explicitly set (**fma\_flag\_norm**=0).

Table 3.9: Available tune calculation methods in SixTrack.

Library	method	Description
PLATO [28, 29]	TUNELASK	Compute the tune of a 2d map by means of laskar method. A first indication of the position of the tune is obtained by means of a FFT. Refinement is obtained through a newton procedure.
	TUNEFIT	Computes the tune using a modified apa algorithm. The first step consists of taking the average of the tune computed with the APA method, then a best fit is performed.
	TUNENEWT1	Computes the tune using a discrete version of laskar method. It includes a newton method for the search of the frequency.
	TUNENEWT	Computes the tune using a discrete version of laskar method. It includes a newton method for the search of the frequency.
	TUNEABT	Computes the tune using FFT interpolated method.
	TUNEABT2	Computes the tune using the interpolated FFT method with hanning filter.
	TUNEFFT	Computes the tune as the FFT on a two dimensional plane, given n iterates of a map. The FFT is performed over the maximum mft which satisfies $2^{\text{mft}} \leq n$ , where the maximum number of iterates is fixed in the parameter n.
	TUNEFFTI	Computes the tune as the FFT on a two dimensional plane, given n iterates of a map. The FFT is performed over the maximum mft which satisfies $2^{\text{mft}} \leq n$ . Then, the FFT is interpolated fitting the three points around the maximum using a Gaussian. The tune is computed as the maximum of the Gaussian.
	TUNEAPA	Computes the tune as the average phase advance on a two dimensional plane, given n iterates of a map.

**Output file format** The FMA block returns the output files **NORM\_filename\*** containing the normalized phase space coordinates, where **filename** are the filenames specified in the dump block, and the file **fma\_sixtrack** containing the initial, average, minimum and maximum amplitudes and the calculated tunes for each specified filename and method. The structure of the **NORM\_filename\*** is described in Table 3.10 and of the **fma\_sixtrack** in Table 3.11.

Table 3.10: Format of the NORM files

Line Number	Type	Description
1	header	closed orbit $x, x', y, y', z, dE/E$ , units are [mm, mrad, mm, mrad, 1].
2-38	header	matrix of eigenvectors ( <b>tamatrix</b> ). Eigenvectors are normalized, rotated and ordered as in the Ripken formalism. The matrix <b>tamatrix</b> is in canonical variables $x, p_x, y, p_y, z, dp/p$ , units are [mm, mrad, mm, mrad, 1].
39-75	header	inverse of ta-matrix <b>inv(tamatrix)</b> used for normalization where $z_{\text{norm}} = \text{ta} \cdot z$ . Matrix <b>inv(tamatrix)</b> is given in canonical variables $x, p_x, y, p_y, z, dp/p$ , units are [mm, mrad, mm, mrad, 1].
76	header	header with units: # id turn pos[m] nx[1.e-3 sqrt(m)] npx[1.e-3 sqrt(m)] ny[1.e-3 sqrt(m)] npy[1.e-3 sqrt(m)] nsig[1.e-3 sqrt(m)] ndp/p[1.e-3 sqrt(m)] kt
77 - eof	Lines	see header in line 76: particle id, turn number position s[m], normalized coordinates [ $10^{-3}\sqrt{\text{m}}$ ], ktrack (type of element)

Table 3.11: Format of the fma\_sixtrack file

Line Number	Type	Description
1-2	header	header with units and description: # eps0*,eps2*,eps3* all in 1.e-6*m, phi* [rad] # inputfile method id q1 q2 q3 eps1_min eps2_min eps3_min eps1_max eps2_max eps3_max eps1_avg eps2_avg eps3_avg eps1_0 eps2_0 eps3_0 phi1_0 phi2_0 phi3_0
3 - eof	Lines	see header in line 1-2: The lines are ordered as particles 1-npart for (inputfile1,method1), then particles 1-npart for (inputfile2,method2), etc.. The minimum (min), maximum (max) and average (avg) are taken over the number of turns in the inputfile (fiel specified in the FMA and DUMP block). Units are $\mu\text{m}$ for <b>eps*</b> and rad for <b>phi*</b> , where <b>phi*</b> is the angle in the normalized phase space coordinates.

**Example** An input block to compare the tunes at element IP3 calculated over the interval [1, 4096] and [5905, 10000], and using the method TUNELASK would look like:

```

DUMP
IP3 1 1030 2 IP3_DUMP_1 1 4096
IP3..1 1 1031 2 IP3_DUMP_2 5905 10000
IP3..2 1 1032 2 IP3_DUMP_3 1 4096
IP3..3 1 1033 2 IP3_DUMP_4 5905 10000
NEXT
LINE
ELEMENT 0 2 1 3.75 3.75
NEXT
FMA
IP3_DUMP_1 TUNELASK
IP3_DUMP_2 TUNELASK
IP3_DUMP_3 TUNELASK 0
IP3_DUMP_4 TUNELASK 0
NEXT

```

where for IP3\_DUMP\_1 and IP3\_DUMP\_2 the tunes are calculated using normalized coordinates (default) and for IP3\_DUMP\_3 and IP3\_DUMP\_4 the physical coordinates are used (**fma\_norm\_flag** equal 0).

Note that all element names have to be different due to a limitation in DUMP module. This means practically, that one needs to insert additional markers (here `IP3..1` etc.) in the SixDesk [30, 31] mask file prior to the SixTrack run. It is important to install the additional markers after cycling the machine if the machine is cycled at the location of the additional (e.g. `IP3`), as they are installed in front of the element given in the from statement in the cycle command.

# Conclusions

Programs with large input structures like SixTrack tend to be far from perfect, even though a cumbersome chase for program bugs and a lot of polishing on the input structure has been performed. Plenty of comments and suggestions are therefore needed to further improve the program.

## Chapter 4

# Acknowledgement

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# Appendix A

## List of Keywords

Table A.1: List of Keywords

#	Keyword	Input-data-block		Short Description	§	Page
		Title	# of Data-lines			
1	BEAM	BEAM-BEAM Element	1	4-6D including Beam Separation & Linear Coupling	3.3.5	25
2	BLOC	Block-definition	variable + 1	Blocks of Linear Elements	3.2.2	12
3	BLOCK			Linear Parameters for each Structure Element	3.5.1	31
4	CAV			Cavity in the Structure Input Block	3.2.3	13
5	CHRO	Chromaticity Correction	2	Correcting Chromaticity with Sextupoles	3.5.3	32
6	CORR	Tune-shift Corrections	3	Correction of Nonlinear Tune-Shift	3.5.11	38
7	COMB	Combination of Elements	variable	Combining Different Elements for a Correction	3.4.3	30
8	COMM	Comment Line	1	Additional Comments	3.1.3	7
9	DAMAP			Location for a Printout of a DA map	3.5.9	36
10	DECO	Decoupling	3	Compensation of Linear Coupling	3.5.5	34
11	DIFF	Differential Algebra	1	Calculating a One-turn Map with Differential Algebra	3.5.9	36
12	DISP	Displacement of Elements	variable	Displacing Nonlinear Elements	3.2.4	13
13	DUMP		variable	Writing the beam population to file	3.7.1	47
14	DYNK		variable	Dynamic kicks	3.3.4	16
15	EL			Elliptical Aperture Limitation	3.3.2	15
16	ELEMENT			Linear Parameters after each Single Element	3.5.1	31
17	ENDE			End of SixTrack Input Structure		
18	FLUC	Random Fluctuation Starting Number	1	Seed for the Random Generator	3.4.1	29
19	FMA		variable	Frequency Map Analysis	3.7.2	48
20	FREE	1 <sup>st</sup> Program Version	0	Free Format Input from one File	3.1.1	6
21	GEOM	2 <sup>nd</sup> Program Version	0	Input of Machine Geometry in extra File	3.1.1	6

#	Keyword	Input-data-block		Short Description	§	Page
		Title	# of Data-lines			
22	GO			Start of Tracking in the Structure Input	3.2.3	13
23	“HCOR= ”			Specifies an Horizontal Orbit Corrector Element (Dipole or Multipole)	3.5.4	33
24	“HMON= ”			Specifies an Horizontal Orbit Monitor	3.5.4	33
25	INIT	Initial Coordinates	16	Setting up of the Initial Coordinates	3.6.2	45
26	ITER	Iteration Errors	4	# of Iterations and Precision for Correction Routines	3.1.4	7
27	LIMI	Aperture Limitation	variable	Collimators that stop the Program when being hit	3.3.2	15
28	MULT	Multipole skew Coefficients	max. 11	Multipole Coefficients normal and up to 10 <sup>th</sup> order	3.3.1	14
				Combination of Different Multipoles in the ORGA Input Block	3.4.2	30
29	NEXT			Last Line of each Input Data Block	3.5.4	33
30	NORM	Normal Form	1	Normal Form Operations on Maps	3.5.10	37
31	ORBI	Orbit Adjustment	variable	Adjusting Orbit to desired Sigma Values	3.5.4	33
32	ORGA	Organisation of Random Numbers	variable + 1	Arranging Random Errors and Multipole sets	3.4.2	30
33	POST	Post-processing	3	Post-processing of the Tracking Data	3.5.12	39
34	PRIN	Printout Selection	0	Initiates the Printing of the Input Data	3.1.2	6
35	RE			Rectangular Aperture Limitation	3.3.2	15
36	RESO	Resonance Compensation	6	Compensation of up to 3 Different Resonances	3.5.8	35
37	RIPP	Power Supply Ripple ( <i>obsolete – use DYNK</i> )	variable	Invokes a Sinusoidal Tune Variation ( <i>obsolete – use DYNK</i> )	3.3.3	15
38	SEAR	Search for Resonance Compensation Positions	variable	Evaluating Longitudinal Positions for a Resonance Compensation	3.5.7	35
39	SING	Single Elements	variable	Magnet Parameters of Single Elements	3.2.1	8
40	STRU	Structure Input	variable	Structure of Linear Blocks and Nonlinear Elements	3.2.3	13
41	SUBR	Sub-resonance Calculation	1	Calculation of 1 <sup>th</sup> Order Resonances up to 9 <sup>th</sup> Multipole Order	3.5.6	34
42	SYNC	Synchrotron Oscillations	2	Parameters concerning Synchrotrons Oscillation	3.6.3	46
43	TRAC	Tracking Parameters	3	All major Tracking Parameters for the transversal Motion Plane	3.6.1	42
44	TUNE	Tune Variation	2 or 4	Adjusting the Horizontal and Vertical Tunes	3.5.2	32
45	TROM	“Phase Trombone” element	mult. of 14	Phase Shift Transparent for Linear Optics	3.3.6	25
46	“VCOR= ”			Specifies an Vertical Orbit Corrector Element (Dipole or Multipole)	3.5.4	33
47	“VMON= ”			Specifies an Vertical Orbit Monitor	3.5.4	33



# Appendix B

## List of Default Values

### B.1 Default Tracking Parameters

Some of the parameters for tracking are set to non-zero values. This is done for instance to avoid as much as possible program errors such as division by zero due to an erroneous input. The default values for the *Iteration Errors* ( 3.1.4) see table 3.1.

Table B.1: Default Tracking Parameters

#	Description	Value	§	Page
1	General Aperture Limitations (horizontal and vertical)	1000 mm	3.3.2	15
2	Starting in the Accelerator Structure at Element Number	1	3.2.3	13
3	Number of Turns in the forward Direction	1	3.6.1	42
4	Initial horizontal Amplitude	0.001 mm		
5	Horizontal and vertical Phase Space Coupling Switches on	1		
6	Flat Bottom, Ramping and Flat Top Printout after Turn Number	1		
7	Printout of Coordinates (file 6) after Turn Number	10000		
8	Kinetic Energy [MeV] of the Reference Particle	$10^{-6}$	3.6.2	45
9	Harmonic Number	1	3.6.3	46
10	Momentum Compaction Factor	0.001		
11	Length of the Machine	1 km		
12	Mass of the Particle (Proton)	938.2723128 MeV/c <sup>2</sup>		
13	Momentum Correction Factor for Distance in Phase Space	1		
14	Path-length Correction Factor for Distance in Phase Space	1		
15	Averaging Turn Interval for Post-processing	1	3.5.12	39

## B.2 Default Size Parameters

For large machines the arrays holding the machine parameters might have to be increased. The size of each of the dimensions of the arrays is therefore defined as a parameter. The default values are adjusted to allow the treatment of a full LHC lattice: the tracking version uses 50 Mb and the DA version 400 Mb.

Table B.2: Default Size Parameters

#	Description	Value	Name	§	Page
1	Maximum Number of Coordinates used in the Correction Routines	6	MPA		
2	Number of Single Elements	750	NELE	3.2.1	8
3	Number of Blocks of Linear Elements	160	NBLO	3.2.2	12
4	Number of Linear Elements per Block	100	NELB		
5	Total Number of Elements in the Structure	15000	NBLZ	3.2.3	13
6	Number of Accelerator Super-periods	16	NPER		
7	Total Number of Random Values	300000	NZfZ	3.4.1	29
8	Number of Random Values for the basic Set of Nonlinear Elements	280000	NRAN		
9	Number of Random Values for inserted Nonlinear Elements	20000		3.4.2	30
10	Number of Random Values for each Inserted Nonlinear Element Number of Nonlinear Elements that can be inserted	500 20	MRAN		
11	Limit Number of Particles for Vectorisation	64	NPART		
12	Maximum Number of Elements for Combined Tasks	100	NCOM	3.4.3	30
13	Maximum Resonance Compensation Order	5	NRCO	3.4.3	30
14	Total Number of Data for Processing	20000	NPOS	3.5.12	39
15	Number of Intervals for Calculation of Lyapunov-Exponents	10000	NLYA		
16	Number of Intervals for Calculation of Invariants	1000	NINV		
17	Number of Data for Plotting	20000	NPLO		
18	Maximum Pole Order of Multipole Block	11	MMUL	3.3.1	14
19	Maximum Number of extra Parameters of the DA Map	10	MCOR	3.5.9	36
20	Maximum Order of DA Calculation	15	NEMA	3.5.9	36
21	Maximum Number of Monitors for Micado Closed Orbit Correction	600	NMON1	3.5.4	33
22	Maximum Number of Correctors for Micado Closed Orbit Correction	600	NCOR1	3.5.4	33
23	Maximum Number of Beam-Beam Elements	160	NBB	3.3.5	25
24	Maximum Number of Slices for 6D Beam-Beam Kick	15	MBEA	3.3.5	25
25	Maximum Number of “Phase Trombone” Elements	20	NTR	3.2.1.6	11

## Appendix C

# Input and Output Files

The program uses a couple of files for its input and output procedures.

Table C.1: List of Input and Output Files.

File Unit	Input	Output	File Type	Contents
2	X		Ascii	Geometry and Strength Parameters
3	X		Ascii	Tracking Parameters
4		X	Ascii	Geometry and strength Parameters (format as file # 2)
6		X	Ascii	Input Parameters and Analysis of Data
8	X		Ascii	Name, hor., ver. Misalignment and Tilt
9		X	Ascii	Internally used multipoles Format: $a_{16}, 2 \times \{6 \times (1p, 3d_{23.15}), (1p, 2d_{23.15})\}$
10	X	X	Ascii	Summary of Post-processing (auxiliary)
11		X	Ascii	This file is used to dump linear coupling parameters at locations of choice
12		X	Ascii	End Coordinates of both Particles Format: $(15 \times F_{10.6})$
13	X		Ascii	Start Coordinates for a Prolongation
14		X	Ascii	Horizontal FFT Spectrum for detailed Analysis; Format: $(2 \times F_{10.6})$
15		X	Ascii	Vertical FFT Spectrum for detailed Analysis; Format: $(2 \times F_{10.6})$
16	X		Ascii	External multipole errors Format: $a_{16}, 2 \times \{6 \times (1p, 3d_{23.15}), (1p, 2d_{23.15})\}$

File Unit	Input	Output	File Type	Contents
17		X	Ascii	Additional Map at location of interest
18		X	Ascii	One-Turn Map with Differential Algebra
19	X	X	Ascii	Internal use for Differential Algebra
20		X	Meta-file	PS-file of selected Plots
21		X	Ascii	Factorisation of the one-turn map
22		X	Ascii	Transformation in the Normal Form coordinates
23		X	Ascii	Hamiltonian in action variables
24		X	Ascii	Tune-shift in action coordinates
25		X	Ascii	Tune-shift in Cartesian coordinates
26		X	Ascii	NAGLIB log-file
27		X	Ascii	Name, hor., ver. Misalignment and Tilt
28		X	Ascii	Horizontal closed orbit displacement, measured at monitors
29		X	Ascii	Vertical closed orbit displacement, measured at monitors
30	X		Ascii	Name, Random strength, misalignments and tilt
31		X	Ascii	Name, Random strength, misalignments and tilt
32	X	X	Binary	Binary dump of full accelerator description
33	X		Ascii	Guess values for 6D closed orbit search
34		X	Ascii	Multipole strength and linear lattice parameters [21]

File Unit	Input	Output	File Type	Contents
90 – k		X	Binary	Tracking Data (not singletrackfile) $0 \leq k \leq 31$
90		X	Binary	Tracking Data (singletrackfile) <code>singletrackfile.dat</code>
92		X	Ascii	Checkpoint/Restart only: Program “standard output” (lout)
93		X	Ascii	Checkpoint/Restart only: Log file
94		X	Ascii	Checkpoint/Restart only: Temp file for resetting binary tracking data file(s)
95	X	X	Ascii	Checkpoint/Restart only: Data file 1
96	X	X	Ascii	Checkpoint/Restart only: Data file 2
98		X	Ascii	6D coordinates at Cavity (1p,6(2x,e25.18))
664	X		Ascii	DYNK reading FUN FILE(LIN) (only during initialization)
665		X	Ascii	DYNK output file <code>dynksets.dat</code>
2001001		X	Ascii	FMA output file <code>fma_sixtrack</code>
200101+i*10		X	Ascii	FMA output file <code>NORM_*</code> , where $i = 1, \dots$ , number of FMAs

In addition to those files listed in the table, DUMP uses arbitrary file unit numbers as determined by the input file. The collimation module also uses many input/output files at various units, which are not listed here.



## Appendix D

# Data Structure of the Data-Files

A common data structure for the programs MAD and SixTrack is agreed on. Besides some minor differences this allows a straightforward post-processing of data from either program. Each binary data-file has a header which holds a description of the run with comments, tracking parameters and 50 additional parameters for future purposes, six of which are already specified in SixTrack.

Table D.1: Header of the Binary Data-Files

Data Type	Bytes	Description
Character	80	General title of the run
Character	80	Additional title
Character	8	Date
Character	8	Time
Character	8	Program name
Integer	4	First particle in the file
Integer	4	Last particle in the file
Integer	4	Total number of particles
Integer	4	Code for dimensionality of phase space 1,2,4 are hor., vert. and longitudinal respectively
Integer	4	Projected number of turns
Float	8	Horizontal Tune
Float	8	Vertical Tune
Float	8	Longitudinal Tune
Float	6 * 8	Closed Orbit vector
Float	6 * 8	Dispersion vector
Float	36 * 8	Six-dimensional transfer map
— 50 additional parameters —		
Float	8	Maximum number of different seeds
Float	8	Actual seed number
Float	8	Starting value of the seed
Float	8	Number of turns in the reverse direction (IBM only)
Float	8	Correction-factor for the Lyapunov ( $\sigma = s - v_o \times t$ )
Float	8	Correction-factor for the Lyapunov ( $\frac{\Delta p}{p_o}$ )
Float	8	Start turn number for ripple prolongation
Float	43 * 8	Dummies

Following this header the tracking data are written in  $n$  samples of nine numbers preceded by the turn number. In the MAD format the number of samples  $n$  is not restricted, whilst SixTrack writes only up to two samples for the two particles for the Lyapunov-exponent method. Up to 64 particles (two per file) can be treated in the vectorised version of SixTrack.

Table D.2: Format of the Binary Data

Data Type	Bytes	Description
Integer	4	Turn number
— One or two samples of 9 values are following —		
Integer	4	Particle number
Float	8	Angular distance in phase space ( $\leq 1$ )
Float	8	$x$ (mm)
Float	8	$x'$ (mrad)
Float	8	$y$ (mm)
Float	8	$y'$ (mrad)
Float	8	Path-length ( $\sigma = s - v_o \times t$ ) (mm)
Float	8	Relative momentum deviation $\frac{\Delta p}{p_o}$
Float	8	Energy (MeV)

Note that in case the “Single Track File” option is enabled at compile time, multiple of these files (normally one per particle pair) are interleaved in a single file. This is done by writing first all headers in order (i.e. first the header for initial particle/final particle 1/2, then 3/4, 5/6 etc.) and then the same for the tracking data. The “total number of particles” field can always be read from the first header record, which gives the number of header records present in the file. The two file formats are equivalent, i.e. they contain exactly the same data, and it is thus possible to convert losslessly between them.

Some of the post-processing data are written in Ascii-format on file # 10. This can be used for instance for plotting purposes. Each time the post-processing routine is called 60 double precision numbers (some of them still dummy) are added to the file.

The file with the errors (in: fort.16, out: fort.9) has the following format: first line – name of element; line 2–7 – normal multipoles order 1–18; line 8 – normal multipoles of order 19 and 20; line 9–14 – skew multipoles order 1–18; line 15 – skew multipoles of order 19 and 20. The strength definition is according to block 3.3.1 and to be effective in fort.3 the random values of the corresponding multipole block have to be set to 1.0. A word of caution: when writing on file fort.9 the *total* multipole strength is used, i.e. systematic and random part combined. File fort.16 and fort.9 might therefore be different. When using fort.9 as input (fort.16) the systematic part in fort.3 has to be set to 0.0.

Misalignment and tilt are in file # 8 and # 27 as input and output respectively. The format is (a16,2x,1p,2d14.6,d17.9), i.e. name, horizontal misalignment, vertical misalignment and tilt. The misalignment is in units of [mm] the tilt in units of [mrad]. The files # 30 (in) and # 31 (out) have the random single nonlinear element kick, misalignments and tilt in the format: (a8,1p,d19.11,2d14.6,d17.9). Misalignment and tilt in file fort.8 or fort.30 is automatically activated while the random strength (strength definition same as in block 3.2.1) needs an entry in the fourth column in the geometry file fort.2. File # 28 and # 29 hold integer counter and closed orbit displacement at a horizontal or vertical monitor respectively.

Table D.3: Post-processing Data

# of Column	Description
1	Maximum turn number
2	Stability Flag (0=stable, 1=lost)
3	Horizontal Tune
4	Vertical Tune
5	Horizontal $\beta$ -function
6	Vertical $\beta$ -function
7	Horizontal amplitude 1 <sup>st</sup> particle
8	Vertical amplitude 1 <sup>st</sup> particle
9	Relative momentum deviation $\frac{\Delta p}{p_o}$
10	Final distance in phase space
11	Maximum slope of distance in phase space
12	Horizontal detuning
13	Spread of horizontal detuning
14	Vertical detuning
15	Spread of vertical detuning
16	Horizontal factor to nearest resonance
17	Vertical factor to nearest resonance
18	Order of nearest resonance
19	Horizontal smear
20	Vertical smear
21	Transverse smear
22	Survived turns 1 <sup>st</sup> particle
23	Survived turns 2 <sup>nd</sup> particle
24	Starting seed for random generator
25	Synchrotron tune
26	Horizontal amplitude 2 <sup>nd</sup> particle
27	Vertical amplitude 2 <sup>nd</sup> particle
28	Minimum horizontal amplitude
29	Mean horizontal amplitude
30	Maximum horizontal amplitude
31	Minimum vertical amplitude
32	Mean vertical amplitude
33	Maximum vertical amplitude
34	Minimum horizontal amplitude (linear decoupled)
35	Mean horizontal amplitude (linear decoupled)
36	Maximum horizontal amplitude (linear decoupled)
37	Minimum vertical amplitude (linear decoupled)
38	Mean vertical amplitude (linear decoupled)
39	Maximum vertical amplitude (linear decoupled)
40	Minimum horizontal amplitude (nonlinear decoupled)
41	Mean horizontal amplitude (nonlinear decoupled)
42	Maximum horizontal amplitude (nonlinear decoupled)
43	Minimum vertical amplitude (nonlinear decoupled)
44	Mean vertical amplitude (nonlinear decoupled)
45	Maximum vertical amplitude (nonlinear decoupled)
46	Emittance Mode I
47	Emittance Mode II
48	Secondary horizontal $\beta$ -function
49	Secondary vertical $\beta$ -function
50	$Q'_x$
51	$Q'_y$
52 – 58	Dummy
59 – 60	Internal use

As an option the 4D linear parameters can be dumped to file # 11 when the linear optics block 3.5.1 is activated. This can be used for instance for a post-processing of linear coupling. 25 values are written in a binary format.

Table D.4: 4D Linear Parameters

# of Column	Description
1	Name of the element
2	Longitudinal Position [m]
3	Horizontal phase advance
4	Vertical phase advance
5	Primary horizontal $\beta$ -function [m]
6	Secondary horizontal $\beta$ -function [m]
7	Secondary vertical $\beta$ -function [m]
8	Primary vertical $\beta$ -function [m]
9	Primary horizontal $\alpha$ -function [rad]
10	Secondary horizontal $\alpha$ -function [rad]
11	Secondary vertical $\alpha$ -function [rad]
12	Primary vertical $\alpha$ -function [rad]
13	Primary horizontal $\gamma$ -function [m]
14	Secondary horizontal $\gamma$ -function [m]
15	Secondary vertical $\gamma$ -function [m]
16	Primary vertical $\gamma$ -function [m]
17	Primary horizontal phase of x-coordinate [pi]
18	Secondary horizontal phase of x-coordinate [pi]
19	Secondary vertical phase of y-coordinate [pi]
20	Primary vertical phase of y-coordinate [pi]
21	Primary horizontal phase of $x'$ -coordinate [pi]
22	Secondary horizontal phase of $x'$ -coordinate [pi]
23	Secondary vertical phase of $y'$ -coordinate [pi]
24	Primary vertical phase of $y'$ -coordinate [pi]
25	Coupling angle [pi]
26	$D_x$ [mm]
27	$D'_x$ [mrad]
28	$D_y$ [mm]
29	$D'_y$ [mrad]

When external multipole errors are read-in (see section 3.4.1) the program expects a complete list of magnet errors on file # 16. The format of each set of multipole errors is given in table D.5. The definition of the multipole coefficients should be as described in section 3.3.1.

Table D.5: Format of file with external errors # 16 and internal errors written to # 9

# of Row	Description
1	Name of multipole set
2	$B_1 \ B_2 \ B_3$
3	$B_4 \ B_5 \ B_6$
4	$B_7 \ B_8 \ B_9$
5	$B_{10} \ B_{11} \ B_{12}$
6	$B_{13} \ B_{14} \ B_{15}$
7	$B_{16} \ B_{17} \ B_{18}$
8	$B_{19} \ B_{20}$
9	$A_1 \ A_2 \ A_3$
10	$A_4 \ A_5 \ A_6$
11	$A_7 \ A_8 \ A_9$
12	$A_{10} \ A_{11} \ A_{12}$
13	$A_{13} \ A_{14} \ A_{15}$
14	$A_{16} \ A_{17} \ A_{18}$
15	$A_{19} \ A_{20}$

With the parameter “mout” set to 2 or 3 in the “Random Fluctuation” block ( 3.4.1) the internally used multipoles are written to file # 9 in the same format as above. This file can therefore be used as an input fort.16 file for a subsequent run.

The file # 34 is written when the “Linear Optic Block” (see section 3.5.1) is invoked with the “ELEMENT 0” option.

Table D.6: Format of file # 34 for detuning and distortion calculation with external program “SODD” [21]

# of Column	Description
1	Longitudinal position [m]
2	Type “n” of Multipole ( $n > 0 \Rightarrow$ erect, $n < 0 \Rightarrow$ skew)
3	Multipole strength [ $\text{mrad} \cdot \text{mm}^{(1- n )}$ ]
4	Horizontal $\beta$ -function [m]
5	Vertical $\beta$ -function [m]
6	Horizontal phase advance
7	Vertical phase advance

The last line serves as the end of the structure: Length of the accelerator, fake name “END”, fake type “100”,  $\beta$  functions and phase advances at the end of the accelerator for the horizontal and vertical plane respectively.

## Appendix E

# Tracking Examples

A simple tracking example is shown with its input file ( E.1), its output file ( E.2) and some corresponding plots in ( E.3).

### E.1 Input Example

For the description of the different input blocks see chapter 3.

```

FREE FORMAT      TITLE: EXAMPLE
PRINTOUT OF INPUT PARAMETERS-----
NEXT-----
SINGLE ELEMENTS-----
B      0  0.0000000  0.000000  50.0000
QD2    2  0.0000000  0.009536  0.77000
QF2    2  0.0000000  -0.009536  0.77000
MU     11 1.0000000  1.000000  0.00000
SEX    3  0.0500000  0.000000  0.00000
NEXT-----
BLOCK DEFINITIONS-----
1 1
  B1 QD2 B QF2
  B2 QF2 B QD2
NEXT-----
STRUCTURE INPUT-----
      MU  B1 SEX B2
NEXT-----
MULTIPOLE COEFFICIENTS-----
MU      10.0  3.5765
      0.0000  0.0000  0.0000  0.0000
      0.0000  0.0000  0.0000  0.0000
      0.405E-3 0.0000  0.0000  0.0000
      -.5E-5  0.0000  0.0000  0.0000
      -.56E-4 0.0000  0.0000  0.0000
      0.0000  0.0000  0.0000  0.0000
      0.3E-5  0.0000  0.0000  0.0000
      0.0000  0.0000  0.0000  0.0000
      -.1E-5  0.0000  0.0000  0.0000
NEXT-----
TRACKING PARAMETERS-----
10000  0 2 11.0 11.5 0 1
      1 0 1 0 0 1 1 1 50000 2
NEXT-----
INITIAL COORDINATES-----
      2 0. 0. 1.
      0.
      0.
      0.
      0.
      0.
      0.
      0.
      0.000001
      0.
      0.
      0.
      0.
      450000.
      450000.
      450000.
NEXT-----
ITERATION-ACCURACY-----
      50 1D-14 1D-15
      10 1D-10 1D-10
      10 1D-5 1D-6
      1D-8 1D-12 1D-10
NEXT-----
POSTPROCESSING-----
EXAMPLE
      1000 0 0 1 .08 .08 1
      0. 0. 1 1 20 .005 1 .10
      7878 1 0 1 1 1 1
NEXT-----
ENDE=====

```





Finally part of the post-processing for the two particles are shown (chaotic on the left and regular on the right respectively) and a summary of the post-processing is given.

-----									
00000000000000000000000000000000									
00 00									
00 POSTPROCESSING 00									
00 00									
00000000000000000000000000000000									
-----									
ANALYSING THE INCREASE OF THE DISTANCE IN PHASE-SPACE									
-----									
URNS	DISTANCE	SLOPE	RESIDUAL	URNS	DISTANCE	SLOPE	RESIDUAL		
2000	0.2253779764D-03	0.4554898739	0.0000000000	2000	0.9092427661D-04	0.3871969581	0.0000000000		
3000	0.1081182799D-02	1.3754730225	0.3947801590	3000	0.2666317995D-03	0.9350422025	0.1414830685		
4000	0.6399160375D-02	3.0341444016	2.1449279785	4000	0.3394974411D-03	0.9019818306	0.0706214905		
5000	0.4981834333D-02	2.2218360901	2.3199357986	5000	0.5020486718D-03	1.0834455490	0.0927543640		
6000	0.8028940085D-01	4.1418428421	10.5150909424	6000	0.5503330639D-03	0.9862068892	0.0943765640		
7000	0.3407768847D+00	6.2231464386	25.0305175781	7000	0.7196859601D-03	1.0560836792	0.0917263031		
8000	0.4788764947D+00	6.7520313263	22.5305938721	8000	0.7402482154D-03	0.9828781486	0.1097971201		
9000	0.4507363285D+00	6.2743434906	21.2371520996	9000	0.9506629146D-03	1.0507984161	0.1310729980		
10000	0.6438836450D+00	5.8023786545	21.1673889160	10000	0.9737567472D-03	1.0118942261	0.1297397614		
-----									
AVERAGED PHASE-ADVANCE									
-----									
X-PHASE :	0.1175695955	+/	0.0003315228	X-PHASE :	0.1189145300	+/	0.0001260177		
Z-PHASE :	0.1196627812	+/	0.0001993022	Z-PHASE :	0.1211689637	+/	0.0001953892		
S-PHASE :	0.0000000000	+/	0.0000000000	S-PHASE :	0.0000000000	+/	0.0000000000		
IART-QX :	0.1222386779	CHANGE IN X :	-.4669082376D-02	START-QX :	0.1222386779	CHANGE IN X :	-.3324147915D-02		
IART-QZ :	0.1222386779	CHANGE IN Z :	-.2575896685D-02	START-QZ :	0.1222386779	CHANGE IN Z :	-.1069714140D-02		
THE AVERAGED PHASE-ADVANCES ARE CLOSER THEN 0.5000D-02 TO THE FOLLOWING RESONANCES UP TO 20 ORDER									
-----									
NX * QX	+	NZ * QZ	-	P	=	DELTA			
1		-1		0.0		-.2093D-02			
2		-2		0.0		-.4186D-02			
14		3		2.0		0.4963D-02			
15		2		2.0		0.2869D-02			
16		1		2.0		0.7763D-03			
17		0		2.0		-.1317D-02			
18		-1		2.0		-.3410D-02			
-----									
SUMMARY OF THE POSTPROCESSING									
-----									
TURN NUMBER	LINEAR TUNES	BETA- FUNCTIONS	AMPLITUDES	MOMENTUM DEVIATION	NORMALIZED PHASESPACE DISTANCE	SLOPE OF THE DISTANCE	NONLINEAR DETUNING	NEAREST RESONANCE	SMEAR OF THE EMITTANCES
		[M]	[MM]					[ORD.]	[%]
10000	X 0.12224	X 92.9575	X 11.000000	0.0000D+00	0.9738D-03	1.0119	X -.33241D-02	X 1 2	X 26.423
	Z 0.12224	Z 203.5812	Z 16.278681				+/- 0.126D-03	Z -1	Z 34.450
	QS 0.000000						Z -.10697D-02		
							+/- 0.195D-03		
10000	X 0.12224	X 92.9575	X 11.500000	0.0000D+00	0.6439D+00	5.8024	X -.46691D-02	X 16 17	X 45.228
	Z 0.12224	Z 203.5812	Z 17.018621				+/- 0.332D-03	Z 1	Z 33.745
	QS 0.000000						Z -.25759D-02		
							+/- 0.199D-03		

### E.3 Plot Example

In figure E.1 a typical example of the evolution of the distance in phase space is shown of a regular and chaotic particle. Figure E.2 and figure E.3 show the corresponding horizontal phase space and the physical phase space projections respectively. An example of the stroboscoped phase space is shown in figure E.4, where the motion in the chaotic case is beyond a “separatrix” in the four-dimensional phase space. Even in the FFT (figure E.5) one can see the effect of chaotic behaviour: it leads to a widening of the lines of the spectrum.

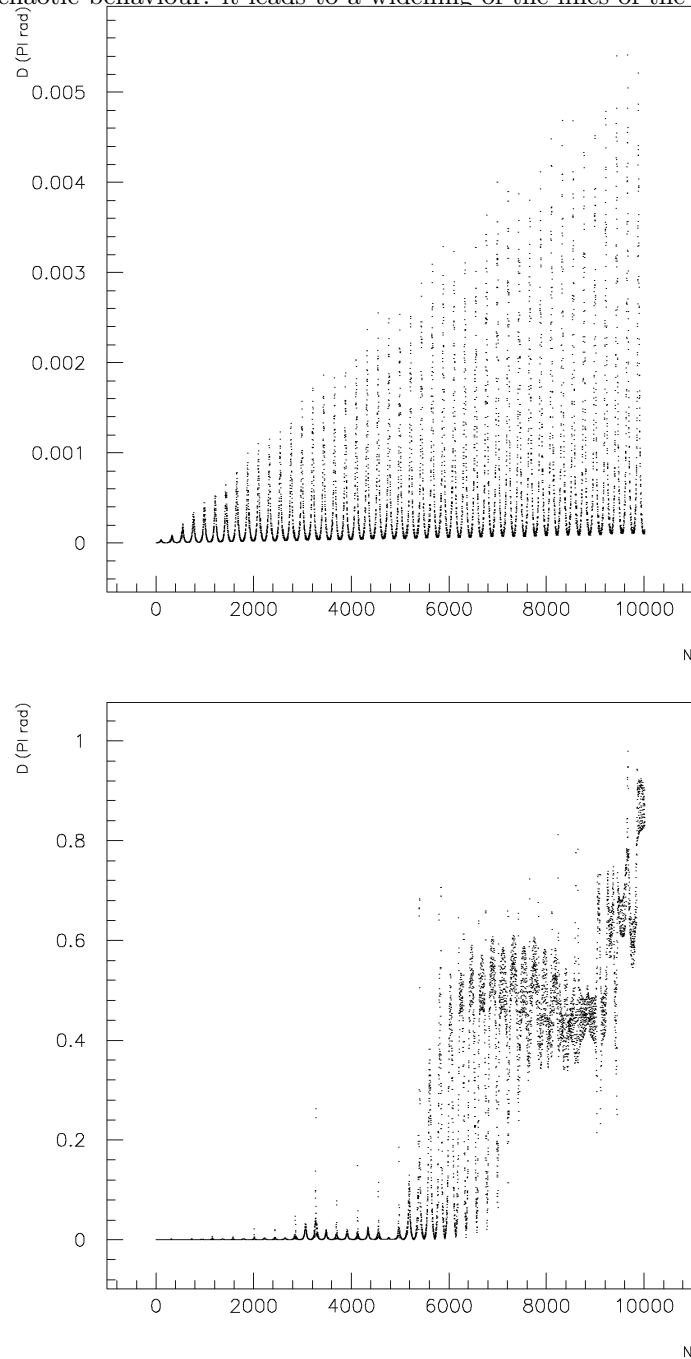


Figure E.1: Evolution of the Distance of Phase Space for Regular (upper part) and Chaotic (lower part) Motion.

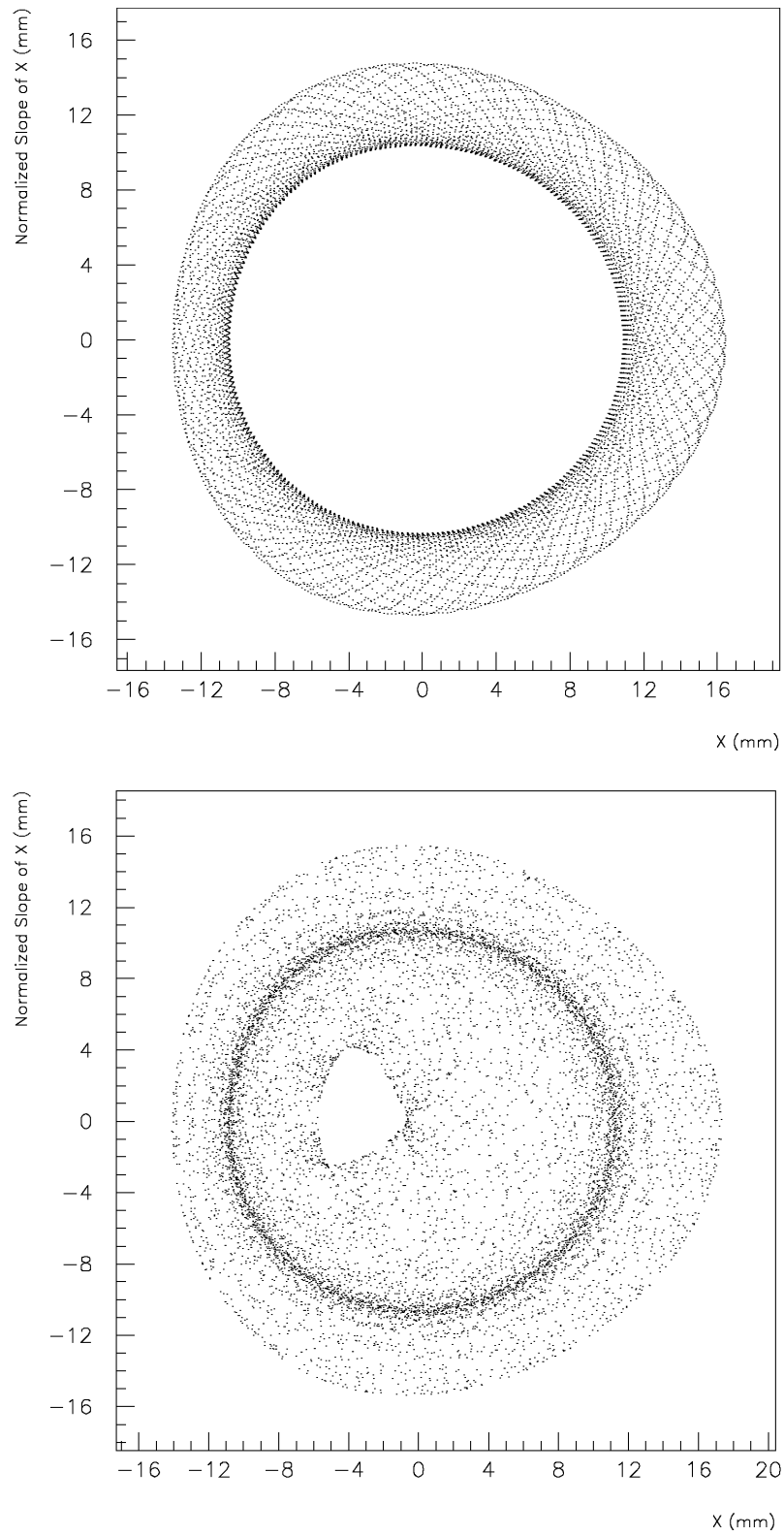


Figure E.2: Horizontal Phase Space Projections for the Regular (upper part) and the Chaotic (lower part) Cases.

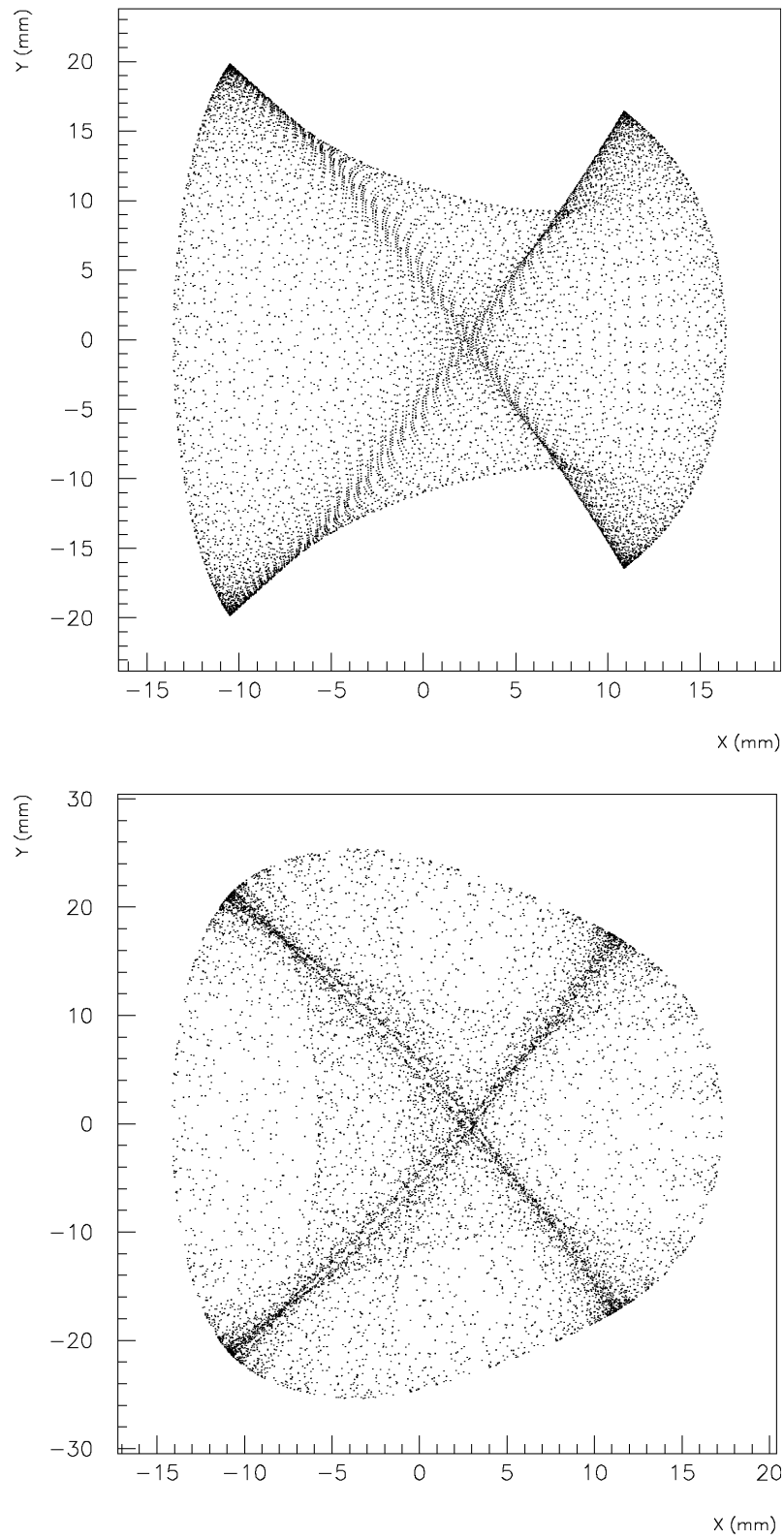


Figure E.3: Physical Phase Space Projections for the Regular (upper part) and the Chaotic (lower part) Cases.

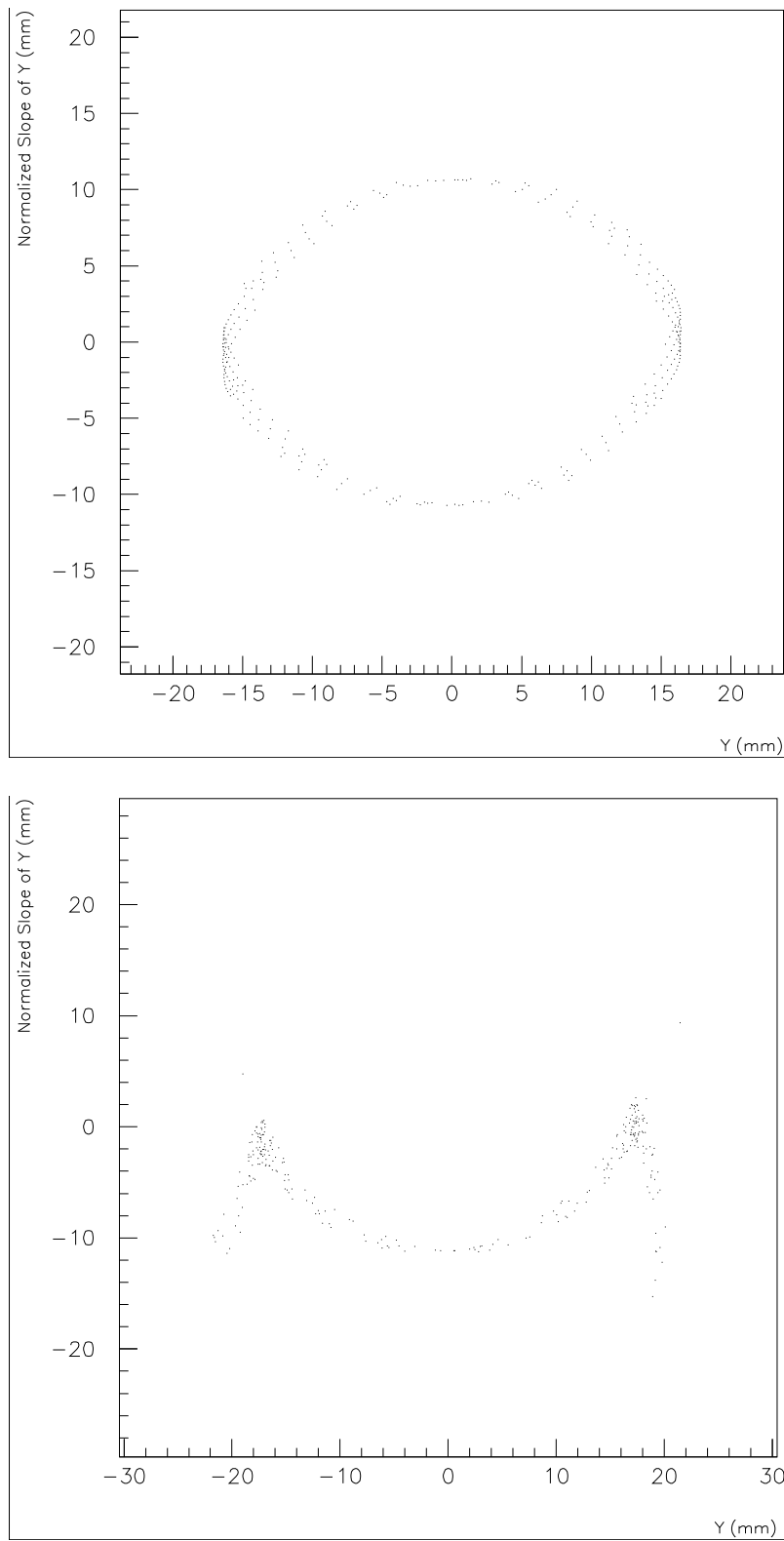


Figure E.4: Stroboscopic Vertical Phase Space Projections for the Regular (upper part) and the Chaotic (lower part) Cases respectively. The regular motion stays inside a “separatrix” with two unstable fix-points visible, while the chaotic motion is clearly outside this “separatrix”.

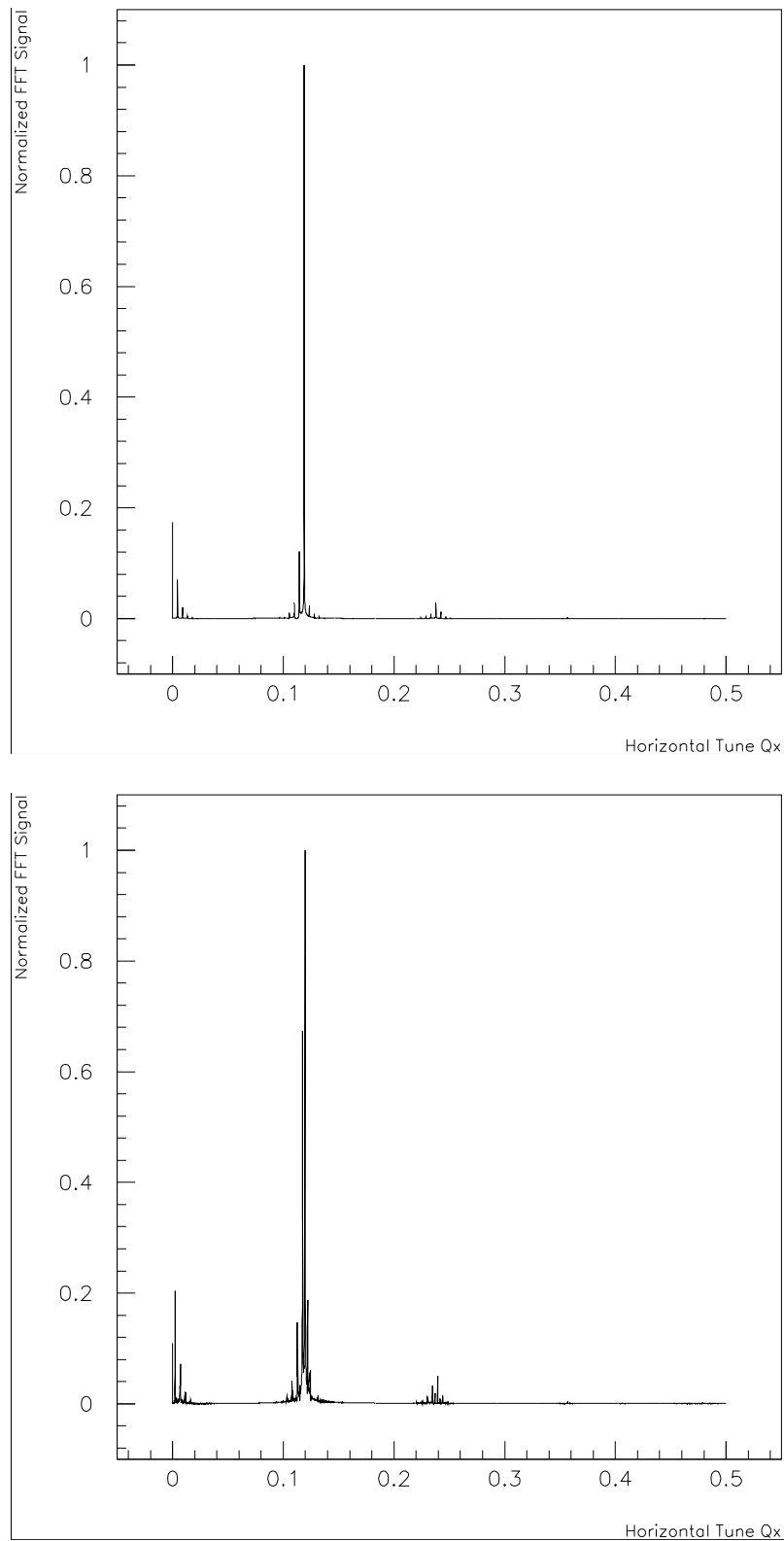


Figure E.5: Horizontal FFT–Analysis for the Regular (upper part) and the Chaotic (lower part) Cases.

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