

## 9.3 Particle Accelerators

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### Two basic types of accelerator

linear



circular



### 2) Two types of beam

electrons

protons

### 3) Two types of collisions

fixed target

colliding beam

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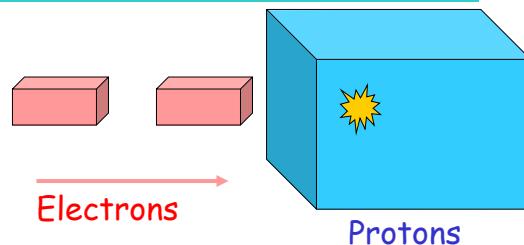
The two most important accelerator properties are:

**Luminosity (L)**

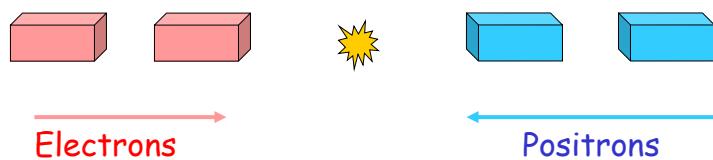
&

**Center-of-Mass Energy ( $E_{CM}$ )**

Fixed target:  $L$  large,  $E_{CM}$  small



Colliding beams:  $L$  small,  $E_{CM}$  large



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## Luminosity (Flux) & cross-section

$$\text{Number of events/sec} = \sigma (\text{cm}^2) \times \text{Luminosity} (\text{cm}^{-2} \text{s}^{-1})$$

$$\sigma = \frac{\text{Number of events}}{\text{Luminosity}}$$

Measured in the detectors

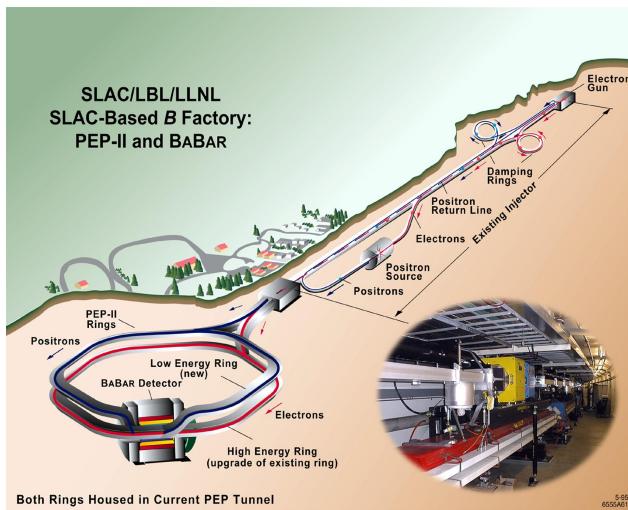
Measured in the accelerator

To be compared with the theory

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## Example: PEPII @ SLAC

Produced about a billion  $B - \bar{B}$  meson pairs in  $e^+e^-$  collisions at the PEPII storage ring

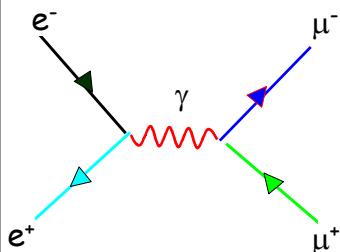


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## How probable are the various physics processes?

$e^+e^- \rightarrow \mu^+\mu^-$  at PEP II

$$1/\text{GeV}^2 = (0.197 \text{ fm})^2 = 388 \text{ } \mu\text{b}$$



$$\sigma = \frac{4\pi\alpha^2}{3s} = 87 \text{ nb / s (GeV}^2)$$

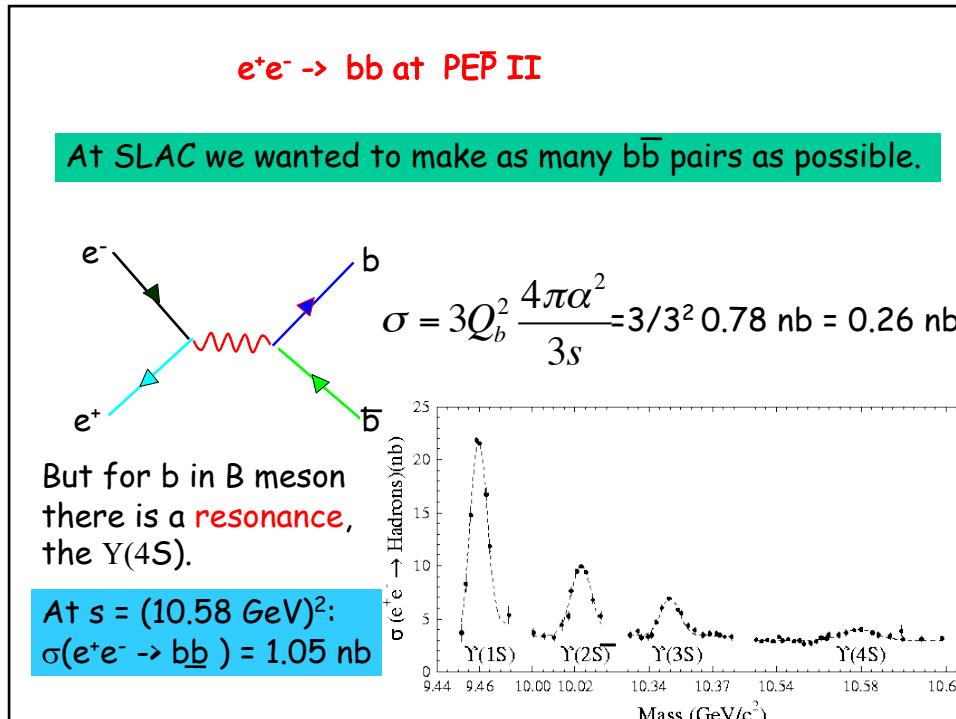
$$\alpha = 1/137$$

$$s = (E_{CM})^2$$

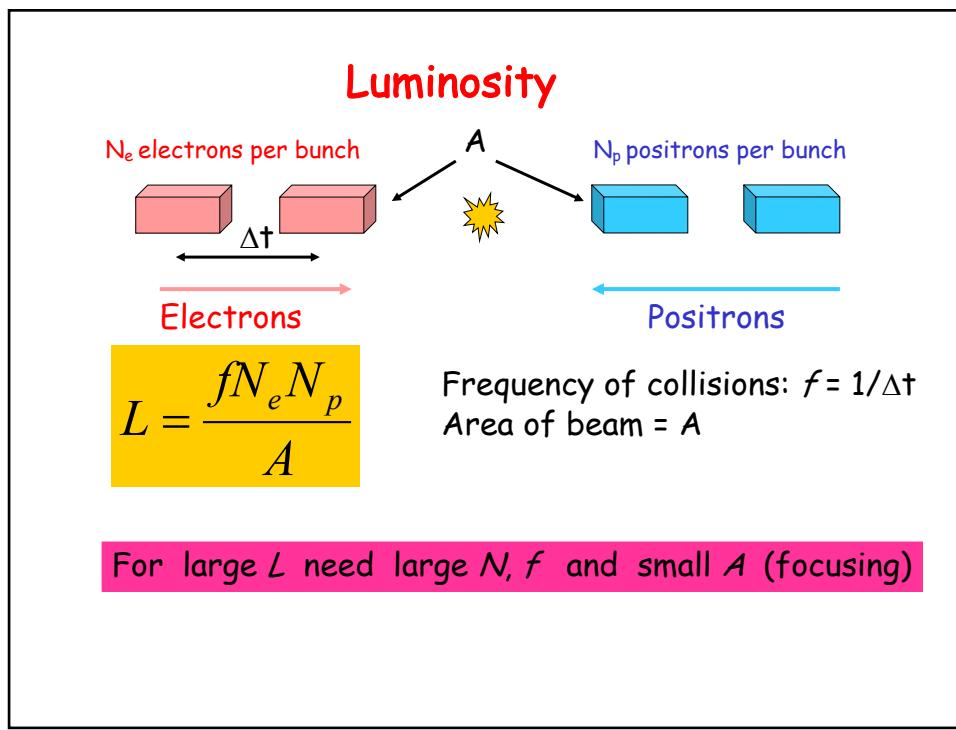
At  $s = (10.58 \text{ GeV})^2$  (PEP II):  $\sigma(e^+e^- \rightarrow \mu^+\mu^-) = 0.78 \text{ nb}$

$$(1 \text{ nb} = 10^{-9} \times 10^{-24} \text{ cm}^2)$$

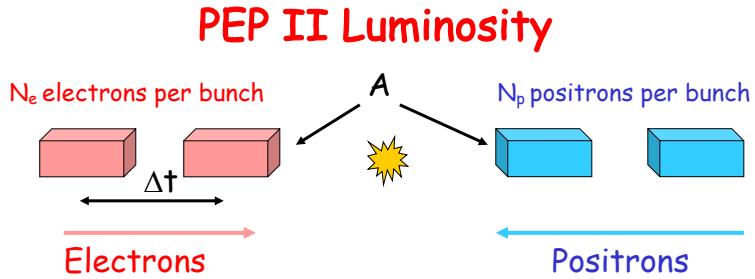
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$$2\pi R = 2200\text{m}, \text{ 1658 bunches} \rightarrow 0.0075 \text{ bunches/cm}$$

$$\rightarrow f = 2.3 \times 10^8 \text{ bunches/sec}$$

$$L = \frac{fN_e N_p}{A} = \frac{(2.3 \times 10^8)(2.7 \times 10^{10})(5.9 \times 10^{10})}{150\mu m \times 15\mu m}$$

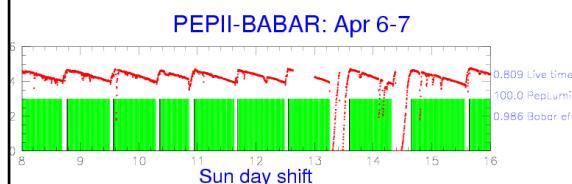
$$L = 1.6 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

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# Luminosity (Flux)

$$\text{Number of events/sec} = \sigma (\text{cm}^2) \times \text{Luminosity} (\text{cm}^{-2} \text{s}^{-1})$$

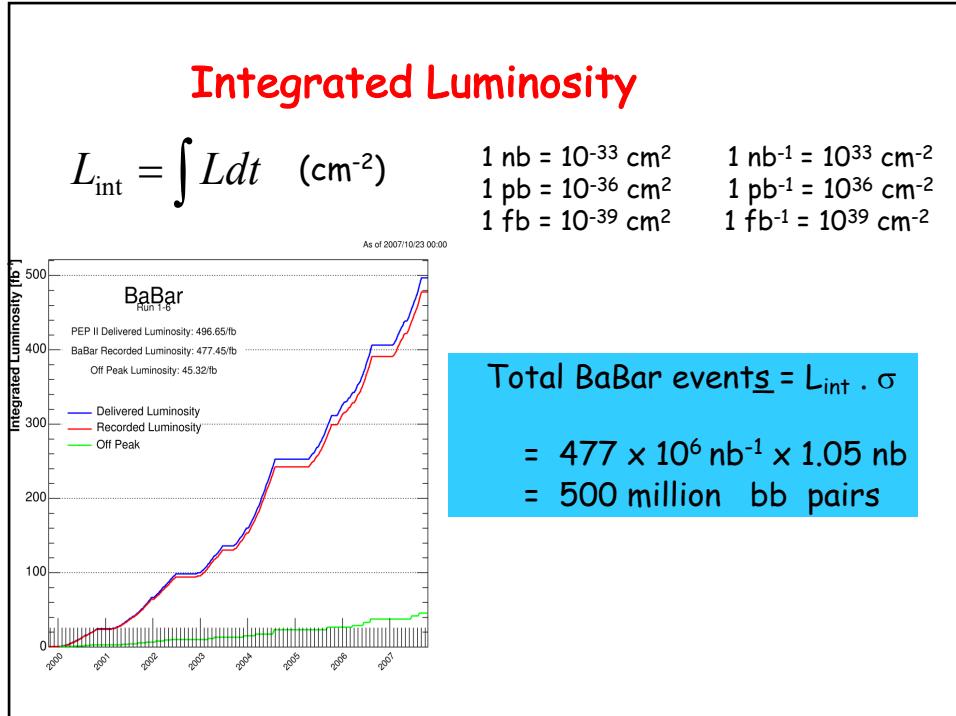
$$\sigma(e^+e^- \rightarrow b\bar{b}) = 1.05 \text{ nb}$$



$$\begin{aligned} \text{PEP II Luminosity} \\ \text{about } 4 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \\ = 4 \text{ nb}^{-1} \text{ s}^{-1} \end{aligned}$$

Produced about 5  $b\bar{b}$  pairs per second

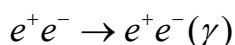
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## Example of luminosity measurement: Bhabha Scattering

For  $e^+e^-$  colliders, the Bhabha scattering process is normally used for luminosity measurements ( $\gamma$  = photon):



- Large cross-section.
- Almost purely electromagnetic (QED) interaction.
- Can be computed to high accuracy. LEP : < 0.05%.

At small angle from the beam the cross-section for a detector covering the angular range (wrt beam axis) from  $\theta_{\min}$  to  $\theta_{\max}$  is :

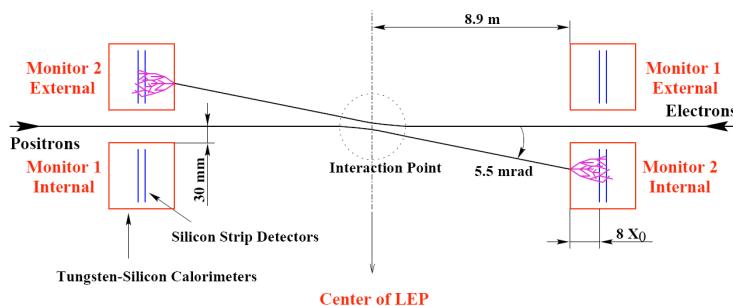
$$\sigma = k \left( \frac{1}{\theta_{\min}^2} - \frac{1}{\theta_{\max}^2} \right)$$

For high rates, aim  
for the smallest  
possible  $\theta_{\min}$

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## Bhabha Scattering : LEP Example

- At LEP each experiments had a luminosity monitor covering the angular region of 30 to 50-100 mrad. They achieved measurements with accuracies of ~0.1%.
- The LEP machine had its own detectors, based on a 'sandwich' of Tungsten plates and Silicon strip detectors:
  - Installed at smaller angles (2-5 mrad) for faster update rates, but also more background !
  - Poor(er) accuracy, calibration wrt to the monitors of the experiments.
  - The machine monitors were used for luminosity optimization (see later).



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## Basic Accelerator Components

a) Source

b) Acceleration

c) Steering

d) Focusing

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### a) Particle sources:

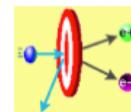
- electrons: by heating metallic plates



- protons: by ionization of the hydrogen atom

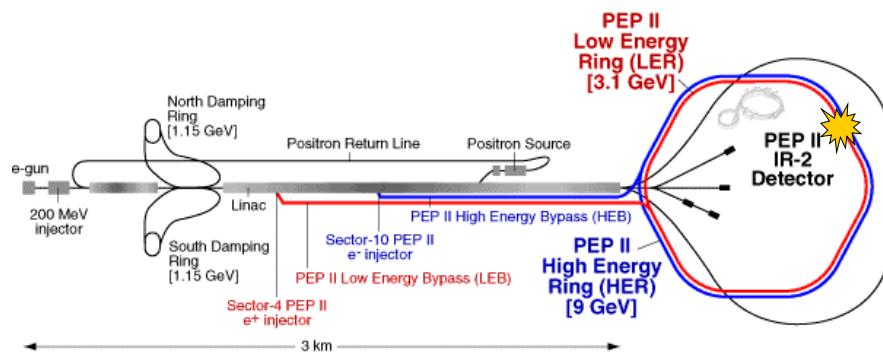


- antiparticles: from particle reactions

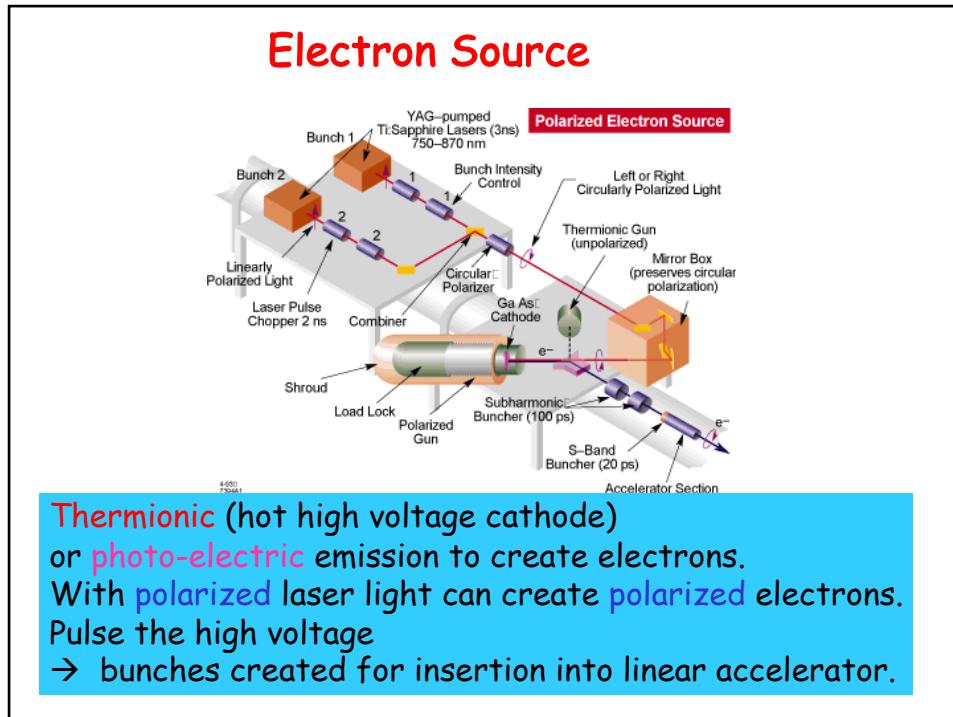


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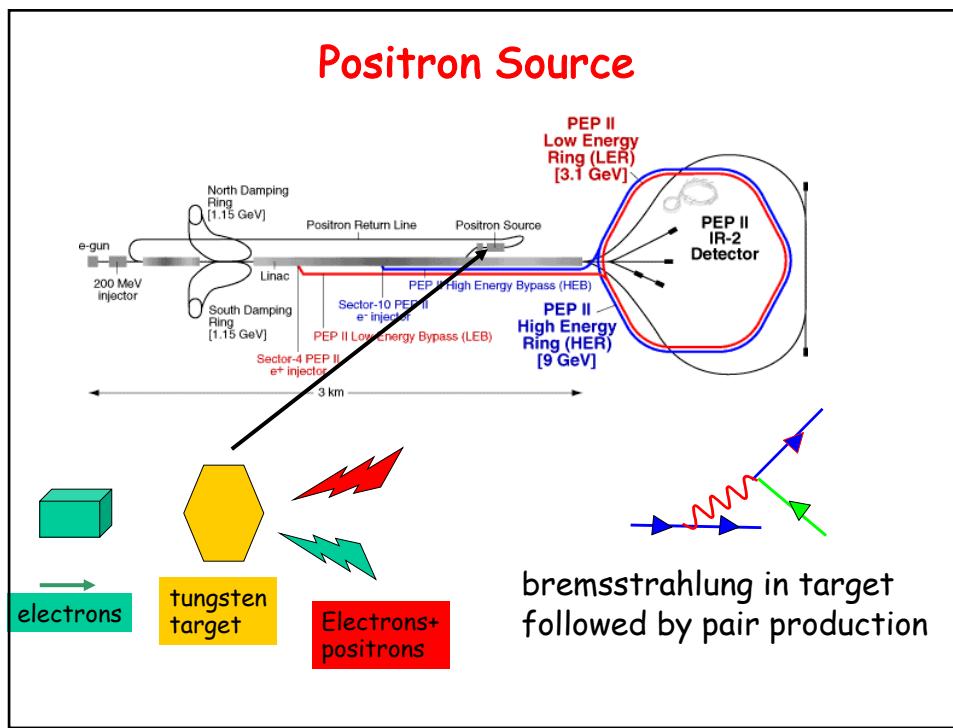
### The SLAC accelerator complex



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## b) Particle Acceleration

$$\vec{F} = e\vec{E} + e(\vec{v} \times \vec{B})$$

$$\Delta \vec{p} = \int \vec{F} dt \quad \Delta E = \int \vec{F} \cdot d\vec{s}$$

$$\Delta E = \int e\vec{E} \cdot \vec{v} + e(\vec{v} \times \vec{B}) \cdot \vec{v} dt \quad (\vec{v} \times \vec{B}) \cdot \vec{v} = 0$$

$$\Delta E = \int e\vec{E} \cdot \vec{v} dt$$

$\vec{E}$  accelerate

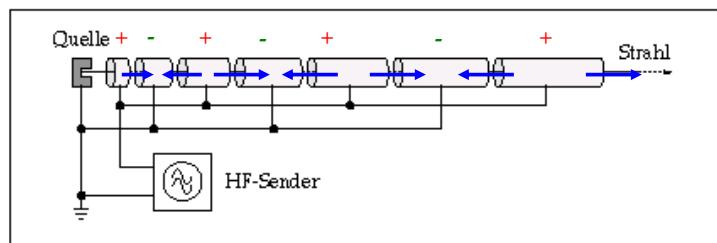
$\vec{B}$  steer/focus

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## The first RF-Accelerator: Drift tube accelerators

1928, Wideroe: how can the acceleration voltage be applied several times to the particle beam

schematic Layout:



Energy gained after  $n$  acceleration gaps

$$E_n = n * q * U_0 * \sin \psi_s$$

$n$  number of gaps between the drift tubes

$q$  charge of the particle

$U_0$  Peak voltage of the RF System

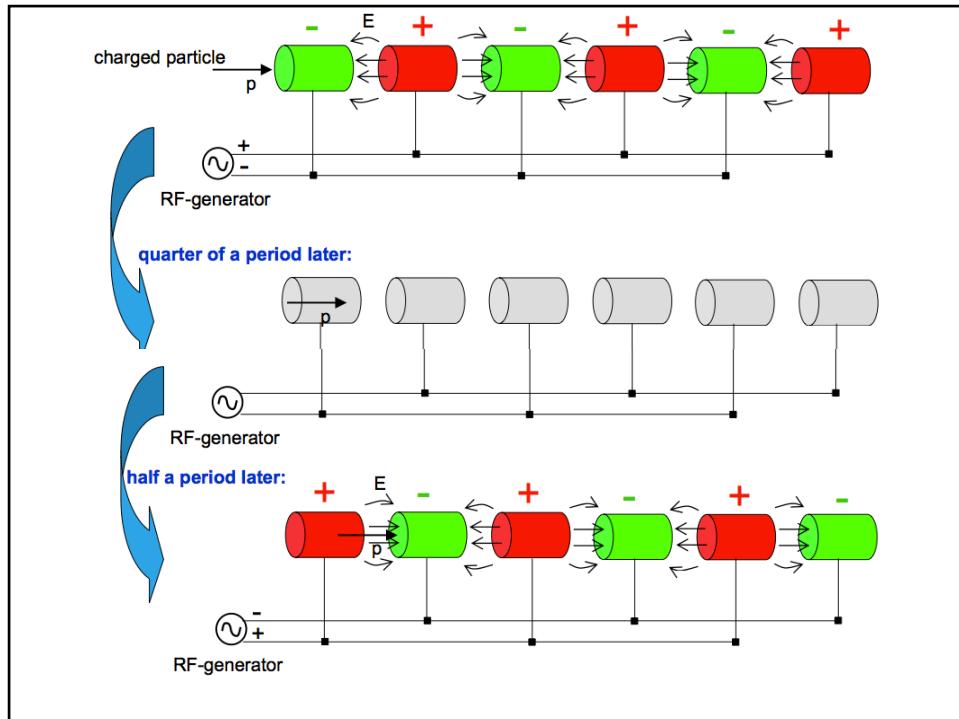
$\Psi_s$  synchronous phase of the particle

\* acceleration of the proton in the first gap

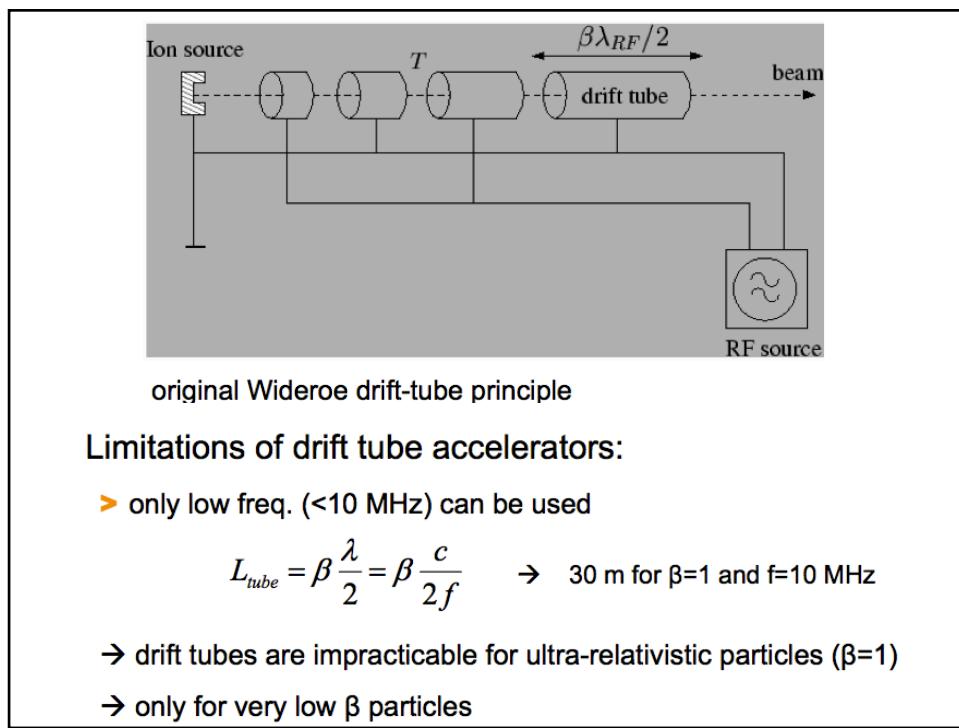
\* voltage has to be „flipped“ to get the right sign in the second gap → RF voltage

→ shield the particle in drift tubes during the negative half wave of the RF voltage

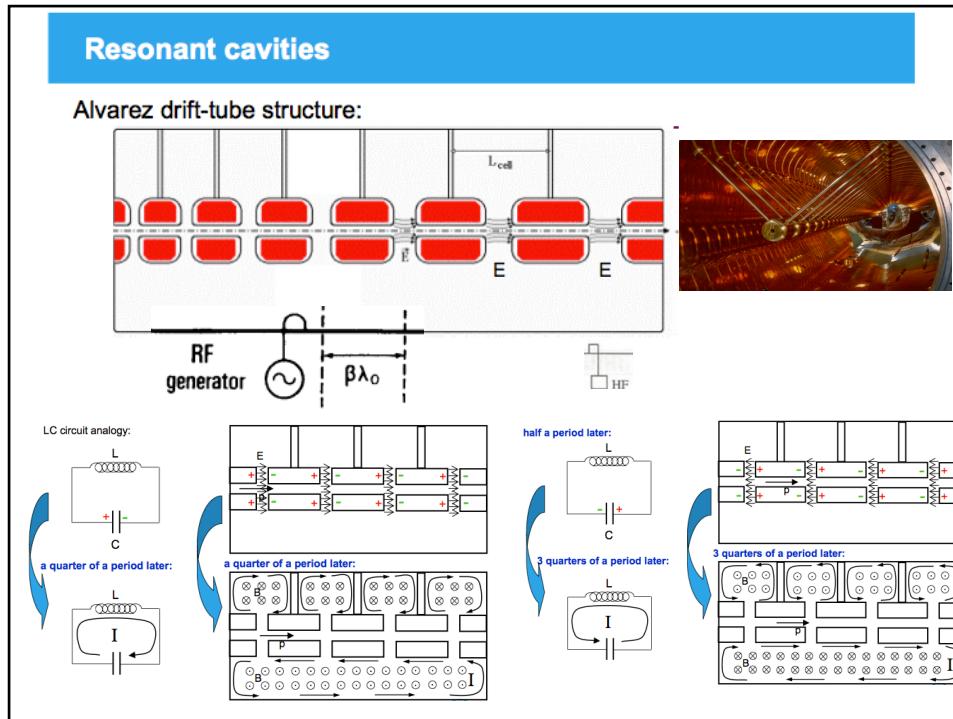
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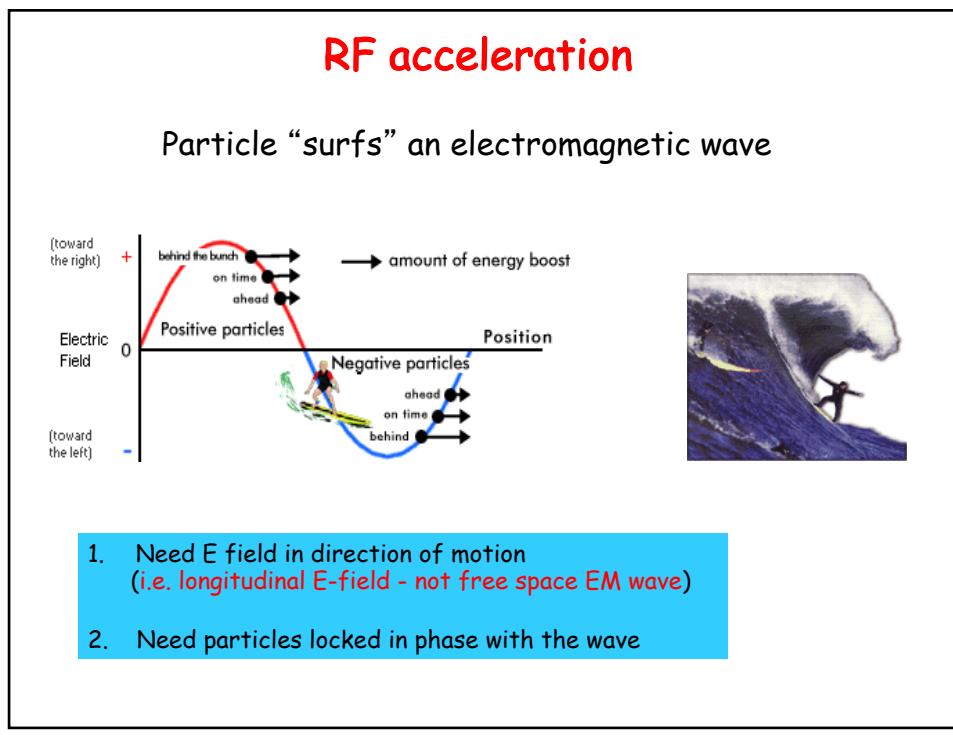
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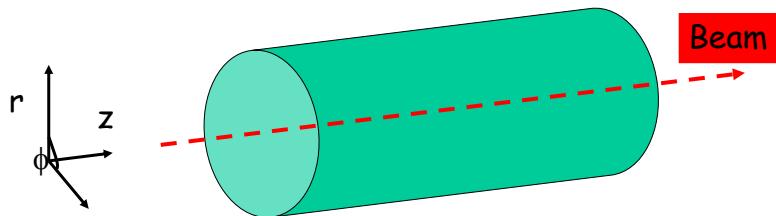


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## Creating longitudinal E for acceleration

Use waveguides and resonant cavities.

Geometry of waveguide can create the correct field.  
(Free space EM waves are transverse; need walls.)



Solve Maxwell's equations with appropriate boundary conditions for a cylindrical cavity.

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## Maxwell's Equations and boundary conditions

$$\vec{\nabla} \cdot \vec{E} = 0$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{B}}{\partial t}$$

$$\vec{\nabla} \times \vec{B} = \frac{\mu \epsilon}{c} \frac{\partial \vec{E}}{\partial t}$$

From these equations can derive:

$$\text{Solve } \nabla^2 \vec{E} = \frac{\mu \epsilon}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2}$$

In cylindrical coordinates



A component of  $E$  parallel to the surface would move excess charge.



If an object has reached electrostatic equilibrium, there is no  $\parallel$  component of  $E$ .



The electric field must be directed perpendicular to the surface at each location.

With boundary conditions for surface of conductor

$$\vec{E}_\parallel = 0 \quad \vec{B}_\perp = 0$$



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## Creating longitudinal E for acceleration

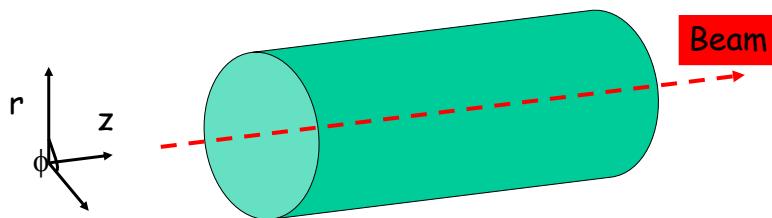
Use separation of variables to solve.

Two sets of solutions:

$TE_{klm}$  Transverse E (longitudinal B) modes

$TM_{klm}$  Transverse B (longitudinal E) modes

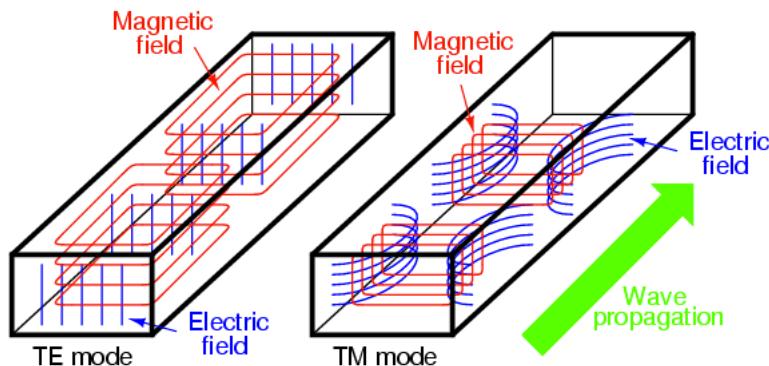
$klm$  is the periodicity of the solution in  $\phi z$



The TM modes are useful for particle acceleration

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## TE and TM modes



Magnetic flux lines appear as continuous loops  
Electric flux lines appear with beginning and end points

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## TM<sub>010</sub> Accelerating mode

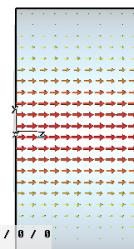
### Electric Fields

Almost every RF cavity operates using the TM<sub>010</sub> accelerating mode.

This mode has a longitudinal electric field in the centre of the cavity which accelerates the electrons.

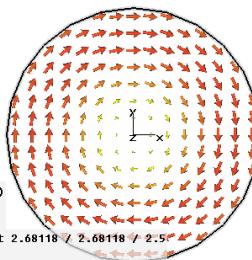
The magnetic field loops around this and caused ohmic heating.

Type	E-Field (peak)
Monitor	Mode 1
Plane at x	0
Maximum-2d	4.61371e+007 U/m at 0 / 0 / 0
Frequency	2.29257
Phase	0 degrees



### Magnetic Fields

Type	H-Field (peak)
Monitor	Mode 1
Plane at z	2.5
Maximum-2d	77083.3 A/m at 2.68118 / 2.68118 / 2.5
Frequency	2.29257
Phase	90 degrees



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## TM<sub>010</sub> Mode

$$E_z = E_0 J_0 \left( \frac{2.405r}{R} \right) e^{-i\omega t}$$

$$H_z = 0$$

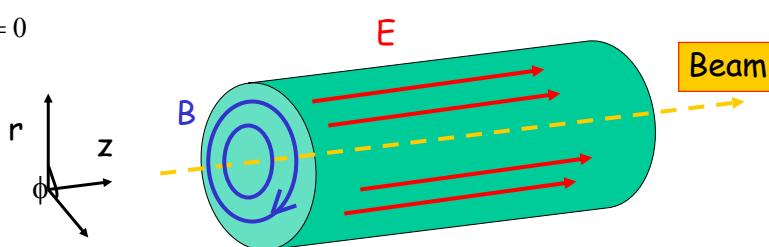
$$H_r = 0$$

$$H_\phi = \frac{-i}{Z_0} E_0 J_1 \left( \frac{2.405r}{R} \right) e^{-i\omega t} \quad w = 2.405c / R$$

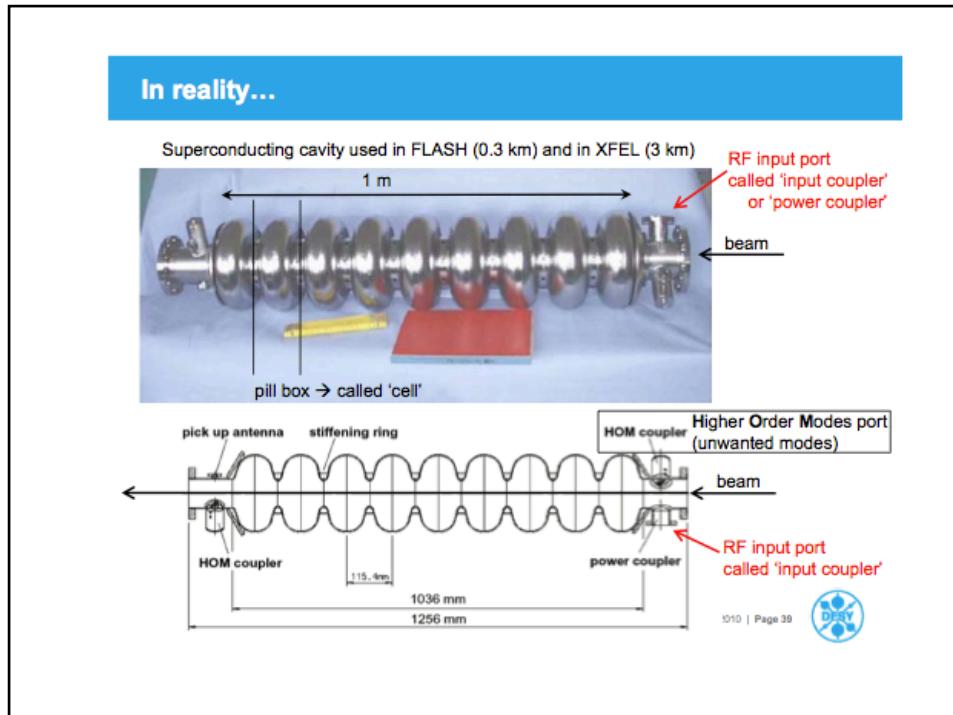
$$E_\phi = 0$$

$$E_r = 0$$

$J_0(r/R)$  is a Bessel function, with  $J_0(R) = 0$ .



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### c) Steering

*The Cyclotron: (Livingston / Lawrence ~1930)*

*Idea: Bend a Linac on a Spiral*  
*Application of a constant magnetic field*  
*keep  $B = \text{const}$ , RF = const*

→ Lorentzforce

$$\vec{F} = q * (\vec{v} \times \vec{B}) = q * v * B$$

*circular orbit*

$$q * v * B = \frac{m * v^2}{R} \rightarrow B * R = p / q$$

*increasing radius for increasing momentum*  
 ➔ *Spiral Trajectory*

*revolution frequency*

$$Bq = \frac{P}{R} \Rightarrow \omega_z = \frac{q}{m} * B_z$$

*the cyclotron (rf-) frequency is independent of the momentum*

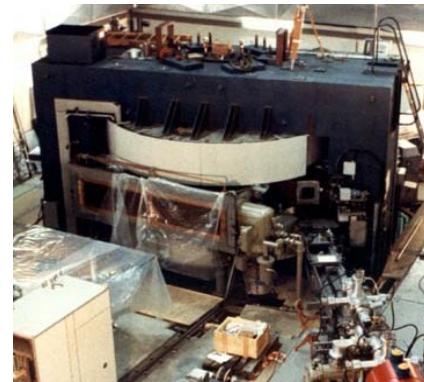
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## Cyclotron

!  $\omega$  is constant for a given  $q$  &  $B$

!!  $B^*R = p/q$   
large momentum  $\rightarrow$  huge magnet

!!!!  $\omega \sim 1/m \neq \text{const}$  works properly only for non relativistic particles



PSI Zurich

### Application:

Work for medium energy protons

Proton / Ion Acceleration up to  $\approx 60$  MeV (proton energy)  
nuclear physics  
radio isotope production, proton / ion therapy

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## The Betatron: Wideroe 1928/ Kerst 1940

...apply the transformer principle to an electron beam: no RF system needed,  
changing magnetic  $B$  field

Idea: a time varying magnetic field induces a voltage that will accelerate the particles

Faraday induction law

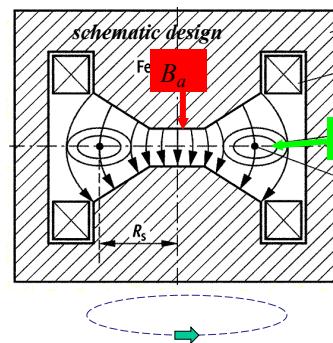
$$\oint_{\partial\Sigma} \mathbf{E} \cdot d\ell = - \int_{\Sigma} \frac{\partial \mathbf{B}}{\partial t} \cdot d\mathbf{A} \quad \oint E dl = - \int_A \dot{B} ds = - \dot{\Phi}$$

circular orbit

$$\frac{mv^2}{r} = e * v * B \\ \rightarrow p = e * B * r$$

magnetic flux through this orbit area

$$\Phi = \int B ds = \pi r^2 * B_a$$



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*induced electric field*

$$\oint E dl = E * 2\pi r = -\dot{\Phi} \Rightarrow E = \frac{-\pi r^2 * \dot{B}_a}{2\pi r} = -\frac{1}{2} \dot{B}_a r$$

*force acting on the particle:*  $\dot{p} = -|\vec{E}|e = \frac{1}{2} \dot{B}_a r e$

*The increasing momentum of the particle has to be accompanied by a rising magnetic guide field:*

$$\dot{p} = e * \dot{B}_g r \quad \dot{B}_g = \frac{1}{2} \dot{B}_a$$



*robust, compact machines,  
Energy  $\leq 300...500$  MeV,  
limit: Synchrotron radiation*

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## Synchrotrons / Storage Rings / Colliders:

*Wideroe 1943, McMillan, Veksler 1944,  
Courant, Livingston, Snyder 1952*

**Idea:** *define a circular orbit of the particles,  
keep the beam there during acceleration,  
put magnets at this orbit to guide and focus*

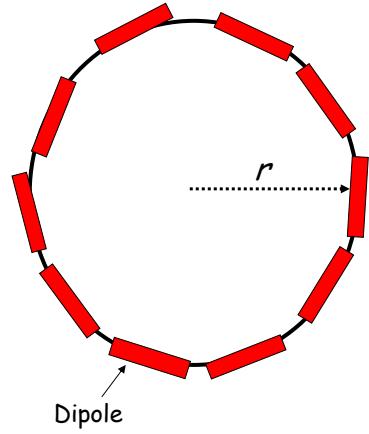


*Advanced Photon Source,  
Berkeley*

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## The Synchrotron: Steering and accelerating (I)

$$p = mv\gamma = eBr \quad \gamma = \frac{1}{\sqrt{1-v^2}}$$



$$f_{rev} = \frac{1}{t_{rev}} = \frac{v}{2\pi r} = \frac{eB}{2\pi\gamma m}$$

assuming the ring is completely filled with dipoles of strength B

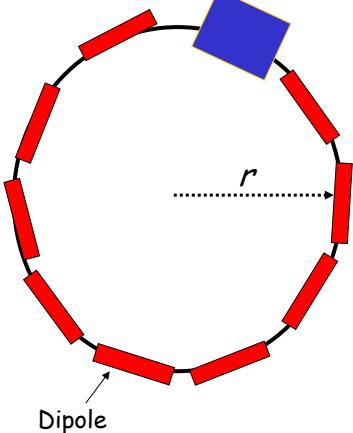
If one accelerates a beam in a ring, B must increase in time ("ramp") as p does.

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## The Synchrotron: Steering and accelerating (II)

RF cavity frequency =  $f_{rf}$

$$f_{rf} = f_{rev} = \frac{v}{2\pi r} \quad \gamma = \frac{1}{\sqrt{1-v^2}}$$



Circular particle accelerator (1 rf cavity) with rf frequency (and B) changing as v increases to keep in phase

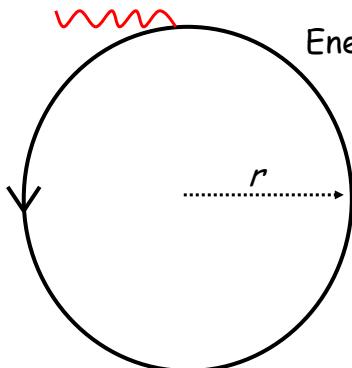
$$f_{rev} = \frac{1}{t_{rev}} = \frac{v}{2\pi r} = \frac{eB}{2\pi\gamma m}$$

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## Synchrotron Radiation

As particle circles, it loses energy through EM radiation



$$\text{Energy loss per turn: } \Delta E_{loss} = \frac{4\pi\alpha}{3} \frac{E^4}{m^4 r}$$

Limits maximum E  
for circular electron accelerator  
because  $m_e$  is small

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## Proton Synchrotrons

$$\Delta E_{loss} = \frac{4\pi\alpha}{3} \frac{E^4}{m^4 r}$$



1) At LEP (Large Electron-Positron Collider):

- 100 GeV electron beam: Energy loss per turn = 2 GeV

1) At LHC (Large Hadron Collider):

- 7000 GeV proton beam: Energy loss per turn = 2 keV

$$\frac{\Delta E_{lossLHC}}{\Delta E_{lossLEP}} = \left( \frac{E_{LHC}}{E_{LEP}} \right)^4 \left( \frac{m_e}{m_p} \right)^4 = 70^4 \frac{1}{1837^4} \approx 10^{-6}$$

Energy loss per turn much more favorable for protons,  
because  $m_p$  large ( $= 1837 m_e$ )

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## d) Focusing

To decrease beam area at a collision point, we need to focus the beam.

Also a number of effects in the accelerator cause the beam to defocus (space charge repulsion, residual transverse E fields, etc.)

All accelerators need focusing throughout the accelerator to **maintain a stable beam**.

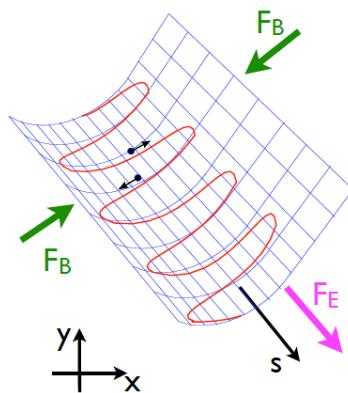
To study the beam behavior we use **beam optics**.

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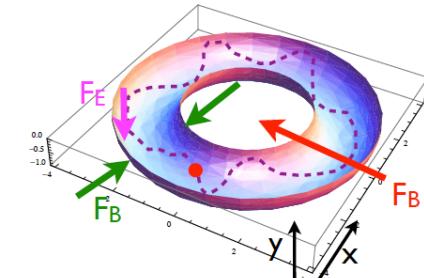
## Focusing Properties - Transverse Beam Optics

$$\overline{F(t)} = q \left( \underbrace{\overline{E(t)}}_{F_E} + \underbrace{\overline{v(t)} \otimes \overline{B(t)}}_{F_B} \right)$$

Linear Accelerator

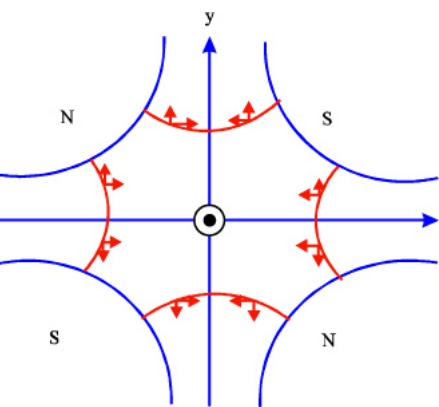


Circular Accelerator



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### Solution: a quadrupole



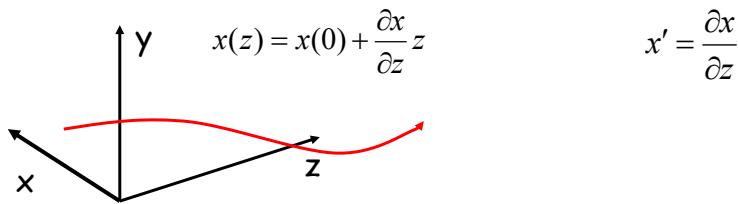
The blue lines mark the magnet poles (polarity indicated as 'North' or 'South'); the red lines mark the magnetic field lines. The red arrows indicate the force applied to a positively-charged particle beam travelling out of the page: **this quadrupole magnet focuses in x and defocuses in y**

We will see that **two consecutive quadrupoles will focus in both directions: BEAM OPTICS**

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## Beam Optics

Consider a particle moving in the z direction



If the x and y motions are decoupled then we can describe the motion by a **transfer matrix M** which acts in transverse phase space: x and  $\sim p_x$ .

$$\begin{pmatrix} x \\ x' \end{pmatrix}_z = M \begin{pmatrix} x \\ x' \end{pmatrix}_0 = \begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_0$$

The M shown here describes a **drift region** of empty space.

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### Transfer matrix of a thin lens

An off-axis parallel ray is focused

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rightarrow \begin{pmatrix} 1 \\ -1/f \end{pmatrix}$$

Ray through center is unaffected

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \rightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$M_{convergent} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \quad M_{divergent} = \begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix}$$

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### Quadrupole Focusing

$$\vec{\nabla} \times \vec{B} = 0 \quad \text{and} \quad B_z = 0 \quad \text{so} \quad \frac{\partial B_x}{\partial y} = \frac{\partial B_y}{\partial x}$$

then

$$B_x = by \quad B_y = bx$$

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## Quadrupole focusing

In the  $x$  dimension the particle executes simple harmonic motion since

$$m\gamma^2 \frac{d^2x}{dt^2} = e\gamma(\vec{v} \times \vec{B})_x = -e \frac{p}{m} bx \quad dz = \frac{p}{m\gamma} dt$$

$$\frac{d^2x}{dz^2} = -k^2 x \quad k = \sqrt{\frac{eb}{p}}$$

The solution for  $x$  is then  $x = A \cos kz + B \sin kz$

so  $x(z) = x(0) \cos kz + x'(0) k^{-1} \sin kz$   
 $x'(z) = -x(0)k \sin kz + x'(0) \cos kz$

If magnet length is  $l$ , then

$$M_x = \begin{pmatrix} \cos kl & k^{-1} \sin kl \\ -k \sin kl & \cos kl \end{pmatrix} \approx \begin{pmatrix} 1 & l \\ -k^2 l & 1 \end{pmatrix}$$

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## Quadrupole focusing

Can show that  $M_x$  is equivalent to  $M_{\text{convergent}}$  plus drifts

$$M_x \approx \begin{pmatrix} 1 & l \\ -k^2 l & 1 \end{pmatrix} \approx \begin{pmatrix} 1 & l/2 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \begin{pmatrix} 1 & l/2 \\ 0 & 1 \end{pmatrix} = M_{l/2} M_{\text{conv}} M_{l/2}$$

$$f = \frac{1}{k^2 l}$$

Similarly, can show that  $M_y$  is equivalent to  $M_{\text{divergent}}$  plus drifts

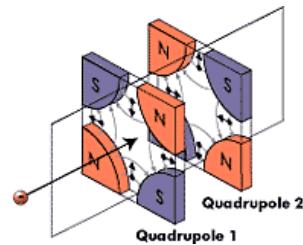
$$M_y \approx \begin{pmatrix} 1 & l \\ k^2 l & 1 \end{pmatrix} \approx M_{l/2} M_{\text{div}} M_{l/2}$$

If focus in  $x$  then defocus in  $y$  !

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## Quadrupole focusing

However, two **opposite polarity** quads separated by a drift distance cause **focusing in both x and y**



$$M_x M_z M_y = M_{l/2} M_{conv} M_{z+l} M_{div} M_{l/2}$$

where is easy to prove that

$$M_{conv} M_{z+l} M_{div} \approx M_{l/2} M_{conv,f} M_{l/2}$$

$$\text{with } f' = \frac{f^2}{(z+l)} = \frac{1}{k^4 l^2 (z+l)}$$

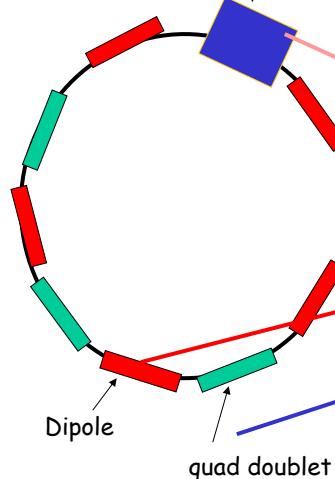
And  $M_{div} M_{z+l} M_{conv}$  as before with  $f \rightarrow -f$  so it also focuses

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## Elements of an Accelerator

RF cavity frequency =  $f_{rf}$

Acceleration	- rf cavity
steering	- dipoles
focusing	- quad doublet

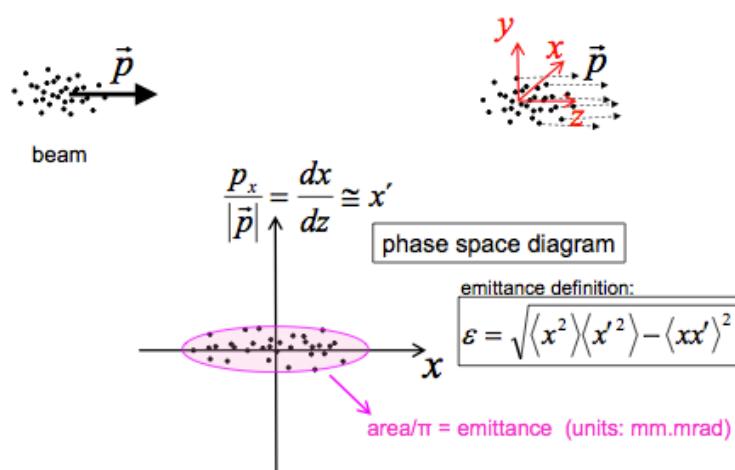


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# Emittance and Beta function of the beam

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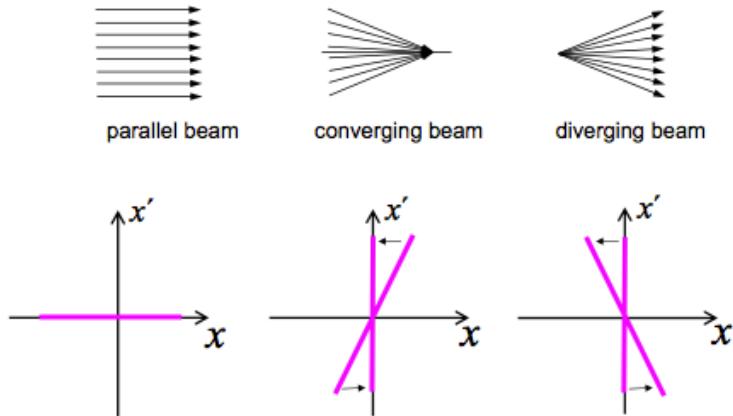
## Concept of beam emittance



(Similar for y and z)

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### Concept of beam emittance



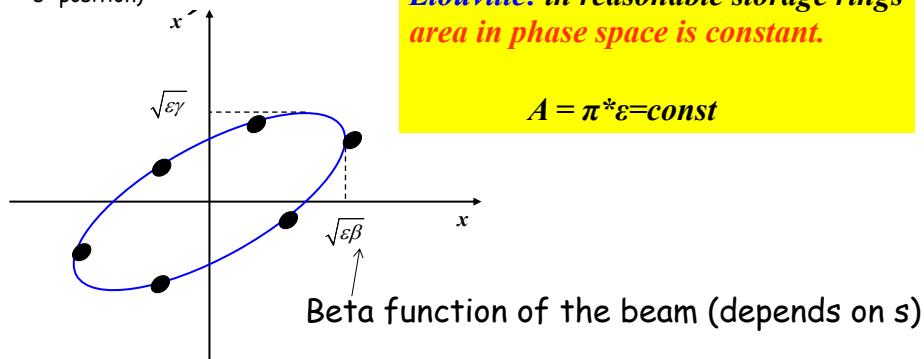
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### Beam Emittance and Phase Space Ellipse

(at a given longitudinal "s" position)

*Liouville: in reasonable storage rings area in phase space is constant.*

$$A = \pi^* \epsilon = \text{const}$$



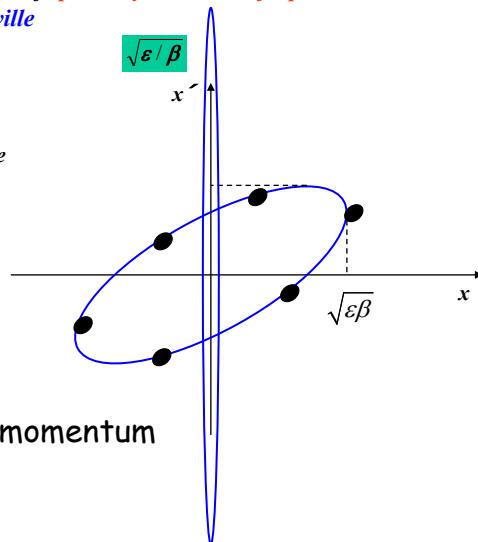
$\epsilon$  beam emittance = the volume of the phase space of the particle ensemble, *intrinsic beam parameter*, cannot be changed by the foc. properties, only ist shape.  
Scientifiquely spoken: area covered in transverse x, x' phase space is constant !!!

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**Increasing Luminosity: reduce Beta.  
(Mini- $\beta$  Insertions)**

A mini- $\beta$  insertion is always a kind of **special symmetric drift space**.  
→ greetings from Liouville

the smaller the beam size  
the larger the beam divergence



Price: dispersion on momentum

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**Are there Any other Problems ???**

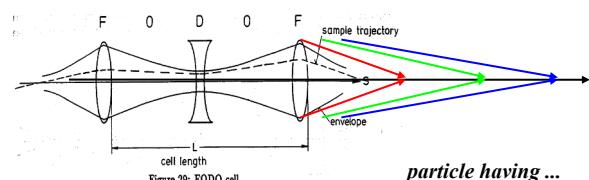
**sure there are**

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*Dispersive and Chromatic Effects:  $\Delta p/p \neq 0$*

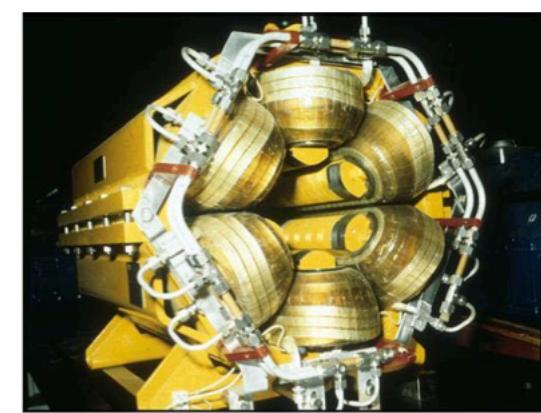


$$\text{focusing lens} \quad k = \frac{g}{p/e}$$



*particle having ...  
to high energy  
to low energy  
ideal energy*

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 **Sextupoles:**

SPS

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## What is left?

Vacuum  
Power converters  
Radio Protection  
Transfer lines  
Beam Diagnostics  
.....