

1. Generators of $SU(5)$ and Weinberg's angle in $SU(5)$ GUT's

The simplest model for a unified theory containing the standard model is based on $SU(5)$.

- (a) How many generators does $SU(5)$ have?
- (b) An easy choice is to start writing the following 11 independent generators:

$$T^a = \begin{pmatrix} T_{SU(3)}^a & 0 \\ 0 & 0 \end{pmatrix}, \quad T^{b+8} = \begin{pmatrix} 0 & 0 \\ 0 & T_{SU(2)}^b \end{pmatrix},$$

with $a = 1, \dots, 8$, $b = 1, \dots, 3$. Convince yourself that this is correct, and a good idea. Build 12 of the remaining generators of $SU(5)$

$$T^{c+11} = \begin{pmatrix} 0_{3 \times 3} & \begin{matrix} * & * \\ * & * \\ * & * \end{matrix} \\ \begin{matrix} * & * & * \\ * & * & * \end{matrix} & 0_{2 \times 2} \end{pmatrix} \quad c = 1, \dots, 12,$$

explicitly out of Pauli matrices, normalized such that $\text{Tr}[T^a T^b] = \frac{1}{2} \delta^{ab}$. Do they commute with the other generators T^a and T^{b+8} ? Should they commute?

- (c) The last generator of $SU(5)$ is diagonal. Construct a diagonal generator T^{24} which commutes with T^a and T^{b+8} (but not with T^{c+11}), is traceless, hermitian and is normalized according to $\text{Tr}[T^i T^{24}] = \frac{1}{2} \delta^{i24}$, for $i = 1, \dots, 24$.
- (d) The $SU(5)$ gauge field is given by the 5×5 matrix $A_\mu = A_\mu^a T^a$. Write down explicitly A_μ in matrix form using the following very very very convenient notation: $A_\mu^a \equiv G_\mu^a$ for $a = 1, \dots, 8$, $A_\mu^{b+8} \equiv W_\mu^b$ for $b = 1, \dots, 3$ and $A_\mu^{24} \equiv B_\mu$. (Why is this convenient?)
- (e) Couple the gauge field A_μ calculated in part (d) to a fermion Ψ in the fundamental representation of $SU(5)$:

$$\mathcal{L}_\Psi = g_5 \bar{\Psi} \not{A} \Psi$$

where

$$\Psi_k = \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \end{pmatrix}.$$

Find the couplings of Ψ_4 and Ψ_5 to B_μ and W_μ^3 in terms of the $SU(5)$ coupling g_5 .

- (f) The couplings of B_μ and W_μ^3 to Ψ should be identified with g' and g of the SM. Calculate the Weinberg angle

$$\sin^2 \theta_w = \frac{g'^2}{g'^2 + g^2},$$

and compare the value with the experimental result $\sin^2 \theta_w \simeq 0.23 \pm 0.01$. What could be the reason for the discrepancy?

a)

$$SU(N) \text{ has } N^2 - 1 \text{ dof} \longrightarrow SU(5) \text{ has } 5^2 - 1 = \underline{24 \text{ generators}}$$

b)

It's easy to see that if we need a base of complex hermitian matrices, we just need to fill the right-top triangle with 1's and i's, and then put the complex conjugate in the left-bottom triangle, such as:

$$\frac{1}{\sqrt{2}} \left\{ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1/i \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1/i \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1/i \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1/i \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1/i \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1/i \\ 0 \\ 0 \end{pmatrix} \right\}$$

[Actually this method is how you construct the non-diagonal generators for any $SU(N)$!]

- The 1's give 6 elements, and the $i/-i$ gives the other 6 elements. \rightarrow 12 generators \checkmark
- They are clearly orthogonal with the other non-diagonal generators, and when multiplied with the diagonal generators the result won't be in the diagonal and $\text{tr}(\cdot) = 0$, so we only need to check the normalization:

$$\text{tr}(t^a t^a) = \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} = \frac{1}{2} \quad \text{and} \quad \text{tr}(t^a, t^{b \neq a}) = 0 \quad \checkmark$$

- They don't need to commute, because they are a mixing of the direct sums:

$$\begin{aligned} \left[\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right] &= 0 \quad \Leftrightarrow \quad \left. \begin{aligned} (V_3 \oplus \mathbb{1}_2)(\mathbb{1}_3 \oplus V_2') &= V_3 \oplus V_2' \\ (\mathbb{1}_3 \oplus V_2')(V_3 \oplus \mathbb{1}_2) &= V_3 \oplus V_2' \end{aligned} \right\} \text{same!} \\ \left[\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \text{ or } \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right] &\neq 0 \quad \Leftrightarrow \quad \left. \begin{aligned} V_3(\mathbb{1}_3 \oplus V_2') &= V_{3(3)} \oplus (V_3 V_2')_{(2)} \\ (\mathbb{1}_3 \oplus V_2') V_3 &= V_{3(3)} \oplus (V_2' V_3)_{(2)} \end{aligned} \right\} \text{the projections don't need to be the same!} \end{aligned}$$

- We can think of this as a generalization of Pauli matrices (or Gellmann), to bigger N , at least for the non-diagonal. And non-diagonal λ_i do not commute, so it makes sense that these neither.

$$\left\{ \begin{aligned} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \end{aligned} \right\} \text{different } PA \neq AP \text{ where } P \text{ is a projector}$$

c)

For T^{24} to commute with T^a and $T^{b \neq a}$, we just need it to be proportional to the $\mathbb{1}$ in both subspaces, which also solves $\text{tr}(T^a, T^{24}) = \text{tr}(T^{b \neq a}, T^{24}) = \text{tr}(T^{c \neq a, b}, T^{24}) = 0 \quad \forall a, b, c$:

$$T^{24} = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & b \\ 0 & 0 & b \end{pmatrix} \quad \left(\begin{aligned} &\text{this part is fulfilled because } T^{c \neq a, b} \text{ have no} \\ &\text{elements in the diagonal, and so:} \\ &\text{Diagonal} \cdot \text{Nondiagonal} = \text{Nondiagonal} \end{aligned} \right)$$

To be hermitian we need $a, b \in \mathbb{R}$, and traceless and normalized are fulfilled if:

$$\begin{cases} \text{tr}(T^{24}) = 0 \\ \text{tr}(T^{24} T^{24}) = \frac{1}{2} \end{cases} \Rightarrow \begin{cases} 3a + 2b = 0 \\ 3a^2 + 2b^2 = \frac{1}{2} \end{cases} \Rightarrow a = \frac{1}{\sqrt{15}}, \quad b = \frac{-3}{2\sqrt{15}}$$

so finally, we get:

$$T^{24} = \frac{1}{2\sqrt{15}} \begin{pmatrix} 2 & & & \\ & 2 & & \\ & & -3 & \\ & & & -3 \end{pmatrix}$$

d)

$$\text{If } A_\mu = A_\mu^a T^a \quad \left\{ \begin{aligned} A_\mu^a &= G_\mu^a \quad \text{for } a=1, \dots, 8 \\ A_\mu^{b \neq a} &= W_\mu^b \quad \text{for } b=1, \dots, 3 \\ A_\mu^{24} &= B_\mu \end{aligned} \right. , \quad \vec{T} \text{ is going to be the } T^{c \neq a, b} \text{ base found in b)}$$

$$\text{then } A_\mu = \left(\vec{G}_\mu \cdot \frac{\vec{\lambda}_{2 \times 3}}{2} \oplus \mathbb{1}_{2 \times 2} \right) + \left(\mathbb{1}_{3 \times 3} \oplus \vec{W}_\mu \cdot \frac{\vec{\sigma}_{2 \times 2}}{2} \right) + B_\mu T^{24} + \vec{X}_\mu \vec{T} =$$

$$\begin{aligned}
&= (\vec{G}_\mu \vec{T}_{SU(3)} \oplus \mathbb{1}_{2 \times 2}) + (\mathbb{1}_{3 \times 3} \oplus \vec{W}_\mu \vec{T}_{SU(2)}) + B_\mu T^{24} + \vec{X}_\mu \vec{T} = \\
&= (\vec{G}_\mu \vec{T}_{SU(3)} \oplus \vec{W}_\mu \vec{T}_{SU(2)}) + B_\mu T^{24} + \vec{X}_\mu \vec{T} =
\end{aligned}$$

(some of the factors are wrong, but the basic structure is this one.)

Where it is convenient because \vec{G} are going to be our gluons and \vec{W}, B_μ our W^\pm, Z^0, γ bosons, and then we also have the mixing fields \vec{X}_μ . So we have seen a pretty similar theory to the typical SM $SU(3) \otimes SU(2) \otimes U(1)$ with $SU(5)$, making it seem as a possible candidate for unification! (But sadly no proton decay have been observed :')

e)

$$\mathcal{L} = g_s \bar{\psi} \not{A} \psi \quad \text{where} \quad \psi_\alpha = (\psi_1 \psi_2 \psi_3 \psi_4 \psi_5)^T$$

$$\bullet \mathcal{L}_4 = g_s \bar{\psi}_4 \not{A}_{44} \psi_4 = g_s \bar{\psi}_4 \psi_4 \left(\left(\frac{G_3}{2} \right)_{44} W_\mu^3 + (T^{24})_{44} B_\mu \right) = g_s \bar{\psi}_4 \psi_4 \left(\frac{1}{2} W_\mu^3 - \frac{3}{2\sqrt{5}} B_\mu \right)$$

$$\bullet \mathcal{L}_5 = g_s \bar{\psi}_5 \not{A}_{55} \psi_5 = g_s \bar{\psi}_5 \psi_5 \left(\left(\frac{G_3}{2} \right)_{55} W_\mu^3 + (T^{24})_{55} B_\mu \right) = g_s \bar{\psi}_5 \psi_5 \left(-\frac{1}{2} W_\mu^3 - \frac{3}{2\sqrt{5}} B_\mu \right)$$

The couplings are then:

	W_μ^3	B_μ
ψ_4	$\frac{1}{2}$	$-\frac{3}{2\sqrt{5}}$
ψ_5	$-\frac{1}{2}$	$-\frac{3}{2\sqrt{5}}$

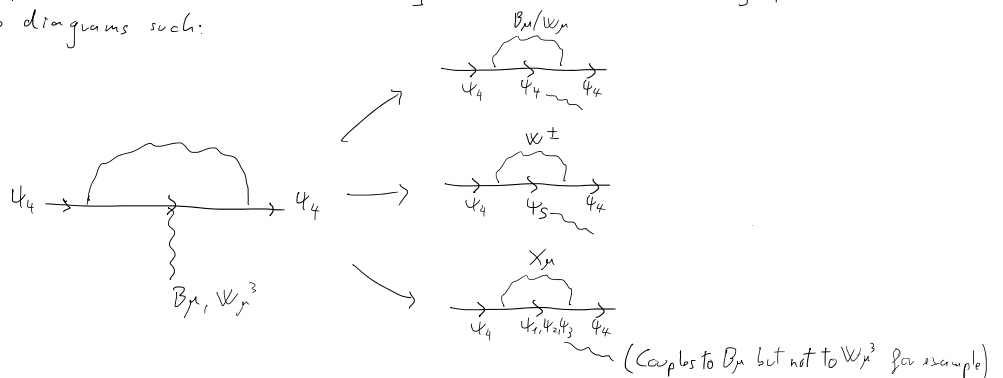
in units of g_s

f)

If g is the W_μ^3 coupling and g' the B_μ coupling, the Weinberg angle is:

$$\boxed{\sin^2 \theta_W = \frac{g'^2}{g^2 + g'^2} = \frac{\left(\frac{3}{2\sqrt{5}} \right)^2}{\left(\frac{1}{2} \right)^2 + \left(\frac{3}{2\sqrt{5}} \right)^2} = \frac{\frac{9}{60}}{\frac{1}{4} + \frac{9}{60}} = \frac{9}{15 + 9} = \frac{9}{24} = \frac{3}{8} = 0.375}$$

Which compared to the experimental $\sin^2 \theta_W \simeq 0.23 \pm 0.01$, give a discrepancy. The reason for this discrepancy can be that we are not taking into account the mixings, which would give loop diagrams such:



Another cause for this discrepancy would be that this theory is not the reality, where we live.

Because even when we implement the mixing X 's fields, and use the full $SU(5)$ theory, even considering the coupling scale-dependence, the theory gives: $\sin^2 \theta_W = 0.21$ which is outside 0.23 ± 0.01 .

2. Spontaneous breaking of $SU(5)$ by fields in the Adjoint

Consider a gauge theory with the gauge group $SU(5)$, coupled to a scalar field Φ in the adjoint representation.

- (a) The adjoint representation of $SU(N)$ is the real representation of dimension $N^2 - 1$. The generators are given by the structure constants of the group. A field in the adjoint representation is a $(N^2 - 1)$ -vector Φ^a . However it is very convenient to arrange the $N^2 - 1$ components of this vector into a $N \times N$ matrix Φ defined as:

$$\Phi \equiv \Phi^a T^a_{\text{adj}},$$

where T^a are the $(N^2 - 1)$ generators in the **fundamental** representation. We know that under a gauge transformation $\Phi^a \rightarrow (U_{\text{adj}})^{ab} \Phi^b$, and that the covariant derivative is $D_\mu \Phi^a = [\delta^{ab} \partial_\mu - ig(A_\mu^{\text{adj}})^{ab}] \Phi^b$, where $(A_\mu^{\text{adj}})^{ab} = A_\mu^c (t_{\text{adj}}^c)^{ab} = -if^{abc} A_\mu^c$. Show that:

- The matrix Φ transforms as $\Phi \rightarrow U \Phi U^\dagger$, with U in the fundamental.
 - The covariant derivative of Φ is given by $D_\mu \Phi = \partial_\mu \Phi - ig[A_\mu, \Phi]$.
 - The covariant derivative is covariant, that is, transforms exactly like Φ .
 - The only allowed kinetic term for the adjoint scalar Φ^a is $\mathcal{L}_\Phi^{\text{kin}} = \frac{1}{2} \text{Tr}[(D_\mu \Phi)^\dagger (D^\mu \Phi)]$.
- (b) Assume that the potential for this scalar field forces it to acquire a nonzero vacuum expectation value. Two possible choices for this expectation values are

$$\langle \Phi \rangle = A \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & -4 \end{pmatrix} \quad \langle \Phi \rangle = B \begin{pmatrix} 2 & & & & \\ & 2 & & & \\ & & 2 & & \\ & & & -3 & \\ & & & & -3 \end{pmatrix}$$

where A and B are arbitrary constants. For each case, work out the spectrum of gauge bosons and the unbroken symmetry group. For this you should identify the relevant terms in $\mathcal{L}_\Phi^{\text{kin}}$, and notice that in the matrix notation an unbroken generator is defined by $[T^a, \langle \Phi \rangle] = 0$. Start by proving this statement.

a) i)

$$\begin{aligned} \boxed{\Phi_{\alpha\beta}} &\longrightarrow \Phi'_{\alpha\beta} = \Phi'^a T^a_{\alpha\beta} = (U_{\text{adj}}^a \Phi^a) T^a_{\alpha\beta} \stackrel{**}{=} (\Phi^a + \theta^c f^{cab} \Phi^b) T^a_{\alpha\beta} = \\ &\stackrel{**}{=} \Phi_{\alpha\beta} - i \theta^c \Phi^b [T^b, T^c]_{\alpha\beta} = \Phi^a (T^a_{\alpha\beta} + i \theta^c T^c_{\alpha\kappa} T^a_{\kappa\beta} - i T^a_{\alpha\gamma} \theta^c T^c_{\gamma\beta}) \stackrel{O(\theta^2) \approx 0}{=} \\ &= \Phi^a (\tau_{\alpha\kappa} + i \theta^c T^c_{\alpha\kappa}) T^a_{\kappa\beta} (\tau_{\beta\gamma} - i \theta^c T^c_{\beta\gamma}) = U_{\gamma\alpha\kappa} \Phi^a T^a_{\kappa\beta} U_{\beta\gamma}^\dagger = \boxed{U_\beta \Phi U_{\alpha\beta}^\dagger} \end{aligned}$$

$$\stackrel{**}{\Phi^a} \longrightarrow U_{\text{adj}}^a \Phi^a = (\tau_{\text{adj}}^a + i \theta^c T^c_{\text{adj}}) \Phi^a = \Phi^a + \theta^c f^{cab} \Phi^b$$

$$\stackrel{**}{[T^a, T^b]_{\alpha\beta}} = i f^{abc} T^c_{\alpha\beta}$$

ii)

$$\begin{aligned} (D_\mu \Phi)^a &= \partial_\mu \Phi^a - ig A_\mu^b f^{ab\gamma} \Phi^\gamma = \partial_\mu \Phi^a - g f^{abc} A_\mu^c \Phi^b \\ \boxed{D_\mu \Phi_{\alpha\beta}} &= D_\mu (\Phi^a T^a_{\alpha\beta}) = (\partial_\mu \Phi^a - g f^{abc} A_\mu^c \Phi^b) T^a_{\alpha\beta} = \partial_\mu \Phi_{\alpha\beta} + ig [T^b, T^c]_{\alpha\beta} A_\mu^c \Phi^b = \\ &= \partial_\mu \Phi_{\alpha\beta} + ig [\Phi, A_\mu]_{\alpha\beta} = \boxed{\partial_\mu \Phi_{\alpha\beta} - ig [A_\mu, \Phi]_{\alpha\beta}} \end{aligned}$$

iii)

$$\begin{aligned}
 D_\mu \Phi &= \partial_\mu \Phi - ig [A_\mu, \Phi] = \\
 &= \partial_\mu \Phi - ig (A_\mu \Phi - \Phi A_\mu) \\
 D_\mu \Phi &\rightarrow \partial_\mu (U \Phi U^\dagger) - ig [(U A_\mu U^\dagger)(U \Phi U^\dagger) - (U \Phi U^\dagger)(U A_\mu U^\dagger)] = \\
 &= U \partial_\mu \Phi U^\dagger - ig U [A_\mu \Phi - \Phi A_\mu] U^\dagger = U (D_\mu \Phi) U^\dagger \checkmark
 \end{aligned}$$

iv)

$$K = \frac{1}{2} (D_\mu \Phi) (D^\mu \Phi)^\dagger \rightarrow \frac{1}{2} U (D_\mu \Phi) U^\dagger U (D^\mu \Phi)^\dagger U^\dagger = \frac{1}{2} U (D_\mu \Phi) (D^\mu \Phi)^\dagger U^\dagger = U K U^\dagger$$

Not invariant!

We need to multiply the end with the start, so that $U K U^\dagger \rightarrow K U^\dagger U = K$

$$\Rightarrow \boxed{\frac{1}{2} \text{tr} (D_\mu \Phi) (D^\mu \Phi)^\dagger} \text{ will be invariant!}$$

b) i)

First let's proof that if $[T_a, \langle \phi \rangle] = 0$ the generator is unbroken:

$$\begin{aligned}
 S(\phi) &= \frac{1}{2} \text{tr} [(D_\mu \hat{\phi}) (D^\mu \hat{\phi})^\dagger] \stackrel{(\hat{\phi} = \phi - \langle \phi \rangle)}{=} \frac{1}{2} \text{tr} [(D_\mu \phi - D_\mu \langle \phi \rangle) (D^\mu \phi - D^\mu \langle \phi \rangle)^\dagger] = \\
 &= \frac{1}{2} \left\{ \underbrace{\text{tr} [(D_\mu \phi) (D^\mu \phi)^\dagger]}_{(1)} + \underbrace{\text{tr} [(D_\mu \langle \phi \rangle) (D^\mu \langle \phi \rangle)^\dagger]}_{(2)} - \underbrace{\text{tr} [(D_\mu \phi) (D^\mu \langle \phi \rangle)^\dagger] + \text{tr} [(D_\mu \langle \phi \rangle) (D^\mu \phi)^\dagger]}_{(3)} \right\}
 \end{aligned}$$

For U to be a symmetry, the new action has to remain invariant under it:

$$S(U \phi U^\dagger, U \langle \phi \rangle U^\dagger) = S(\phi, \langle \phi \rangle) \quad (\textcircled{1} \text{ and } \textcircled{2} \text{ already are invariant})$$

So we will need that $\textcircled{3}$ is invariant as well under U (given by some T^a):

$$\begin{aligned}
 \text{tr} [(D_\mu \langle \phi \rangle) (D^\mu \phi)^\dagger] &\xrightarrow{U} \text{tr} [(D_\mu \langle \phi \rangle) (\overrightarrow{D^\mu (U \phi U^\dagger)}}] = \text{tr} [(D_\mu \langle \phi \rangle) U (D^\mu \phi)^\dagger U^\dagger] = \\
 &= \text{tr} [U (D_\mu \langle \phi \rangle) (D^\mu \phi)^\dagger U^\dagger] = \text{tr} [(D_\mu \langle \phi \rangle) (D^\mu \phi)^\dagger] \checkmark
 \end{aligned}$$

ii)

We have seen that for a T^a to be unbroken, we need that $[\langle \phi \rangle, T^a] = 0$, with this now let's proceed to find the unbroken generators of the two $\langle \phi \rangle$ examples provided:

$SU(4)$ generators invariant[⊕], and also $\langle \phi \rangle \in U(1)$ [⊕]

First case: $\langle \phi \rangle = A \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix} \begin{matrix} \\ \\ \\ -1 \end{matrix}$ $SU(5) \rightarrow SU(4) \times U(1)$

- Second case: $\langle \phi \rangle = B \begin{pmatrix} 2 & & \\ & 2 & \\ & & 3 \\ & & & -3 \end{pmatrix}$ $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$
 \swarrow $SU(3)$ generators invariant \otimes \searrow $SU(2)$ generators invariant \otimes , and also $\langle \phi \rangle \in U(1)$ \otimes

iii)

And finally let's find the spectrum of gauge bosons using:

$$\frac{1}{2} m_{ab}^2 = -g^2 \text{Tr}([T^a, \langle \phi \rangle] [T^b, \langle \phi \rangle])$$

which for each case gives:

- First case: $\begin{cases} a, b \in SU(4) \text{ or } U(1): 0 \text{ because } [T^a, \langle \phi \rangle] = 0 \\ a, b \notin SU(4) \text{ or } U(1): \text{ (Only 8 generators remaining } \begin{pmatrix} 0 & X \\ X^* & 0 \end{pmatrix} \text{)} \begin{pmatrix} X, Y, Z, K \text{ are } \\ \frac{1}{2} \text{ or } \frac{1}{2} \text{ for } \\ \text{each generator} \end{pmatrix} \end{cases}$

$$\begin{aligned} T^a \langle \phi \rangle &= A \begin{pmatrix} 0 & -4Y \\ X^* & -4Z \\ Z^* & 4K \\ 0 & 0 \end{pmatrix} \\ \langle \phi \rangle T^a &= A \begin{pmatrix} 0 & X \\ -4X^* & -4Y^* \\ -4Z^* & 4K^* \\ 0 & 0 \end{pmatrix} \end{aligned} \quad \left\{ \begin{aligned} [T^a, \langle \phi \rangle] &= A \begin{pmatrix} 0 & X \\ X^* & -4Y \\ -4Z^* & 4K \\ 0 & 0 \end{pmatrix} \end{aligned} \right.$$

$$\text{Tr}([T^a, \langle \phi \rangle] [T^b, \langle \phi \rangle]) = A^2 \text{Tr} \begin{pmatrix} -2S X^2 & -2S X Y & -2S X Z & -2S X K & 0 \\ -2S Y X & 2S Y^2 & -2S Y Z & -2S Y K & 0 \\ -2S Z X & -2S Z Y & 2S Z^2 & -2S Z K & 0 \\ -2S K X & -2S K Y & -2S K Z & 2S K^2 & 0 \\ 0 & 0 & 0 & 0 & M \end{pmatrix} = \frac{-2S - 2S}{4} A^2 \delta^{ab}$$

$(M = -2S X^2 - 2S Y^2 - 2S Z^2 - 2S K^2)$

so finally $\frac{1}{2} m_{ab}^2 = -g^2 \frac{-2S}{4} A^2 \delta^{ab} \rightarrow \begin{cases} m_a = S A g & \text{for the 8 broken generators.} \\ m_a = 0 & \text{for } SU(4) \text{ and } \langle \phi \rangle \text{ generators.} \end{cases}$

- Second case: $\begin{cases} a, b \in SU(3), SU(2) \text{ or } U(1): 0 \text{ because } [T^a, \langle \phi \rangle] = 0 \\ a, b \notin SU(3), SU(2) \text{ or } U(1): \text{ (Only 12 generators remaining } \begin{pmatrix} 0 & X \\ X^* & 0 \end{pmatrix} \text{)} \begin{pmatrix} X, Y, Z, K, L, M \\ \text{are } \frac{1}{2} \text{ or } \frac{1}{2} \text{ for } \\ \text{each generator} \end{pmatrix} \end{cases}$

$$\begin{aligned} T^a \langle \phi \rangle &= B \begin{pmatrix} 0 & -3L & -3Y \\ 2K^* & 2L^* & 2L^* \\ 2X^* & 2Y^* & 2Z^* \end{pmatrix} \\ \langle \phi \rangle T^a &= B \begin{pmatrix} 0 & 2K & 2X \\ -3K^* & -3L^* & -3L^* \\ -3X^* & -3Y^* & -3Z^* \end{pmatrix} \end{aligned} \quad \left\{ \begin{aligned} [T^a, \langle \phi \rangle] &= B \begin{pmatrix} 0 & -5L & -5Y \\ 5K^* & 5L^* & 5L^* \\ 5X^* & 5Y^* & 5Z^* \end{pmatrix} \end{aligned} \right.$$

$$\text{Tr}([T^a, \langle \phi \rangle] [T^b, \langle \phi \rangle]) = B^2 \text{Tr} \begin{pmatrix} -2S(K^2 + X^2) & & & & \\ & -2S(L^2 + Y^2) & & & \\ & & -2S(M^2 + Z^2) & & \\ & & & -2S(K^2 + L^2 + M^2) & \\ & & & & -2S(X^2 + Y^2 + Z^2) \end{pmatrix} = B^2 \frac{-2S - 2S}{4} \delta^{ab}$$

so finally $\frac{1}{2} m_{ab}^2 = -g^2 \frac{-2S}{4} B^2 \delta^{ab} \rightarrow \begin{cases} m_a = S B g & \text{for the 12 broken generators.} \\ m_a = 0 & \text{for } SU(3), SU(2) \text{ and } \langle \phi \rangle \text{ generators.} \end{cases}$

(*) It is clear that because $\langle\phi\rangle$ is proportional to the identity $\mathbb{1}$ in that subspace, all the generators within it will commute with $\langle\phi\rangle$. $[T_{\text{subspace}}, \langle\phi\rangle] = 0$

(*) We always are gonna have an unbroken generator that will give $U(1)$ symmetry, given by $\langle\phi\rangle$ itself, $[\langle\phi\rangle, \langle\phi\rangle] = 0$ (Always that the VEV $\langle\phi\rangle$ is traceless)

3. Baryon-Number violating operators in the SM and in $SU(5)$ GUT's

- (a) Write a couple (or more) Lorentz-invariant dimension 6 local operators built out of SM fields, invariant under the SM gauge group and which break Baryon Number.
- (b) Now consider an $SU(5)$ gauge theory coupled to a fermion Ψ in the $\bar{\mathbf{5}}$ representation of $SU(5)$ and a fermion Φ in the $\mathbf{10}$. The $\bar{\mathbf{5}}$ -field Ψ can be represented by a column 5-vector Ψ_i and the $\mathbf{10}$ -field can be represented by an antisymmetric 10×10 matrix Φ_{ij} , transforming as: $\Psi_i \rightarrow U_{ij}^\dagger \Psi_j$ and $\Phi_{ij} \rightarrow U_{ik} \Phi_{kl} U_{lj}^*$, where U is the gauge transformation matrix in the fundamental. $\Psi \rightarrow U_{ij}^\dagger \Psi_j$, $\Phi_{ij} \rightarrow U_{ik} \Phi_{kl} U_{lj}^*$
- Write all possible 4-fermion $SU(5)$ - (and Lorentz-) invariant dimension-6 operators.
- (c) We arrange all known fermions in $SU(5)$ representations in the following way:

$$\Psi = \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \\ e \\ -\nu \end{pmatrix}_L, \quad \Phi = \begin{pmatrix} 0 & u_3^c & -u_2^c & -u_1 & -d_1 \\ -u_3^c & 0 & u_1^c & -u_2 & -d_2 \\ u_2^c & -u_1^c & 0 & -u_3 & -d_3 \\ u_1^c & u_2^c & u_3^c & 0 & -e^c \\ d_1 & d_2 & d_3 & e^c & 0 \end{pmatrix}_L$$

Expand the operators in part (b) in terms of u, d, e, ν fields. Do you recover the SM operators of part (a)?

- (d) Draw a tree-level Feynman diagram for a Baryon-number-violating process mediated by an $SU(5)$ gauge boson. Compute the corresponding amplitude in the limit where the CM energy is much smaller than the mass of the gauge boson M_X . In this limit the propagator can be written as $\mathcal{P} = -i/M_X^2$. This amplitude is equal to the matrix element of a dimension-six operator times some coefficient. Find the operator and the coefficient. What is needed to suppress the rate of such Baryon-number-violating processes?

a)

And the dimension-six operators are

$$-\mathcal{L} = \frac{1}{M_X^2} (y_{33}^u U_3^c F_3 \bar{H} U_1^\dagger U_D^\dagger + y_{33}^d D_3^c F_3 H U_1^\dagger U_D^\dagger + y_{33}^e F_3 Q_3 \Phi^\dagger U_1 U_D + y_{33}^{\nu} \bar{J}_3 Q_3 \Phi U_1 U_D) + \text{H.C.}$$

explain our convention. We denote the first two family quark doublets, right-handed up-type quarks, right-handed down-type quarks, lepton doublets, right-handed neutrinos, right-handed charged leptons, and the corresponding Higgs field respectively as Q_i , U_i^c , D_i^c , L_i , N_i^c , E_i^c , and H , as in the supersymmetric SM convention. We denote the third family SM fermions as F_3 , \bar{J}_3 , and N_3^c . To give the masses to the third family of the SM fermions, we introduce a $SU(5)$ anti-fundamental Higgs field $\Phi \equiv (H_T, H)$. We also need to introduce

b)

Transformations:

$$\psi_i \rightarrow U_{ij}^\dagger \psi_j = U_{ji}^* \psi_j$$

$$\psi^T \rightarrow \psi^T U^\dagger / \psi \rightarrow U^\dagger \psi$$

and

$$\phi_{ij} \rightarrow U_{ik} U_{jl} \phi_{kl} = U_{ik} \phi_{kl} U_{lj}^T$$

$$\phi \rightarrow U \phi U^\dagger$$

Lorentz invariant terms:

$$\psi^+ \phi \phi \psi \rightarrow (\psi^+ U) (U \phi U^\dagger) (U \phi U^\dagger) U^\dagger \psi = \psi^+ U^2 \phi \phi U^2 \psi \quad \times$$

$$\psi^+ \psi \psi^+ \psi \rightarrow (\psi^+ U) (U^\dagger \psi) (\psi^+ U) (U^\dagger \psi) = \psi^+ \psi \psi^+ \psi \quad \checkmark$$

c)

$$\bar{\psi}\psi\bar{\psi}\psi = (|\psi|^2)^2 = (\vec{d}_1^2 + \vec{d}_2^2 + \vec{d}^2)$$

$$\bar{\psi}\phi\phi\psi = \dots$$