

Lecture 6: Classical Communication over quantum channels

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Introduction

Introduction

- We begin by introducing a **Discrete Memoryless Channel (DMC)** model for the transmission of **classical information** making use of one **preparation** channel, an arbitrary **quantum channel** and a **measurement** channel.
- The **capacity** of the resulting DMC, the **accessible information**, is then formulated as a (highly complex) optimization problem.
- The **quantum data processing inequality** is applied to derive an upper bound, the **Holevo information** of the quantum channel which is the solution to a **simpler** optimization.
- We then analyze the **additivity** of the **Holevo information** of a channel to find out that the **additivity** that characterizes the mutual information and private information of **classical** channels is not preserved (in general) in **quantum**.
- We finish presenting the **capacity** for the transmission of **classical** information over quantum channels or HSW Theorem, and some **examples**.

Accesible information

DMC formulation for classical communication

- The transmission of Classical information over quantum channels can be modeled as a DMC by a **preparation** stage in which a quantum state is generated according to a given ensemble $\mathcal{E} \equiv \{p_X(x), \rho_A^x\}$:

$$\rho_{XA} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_A^x, \quad \rho_A = \sum_x p_X(x) \rho_A^x.$$

- The modulated quantum state is **transmitted** through the channel $\mathcal{N}_{A \rightarrow B}$:

$$\rho_{XB} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \mathcal{N}_{A \rightarrow B}(\rho_A^x), \quad \rho_B = \sum_x p_X(x) \mathcal{N}_{A \rightarrow B}(\rho_A^x).$$

- A **measurement POVM** is applied to the state at B to yield Y :

$$\begin{aligned} \rho_{XY} &= \sum_{x,y} p_X(x) |x\rangle\langle x|_X \otimes \text{tr}\{\Lambda_y \mathcal{N}_{A \rightarrow B}(\rho_A^x)\} |y\rangle\langle y|_Y \\ &= \sum_{x,y} p_X(x) p_{Y|X}(y|x) |x\rangle\langle x|_X \otimes |y\rangle\langle y|_Y. \end{aligned}$$

Accessible information

- Note that this procedure makes **one use** of channel $\mathcal{N}_{A \rightarrow B}$ for each DMC use thus does **not exploit any potential entanglement** between the transmitted states at A or any **joint measurement** of the received states at B .
- Incorporating the effect of $\mathcal{N}_{A \rightarrow B}$ in the conditional pmf $p_{Y|X}(y|x)$, the communication limits are **well known** (Shannon Capacity), and the DMC channel capacity will be given by the **maximization** of $I(X;Y)$ with respect to the **ensemble** $\mathcal{E} = \{p_X(x), \rho_A^x\}$ and the **measurement** POVM $\{\Lambda_y\}$.

Accessible information $I_{\text{acc}}(\mathcal{E})$ and I_{acc}^*

The accessible information is:

$$I_{\text{acc}}(\mathcal{E}) = \max_{\{\Lambda_y\}} I(X;Y),$$
$$I_{\text{acc}}^* = \max_{\mathcal{E}} I_{\text{acc}}(\mathcal{E}) = \max_{\mathcal{E}, \{\Lambda_y\}} I(X;Y).$$

Accessible information upper bound

- **Computation** of the **accessible information** $I_{\text{acc}}(\mathcal{E})$ or I_{acc}^* is **difficult** and would still be subject to **additional optimization** regarding the general strategy (possibly more than one quantum channel use per DMC use - discussion on this issue follows later).
- The quantum data processing inequality $I(A; B)_\rho \geq I(\mathcal{N}(A); \mathcal{M}(B))_\sigma$ provides an upper bound to the accessible information noting that Y is obtained applying a measurement channel to the state at B .

Upper bound to the accessible information

The accessible information of $\mathcal{E} \equiv \{p_X(x), \rho_A^x\}$ when transmitted through $\mathcal{N}_{A \rightarrow B}$ is upper bounded by the quantum mutual information $I(X; B)_\rho$:

$$I_{\text{acc}}(\mathcal{E}) = \max_{\{\Lambda_y\}} I(X; Y) \leq I(X; B)_\rho = H(\rho_B) - \sum_x p_X(x) H(\rho_B^x),$$

where $\rho_B = \sum_x p_X(x) \rho_B^x = \sum_x p_X(x) \mathcal{N}_{A \rightarrow B}(\rho_A^x)$.

The information of quantum channels

Holevo information of a quantum channel

Holevo information of a quantum channel

The Holevo information $\chi(\mathcal{N})$ of channel $\mathcal{N}_{A \rightarrow B}$ is:

$$\chi(\mathcal{N}) \equiv \max_{\rho_{XA}} I(X; B)_{\rho} \geq \max_{\mathcal{E}} I_{\text{acc}}(\mathcal{E}) = I_{\text{acc}}^*$$

where $\mathcal{E} = \{p_X(x), \rho_A^x\}$ and

$$\rho_{XA} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_A^x,$$

$$\rho_{XB} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \mathcal{N}_{A \rightarrow B}(\rho_A^x).$$

The **Holevo Information of a quantum channel** provides an upper bound to the **accessible information**.

Computation of the Holevo information (I)

Pure states are sufficient

The Holevo information of channel $\mathcal{N}_{A \rightarrow B}$ can be obtained restricting the maximization to pure states

$$\chi(\mathcal{N}) = \max_{\rho_{XA}} I(X; B)_\rho = \max_{\tau_{XA}} I(X; B)_\tau,$$

where ρ_{XA} and ρ_{XB} are defined as in previous slide and

$$\tau_{XA} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes |\phi_x\rangle\langle\phi_x|_A,$$

and

$$\tau_{XB} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \mathcal{N}_{A \rightarrow B}(|\phi_x\rangle\langle\phi_x|_A).$$

Computation of the Holevo information (and II)

The proof follows considering the spectral decomposition,

$$\rho_A^x = \sum_z p_{Z|X}(z|x) |\psi_{x,z}\rangle \langle \psi_{x,z}|_A,$$

defining

$$\sigma_{XZA} = \sum_{x,z} p_X(x) p_{Z|X}(z|x) |x\rangle \langle x|_X \otimes |z\rangle \langle z|_Z \otimes |\psi_{x,z}\rangle \langle \psi_{x,z}|_A,$$

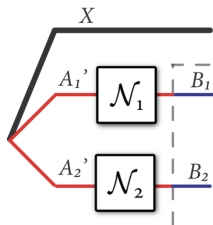
where $\rho_{XA} = \text{tr}_Z\{\sigma_{XZA}\}$. Note that σ_{XZA} is a state of the form τ_{XA} with joint random variable $\mathcal{X} \times \mathcal{Z}$ as the classical part. Let σ_{XZB} be the state that results from sending A through $\mathcal{N}_{A \rightarrow B}$:

$$I(X; B)_\rho = I(X; B)_\sigma \leq I(XZ; B)_\sigma.$$

The equality follows from $\rho_{XB} = \text{tr}_Z\{\sigma_{XZB}\}$ and the inequality from the quantum data processing inequality.

Superadditivity of the Holevo information (I)

- A more general strategy for the transmission of X through quantum channel $\mathcal{N}_{A \rightarrow B}$ is by using more than one quantum channel uses for each x , for instance for **two** quantum channel uses.



$$\rho_{XA_1A_2} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_{A_1A_2}^x,$$

the joint state between X and B is

$$\rho_{XB_1B_2} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes (\mathcal{N}_{A_1 \rightarrow B_1} \otimes \mathcal{N}_{A_2 \rightarrow B_2})(\rho_{A_1A_2}^x).$$

Superadditivity of the Holevo information (II)

- Is the Holevo information of the product channel $\mathcal{N}_{A_1 \rightarrow B_1} \otimes \mathcal{N}_{A_2 \rightarrow B_2}$ equal to twice the Holevo information of the channel $\mathcal{N}_{A \rightarrow B}$?

$$\chi(\mathcal{N}_{A_1 \rightarrow B_1} \otimes \mathcal{N}_{A_2 \rightarrow B_2}) \stackrel{?}{=} \chi(\mathcal{N}_{A_1 \rightarrow B_1}) + \chi(\mathcal{N}_{A_2 \rightarrow B_2}) = 2\chi(\mathcal{N}_{A \rightarrow B}),$$

or

$$\max_{\rho_{XA_1A_2}} I(X; B_1 B_2)_\rho \stackrel{?}{=} 2 \max_{\rho_{XA}} I(X; B)_\rho,$$

or, in general, for arbitrary channels \mathcal{N} and \mathcal{M} ,

$$\chi(\mathcal{N}_{A_1 \rightarrow B_1} \otimes \mathcal{M}_{A_2 \rightarrow B_2}) \stackrel{?}{=} \chi(\mathcal{N}_{A_1 \rightarrow B_1}) + \chi(\mathcal{M}_{A_2 \rightarrow B_2}).$$

Superadditivity of the Holevo information (III)

- Since independent coding is a particular case of joint coding the Holevo information $\chi(\mathcal{N} \otimes \mathcal{M})$ is at least superadditive:

$$\chi(\mathcal{N}_{A_1 \rightarrow B_1} \otimes \mathcal{M}_{A_2 \rightarrow B_2}) \geq \chi(\mathcal{N}_{A_1 \rightarrow B_1}) + \chi(\mathcal{M}_{A_2 \rightarrow B_2}).$$

- It was believed to be additive for many years and it is indeed additive for many channels. However, a counterexample demonstrated **strict** superadditivity [Hastings, 2009].

$$\chi(\mathcal{N} \otimes \mathcal{M}) > \chi(\mathcal{N}) + \chi(\mathcal{M}),$$

which yields the **regularized** definition (possibly with strict inequality),

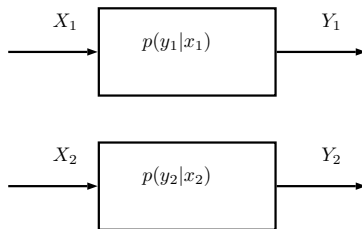
$$\chi_{\text{reg}}(\mathcal{N}) \equiv \lim_{n \rightarrow \infty} \frac{1}{n} \chi(\mathcal{N}^{\otimes n}) \geq \chi(\mathcal{N}).$$

- This property has no counterpart in classical information theory where the capacity of the product DMC is additive.

Additivity in classical channels

For two **parallel** DMCs, $p(y_1, y_2|x_1, x_2) = p(y_1|x_1)p(y_2|x_2)$ each of which is characterized by a given **capacity** C_1 and C_2 . The **total capacity** C is **equal** to $C_1 + C_2$:

$$C = \max_{p(x_1, x_2)} I(X_1, X_2; Y_1, Y_2) = \max_{p(x_1)} I(X_1; Y_1) + \max_{p(x_2)} I(X_2; Y_2) = C_1 + C_2$$



The HSW theorem

The Holevo-Schumacher-Westmoreland theorem (I)

The Holevo-Schumacher-Westmoreland theorem

The classical capacity of a quantum channel is equal to the regularization of the Holevo information of the channel:

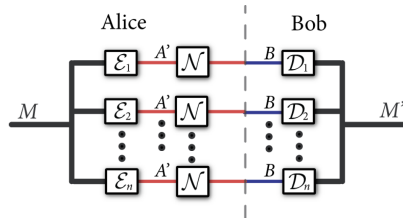
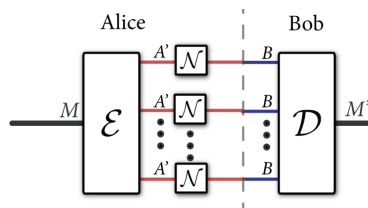
$$C(\mathcal{N}) = \lim_{k \rightarrow \infty} \frac{1}{k} \chi(\mathcal{N}^{\otimes k}) \equiv \chi_{\text{reg}}(\mathcal{N}),$$

where

$$\mathcal{N}_{A_1 \dots A_k \rightarrow B_1 \dots B_k}^{\otimes k} = \mathcal{N}_{A_1 \rightarrow B_1} \otimes \dots \otimes \mathcal{N}_{A_k \rightarrow B_k}.$$

- Regularization is equivalent to **multiletter** characterization in classical information theory $\frac{1}{k} I(X^k; Y^k)$ which, in contrast to **single** letter characterizations, $I(X; Y)$, does not provide much insight into practical coding techniques and is difficult to compute.
- Note that if the Holevo information is **additive** the regularization limit is not necessary and thus, $C(\mathcal{N}) = \chi(\mathcal{N}) = \max_{\rho_{XA}} I(X; B)_{\rho}$.

The Holevo-Schumacher-Westmoreland theorem (II)



- The **most general** strategy for classical information communication is illustrated **left**. One can make use of entanglement at Alice side and joint decoding at Bob's. On the contrary, the **suboptimal** strategy illustrated **right** uses the block DMC defined by a single use of a quantum channel only. Figures from [Wilde, 2017].
- The HSW theorem requires regularization, and thus investigating the expression $\lim_{k \rightarrow \infty} \frac{1}{k} \chi(\mathcal{N}^{\otimes k})$, since both strategies are in general not equivalent.

Channel code for classical communication

Channel code for communication over quantum channels

A $(2^{nR}, n, \epsilon)$ code \mathcal{C} for quantum channel $\mathcal{N}_{A \rightarrow B}$ is:

- a message set $\mathcal{M} \equiv [1 : 2^{nR}]$.
- an encoding function $[1 : 2^{nR}] \rightarrow \rho_{A^n}$ that assigns a codeword $\rho_{A^n}^m$ to each message $m \in [1 : 2^{nR}]$. This state is transmitted over n independent uses on the quantum channel $\mathcal{N}_{A \rightarrow B}$ so that the state at Bob end is $\mathcal{N}^{\otimes n}(\rho_{A^n}^m)$.
- a decoding POVM $\{\Lambda_m\}$ that assigns an estimate $\hat{m} \in [1 : 2^{nR}]$ to each received state $\mathcal{N}^{\otimes n}(\rho_{A^n}^m)$. The conditional probability of error assuming message m was transmitted is:

$$P_e(m) \equiv \Pr\{\hat{M} \neq m | M = m\} = \text{tr}\{(I - \Lambda_m)\mathcal{N}^{\otimes n}(\rho_{A^n}^m)\}$$

The code has ϵ error if $\max_m \{P_e(m)\} \equiv P_e^* \leq \epsilon$.

Random codebook generation

Let $\mathcal{E} = \{p_X(x), \phi_A^x\}$ be the ensemble of pure states $\phi_A^x \equiv |\phi_x\rangle\langle\phi_x|_A$ that attains the Holevo information of \mathcal{N} . Generate $x^n(m)$ for $m \in [1 : 2^{nR}]$ according to $p_{X^n}(x^n) = \prod_{i=1}^n p_X(x_i)$. The generated sequences yield the following product density matrix at A :

$$\begin{aligned}\phi_{A^n} &\equiv \phi_{A_1} \otimes \cdots \otimes \phi_{A_n} \\ &= \sum_{x_1 \in \mathcal{X}} p_X(x_1) \phi_{A_1}^{x_1} \otimes \cdots \otimes \sum_{x_n \in \mathcal{X}} p_X(x_n) \phi_{A_n}^{x_n} \\ &= \sum_{x^n \in \mathcal{X}^n} p_{X^n}(x^n) \phi_{A^n}^{x^n} \equiv \phi_A^{\otimes n}.\end{aligned}$$

and B , for $\sigma_{B_i}^{x_i} \equiv \mathcal{N}(\phi_{A_i}^{x_i})$:

$$\sigma_{B^n} \equiv \sigma_{B_1} \otimes \cdots \otimes \sigma_{B_n} = \sum_{x^n \in \mathcal{X}^n} p_{X^n}(x^n) \sigma_{B^n}^{x^n} \equiv \sigma_B^{\otimes n}$$

Encoding and decoding

Encoding

To send message $m \in [1 : 2^{nR}]$ take $\rho_{A^n}^m = \phi_{A^n}^{x^n(m)}$ where:

$$\phi_{A^n}^{x^n(m)} \equiv \phi_{A_1}^{x_1(m)} \otimes \cdots \otimes \phi_{A_n}^{x_n(m)}.$$

Decoding strategy

Let

$$\sigma_{B^n}^{x^n(m)} \equiv \mathcal{N}^{\otimes n}(\phi_{A^n}^{x^n(m)}) \equiv \sigma_{B_1}^{x_1(m)} \otimes \cdots \otimes \sigma_{B_n}^{x_n(m)}$$

be the received quantum state, where $\sigma_{B_i}^{x_i(m)} \equiv \mathcal{N}(\phi_{A_i}^{x_i(m)})$, for $i \in [1 : n]$. The receiver declares that $\hat{m} \in [1 : 2^{nR}]$ was transmitted using a detection POVM $\{\Lambda_m\}$. The quantum typicality properties are used to define the $\{\Lambda_m\}$, where $\Lambda_m \succeq 0$ and $\sum_{m=1}^{2^{nR}} \Lambda_m = I$.

Decoding projectors

- The Shannon typicality decoder would check typicality between the received sequence and the codewords corresponding to each of the m possible messages.
- We will follow a somehow similar procedure in the quantum setting by considering the observed density matrix $\sigma_B^{\otimes n}$, the ones corresponding to the m possibly transmitted messages $\sigma_{B^n}^{x^n(m)}$, and then defining their respective projectors.

Total subspace $\Pi_{B^n}^\delta$ and message subspace $\Pi_{B^n|x^n(m)}^\delta$ projectors

- The total subspace projector $\Pi_{B^n}^\delta$ is defined as the (weakly) typical projector for $\sigma_B^{\otimes n}$.
- The message subspace $\Pi_{B^n|x^n(m)}^\delta$ projector is defined as the (weakly) conditional typical projector for $\sigma_{B^n}^{x^n(m)}$.

Quantum Packing Lemma

Quantum Packing Lemma

Assuming strong typicality, we can now apply the properties of quantum typical and conditionally typical sequences:

$$\begin{aligned}\mathrm{tr}\{\Pi_{B^n}^\delta \rho_{B^n}^{x^n}\} &\geq 1 - \epsilon, \\ \mathrm{tr}\{\Pi_{B^n|x^n}^\delta \rho_{B^n}^{x^n}\} &\geq 1 - \epsilon, \\ \mathrm{tr}\{\Pi_{B^n|x^n}^\delta\} &\leq 2^{n(H(B|X)+c\delta)}, \\ \Pi_{B^n}^\delta \sigma_B^{\otimes n} \Pi_{B^n}^\delta &\preceq 2^{-n(H(B)-c'\delta)} \Pi_{B^n}^\delta,\end{aligned}$$

and then the (derandomized) quantum packing lemma, (see Chapter 16 of [Wilde, 2017] for details) which states that the maximum P_e is:

$$\begin{aligned}P_e^* &= \max_m \mathrm{tr}\{(I - \Lambda_m) \sigma_{B^n}^{x^n(m)}\} \\ &\leq 4(\epsilon + 2\sqrt{\epsilon}) + 16(1 - \epsilon)^{-1} 2^{-n(H(B)-H(B|X)-(c+c')\delta)} |\mathcal{M}|.\end{aligned}$$

Probability of error and design of the POVM $\{\Lambda_m\}$

Probability of error

The quantum packing lemma shows that P_e^* can be made as small as desired as long as the cardinality of the message set $|\mathcal{M}|$ is small enough,

$$P_e^* \leq 4(\epsilon + 2\sqrt{\epsilon}) + 16(1 - \epsilon)^{-1} 2^{-n(I(X;B) - (c+c')\delta)} 2^{nR}$$

and $\lim_{n \rightarrow \infty} P_e^* = 0$ if $R < I(X;B) - (c + c')\delta$.

Design of the POVM $\{\Lambda_m\}$

Projectors $\Pi_{B^n|x^n}^\delta$ can not be used directly as POVM since there is no guarantee that $\sum_{m=1}^{2^{nR}} \Pi_{B^n|x^n(m)}^\delta \equiv \bar{\Pi}_{B^n|x^n}^\delta = I$. In order to satisfy this condition one possible solution is:

$$\Lambda_m = (\bar{\Pi}_{B^n|x^n}^\delta)^{-\frac{1}{2}} \Pi_{B^n|x^n(m)}^\delta (\bar{\Pi}_{B^n|x^n}^\delta)^{-\frac{1}{2}}$$

Remarks on the achievability result

- Note the proof shows achievability for a rate equal to the Holevo information of the quantum channel $\chi(\mathcal{N})$ and not to the possibly higher rate $\chi_{\text{reg}}(\mathcal{N}) = \lim_{k \rightarrow \infty} \frac{1}{k} \chi(\mathcal{N}^{\otimes k})$.
- But nothing prevents applying the same encoding and decoding procedure to the product channel $\mathcal{N}^{\otimes k}$ showing that for arbitrary k the rate $\frac{1}{k} \chi(\mathcal{N}^{\otimes k})$ is achievable.

Randomness distribution over quantum channels

For uniformly distributed messages the ideal **randomness distribution** joint state between Alice and Bob is:

$$\bar{\phi}_{M\hat{M}} \equiv \frac{1}{|\mathcal{M}|} \sum_{m \in \mathcal{M}} |m\rangle\langle m|_M \otimes |m\rangle\langle m|_{\hat{M}},$$

whereas the actual state after encoding and decoding is:

$$\omega_{M\hat{M}} = \frac{1}{|\mathcal{M}|} \sum_{m, \hat{m} \in \mathcal{M}} \text{tr}\{\Lambda_{\hat{m}} \mathcal{N}^{\otimes n}(\rho_{A^n}^m)\} |m\rangle\langle m|_M \otimes |\hat{m}\rangle\langle \hat{m}|_{\hat{M}}.$$

Code for randomness distribution over channel $\mathcal{N}_{A \rightarrow B}$

A $(2^{nR}, n, \epsilon)$ code for randomness distribution is achievable if there is an encoding-decoding procedure as indicated before for the channel code and

$$\frac{1}{2} \|\bar{\phi}_{M\hat{M}} - \omega_{M\hat{M}}\|_1 \leq \epsilon.$$

Converse proof (I)

- The capacity for randomness distribution cannot be smaller than the one for communication since an error-free classical channel can be used to obtain shared randomness.
- This implies that randomness distribution can be used in converse proofs of classical communication.
- Invoking the AFW inequality:

$$\frac{1}{2} \|\bar{\phi}_{M\hat{M}} - \omega_{M\hat{M}}\|_1 \leq \epsilon,$$

implies

$$\begin{aligned} |H(M|\hat{M})_{\bar{\phi}} - H(M|\hat{M})_{\omega}| &\leq \epsilon \log |\mathcal{M}| + (1 + \epsilon)H(\epsilon/(1 + \epsilon)) \\ &\equiv f(|\mathcal{M}|, \epsilon). \end{aligned}$$

Converse proof (and II)

- Now applying a procedure similar in spirit to Fano's inequality,

$$\begin{aligned} nR &= \log |\mathcal{M}| = H(M) \\ &= H(M) - H(M|\hat{M})_{\bar{\phi}} \\ &\leq H(M) - H(M|\hat{M})_{\omega} + f(|\mathcal{M}|, \epsilon) \\ &= I(M; \hat{M})_{\omega} + f(|\mathcal{M}|, \epsilon) \\ &\leq I(M; B^n)_{\omega} + f(|\mathcal{M}|, \epsilon) \\ &\leq \chi(\mathcal{N}^{\otimes n}) + f(|\mathcal{M}|, \epsilon). \end{aligned}$$

- Recovering the expression for $f(|\mathcal{M}|, \epsilon)$ and rearranging terms,

$$R(1 - \epsilon) \leq \frac{1}{n} \chi(\mathcal{N}^{\otimes n}) + \frac{1}{n} (1 + \epsilon) H(\epsilon/(1 + \epsilon))$$

meaning that for $n \rightarrow \infty$, $R \leq \chi_{\text{reg}}(\mathcal{N})$. ■

Why is regularization needed?

What is different in this converse proof with respect to the classical channel coding converse?

- In classical the mutual information is additive for the block DMC, and in the classical converse proof:

$$nR \leq I(X^n; Y^n) + n\epsilon_n \leq nC + n\epsilon_n$$

- But the Holevo information is not additive!

$$I(M; B^n)_\omega \not\leq nI(M; B)_\omega \leq n\chi(\mathcal{N}).$$

Capacity examples

Entanglement-breaking channels

Entanglement-breaking channels

An entanglement breaking channel $\mathcal{N}_{A \rightarrow B}^{\text{EB}}$ takes any arbitrary composite state $\rho_{RA} \in \mathcal{D}(\mathcal{H}_R \otimes \mathcal{H}_A)$ to a separable state, i.e.

$$(\text{id}_R \otimes \mathcal{N}_{A \rightarrow B}^{\text{EB}})(\rho_{RA}) = \sum_z p_Z(z) \rho_R^z \otimes \tau_B^z$$

One can prove that it suffices to check this condition for the maximally entangled state Φ_{RA} and that a channel is entanglement-breaking iff its Kraus operators are unit rank.

In fact, this proof leads to the corollary that any entanglement-breaking channel is a serial concatenation of a *qc* channel followed by a *cq* channel, i.e., a measurement channel followed by a preparation channel:

$$\mathcal{N}_{A \rightarrow B}^{\text{EB}}(\rho_A) = (\mathcal{P}_{Z \rightarrow B} \circ \mathcal{M}_{A \rightarrow Z})(\rho_A)$$

Capacity of entanglement-breaking channels (I)

Capacity of entanglement-breaking channels

Let \mathcal{N}^{EB} be an entanglement-breaking channel. The capacity of \mathcal{N}^{EB} equals the Holevo information of the channel, i.e.,

$$C(\mathcal{N}^{EB}) = \chi(\mathcal{N}^{EB}).$$

The proof follows directly from the additivity of the Holevo information of the entanglement-breaking channels.

Additivity of the Holevo information for EB channels

Let \mathcal{N}^{EB} be an entanglement-breaking channel and \mathcal{M} an arbitrary channel. Then,

$$\chi(\mathcal{N}^{EB} \otimes \mathcal{M}) = \chi(\mathcal{N}^{EB}) + \chi(\mathcal{M}).$$

Capacity of entanglement-breaking channels (II)

- The proof requires to show that $\chi(\mathcal{N}^{EB} \otimes \mathcal{M}) \leq \chi(\mathcal{N}^{EB}) + \chi(\mathcal{M})$ since $\chi(\mathcal{N}^{EB} \otimes \mathcal{M}) \geq \chi(\mathcal{N}^{EB}) + \chi(\mathcal{M})$ by definition.
- Let $\rho_{XB_1B_2}$ the state in $\chi(\mathcal{N}^{EB} \otimes \mathcal{M}) = \max_{\rho_{XA_1A_2}} I(X; B_1B_2)_\rho$,

$$\begin{aligned}\rho_{XB_1B_2} &= (\text{id}_X \otimes \mathcal{N}_{A_1 \rightarrow B_1}^{EB} \otimes \mathcal{M}_{A_2 \rightarrow B_2})(\rho_{XA_1A_2}), \\ \rho_{XA_1A_2} &= \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_{A_1A_2}^x.\end{aligned}$$

After applying $\mathcal{N}_{A_1 \rightarrow B_1}^{EB}$ to $\rho_{XA_1A_2}$,

$$\begin{aligned}\rho_{XB_1A_2} &= \sum_x p_X(x) |x\rangle\langle x|_X \otimes \mathcal{N}_{A_1 \rightarrow B_1}^{EB}(\rho_{A_1A_2}^x) \\ &= \sum_x p_X(x) |x\rangle\langle x|_X \otimes \sum_z p_{Z|X}(z|x) \sigma_{B_1}^{x,z} \otimes \theta_{A_2}^{x,z} \\ &= \sum_{x,z} p_X(x) p_{Z|X}(z|x) |x\rangle\langle x|_X \otimes \sigma_{B_1}^{x,z} \otimes \theta_{A_2}^{x,z}.\end{aligned}$$

Capacity of entanglement-breaking channels (III)

- Then, after applying $\mathcal{M}_{A_2 \rightarrow B_2}$ to $\rho_{XB_1A_2}$,

$$\rho_{XB_1B_2} = \sum_{x,z} p_{Z|X}(z|x) p_X(x) |x\rangle\langle x|_X \otimes \sigma_{B_1}^{x,z} \otimes \mathcal{M}(\theta_{A_2}^{x,z}).$$

State for which we can define the extension,

$$\omega_{XZB_1B_2} = \sum_{x,z} p_{Z|X}(z|x) p_X(x) |x\rangle\langle x|_X \otimes |z\rangle\langle z|_Z \otimes \sigma_{B_1}^{x,z} \otimes \mathcal{M}(\theta_{A_2}^{x,z}),$$

satisfying $\rho_{XB_1B_2} = \text{tr}_Z\{\omega_{XZB_1B_2}\}$.

- Now, by the definition of $\rho_{XB_1B_2}$, the chain rule for mutual information and the definition of $\chi(\mathcal{N}^{EB})$,

$$\begin{aligned} \chi(\mathcal{N}^{EB} \otimes \mathcal{M}) &= I(X; B_1B_2)_\rho = I(X; B_1)_\rho + I(X; B_2|B_1)_\rho \\ &\leq \chi(\mathcal{N}^{EB}) + I(X; B_2|B_1)_\rho. \end{aligned}$$

Capacity of entanglement-breaking channels (IV)

- We concentrate now on the term $I(X; B_2|B_1)_\rho$.

$$\begin{aligned} I(X; B_2|B_1)_\rho &= I(X; B_2|B_1)_\omega \\ &= I(XB_1; B_2)_\omega - I(B_1; B_2)_\omega \\ &\leq I(XB_1; B_2)_\omega \\ &\leq I(XZB_1; B_2)_\omega \\ &= I(XZ; B_2)_\omega + I(B_1; B_2|XZ)_\omega \\ &= I(XZ; B_2)_\omega \\ &\leq \chi(\mathcal{M}). \end{aligned}$$

where we have used the chain rule and the positivity for mutual information, the data processing inequality, the chain rule again, the fact that, conditioned on XZ , the $\omega_{XZB_1B_2}$ state is product, and the definition of $\chi(\mathcal{M})$. ■

Capacity of entanglement-breaking channels (and V)

- We showed any \mathcal{N}^{EB} channel can be expressed as a serial concatenation of a measurement channel followed by a preparation channel. Then for \mathcal{N} an arbitrary channel, Alice can simulate an \mathcal{N}^{EB} channel by performing a **measurement** in the $\{|x\rangle\langle x|\}$ basis and **preparing** a state ρ^x conditioned on the outcome so that the resulting density matrix is:

$$\rho_{XB} = \sum_x \langle x|\sigma_A|x\rangle |x\rangle\langle x|_X \otimes \mathcal{N}(\rho^x) = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \mathcal{N}(\rho^x).$$

the capacity of which is,

$$\max_{p_X(x), \rho^x} I(X; B)_\rho.$$

- This is known as the product-state capacity of \mathcal{N} , a lower bound to its true capacity (no entanglement at the encoder).

Capacity of quantum erasure channel (I)

The capacity of the (classical) erasure channel (EC) for $\mathcal{Y} = \mathcal{X} \cup \{e\}$,

$$p_{Y|X}(y|x) = (1 - \epsilon)\mathbb{1}\{y = x\} + \epsilon\mathbb{1}\{y = e\}$$

where $\epsilon \in [0, 1]$, is well known, $C_{\text{EC}} = (1 - \epsilon) \log |\mathcal{X}|$. A similar result is obtained in the quantum case.

Capacity of quantum erasure channel

The capacity of the quantum erasure channel defined as

$$\mathcal{N}_{A \rightarrow B}^\epsilon(\rho_A) = (1 - \epsilon)\mathcal{I}_{A \rightarrow B}(\rho_A) + \epsilon|e\rangle\langle e|_B$$

where $\epsilon \in [0, 1]$, $d_A = d$, $d_B = d + 1$, and $\{|0\rangle, \dots, |d-1\rangle\}$ and $\{|0\rangle, \dots, |d-1\rangle, |e\rangle\}$ form an orthonormal basis in \mathcal{H}_A and \mathcal{H}_B respectively, is

$$C(\mathcal{N}^\epsilon) = (1 - \epsilon) \log d.$$

Capacity of quantum erasure channel (II)

Achievability proof (I)

Consider the ensemble $\mathcal{E} \equiv \{1/d; |0\rangle_A, \dots, |d-1\rangle_A\}$, this yields:

$$\begin{aligned}\rho_{XB} &= \frac{1}{d} \sum_{x=0}^{d-1} |x\rangle\langle x|_X \otimes ((1-\epsilon)|x\rangle\langle x|_B + \epsilon|e\rangle\langle e|_B), \\ \rho_B &= \text{tr}_X\{\rho_{XB}\} = \frac{1}{d} \sum_{x=0}^{d-1} ((1-\epsilon)|x\rangle\langle x|_B + \epsilon|e\rangle\langle e|_B) \\ &= \frac{1-\epsilon}{d} (I_B - |e\rangle\langle e|_B) + \epsilon|e\rangle\langle e|_B.\end{aligned}$$

The eigenvalues of ρ_B are $\frac{1-\epsilon}{d}$ with multiplicity d and ϵ with multiplicity 1,

$$H(B)_\rho = -(1-\epsilon) \log\left(\frac{1-\epsilon}{d}\right) - \epsilon \log \epsilon = H(\epsilon) + (1-\epsilon) \log d.$$

Capacity of quantum erasure channel (III)

Achievability proof (II)

$$\begin{aligned} H(B|X)_\rho &= \frac{1}{d} \sum_{x=0}^{d-1} H((1-\epsilon)|x\rangle\langle x|_B + \epsilon|e\rangle\langle e|_B) \\ &= -(1-\epsilon) \log(1-\epsilon) - \epsilon \log \epsilon = H(\epsilon), \end{aligned}$$

since the eigenvalues are $(1-\epsilon)$ and ϵ both with multiplicity 1. Therefore,

$$I(X; B)_\rho = H(B)_\rho - H(B|X)_\rho = (1-\epsilon) \log d.$$

meaning this rate is achievable. ■

See [Wilde, 2017] for the converse where it is proved that the regularized Holevo information can not exceed $(1-\epsilon) \log d$ and thus it is indeed the capacity.

Private classical and quantum information

Private information of a quantum channel

- We defined the **Holevo information** of a quantum channel $\mathcal{N}_{A \rightarrow B}$ as an upper bound to the **accessible information** that can be **transmitted** through the channel:

$$\chi(\mathcal{N}) \equiv \max_{\rho_{XA}} I(X; B)_\rho \geq \max_{\mathcal{E}} I_{\text{acc}}(\mathcal{E}) = I_{\text{acc}}^*,$$

where the **maximization** took place with respect to a classical-quantum state:

$$\rho_{XA} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_A^x,$$

i.e. the ensemble $\mathcal{E} = \{p_X(x), \rho_A^x\}$.

- Now we want to consider **how much** information can be **transmitted** to Bob **keeping it secret to the rest of the world**, **extending** the concept of the classical **wiretap** channel to the quantum context.

Private information of a quantum channel: definition

Private information of a quantum channel

The private information $P(\mathcal{N})$ of channel $\mathcal{N}_{A \rightarrow B}$ is defined as:

$$P(\mathcal{N}_{A \rightarrow B}) \equiv \max_{\rho_{XA}} (I(X; B)_\rho - I(X; E)_\rho),$$

for

$$\rho_{XA} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_A^x.$$

The mutual information terms are computed with respect to:

$$\rho_{XBE} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \mathcal{U}_{A \rightarrow BE}^{\mathcal{N}}(\rho_A^x),$$

where $\mathcal{U}_{A \rightarrow BE}^{\mathcal{N}}$ is an **isometric** extension of channel $\mathcal{N}_{A \rightarrow B}$.

Private information of a quantum channel: remarks

- Note that the private information of a quantum channel is **non-negative**, $P(\mathcal{N}) \geq 0$ since for $\rho_{XA} = |0\rangle\langle 0|_X \otimes |\phi\rangle\langle \phi|_A$ we have $P(\mathcal{N}) = 0$.
- Like the Holevo information, the private information is not **additive** in general, but can be additive in **some** cases.
- The **regularized** private information is then defined as

$$P_{\text{reg}}(\mathcal{N}) \equiv \lim_{n \rightarrow \infty} \frac{1}{n} P(\mathcal{N}^{\otimes n}).$$

Private information of a classical channel

- The expression for the private information is reminiscent of the capacity of the (classical) **degraded wiretap** channel, i.e. where $X \leftrightarrow Y \leftrightarrow Z$ form a Markov chain,

$$C_S \equiv \max_{p_X(x)} (I(X; Y) - I(X; Z)).$$

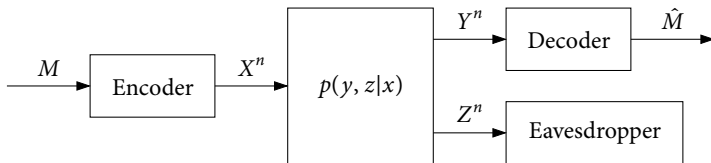


Figure: Wiretap Channel Model

- However, the **private information of a classical channel** is indeed always **additive**.

Coherent information of a quantum channel

Coherent information of a quantum channel

The **coherent information** $Q(\mathcal{N})$ of channel $\mathcal{N}_{A \rightarrow B}$ is defined as:

$$Q(\mathcal{N}_{A \rightarrow B}) \equiv \max_{\phi_{AA'}} I(A \rangle B)_{\rho} = - \min_{\phi_{AA'}} H(A|B)_{\rho}$$

where the maximization takes place with respect to all input **pure** states $\phi_{AA'}$ and

$$\rho_{AB} = \mathcal{N}_{A' \rightarrow B}(\phi_{AA'}).$$

- Note that $Q(\mathcal{N})$ is also non-negative, $Q(\mathcal{N}) \geq 0$ since for $\phi_{AA'} = \psi_A \otimes \varphi_{A'}$, we have $\rho_{AB} = \psi_A \otimes \mathcal{N}(\varphi_{A'})$ and,

$$H(A|B) = H(A) = 0.$$

Coherent information and private information

The coherent information $Q(\mathcal{N})$ of any channel \mathcal{N} is never greater than its private information $P(\mathcal{N})$,

$$Q(\mathcal{N}) \leq P(\mathcal{N}).$$

Exercise

Prove that the coherent information of any channel is never greater than its private information, $Q(\mathcal{N}) \leq P(\mathcal{N})$.

- Consider $\phi_{AA'}$ as the **pure state** that maximizes $Q(\mathcal{N})$
- Consider ϕ_{ABE} the state after sending the A' system through $\mathcal{U}_{A' \rightarrow BE}^{\mathcal{N}}$, an **isometric** extension of channel $\mathcal{N}_{A' \rightarrow B}$.
- Using the spectral decomposition

$$\phi_{A'} = \sum_x |\phi_x\rangle\langle\phi_x|_{A'}$$

create an augmented classical-quantum state of the form:

$$\sigma_{XA'} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes |\phi_x\rangle\langle\phi_x|_{A'}$$

and its extension σ_{XBE} after $\mathcal{U}_{A' \rightarrow BE}^{\mathcal{N}}$.

The Private classical capacity

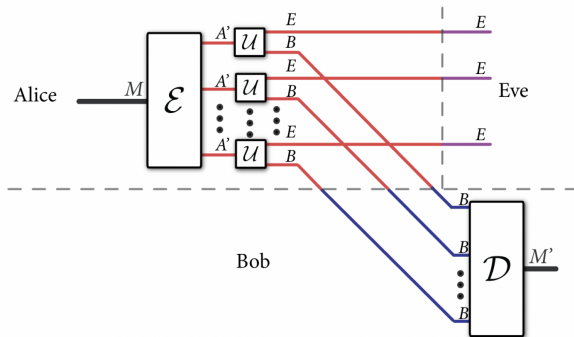


Figure: Private Capacity context

The objective remains as the **maximization** of the classical information transmission **rate** to Bob but without any information **leakage** to Eve.

Reliability and secrecy requirements

- The channel code for private communication over quantum channels must satisfy the same requirements in terms of error probability at Bob:

$$P_e(m) \equiv \Pr\{\hat{M} \neq m | M = m\} = \text{tr}\{(I - \Lambda_m)\mathcal{N}^{\otimes n}(\rho_{A^n}^m)\}$$

- In addition there is the secrecy requirement towards Eve. Let $\omega_{E^n}^m$ be the state observed by Eve:

$$\omega_{E^n}^m = \text{tr}_B\{\mathcal{U}_{A \rightarrow BE}^{\mathcal{N}}(\rho_{A^n}^m)\}$$

- Secrecy is measured by the normalized trace distance between $\omega_{E^n}^m$ and an constant (with respect to m) state σ_{E^n} :

$$\forall m \in [1 : 2^{nR}] : \frac{1}{2} \|\omega_{E^n}^m - \sigma_{E^n}\|_1 \leq \epsilon$$

The Devetak-Cai-Winter-Yeung theorem

The Devetak-Cai-Winter-Yeung theorem

The private classical capacity of a quantum channel is equal to the regularization of the private information of the channel:

$$C_P(\mathcal{N}) = \lim_{k \rightarrow \infty} \frac{1}{k} P(\mathcal{N}^{\otimes k}) \equiv P_{\text{reg}}(\mathcal{N}),$$

with

$$P(\mathcal{N}) \equiv \max_{\rho} (I(X; B)_{\sigma} - I(X; E)_{\sigma}),$$

$$\rho_{XA} = \sum_x p_X(x) |x\rangle\langle x|_X \otimes \rho_A^x,$$

$$\sigma_{XBE} = \mathcal{U}_{A \rightarrow BE}^{\mathcal{N}}(\rho_{XA}),$$

where $\mathcal{U}_{A \rightarrow BE}^{\mathcal{N}}$ is an isometric extension of channel $\mathcal{N}_{A \rightarrow B}$.

Sketch of the achievability proof

- Alice constructs a codebook using two-index codewords (m, k) , $m \in \mathcal{M}$ and $k \in \mathcal{K}$ that she communicates through n independent uses of the channel $\mathcal{N}_{A \rightarrow B}$.
- As long as the condition established by the quantum packing lemma for channel $\mathcal{N}_{A \rightarrow B}$ is satisfied, i.e., $|\mathcal{M}||\mathcal{K}| \approx 2^{nI(X;B)}$ Bob can detect both m and k with vanishing maximum error probability. This is known as the **reliability** requirement.
- Also, as long as the condition determined for the quantum covering lemma for the channel $\mathcal{N}_{A \rightarrow E}^c$, i.e., $|\mathcal{K}| \approx 2^{nI(X;E)}$ is fulfilled, then the information from the message m leaked to Eve can be made as small as desired. This is the **secrecy** requirement.

Quantum communication

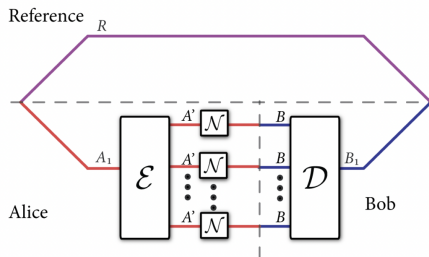


Figure: Information-processing task for entanglement transmission

The objective is that Bob performs a **decoding** of the systems he receives, and the state at the end of the protocol is **close** to the original state **shared** between Alice and the reference.

Quantum Communication requirements

- The state at Bob is:

$$\omega_{RB_1} \equiv \mathcal{D}_{B^n \rightarrow B_1}(\mathcal{N}_{A^n \rightarrow B^n}(\mathcal{E}_{A_1 \rightarrow A^n}(\varphi_{RA_1})))$$

- The encoding and decoding procedure must guarantee:

$$\frac{1}{2} \|\varphi_{RA_1} - \omega_{RB_1}\|_1 \leq \epsilon$$

- The quantum rate Q of this scheme is measured as the number of qbits transmitted per quantum channel use:

$$Q \equiv \frac{1}{n} \log \dim(\mathcal{H}_{A_1})$$

- The quantum capacity $C_Q(\mathcal{N})$ is defined as the supremum of all achievable rates for \mathcal{N} .

Quantum capacity theorem

Quantum capacity theorem

The quantum capacity $C_Q(\mathcal{N})$ of a quantum channel is equal to the regularized coherent information of the channel:

$$C_Q(\mathcal{N}) = \lim_{k \rightarrow \infty} \frac{1}{k} Q(\mathcal{N}^{\otimes k}) \equiv Q_{\text{reg}}(\mathcal{N})$$

with

$$Q(\mathcal{N}) \equiv \max_{\phi} I(A \rangle B)_{\sigma}$$

where the maximization is with respect to all pure states $\phi_{AA'}$ and $\sigma_{AB} = \mathcal{N}_{A' \rightarrow B}(\phi_{AA'})$

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