

SI100B  
Introduction to Information  
Science and Technology  
(Part 3: Electrical Engineering)

Lecture #2 Semiconductor &  
Electronic Devices

Instructor: Junrui Liang (梁俊睿)  
Nov. 11<sup>th</sup>, 2020

# Independent Study

- High-school style

- Specific space (固定教室)
- A few subjects (科目少)
- Repeating contents (反复复习)
- Monotonous media (媒介单一)
- Learning for exams (在考中学)
- Single purpose (高考独木桥)
- 知识改变命运



(Pictures are from the Internet)

- University style

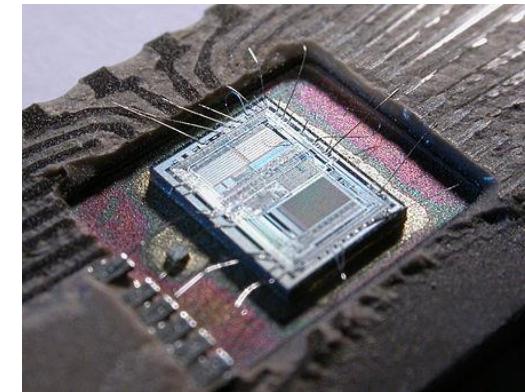
- Open environment (开放式环境)
- Many subjects (许多科目)
- Few recitation (极少重复)
- Multi-media (多种媒介)
- Learning by doing (尝试在做中学)
- Diversity (出路差异化)
- 见识决定宽度, 能力决定高度



# The Theme Story



Devices (1)



Circuits (4)

Systems (2)



(Pictures are from the Internet)

# Study Purpose of Lecture #2

- 哲学 (bao'an) 三问
  - Who are you?
  - Where are you from?
  - Where are you going?

To answer those questions  
throughout your life



- In this lecture, we ask
  - Why semiconductor 半导体 can be used to process information?
  - What are the fundamental semiconductor devices 器件?
  - How an integrated circuit (IC) 集成电路 is build?



# Lecture Outline

1. The historical ways of information processing
  2. Fundamental circuit components and their dynamics \*
  3. Semiconductor
  4. PN junction
  5. Diode
- (break) -----
6. Transistor
  7. Switch and logic gate (digital application)
  8. Linear amplification (analog application)
  9. Integrated circuit manufacturing and the Moore's Law

# The history of information processing (calculation)



Digits 手指



Counting rods 算筹

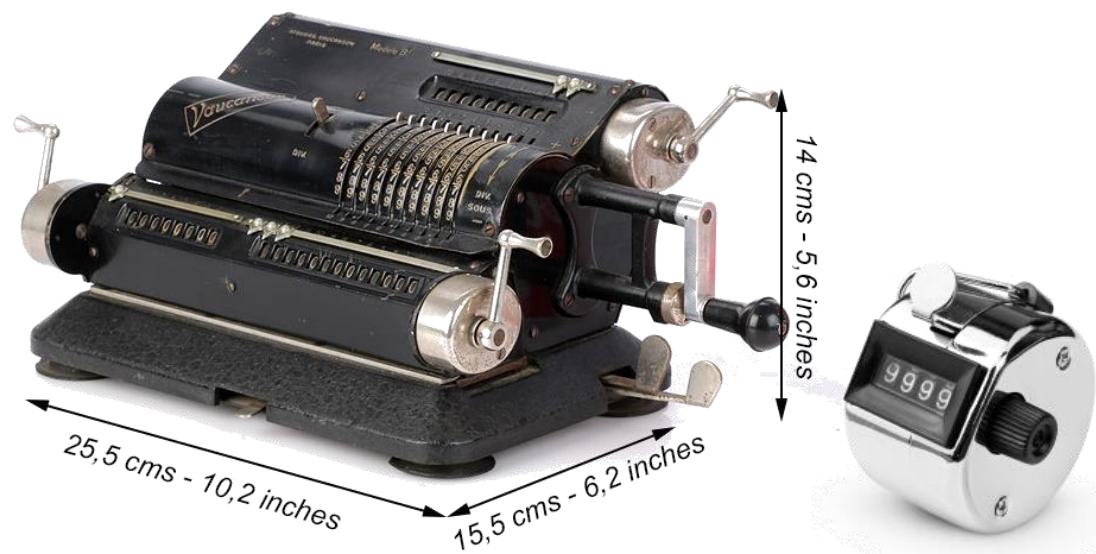


Abacus 算盘



Slide rule 计算尺  
(可计算加、减、乘、除、指数、对数等)

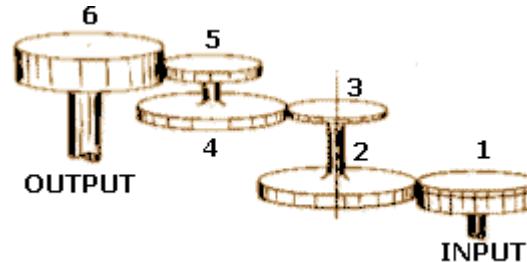
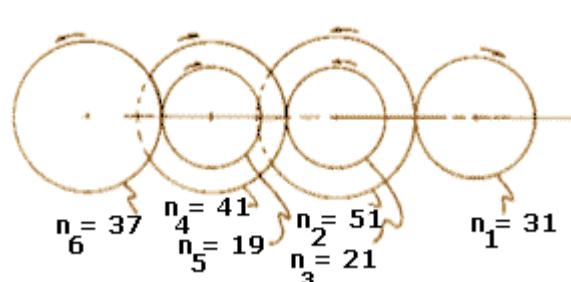
(Pictures are from the Internet)



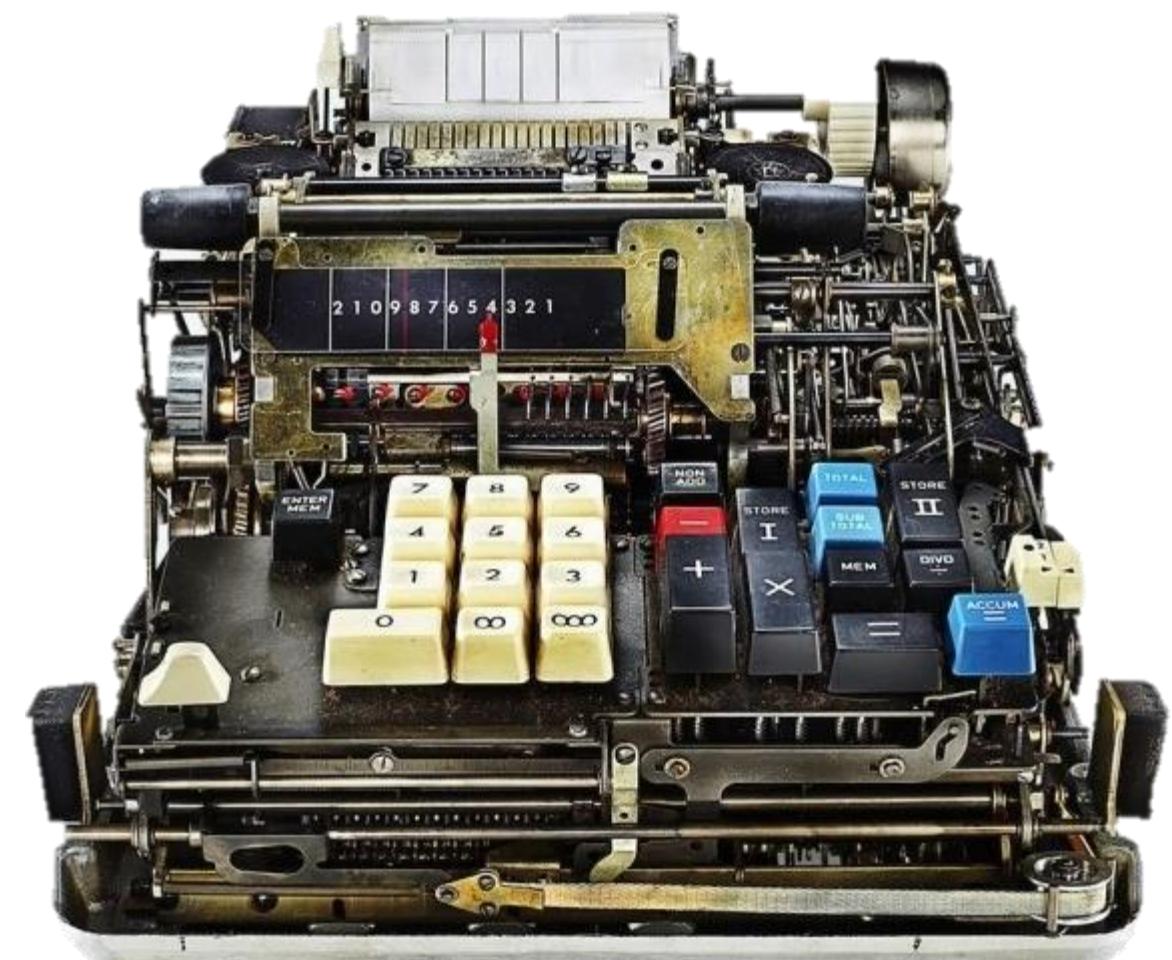
Mechanical calculator (computer?) 机械计算器

# Why no longer mechanical calculator?

- Gears 齿轮 are the kernel of many mechanical calculator



- Difficulty in scaling down



(Pictures are from the Internet)

# ENIAC - the first electronic computer

calculator

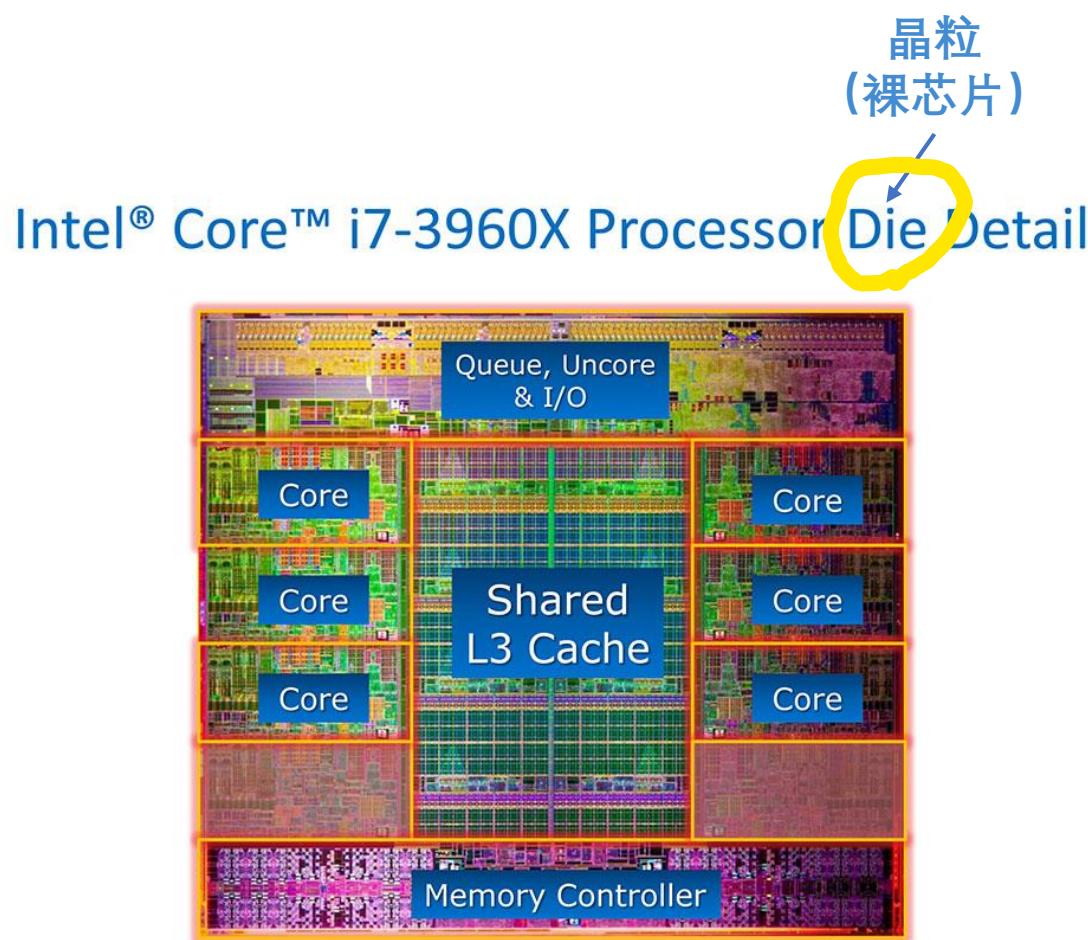
Computer

- The first programmable, electronic, general purpose **digital computer**
- Able to solve "a large class of numerical problems" through **reprogramming**
- It was **Turing-complete** 图灵完备性
- By the end of its operation in 1956, ENIAC contained
  - 18,000 **vacuum tubes** 真空管
  - 7,200 **crystal diodes** 二极管
  - 1,500 **relays** 继电器
  - 70,000 **resistors** 电阻
  - 10,000 **capacitors** 电容
  - about 5,000,000 hand-soldered joints
  - weighed 27 tons
  - roughly  $2.4\text{ m} \times 0.9\text{ m} \times 30\text{ m}$  in size
  - occupied  $167\text{ m}^2$
  - consumed 150 kW of electricity
  - one ENIAC hour  $\approx 2,400$  human hours



(Pictures are from the Internet)

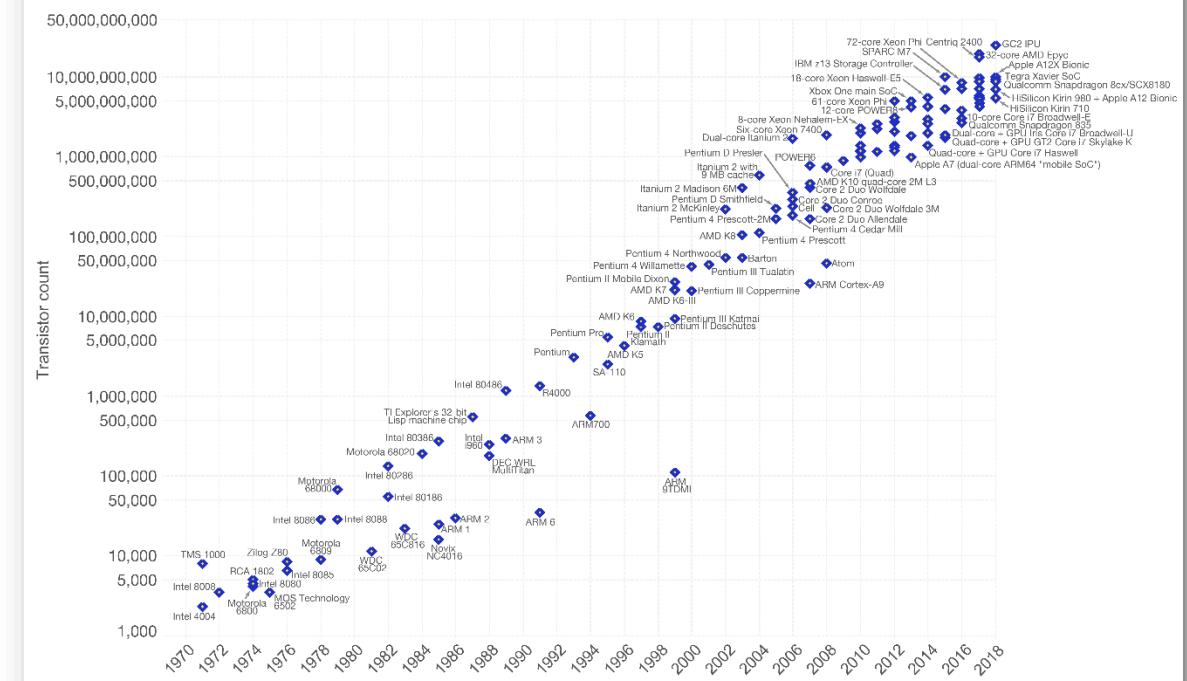
# The magic of integrated circuit (IC)



(Pictures are from the Internet)

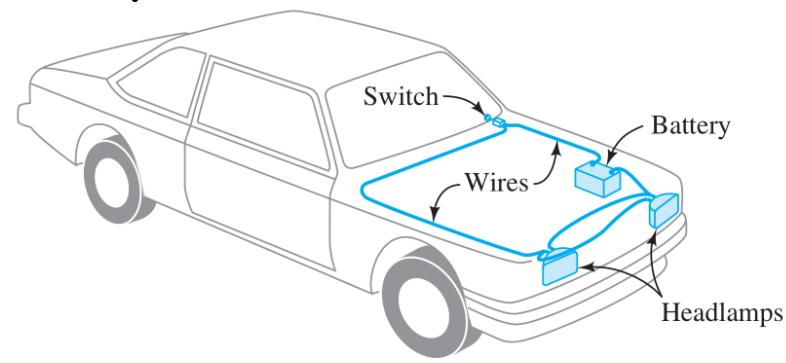
- Core i7 3rd Generation (Nov. 2011)
- 32 nm process 制程工艺 technology
- 2.27 billion (2,270,000,000) transistors 晶体管

**Moore's Law – The number of transistors on integrated circuit chips (1971-2018)**  
 Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years. This advancement is important as other aspects of technological progress – such as processing speed or the price of electronic products – are linked to Moore's law.

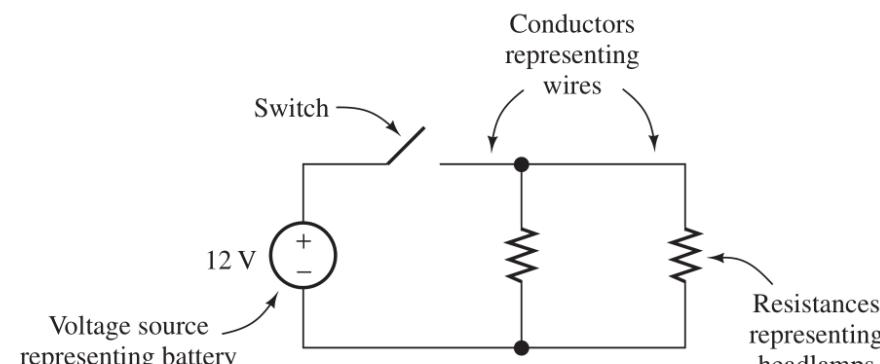


# Electrical circuit

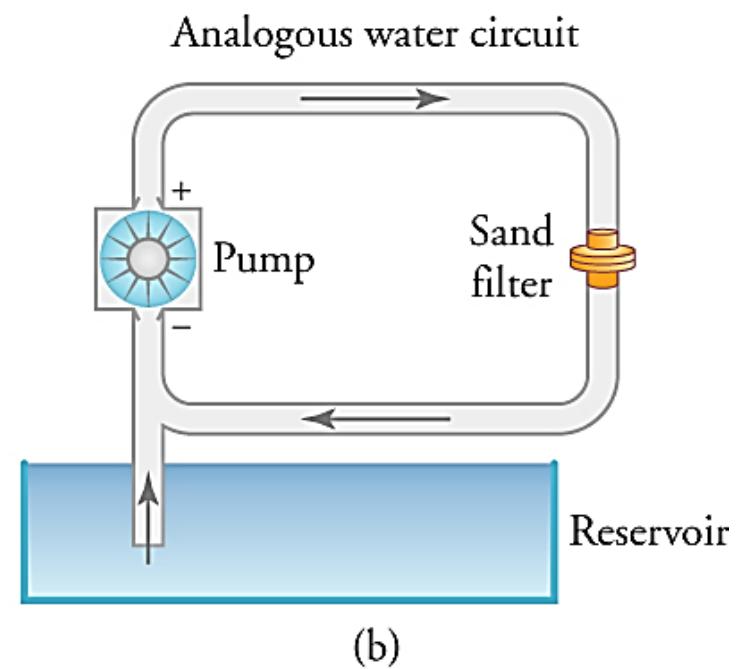
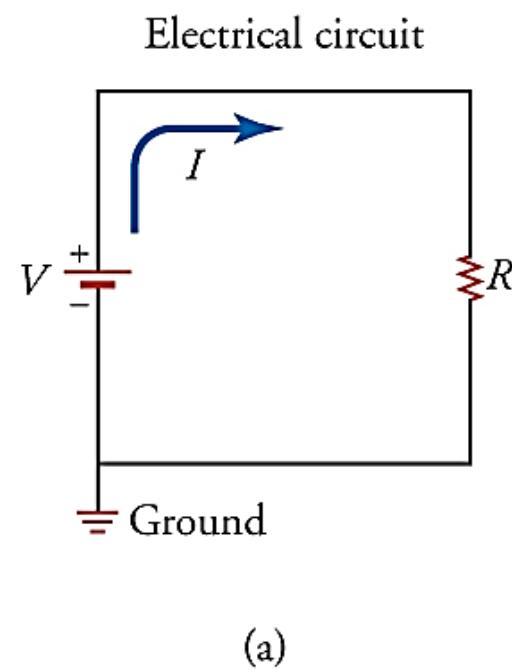
- An electrical circuit consists of various types of **circuit elements** connected in **closed paths** by conductors.
- The **fluid-flow analogy** can be very helpful initially in understanding electrical circuits.



(a) Physical configuration



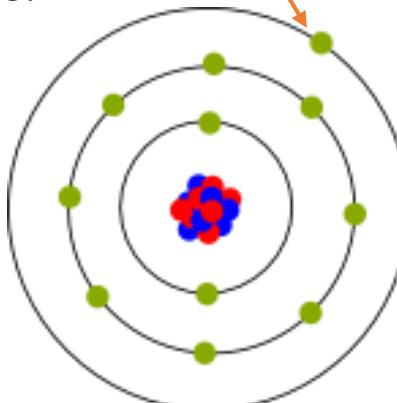
(EE Section 1.2)



# Conductor and insulator

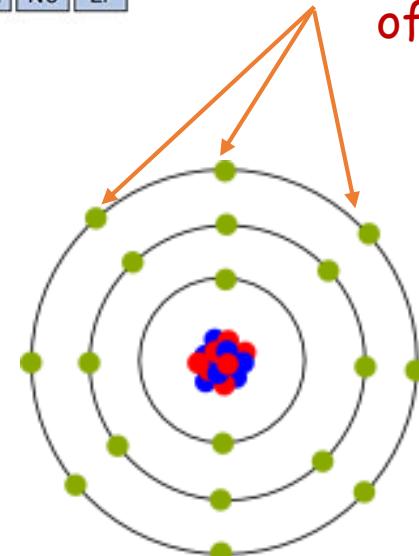
## Conductor 导体

- The atoms have single (or few) electrons in the outer orbit called **valence electrons** (价电子)
- They might become **free electrodes** (自由电子) and can be moved from one atom to another easily and enhance the movement of electrons.



## Insulator 绝缘体

- has many (7-8) electrons in the outer orbit.
- these electrons are very difficult to move from one atom to the other and **resist the movement of electrons**.



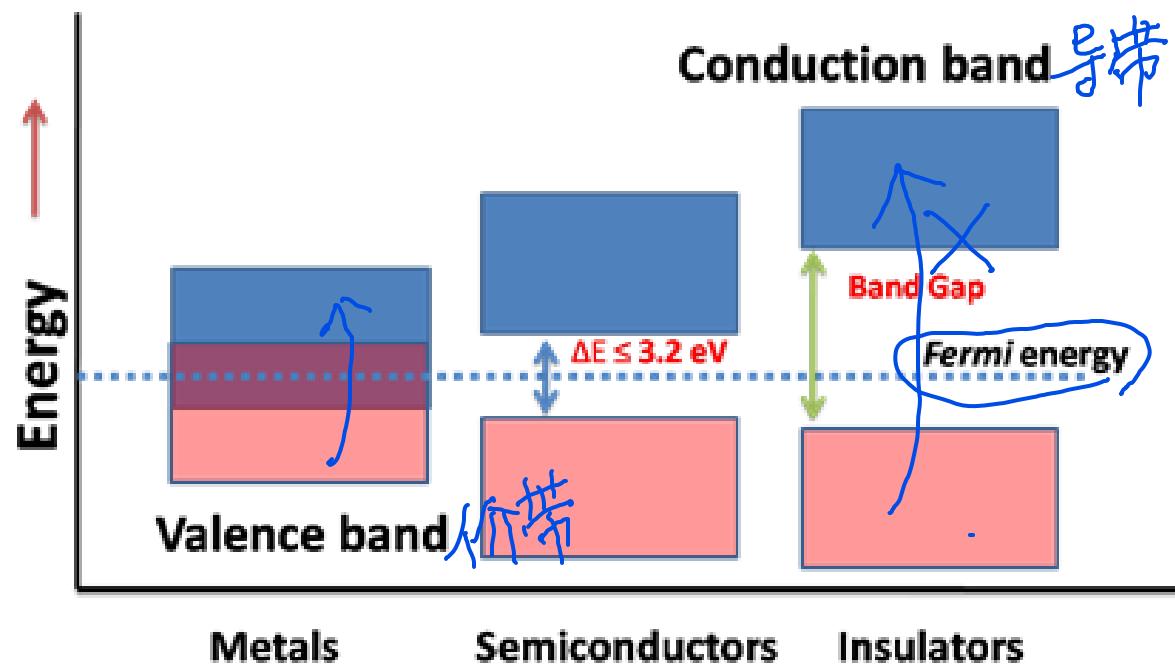
Group → 1 ↓ Period	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	H															He		
2	Li	Be																Ne
3	Na	Mg																Ar
4	K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
5	Rb	Sr	Y	Zr	41	Nb	42	Tc	44	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Xe
6	Cs	Ba		Hf	Ta	74	75	76	77	78	Pt	Au	Hg	Tl	Pb	Bi	Po	Rn
7	Fr	Ra		104	105	106	107	108	109	110	111	112	113	114	115	116	117	118
				Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Cn	Uut	Fl	Uup	Lv	Uus	Uuo
				57	58	59	60	61	62	63	64	65	66	67	68	69	70	71
				La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
				89	90	91	92	93	94	95	96	97	98	99	100	101	102	103
				Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

Key      Metals      Nonmetals      Metalloids

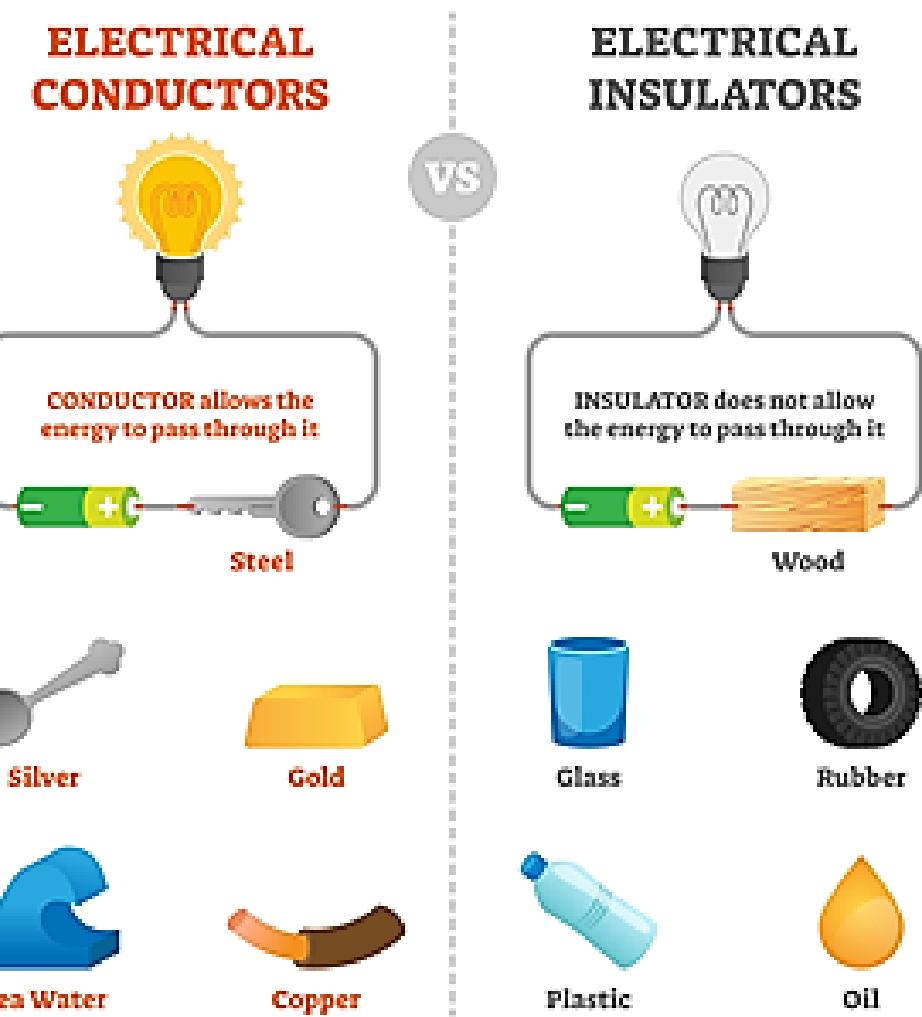
(Pictures are from the Internet)

# Conductor and insulator

- Physical insight



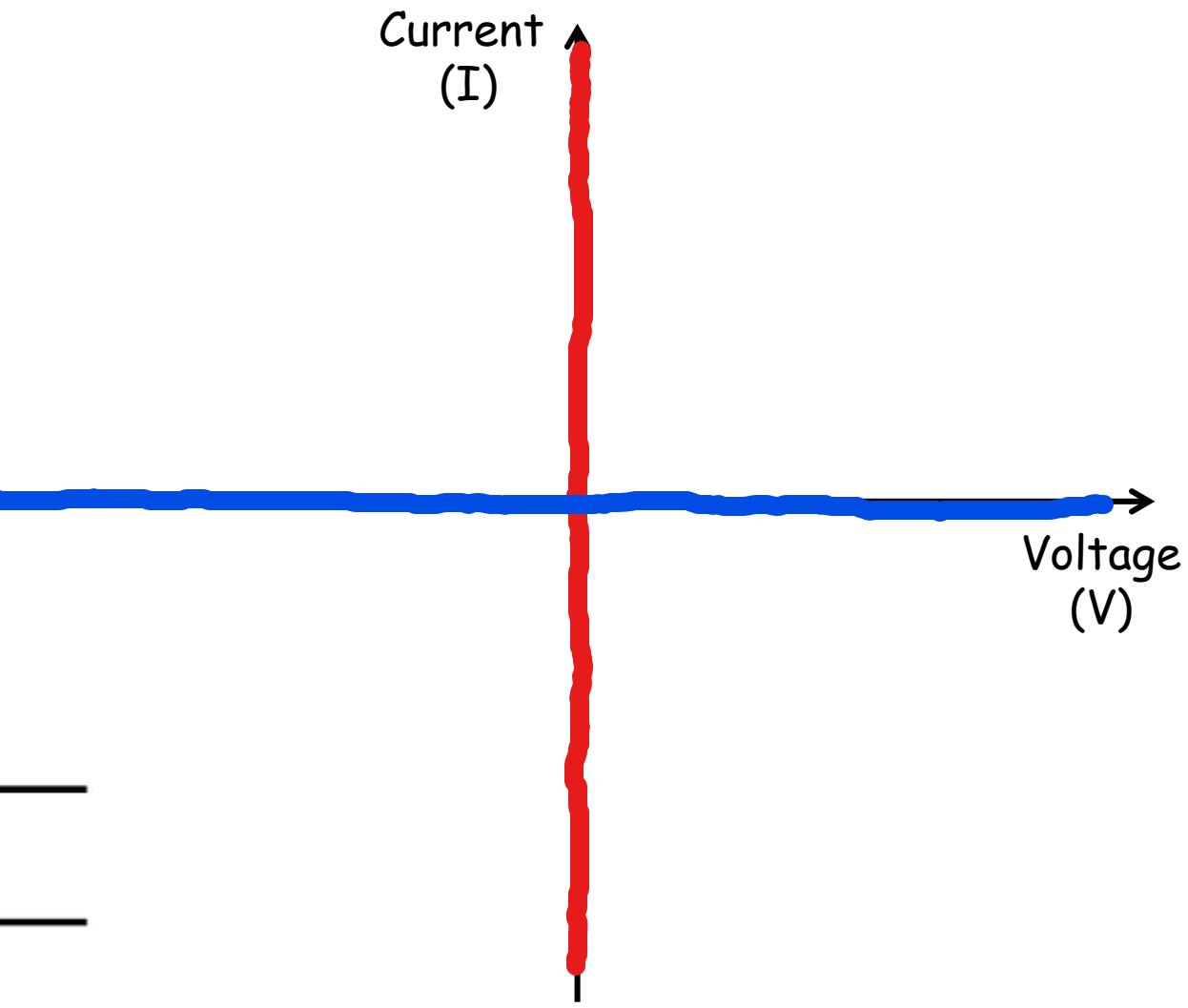
- Everyday life experience



(Pictures are from the Internet)

# The electrical characteristic of a switch

- The I-V characteristics?

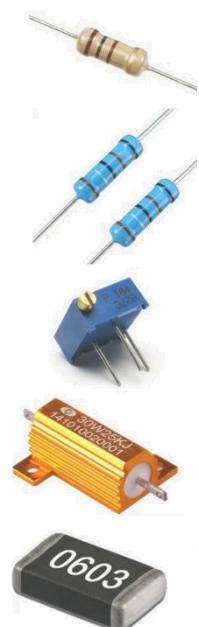
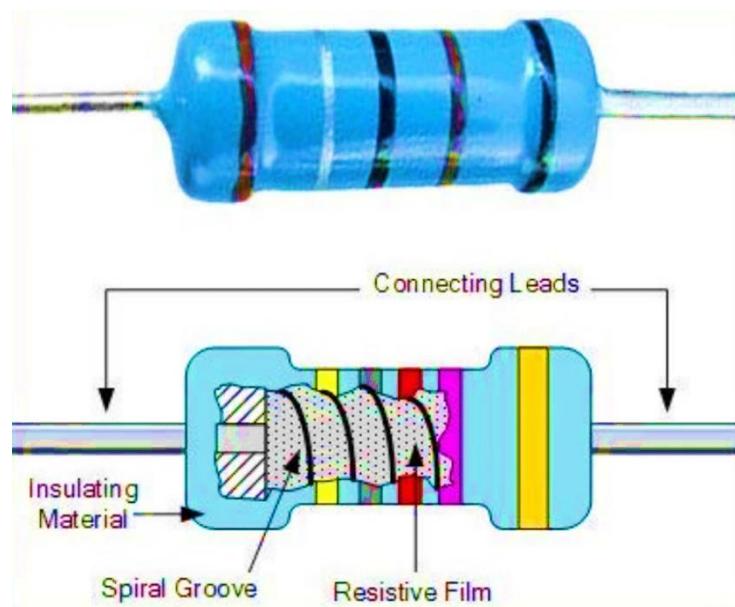


- OFF (an insulator)  
Open
- ON (a conductor)  
Closed

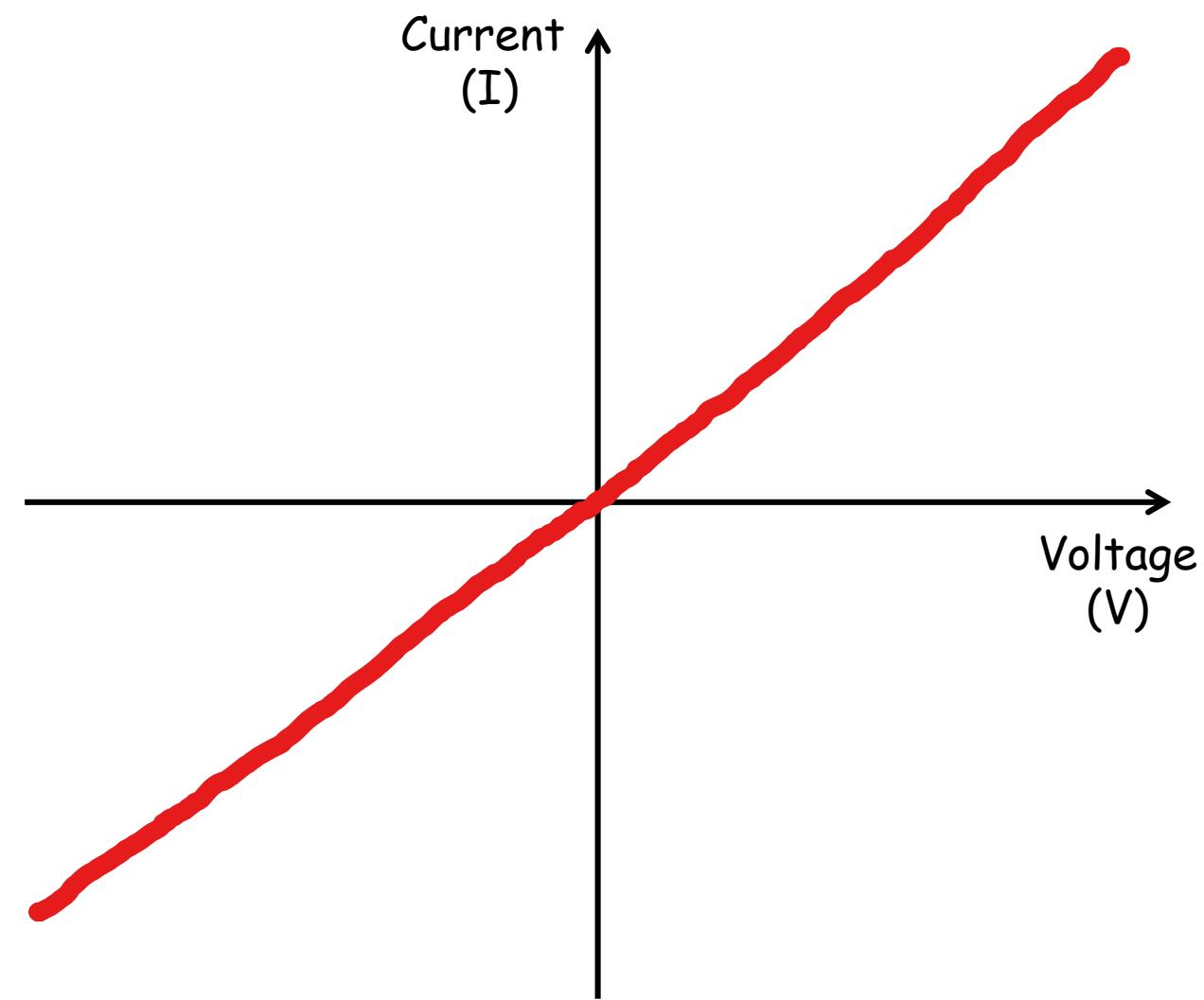
(Pictures are from the Internet)

# The electrical characteristic of a resistor

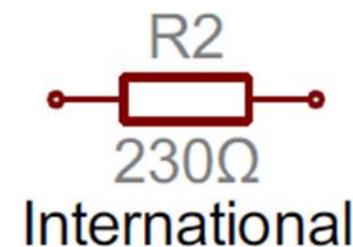
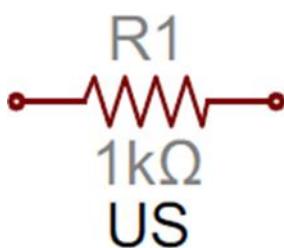
- Practical resistors



- The I-V characteristics?



- Symbol



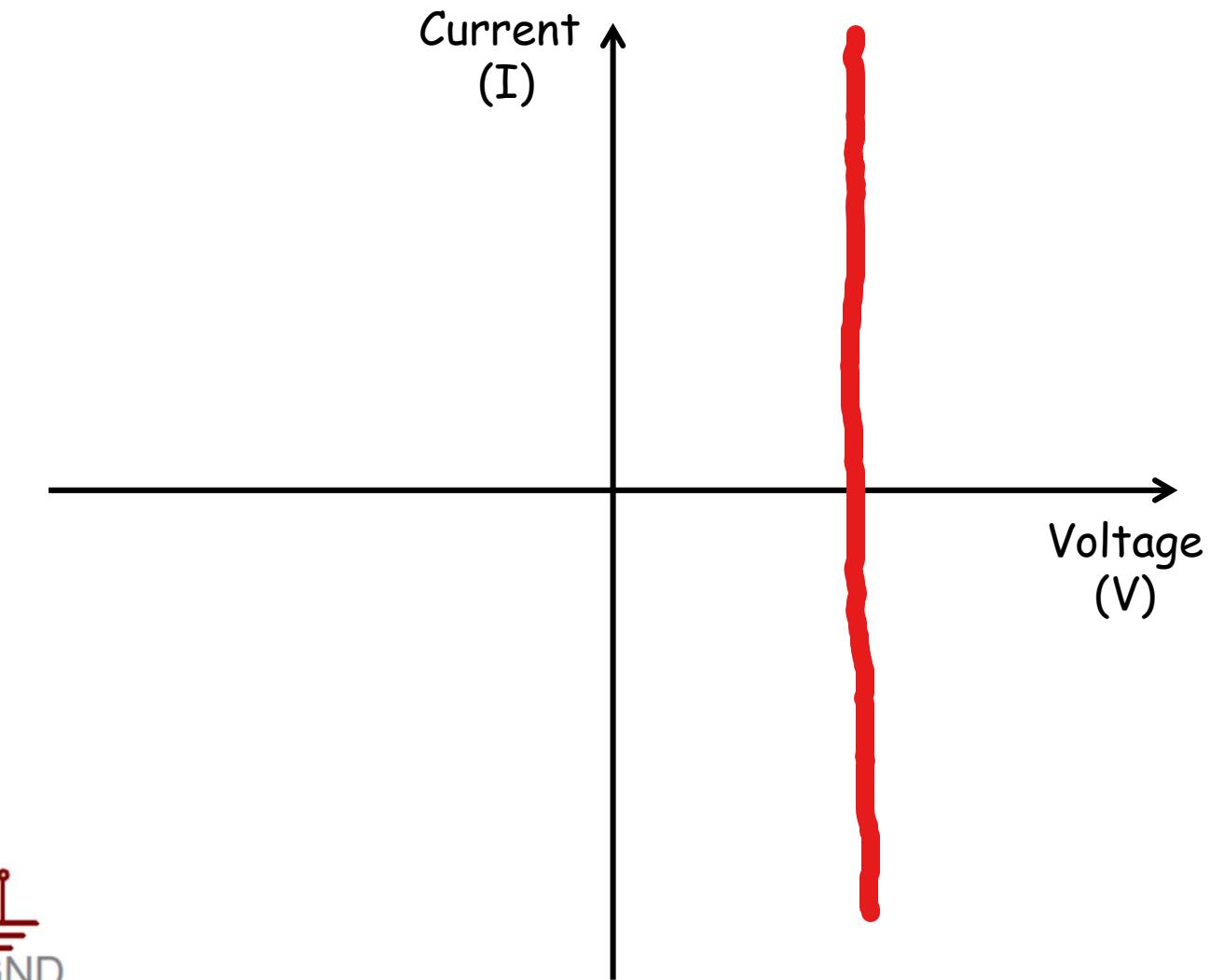
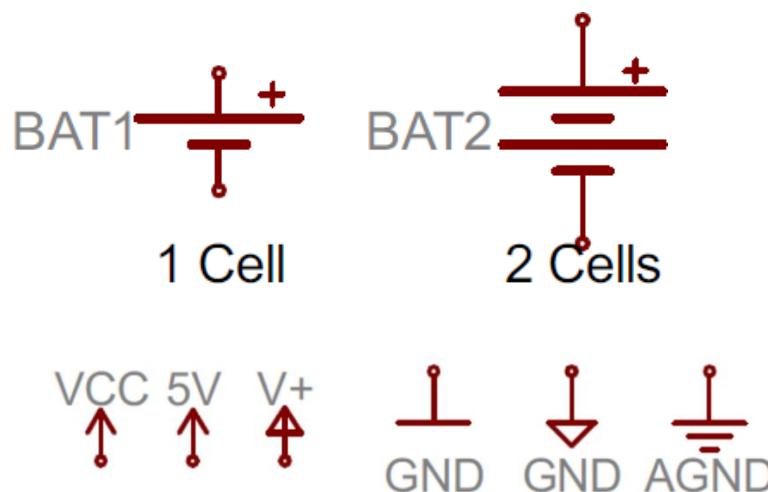
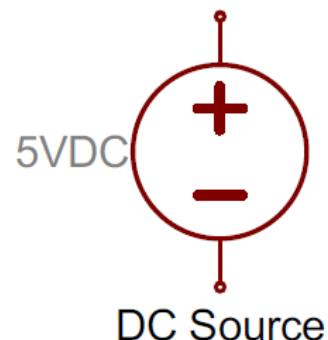
(Pictures are from the Internet)

# The electrical characteristic of a dc voltage source

- Practical voltage (power) supply
- The I-V characteristics?



- Symbol



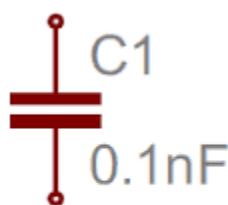
(Pictures are from the Internet)

# The electrical characteristic of a capacitor

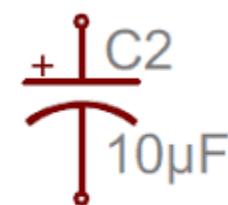
- Practical capacitors  $V = \frac{1}{C} \int i dt$
- The I-V characteristics?



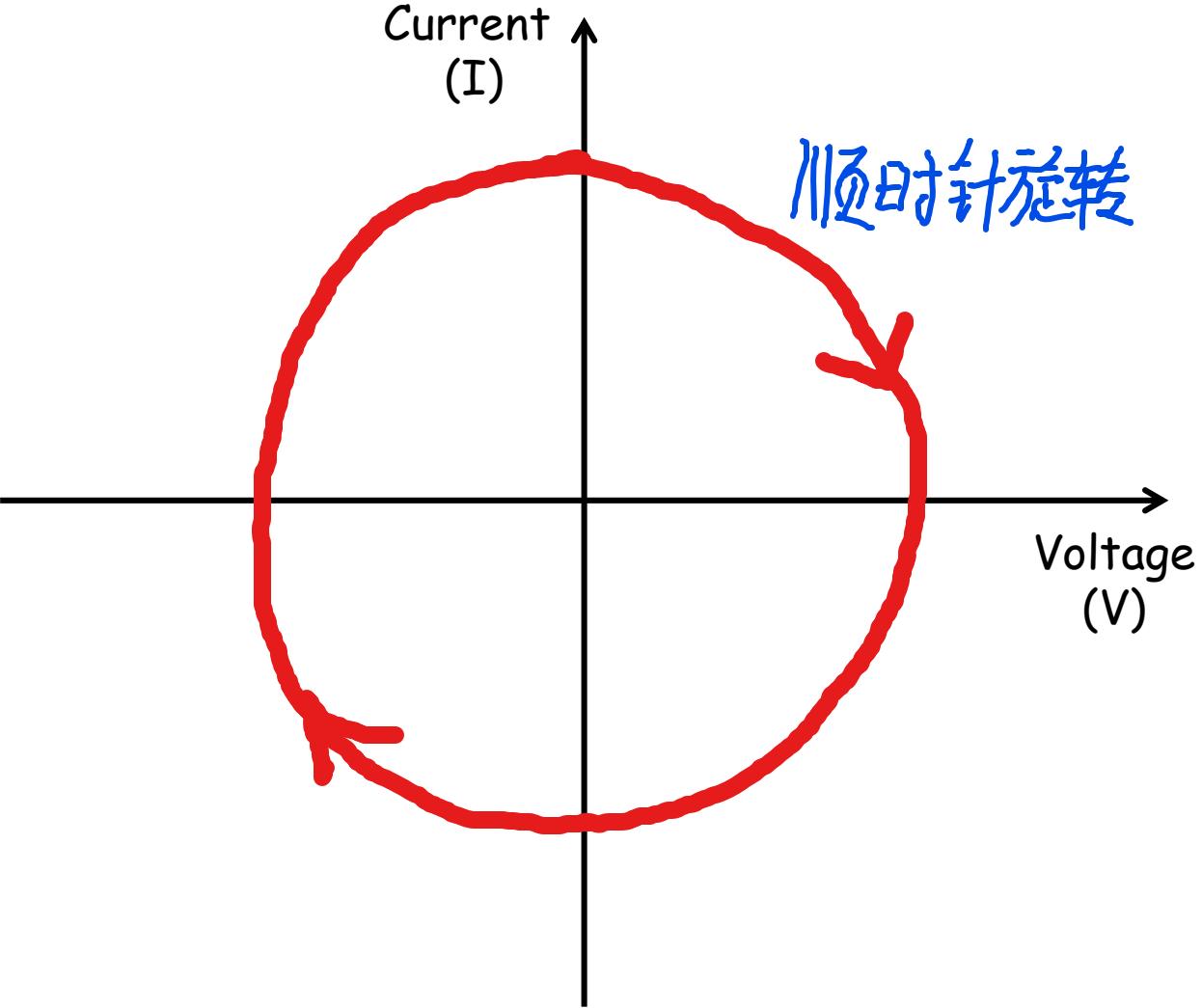
- Symbol



Non-polarized



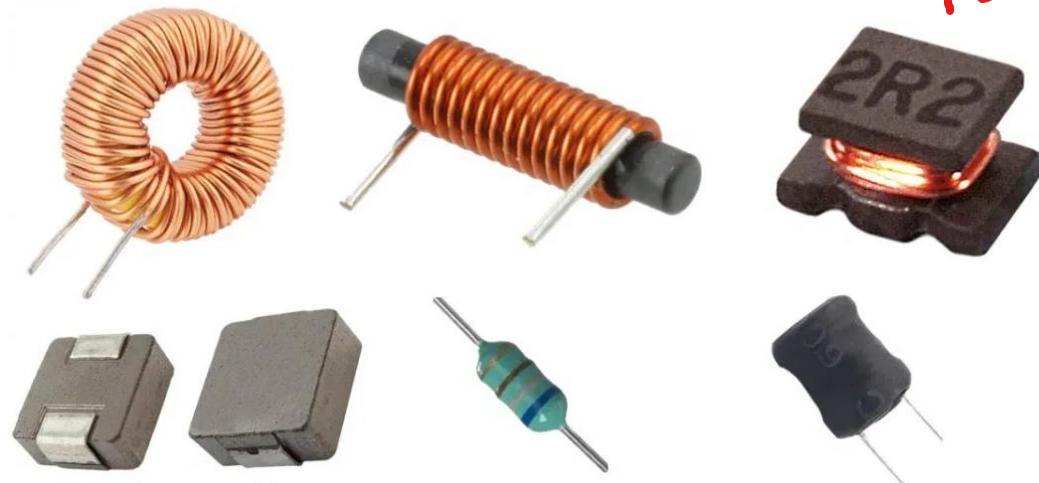
Polarized



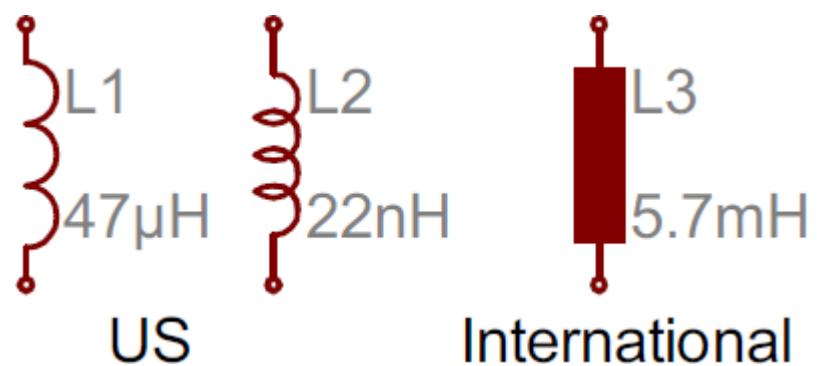
(Pictures are from the Internet)

# The electrical characteristic of a inductor

- Practical inductors  $V = L \frac{di}{dt}$

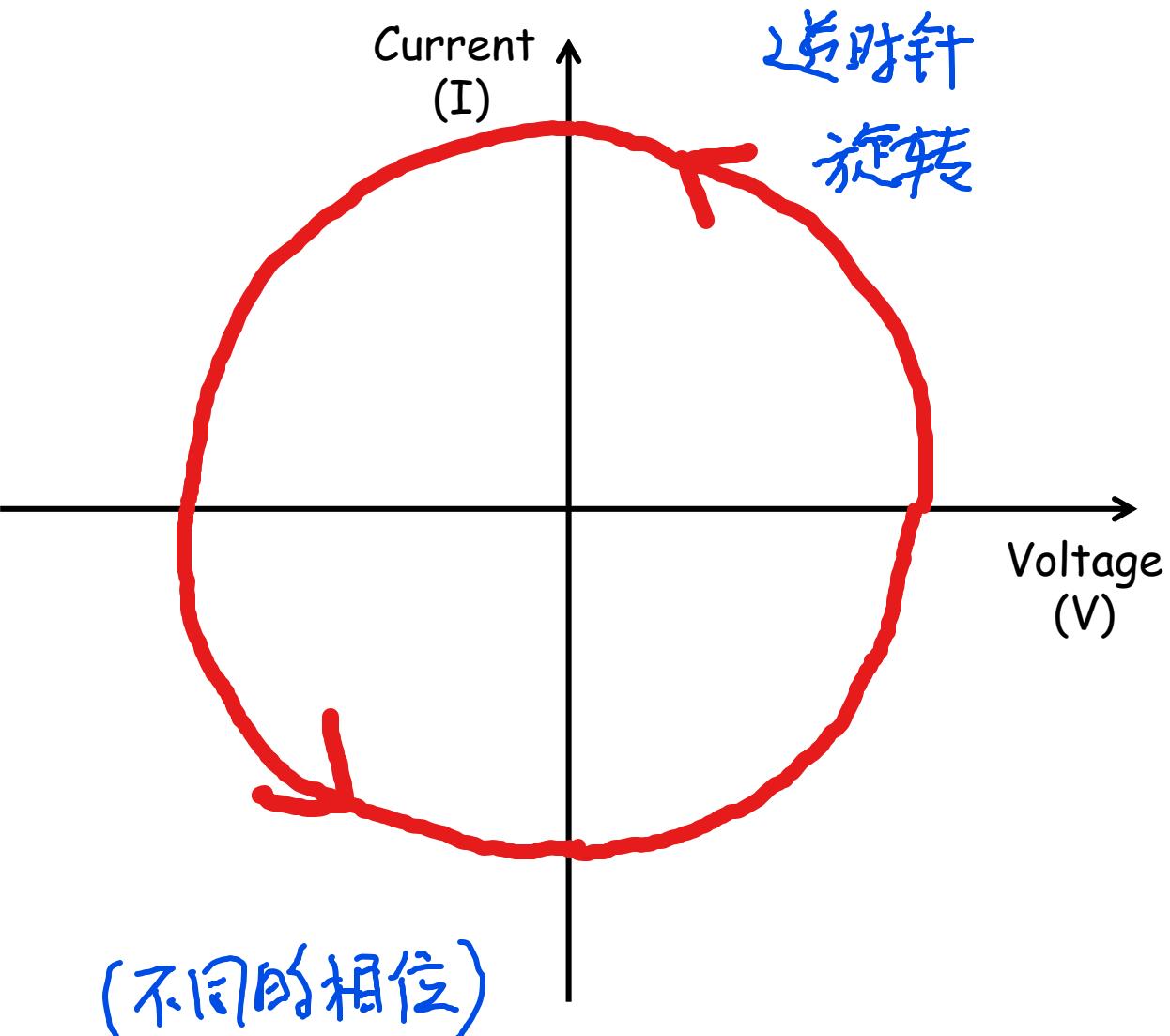


- Symbol

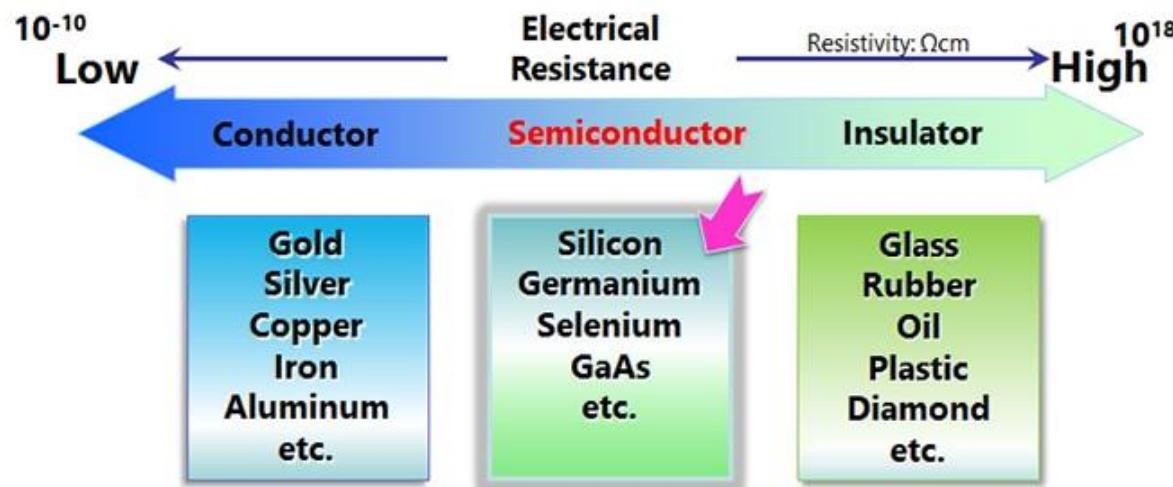
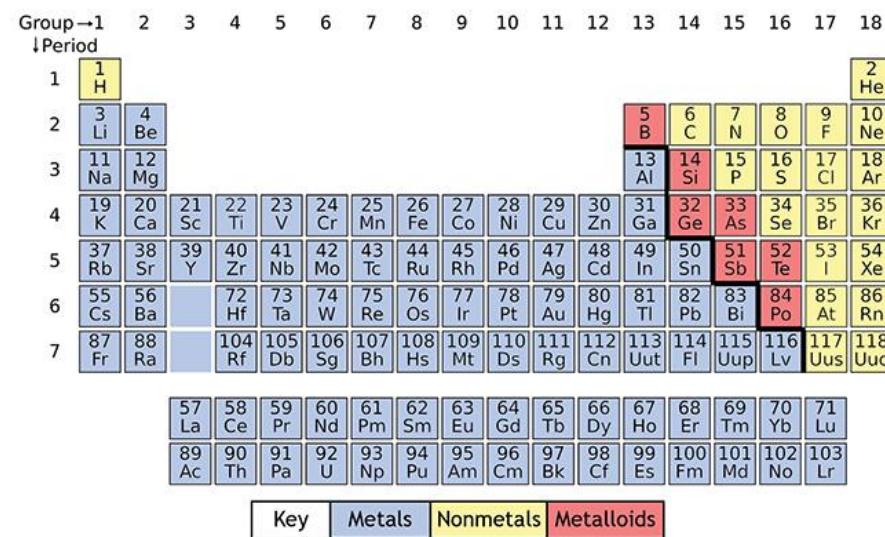


(Pictures are from the Internet)

- The I-V characteristics?

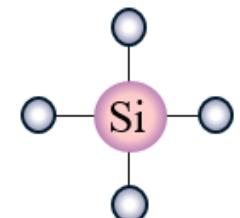


# Semiconductor

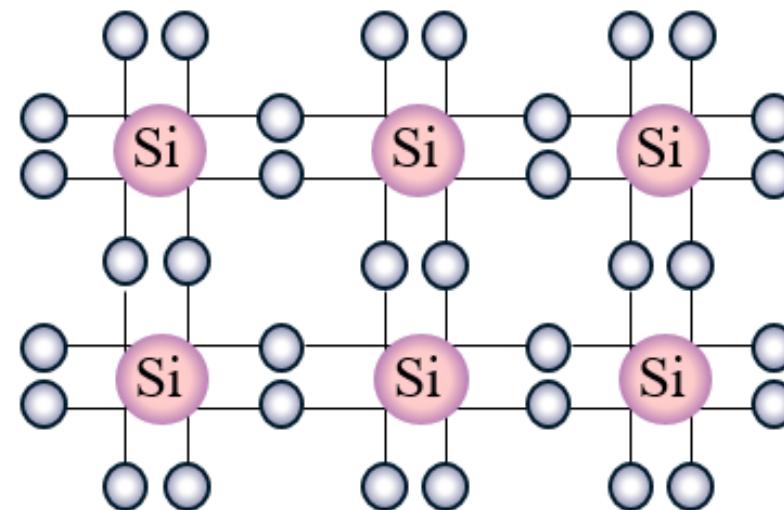
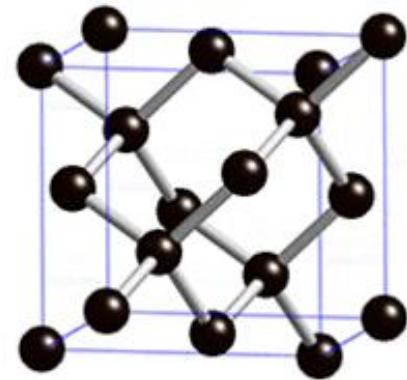


## • Silicon crystal 硅晶体

Si: Atomic number 14



Crystallization

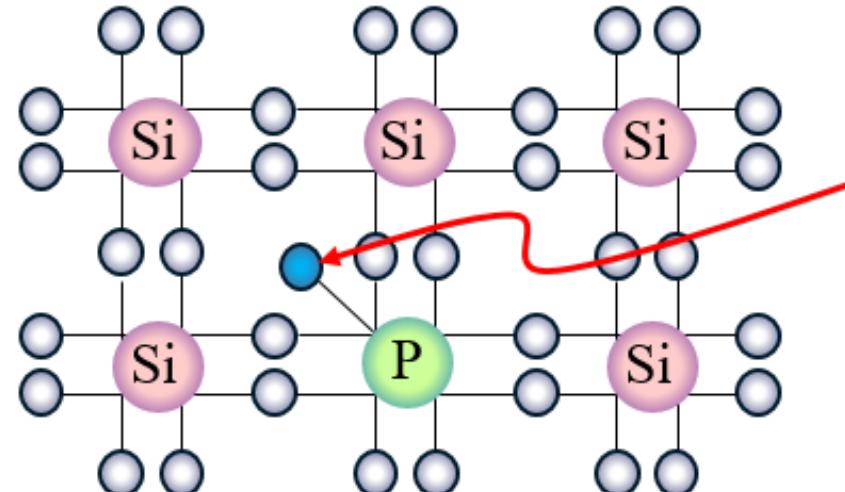


(Pictures are from the Internet)

## Negative ( $e^-$ ) N-type semiconductor

- Some of the silicon atoms are replaced with P (phosphorus) 磷

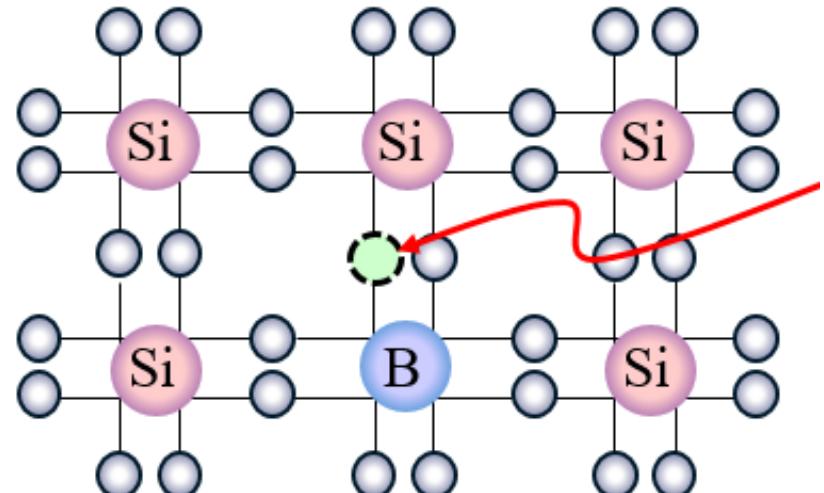
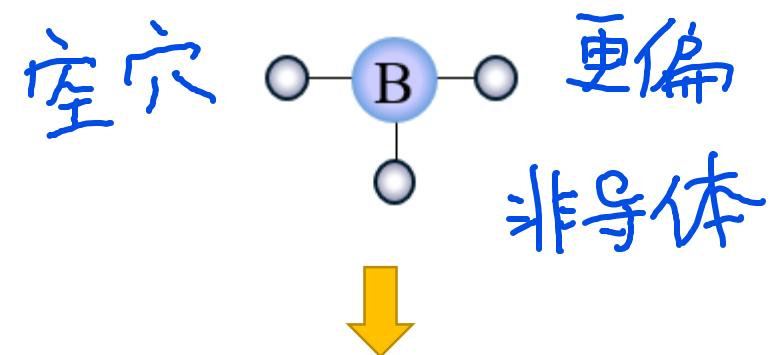
自由电子  
为载流子



(Pictures are from the Internet)

## After doping 换杂 P-type semiconductor Positive

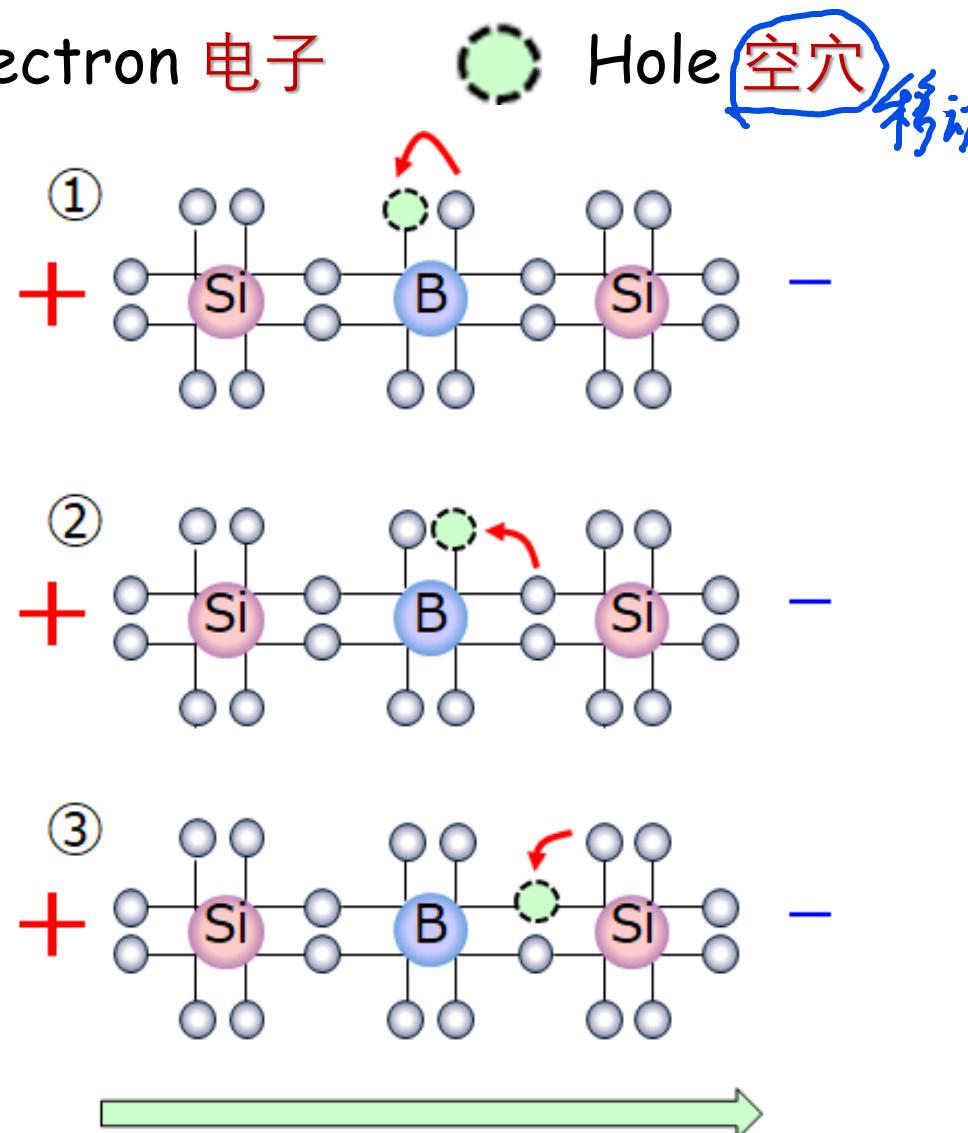
- Some of the silicon atoms are replaced with B (boron) 硼



# How current flows in p-type semiconductors

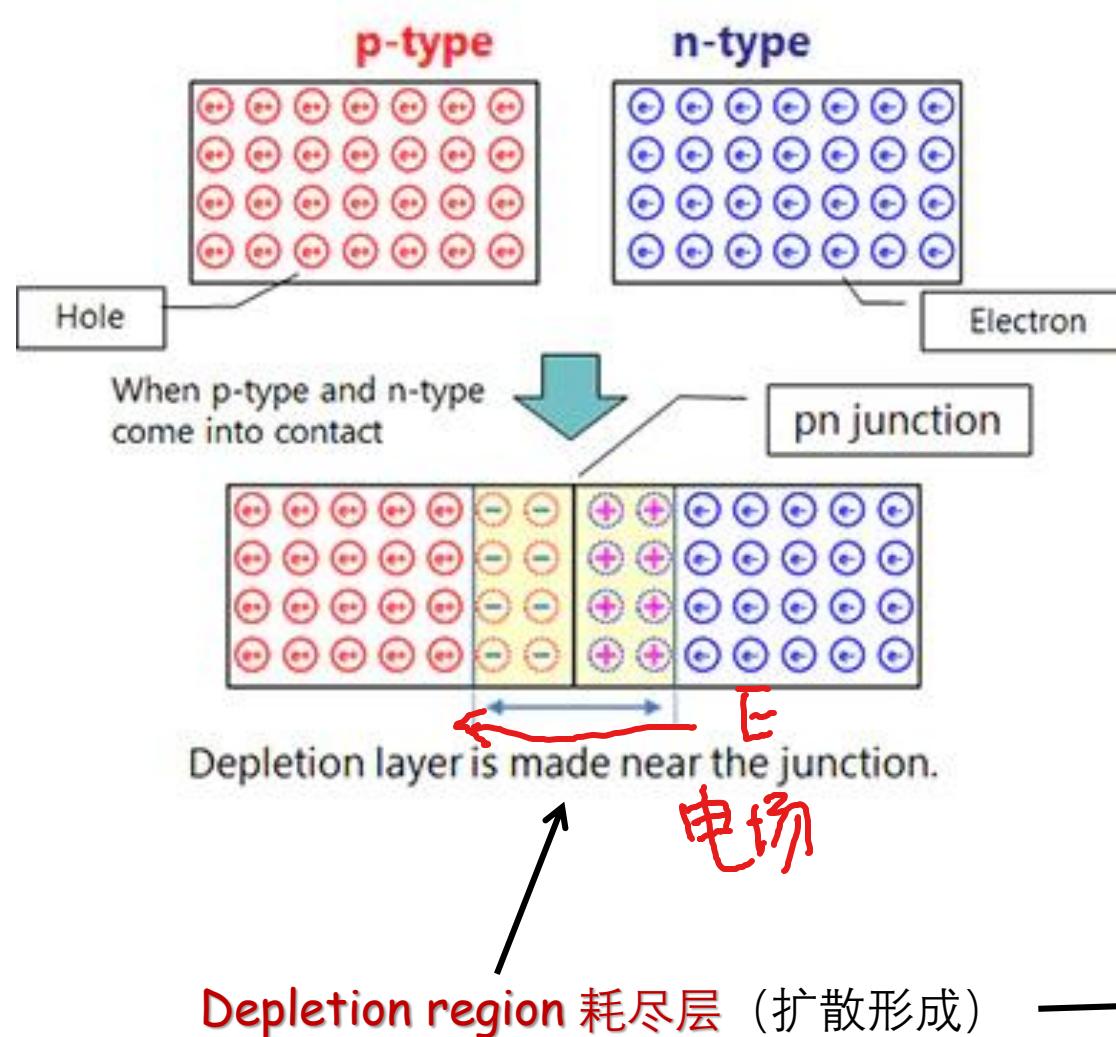
Electron 电子

Hole 空穴 移动



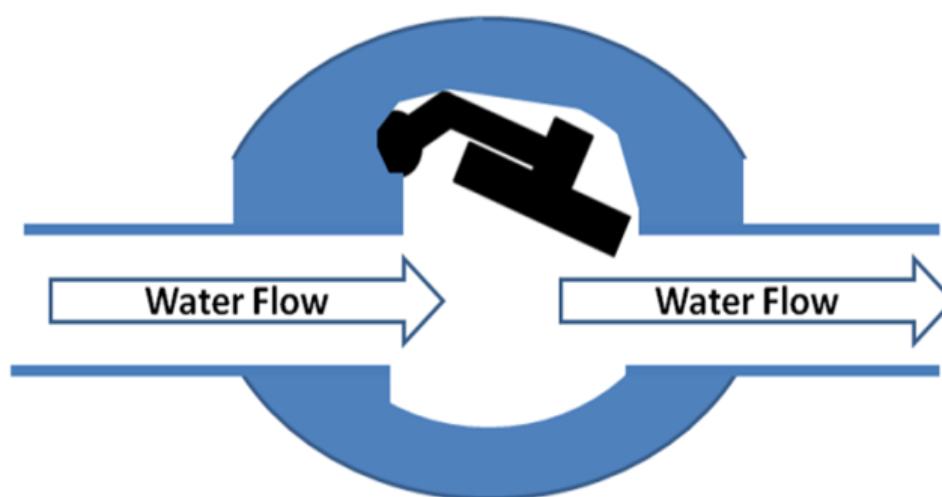
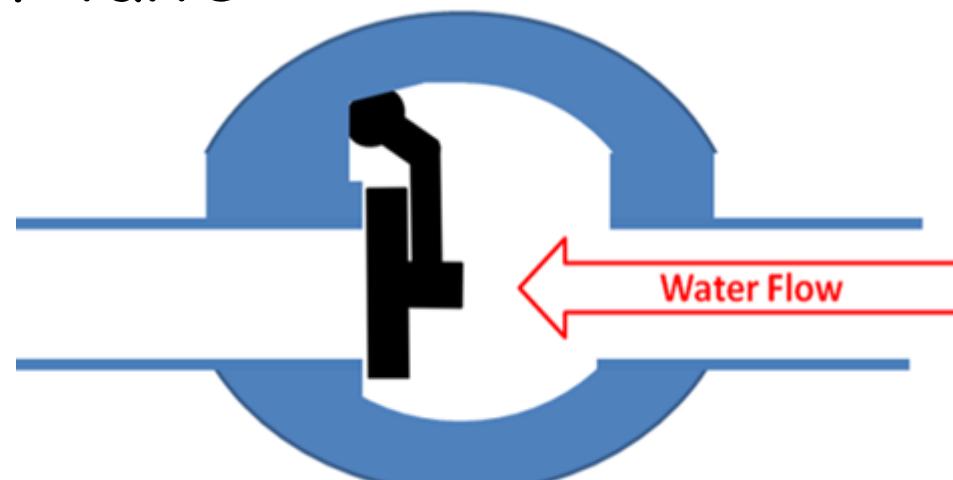
1. Electrons are drawn to the positive (+) pole 正极 and move to close holes.
2. Then, as the electrons move to vacant holes, new holes are created and then the adjacent electrons move to the new vacant holes again.
3. As this process is repeated, electrons move toward the positive (+) pole, and at the same time, the holes appear to move toward the negative (-) pole 负极.
4. Only electrons are actually moving, but the holes can be considered as having positively charged particles.

# When p-type meets n-type

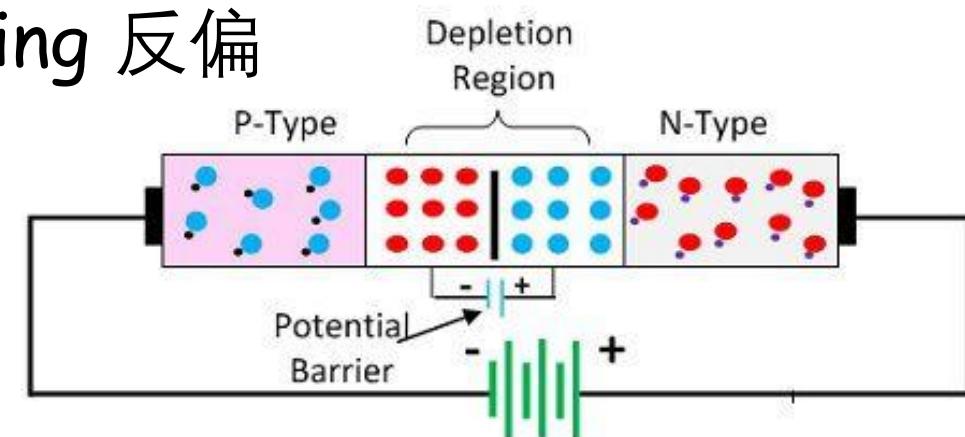


# Uni-directional flow

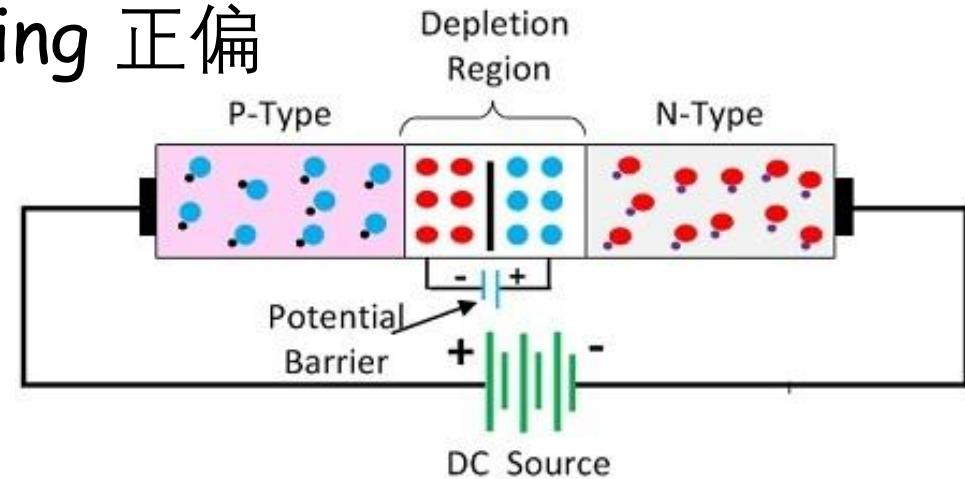
- Flapper valve



- Reversed biasing 反偏



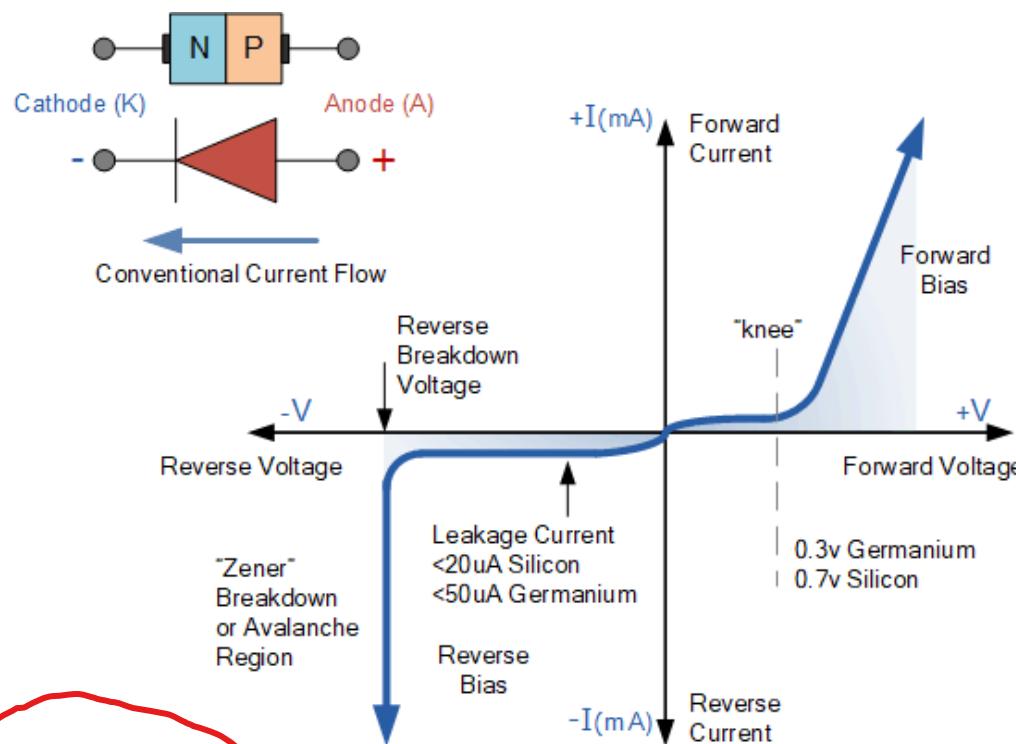
- Forwarded biasing 正偏



Holes     ●     Electrons     ●  
Free Electrons     •     Free Holes     •

# Diode characteristic

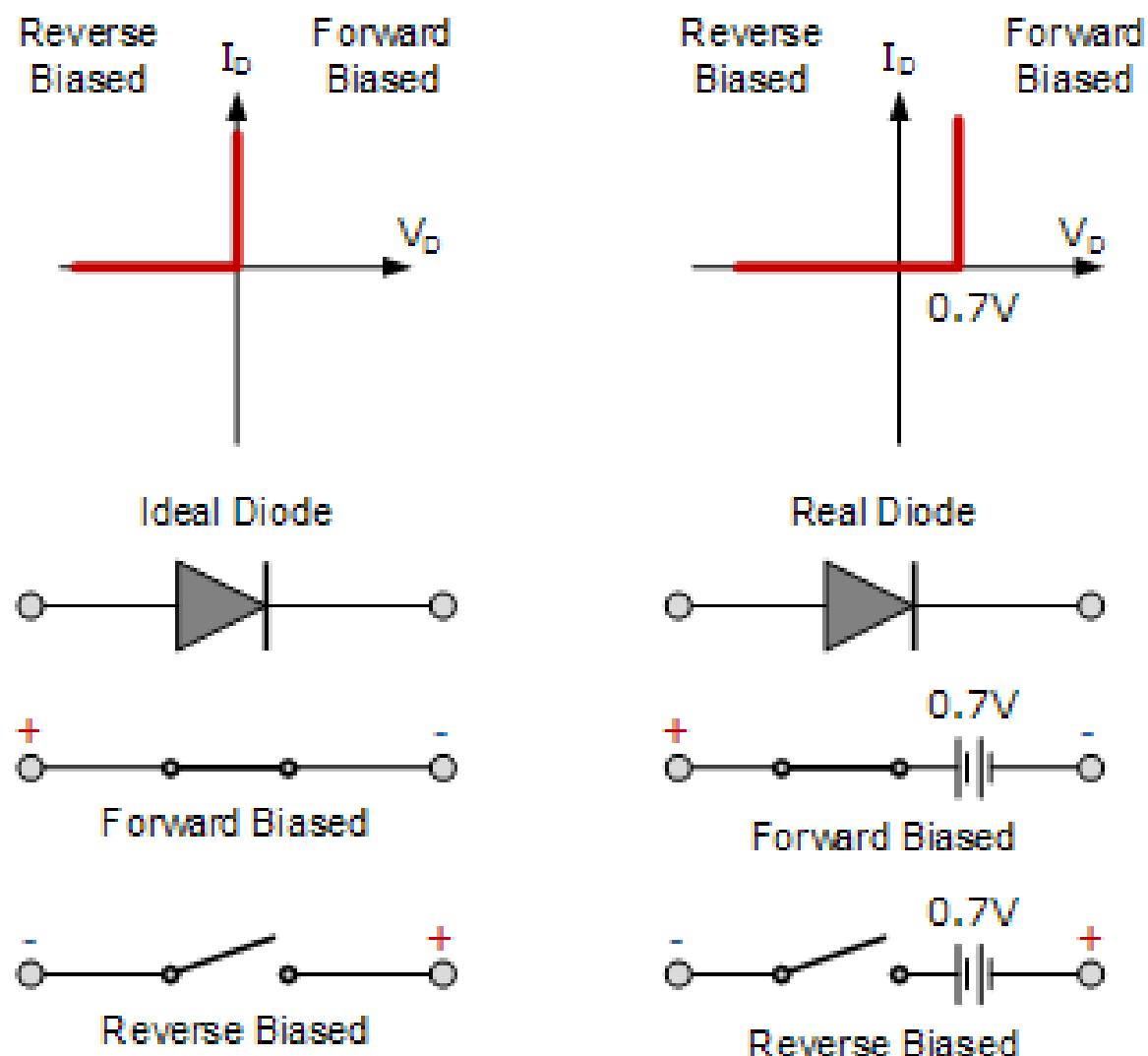
- Solid-state devices 固态器件



- Shockley diode equation

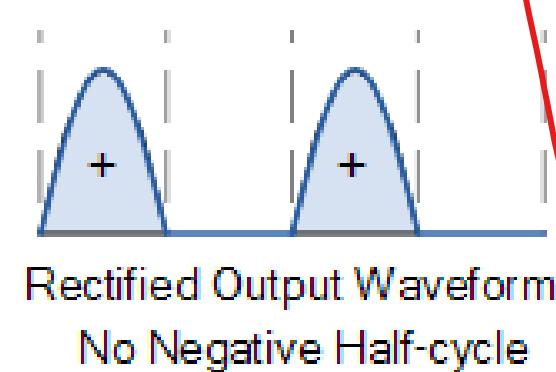
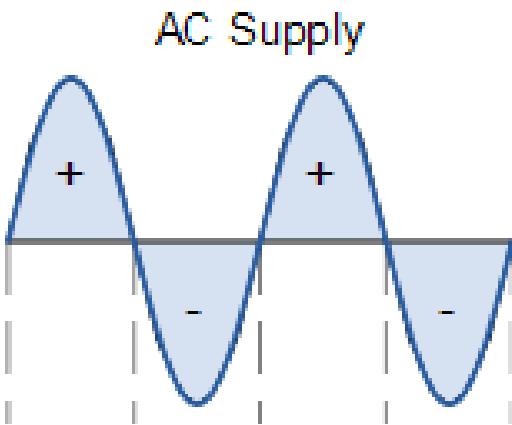
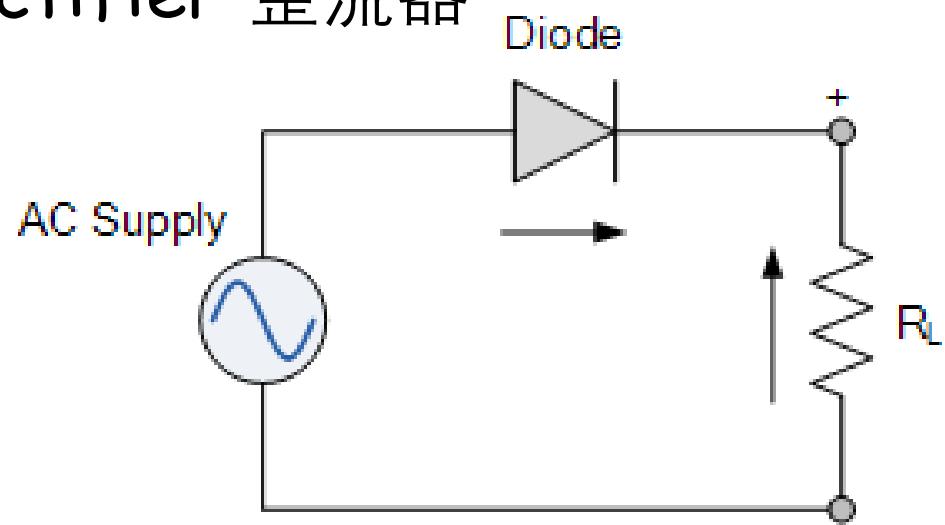
$$I = I_S \left[ e^{\left( \frac{V_d}{nV_T} \right)} - 1 \right]$$

- Simplified models

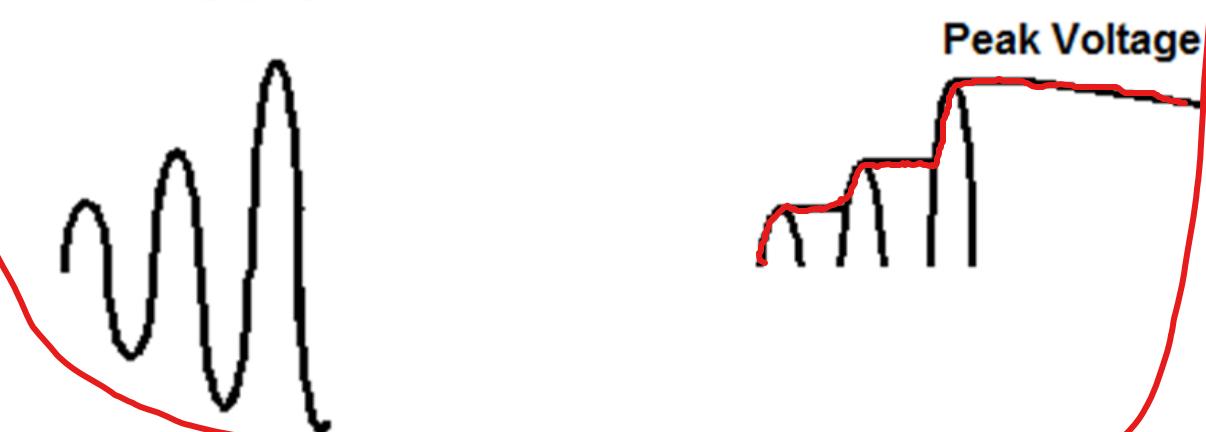
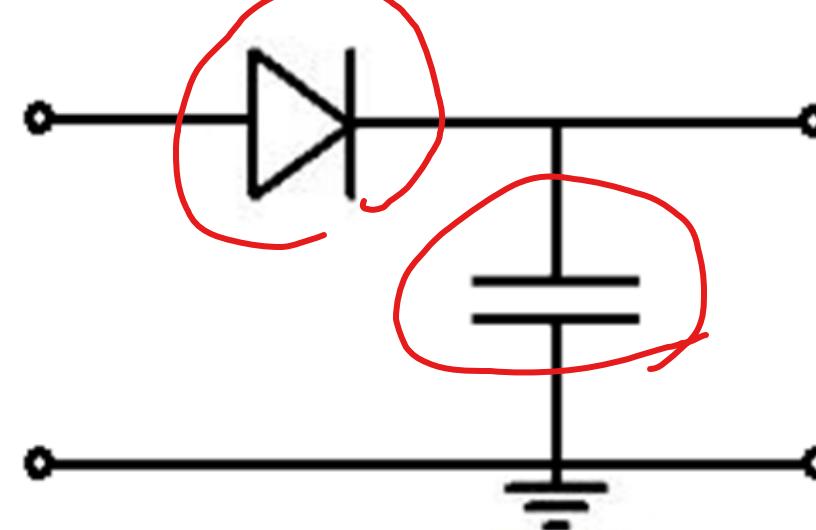


# Diode circuits

- Rectifier 整流器

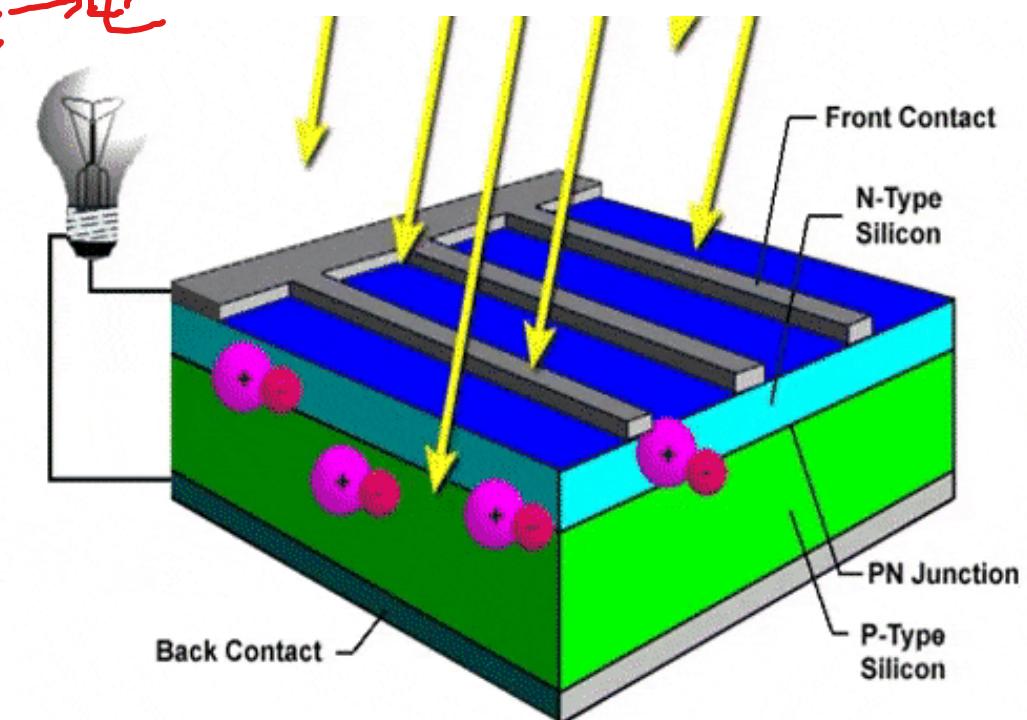
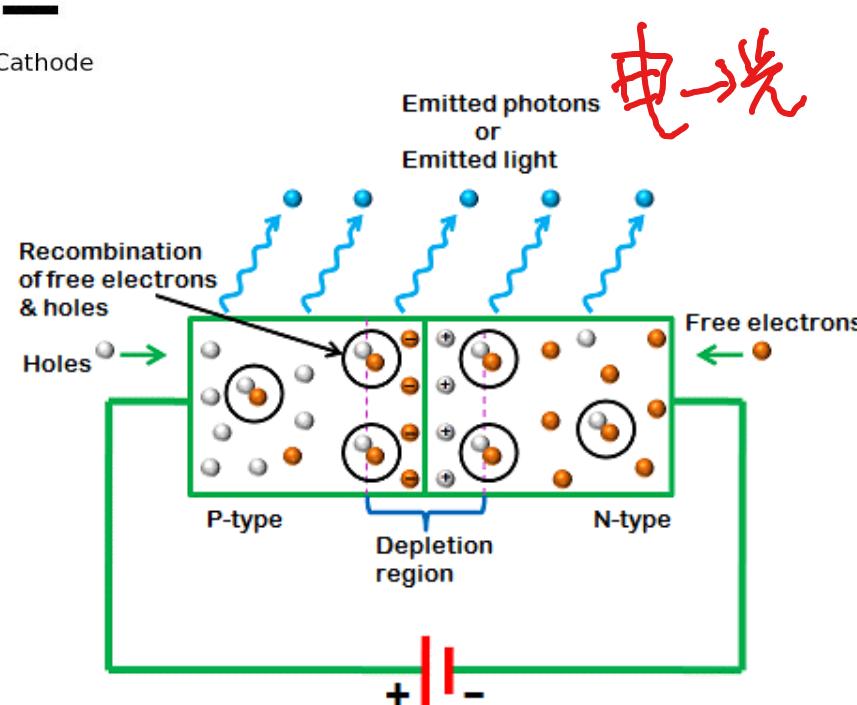
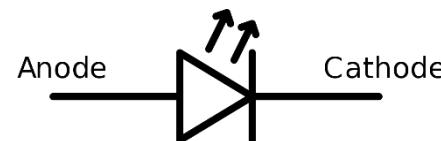
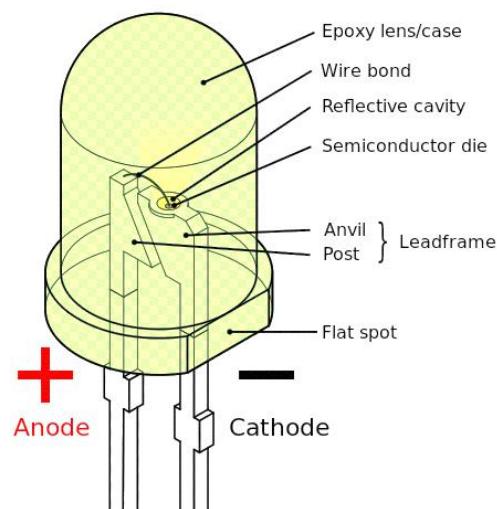


- Peak detector 峰值检测



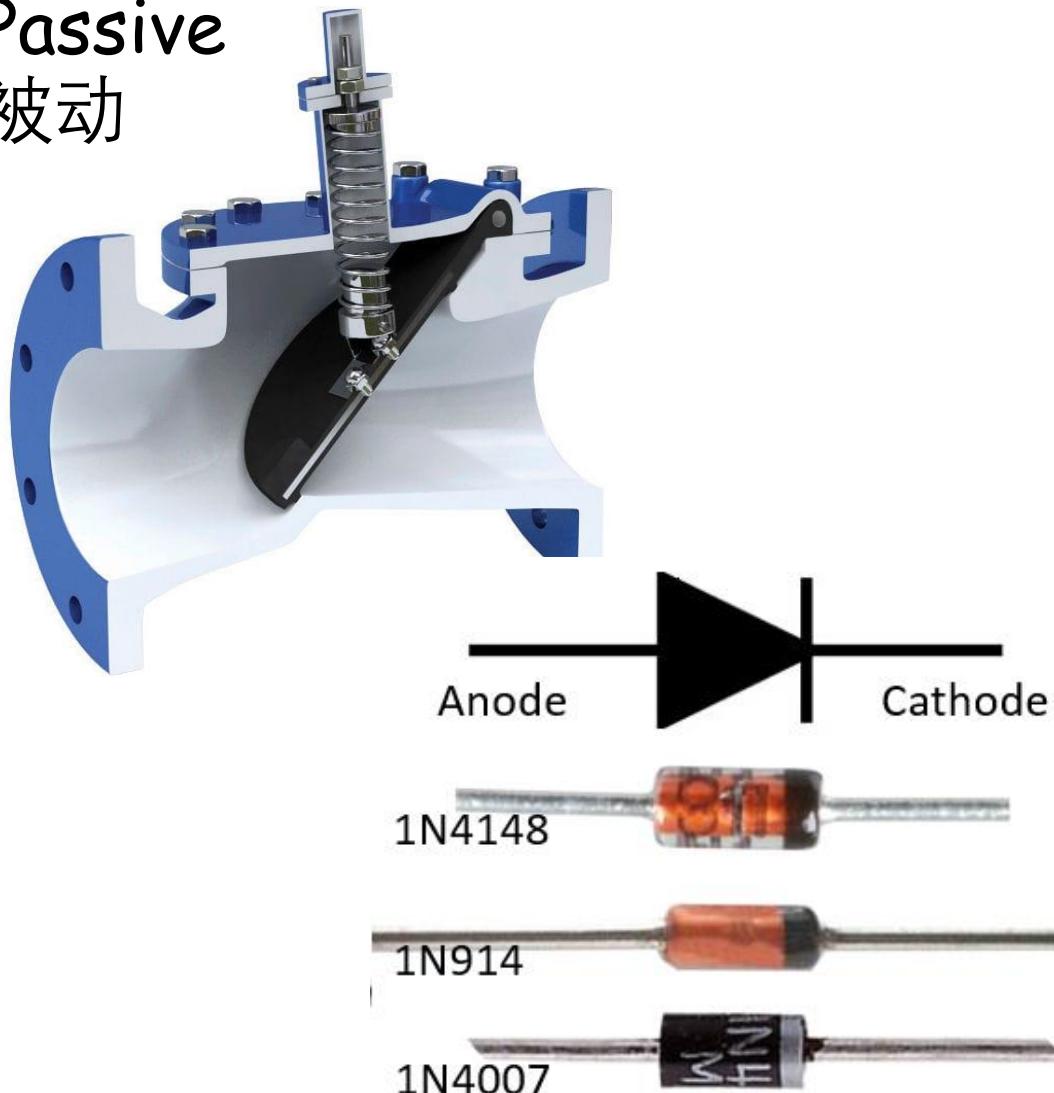
# Other applications of PN junction

- LED (light emitting diode)
- Solar PV (photovoltaics)



# Passive and active switches

- Passive  
被动



- Active  
主动

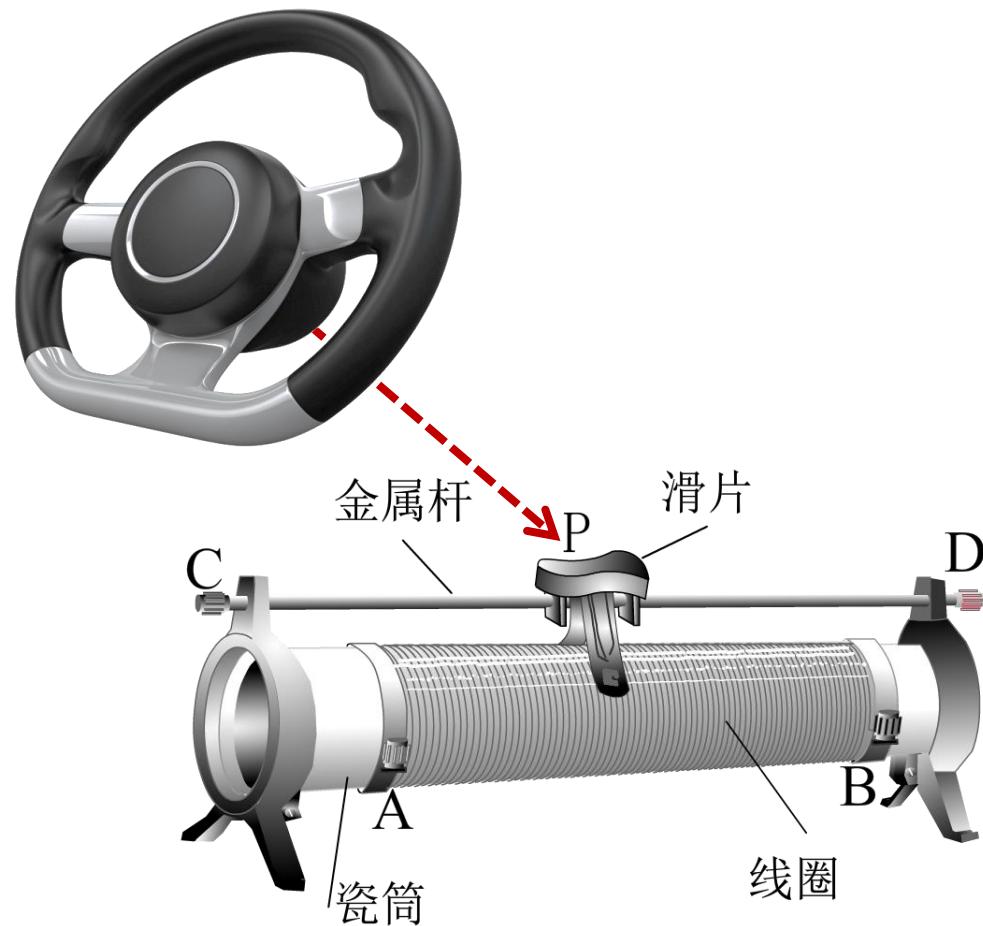


Electronic  
counterpart?

# History of transistors

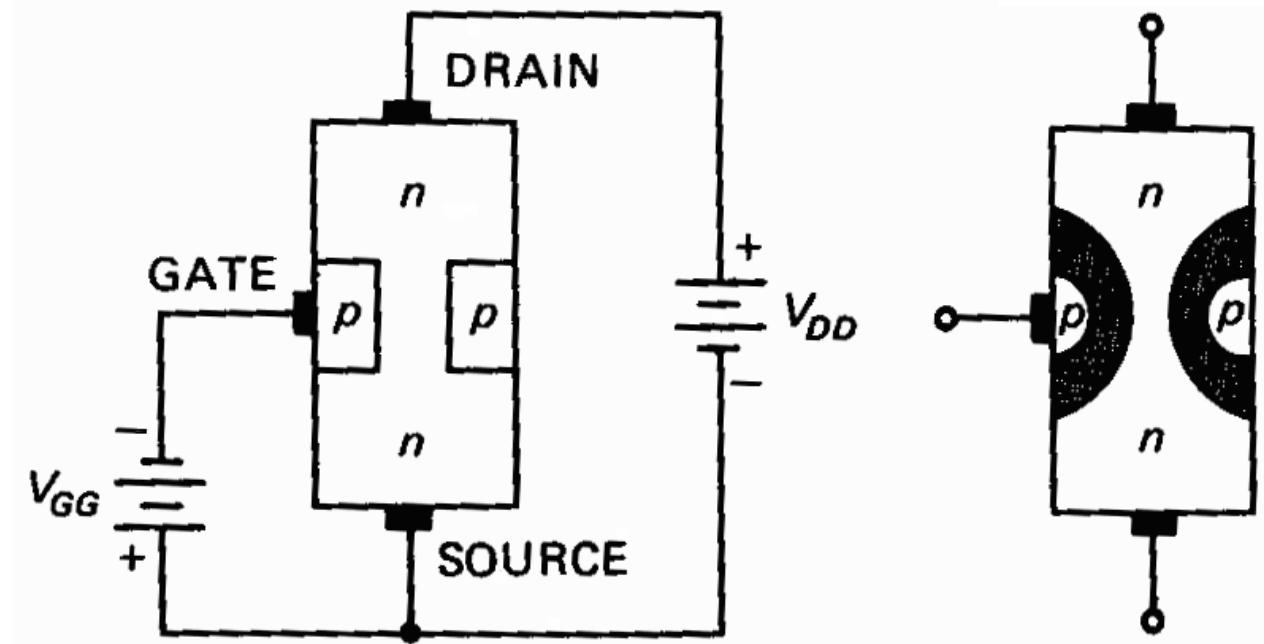
- Transistor (晶体管)

“transfer” 转换 + “resistor” 电阻



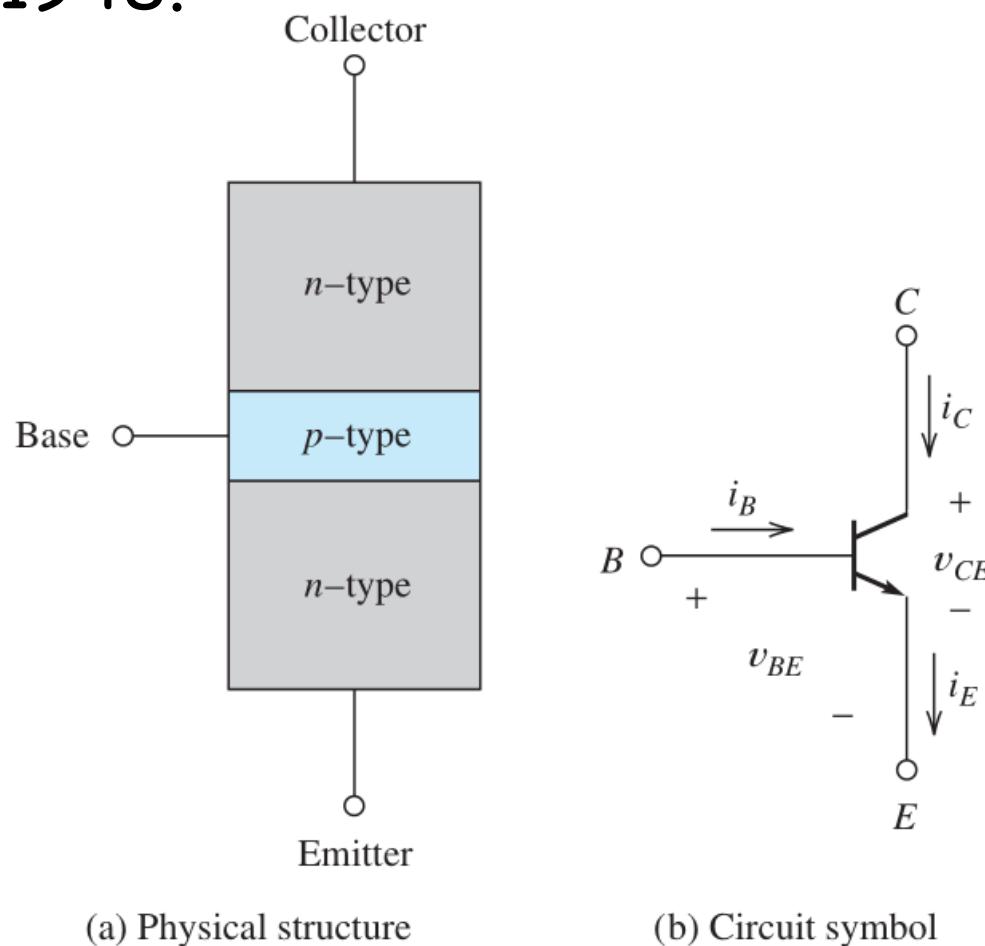
- Junction field-effect

① transistor (JFET) 结型场效应晶体管, was first patented by Heinrich Welker in 1945

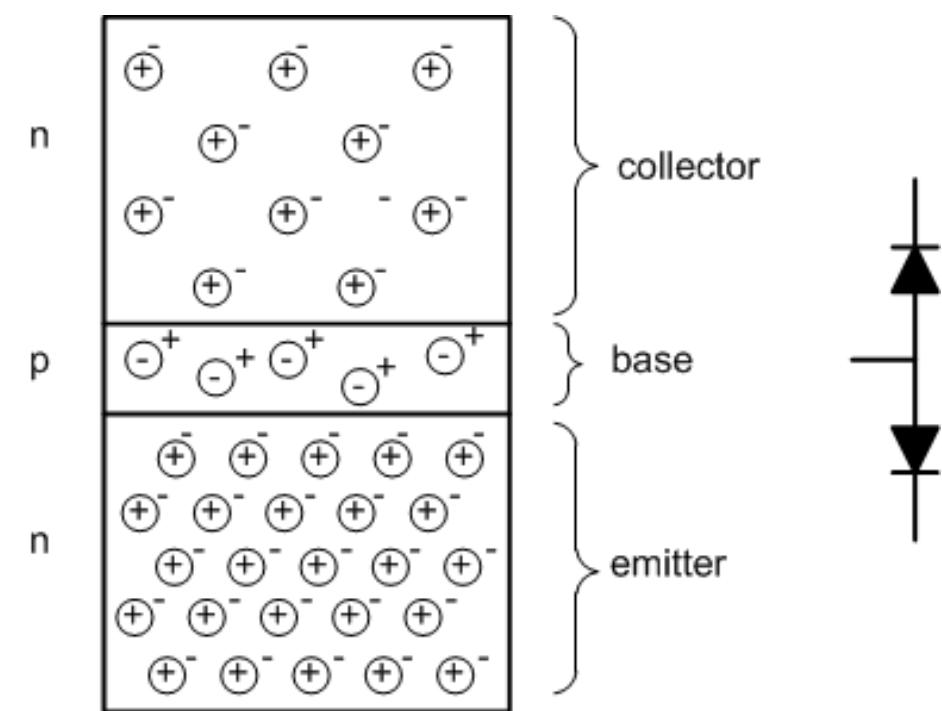


# History of transistors

- Shockley's **bipolar junction transistor (BJT)** 双极型晶体管 in 1948.



- By the mid-1950s, researchers had largely given up on the FET concept, and instead focused on bipolar junction transistor (BJT) technology



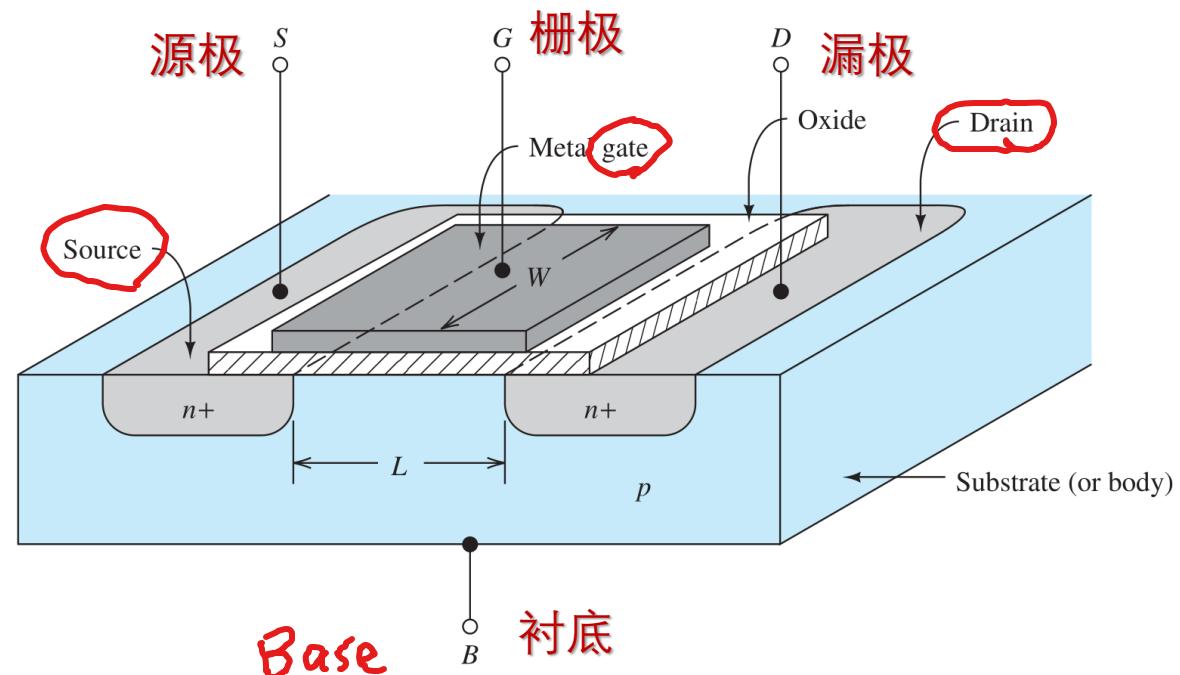
# History of transistors

(不经过 PN 结)

- The **metal-oxide-semiconductor field-effect transistor (MOSFET)** 金属氧化物半导体场效应晶体管 was invented by Mohamed Atalla and Dawon Kahng at Bell Lab in 1959.

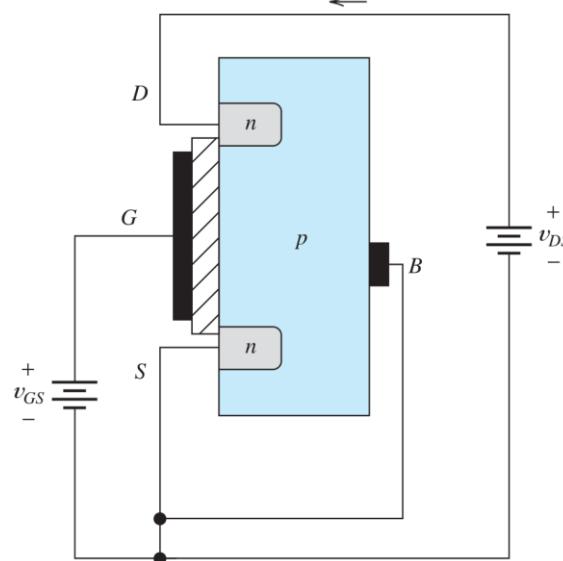
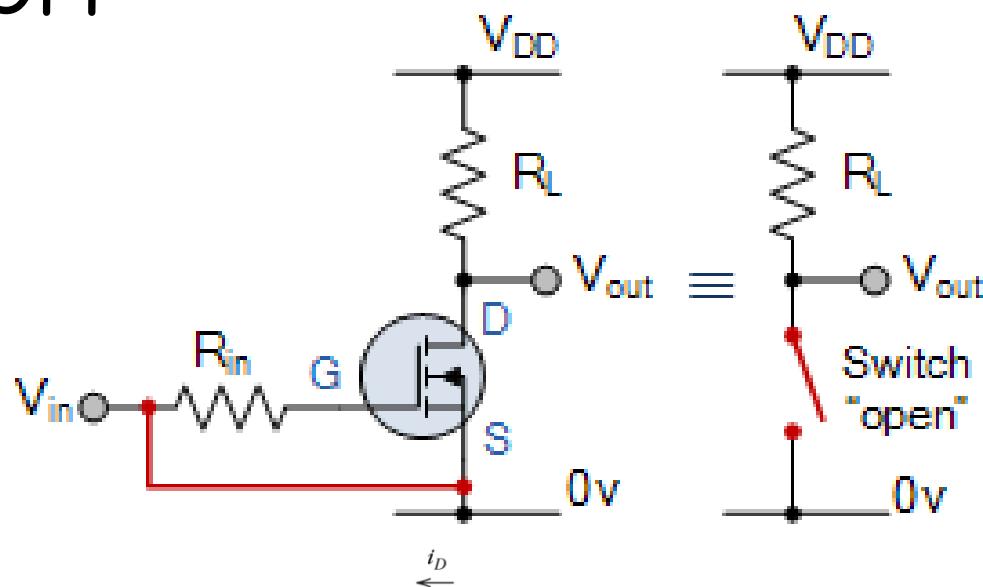


- high **scalability 小体积** 低功耗
- much **lower power consumption**
- higher density** than BJT
- possible to build high-density integrated circuits (ICs)

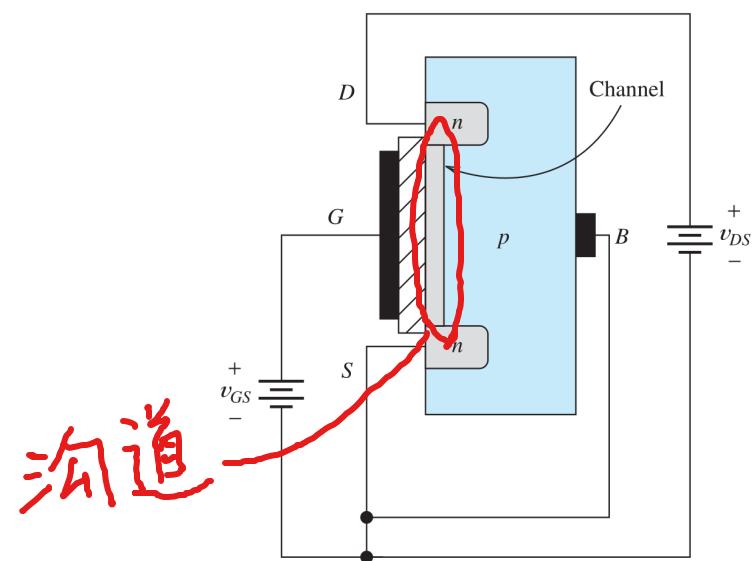
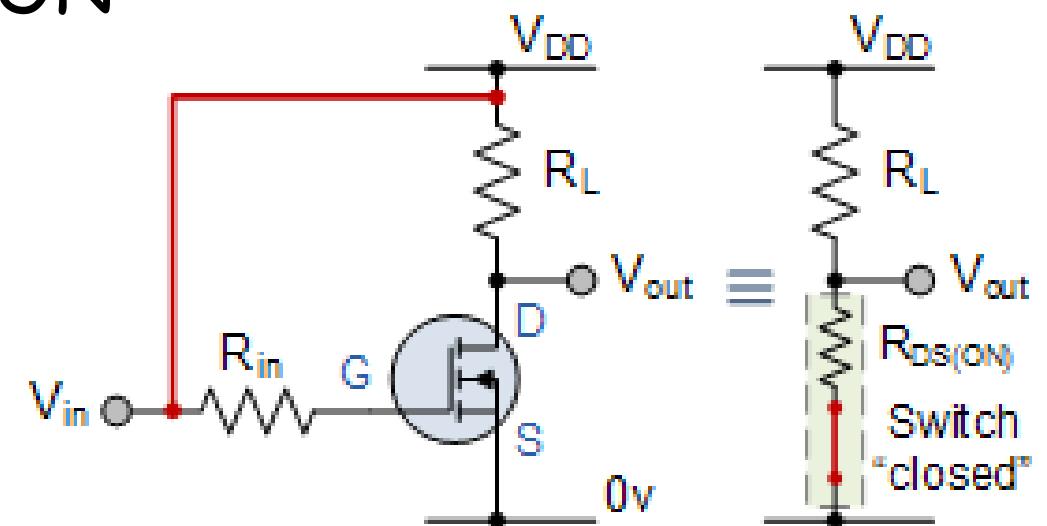


# MOSFET operation

- OFF

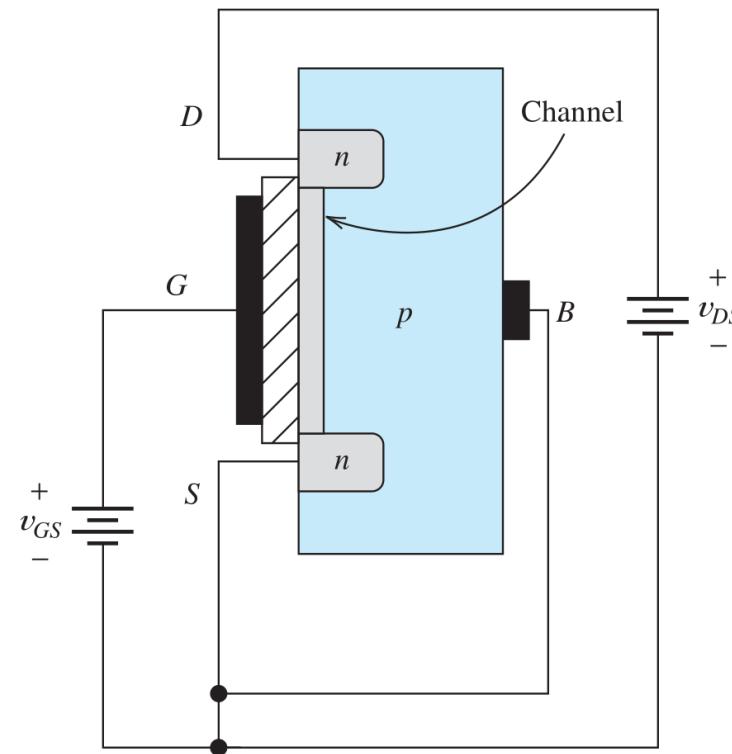


- ON

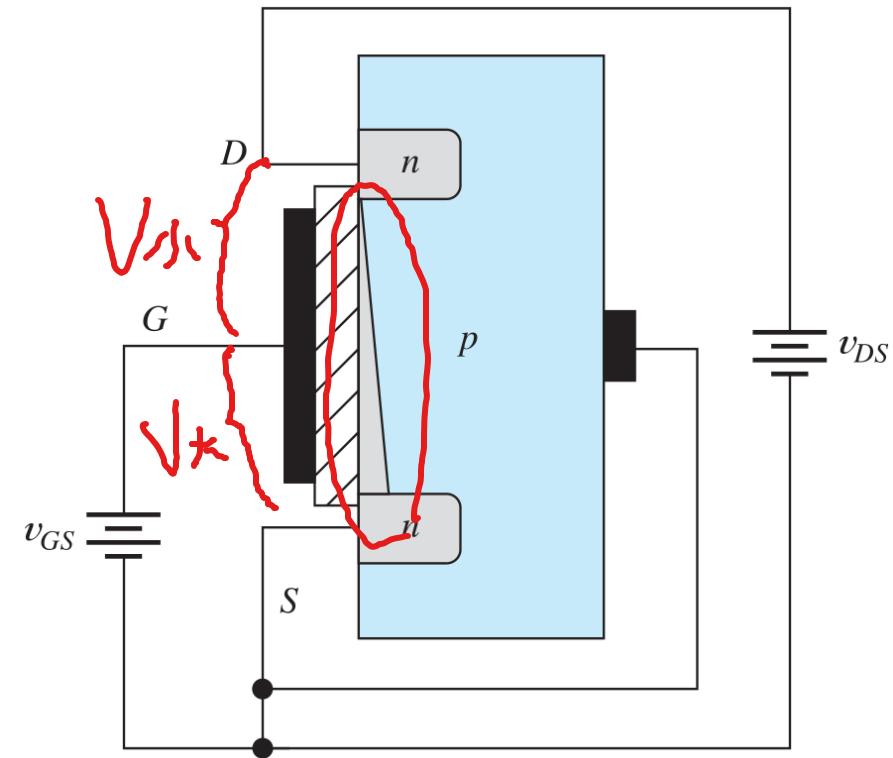


# MOSFET operation

- Ideal channel

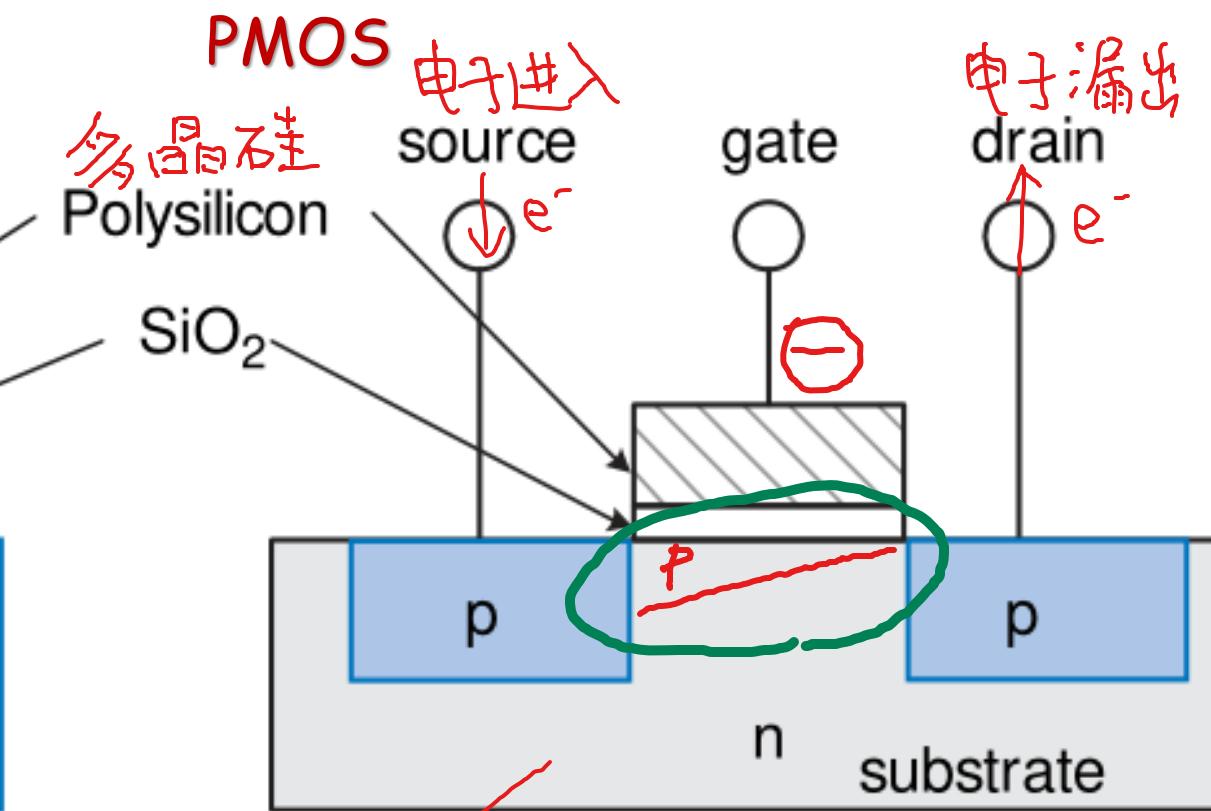
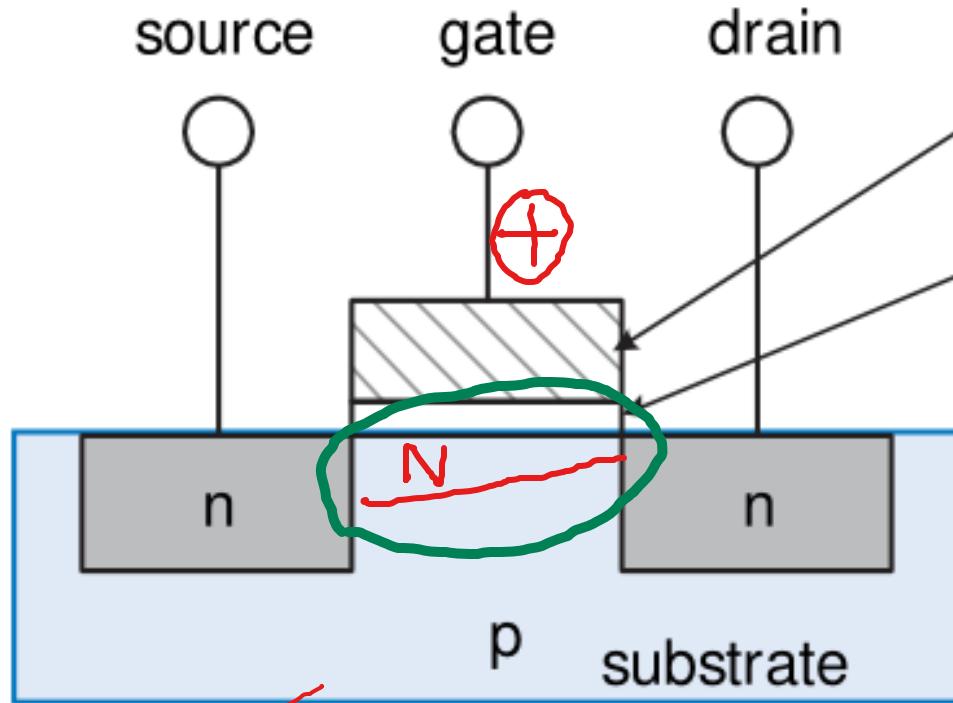


- Actual channel



# NMOS & PMOS

NMOS **类型看沟道!**



body lowest voltage  
接地

gate  
source      drain

导通

body highest voltage  
接 Vdd/S 极

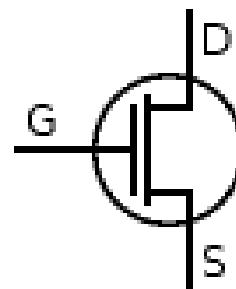
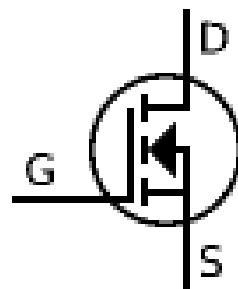
gate  
source      drain

0 导通

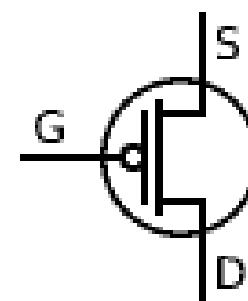
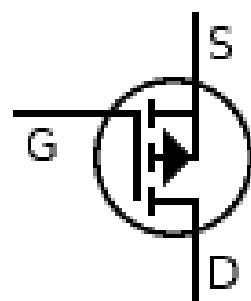
These handwritten notes describe the operating conditions for NMOS and PMOS transistors. For NMOS, it says 'body lowest voltage' and '接地' (ground), with a red arrow pointing to the 'source' terminal. It also says 'gate' above the 'source-drain' connection and '导通' (on) below. For PMOS, it says 'body highest voltage' and '接 Vdd/S 极' (connect to Vdd/S极), with a red arrow pointing to the 'drain' terminal. It also says 'gate' above the 'source-drain' connection and '0 导通' (off) below.

# Symbols

- Symbol
- NMOS

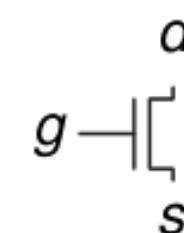


- PMOS

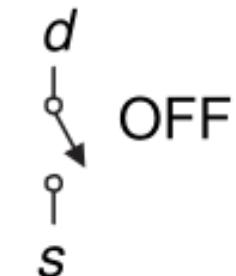


- ON / OFF

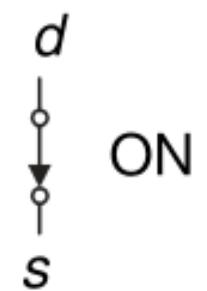
nMOS



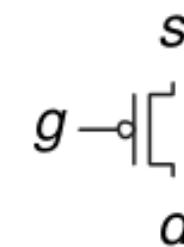
$$g = 0$$



$$g = 1$$

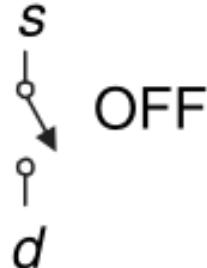
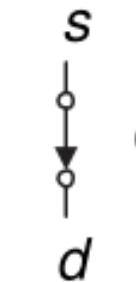


pMOS

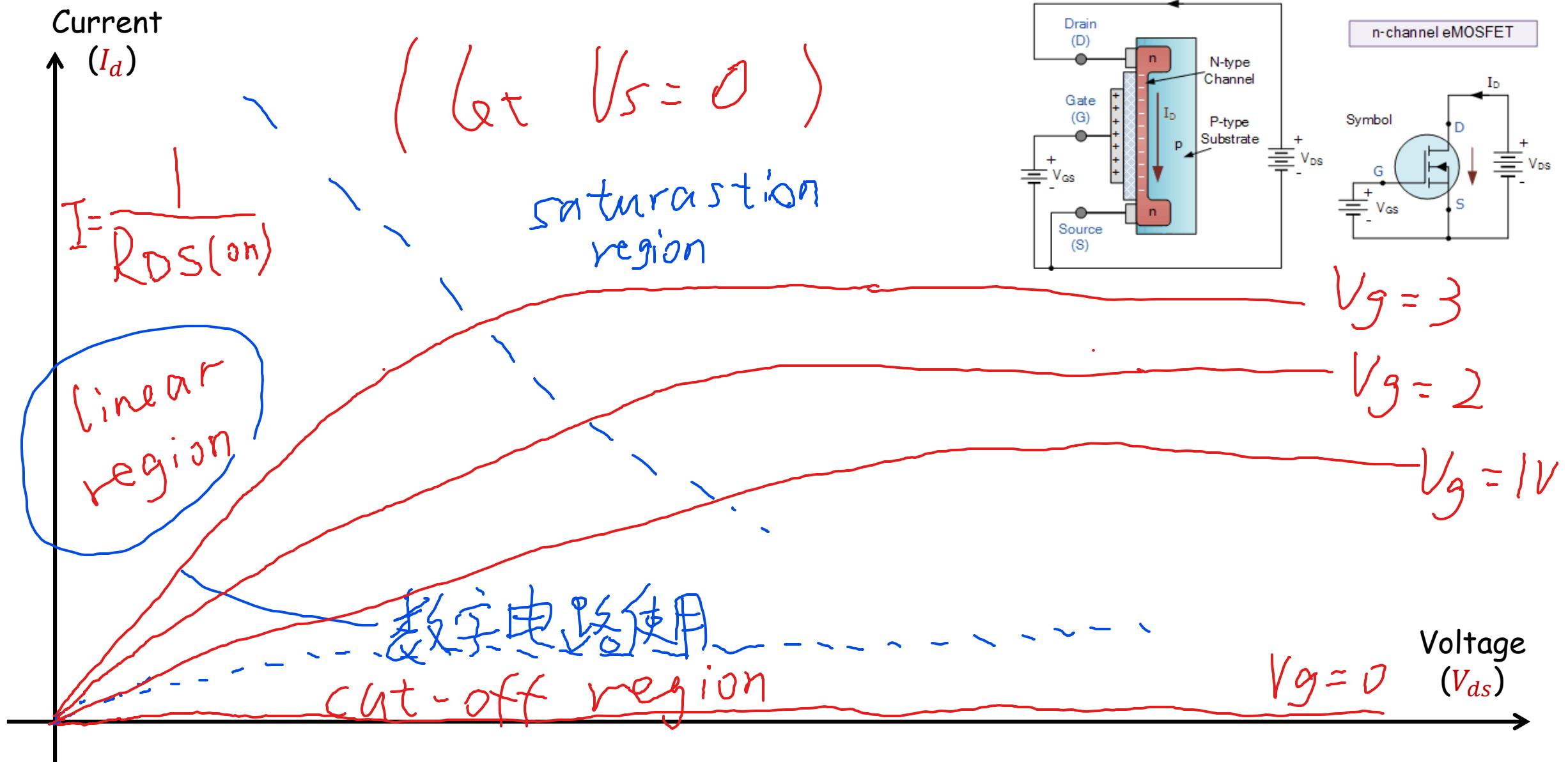


s

d



# The I-V characteristic of a MOSFET



# Computation

- Arithmetic

$$5.5 - 3.4 = 2.1$$

$$15 / 3 = 5$$

$$2 + 15 = 17$$

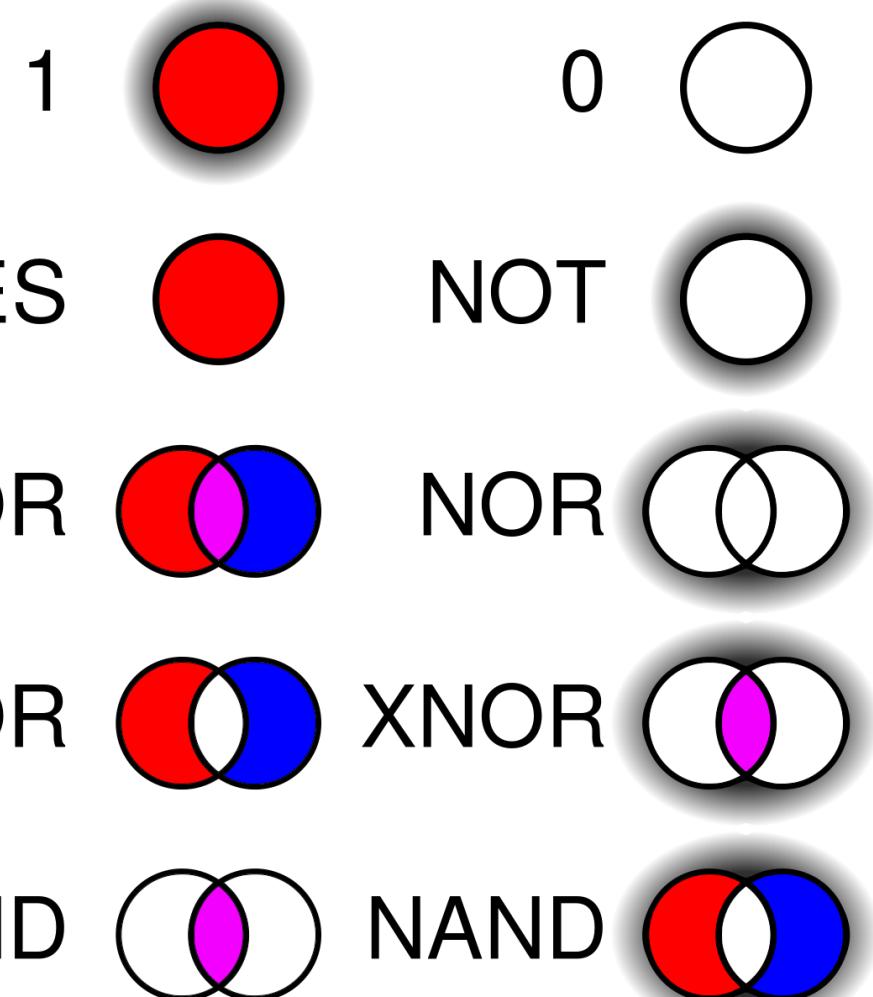
$$3 \times (4+2) = 18$$

25% of 12 is 3

$$\sqrt{9} = 3$$

$$\frac{3}{4} \times 16 = 12$$

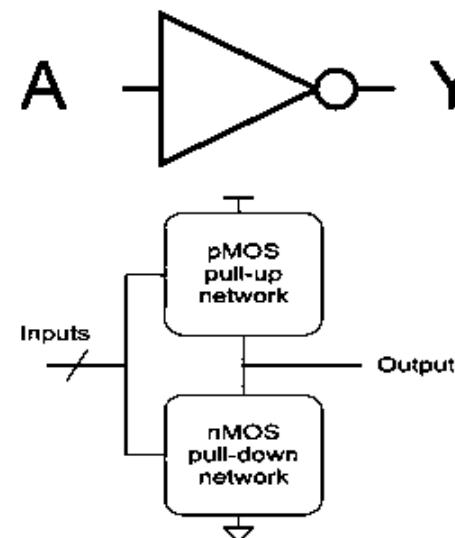
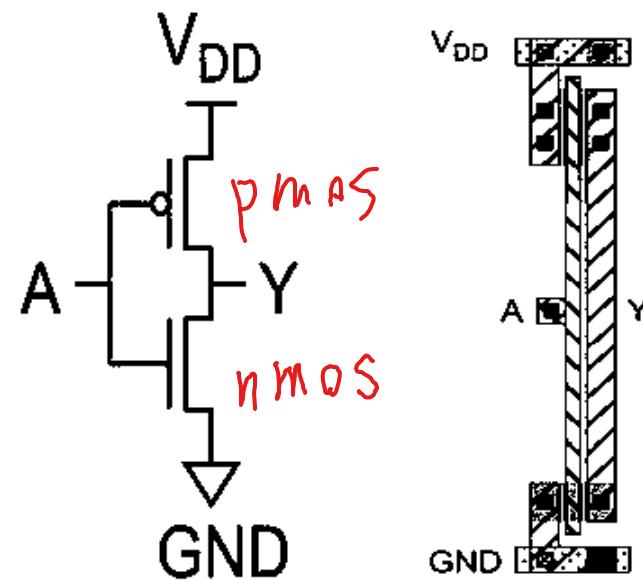
- Boolean logic



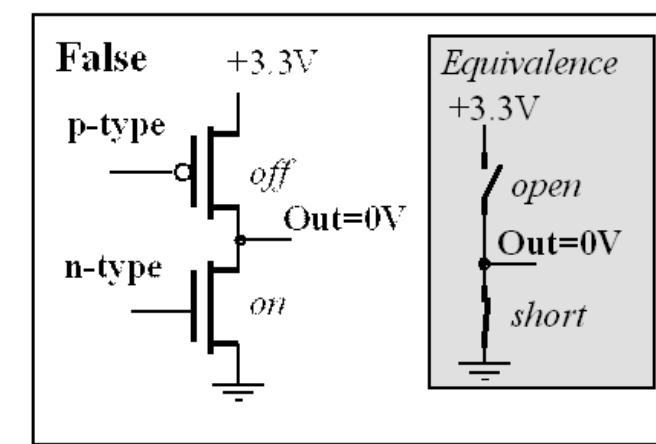
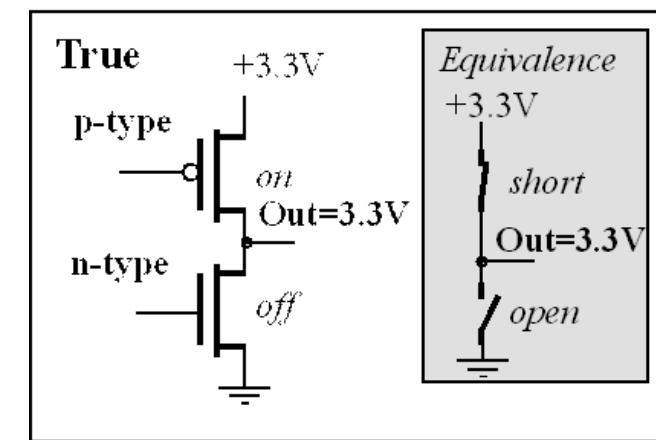
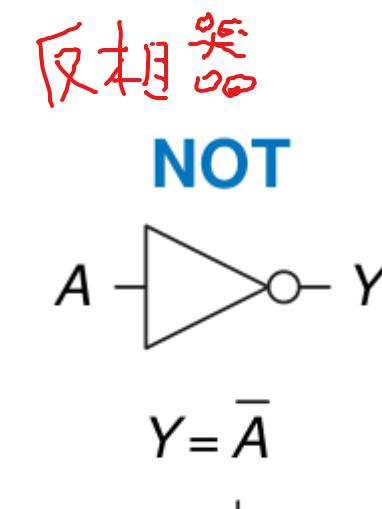
# CMOS & not gate

- CMOS technology  
(**Complementary** Metal-Oxide-Semiconductor Transistor,  
互补金属氧化物半导体)

## BASICS OF CMOS TECHNOLOGY

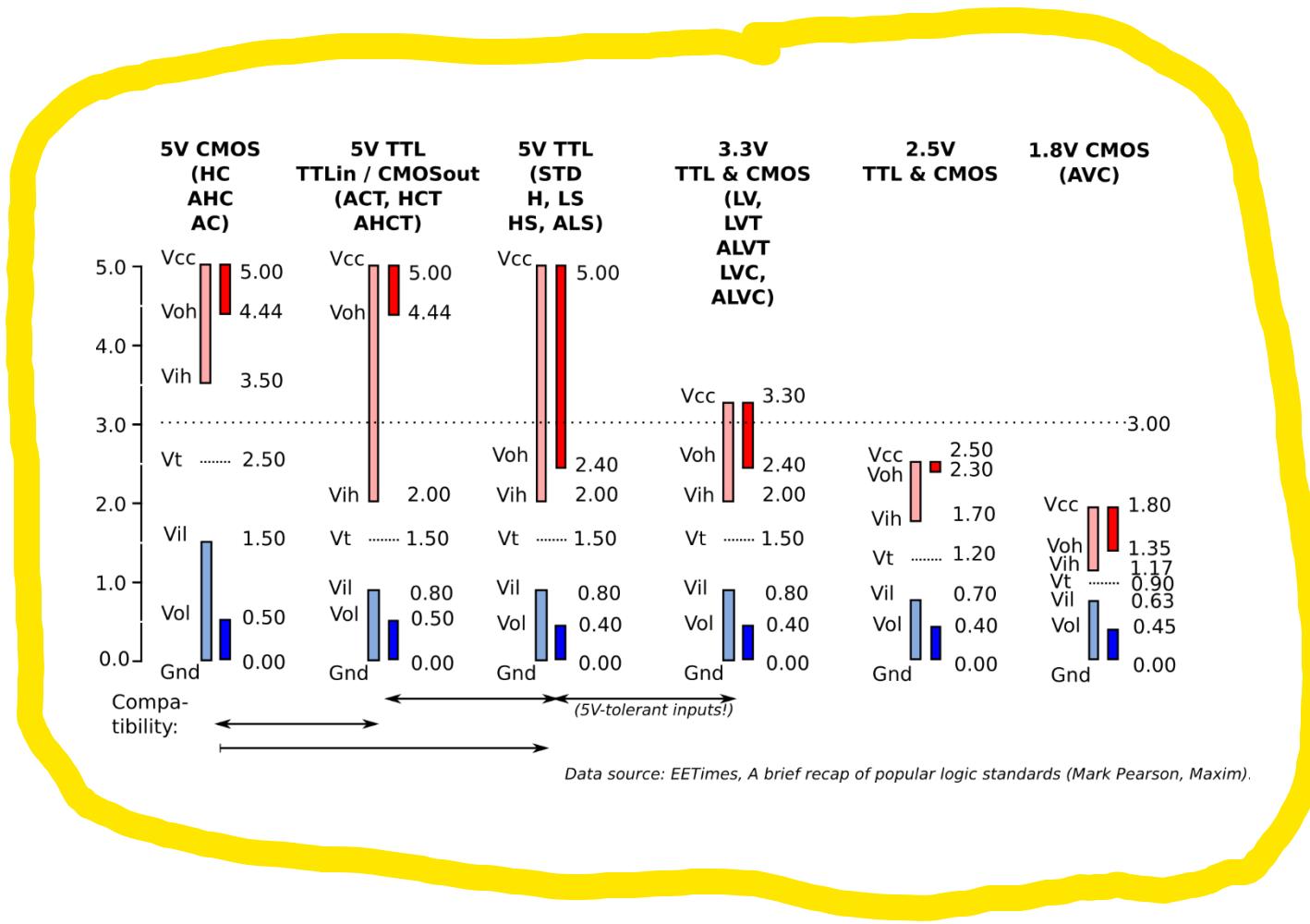
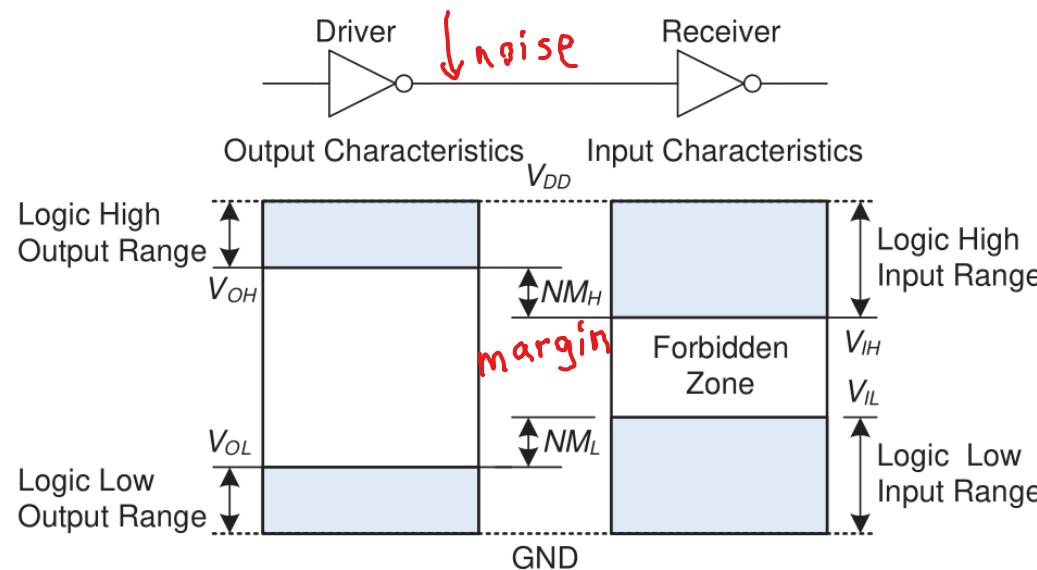


- Not gate 非门



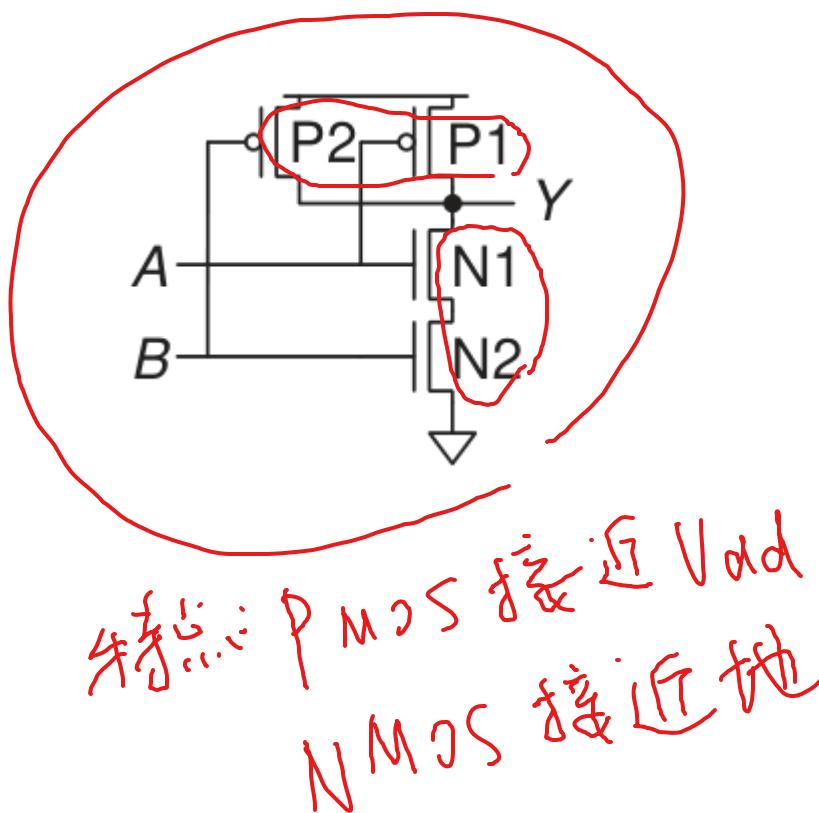
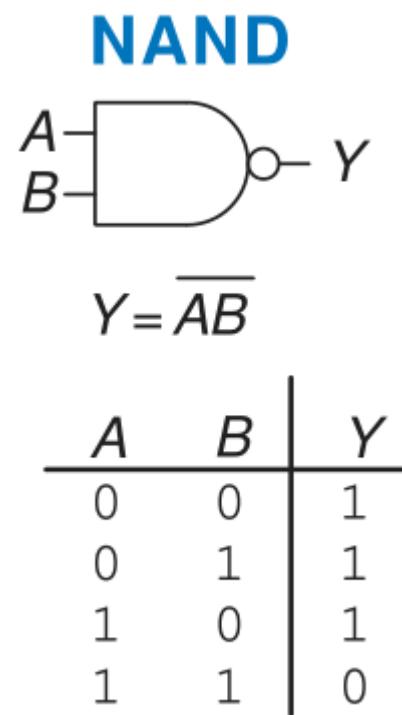
# Logic voltage levels

- How to physically express the virtual concept of logic?
- Logic families

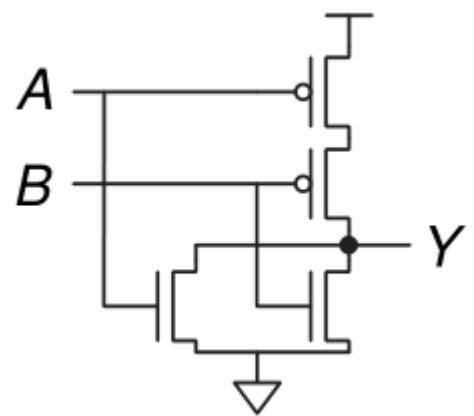
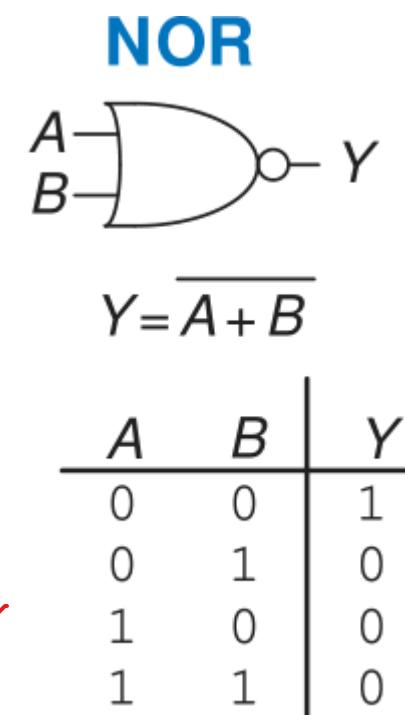


# Other logic gates

- NAND gate 与非门

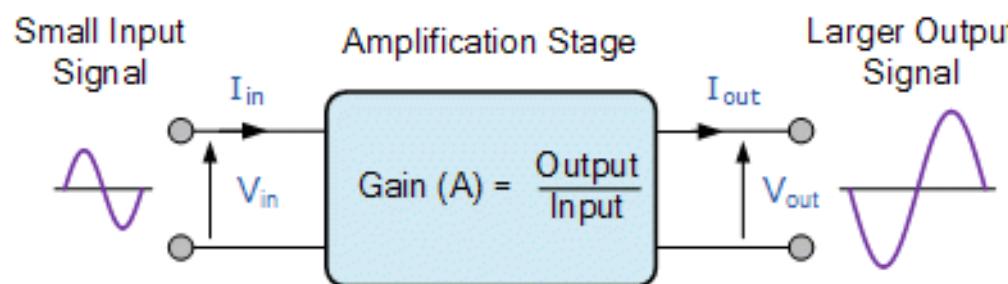


- NOR gate 或非门

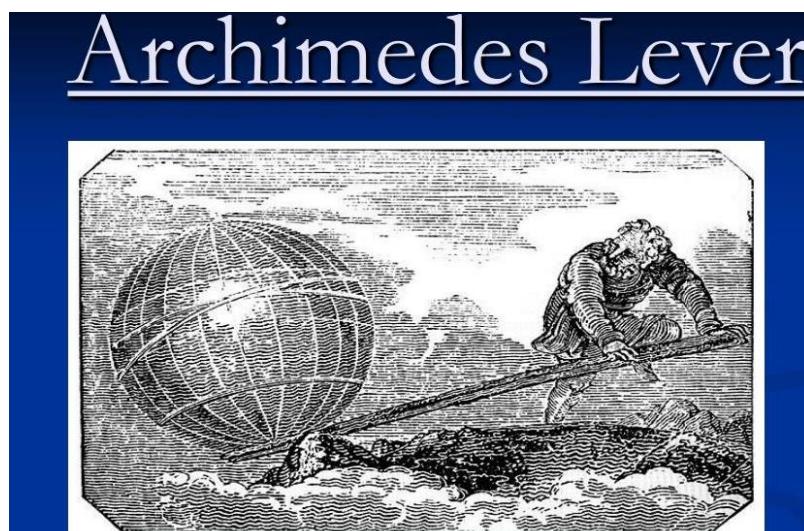


# Amplification and CMOS amplifier

- What is an amplifier

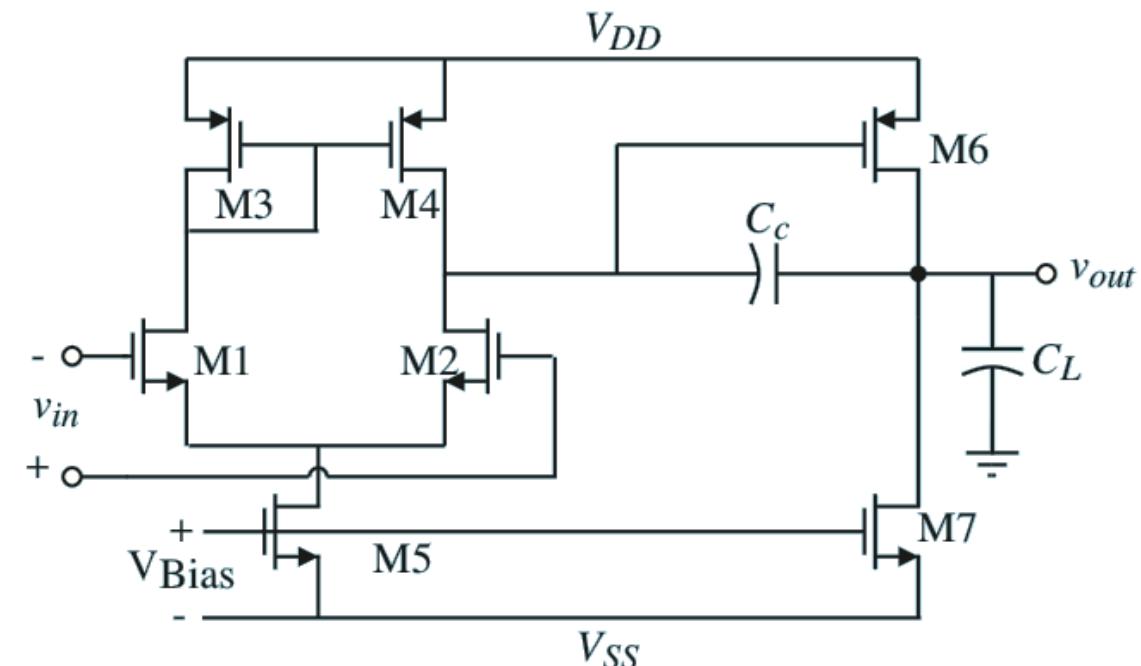


- Why we need amplifier



*"Give Me a Place To Stand on, and I'll Move the Earth."*

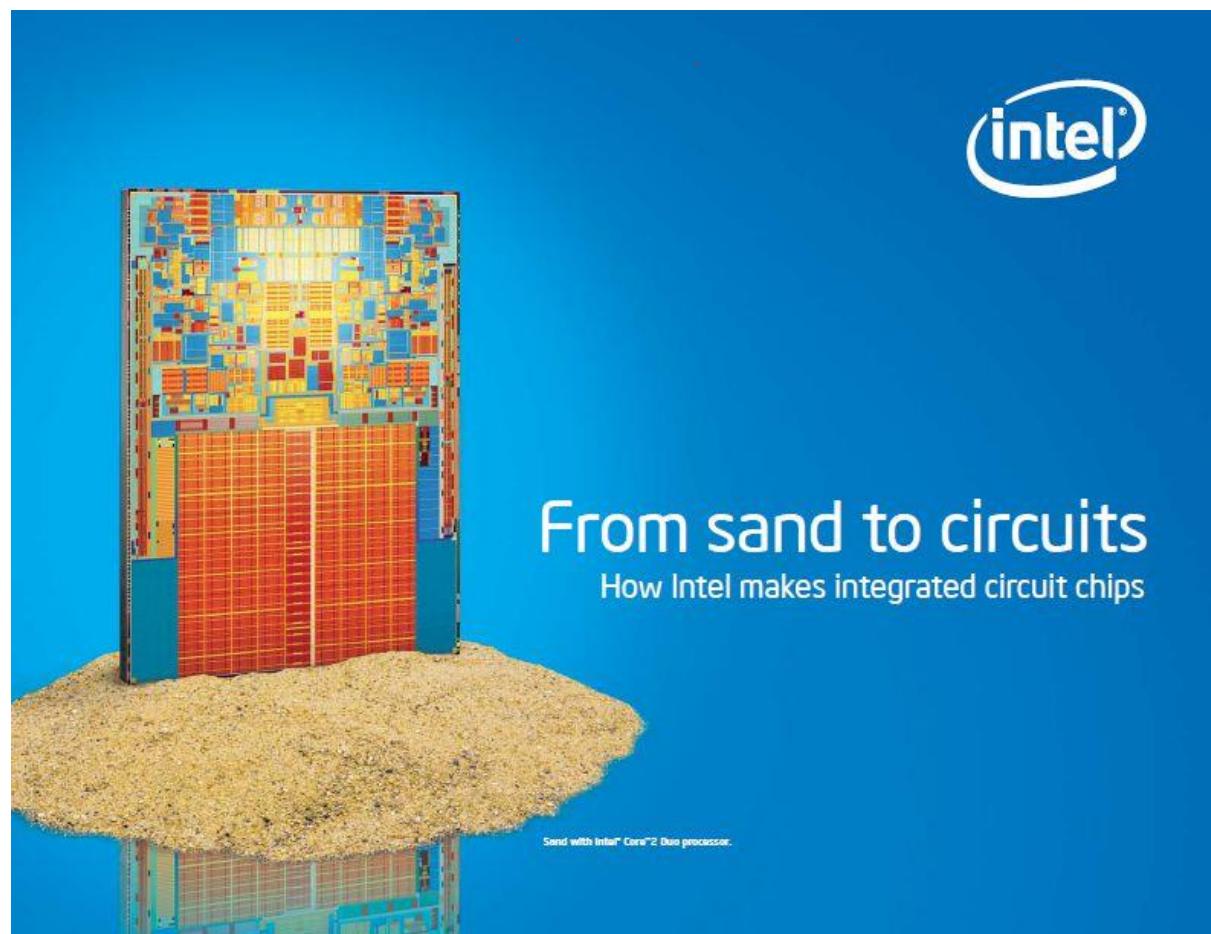
- CMOS amplifier 放大



(will be further elaborated in the 6<sup>th</sup> lecture)

# From sand to valuable integrated circuits (ICs)

Schematic → layout → simulate  
photo mask 光掩膜



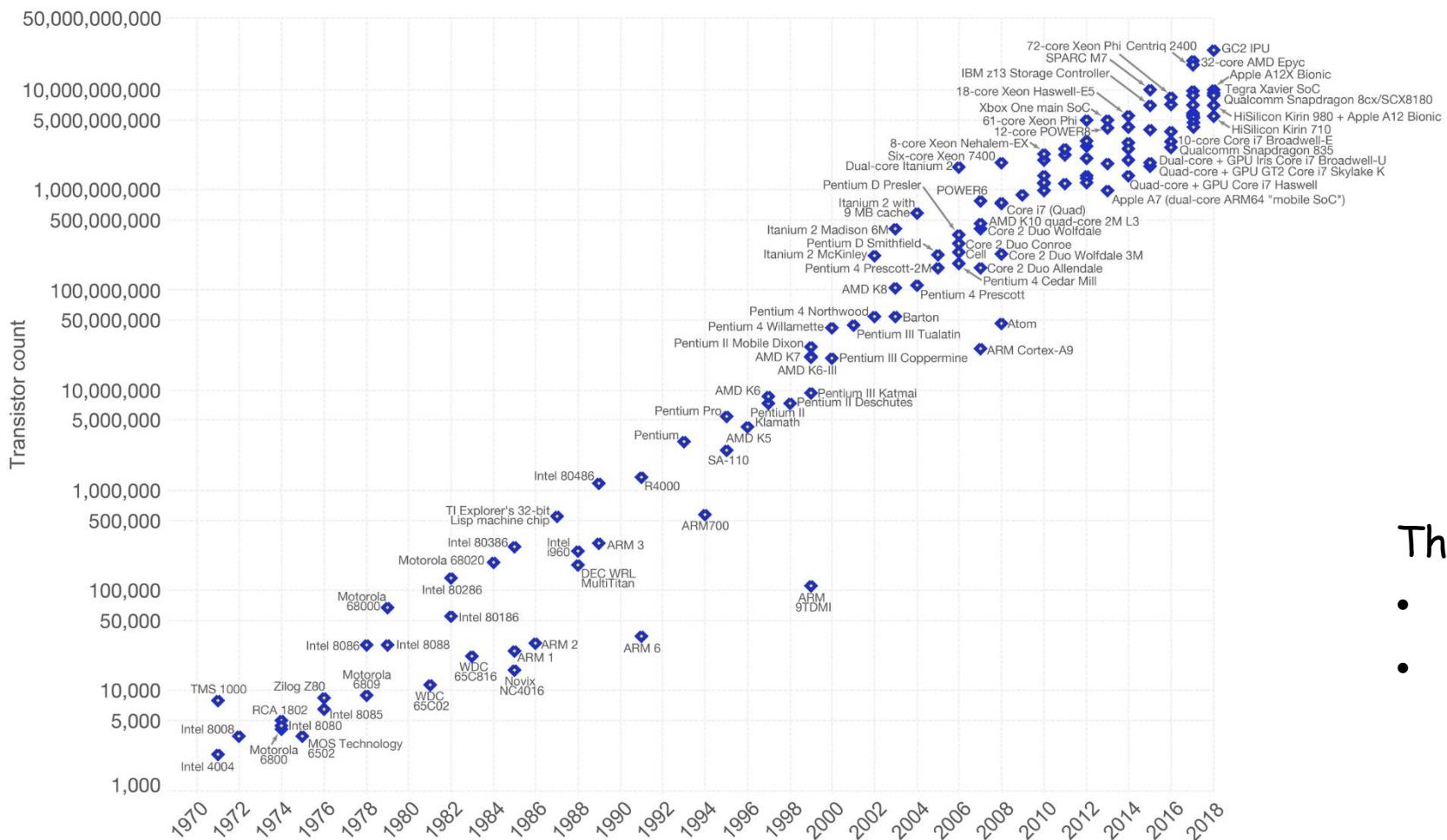
- Three recommended video for self-study

- 从沙子到芯片的完整流程 (Intel)  
<https://www.bilibili.com/video/BV1Rt411A7bV>
- CPU制造过程 (格罗方德 GlobalFoundries, 前身AMD半导体)  
<https://www.bilibili.com/video/BV1TW411q7Eq>
- 芯片制造介绍和光刻技术 (英飞凌Infineon)  
<https://www.bilibili.com/video/BV1Jt4y1X783>

# The Moore's Law

## Moore's Law – The number of transistors on integrated circuit chips (1971-2018)

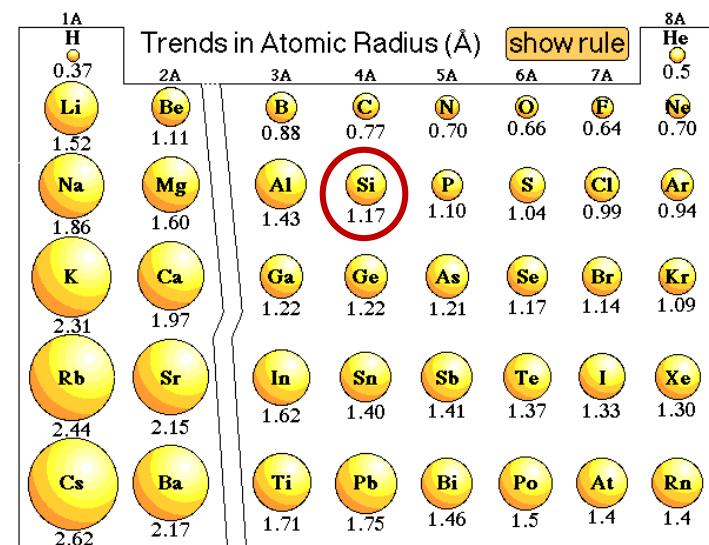
Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years. This advancement is important as other aspects of technological progress – such as processing speed or the price of electronic products – are linked to Moore's law.



Data source: Wikipedia ([https://en.wikipedia.org/wiki/Transistor\\_count](https://en.wikipedia.org/wiki/Transistor_count))

The data visualization is available at OurWorldinData.org. There you find more visualizations and research on this topic.

OurWorld  
in Data



**The physical limit:**

- Silicon atom diameter  $\approx 0.23 \text{ nm}$
- The most advanced smallest transistor feature is **7 nm** (less than 35 silicon atoms wide)

Licensed under CC-BY-SA by the author Max Roser.