Distributed Representations of Sentences and Documents

Quoc Le   QVL@GOOGLE.COM
Tomas Mikolov   TMIKOLOV@GOOGLE.COM
Google Inc, 1600 Amphitheatre Parkway, Mountain View, CA 94043

Abstract

Many machine learning algorithms require the input to be represented as a fixed-length feature vector. When it comes to texts, one of the most common fixed-length features is bag-of-words. Despite their popularity, bag-of-words features have two major weaknesses: they lose the ordering of the words and they also ignore semantics of the words. For example, "powerful," "strong" and "Paris" are equally distant. In this paper, we propose *Paragraph Vector*, an unsupervised algorithm that learns fixed-length feature representations from variable-length pieces of texts, such as sentences, paragraphs, and documents. Our algorithm represents each document by a dense vector which is trained to predict words in the document. Its construction gives our algorithm the potential to overcome the weaknesses of bag-of-words models. Empirical results show that Paragraph Vectors outperforms bag-of-words models as well as other techniques for text representations. Finally, we achieve new state-of-the-art results on several text classification and sentiment analysis tasks.

1. Introduction

Text classification and clustering play an important role in many applications, e.g, document retrieval, web search, spam filtering. At the heart of these applications is machine learning algorithms such as logistic regression or K-means. These algorithms typically require the text input to be represented as a fixed-length vector. Perhaps the most common fixed-length vector representation for texts is the bag-of-words or bag-of-n-grams (Harris, 1954) due to its simplicity, efficiency and often surprising accuracy.

However, the bag-of-words (BOW) has many disadvan-

tages. The word order is lost, and thus different sentences can have exactly the same representation, as long as the same words are used. Even though bag-of-n-grams considers the word order in short context, it suffers from data sparsity and high dimensionality. Bag-of-words and bag-of-n-grams have very little sense about the semantics of the words or more formally the distances between the words. This means that words "powerful," "strong" and "Paris" are equally distant despite the fact that semantically, "powerful" should be closer to "strong" than "Paris."

In this paper, we propose *Paragraph Vector*, an unsupervised framework that learns continuous distributed vector representations for pieces of texts. The texts can be of variable-length, ranging from sentences to documents. The name Paragraph Vector is to emphasize the fact that the method can be applied to variable-length pieces of texts, anything from a phrase or sentence to a large document.

In our model, the vector representation is trained to be useful for predicting words in a paragraph. More precisely, we concatenate the paragraph vector with several word vectors from a paragraph and predict the following word in the given context. Both word vectors and paragraph vectors are trained by the stochastic gradient descent and backpropagation (Rumelhart et al., 1986). While paragraph vectors are unique among paragraphs, the word vectors are shared. At prediction time, the paragraph vectors are inferred by fixing the word vectors and training the new paragraph vector until convergence.

Our technique is inspired by the recent work in learning vector representations of words using neural networks (Bengio et al., 2006; Collobert & Weston, 2008; Mnih & Hinton, 2008; Turian et al., 2010; Mikolov et al., 2013a;c). In their formulation, each word is represented by a vector which is concatenated or averaged with other word vectors in a context, and the resulting vector is used to predict other words in the context. For example, the neural network language model proposed in (Bengio et al., 2006) uses the concatenation of several previous word vectors to form the input of a neural network, and tries to predict the next word. The outcome is that after the model is trained, the word vectors are mapped into a vector space such that

semantically similar words have similar vector representations (e.g., "strong" is close to "powerful").

Following these successful techniques, researchers have tried to extend the models to go beyond word level to achieve phrase-level or sentence-level representations (Mitchell & Lapata, 2010; Zanzotto et al., 2010; Yessenalina & Cardie, 2011; Grefenstette et al., 2013; Mikolov et al., 2013c). For instance, a simple approach is using a weighted average of all the words in the document. A more sophisticated approach is combining the word vectors in an order given by a parse tree of a sentence, using matrix-vector operations (Socher et al., 2011b). Both approaches have weaknesses. The first approach, weighted averaging of word vectors, loses the word order in the same way as the standard bag-of-words models do. The second approach, using a parse tree to combine word vectors, has been shown to work for only sentences because it relies on parsing.

Paragraph Vector is capable of constructing representations of input sequences of variable length. Unlike some of the previous approaches, it is general and applicable to texts of any length: sentences, paragraphs, and documents. It does not require task-specific tuning of the word weighting function nor does it rely on the parse trees. Further in the paper, we will present experiments on several benchmark datasets that demonstrate the advantages of Paragraph Vector. For example, on sentiment analysis task, we achieve new state-of-the-art results, better than complex methods, yielding a relative improvement of more than 16% in terms of error rate. On a text classification task, our method convincingly beats bag-of-words models, giving a relative improvement of about 30%.

2. Algorithms

We start by discussing previous methods for learning word vectors. These methods are the inspiration for our Paragraph Vector methods.

2.1. Learning Vector Representation of Words

This section introduces the concept of distributed vector representation of words. A well known framework for learning the word vectors is shown in Figure 1. The task is to predict a word given the other words in a context.

In this framework, every word is mapped to a unique vector, represented by a column in a matrix W. The column is indexed by position of the word in the vocabulary. The concatenation or sum of the vectors is then used as features for prediction of the next word in a sentence.

More formally, given a sequence of training words $w_1, w_2, w_3, ..., w_T$, the objective of the word vector model
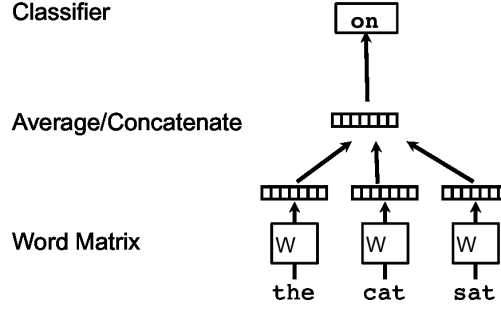

Figure 1. A framework for learning word vectors. Context of three words ("the," "cat," and "sat") is used to predict the fourth word ("on"). The input words are mapped to columns of the matrix W to predict the output word.

is to maximize the average log probability

$$\frac{1}{T} \sum_{t=k}^{T-k} \log p(w_t | w_{t-k}, ..., w_{t+k})$$

The prediction task is typically done via a multiclass classifier, such as softmax. There, we have

$$p(w_t | w_{t-k}, ..., w_{t+k}) = \frac{e^{y_{w_t}}}{\sum_i e^{y_i}}$$

Each of y_i is un-normalized log-probability for each output word i, computed as

$$y = b + Uh(w_{t-k}, ..., w_{t+k}; W) \tag{1}$$

where U, b are the softmax parameters. h is constructed by a concatenation or average of word vectors extracted from W.

In practice, hierarchical softmax (Morin & Bengio, 2005; Mnih & Hinton, 2008; Mikolov et al., 2013c) is preferred to softmax for fast training. In our work, the structure of the hierarical softmax is a binary Huffman tree, where short codes are assigned to frequent words. This is a good speedup trick because common words are accessed quickly. This use of binary Huffman code for the hierarchy is the same with (Mikolov et al., 2013c).

The neural network based word vectors are usually trained using stochastic gradient descent where the gradient is obtained via backpropagation (Rumelhart et al., 1986). This type of models is commonly known as neural language models (Bengio et al., 2006). A particular implementation of neural network based algorithm for training the word vectors is available at `code.google.com/p/word2vec/` (Mikolov et al., 2013a).

After the training converges, words with similar meaning are mapped to a similar position in the vector space. For

example, "powerful" and "strong" are close to each other, whereas "powerful" and "Paris" are more distant. The difference between word vectors also carry meaning. For example, the word vectors can be used to answer analogy questions using simple vector algebra: "King" - "man" + "woman" = "Queen" (Mikolov et al., 2013d). It is also possible to learn a linear matrix to translate words and phrases between languages (Mikolov et al., 2013b).

These properties make word vectors attractive for many natural language processing tasks such as language modeling (Bengio et al., 2006; Mikolov, 2012), natural language understanding (Collobert & Weston, 2008; Zhila et al., 2013), statistical machine translation (Mikolov et al., 2013b; Zou et al., 2013), image understanding (Frome et al., 2013) and relational extraction (Socher et al., 2013a).

2.2. Paragraph Vector: A distributed memory model

Our approach for learning paragraph vectors is inspired by the methods for learning the word vectors. The inspiration is that the word vectors are asked to contribute to a prediction task about the next word in the sentence. So despite the fact that the word vectors are initialized randomly, they can eventually capture semantics as an indirect result of the prediction task. We will use this idea in our paragraph vectors in a similar manner. The paragraph vectors are also asked to contribute to the prediction task of the next word given many contexts sampled from the paragraph.

In our Paragraph Vector framework (see Figure 2), every paragraph is mapped to a unique vector, represented by a column in matrix D and every word is also mapped to a unique vector, represented by a column in matrix W. The paragraph vector and word vectors are averaged or concatenated to predict the next word in a context. In the experiments, we use concatenation as the method to combine the vectors.

More formally, the only change in this model compared to the word vector framework is in equation 1, where h is constructed from W and D.

The paragraph token can be thought of as another word. It acts as a memory that remembers what is missing from the current context – or the topic of the paragraph. For this reason, we often call this model the Distributed Memory Model of Paragraph Vectors (PV-DM).

The contexts are fixed-length and sampled from a sliding window over the paragraph. The paragraph vector is shared across all contexts generated from the same paragraph but not across paragraphs. The word vector matrix W, however, is shared across paragraphs. I.e., the vector for "powerful" is the same for all paragraphs.

The paragraph vectors and word vectors are trained using

stochastic gradient descent and the gradient is obtained via backpropagation. At every step of stochastic gradient descent, one can sample a fixed-length context from a random paragraph, compute the error gradient from the network in Figure 2 and use the gradient to update the parameters in our model.

At prediction time, one needs to perform an inference step to compute the paragraph vector for a new paragraph. This is also obtained by gradient descent. In this step, the parameters for the rest of the model, the word vectors W and the softmax weights, are fixed.

Suppose that there are N paragraphs in the corpus, M words in the vocabulary, and we want to learn paragraph vectors such that each paragraph is mapped to p dimensions and each word is mapped to q dimensions, then the model has the total of $N \times p + M \times q$ parameters (excluding the softmax parameters). Even though the number of parameters can be large when N is large, the updates during training are typically sparse and thus efficient.
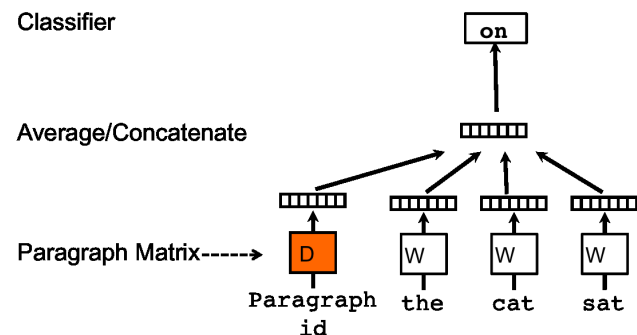

Figure 2. A framework for learning paragraph vector. This framework is similar to the framework presented in Figure 1; the only change is the additional paragraph token that is mapped to a vector via matrix D. In this model, the concatenation or average of this vector with a context of three words is used to predict the fourth word. The paragraph vector represents the missing information from the current context and can act as a memory of the topic of the paragraph.

After being trained, the paragraph vectors can be used as features for the paragraph (e.g., in lieu of or in addition to bag-of-words). We can feed these features directly to conventional machine learning techniques such as logistic regression, support vector machines or K-means.

In summary, the algorithm itself has two key stages: the unsupervised training to get word vectors W, the inference stage to get paragraph vectors D. The third stage is to turn D to make a prediction about some particular labels using a standard classifier such as a logistic classifier or support vector machines.

Advantages of paragraph vectors: An important advantage of paragraph vectors is that they are learned from unlabeled data and thus can work well for tasks that do not have enough labeled data.

Paragraph vectors also address some of the key weaknesses of bag-of-words models. First, they inherit an important property of the word vectors: the semantics of the words. In this space, "powerful" is closer to "strong" than to "Paris." The second advantage of the paragraph vectors is that they take into consideration the word order, at least in a small context, in the same way that an n-gram model with a large n would do. This is important, because the n-gram model preserves a lot of information of the paragraph, including the word order. That said, our model is perhaps better than a bag-of-n-grams model because a bag of n-grams model would create a very high-dimensional representation that tends to generalize poorly.

2.3. Paragraph Vector without word ordering: Distributed bag of words

The above method considers the concatenation of the paragraph vector with the word vectors to predict the next word in a text window. Another way is to ignore the context words in the input, but force the model to predict words randomly sampled from the paragraph in the output. In reality, what this means is that at each iteration of stochastic gradient descent, we sample a text window, then sample a random word from the text window and form a classification task given the Paragraph Vector. This technique is shown in Figure 3. We name this version the Distributed Bag of Words version of Paragraph Vector (PV-DBOW), as opposed to Distributed Memory version of Paragraph Vector (PV-DM) in previous section.
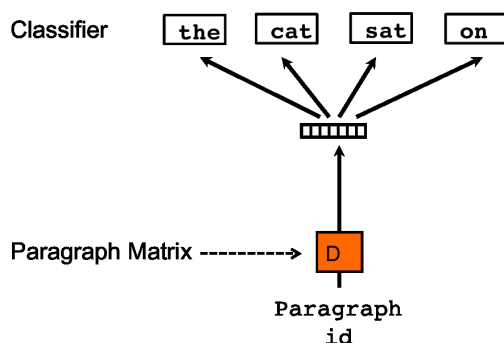

Figure 3. Distributed Bag of Words version of paragraph vectors. In this version, the paragraph vector is trained to predict the words in a small window.

In addition to being conceptually simple, this model requires to store less data. We only need to store the softmax weights as opposed to both softmax weights and word vectors in the previous model. This model is also similar to the Skip-gram model in word vectors (Mikolov et al., 2013c).

In our experiments, each paragraph vector is a combination of two vectors: one learned by the standard paragraph vector with distributed memory (PV-DM) and one learned by the paragraph vector with distributed bag of words (PV-DBOW). PV-DM alone usually works well for most tasks (with state-of-art performances), but its combination with PV-DBOW is usually more consistent across many tasks that we try and therefore strongly recommended.

3. Experiments

We perform experiments to better understand the behavior of the paragraph vectors. To achieve this, we benchmark Paragraph Vector on two text understanding problems that require fixed-length vector representations of paragraphs: sentiment analysis and information retrieval.

For sentiment analysis, we use two datasets: Stanford sentiment treebank dataset (Socher et al., 2013b) and IMDB dataset (Maas et al., 2011). Documents in these datasets differ significantly in lengths: every example in Socher et al. (Socher et al., 2013b)'s dataset is a single sentence while every example in Maas et al. (Maas et al., 2011)'s dataset consists of several sentences.

We also test our method on an information retrieval task, where the goal is to decide if a document should be retrieved given a query.

3.1. Sentiment Analysis with the Stanford Sentiment Treebank Dataset

Dataset: This dataset was first proposed by (Pang & Lee, 2005) and subsequently extended by (Socher et al., 2013b) as a benchmark for sentiment analysis. It has 11855 sentences taken from the movie review site Rotten Tomatoes.

The dataset consists of three sets: 8544 sentences for training, 2210 sentences for test and 1101 sentences for validation (or development).

Every sentence in the dataset has a label which goes from very negative to very positive in the scale from 0.0 to 1.0. The labels are generated by human annotators using Amazon Mechanical Turk.

The dataset comes with detailed labels for sentences, and subphrases in the same scale. To achieve this, Socher et al. (Socher et al., 2013b) used the Stanford Parser (Klein & Manning, 2003) to parse each sentence to subphrases. The subphrases were then labeled by human annotators in the same way as the sentences were labeled. In total, there are 239,232 labeled phrases in the dataset. The dataset can be downloaded at: http://nlp.Stanford.edu/sentiment/

Tasks and Baselines: In (Socher et al., 2013b), the authors propose two ways of benchmarking. First, one could consider a 5-way *fine-grained* classification task where the labels are {Very Negative, Negative, Neutral, Positive, Very Positive} or a 2-way *coarse-grained* classification task where the labels are {Negative, Positive}. The other axis of variation is in terms of whether we should label the entire sentence or all phrases in the sentence. In this work we only consider labeling the full sentences.

Socher et al. (Socher et al., 2013b) apply several methods to this dataset and find that their Recursive Neural Tensor Network works much better than bag-of-words model. It can be argued that this is because movie reviews are often short and compositionality plays an important role in deciding whether the review is positive or negative, as well as similarity between words does given the rather tiny size of the training set.

Experimental protocols: We follow the experimental protocols as described in (Socher et al., 2013b). To make use of the available labeled data, in our model, each subphrase is treated as an independent sentence and we learn the representations for all the subphrases in the training set.

After learning the vector representations for training sentences and their subphrases, we feed them to a logistic regression to learn a predictor of the movie rating.

At test time, we freeze the vector representation for each word, and learn the representations for the sentences using gradient descent. Once the vector representations for the test sentences are learned, we feed them through the logistic regression to predict the movie rating.

In our experiments, we cross validate the window size using the validation set, and the optimal window size is 8. The vector presented to the classifier is a concatenation of two vectors, one from PV-DBOW and one from PV-DM. In PV-DBOW, the learned vector representations have 400 dimensions. In PV-DM, the learned vector representations have 400 dimensions for both words and paragraphs. To predict the 8-th word, we concatenate the paragraph vectors and 7 word vectors. Special characters such as ,.!? are treated as a normal word. If the paragraph has less than 9 words, we pre-pad with a special NULL word symbol.

Results: We report the error rates of different methods in Table 1. The first highlight for this Table is that bag-of-words or bag-of-n-grams models (NB, SVM, BiNB) perform poorly. Simply averaging the word vectors (in a bag-of-words fashion) does not improve the results. This is because bag-of-words models do not consider how each sentence is composed (e.g., word ordering) and therefore fail to recognize many sophisticated linguistic phenomena, for instance sarcasm. The results also show that

Table 1. The performance of our method compared to other approaches on the Stanford Sentiment Treebank dataset. The error rates of other methods are reported in (Socher et al., 2013b).

Model	Error rate (Positive/ Negative)	Error rate (Fine-grained)
Naïve Bayes (Socher et al., 2013b)	18.2 %	59.0%
SVMs (Socher et al., 2013b)	20.6%	59.3%
Bigram Naïve Bayes (Socher et al., 2013b)	16.9%	58.1%
Word Vector Averaging (Socher et al., 2013b)	19.9%	67.3%
Recursive Neural Network (Socher et al., 2013b)	17.6%	56.8%
Matrix Vector-RNN (Socher et al., 2013b)	17.1%	55.6%
Recursive Neural Tensor Network (Socher et al., 2013b)	14.6%	54.3%
Paragraph Vector	**12.2%**	**51.3%**

more advanced methods (such as Recursive Neural Network (Socher et al., 2013b)), which require parsing and take into account the compositionality, perform much better.

Our method performs better than all these baselines, e.g., recursive networks, despite the fact that it does not require parsing. On the coarse-grained classification task, our method has an absolute improvement of 2.4% in terms of error rates. This translates to 16% relative improvement.

3.2. Beyond One Sentence: Sentiment Analysis with IMDB dataset

Some of the previous techniques only work on sentences, but not paragraphs/documents with several sentences. For instance, Recursive Neural Tensor Network (Socher et al., 2013b) is based on the parsing over each sentence and it is unclear how to combine the representations over many sentences. Such techniques therefore are restricted to work on sentences but not paragraphs or documents.

Our method does not require parsing, thus it can produce a representation for a long document consisting of many sentences. This advantage makes our method more general than some of the other approaches. The following experiment on IMDB dataset demonstrates this advantage.

Dataset: The IMDB dataset was first proposed by Maas et al. (Maas et al., 2011) as a benchmark for sentiment analysis. The dataset consists of 100,000 movie reviews taken from IMDB. One key aspect of this dataset is that each movie review has several sentences.

The 100,000 movie reviews are divided into three datasets:

25,000 labeled training instances, 25,000 labeled test instances and 50,000 unlabeled training instances. There are two types of labels: Positive and Negative. These labels are balanced in both the training and the test set. The dataset can be downloaded at `http://ai.Stanford.edu/amaas/data/sentiment/index.html`

Experimental protocols: We learn the word vectors and paragraph vectors using 75,000 training documents (25,000 labeled and 50,000 unlabeled instances). The paragraph vectors for the 25,000 labeled instances are then fed through a neural network with one hidden layer with 50 units and a logistic classifier to learn to predict the sentiment.[1]

At test time, given a test sentence, we again freeze the rest of the network and learn the paragraph vectors for the test reviews by gradient descent. Once the vectors are learned, we feed them through the neural network to predict the sentiment of the reviews.

The hyperparameters of our paragraph vector model are selected in the same manner as in the previous task. In particular, we cross validate the window size, and the optimal window size is 10 words. The vector presented to the classifier is a concatenation of two vectors, one from PV-DBOW and one from PV-DM. In PV-DBOW, the learned vector representations have 400 dimensions. In PV-DM, the learned vector representations have 400 dimensions for both words and documents. To predict the 10-th word, we concatenate the paragraph vectors and word vectors. Special characters such as ,.!? are treated as a normal word. If the document has less than 9 words, we pre-pad with a special NULL word symbol.

Results: The results of Paragraph Vector and other baselines are reported in Table 2. As can be seen from the Table, for long documents, bag-of-words models perform quite well and it is difficult to improve upon them using word vectors. The most significant improvement happened in 2012 in the work of (Dahl et al., 2012) where they combine a Restricted Boltzmann Machines model with bag-of-words. The combination of two models yields an improvement approximately 1.5% in terms of error rates.

Another significant improvement comes from the work of (Wang & Manning, 2012). Among many variations they tried, NBSVM on bigram features works the best and yields a considerable improvement of 2% in terms of the error rate.

The method described in this paper is the only approach that goes significantly beyond the barrier of 10% error rate.

[1]In our experiments, the neural network did perform better than a linear logistic classifier in this task.

It achieves 7.42% which is another 1.3% absolute improvement (or 15% relative improvement) over the best previous result of (Wang & Manning, 2012).

Table 2. The performance of Paragraph Vector compared to other approaches on the IMDB dataset. The error rates of other methods are reported in (Wang & Manning, 2012).

Model	Error rate
BoW (bnc) (Maas et al., 2011)	12.20 %
BoW (bΔt'c) (Maas et al., 2011)	11.77%
LDA (Maas et al., 2011)	32.58%
Full+BoW (Maas et al., 2011)	11.67%
Full+Unlabeled+BoW (Maas et al., 2011)	11.11%
WRRBM (Dahl et al., 2012)	12.58%
WRRBM + BoW (bnc) (Dahl et al., 2012)	10.77%
MNB-uni (Wang & Manning, 2012)	16.45%
MNB-bi (Wang & Manning, 2012)	13.41%
SVM-uni (Wang & Manning, 2012)	13.05%
SVM-bi (Wang & Manning, 2012)	10.84%
NBSVM-uni (Wang & Manning, 2012)	11.71%
NBSVM-bi (Wang & Manning, 2012)	8.78%
Paragraph Vector	**7.42%**

3.3. Information Retrieval with Paragraph Vectors

We turn our attention to an information retrieval task which requires fixed-length representations of paragraphs.

Here, we have a dataset of paragraphs in the first 10 results returned by a search engine given each of 1,000,000 most popular queries. Each of these paragraphs is also known as a "snippet" which summarizes the content of a web page and how a web page matches the query.

From such collection, we derive a new dataset to test vector representations of paragraphs. For each query, we create a triplet of paragraphs: the two paragraphs are results of the same query, whereas the third paragraph is a randomly sampled paragraph from the rest of the collection (returned as the result of a different query). Our goal is to identify which of the three paragraphs are results of the same query. To achieve this, we will use paragraph vectors and compute the distances the paragraphs. A better representation is one that achieves a small distance for pairs of paragraphs of the same query and a larg distance for pairs of paragraphs of different queries.

Here is a sample of three paragraphs, where the first paragraph should be closer to the second paragraph than the third paragraph:

- **Paragraph 1:** calls from (000) 000 - 0000 . 3913 calls reported from this number . according to 4 reports the identity of this caller is american airlines .

- **Paragraph 2:** do you want to find out who called you from +1 000 - 000 - 0000 , +1 0000000000 or (000) 000 - 0000 ? see reports and share information you have about this caller

- **Paragraph 3:** allina health clinic patients for your convenience , you can pay your allina health clinic bill online . pay your clinic bill now , question and answers...

The triplets are split into three sets: 80% for training, 10% for validation, and 10% for testing. Any method that requires learning will be trained on the training set, while its hyperparameters will be selected on the validation set.

We benchmark four methods to compute features for paragraphs: bag-of-words, bag-of-bigrams, averaging word vectors and Paragraph Vector. To improve bag-of-bigrams, we also learn a weighting matrix such that the distance between the first two paragraphs is minimized whereas the distance between the first and the third paragraph is maximized (the weighting factor between the two losses is a hyperparameter).

We record the number of times when each method produces smaller distance for the first two paragraphs than the first and the third paragraph. An error is made if a method does not produce that desirable distance metric on a triplet of paragraphs.

The results of Paragraph Vector and other baselines are reported in Table 3. In this task, we find that TF-IDF weighting performs better than raw counts, and therefore we only report the results of methods with TF-IDF weighting.

The results show that Paragraph Vector works well and gives a 32% relative improvement in terms of error rate. The fact that the paragraph vector method significantly outperforms bag of words and bigrams suggests that our proposed method is useful for capturing the semantics of the input text.

Table 3. The performance of Paragraph Vector and bag-of-words models on the information retrieval task. "Weighted Bag-of-bigrams" is the method where we learn a linear matrix W on TF-IDF bigram features that maximizes the distance between the first and the third paragraph and minimizes the distance between the first and the second paragraph.

Model	Error rate
Vector Averaging	10.25%
Bag-of-words	8.10 %
Bag-of-bigrams	7.28 %
Weighted Bag-of-bigrams	5.67%
Paragraph Vector	**3.82%**

3.4. Some further observations

We perform further experiments to understand various aspects of the models. Here's some observations

- PV-DM is consistently better than PV-DBOW. PV-DM alone can achieve results close to many results in this paper (see Table 2). For example, in IMDB, PV-DM only achieves 7.63%. The combination of PV-DM and PV-DBOW often work consistently better (7.42% in IMDB) and therefore recommended.

- Using concatenation in PV-DM is often better than sum. In IMDB, PV-DM with sum can only achieve 8.06%. Perhaps, this is because the model loses the ordering information.

- It's better to cross validate the window size. A good guess of window size in many applications is between 5 and 12. In IMDB, varying the window sizes between 5 and 12 causes the error rate to fluctuate 0.7%.

- Paragraph Vector can be expensive, but it can be done in parallel at test time. On average, our implementation takes 30 minutes to compute the paragraph vectors of the IMDB test set, using a 16 core machine (25,000 documents, each document on average has 230 words).

4. Related Work

Distributed representations for words were first proposed in (Rumelhart et al., 1986) and have become a successful paradigm, especially for statistical language modeling (Elman, 1990; Bengio et al., 2006; Mikolov, 2012). Word vectors have been used in NLP applications such as word representation, named entity recognition, word sense disambiguation, parsing, tagging and machine translation (Collobert & Weston, 2008; Turney & Pantel, 2010; Turian et al., 2010; Collobert et al., 2011; Socher et al., 2011b; Huang et al., 2012; Zou et al., 2013).

Representing phrases is a recent trend and received much attention (Mitchell & Lapata, 2010; Zanzotto et al., 2010; Yessenalina & Cardie, 2011; Grefenstette et al., 2013; Mikolov et al., 2013c). In this direction, autoencoder-style models have also been used to model paragraphs (Maas et al., 2011; Larochelle & Lauly, 2012; Srivastava et al., 2013).

Distributed representations of phrases and sentences are also the focus of Socher et al. (Socher et al., 2011a;c; 2013b). Their methods typically require parsing and is shown to work for sentence-level representations. And it is not obvious how to extend their methods beyond single sentences. Their methods are also supervised and thus require more labeled data to work well. Paragraph Vector,

in contrast, is mostly unsupervised and thus can work well with less labeled data.

Our approach of computing the paragraph vectors via gradient descent bears resemblance to a successful paradigm in computer vision (Perronnin & Dance, 2007; Perronnin et al., 2010) known as Fisher kernels (Jaakkola & Haussler, 1999). The basic construction of Fisher kernels is the gradient vector over an unsupervised generative model.

5. Discussion

We described Paragraph Vector, an unsupervised learning algorithm that learns vector representations for variable-length pieces of texts such as sentences and documents. The vector representations are learned to predict the surrounding words in contexts sampled from the paragraph.

Our experiments on several text classification tasks such as Stanford Treebank and IMDB sentiment analysis datasets show that the method is competitive with state-of-the-art methods. The good performance demonstrates the merits of Paragraph Vector in capturing the semantics of paragraphs. In fact, paragraph vectors have the potential to overcome many weaknesses of bag-of-words models.

Although the focus of this work is to represent texts, our method can be applied to learn representations for sequential data. In non-text domains where parsing is not available, we expect Paragraph Vector to be a strong alternative to bag-of-words and bag-of-n-grams models.

References

Bengio, Yoshua, Schwenk, Holger, Senécal, Jean-Sébastien, Morin, Fréderic, and Gauvain, Jean-Luc. Neural probabilistic language models. In *Innovations in Machine Learning*, pp. 137–186. Springer, 2006.

Collobert, Ronan and Weston, Jason. A unified architecture for natural language processing: Deep neural networks with multitask learning. In *Proceedings of the 25th International Conference on Machine Learning*, pp. 160–167. ACM, 2008.

Collobert, Ronan, Weston, Jason, Bottou, Léon, Karlen, Michael, Kavukcuoglu, Koray, and Kuksa, Pavel. Natural language processing (almost) from scratch. *The Journal of Machine Learning Research*, 12:2493–2537, 2011.

Dahl, George E., Adams, Ryan P., and Larochelle, Hugo. Training Restricted Boltzmann Machines on word observations. In *International Conference on Machine Learning*, 2012.

Elman, Jeff. Finding structure in time. In *Cognitive Science*, pp. 179–211, 1990.

Frome, Andrea, Corrado, Greg S., Shlens, Jonathon, Bengio, Samy, Dean, Jeffrey, Ranzato, Marc'Aurelio, and Mikolov, Tomas. DeViSE: A deep visual-semantic embedding model. In *Advances in Neural Information Processing Systems*, 2013.

Grefenstette, E., Dinu, G., Zhang, Y., Sadrzadeh, M., and Baroni, M. Multi-step regression learning for compositional distributional semantics. In *Conference on Empirical Methods in Natural Language Processing*, 2013.

Harris, Zellig. Distributional structure. *Word*, 1954.

Huang, Eric, Socher, Richard, Manning, Christopher, and Ng, Andrew Y. Improving word representations via global context and multiple word prototypes. In *Proceedings of the 50th Annual Meeting of the Association for Computational Linguistics: Long Papers-Volume 1*, pp. 873–882. Association for Computational Linguistics, 2012.

Jaakkola, Tommi and Haussler, David. Exploiting generative models in discriminative classifiers. In *Advances in Neural Information Processing Systems 11*, pp. 487–493, 1999.

Klein, Dan and Manning, Chris D. Accurate unlexicalized parsing. In *Proceedings of Association for Computational Linguistics*, 2003.

Larochelle, Hugo and Lauly, Stanislas. A neural autoregressive topic model. In *Advances in Neural Information Processing Systems*, 2012.

Maas, Andrew L., Daly, Raymond E., Pham, Peter T., Huang, Dan, Ng, Andrew Y., and Potts, Christopher. Learning word vectors for sentiment analysis. In *Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics*, 2011.

Mikolov, Tomas. *Statistical Language Models based on Neural Networks*. PhD thesis, Brno University of Technology, 2012.

Mikolov, Tomas, Chen, Kai, Corrado, Greg, and Dean, Jeffrey. Efficient estimation of word representations in vector space. *arXiv preprint arXiv:1301.3781*, 2013a.

Mikolov, Tomas, Le, Quoc V., and Sutskever, Ilya. Exploiting similarities among languages for machine translation. *CoRR*, abs/1309.4168, 2013b.

Mikolov, Tomas, Sutskever, Ilya, Chen, Kai, Corrado, Greg, and Dean, Jeffrey. Distributed representations of phrases and their compositionality. In *Advances on Neural Information Processing Systems*, 2013c.

Mikolov, Tomas, Yih, Scott Wen-tau, and Zweig, Geoffrey. Linguistic regularities in continuous space word representations. In *NAACL HLT*, 2013d.

Mitchell, Jeff and Lapata, Mirella. Composition in distributional models of semantics. *Cognitive Science*, 2010.

Mnih, Andriy and Hinton, Geoffrey E. A scalable hierarchical distributed language model. In *Advances in Neural Information Processing Systems*, pp. 1081–1088, 2008.

Morin, Frederic and Bengio, Yoshua. Hierarchical probabilistic neural network language model. In *Proceedings of the International Workshop on Artificial Intelligence and Statistics*, pp. 246–252, 2005.

Pang, Bo and Lee, Lillian. Seeing stars: Exploiting class relationships for sentiment categorization with respect to rating scales. In *Proceedings of Association for Computational Linguistics*, pp. 115–124, 2005.

Perronnin, Florent and Dance, Christopher. Fisher kernels on visual vocabularies for image categorization. In *IEEE Conference on Computer Vision and Pattern Recognition*, 2007.

Perronnin, Florent, Liu, Yan, Sanchez, Jorge, and Poirier, Herve. Large-scale image retrieval with compressed fisher vectors. In *IEEE Conference on Computer Vision and Pattern Recognition*, 2010.

Rumelhart, David E, Hinton, Geoffrey E, and Williams, Ronald J. Learning representations by back-propagating errors. *Nature*, 323(6088):533–536, 1986.

Socher, Richard, Huang, Eric H., Pennington, Jeffrey, Manning, Chris D., and Ng, Andrew Y. Dynamic pooling and unfolding recursive autoencoders for paraphrase detection. In *Advances in Neural Information Processing Systems*, 2011a.

Socher, Richard, Lin, Cliff C, Ng, Andrew, and Manning, Chris. Parsing natural scenes and natural language with recursive neural networks. In *Proceedings of the 28th International Conference on Machine Learning (ICML-11)*, pp. 129–136, 2011b.

Socher, Richard, Pennington, Jeffrey, Huang, Eric H, Ng, Andrew Y, and Manning, Christopher D. Semi-supervised recursive autoencoders for predicting sentiment distributions. In *Proceedings of the Conference on Empirical Methods in Natural Language Processing*, 2011c.

Socher, Richard, Chen, Danqi, Manning, Christopher D., and Ng, Andrew Y. Reasoning with neural tensor networks for knowledge base completion. In *Advances in Neural Information Processing Systems*, 2013a.

Socher, Richard, Perelygin, Alex, Wu, Jean Y., Chuang, Jason, Manning, Christopher D., Ng, Andrew Y., and Potts, Christopher. Recursive deep models for semantic compositionality over a sentiment treebank. In *Conference on Empirical Methods in Natural Language Processing*, 2013b.

Srivastava, Nitish, Salakhutdinov, Ruslan, and Hinton, Geoffrey. Modeling documents with deep boltzmann machines. In *Uncertainty in Artificial Intelligence*, 2013.

Turian, Joseph, Ratinov, Lev, and Bengio, Yoshua. Word representations: a simple and general method for semi-supervised learning. In *Proceedings of the 48th Annual Meeting of the Association for Computational Linguistics*, pp. 384–394. Association for Computational Linguistics, 2010.

Turney, Peter D. and Pantel, Patrick. From frequency to meaning: Vector space models of semantics. *Journal of Artificial Intelligence Research*, 2010.

Wang, Sida and Manning, Chris D. Baselines and bigrams: Simple, good sentiment and text classification. In *Proceedings of the 50th Annual Meeting of the Association for Computational Linguistics*, 2012.

Yessenalina, Ainur and Cardie, Claire. Compositional matrix-space models for sentiment analysis. In *Conference on Empirical Methods in Natural Language Processing*, 2011.

Zanzotto, Fabio, Korkontzelos, Ioannis, Fallucchi, Francesca, and Manandhar, Suresh. Estimating linear models for compositional distributional semantics. In *COLING*, 2010.

Zhila, A., Yih, W.T., Meek, C., Zweig, G., and Mikolov, T. Combining heterogeneous models for measuring relational similarity. In *NAACL HLT*, 2013.

Zou, Will, Socher, Richard, Cer, Daniel, and Manning, Christopher. Bilingual word embeddings for phrase-based machine translation. In *Conference on Empirical Methods in Natural Language Processing*, 2013.

Distributed Representations of Sentences and Documents
Carli, Don

| 01 | guimin chen | Page 1 |

19/4/2022 8:11

| 02 | guimin chen | Page 1 |

19/4/2022 8:11

| 03 | guimin chen | Page 1 |

19/4/2022 8:11

| 04 | guimin chen | Page 3 |

19/4/2022 8:38

| 05 | guimin chen | Page 4 |

8/6/2022 8:56

| 06 | guimin chen | Page 4 |

8/6/2022 8:57

| 07 | guimin chen | Page 4 |

8/6/2022 8:57