



### ESCUELA TÉCNICA SUPERIOR DE INGENIERÍA INFORMÁTICA GRADO EN INGENIERÍA DEL SOFTWARE

### Diseño de sistemas funcionales y reactivos

### Functional and reactive systems design

Realizado por **Santiago Sánchez Fernández** 

Tutorizado por **José Enrique Gallardo Ruíz** 

Departamento
Lenguajes y Ciencias de la Computación

UNIVERSIDAD DE MÁLAGA MÁLAGA, JUNIO DE 2017

Fecha defensa: 7 de julio de 2017

### **Abstract**

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.

### **Keywords:**

### Contents

1	Introduction			5			
	1.1	Motiva	ation	5			
2	Concepts of Functional Programming						
	2.1	2.1 Introduction					
	2.2	2.2 Referential transparency					
		2.2.1	Local reasoning	10			
		2.2.2	The substitution model and equational reasoning	12			
		2.2.3	Function Composition and safe refactoring	12			
	2.3	onal programming constructs and programming languages	14				
		2.3.1	Statements and expressions	14			
		2.3.2	Imperative statements	14			
		2.3.3	Functional expressions	15			
		2.3.4	Higher order functions	17			
	2.4 Polymorphism in Functional Programming						
		2.4.1	Parametric polymorphism	18			
		2.4.2	Type classes	19			
	2.5	Functional data structures					
3	Reactive Systems						
	3.1	1 The reactive manifesto		23			
	3.2	3.2 The reactive principles					
		3.2.1	Responsive	24			
		3.2.2	Resilient	24			
		3.2.3	Elastic	25			
		3.2.4	Message driven	25			
4	Functional and Reactive Systems elements 2						
	4.1	4.1 Improving Resilience with Computational effects					

АĮ	Appendix A Installation  Manual							
		C	Functional and Reactive System	35				
	4.4	The IO	monad as a referential transparent Future	31				
		4.3.2	Futures are highly composable	31				
		4.3.1	Making models reactive by the use of Futures	30				
	4.3	Leveraging Multiprocessing by the use of Futures						
		4.2.1	The resilience of computational effects	29				
	4.2	ole of monadic effects	28					
		4.1.1	Monads	27				

## 1

### Introduction

### 1.1 Motivation

As technology has been evolving, the non functional requirements of software systems have been growing to be more demanding. Nowadays, a typical cloud service is being used.



Figure 1: A diagram showing the iterations of extreme programming.

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna

dictum turpis accumsan semper.

## 2

# Concepts of Functional Programming

### 2.1 Introduction

Functional programming is a programming paradigm in which programs are structured as a composition of pure functions [1].

Pure functions, in contrast to function constructs of programming languages, refer to the mathematical concept of a function. In mathematics, "a function f from A to B, where A and B are non-empty sets, is a rule that associates with each element of A (the domain) a unique element of B (the codomain)" [2].

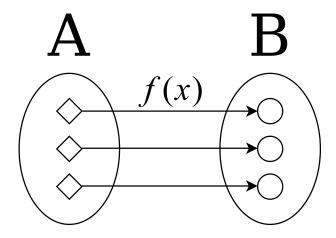


Figure 2: A visual representation of the function f

Although this is the mathematical definition, in the domain of programming languages, it could also be stated that "a pure function has no observable effect on the execution of the

program other than to compute a result given its inputs" [3].

Function constructs in many programming languages don't have the traits of mathematical functions. One example of this is the one represented in Listing 1. This function reads from the console an integer and returns this read integer added to the parameter n.

```
def readLine(n: Int): Int = {
  val read = scala.io.StdIn.readInt()
  n + read
}
```

Listing 1: An example of an impure function

This function breaks the definition of a pure function. In figure 3, a Scala interactive session is ran with the previous function defined. In this session, it can be observed that for the same function input, 2, two different outputs are returned, res1: Int = 5 and res2: Int = 6, which is a violation of the definition of pure function given before.

```
@ readLine(2)
3
res1: Int = 5
@ readLine(2)
4
res2: Int = 6
```

Figure 3: An Scala interactive session showing how the readLine function is not pure

The elements which make programming language's functions not perform as mathematical functions are called side effects. To make a differentiation, functions without side effects are referred to as pure functions[4] while functions that have side effects are called procedures.

Some side effects that can make a function non-pure are [5]:

- Performing I/O
- Modifying a non-local variable
- Modifying a data structure in place
- Throwing an exception

### 2.2 Referential transparency

When treating with pure functions there is a one to one relation between a function call and the result it produces. For example, we can see that the expression 2+2 is the same as 4. In mathematics, this is a very important property when solving equations. On the process of solving them, usually both sides of it are simplified by applying operations that reduce the number of elements on each side until we get a simple expression that its trivial to solve. In figure 4, this process is showcased.

$$2x - x = \sqrt{16} + 2 \cdot 2$$
$$x = 4 + 4$$
$$x = 8$$

Figure 4: Solving a equation by simplifying thanks to referential transparency

This property is called referential transparency [6] and is a very important property of functional programming.

The reason why referential transparency is only possible when using pure functions lies in its definition. If a function is not pure, an element of the domain may be related with multiple elements of the codomain, thus, it is not possible to know a priori which is the related element to the input.

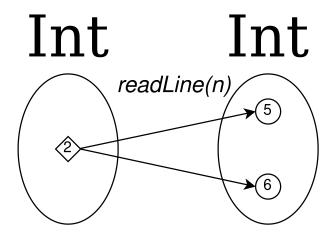


Figure 5: A representation of the relationship introduced by the readLine procedure

Figure 5 represents this indeterminism with the previously mentioned readLine procedure. Input 2 has two possible results, 5 and 6, and these are not the only possible results.

There are as many possible results as Ints. Which one should be the one substituted? The given result will only be resolved at run time and thus referential transparency is lost.

### 2.2.1 Local reasoning

One of the benefits of referential transparency is local reasoning. In order to understand how a function behaves, it is only necesary to understand the components of the function themselves and not the context in which they are placed.

When referential transparency is not present, this is no longer possible. As an example, consider the function defined in Listing 2 which depends on a global variable name, and returns a greeting to that name.

```
var name = "Santi"

def greet() = s"Greeetings $name !"
```

Listing 2: A function which greets name

To understand the behaviour of the function, it is needed to know the context in which it is called, i.e. inspect where the variable name is assigned and also when assignments to the variable occur previous to the function call.

In the script shown in Listing 3, it is possible to analyse that the output will be Greeetings itnaS! as the name is assigned to Santi first and then is reversed.

```
var name = "Santi"

def greet() = s"Greeetings $name !"

def greetReversed(): Unit = {
   name = name.reverse
}

greetReversed()
println(
   greet()
```

)

Listing 3: An execution of greet which first reverses name

But even though it is possible to analyse the behaviour at a certain point in time, it is not possible to ensure that, without changes to the source code of the function itself, the functionality will keep being the same. Changes in the context of the function call regarding the variable name may change the behaviour of the function in the future. In the script from listing 4, without changes to greeting, the result of the call is different. In addition to that, the side effect that the greetReversed call made has been completely overriden.

```
var name = "Santi"

def greet() = s"Greeetings $name !"

def greetReversed(): Unit = {
   name = name.reverse
}

def greetOther(whom: String): Unit = {
   name = whom
}

greetReversed()
greetOther("Pepe")

println(
   greet()
)
```

Listing 4: An execution of greet which changes the name after reversing it

These examples are rather small and thus it is not that difficult to understand the context, but in an enterprise application where there are many more components, the solution doesn't scale that well. As the uses of the mutable state increase, the understanding of functions which use them start to be more complex.

### 2.2.2 The substitution model and equational reasoning

When referential transparency is given, a new reasoning model for functional programs can be achieved, called equational reasoning. When reasoning about a functional program, it is possible to start substituting function calls for the value they result in. To understand how a program behaves in term of its inputs, the only thing it is needed to do is to substitute function calls for results they produce.

This is possible because of the definition of a function. If a function f maps an element from the domain a to one of the codomain b then f(a) can transparently be replaced by b and the same kind of substitution that were presented on the figure 4 can be applied to programs.

The substitution model can be useful in multiple scenarios. One example is the ability to debug errors in a reproducible manner.

The first step is to get the arguments of the misbehaving function. Once they have been acquired, the next step is to start substituting each function call for the value it returns. Whenever the substitution is made, it is checked that the result of it is the one expected. At the moment that a function results to a non expected result, the cause of the error is found.

As told before in the local reasoning section, this is not possible in a non functional program, as a function result is not self contained but it depends on the context in which it is called.

This debugging process can be done automatically by running a debugger which allows to evaluate expressions on the go. A more manual approach would be by the use of the Scala REPL (Read-eval-print loop). This tool allows to import certain functions of a program in a shell which can evaluate them with arbitrary parameters to help to identify the flaws of corresponding programs.

### 2.2.3 Function Composition and safe refactoring

The substitution model can also be used to safely refactor code when repetitions occur. There are two reasons why functional code can be safely refactored. The first is referential transparency. The second is because of function composition.

As defined at the beginning of this section, functional programs are defined by the composition of functions. If we have a function  $f: A \Rightarrow B$  and another function  $g: B \Rightarrow C$ , both

can be composed by mathematical composition  $g \circ f$  to create a new function  $h \colon A \Rightarrow \mathbb{C}$ . At the beginning of the section, it was stated that this is indeed the essence of functional programming. Programs are the result of composed functions that are themselves, at the same time, the result of other functions or expressions composition.

Even programs which define variables which are then used by other functions are in the end functions defined by means of composition. This is a corollary of the substitution model. As variables in functional programming are no more than named results of expressions, every variable can be substituted by the expression which produced it. As this expressions can also be composed of other variables, this process has to be done recursively until there are no more variables.

At the very end of the substitutions the only thing that will be left is a pure expression.

Listing 5 showcases this behaviour. Here, and Then is forward function composition. A pure function like greetCapitalized is nothing more than the composition of previously defined functions.

Listing 5: An example of how functional programming is about function composition

The same function can be defined by the use of intermediate variables instead of function composition. Listing 6 is the transformation of the previous example using intermediate variables. In the end, both functions are semantically equivalent, but the former does explicit function composition and the other is by defined through intermediate variables.

```
def greetCapitalized(name: String): String = {
  val capitalizedName = name.capitalize
  val prependGreeting = s"Greetings $capitalizedName"
  prependGreeting
```

}

Listing 6: An example of how functional programming is about function composition

Using this principle, it is possible to refactor a set of expressions used within a function to a new one and replace them transparently. This can be achieved without compromising the programs functionality as long as side effects are not present in them. If, during the program design process, repeated code is found, it can be factored into a function, and then the repeated code can be replaced by function calls.

### 2.3 Functional programming constructs and programming languages

The functional programming paradigm suits better in some programming languages than in others. This usually lies in the constructs a language provides to build programs.

#### 2.3.1 Statements and expressions

In imperative languages, building blocks are usually conditional and loop statements. These constructs are inherently non functional as the way they behave is by having declared mutable variables that get updated in these statements. This is very clear in C style for and while loops.

#### 2.3.2 Imperative statements

A while loop evaluates an expression. If this expression is true, the body block of the while loop is executed. In case it is false, the next statement to the block is executed.

The definition of the while loop spots the lack of referential transparency. It expects that an expression can produce two different values. Some times it will be false and if, we want the loop to end, at least once it will be true.

The for statement has a similar behaviour to the while statement. With the addition of a first statement that will be executed previous to the first loop iteration and a second one that will be executed at the end of each iteration.

Even if the expression used in the condition of the loops were referential transparent, the statements would add no value to the programs. A condition that evaluates to true would lead to an infinite loop which would never produce any value and, if it were false, the block would never get executed.

The if/else statement of imperative languages has the problem that it does not produce any value. It's code blocks have to perform a side effect, like modifying a variable, in order to do something useful.

There is one exception in which the if/else statement could produce a value while not breaking referential transparency. This is the case in which the return from a function is done inside the if block. As in Scala this imperative construct is not present, the Listing 7 presents this in a Java class FunctionalIf which showcases in the function abs how the if construct can be used in a referential transparent manner.

```
public class FunctionalIf {
   public static void main(String[] args) {
      int x = Integer.parseInt(args[0]);
      System.out.println(abs(x));
   }

   private static int abs(int x) {
      if (x < 0) {
        return -x;
      } else {
        return x;
      }
   }
}</pre>
```

Listing 7: An example of imperative if else which does not break referential transparency

### 2.3.3 Functional expressions

Functional programming languages by contrast provide constructs that enable the definition of programs as the composition of referential transparent expressions. To provide conditional logic, Scala provides an if/else expression. In contrast to the if/else statement, the expression

produces a value, which is the result of the expression of the block that get executed <sup>1</sup>.

Another expression that Scala provides is the for expression. A for expression traverses a data structure in order to produce a new one by filtering and transforming their elements. At a later moment in this document, [[Complete with chapter when ready]] for expressions will be revisited and explained in greater detail.

Along with if and for expressions, a way to iterate and construct functional programs is by using recursion. Recursion is an useful tool in order to loop through collections without breaking referential transparency. The problem with recursion is that each nested call makes the run time system allocate one extra frame in the execution stack to save the context in which the recursive call was made.

There is a way to overcome this, as functions in which the last expression calculated is the recursive call, called tail-recursive funtions, can be efficiently implemented by an optimisation of the compiler which can substitute the recursive call for a goto statement without the expenses of new stack frame allocations [8] <sup>2</sup>.

An example of a tail recursive function can be a one which calculates the sum of a list of integers. To make use of tail recursion, a auxiliar function is provided. It accepts, along with the list whose elements are yet to be added, an integer parameter (the accumulator), which accumulates the sum of all elements already added. As the addition of integers is a monoid with 0 as its identity, the initial call must be made with 0 as accumulator, as shown in Listing 8.

```
import scala.annotation.tailrec

def sum(xs: List[Int]): Int = {
    @tailrec
    def go(xs: List[Int], acc: Int): Int = xs match {
        case head :: tail => go(tail, acc + head)
        case Nil => acc
```

<sup>&</sup>lt;sup>1</sup>In case the if expression doesn't contain an else and the condition is not satisfied, the returned value is the only value in the Unit type [7]

<sup>&</sup>lt;sup>2</sup>In Scala, in order to tell the compiler to produce a compile time error if this optimization cannot be done, the <code>@tailrec</code> annotation can be used[9]

```
go(xs, 0)
}
```

Listing 8: A tail recursive function for summing a list of Integers

### 2.3.4 Higher order functions

Higher order functions refer to functions which accept functions as parameters. In Scala, functions have their own type, like Strings or Ints do. This way they are treated as another regular type by the compiler, having the capacity of being passed around as any other value.

As an example of use, a string trimming function is shown in listing 9. This function will iterate, character by character, the string and will remove a character if a specific condition is not met. The predicate used to indentify remaining characters is decided by the caller. This predicate is a function pred:  $Char \Rightarrow Boolean$ .

```
import scala.annotation.tailrec

def trim(s: String, pred: Char => Boolean): String = {
    @tailrec
    def filter(chars: List[Char], acc: List[Char]): List[Char] =
        chars match {
        case head :: tail =>
            if (pred(head)) filter(tail, head :: acc)
            else filter(tail, acc)
        case Nil => acc
    }

filter(
        s.toList,
        Nil
    ).reverse.mkString
```

}

Listing 9: Trimming a string based on a predicate

### 2.4 Polymorphism in Functional Programming

### 2.4.1 Parametric polymorphism

One way in which functional programs can express polymorphism by the use of generic types in function declarations. This mechanism, available nowadays in many programming languages, allow functions to take parameters with an abstract type which will be resolved when the function is called. In Scala, to use a generic type in a function, the generic type must be defined between square brackets after the function name. This way, the function can be defined one time but be used with different types. The function filter defined previously for Listing 9 can be generalized for a generic list List[A] as shown in 10

```
import scala.annotation.tailrec

def filter[A](as: List[A], pred: A => Boolean): List[A] = {
    @tailrec
    def go(as: List[A], acc: List[A]): List[A] = as match {
        case head :: tail =>
            if (pred(head)) go(tail, head :: acc)
            else go(tail, acc)

        case Nil => acc
    }

    go(as, Nil).reverse
}
```

Listing 10: Filtering the elements of a generic List

### 2.4.2 Type classes

Although parametric polymorphism is the right tool when the details of the generic type are irrelevant, it lacks the possibility of defining a behaviour that is associated with the type itself.

Type classes <sup>3</sup> were defined in Haskell as a way to implement Ad-hoc polymorphism [10]. A type class is an abstract representation of a behaviour that types belonging to the class possess. If a type can implement the type class behaviour, it becomes an instance of the type class and it is said that the type belongs to the class.

In Scala, implicit resolution was implemented as a way to have type classes resolved at compile time without the need of the function caller to provide the instance manually and have it resolved automatically by the compiler in an object oriented language [11].

To illustrate the example, a typeclass called Show is presented in Listing 11 with some instances. This typeclass is very illustrative because in Scala there is a toString method in the Any class[[Add reference to API]] which every class must extend but it is very error prone as its default implementation returns the hashCode of the class, which may not be the wanted implementation. In case that the programmer forgets to override the method, the error can only be caught at run time. When using a type class instead, if a specific instance is not resolved at compile time, the program will fail to compile improving its overall resilience.

```
trait Show[A] {
  def show(x: A): String
}

case class Person(name: String, age: Int)

object ShowInstances {
  implicit val showInt: Show[Int] = (x: Int) =>
    x.toString

implicit val showDouble: Show[Double] = (x: Double) =>
    x.toString
```

<sup>&</sup>lt;sup>3</sup>Not to be confused with classes in Object Oriented Programming

```
implicit val showPerson: Show[Person] = (x: Person) =>
    s"Person(${x.name}, ${x.age})"

// type classes can even be resolved recursively
implicit def showList[A](implicit ev: Show[A]): Show[List[A]]
    = (xs: List[A]) =>
    xs
        .map(ev.show)
        .mkString("List(", ", ", ")")
}

object Shower {
    def show[A](a: A)(implicit evidence: Show[A]): String =
        evidence.show(a)
}
```

Listing 11: Different instances of the Show typeclass

Typeclasses are a very useful form of polymorphism because they allow to decouple structure from behaviour. If a specific behaviour is desired for a given type, one does not need to modify the source code of the class; instead, a type class instance can be provided, even in a separate source file. This is specially useful when using third party libraries. If a new functionality is required for classes in compiled compiled libraries, corresponding source code cannot be modified. Nevertheless, a type class instance with the desired functionality can be provided to enrich the class capabilities.

There is one way to make the use of typeclasses less cumbersome and more natural with the use of Implicit Classes. This mechanism allows to wrap an existing class and add methods which use the instances of the given type class as if they had the originally defined type. Listing ?? shows how they can be used for our instances.

### 2.5 Functional data structures

At the beginning of the section, among the different kind of side effects we mentioned, was the case of *Modifying a data structure in place*. If modifying a data structure in place produces a side effect and breaks referential transparency, data structures should be defined in an immutable way by default and, as a corollary on this property, operations on them must not modify their internals but return a new copy of the structure with the changes required.

Languages that weren't designed to be functional ones usually define their data structures as mutable ones. In Java, the Collection class, root in the hierarchy of a great number of data structures, defines most of its operations as mutable ones [12]. As an example, the method add has the following definition boolean add(E e). The returned type of the method is a boolean which, according to the documentation, "is true if this collection changed as a result of the call". This description implies that mutation has been done in place.

The main problem with this is that libraries that want to subclass the Java Collections API and be compatible with the standard library have to maintain these constraints. This has lead to alternative immutable collection implementations like Google's Guava Immutable Collection library [13] serving only as immutable representations of data structures but not providing a rich set of operations to use them.

Scala, for example, provides two type of collections. Immutable and mutable ones. Scala documentation states "Scala collections systematically distinguish between mutable and immutable collections. A mutable collection can be updated or extended in place. This means you can change, add, or remove elements of a collection as a side effect. Immutable collections, by contrast, never change. You have still operations that simulate additions, removals, or updates, but those operations will in each case return a new collection and leave the old collection unchanged" [14].

### Reactive Systems

### 3.1 The reactive manifesto

As mentioned in the introduction each today's systems have to handle big loads of data, coming from thousands of concurrent users, which are demanding low latency responses by terms of milliseconds and robust systems with a 100% of up time.

The reactive manifesto [15] calls Reactive Systems the ones able to cope with this expectances and gives this description: "Reactive Systems are more flexible, loosely-coupled and scalable. This makes them easier to develop and amenable to change. They are significantly more tolerant of failure and when failure does occur they meet it with elegance rather than disaster. Reactive Systems are highly responsive, giving users effective interactive feedback."

At the same time it describes what the traits of reactive systems are. This traits can be categorised hierarchically by the end goal they serve. Being responsiveness the trait which directly provides value to systems and the other three characteristics that reactive systems need to meet in order to be responsive. This relationship can be visualised in the Figure 6.

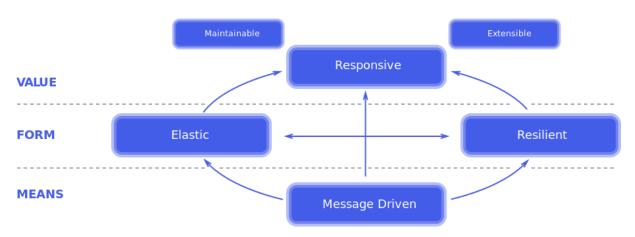


Figure 6: A hierarchical view at the reactive principles.

### 3.2 The reactive principles

### 3.2.1 Responsive

Responsiveness is the cornerstone of the reactive systems. Systems which are responsive are more comfortable to use for users as they respond faster and adapt quicker to their needs.

Google found out in 2007 that additional 0.5 seconds of load time of a search could lead to a loss of interest of on the search of a 20%.

More recently in an study developed by Akamai Technologies in 2017 other insights about consequences of pages responsiveness, being some of the most interesting.

- A 100-millisecond delay in website load time can hurt conversion rates by 7 percent
- A two-second delay in web page load time increase bounce rates by 103 percent
- 53 percent of mobile site visitors will leave a page that takes longer than three seconds to load

With that in mind is important to design systems that respond to user request with low latency in a consistent manner.

At the same responsiveness is about creating systems in which errors can be detected quickly and dealt effectively.

#### 3.2.2 Resilient

Resilience provides a better responsiveness as systems react better to failure. A resilient system is able to operate even if parts of it are failing. As an example if a user is using a social network he should be able to see friends posts if the chat system is unavailable.

At the same time resiliency is the ability to recover from errors without manual intervention and local errors shouldn't be propagated but rather handled and managed by different parts of the system.

When designing reactive systems errors should be expected to occur. Even if all the possible applications errors could be avoided there are other elements of the applications which are out of control, such as network errors or interactions with external systems. This principle is stated in the *Design for failure* approach [[Insert reference]] which embraces the acknowledge

of possible errors, thus having to make systems which can have errors but needs to be able to recover from them.

#### 3.2.3 Elastic

Applications traffic isn't stable. Online commerces usually have much higher demands on holidays season like Christmas. A marketing campaign can create higher traffic than usual in applications. Even an unexpected reference in a newspaper or a website may increase the number of users to an unmanageable amount with the original design.

Load balancers or more advanced orchestration systems like Kubernetes [16] can handle elasticity on the infrastructure level, allowing replicas of the application to coexist. However applications have to be designed to allow the distribution of work among the instances of the application, this is referred as the Systems scalability.

**Scalability** The Scalability of a system is the capacity that it has to increase its throughput respectively to its hardware resources. It is defined by the Universal Law of Computational Scalability [[Insert citation]], the formula is a variation of the Amhdalh's law [[Insert citation]] and is present in figure 7

$$C(N) = \frac{N}{1 + \alpha(N-1) + \beta N(N-1)}$$

Figure 7: The Universal Law of Computational Scalability formula

In the formula the parameter N represents the amount of concurrent process running the application.  $\alpha$  is the time that is lost because of the need to wait for shared resources to become available. And  $\beta$  the time that distributed nodes take to have consistent data.

The mere act of increasing the parallelism of an application by adding more computation nodes doesn't mean that the application will scale linearly to it. Systems have to be designed in order to use efficiently the computer resources.

#### 3.2.4 Message driven

The way to achieve Elastic and Resilient systems is by the use of asynchronous message passing by this mechanishm application are decoupled both by space and time.

If modules of the application are communicated by asynchronous messages the end location of the resource which will handle the message is transparent for the caller. A message will be delivered to a mailbox. The specific receptor of the message is not known. A load balancer can choose which will be the recipient of the message, allowing **Elasticity**.

As messages are asynchronous the sender of the message doesn't need to wait to a response of the receiver of it, and can handle other workloads or even terminate as is no longer responsible of the message.

This decoupling provides greater **resilience** because the asynchronous boundary isolate errors from being propagated. Indeed errors can be propagated as messages, having specific error handler modules which can act in consequence to avoid the collapse of the system and allow a gracefully recover of failure.

# Functional and Reactive Systems elements

### 4.1 Improving Resilience with Computational effects

In 1991 Eugenio Moggi [[Insert citation]] described a model in category theory for separating the set of values a object A could have from the notion of a computation of it, T A, which had an effect on top of this type. This computation abstracts from the type A the posible results that the computation could have. Some examples of computations mentioned on the text of interest to this topics are.

- Side effects that modify an set of possible states S: State[A, S]
- Exceptions where E is the set of possible exceptions: Either[A, E]
- Interactive Input/Output which results in an A value: I0[A]

From a programming point of view a very interesting finding of that article is that this effect over A can be abstracted and define operations that transform the type A regardless of the effect in which it was contained as long as the effect F is a monad.

#### 4.1.1 Monads

A monad is category that provides two operations over a type constructor  $F[_{-}]$ , flatMap<sup>4</sup> and pure<sup>5</sup>. In the following figure the a trait that defines the Monad typeclass in Scala is defined.

<sup>&</sup>lt;sup>4</sup>On literature is also usually called bind

<sup>&</sup>lt;sup>5</sup>Also known as point or return

### [[Example]]

The semantics of the operations can be inferred by following the types of them. The pure function takes a value a and lifts it to the monad context F. The flatMap operation takes a monad F[a] and a function which transform an a into a monad with the same context but a possible different type F[B]. This operation mantains the monad context.

### 4.2 Example of monadic effects

Then some monads instances can be defined for different effects.

**Option Monad** The Option Monad is an effect which may have a value of a type A or not. An Option[A] has two possible values. Some which means that the value is present or None meaning that there is no value.

The function flatMap in this case returns a transformed Some if the monad in which it was applied was also a Some or directly returns a None if the monad was empty and no transformation could be applied

**Either Monad** When a function can either succeed or fail the Either Effect encodes this possibility, represented with the type Either[E, A] it has two possible disjoint values. Either are usually right biased, being the A or "right" value the one meaning the success and the E value the one meaning an error.

**State Monad** The State Monad is an effect which given an state of type S performs an state transition resulting in a value of type A, modeling an state machine. As state cannot be mutated in place the state transation returns both the new state and the resulting value. It can be defined this way

When using the state monad flatMap directly returns a monad with the new state avoiding to explicitly pass the state all along the way.

**IO Monad** Side effects are inherently non functional. A side effect such as reading input from the keyboard implies that every time that this operation is called the outcome may be different, breaking referential transparency.

However if we look to the description of operations that do side effects they have nothing that implies this lose of referential transparency. For example the function read from keyboard whould have the type ()  $\Rightarrow$  A which is a perfectly valid type a pure function could have.

If the execution of the function with the side effect is delayed, what it would end up being is just a description of it, without exposing its impure nature. This is what the IO monad does. When a function is passed to the IO the execution is suspended and the description of it is captured. It is not until the programmer runs the descriptions wrapped in the IO Monad that the referential transparency is lost.

If this side effect execution is delayed until the very end of programs, they can keep functional at its core and the benefits of purity are not lost until the interpretation of the side effects which is usually done as the last step of the computation. Usually, in order to avoid running the execution of the side effects manually, the entry function of the application expects an IO to be returned which will be then be interpreted. This is exactly what the main method of Haskell does [[Insert reference]]

### 4.2.1 The resilience of computational effects

In static typed programming languages computational effects offers a greater resilience as the programmer has to explicitly deal with the effect and can not ignore the effectful nature of it like having a possible error, an Either effect, or being a possibly future value, i. e. IO.

This implicit treatment of effects like the use of a Null pointer has lead to great number of mistakes, being called by one of the first designer of it, Tony Hoare, its billion dolar mistake.

Nullability is not the only case of implicit effects. The use of unchecked exceptions in object oriented programming languages can let errors be propagated without the programmer supervision.

Effects are then a pattern that improve the reactiveness of functional programs by improving the resilience with the use of the type system.

### 4.3 Leveraging Multiprocessing by the use of Futures

Futures, also called Promises, model an asynchronous computational model in which operations can be ran in a separate thead of execution from the calling thread of the future itself.

A Future can be created using the apply method of the companion object of the trait Future. An invocation have this form Future(expr). This would evaluate expr in the background. The call returns immediatly with a result of type Future[A], being A the result type of the expression expr.

### 4.3.1 Making models reactive by the use of Futures

Computations that could block the thread of execution, e.g. Blocking I/O like fetching a resource through the network, should be ran inside Futures. This contributes to the overall application reactiveness by terms of responsivenes by improving the overall request latency and by reducing the load of the main execution thread.

**Latency improvements of futures** By spawning blocking calls in separate threads of execution the response time of a request transitions from being the sum of the latencies of the independent blocking operations to the latency of the longest one. This is represented in figure 8

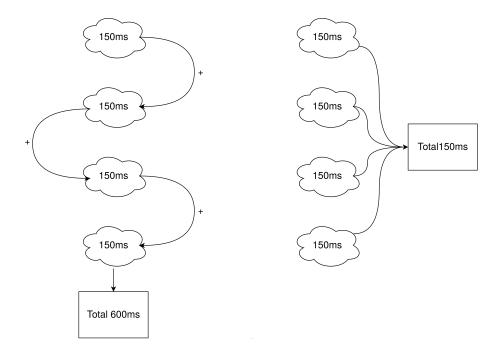


Figure 8: At the left of the figure a sequential run of the blocking operations. At the right a parallel execution which combines the results

### 4.3.2 Futures are highly composable

Futures are designed to be composable. Instead of transforming the result of the future by waiting for the completion of the inner expression to be completed method of a Future[A] allow to transform it by passing description of what should be done once the Future is completed this methods don't block until the future is complete but return a Future[B] being B the type of the transformed value from A.

Freeing the load of the main thread of execution In web servers there is usually a fixed thread pool for handling requests. This thread pool has a maximum number of threads available to prevent the systems from collapsing. In tomcat for example when this threshold is surpassed no more request can be met and the request start to be stacked. Moment in which latency starts to increase in a great manner.

Futures have an implicit parameter which defines the execution context in which the future expression will be ran. [[cite execution context from API]]. An execution context may have associated an specific thread pool. This can be used for making the blocking calls to be executed in a separate pool for blocking tasks, freeing the main thread thread pool which is only responsible of spawning the tasks of Futures, but not of running them.

### 4.4 The IO monad as a referential transparent Future

Future has many properties that make it an appropriate construct for modeling asynchronous operations. However its operations are not referential transparent. By the definition of it, if Future was referentially transparent the result of the operations on a Future should be the same regardless if they operation functions were composed directly or if saved in variables.

The project Cats Effect [[Insert ref]] provides a standard I0 for Scala. This I0 provides both synchronous and asynchronous evaluation models. IO provides a referent

```
import scala.concurrent.Future
import scala.concurrent.ExecutionContext.Implicits.global
import scala.util.Random

val futureByVariable = {
```

```
val random = new Random(0L)
  val x = Future(random.nextInt)
  for {
    a <- x
    b <- x
  } yield (a, b)
}
val futureByComposition = {
  val random = new Random(0L)
  for {
    a <- Future(random.nextInt)</pre>
    b <- Future(random.nextInt)</pre>
  } yield (a, b)
}
futureByVariable.onComplete(println) // Success
   ((-1155484576, -1155484576))
futureByComposition.onComplete(println) // Success
   ((-1155484576, -723955400))
```

Listing 12: An example of how Future is not referentially transparent

This lack of referential transparency is shown in Listing 12. Two future variables are created on the top level futureByVariable and futureByComposition. This futures are created by composing two futures created by the same operation, random.nextInt. However in futureByVariable as Future caches the results of the function which was ran on it the operation isn't performed the second time, this caching is a indeed as a side effect given that a variable with the result value has to be updated once the operation has been performed.

The IO Monad does not perform this caching and it is possible to save it into a variable without losing referential transparency as Listing 13

```
import $ivy.`org.typelevel::cats-effect:2.1.3`, cats.effect.IO
```

```
import scala.util.Random
val ioByVariable = {
 val random = new Random(0L)
 val x = IO(random.nextInt)
 for {
   a <- x
   b <- x
   _ <- IO(println((a, b)))</pre>
 } yield (a, b)
val ioByComposition = {
 val random = new Random(0L)
 for {
   a <- IO(random.nextInt)</pre>
   b <- IO(random.nextInt)</pre>
   _ <- IO(println((a, b)))</pre>
 } yield (a, b)
```

Listing 13: The IO Monad does not cache values and is referentially transparent

## 

# Creating a Functional and Reactive System

### References

- [1] J. Hughes, "Why Functional Programming Matters," en, *The Computer Journal*, vol. 32, no. 2, pp. 98–107, 1989, ISSN: 0010-4620, 1460-2067. DOI: 10.1093/comjnl/32.2.98. [Online]. Available: https://academic.oup.com/comjnl/article-lookup/doi/10.1093/comjnl/32.2.98 (cit. on p. 7).
- [2] J. Nicholson and C. Clapham, "The Concise Oxford Dictionary of Mathematics," en, p. 876, (cit. on p. 7).
- [3] P. Chiusano and R. Bjarnason, Functional Programming in Scala. 2013, p. 304, ISBN: 9781617290657 (cit. on p. 8).
- [4] Using the GNU Compiler Collection (GCC): Common Function Attributes. [Online]. Available: https://gcc.gnu.org/onlinedocs/gcc-8.1.0/gcc/Common-Function-Attributes.html#Common-Function-Attributes (cit. on p. 8).
- [5] D. A. Spuler and A. S. M. Sajeev, "Compiler Detection of Function Call Side Effects," en,p. 13, (cit. on p. 8).
- [6] C. Strachey, "Fundamental concepts in programming languages," *Higher-Order and Symbolic Computation*, vol. 13, no. 1, pp. 11–49, 2000, ISSN: 13883690. DOI: 10.1023/A: 1010000313106 (cit. on p. 9).
- [7] Scala Standard Library 2.13.2 scala.Unit. [Online]. Available: https://www.scala-lang.org/api/current/scala/Unit.html (cit. on p. 16).
- [8] G. L. Steele, "Debunking the "Expensive procedure call" Hyth or, procedure call implementations considered harmful or, LAMBDA: The ultimate goto," in *Proceedings of the 1977 Annual Conference, ACM 1977*, New York, New York, USA: Association for Computing Machinery, Inc, Jan. 1977, pp. 153–162, ISBN: 9781450339216. DOI: 10.1145/800179.810196. [Online]. Available: http://dl.acm.org/citation.cfm?doid=800179.810196 (cit. on p. 16).
- [9] Scala Standard Library 2.13.2 scala.annotation.tailrec. [Online]. Available: https://www.scala-lang.org/api/2.13.2/scala/annotation/tailrec.html (cit. on p. 16).

- [10] C. Hall, K. Hammond, S. P. Jones, and P. Wadler, "Type classes in Haskell," in *European Symposium On Programming*, 1994, pp. 241–256 (cit. on p. 19).
- [11] B. C. Oliveira, A. Moors, and M. Odersky, "Type classes as objects and implicits," in *ACM SIGPLAN Notices*, vol. 45, Oct. 2010, pp. 341–360. DOI: 10.1145/1932682.1869489. [Online]. Available: https://dl.acm.org/doi/10.1145/1932682.1869489 (cit. on p. 19).
- [12] Collection (Java Platform SE 8). [Online]. Available: https://docs.oracle.com/javase/8/docs/api/java/util/Collection.html (cit. on p. 21).
- [13] ImmutableCollection (Guava: Google Core Libraries for Java HEAD-jre-SNAPSHOT API).

  [Online]. Available: https://guava.dev/releases/snapshot/api/docs/com/google/common/collect/ImmutableCollection.html (cit. on p. 21).
- [14] Mutable and Immutable Collections | Collections | Scala Documentation. [Online]. Available: https://docs.scala-lang.org/overviews/collections-2.13/overview. html (cit. on p. 21).
- [15] The Reactive Manifesto, 2014. [Online]. Available: https://www.reactivemanifesto.org/ (cit. on p. 23).
- [16] Production-Grade Container Orchestration Kubernetes. [Online]. Available: https://kubernetes.io/ (cit. on p. 25).

### Appendix A Installation Manual

### Requirements:

Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetuer adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.