

Overview of Physical Storage Media

- Speed with which data can be accessed
- Cost per unit of data
- Reliability
 - data loss on power failure or system crash
 - physical failure of the storage device
- Can differentiate storage into:
 - **volatile storage:** loses contents when power is switched off
 - **non-volatile storage:**
 - Contents persist even when power is switched off
 - Includes secondary and tertiary storage, as well as battery-backed up main-memory

Cache

- fastest and most costly form of storage
 - volatile
 - managed by the computer system hardware
- ### Main memory
- fast access (10s to 100s of nanoseconds; 1 nanosecond = 10^{-9} seconds)
 - generally too small (or too expensive) to store the entire database
 - capacities of up to a few Gigabytes widely used currently
 - Capacities have gone up and per-byte costs have decreased steadily and rapidly (roughly factor of 2 every 2 to 3 years)
- ### Volatile
- contents of main memory are usually lost if a power failure or system crash occurs

Flash memory

- Data survives power failure
- Data can be written at a location only once, but location can be erased and written to again
 - Can support only a limited number (10K – 1M) of write/erase cycles
 - Erasing of memory has to be done to an entire bank of memory
- Reads are roughly as fast as main memory
- But writes are slow (few microseconds), erase is slower
- Widely used in embedded devices such as digital cameras, phones, and USB keys

Registers are faster than cache but we are not talking about them as they are used for temporary computations & operations & don't act as a storage.

■ Magnetic-disk

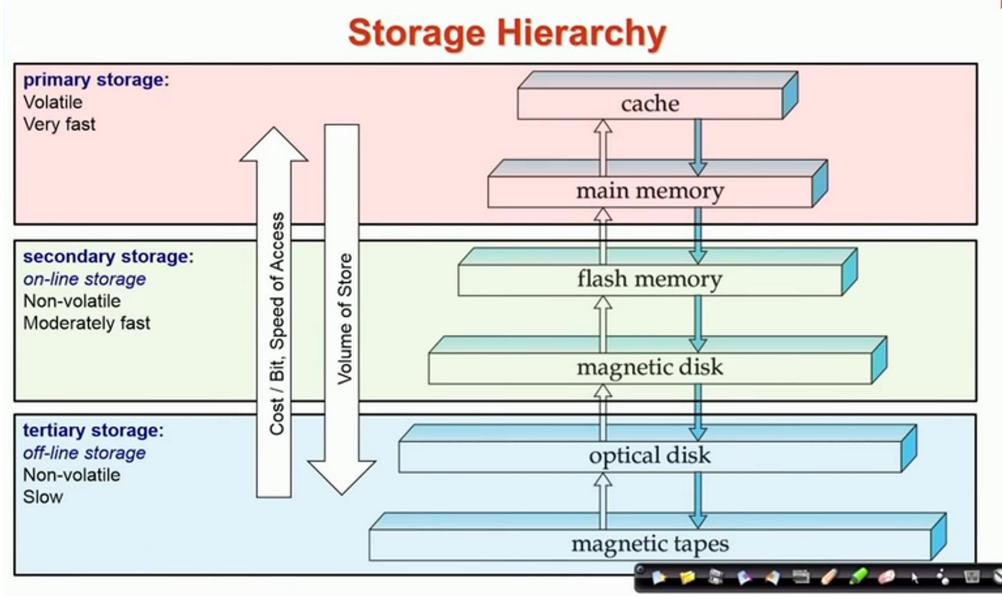
- Data is stored on spinning disk, and read/written magnetically
- Primary medium for the long-term storage of data
 - ▶ typically stores entire database
- Data must be moved from disk to main memory for access, and written back for storage
 - ▶ Much slower access than main memory
- **direct-access**
 - ▶ possible to read data on disk in any order, unlike magnetic tape
- Capacities range up to roughly 16~32 TB
 - ▶ Much larger capacity and cost/byte than main memory/flash memory
 - ▶ Growing constantly and rapidly with technology improvements (factor of 2 to 3 every 2 years)
- Survives power failures and system crashes
 - ▶ disk failure can destroy data, but is rare

■ Optical storage

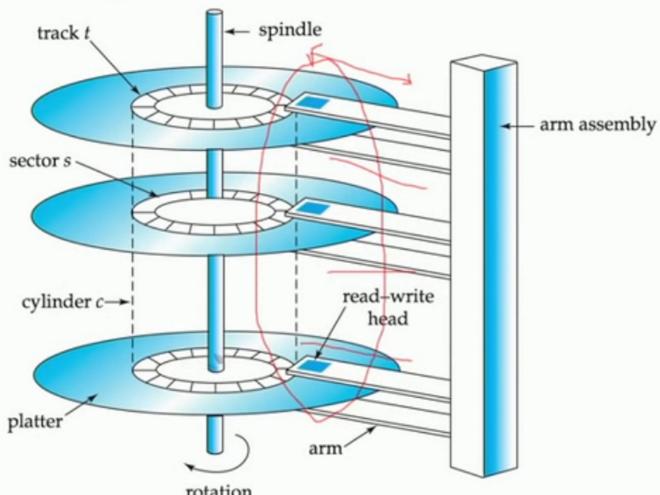
- non-volatile, data is read optically from a spinning disk using a laser
- CD-ROM (640 MB) and DVD (4.7 to 17 GB) most popular forms
- Blu-ray disks: 27 GB to 54 GB
- Write-one, read-many (WORM) optical disks used for archival storage (CD-R, DVD-R, DVD+R)
- Multiple write versions also available (CD-RW, DVD-RW, DVD+RW, and DVD-RAM)
- Reads and writes are slower than with magnetic disk
- **Juke-box** systems, with large numbers of removable disks, a few drives, and a mechanism for automatic loading/unloading of disks available for storing large volumes of data

■ Tape storage

- non-volatile, used primarily for backup (to recover from disk failure), and for archival data
- **sequential-access**
 - ▶ much slower than disk
- very high capacity (40 to 300 TB tapes available)
- tape can be removed from drive ⇒ storage costs much cheaper than disk, but drives are expensive
- Tape jukeboxes available for storing massive amounts of data
 - ▶ hundreds of terabytes (1 terabyte = 10^{12} bytes) to even multiple **petabytes** (1 petabyte = 10^{15} bytes)



Magnetic Hard Disk Mechanism

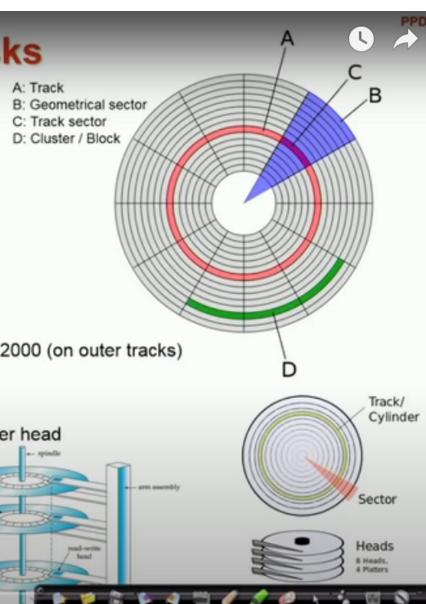


NOTE: Diagram is schematic, and simplifies the structure of actual disk drives

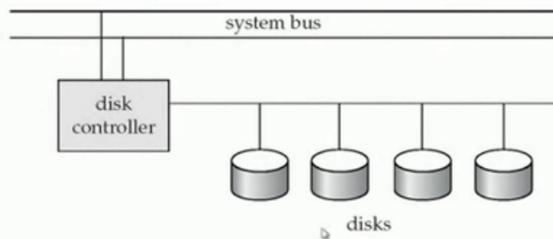


Magnetic Disks

- **Read-write head**
 - Positioned very close to the platter surface (almost touching it)
 - Reads or writes magnetically encoded information
- Surface of platter divided into circular **tracks**
 - Over 50K-100K tracks per platter on typical hard disks
- Each track is divided into **sectors**
 - A sector is the smallest unit of data that can be read or written.
 - Sector size typically 512 bytes
 - Typical sectors per track: 500 to 1000 (on inner tracks) to 1000 to 2000 (on outer tracks)
- To read/write a sector
 - disk arm swings to position head on right track
 - platter spins continually; data is read/written as sector passes under head
- Head-disk assemblies
 - multiple disk platters on a single spindle (1 to 5 usually)
 - one head per platter, mounted on a common arm.
- **Cylinder i** consists of i^{th} track of all the platters



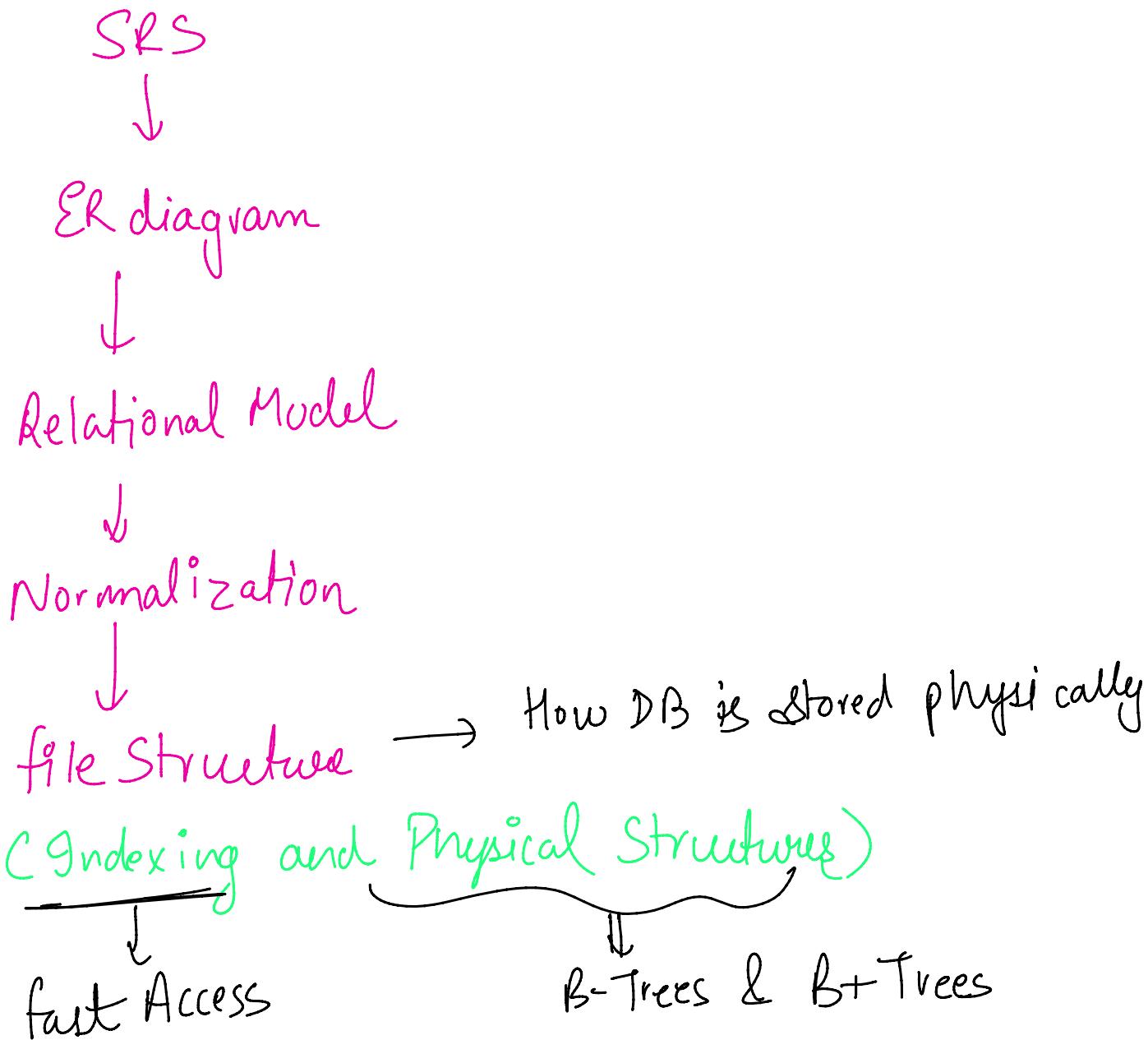
Disk Subsystem



- Multiple disks connected to a computer system through a controller
 - Controllers functionality (checksum, bad sector remapping) often carried out by individual disks; reduces load on controller

File Structures

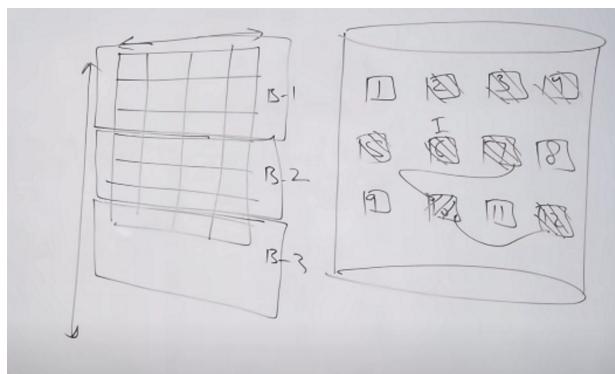
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Sorted Unsorted File Structures, Spanned Un-spanned Mapping in DBMS

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In DBMS, we are assuming that we are allocating the memory to a file contiguously i.e starting from a block, the next continuous blocks will be filled.



Sorted

Usually, sorting is done based on the attribute that is most frequently used / searched.

Unsorted

The tuples are randomly stored & any tuple can be anywhere.

⇒ Search: faster in sorted than unsorted -

↓
Bin Search
 $O(\log_2 N)$

↓
Linear Search
 $O(N)$

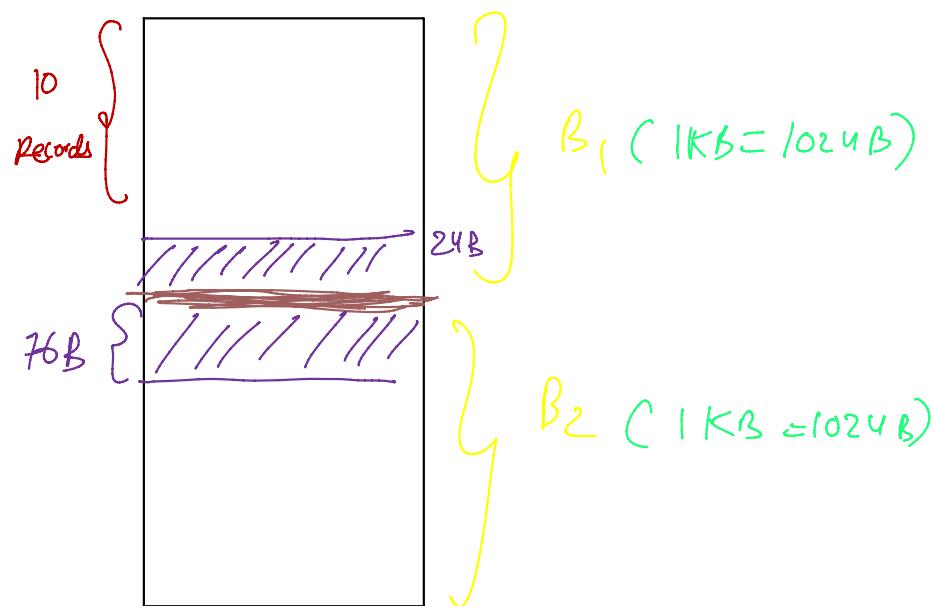
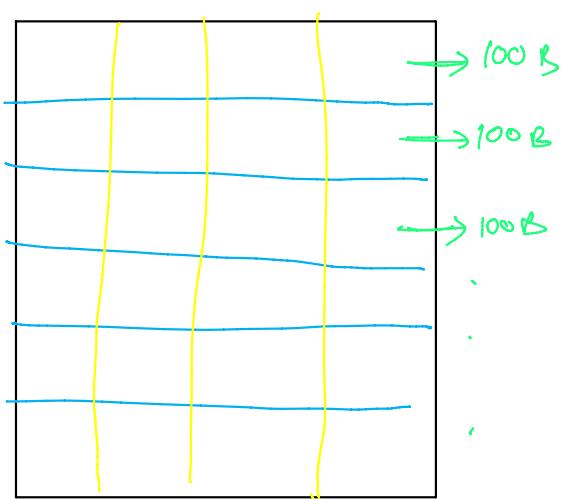
⇒ Insertion: Insertion is easy in unsorted than sorted

↓
Here, we will have to find the correct position of insertion.

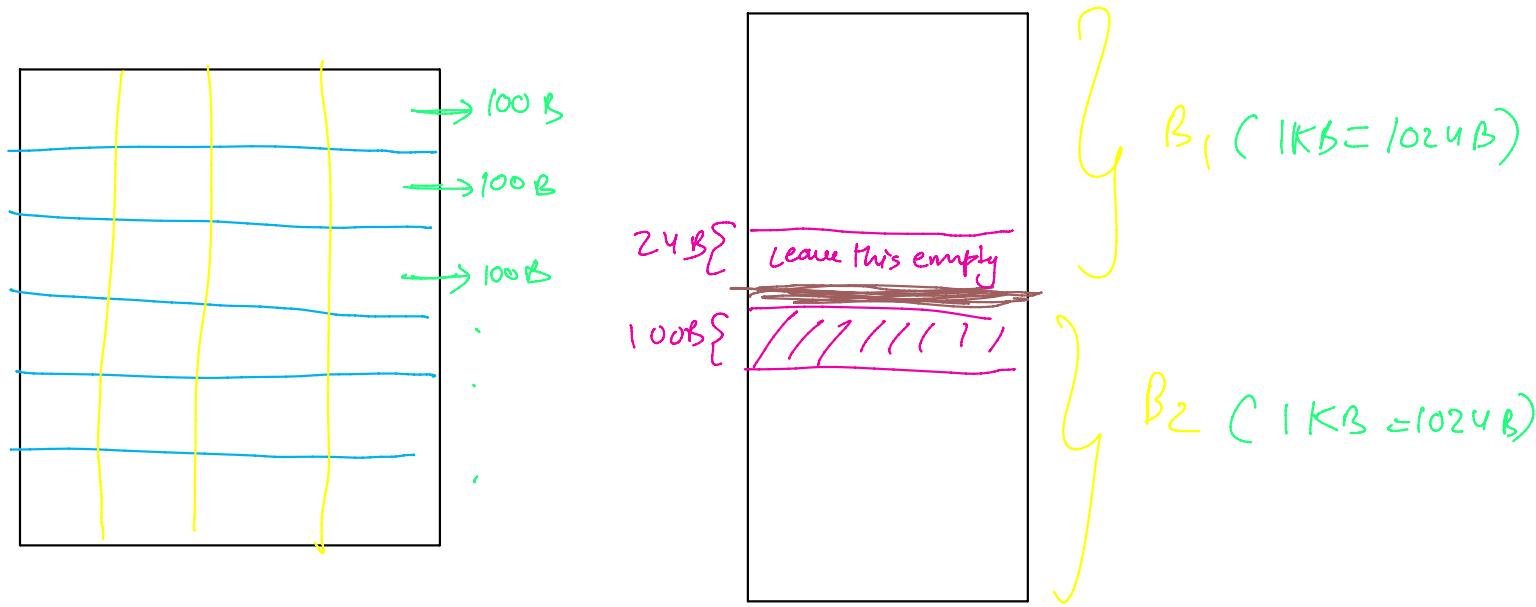
Deleting a particular record can't be compared as such. However, we have to search the record to be deleted & searching is faster in sorted.

Spanned and Unspanned Mapping

Consider a DB with each record of size 100 B. Now, consider the H/W (Secondary Storage) has blocks each of size 1KB (1024 B)



Spanned Mapping

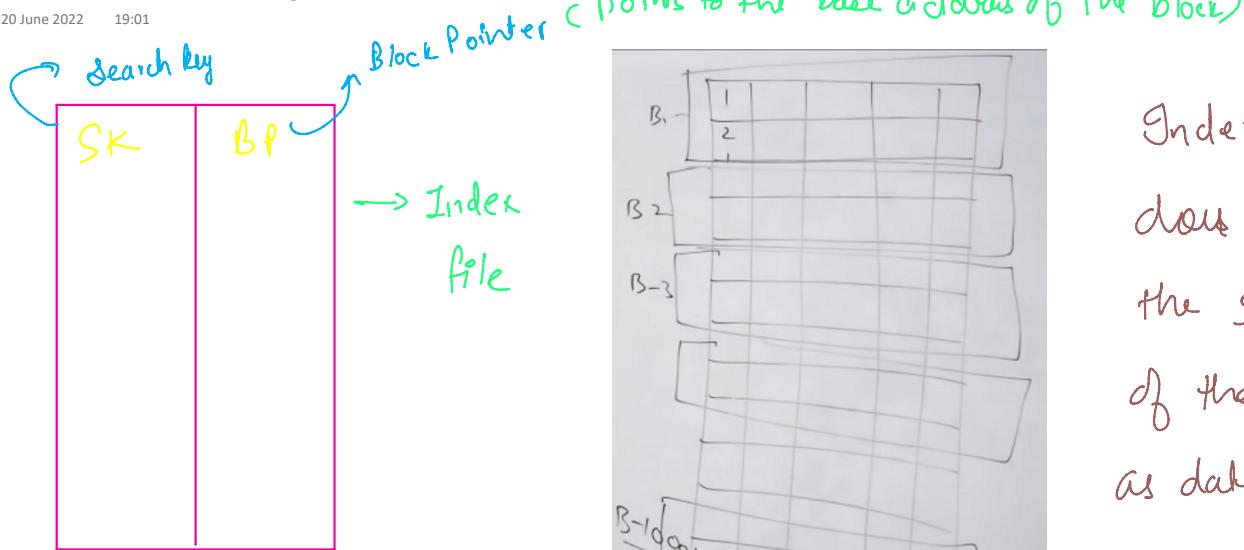


Unspanned Mapping

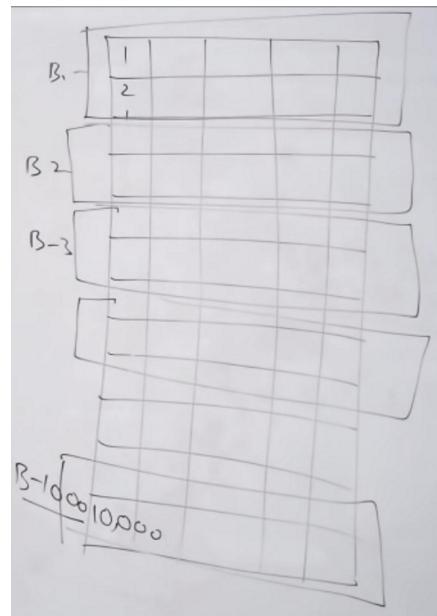
Spanned Mapping \rightarrow Memory utilization is better

Unspanned Mapping \rightarrow The time complexity (Search time) is better. This is because when we search a record, first we identify the block of memory it is in & then search within the block. So, in unspanned there will always be 1 block. Unspanned is usually used more.

be 1 block. Unspanned is usually used more.



Try to reduce size of index file as much as possible



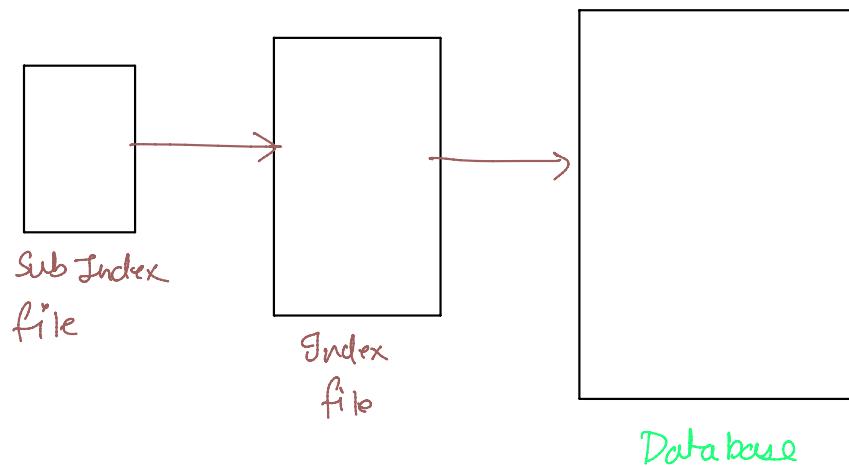
Indexing does not change the structure of the database as data is stored separately & indices are created separately

We usually create sparse indexes. This means that we don't store every record in the index file. Rather we store the records that are at the start of a memm block. The next record will be the first record in the next block & so on -

Dense Index: When every record of the DB is stored in the index file, it is dense indexing. Dense indexing is used in case of unsorted files. This is because we won't be able to get all the records by just storing the starting records in each block as the data is not sorted & we can't be sure of our record being in a particular block.

Single Level Indexing : Simple indexing that we studied earlier

Multi-level Indexing: When the size of index file is very large because the database is very large, then we do indexing on index file also called multi-level indexing.



Multi-Level Indexing

Types of Single Level Indexing

Primary Indexing: When the primary key of DB is used as the search key in index table, it is called primary indexing. It is a type of Sparse Indexing. DB is sorted

Unsorted Indexing: When the DB is sorted and Primary key is not used as the search key in DB, then

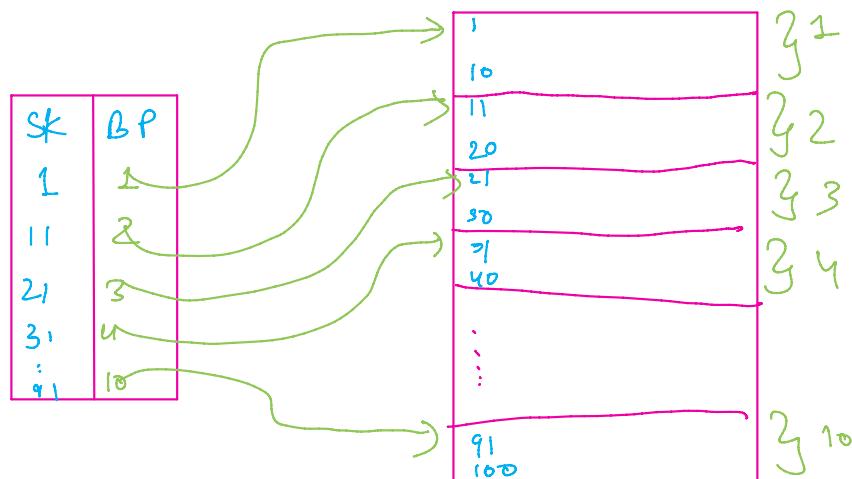
is not used as the search key in DB, then the non-primary key attribute will have some repeating values. This is called as clustered indexing.

(This is what I have in my Project)

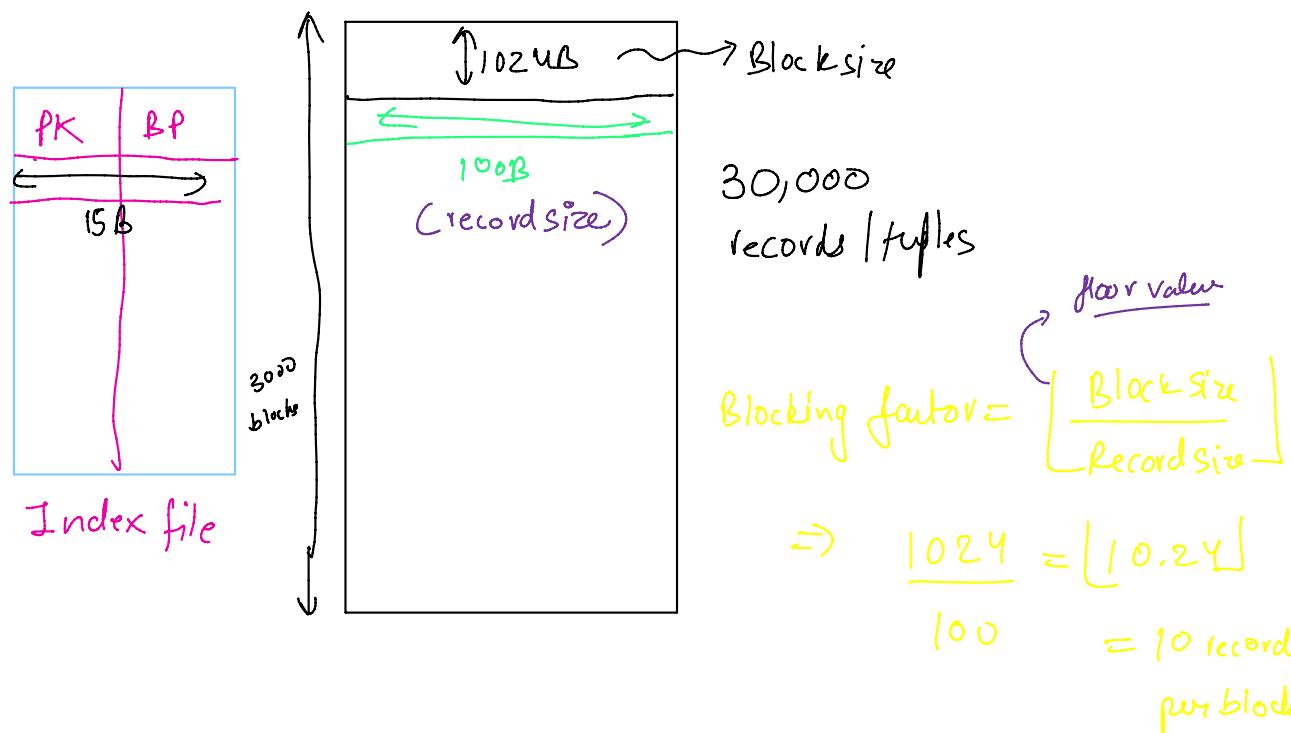
Secondary Indexing: When the DB is not sorted, the indexes created upon any attribute (whether PK or not) are called secondary indexes.

Primary Indexing

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No of block access = $\log_2 N + 1$ → to reach the data block from index



No of blocks acquired by the main file

$$\geq \left\lceil \frac{\text{Total no of tuples}}{\text{Blocking factor}} \right\rceil = \frac{30,000}{10} = 3000$$

ceil value

$$\text{Access Time} = \log_2 N = \log_2 3000 = \lceil 11.55 \rceil = 12$$

↓
total no of blocks
acq by the DR

Now, let us see the access time using the index file.

$$\text{Blocking factor for index file} = \frac{1024}{15} = \lfloor 68.266 \rfloor = 68$$

$$\begin{aligned} \text{Total No of blocks acquired by index file} &= \frac{\text{Total No of Records in Iidx file}}{\text{Blocking factor}} = \frac{3000}{68} \\ &= \lceil 44.11 \rceil = 45 \end{aligned}$$

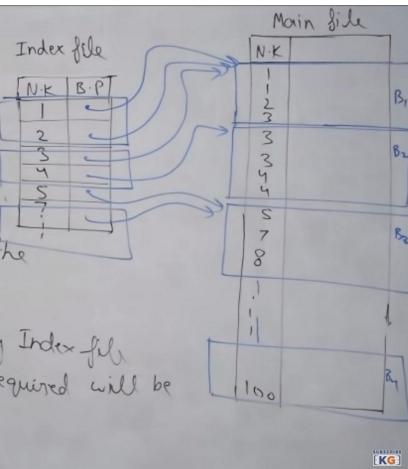
$$\begin{aligned} \text{Access Time} &= \log_2 45 + 1 = \lceil 5.49 \rceil + 1 \\ &= 6 + 1 = 7 \end{aligned}$$

Clustering Index

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Clustering Index:-

- Main file is sorted (on some non-key attribute)
- There will be one entry for each unique value of the non-key attribute
- If number of Block acquired by Index file is n , then Block access required will be $\geq \log n + 1$



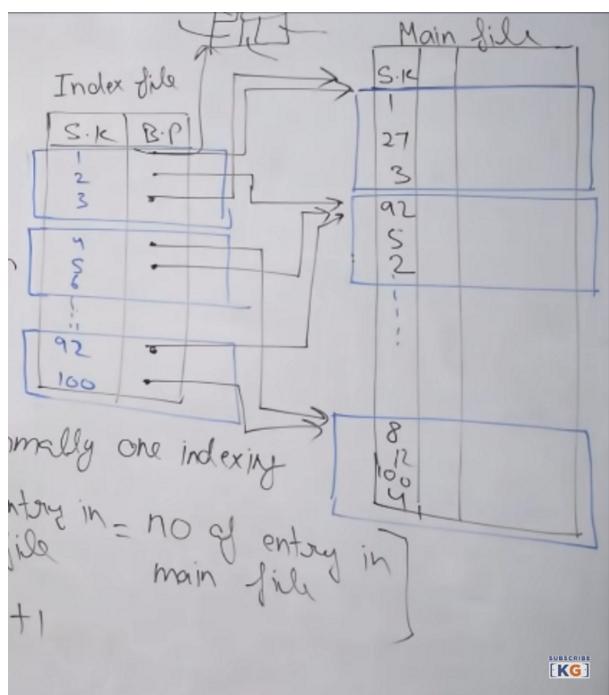
Here, we are pointing at every unique value. So, there might be multiple tuples in the Index file pointing to the same block & it is also possible that a Block might not have even a single pointer on it.

Every record of the main file is not getting an entry in the index file. So, it behaves like sparse indexing.

Now, every value of the DB is getting an entry in the index file. So, it behaves like dense indexing as well.

Secondary Indexing

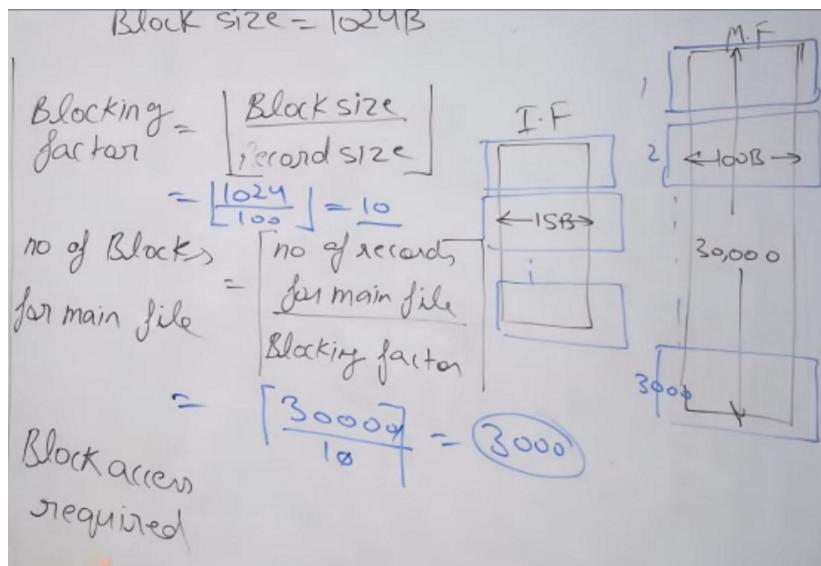
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- Main file is unsorted
- Search key in index file can either be a PK or even a Non PK.
- Since we know that the values can be repeating since SK might not be a PK, it can be handled in diff ways
(Base Pointer → ArrayList)
- No of entries in idx file = No of entries in main file.

$$\text{Search Time} = \lceil \log_2 N \rceil + 1$$

Q



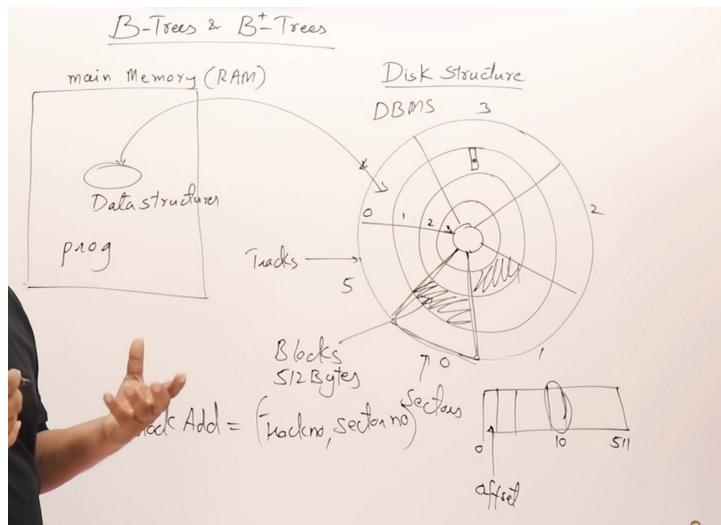
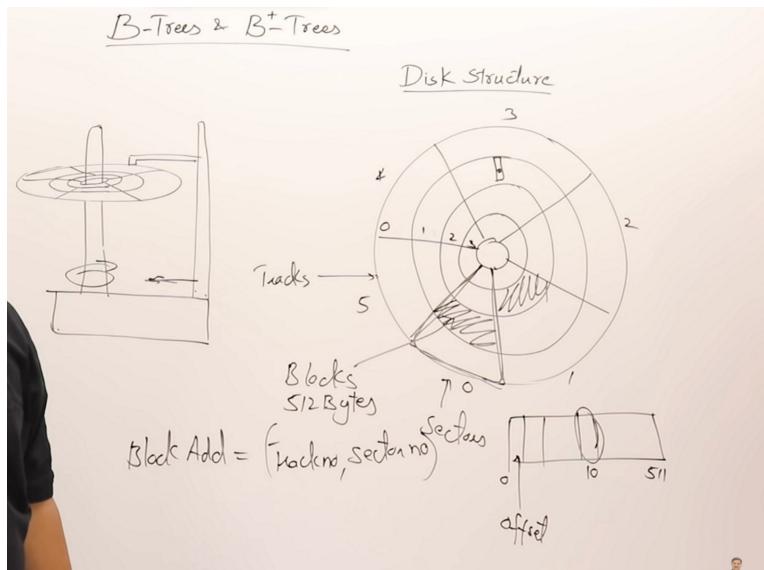
$$\text{Blocking factor} = \left\lceil \frac{\text{Block size}}{\text{Record size}} \right\rceil = \left\lceil \frac{1024}{15} \right\rceil = 68$$

$$\text{No of blocks for index file} = \left\lceil \frac{\text{No of records}}{\text{Record size}} \right\rceil = \left\lceil \frac{39000}{68} \right\rceil = 571$$

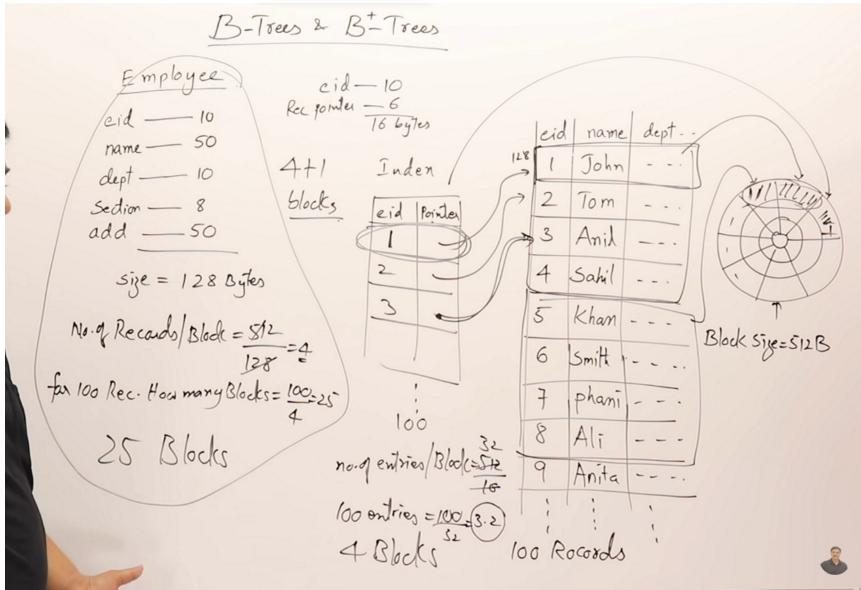
$$\text{Block access required} = \left\lceil \log_2 n + 1 \right\rceil = \left\lceil \log_2 571 + 1 \right\rceil = 10$$

B and B+ Trees

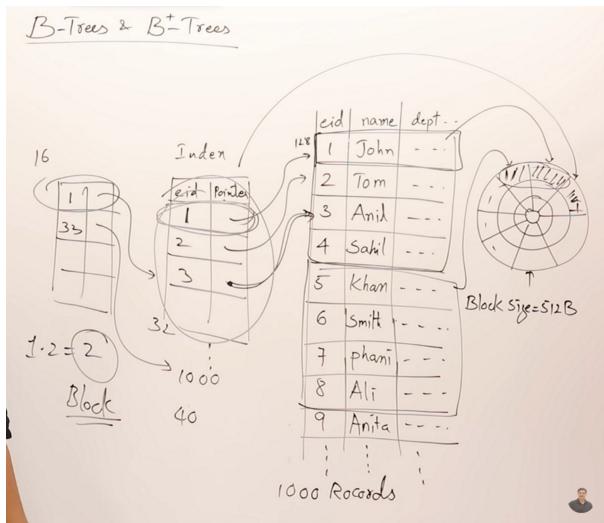
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The study of efficient structuring of data in the main memory that is directly utilized by the program in the main memory is data structure.
The study of efficient storing of data on the disk is DBMS.



Cause, we have showed dense indexing



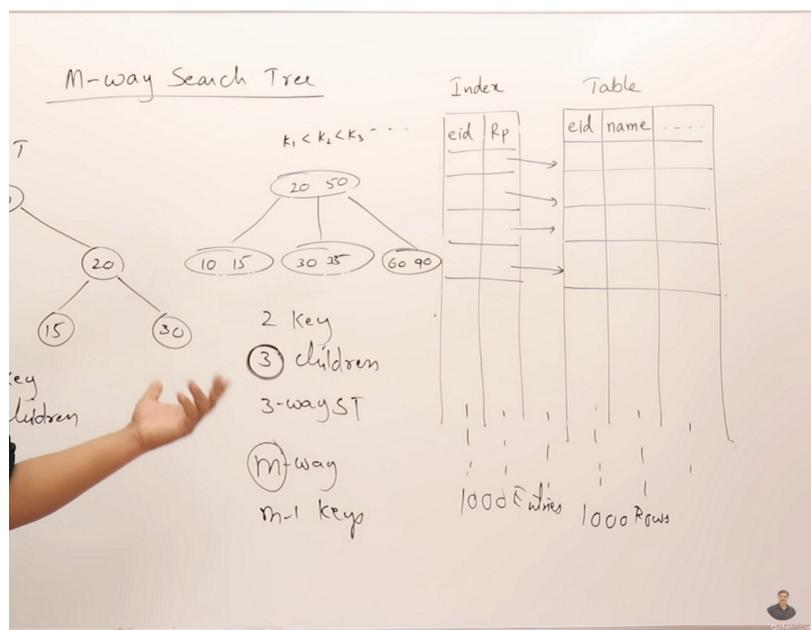
Both the data and index are stored on the disk only.

The pointer pointing to a record of the DB in the index file is called as a record pointer.

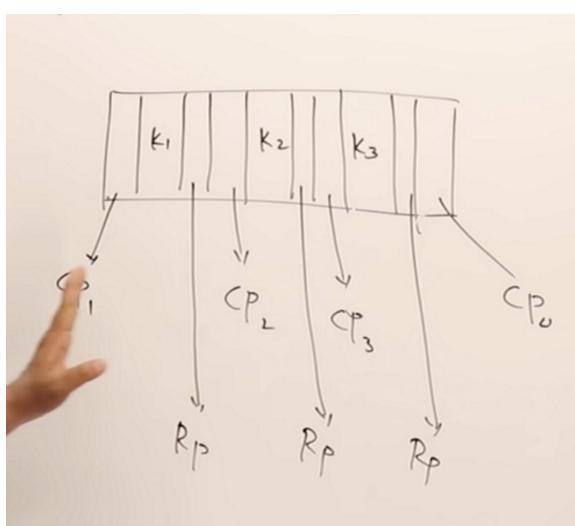
→ Creating multi-level index because the size of index file is also large & searching in index file is also a huge task.

→ Multi-level indexes reduce the search time a lot. They are always sparse as we store blocks of index table as an entry in multi-level index & never one record per entry.

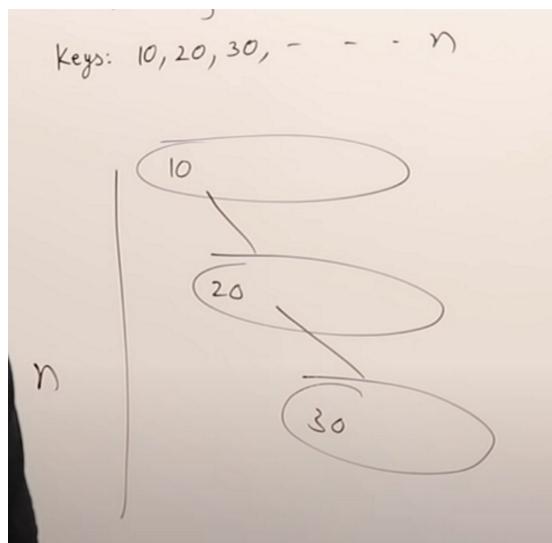
We don't want to check manually that the size of DB & index table is very large so, implement multi-level index. We want an automatic system for creation & deletion of multilevel indexes as the size of the DB grows & reduces respectively \Rightarrow This is the idea behind B & B⁺ Trees.



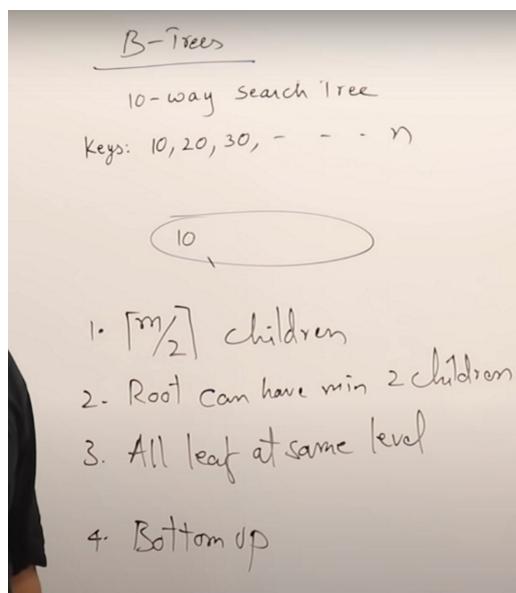
\rightarrow Binary Tree is a 2-way search tree.



\Rightarrow Node structure of an M-way search tree for multi-level indexing

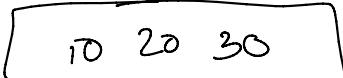


The problem with M-way Search Trees is that there are no proper guidelines for insertion. So, if we insert the keys as shown, it will lead to a height of $O(N)$ i.e. search will become linear search.

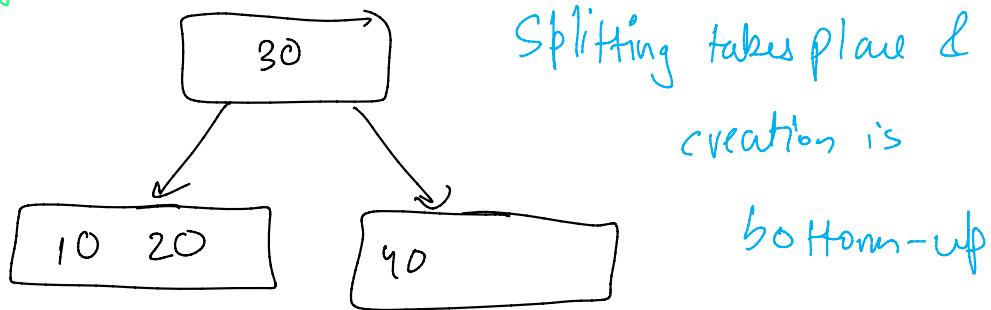


Rules for creating a B-Tree.

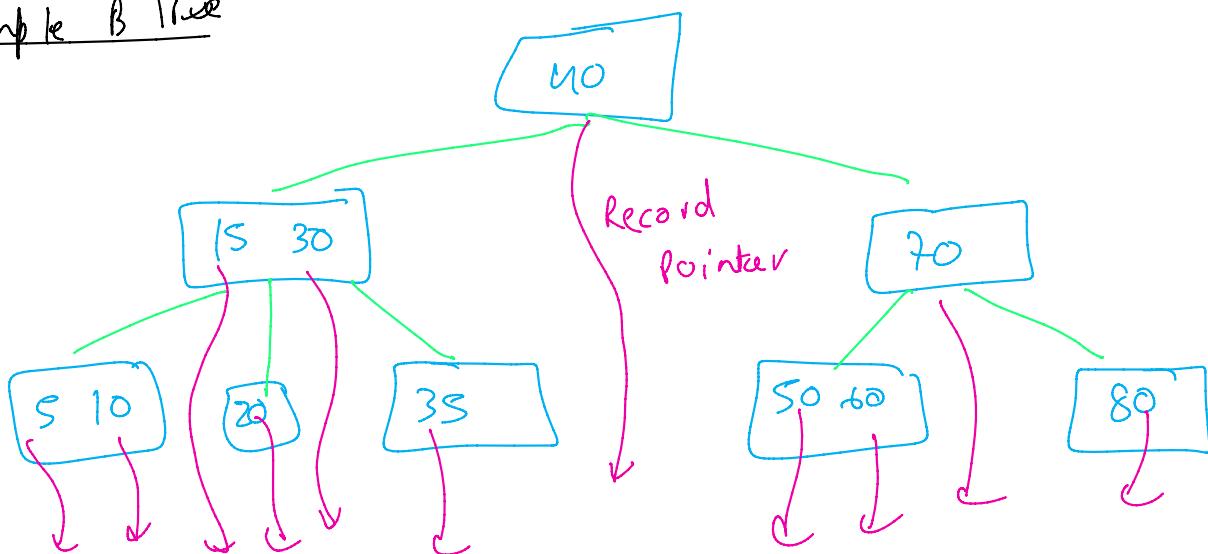
① keys: 10, 20, 30, 40 given $m=4 \rightarrow$ means max 4 children
 so, max 3 keys
 in a Node



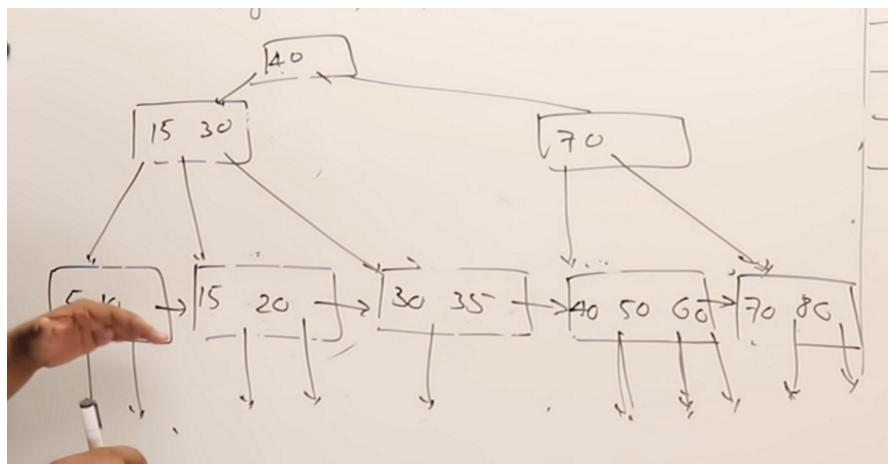
② Inserting 40



Example B Tree



Example :- B+ Tree



leaf nodes have all the keys.

Non-leaf nodes just are a way to reach the leaf nodes where all the data is present.

Record ptrs are only with the leaf nodes -

Query Processing and Optimization

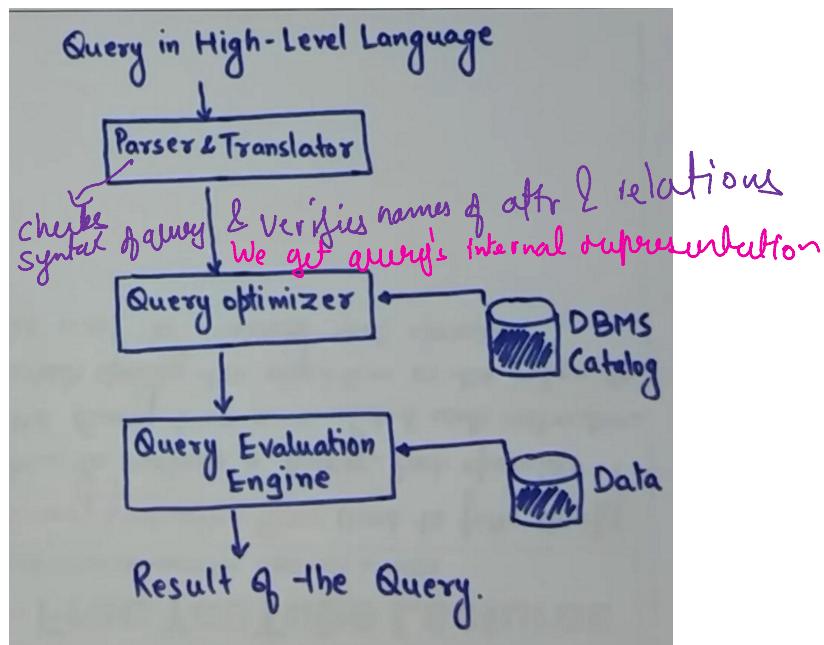
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Query Processing: Includes translation of high-level queries into low-level expressions that can be used at the physical level of the file system, query optimization and actual execution of the query to get the result.

Query optimization: It is the process in which multiple Query-execution plans for satisfying a Query are examined and a most efficient Query plan is identified for execution.

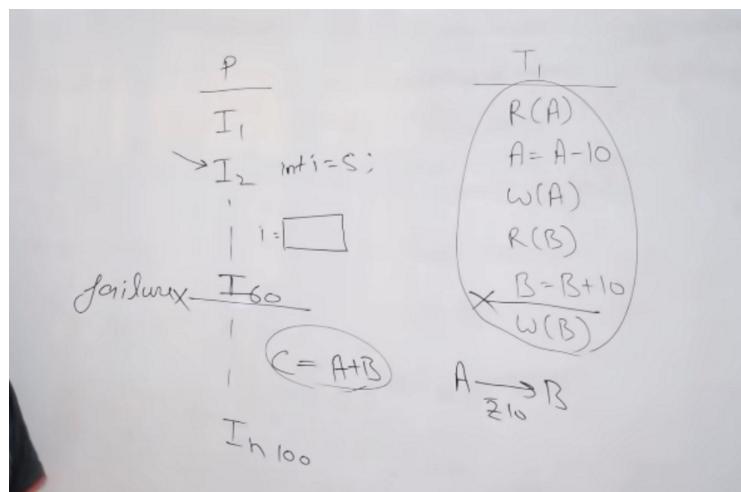
Query Processing steps:

Consists of Parsing and translation, optimization and execution of the Query.



Basic Idea of Transaction in DBMS

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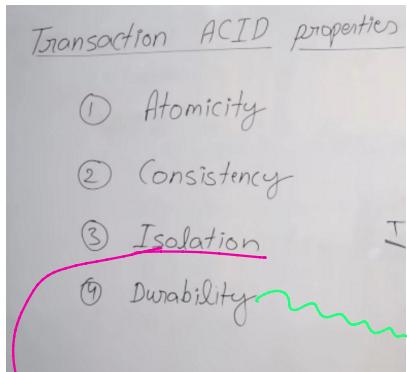
Instructions are always atomic in nature.
Due to computer architecture.

Transaction is a set of instructions that perform a logical unit of work & that must be atomic in nature.

OS ki tarah fraction
mujhe kaam nahi kar sakte.
Agar 10 me se 6 instructions execute honge
k baad failure ho gaya, 6 ins ko undo karna padega
called as rollback.

ACID Properties

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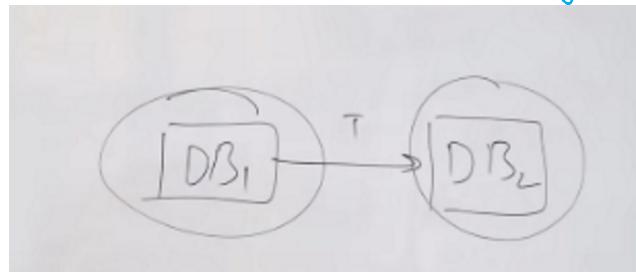
DBMS has a part called transaction management component that ensures atomicity.

changes must persist. (Recovery Management Component)

→ Isolation is not actual but logical. This means that one transaction should not affect another.

Concurrency control component takes care of isolation

Consistency : If a DB was consistent before a transaction, it must be consistent after the transaction also.

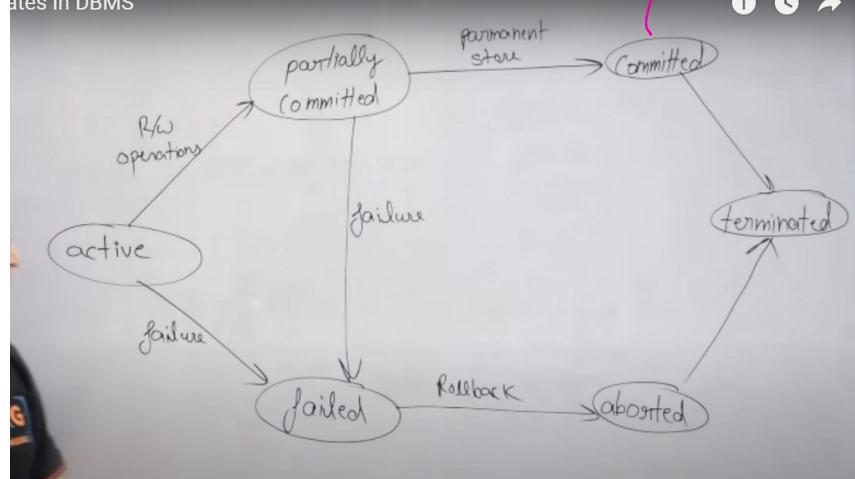


There is no component of DB which takes care of consistency.

Transaction States

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States In DBMS



Now, we can't rollback.

We can run a compensating transaction but can't rollback the same transaction once committed.

Advantages of Concurrency

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Concurrency : Means running multiple transactions at the same time.

Waiting Time ↓

Response Time ↓

Resource Utilization ↑

Efficiency ↑

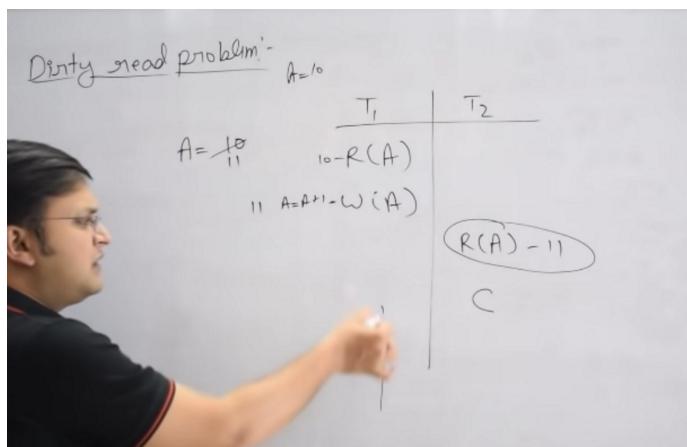
This means context switching only. We are not running multiple instructions simultaneously.

Disadvantage is management becomes difficult.

Dirty Read Problem

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Agar koi transaction DB me se value read karne k baje, local buffer me se kisi uncommitted transaction ki value ko read karne, this is called as dirty read problem.



Now, there is no problem if T_1 completes successfully. But, if T_1 fails while receiving the end, it will rollback & the value in DB will be unchanged (10) while T_2 got committed with value 11 & can't rollback.

So, solution is that the transaction doing dirty read should get committed after the transaction it is doing dirty read from.

Unrepeatable Read Problem

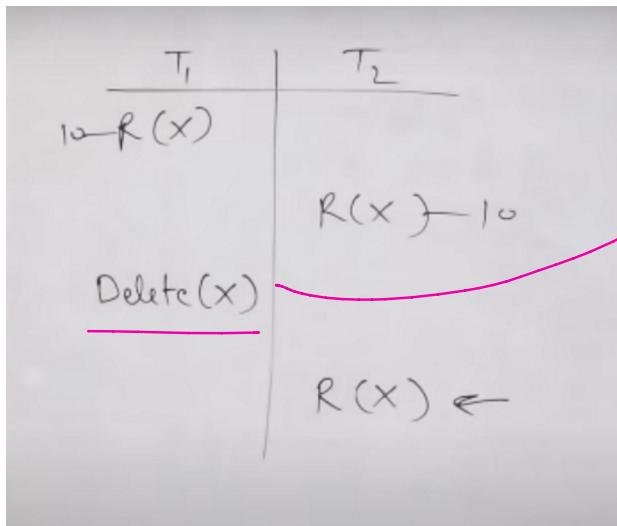
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T_1	T_2
$R(x)$	
	$R(x)$
$w(x)$	
	$w(x)$

T_1 and T_2 both think that they are isolated. T_1 reads the value initially it is 10. T_2 reads it & it is still 10. Now, T_1 modifies it to 11 & now T_2 reads it & its 11. So, T_2 gets confused that if it is isolated i.e if it is the only transaction in the system, how did the value change. So, the read operation when repeated for T_2 caused a problem. Hence this is called unrepeatable read.

Phantom Read Problem

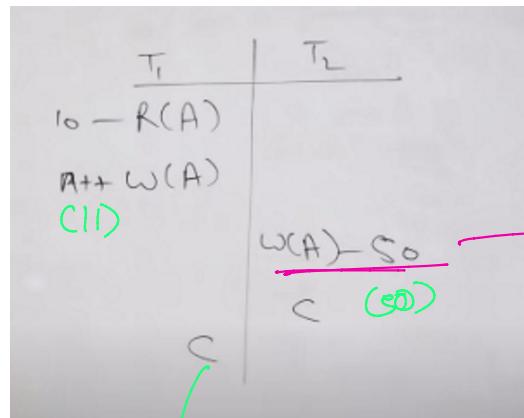
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is bar variable change
nahi hua , delete he ho
gaya -

Lost Update Problem (Write-Write Conflict)

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Directly write without read is called as Blind Write

Since T_2 has been committed first, value s_0 is there & now when T_1 commits, it thinks value will be 11 but it is s_0 .

Serial and Non-Serial Schedule in Transactions

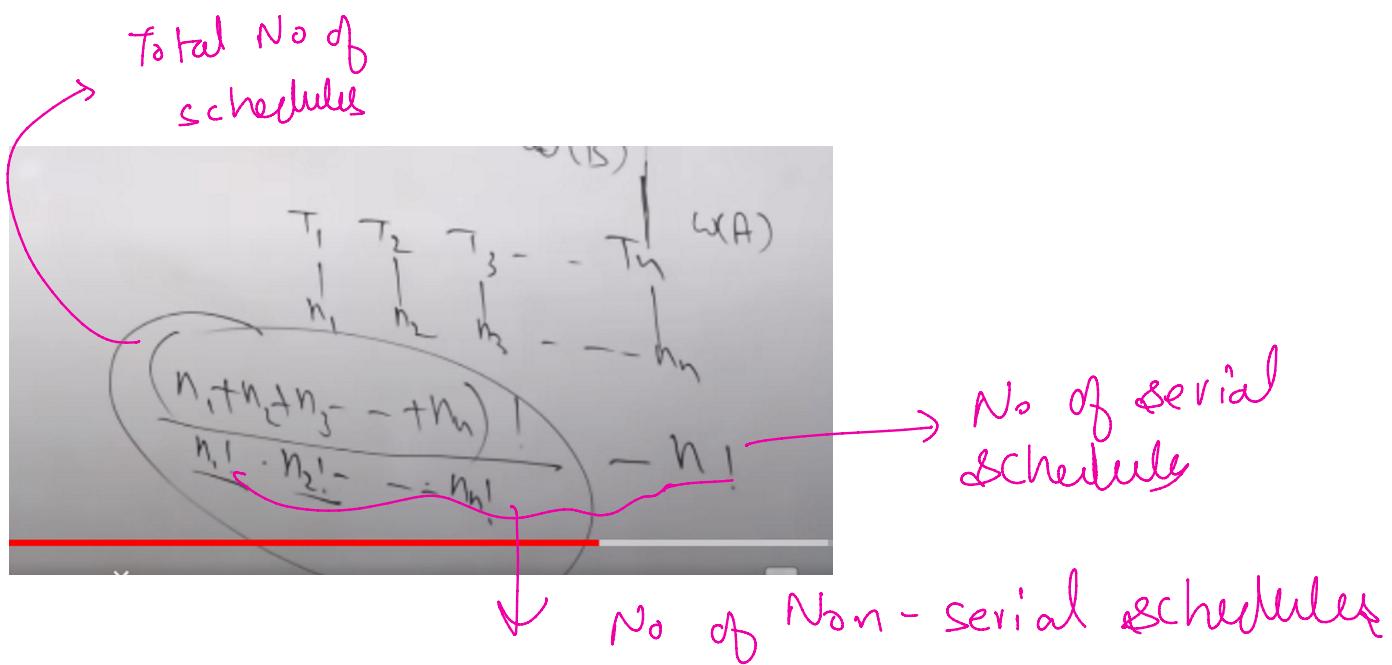
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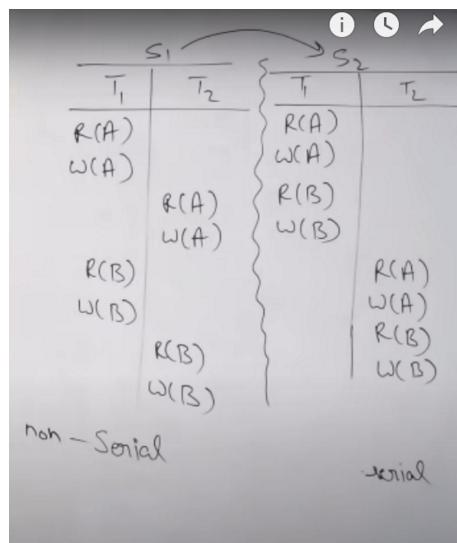
<u>Schedule:-</u>	
<u>T_1</u>	<u>T_2</u>
R(B)	
W(B)	
R(A)	
W(A)	
R(A)	
W(A)	
R(B)	
W(B)	

Serial

→ When context switching does not take place it's serial schedule.
else Non-serial.

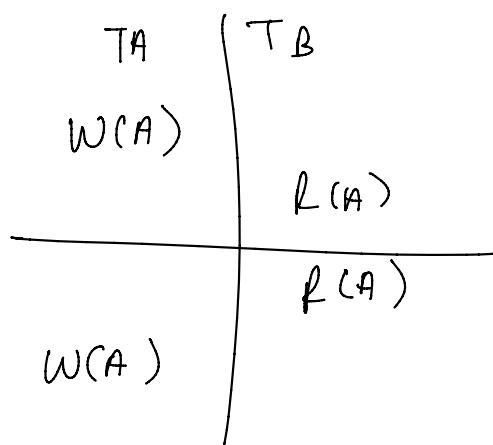
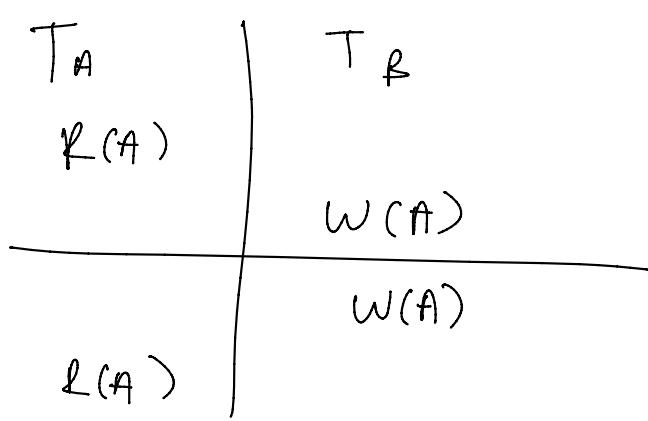
<u>T_1</u>	<u>T_2</u>	<u>S_2</u>
<u>T_1</u>	<u>T_2</u>	<u>T_2</u>
R(A)	R(B)	R(A)
W(A)	W(B)	W(A)
R(B)	R(A)	R(B)
W(B)	W(A)	W(B)



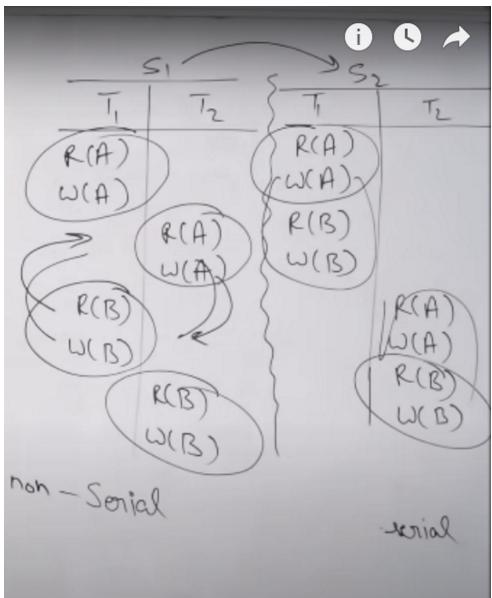


Instructions that can be swapped
and non-conflicting & others are
conflicting.

If instructions are on different transaction
& on same data item & even one of
them is write, there will be a conflict.

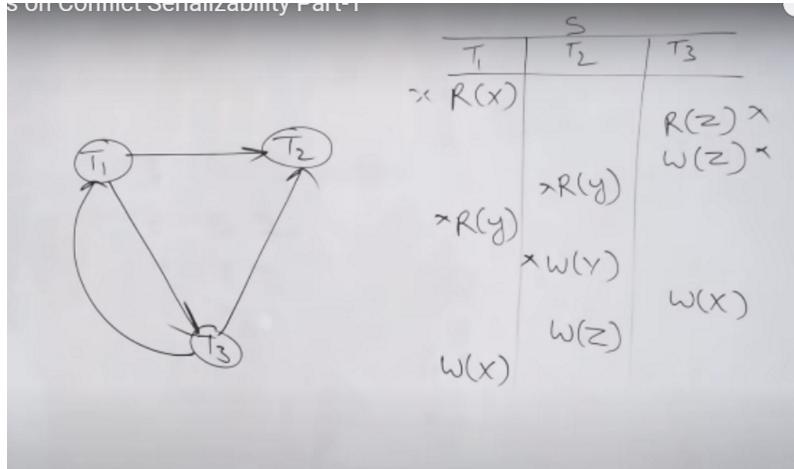


Similarly write - write.



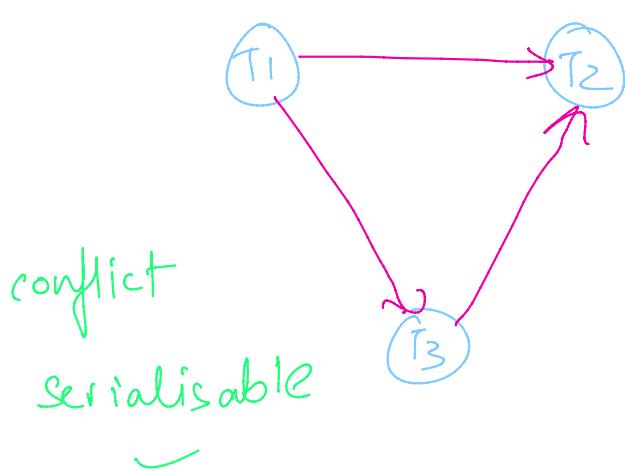
When a non-serial schedule is converted into a serial schedule by swapping non-conflicting instruction, the non-serial schedule is called conflict-serializable schedule.

S on Conflict Serializability Part - I



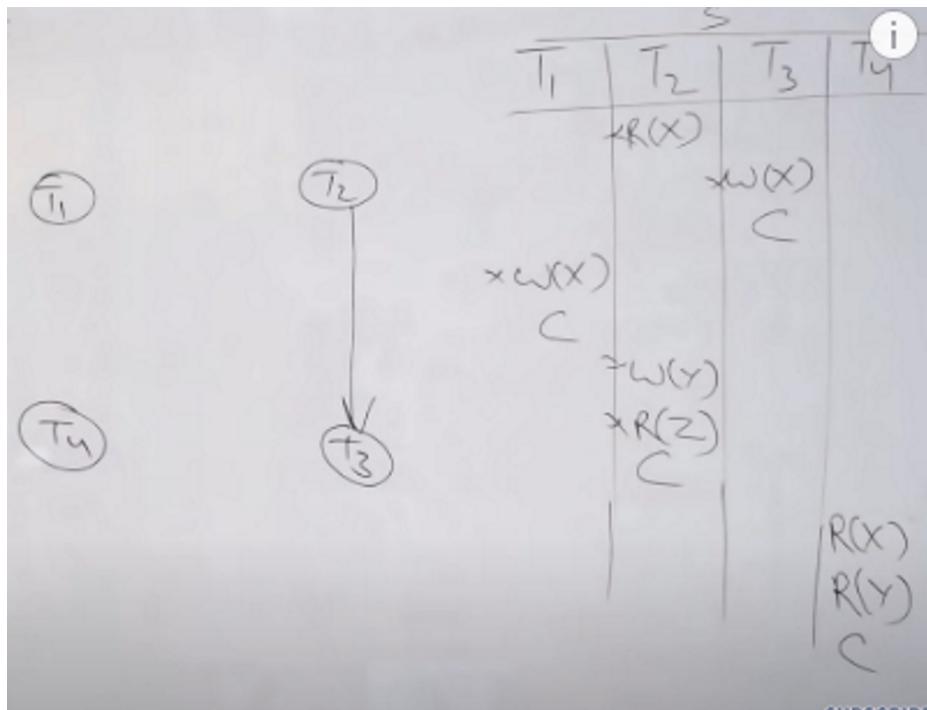
If graph is cycle, Schedule is not conflict serialisable.

S		
T ₁	T ₂	T ₃
R(X)		
	R(Y)	R(Y)
W(X)	W(Y)	
	R(X)	W(X)
	W(X)	



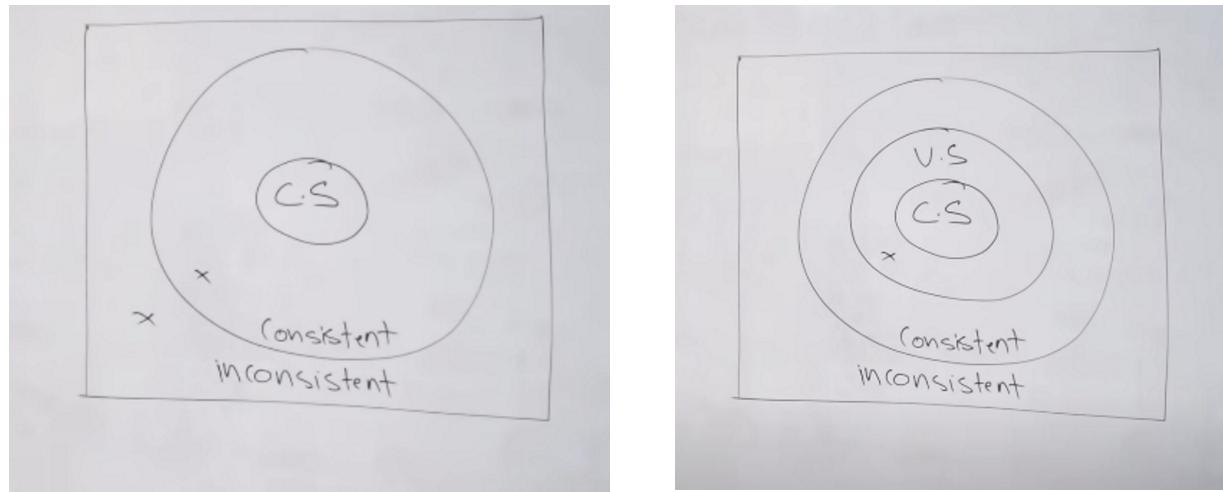
s on Conflict Serializability Part-2		
T ₁	T ₂	T ₃
R(a)		
	R(b)	
		R(c)
		W(c)
W(a)	W(b)	



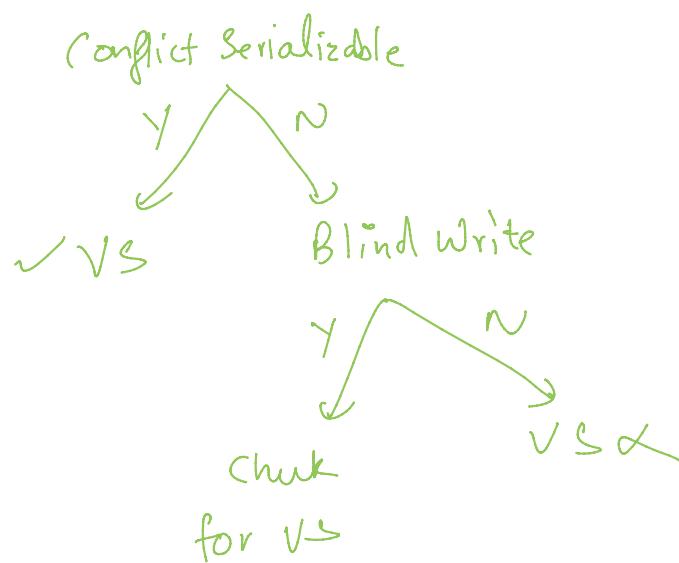


View Serializability

23 June 2022 20:56

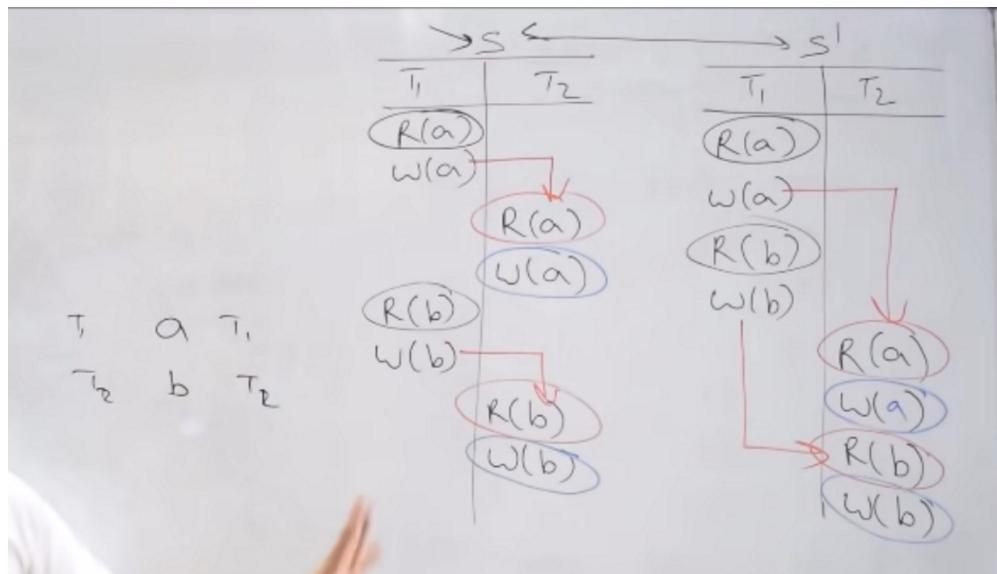


Agar koi schedule conflict serializable nahi hai but view serializable hai, usme pakka kam se kam 1 blind write hogा.



View Equivalence:

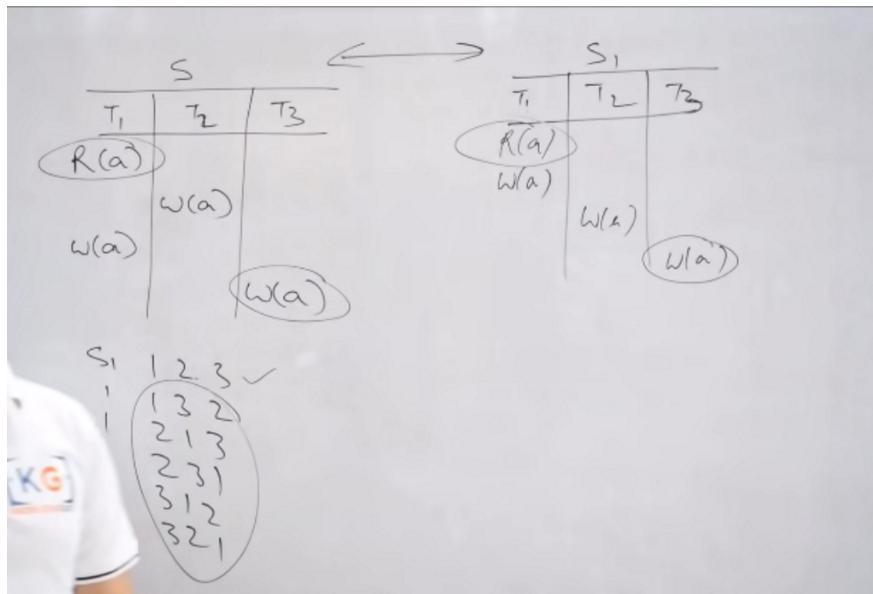
- # Initial read on same data item must be same
- # final write on same data item must be same
- # Intermediate reads on a data item should be same (b/w same transaction)



View Serializable prove karne k liye ek non serial schedule ko usm hone possible serial permutation k saath V.E. prove karne ki koshish harte hai jab tak kisi ek k saath prove na ho ja.

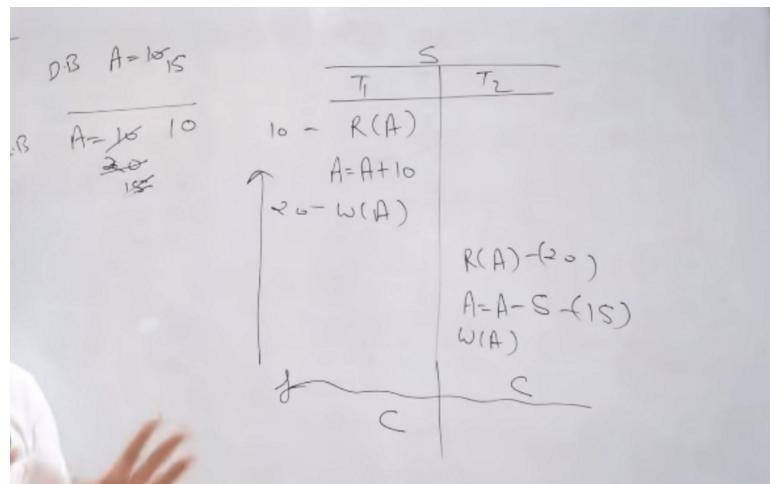
Hence it is so difficult to compute L is an N-P

complete problem .

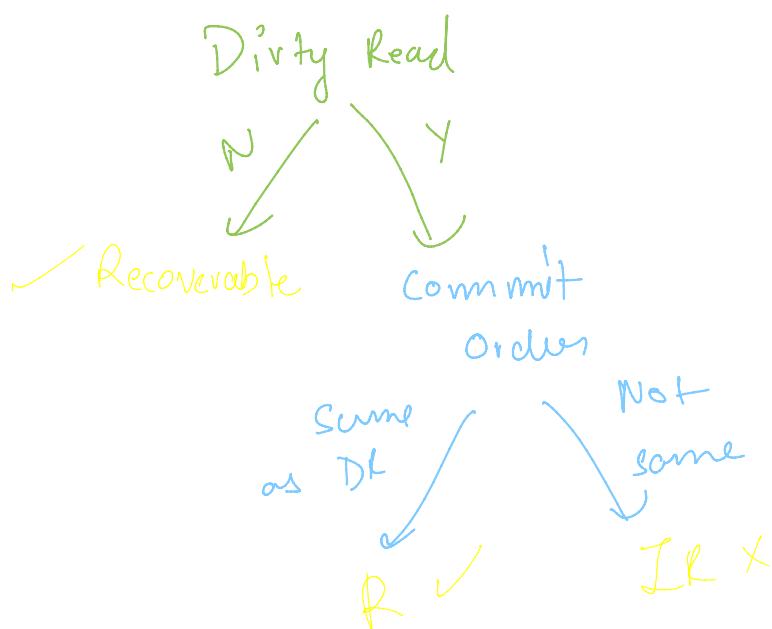


Recoverable Schedule in DBMS

24 June 2022 9:00



Even though S was serial,
the DB is now in an irrecoverable
state.



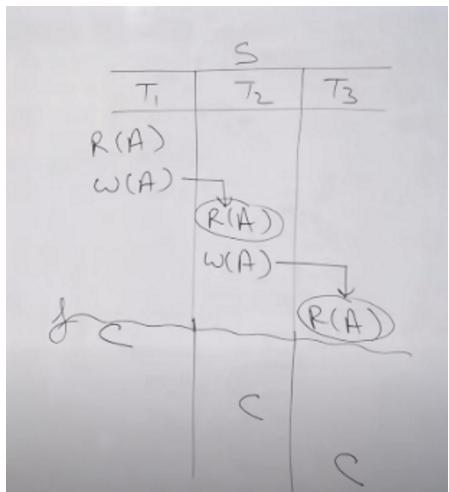
Recoverability is a
necessary property.

Cascadeless Schedule in DBMS

24 June 2022 9:12

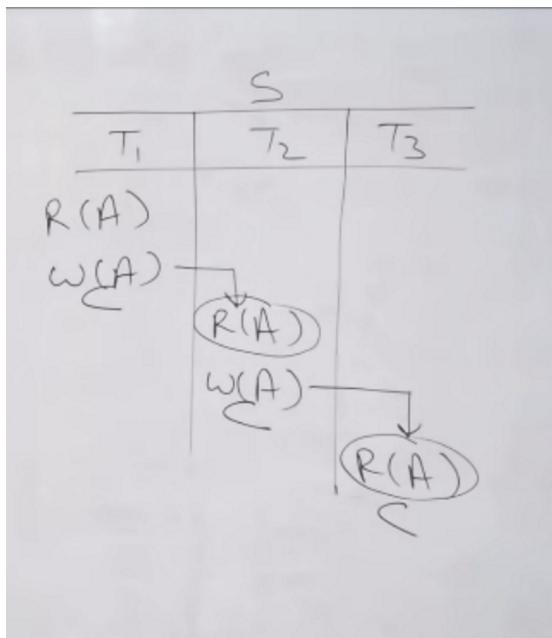
consistency is there, but
not efficiency

Cascading rollback



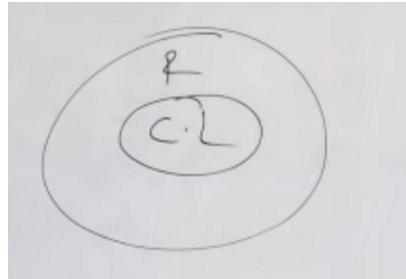
Job ek transaction ko dikh le aur
transactions rollback kar, thus
it is cascading rollback.

So, a schedule without any cascading
rollbacks is a cascadeless schedule.



cascadelessness is optional.

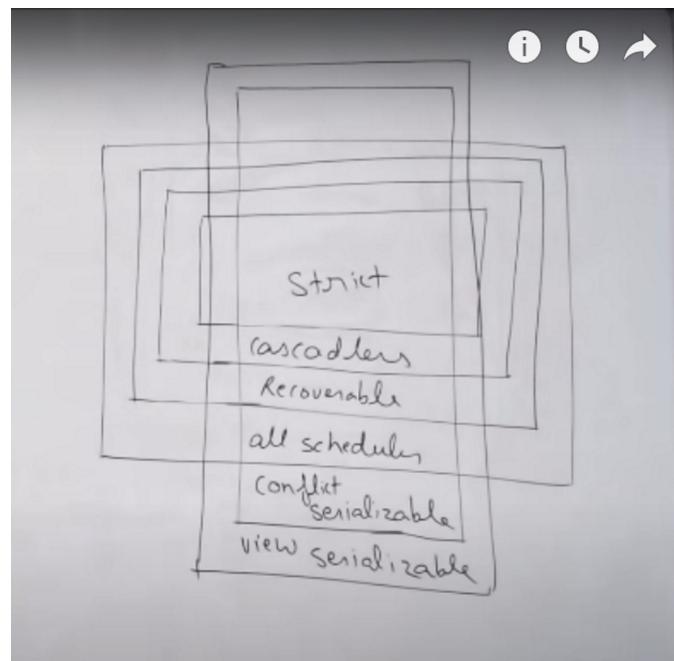
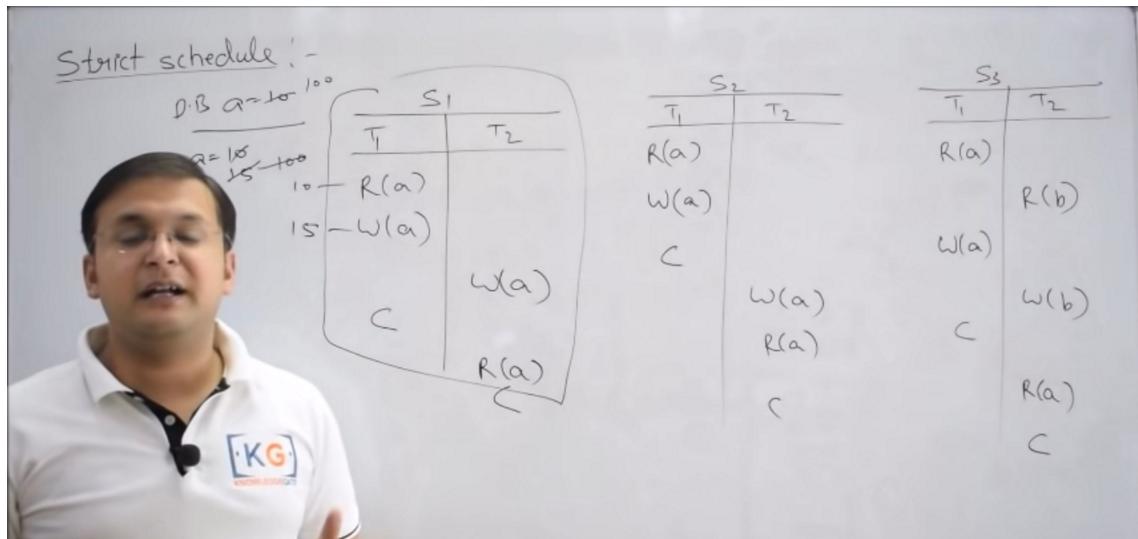
Dirty Read remove kardo
to schedule cascadeless ho jata
hai-



Strict Schedule

24 June 2022 9:22

Jab tak ek transaction ek data item pe write operation karne ke baad usko commit nahi kar deta tab tak koi aur trans us data item ko R/W nahi kar sakti. Aise schedule ko strict schedule kہلے ہے۔



Concurrency Control Technique

24 June 2022 9:34

Concurrency Control technique:-

- Till now we already know how to check whether a schedule will maintain the consistency of DB or not. (C.S, V.S, Recoverability, Cascadles, Strict etc)
- Now will study protocols that guarantees to generate Schedule which Satisfy these properties specially (C.S.)
- for CS we must avoid Conflicting Instructions. We remember three conditions of conflicting instruction.
- Actual Problem is different transaction trying to access data at same time.
- How to approach conflict Serializability
 - Time stamping protocol.
 - Lock based protocol
 - 2 PL (Basic, Conservative, Strict, rigorous)
 - Braph based
 - Validation protocol.
- Goals - (Concurrency, Properties, time, logic,

Time Stamping Protocol

24 June 2022 9:40

Time stamp Ordering Protocol:-

- Basic idea of Time stamping is to decide the order between the transactions before that enters into the system. so that in case of conflict during execution, we can resolve the conflict using ordering.
- the reason we call time-stamp not stamp because, for stamping we take value of system clock (as it will always be unique, can never repeat itself)
- Two roles of time stamping
 - Time stamp with transaction: → with each transaction T_i , we associate a time-stamp denoted by $T.S(T_i)$, it is the value of the system clock when a transaction enters into the system. so if a new transaction T_j enters after T_i then, $T.S(T_i) < T.S(T_j)$. always unique, will remain fixed through the execution.
 - also determine serializability order if $T.S(T_i) < T.S(T_j)$ then system ensure that in the resultant C.S.S T_i will execute first before T_j .
- Time stamp with data item: - for each data item Q , protocol maintains two time-stamps.
 - W-time-stamp(Q): is the largest time-stamp of any transaction that executed write(Q) successfully.
 - R-time-stamp(Q): is the largest time-stamp of any transaction that executed read(Q) successfully.

T_i request for Read(Q) :-

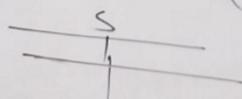
→ if $T.S(T_i) < W.T.S(Q)$, means T_i needs to read a value of Q that was already overwritten. Hence request must be rejected & T_i must rollback.

If $T.S(T_i) \geq W.T.S(Q)$, operation can be allowed and $R.T.S(Q)$ will be

T_i request for Write(Q) :-

if $T.S(T_i) < R.T.S(Q)$, means value of Q that T_i is producing was needed previously and the system assumed that the value would never be produced. hence reject and Rollback.

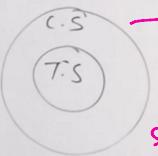
if $T.S(T_i) < W.T.S(Q)$, T_i is attempting to write an obsolete value of Q . reject and Rollback otherwise ok, $W.T.S(Q) = \max(W.T.S(Q), T.S(T_i))$



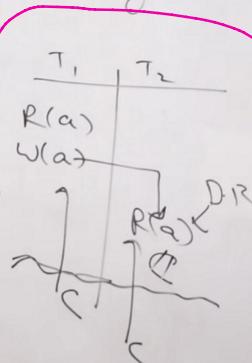
Junior ko allowed hai senior ko nahi.

Properties of Time Stamping Protocol:

- It ensures conflict serializability
- It ensures view serializability
- Possibility of dirty read no restriction on commit, recoverable schedules and cascading rollbacks are possible.
- Here either we allow or reject so no idea of deadlock.

→  **TS sc**
banne vala
schedule CS
hoga

→ may suffer from starvation, relatively slow



but har CS
schedule TS
se ban paal,
this is not possible.

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Thomas Write Rule

Thomas Write Rule: Modify time stamping protocol to make some improvements, and may generate than schedules that are VS but not CS and provides better concurrency.

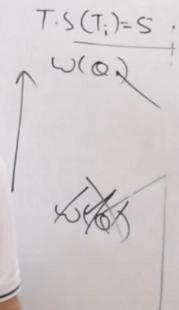
→ it modify time-stamping protocol in obsolete write case. when T_i request write(a) if $TS(T_i) < WTS(a)$

→ here T_i attempts to write obsolete value of a . Rather than rolling back T_i , write operation is ignored.

$$a = 10 \text{ at } 14$$

$$T_i = 12$$

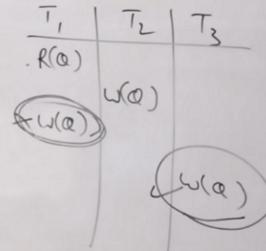
$$T_x = 14$$



$$RTS(a) = 1$$

$$WTS(a) = 2$$

1 2 3



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Lock Based Protocol

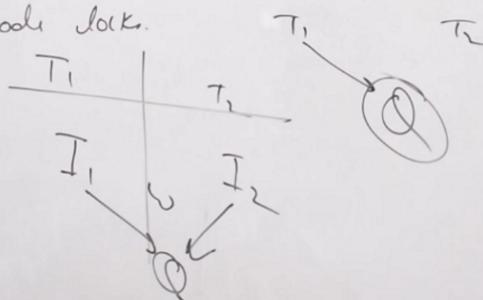
24 June 2022 12:06

Lock Based Protocol: To achieve consistency isolation is the most important idea. Locking is simplest idea to archive isolation. We first obtain a lock on a data item then perform a desired operation and then unlock it.

→ To provide better concurrency along with isolation we use different modes of locks.

Shared mode: denoted by lock-S(α). Transaction can perform Read operation, any other transaction can also obtain same lock on same data item at same time. (So called shared.)

Exclusive mode: denoted by lock-X(α), transaction can perform both Read/ write operations, any other transaction can not obtain either shared/Exclusive mode lock.



		shared	exclusive
shared	T	f	
	f	f	

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Properties of Lock based approach:

	T ₁	T ₂
Lock-X(A)		
R(A)		
W(A)		
Unlock(A)		
		Lock-S(B)
		R(B)
		Unlock(B)
Lock-X(B)		
R(B)		
W(B)		
Unlock(B)		
		Lock-S(A)
		(R(A))
		Unlock(A)

→ if we do unlocking inconsistency will arise, if we do not unlock then concurrency will be poor.

→ We require that transaction follow some set of rules for locking and unlocking of data item. e.g. 2PL or graph based.

→ We say a schedule is legal under a protocol if it can be generated using the rules of the protocols.

C

C

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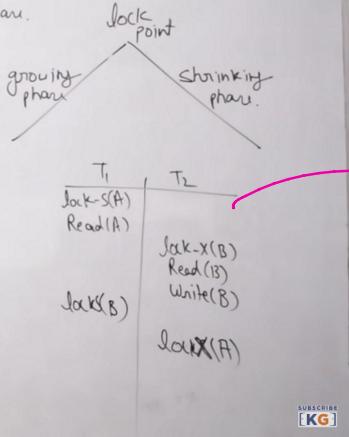
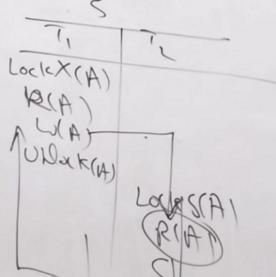
2 Phase Locking

24 June 2022 17:07

Two-Phase Locking Protocol (2PL) :- / Basic 2PL

- This protocol requires that each transaction in a schedule will be two phased growing phase and shrinking phase.
- In growing phase transaction can only obtain locks but can not release any lock.
- In shrinking phase transaction can only release locks but can not obtain any lock.
- transaction can perform read/write operation both in growing/shrinking phase.
- Ensures CS/VS, the order of Serializability is the order in which transaction reaches lock point.
- May generate unrecoverable schedules and cascading rollbacks.
- Do not ensure freedom from deadlock.

$T_1 \rightarrow T_5 \rightarrow T_4 \rightarrow T_3$



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Conservative 2PL

Conservative/static 2PL:- There is no growing phase transaction first will acquire all the locks required and then directly will start from lock point.

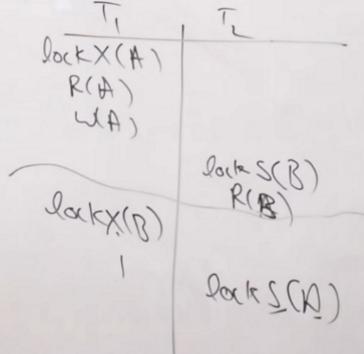
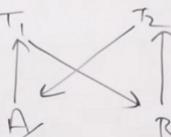
→ if all locks are not available then transaction must release the lock acquired so far and wait.

→ shrinking phase works as usual and transaction can unlock any data item ^{lock point} anytime.

→ Here we must have all the knowledge that what data items will be required during execution

→ C.S, V.S, independent from deadlock.

→ possibility of unrecoverable schedules and cascading rollbacks.



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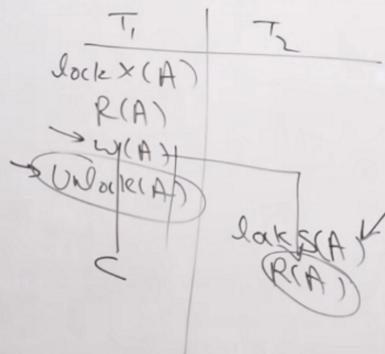
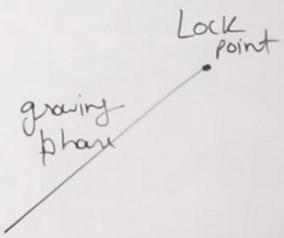
Rigorous 2PL

Rigorous 2PL:- It is an improvement over 2PL protocol where we try to ensure recoverability and cascading releases.

→ Rigorous 2PL requires that all the locks must be held until transaction commits. i.e there is no shrinking phase in the life of a transaction.

→ will ensure C.S, V.S, recoverability, cascading releases

→ suffer from deadlock and inefficiency.



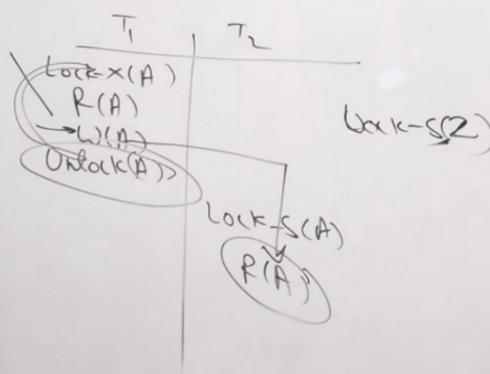
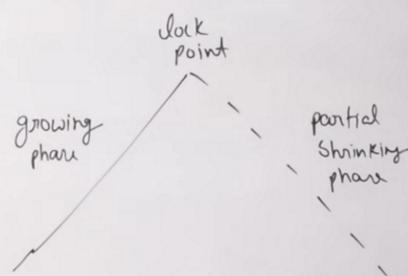
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Strict 2PL

Strict 2PL:- It is an improvement over Rigorous 2PL.

→ In the shrinking phase unlocking of Exclusive locks are not allowed but Unlocking of shared locks can be done.

→ all the properties are same as that of rigorous 2PL, but it provides better concurrency



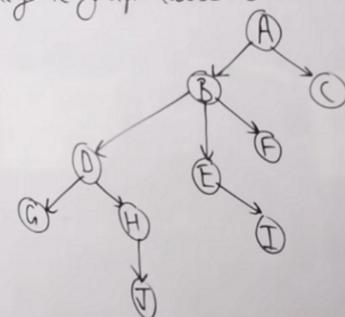
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Graph Based Concurrency Control Protocol

24 June 2022 17:54

Graph based Protocol:-

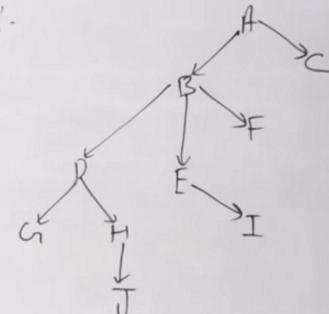
- If we wish to develop lock based protocol that are not based on 2PL, we need additional info that how each transaction will access the data.
- There are various model that can give additional information each differing in the amount of info provided.
- One idea is to have prior knowledge about the order in which the database items will be accessed.
- We impose partial ordering → on set all data items $D = \{d_1, d_2, \dots, d_n\}$. if $d_i \rightarrow d_j$, then any transaction accessing both d_i and d_j , must access d_i before d_j .
- P.O may be because logical or physical organization or only because of concurrency control.
- after P.O, set of all data items D will know be viewed as directed acyclic graph called database graph.
- For sake of simplicity, we follow two restrictions:
 - will study graphs that are rooted trees.
 - will use only exclusive mode locks.



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True Protocol:-

- Each transaction T_i can lock a data item α with following rules:-
 - First lock by T_i may be on any data item.
 - Subsequently, a data item α can be locked by T_i only if the parent of α is currently locked by T_i .
 - Data item may be unlocked at any time.
 - Data item α that has been locked and unlocked by T_i can not subsequently be unlocked by T_i .

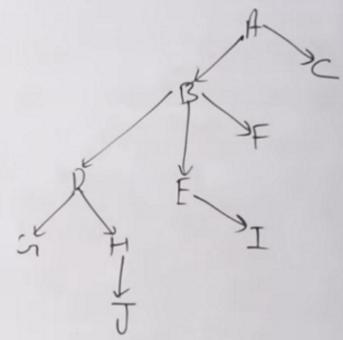


T_1	T_2
lock X(B)	lock(A)
lock X(D)	lock(B)
lock X(E)	lock(C)
unlock(B)	lock(B)
lock X(I)	unlock(A)
unlock(D)	lock(D)
unlock(E)	unlock(B)
unlock(J)	lock(G)
	unlock(D)
	unlock(G)
	unlock(C)

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Properties of true protocol:-

- All schedules that are legal under the true protocol are C.S & V.S.
- True protocol ensure freedom from deadlock.
- True protocol do not ensure recoverability and cascadeliveness.
- Early unlocking is possible, which leads shortest waiting time and increase concurrency.
- A transaction may have to lock data items that it does not access, lead to overhead, waiting time, and decrease in concurrency.
- Transaction must know exactly what data item are to be accessed.
-
- Recoverability & Cascadeliveness can be provided by not unlocking before commit.

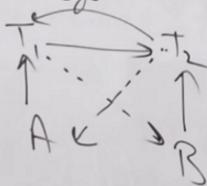


Deadlock Handling and Prevention

24 June 2022 18:27

Deadlock handling:-

- A system is in deadlock state if there exists a set of transaction such that every transaction in the set is waiting for another transaction in the set.
- If there exists a set of waiting transaction T_0, T_1, \dots, T_{n-1} , such that $T_0 \rightarrow T_1, T_1 \rightarrow T_2, \dots, T_{n-1} \rightarrow T_0$ so none transaction can progress in such situation.
- System must have a proper methods to deal with deadlocks, otherwise
 - In real time system it may lead to life and money.
 - will reduce resource utilization and increase inefficiency.
- There are two principle for dealing with deadlock problem
 - Prevention:- which ensure that system will never enter a deadlock state.
 - Detection and Recovery:- allow system to enter into deadlock, then try to recover.

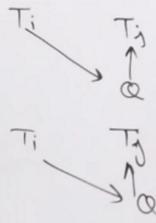


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DeadLock Prevention:-

- To ensure no hold & wait, each transaction locks all the data item before it begins execution eg C-2PL.
- To ensure no cyclic wait, impose an ordering of all data item, and requires that a transaction lock data item only in the sequence consistent with ordering. eg tree protocol.
- It is difficult to predict what data items are required.
- Data item utilization will be low.
- Ordering of data item may be difficult, as time taking to follow.

wait-die: (Non-preemptive)



If $T.S(T_i) < T.S(T_j)$ then T_i must wait (T_i is older)

If $T.S(T_i) > T.S(T_j)$ then T_i rollback (die)

If $T.S(T_i) > T.S(T_j)$ then T_i can wait (T_i is younger)

If $T.S(T_i) < T.S(T_j)$ then T_j rollback

wound-wait: (Preemptive)

Lock-timeouts:

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Multiple Granularity

25 June 2022 7:53

Granularity : It is the size of data items allowed to lock.

Multiple granularity :



Breaking the DB into multiple blocks hierarchically so that they can be locked efficiently.

Maintain concurrency
Reduces lock overhead
It maintains what to lock?
How to lock?
Decide which data item to lock or to unlock

Validation based Protocol

25 June 2022 8:00

DBMS | Concurrency Control Protocols | Validation Based Protocol

Transaction is executed in Three phases:

1. Read Phase
2. Validation Phase
3. Write Phase

Transaction1

Transaction1 Buffer
Temporary local variable

Database

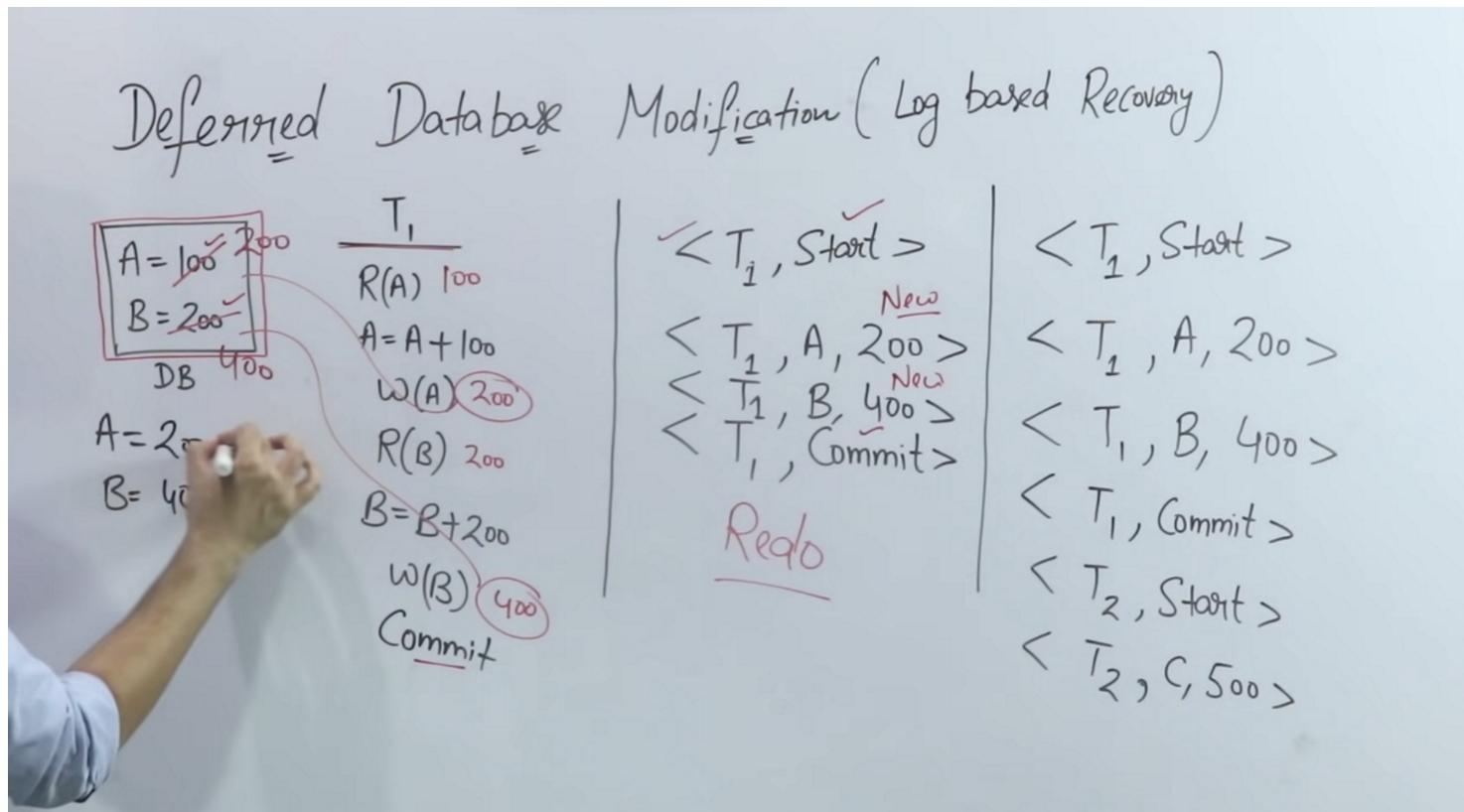
Start TS Read(x)
 $x = x - 1000$
Validation TS Validation
finish TS write

$x = 10000$
 $x = 9000$
Validate
Serializability

$x = 10000$
 $x = 9000$

Deferred Database Modification (Log Based Recovery)

25 June 2022 8:05



Immediate DB Modification

25 June 2022 8:07

Immediate Database Modification (Log based Recovery)

$A = 100$	200
$B = 200$	400

DB

T₁
R(A)

$A = A + 100$

W(A) 200

R(B)

$B = B + 200$

W(B) 400

Commit

$\langle T_1, \text{Start} \rangle$

$\langle T_1, A, 100, 200 \rangle$

$\langle T_1, \text{Commit} \rangle$

$\langle T_1, \text{Start} \rangle$

$\langle T_1, A, 1000, 2000 \rangle$

$\langle T_1, B, 5000, 6000 \rangle$

$\langle T_1, \text{Commit} \rangle$

$\langle T_2, \text{Start} \rangle$

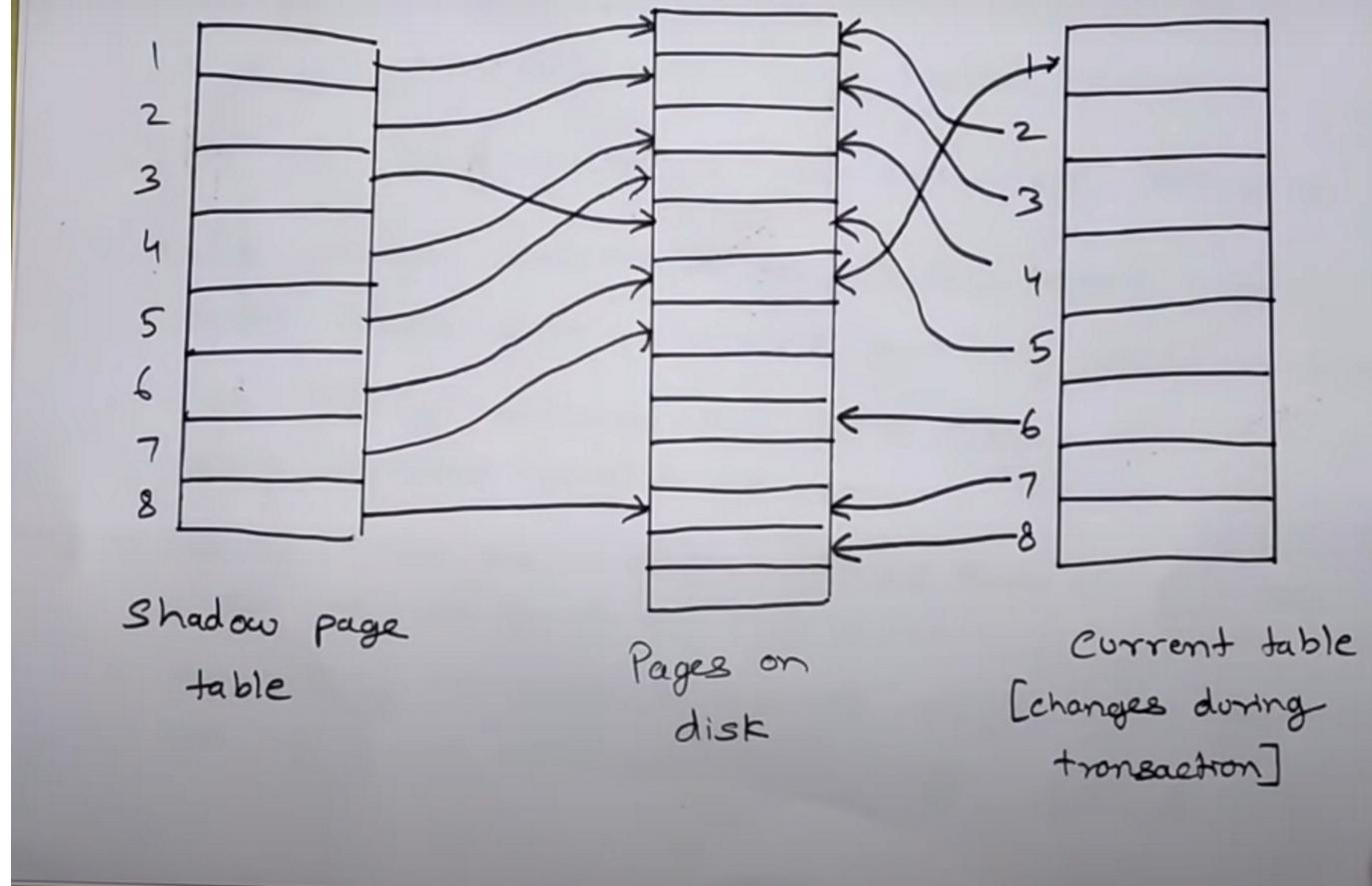
$\langle T_2, C, 700, 800 \rangle$

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Shadow Paging

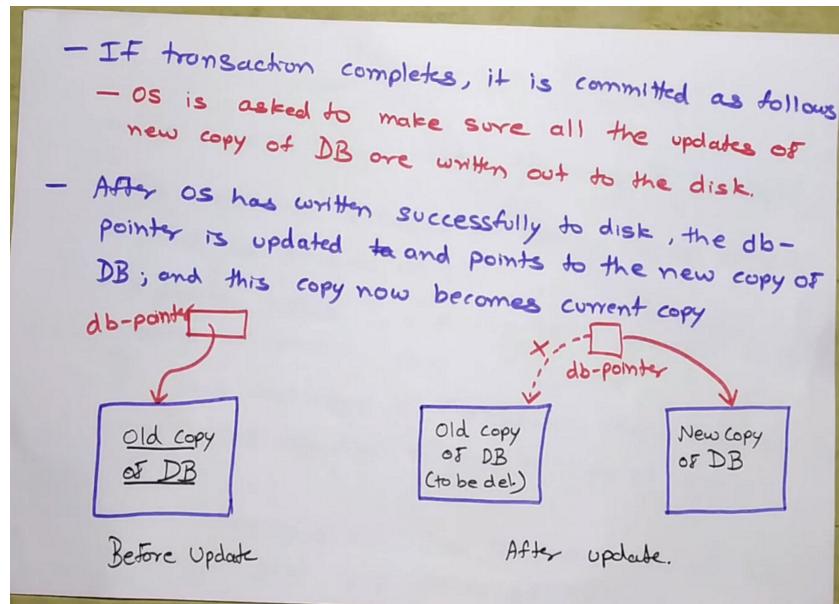
25 June 2022 8:17

atabase Recovery in Hindi | DBMS lectures for Beginners



Shadow Copy Technique

25 June 2022 8:20



Q1. What do data warehouse support ?

- A) OLAP B) OLTP
C) OLAP and OLTP D) Operational Database

Q4. Which of the following does not comes under five V's of BIG Data?

- a) Volume
b) Velocity
c) Variety
d) Visualization

Value \times
Velocity $\frac{80\%-90\%}{\text{of } \times}$
Variety $\frac{\text{Spark}}{\text{of } \times}$

Q2. Hadoop is framework that works with variety of related tools. Common group include _____

- A) Map Reduce, Hive and Hbase
B) Map Reduce, MySQL and Googleapps
C) Map Reduce, HDFS, Iguana
D) Map Reduce, Heron , Trumpet

Q3. All of following accurately describe Hadoop, Except:

- A) Open source B) Real time C) Java based
d) Distributed Computing approach