

given NFA  $M(Q, \Sigma, \delta, q_0, F)$

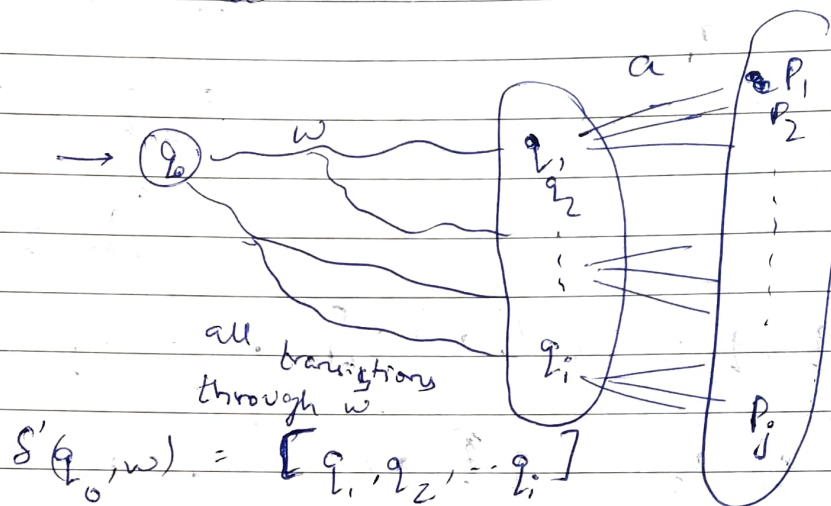
construct DFA  $M'(Q', \Sigma, \delta', q'_0, F')$

such that  $L(M) = L(M')$

(ignoring  $\epsilon$  transitions)

(remains in same state if  $\epsilon$  is used for transition)

Basic idea



$$\delta'(q_0, w) = [q_1, q_2, \dots, q_i]$$

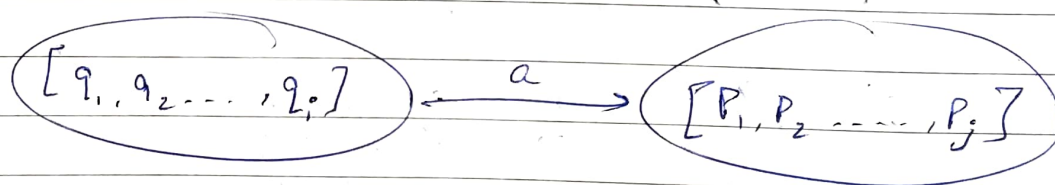
In NFA

$$\delta(\{q_1, q_2, \dots, q_i\}, a) = \{p_1, p_2, \dots, p_j\}$$

In DFA

$$\delta'([q_1, q_2, \dots, q_i], a) = [p_1, p_2, \dots, p_j]$$

↓ looks like



$$q'_0 \notin [q_0] \quad q'_0 = [q_0]$$

Brackets is used for combination of states. One state can also be expressed in it. eg  $[q_1]$ ,  $[q_1, q_3]$ .

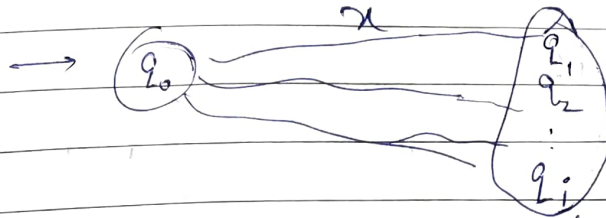
$$q' \in F' \text{ iff } q \in q' \text{ and } q \in F$$

some set

from power set

$$q [q_1, q_2, q_3], [q_4, q_5]$$

$$\delta'([q_0], x) = [q_1, q_2, \dots, q_i] \text{ iff } \delta(q_0, x) = \{q_1, q_2, \dots, q_i\}$$



Proof by induction on  $x$  (length of input)

basis:  $|x| = 0$

$$\delta'([q_0], \epsilon) = [q_0]$$

$$\delta(q_0, \epsilon) = \{q_0\}$$

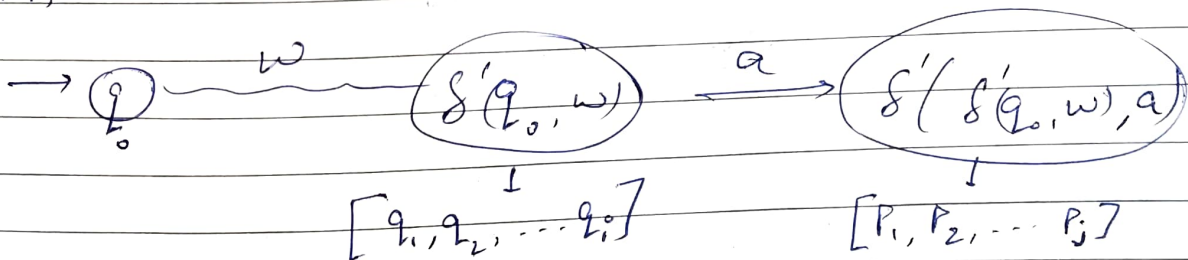
I.H: <sup>for</sup>  $|x| \leq m$ , above condition is true

IS:  $|x| \leq m+1$

we split  $x$  into  $x = wa$

$$\delta'(q_0, x) = \delta'(q_0, wa) = \delta'(\delta'(q_0, w), a)$$

DFA



As  $w \leq m$

By I.H

$$\delta(q_0, w) = \{q_1, q_2, \dots, q_i\}$$

$$\delta'(\delta'(q_0, w), a) = \delta'([q_1, q_2, \dots, q_i], a) \\ = [p_1, p_2, \dots, p_j]$$

iff

$$\delta(\{q_1, q_2, \dots, q_i\}, a) = \{p_1, p_2, \dots, p_j\}$$

$$\stackrel{s_0}{=} \delta([q_0], x) = [p_1, p_2, \dots, p_j]$$

iff

$$\delta(q_0, x) = [p_1, p_2, \dots, p_j]$$

For final states

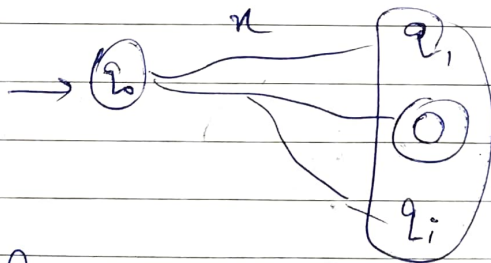
~~NFA~~  $\rightarrow$  DFA

$$\delta'([q_0], x) \in F' \quad \text{iff} \quad \delta(q_0, x) \in F$$

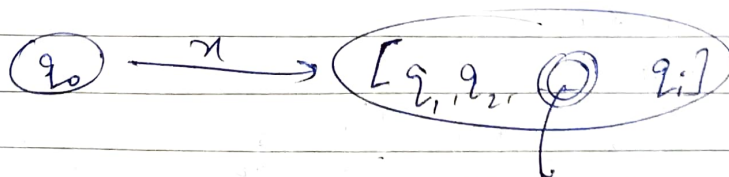
$x \in L(M')$

$x \in L(M)$

NFA



In DFA



this state was in  $F$  in  $M$ .  
 so this state belongs to  $F'$

So we have proved NFA with no  $\epsilon$  transitions are  $\leq$  DFA.

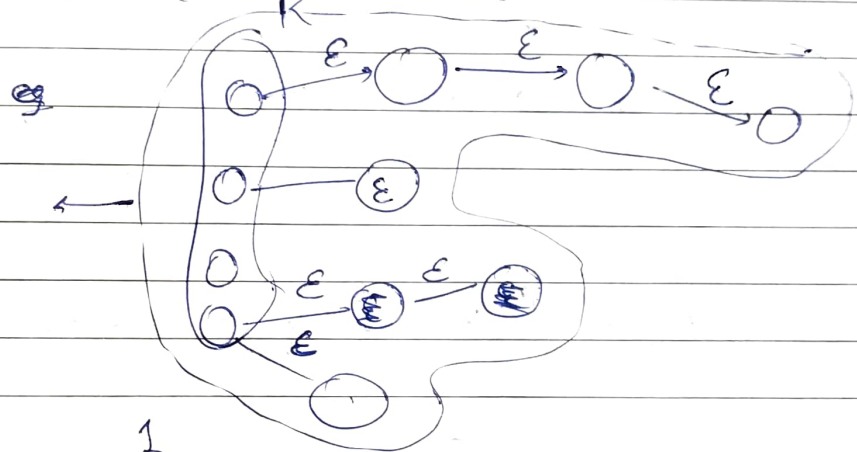
For NFA we have corresponding DFA  $M'(\mathcal{Q}', \Sigma, \delta', q'_0, F')$

We will now see NFAs with  $\epsilon$  transitions

NFA  $M(\mathcal{Q}, \Sigma, \hat{\delta}, q_0, F)$

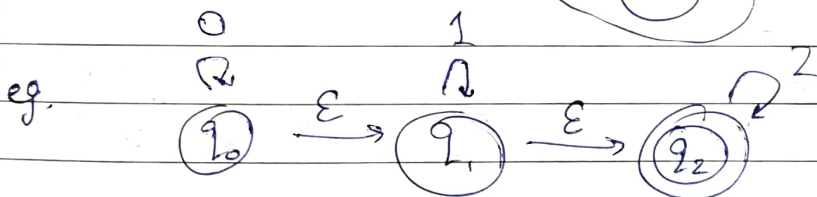
with no  $\epsilon$  transitions.  $\leftarrow$  NFA  $M'(\mathcal{Q}, \Sigma, \delta', q_0, F')$

we define  $\epsilon$  closure( $R$ )  $\xrightarrow{\text{Set of states } R}$  as.



Set of all  
this states is

$\epsilon$  closure( $R$ )



$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\}$$

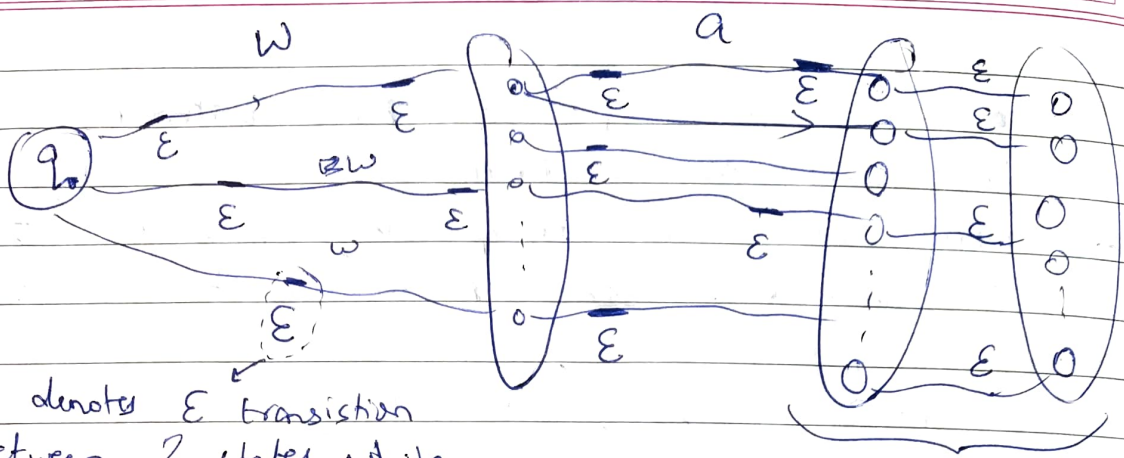
$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

$$\begin{aligned} \epsilon\text{ closure of } (\{q_0, q_1\}) &= \epsilon\text{-closure}(q_0) \cup \epsilon\text{-closure}(q_1) \\ &= \{q_0, q_1, q_2\} \end{aligned}$$

$$\epsilon\text{-closure}(\phi) = \phi$$



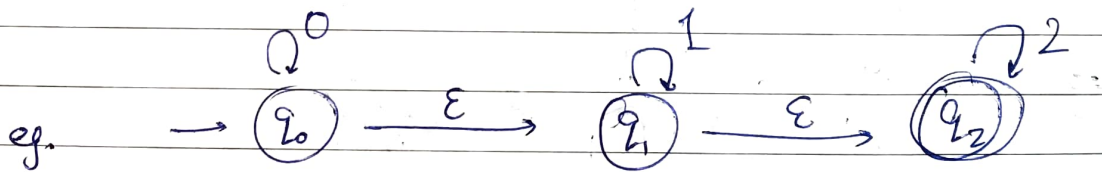


this denotes  $\epsilon$  transition  
 in between 2 states, while  
 consuming  $w$  input.

$$\hat{\delta}(q, \epsilon) = \epsilon\text{-closure}(q_0)$$

$$\hat{\delta}(q, wa) = \text{we don't define, formulate them, but logically they represent them}$$

$$L(M) = \{ w \mid \hat{\delta}(q_0, w) \text{ contains a final state in } F \}$$



$$\hat{\delta}(q_0, 0) = \{q_0, q_1, q_2\}$$

$$\hat{\delta}(q_0, 2) = \{q_2\}$$

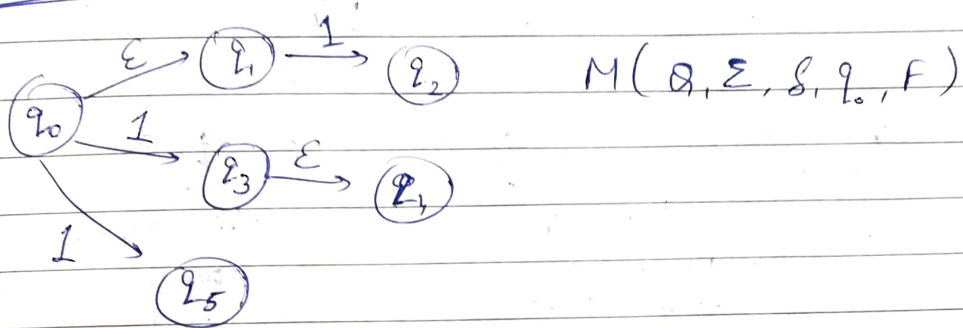
$$\hat{\delta}(q_0, 1) = \{q_1, q_2\}$$

$$\hat{\delta}(q_1, 1) = \{q_1, q_2\}$$

For  $|x| \geq 0$ ,  $\delta^*(q_0, x) = \delta^*(q_0, x)$  <sup>single symbol</sup> <sup>string.</sup>

We will now see how to deal with NFAs having  $\epsilon$  transitions.

### Basic idea.



This NFA could be converted to

$$\delta^*(q_0, 1) = \{q_2, q_3, q_4, q_5\}$$

For NFA  $\rightarrow M'(Q, \Sigma, \delta', q'_0, F')$  not having  $\epsilon$  transitions

$$q'_0 = \epsilon(q_0) = \{q_0, q_1\}$$

$$\delta'(q'_0, 1) = \epsilon(q_0) \cup \delta(q_1, 1)$$

$$= \epsilon\text{-closure of } (\delta(q_0, 1) \cup \delta(q_1, 1))$$

$$= \epsilon\text{-closure of } (\{q_3, q_5\} \cup \{q_2\})$$

$$= \epsilon\text{-closure of } (\{q_2, q_3, q_5\})$$

$$= \{q_2, q_3, q_4, q_5\}$$

So in  $M'$  we include the  $\epsilon$ -closures.

We will prove for NFA  $M$  with  $\epsilon$  transitions can be transformed to NFA  $M'$  without  $\epsilon$  transitions by creating such ~~trans~~ equivalent transitions.

## Proof

NFA  $\xrightarrow{\text{with } \epsilon \text{ transition}}$   $M(\mathcal{Q}, \Sigma, \hat{\delta}, q_0, F)$

NFA  $\xrightarrow{\text{with no } \epsilon \text{ transition}}$   $M'(\mathcal{Q}, \Sigma, \delta', q_0, F')$

c.t.  $L(M') = L(M)$

$$\forall q \in \mathcal{Q} \quad \forall a \in \Sigma \quad \underbrace{\delta'(q, a)}_{\text{this expression returns a set of final state}} = \underbrace{\hat{\delta}(q, a)}_{\text{this expression returns a set of final state}}$$

Here we are equating, the set of states obtained after transition, not the transition itself

And remember, we didn't give any formal defn for  $\hat{\delta}(q, a)$ , it was defined pictorially, including the  $\epsilon$ -closures.

$$\text{for } |x| > 0 \quad \delta'(q_0, x) = \hat{\delta}(q_0, x)$$

We will prove that for EVERY TRANSITION, ITS RETURN VALUE, SET OF STATES, are equal.

### • Proof by Induction

basis:  $|x| = 1 \quad \delta'(q_0, a) = \hat{\delta}(q_0, a)$

I.H:  $\text{for } |x| \leq m, \quad \delta'(q_0, x) = \hat{\delta}(q_0, x)$

$n = m+1$  we split  $x$  into  $wa$ , where  $w \leq m$ , ~~and~~  $a$  is one symbol.  
 I.S.:  $\delta'(q_0, wa) = \delta'(\underbrace{\delta'(q_0, w)}_{\text{applying IH}}, a)$

$$= \delta'(\hat{\delta}(q_0, w), a)$$

Let this be some set  $S$

$$= \delta'(S, a)$$

using its defn

$$= \bigcup_{q \in S} \delta'(q, a)$$

using IH

$$= \bigcup_{q \in S} \hat{\delta}(q, a)$$

$$= \hat{\delta}(q, wa)$$

relation with  $\epsilon$  closure.

$q_0$  is start state,  $q$  is  $\epsilon$ -closure of  $q_0$ .

- We will relate the final states  $F, F'$ .

$\delta'(q_0, x)$  contains a state of  $F'$  iff  $\hat{\delta}(q_0, x)$  contains a state of  $F$

$\Rightarrow$  For  $x = \epsilon$   $\overset{\text{machine } M'}{\delta'(q_0, \epsilon)} = \{q_0\}$

$\overset{\text{machine } M}{\delta'(q_0, \epsilon)} = \{ \epsilon\text{-closure}(q_0) = \{\dots, q_0, \dots\} \}$

Here if  $q_0 \in F'$  then  $q_0$  here belongs to  $F$ .

But lets say in  $M$ , some other state apart from  $q_0$  is in  $F$ , so we must then include some state of  $M'$  in  $F'$ , so here we include  $q_0$ .



$$F' = \begin{cases} F \cup \{q_0\} & \text{if } \epsilon \text{ closure}(q_0) \text{ contains a state of } F. \end{cases}$$

~~1st part~~  $\Rightarrow x \neq \epsilon$

1st part

$\delta(q_0, x)$  contains a state of  $F$

$\delta'(q_0, x)$  also contains a state of  $F'$ .

True pictorially.

Second part,

$\delta(q_0, x)$  contains a state of  $F'$   
 then

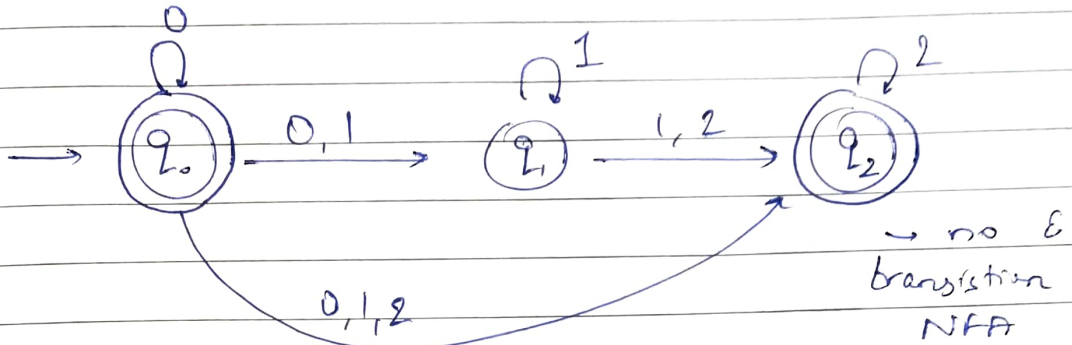
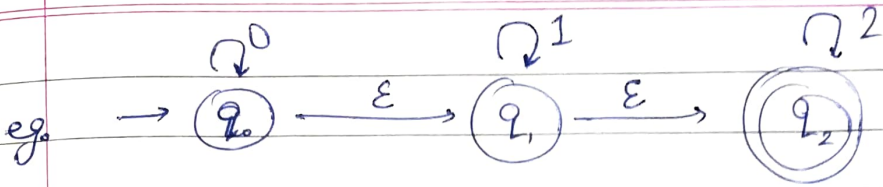
$\delta(q_0, x)$  also contains a state of  $F$ .

True pictorially.

Final  $F$  &  $F'$  relation

$$F' = \begin{cases} F \cup \{q_0\} & \text{if } \epsilon\text{-closure}(q_0) \text{ contains a state of } F \\ F \end{cases}$$

Hence we were able to construct an NFA with no  $\epsilon$  transitions.



$$\hat{\delta}(q_0, \epsilon) = \epsilon\text{-closure}(\{q_0\}) = \{q_0, q_1, q_2\}$$

$$\hat{\delta}(q_0, 0) = \{q_0, q_1, q_2\}$$

$$\hat{\delta}(q_0, 1) = \{q_1, q_2\}$$

$$\hat{\delta}(q_0, 2) = \{q_2\}$$

$$\hat{\delta}(q_1, 0) = \phi$$

$$\hat{\delta}(q_1, 1) = \{q_1, q_2\}$$

$$\hat{\delta}(q_1, 2) = \{q_2\}$$

$$\hat{\delta}(q_2, 0) = \phi$$

$$\hat{\delta}(q_2, 1) = \phi$$

$$\hat{\delta}(q_2, 2) = \{q_2\}$$

For all of them we make equivalent ~~no~~  $\delta'$  transition

$\epsilon$ -closure contains a state in  $F$ , so  $F'$  is  $F \cup \{q_0\}$