

# CS207 Design and Analysis of Algorithms

Sajith Gopalan

Indian Institute of Technology Guwahati

*sajith@iitg.ac.in*

January 19, 2022

# Divide and Conquer

# Divide and Conquer

- ▶ solve a problem recursively
  - ▶ Divide the problem into a number of smaller instances of the same problem
  - ▶ For each of these subproblems, if its size is sufficiently large, then Conquer it recursively, else Conquer it directly
  - ▶ Combine the solutions to the subproblems into the solution for the original problem

# Master Theorem

## Theorem

For positive constants  $a$  and  $b$  and integer valued functions  $f(n)$  and  $T(n)$  on  $\mathbb{N}^+$ , if  $T(n) = aT(n/b) + f(n)$  for  $n > 1$ , but  $\Theta(1)$  for  $n = 1$ , where  $n/b$  stands for either  $\lceil n/b \rceil$  or  $\lfloor n/b \rfloor$ , then  
(i)  $f(n) = O(n^{\log_b a - \epsilon})$ , for a constant  $\epsilon \in (0, 1)$ , implies

$$T(n) = \Theta(n^{\log_b a})$$

(ii)  $f(n) = \Theta(n^{\log_b a})$  implies

$$T(n) = \Theta(n^{\log_b a} \log_2 n)$$

(iii)  $f(n) = \Omega(n^{\log_b a + \epsilon})$ , for a constant  $\epsilon \in (0, 1)$ , and there exists  $c < 1$  and  $m > 0$  such that for all  $n \geq m$ ,  $af(n/b) \leq cf(n)$  implies

$$T(n) = \Theta(f(n))$$

# Proof

It is enough to upper bound  $T(n) = aT(\lceil n/b \rceil) + f(n)$  for each of the cases.

Define  $n_j = n$  if  $j = 0$ ,  $\lceil \frac{n_{j-1}}{b} \rceil$  if  $j > 0$

Then

$$n_0 \leq n, \quad n_1 \leq \frac{n}{b} + 1, \quad n_2 \leq \frac{n}{b^2} + \frac{1}{b} + 1, \quad n_3 \leq \frac{n}{b^3} + \frac{1}{b^2} + \frac{1}{b} + 1$$

$$n_j \leq \frac{n}{b^j} + \sum_{k=0}^{j-1} \frac{1}{b^k} < \frac{n}{b^j} + \frac{b}{(b-1)}$$

Therefore,

$$n_{\lfloor \log_b n \rfloor} < \frac{n}{b^{\lfloor \log_b n \rfloor}} + \frac{b}{(b-1)} < \frac{n}{b^{\log_b n - 1}} + \frac{b}{(b-1)} = b + \frac{b}{(b-1)} = O(1)$$

# Proof

$$\begin{aligned}T(n_0) &= aT(n_1) + f(n_0) \\&= a^2T(n_2) + af(n_1) + f(n_0) \\&= a^3T(n_3) + a^2f(n_2) + af(n_1) + f(n_0) \\&= a^jT(n_j) + a^{j-1}f(n_{j-1}) + \dots + a^0f(n_0) \\&= a^{\lfloor \log_b n \rfloor} T(O(1)) + \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k f(n_k) \text{ (When } j = \lfloor \log_b n \rfloor \text{)} \\&< a^{\log_b n} T(O(1)) + \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k f(n_k) \\&= \Theta(n^{\log_b a}) + \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k f(n_k)\end{aligned}$$

# Proof of case i

$$\sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k f(n_k) = O\left(\sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k (n_k)^{\log_b a - \epsilon}\right) =$$

$$O\left(n^{\log_b a - \epsilon} \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k / b^{k \log_b a - k\epsilon}\right) = O\left(n^{\log_b a - \epsilon} \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} b^{k\epsilon}\right) =$$

$$O\left(n^{\log_b a - \epsilon} \cdot \frac{b^{\epsilon \lfloor \log_b n \rfloor} - 1}{b^\epsilon - 1}\right) = O\left(n^{\log_b a - \epsilon} \cdot \frac{n^\epsilon - 1}{b^\epsilon - 1}\right) = O\left(n^{\log_b a}\right)$$

# Proof of case ii

$$\begin{aligned}\sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k f(n_k) &= \Theta \left( \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k (n_k)^{\log_b a} \right) = \\ \Theta \left( n^{\log_b a} \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k / b^{k \log_b a} \right) &= \Theta \left( n^{\log_b a} \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} 1 \right) = \\ \Theta \left( n^{\log_b a} \cdot \log_b n \right) &= \Theta \left( n^{\log_b a} \cdot \log_2 n \right)\end{aligned}$$



## Proof of case iii

$$\exists c < 1, \exists m > 0, \forall n \geq m, [af(n_1) \leq cf(n_0)]$$

$$\implies \exists c < 1, \exists m > 0, \forall n \geq m, [f(n_1) \leq (c/a)f(n_0)]$$

$$\implies \exists c < 1, \exists m > 0, \forall n \geq bm, [f(n_2) \leq (c/a)^2 f(n_0)]$$

$$\implies \exists c < 1, \exists m > 0, \forall n \geq b^{j-1}m, [f(n_j) \leq (c/a)^j f(n_0)]$$

That is,  $f(\cdot)$  at a sufficiently large  $n_j$  is upper bounded by  $(c/a)^j f(n)$

For smaller values,  $f(\cdot)$  is upper bounded by a constant.

## Proof of case iii

$$\sum_{k=0}^{\lfloor \log_b n \rfloor - 1} a^k f(n_k) \leq \sum_{k=0}^{\lfloor \log_b n \rfloor - 1} c^k f(n) + O(1) \leq$$

$$f(n) \sum_{k=0}^{\infty} c^k + O(1) = f(n) \frac{1}{1-c} + O(1) = \Theta(f(n))$$