

Lecture - 6

Outline: zero sound as density-density response
 variational wfn for fermi liquid,
 RG approach to fermi liquid.

Zero sound as a density-density response

$$\langle \rho(q, \omega) \rho(-q, -\omega) \rangle$$

so

$$\delta \rho \sim \chi \mu$$

↓
susceptibility

$$\int \mu(q, \omega) \rho(q, \omega)$$

~~~~~  
density term in  $\mathcal{H}$

$$\chi \sim \int [\rho_{r_z}, \rho_{(90)}] e^{i q r - i \omega t}$$

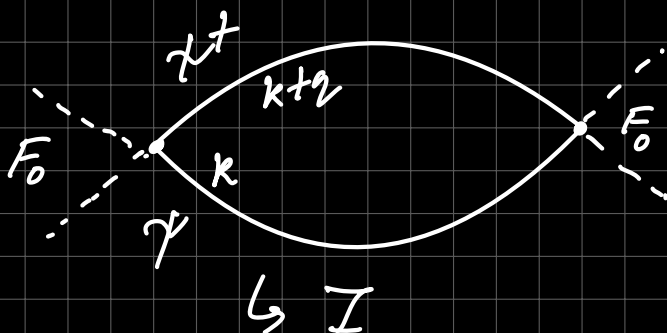
real time

$$\langle \rho(i\tau, \omega), \rho(-i\tau, -\omega) \rangle$$

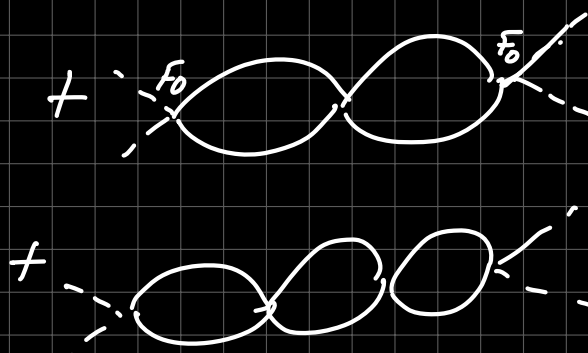
$$\rho(r, t) = \psi^\dagger(r, t) \psi(r, t)$$

$$\rho(q, \omega) \sim \int_{k, \nu} \psi^\dagger(k, \nu) \psi(k+q, \omega + \nu)$$

density density resp. in diagrammatically



(Lindhard susceptibility  
for fermi liquids)



$$\chi = \langle P_1 \rangle = I + I^2 F_0 + I^3 F_0^2 - \dots$$

$$= I \left[ \frac{1}{1 - I F_0} \right] \quad \text{--- (1)}$$

$$I \sim \left\{ \frac{\omega}{2v_F} \log \left[ \frac{\omega + v_F q}{\omega - v_F q} \right] - 1 \right\} \quad \text{(Lindhard fn, non-interacting susceptibility)}$$

$\hookrightarrow$  in 211B

relation to Ising model (i.e. susceptibility)

$$\mathcal{H} = -J \sum_i s_i s_{i+1} - h \sum_i s_i$$

$$\chi(T=0) \sim \frac{1}{T} \left[ \text{what are all the thermo resp. defs} \right]$$

$$\mathcal{H}_{MF} = \sum_i - (J_{2m} + h) s_i$$

$$\langle s_i \rangle = m$$

$$= \chi_0 \chi_{\text{eff}} = \chi_0 [J_{2m} + h] = \frac{\chi_0 h}{1 - \chi_0 J_2}$$

$$\hookrightarrow \text{str. of int.}$$

mFT  $\equiv$  summing  $\infty$  # of diagrams

$\downarrow$   
compare with (1)

# Poles of  $\chi$  determine int. excitations i.e. modes that can dominate response even under slight perturbation.

$$\frac{1}{F_0} = \frac{\omega}{2v_F} \log \left( \frac{\omega + v_F q}{\omega - v_F q} \right) - 1$$

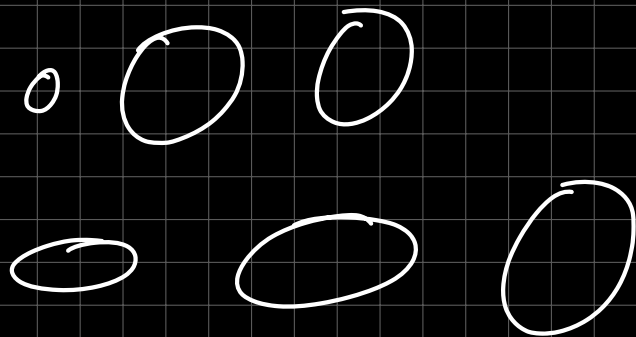
$$\lambda = \frac{\omega}{v_F q}$$

$$\frac{1}{F_0} = \frac{\lambda}{2} \log \left( \frac{1+\lambda}{\lambda-1} \right) - 1$$

[in Ising model, poles of sus. = give  $T_c$ , locat<sup>n</sup> where all response functions are diverging]

Check:- Pines & Nozières

# Can have possibly  $\infty$  # of sound modes.



$\Rightarrow$  why doesn't happen in  $He^3$ ?

$\downarrow$   
for  $l=0$   $F_0 > 0$   
for undamped

for  $l=1$   $F_1 > 6$   
for undamped ]

not satisfied  
in  $He^3$

## Variational wfn. for fermi liquid

Hubbard model:

$$H = -t \sum_{\langle ij \rangle} [c_{i\sigma}^\dagger c_{j\sigma} + h.c.] + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$\underbrace{\hspace{10em}}_{H_t} \qquad \underbrace{\hspace{10em}}_{H_U}$

$$|\psi_0\rangle \text{ or } |\psi_{\text{exact}}\rangle = \lim_{\beta \rightarrow \infty} \frac{1}{Z} e^{-\beta H} |\text{product state}\rangle$$

$$|\psi_{\text{var}}\rangle = e^{-\beta_2 H_U} e^{-\beta_2 H_t} |\text{product state}\rangle \quad \beta_2 \rightarrow \infty \text{ leads to}$$

$\hookrightarrow$  is a good approx<sup>n</sup> to actual wavef<sup>n</sup> (why?)

→ simplification of Trotter decomposition.

$$= \sum_{\sigma} g \left( = \prod_{\sigma} \sum_{|k| < k_F} \right) |\psi_0\rangle$$

a free parameter

$$E(g) = \langle \psi(g) | \mathcal{H} | \psi(g) \rangle$$

min  $E(g)$  w.r.t  $g$  (what is  $g$ ?)

"Gutzwiller projected wf"

$$0 < g < 1$$

$g = 1$  : Metal

$g = 0$  : insulator

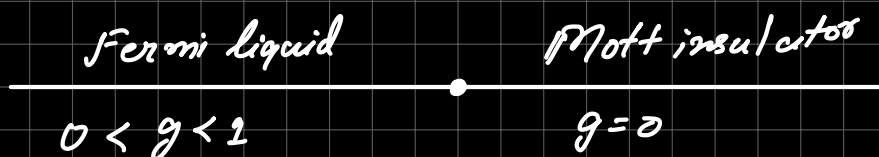
prohibit double occupancy

$$\sum_{\sigma} g \sum_{i,j} n_{i\sigma} n_{j\sigma} | \underbrace{\uparrow \downarrow \uparrow \downarrow}_{|\psi_0\rangle} \rangle = g |\psi_0\rangle$$

$$|\psi_0\rangle = | \underline{\uparrow \downarrow} \quad \underline{\uparrow} \quad \underline{\uparrow} \quad \underline{\downarrow} \rangle + | \underline{\downarrow} \quad \underline{\uparrow \downarrow} \quad \underline{\uparrow} \quad \underline{\downarrow \uparrow} \rangle + \dots$$

$$\lim_{g \rightarrow 0} \sum_{i,j} n_{i\sigma} n_{j\sigma} |\psi_0\rangle = | \downarrow \uparrow \downarrow \uparrow \rangle$$

purely spin wf



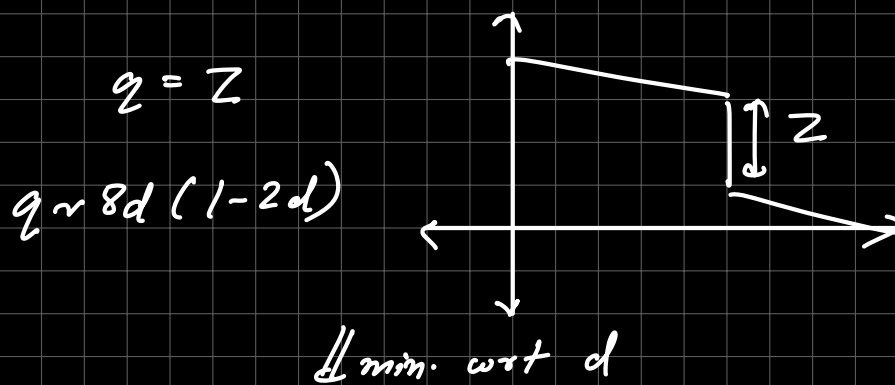
In 1d, this can describe Luttinger liquid.

# Gutzwiller approximation is diff from Gutzwiller projection.

$$\frac{\langle \psi | E | \psi \rangle}{V} (g, d) = \frac{\langle \psi | E | \psi \rangle}{V} (g^*, d)$$

# of doubly occupied states  $= U d + \sum_{\sigma=\uparrow\downarrow} q_{\sigma} \bar{\epsilon}_{\sigma}$

$$\bar{\epsilon}_{\sigma} = \frac{\sum_{k < k_F} \epsilon_k}{V}$$



$$d = \frac{1}{4} \left[ 1 - \frac{U}{U_c(u)} \right] \quad \text{for } U \rightarrow U_c, d \rightarrow 0$$

$$q = 1 - \left( \frac{U}{U_c} \right)^2$$

caricature of FLT  $\rightarrow$   $\rightarrow 0$  or  $Z \rightarrow 0$

Mott insulator phase

### Modern perspective

$$c_{\sigma} = \underbrace{b}_{\substack{\text{only} \\ \text{charge}}} \underbrace{f_{\sigma}}_{\text{spin only}} \quad (\text{phonon / slave-fermion approach})$$

Fermi liquid:  $b$  is in a superfluid phase

$f$  is in a free fermion state

$$\langle c_k^{\dagger} c_k \rangle \sim \underbrace{|b|^2}_{Z} \langle f_k^{\dagger} f_k \rangle \quad \text{order parameter for superfluid phase}$$

$\therefore Z$ , an OP, is related to a venerable OP i.e. superfluid OP?

$b \rightarrow b e^{i\theta} \quad f \rightarrow f e^{-i\theta} \Rightarrow$  asking for Gauge fields to appear

$$|(\partial - a)b|^2 + |(\partial + a)f|^2 + (\nabla \times a)^2 + \dots$$

gauge field that is allowed.

$u(1)$  gauge field

$b, f \rightarrow$  gauge charges  $\pm 1, -1$

$\Rightarrow$  so in a FL,  $b$  condenses & due to higgs effect,  $a$  gets gapped out.  $\Rightarrow$  so no such low en. photon excitations.

# Gutzwiller approxm. can be thought of as resulting from parton approach.

# Improving gutzwiller approximation using this approach.

$$|\psi\rangle \sim e^{-f(r_i - r_j)} |\psi_0\rangle$$

[more & more comments]  $\Rightarrow$

$b$ : mott insulator

$f$ : fermi surface

Fractionalized phase with charge gap & Fermi surface

\* Fermi liq. as a higgs phase.

# Renormalization

Ex 1: Ising model

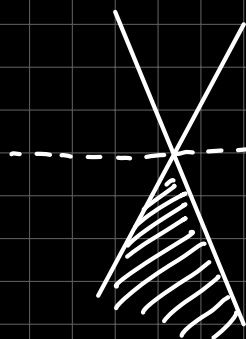
$$\int d^d x (\nabla \phi)^2 + U \int d^d x \phi^4(x)$$

$$\langle \phi(x) \phi(0) \rangle \sim \frac{1}{x^{d-2}}$$

$$\phi(L) \phi(0) \sim \frac{1}{L^{d-2}}$$

$$u L^d \cdot \frac{1}{L^{(\frac{d-2}{2})+}} = u L^{(4-d)} \quad \begin{matrix} d > 4 \\ \text{vanishes} \end{matrix}$$

Ex 2: Graphene



$$\int d^d x d\tau [\bar{\psi} \not{\partial} \psi]$$

$$+ \int d^d x d\tau (\bar{\psi} \psi)^2$$



$$\psi \bar{\psi} \sim \frac{1}{L^d}$$

int. strength  
at  
long dist.

$$\left\{ \frac{1}{L^{2d}} \cdot L^{d+1} = L^{1-d} \right.$$

if  $d > 1$ : int. are  
irrel.

if  $d = 1$ : marginal  
↓  
Luttinger liquid.

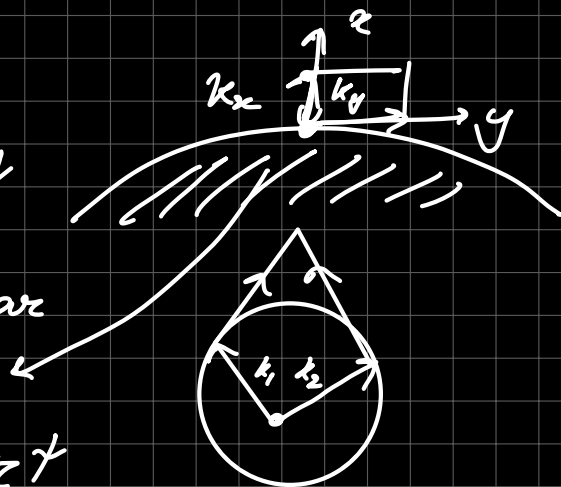
# 3 multiple ways to do fermion RG

$$\int d\tau d^2 k_x d^2 y \bar{\psi}^t \left( \frac{k^2}{2m} - \frac{k_F^2}{2m} \right) \psi$$

takes into account only  
small scattering i.e. scattering only near

dispersion

$$= \int \bar{\psi}^t \left( k_x v_F + \frac{k_y^2}{2m} \right) \psi + \int \bar{\psi}^t \partial_z \psi$$



$$= \int dx dz d^d y \psi^* \left[ \partial_z - i v_F \partial_x - \frac{\partial_y^2}{2m} \right] \psi = S$$

scaling arg:-  $x' = \frac{x}{b^2} \quad z' = \frac{z}{b^2} \quad y' = \frac{y}{b} \quad \psi' = \psi b^{\frac{(d+1)}{2}}$

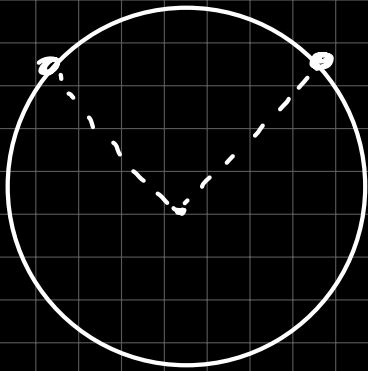
$$b > 1$$

under this  $S$  remains invariant

$$u \int dx dz d^d y \psi^* \psi^* \psi \psi \rightarrow u b^2 b^2 b^{d-1} b^{-2(d+1)} \quad (\text{in } ' \text{ coordinates})$$

$$\rightarrow u b^{1-d} = u'$$

for  $d > 1 \quad u' < u$  (for low  $L$  scattering)  
 $\hookrightarrow$  at one patch



for 2 diff. patch  $\Rightarrow u' = u$  ( $\neq$  BA)  
 (Landau didn't consider 2 diff. patches)

\*no BEC in 1d

\*mermin wagner type of argument for FLT, KT phase

Parson construction:- T. Senthil ("Theory of continuous Mott transitions")