

# Physics 211C: Solid State Physics

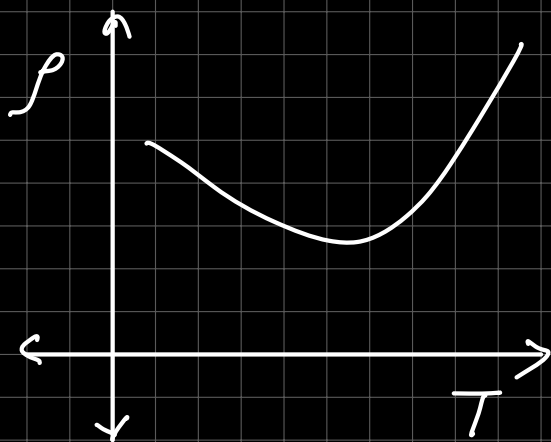
Instructor: Prof. Tarun Grover

Lecture 8

Topic: Kondo effect phenomena, Anderson Impurity model and its mean-field solution

$$\rho_{\text{phonon}} \sim T^5 \quad \rho_{e-e} \sim T^2 \quad \text{for an ordinary (pure) metal}$$

However, when magnetic impurities are present,  $\rho$  is non-monotonic.



This motivated studies on the so called "Kondo effect"

$$H_{\text{eff}} = \sum \epsilon_k c_{kr}^\dagger c_{kr} + \underbrace{J_K (c^\dagger \sigma c) \cdot \vec{S}(r=0)}_{\text{eff scattering from impurity}} \quad \left. \vphantom{H_{\text{eff}}} \right\} \text{"Kondo model"}$$

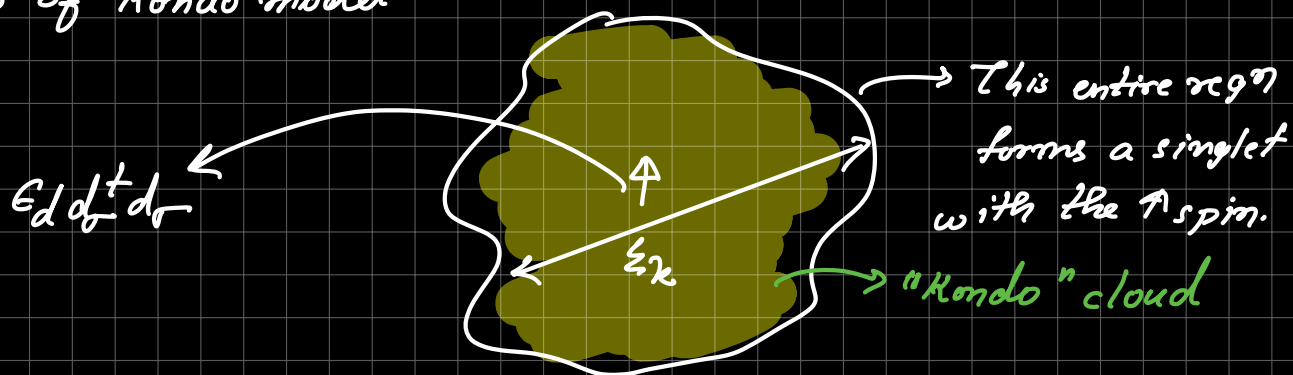
$$\frac{dJ_K}{d\epsilon} = J_K^2$$

↳ becomes pronounced at low Temp.

this kind of behaviour leads to  $\Delta \rho \sim \log\left(\frac{T_K}{T}\right)$   
 $\therefore \rho \sim \log\left(\frac{T_K}{T}\right) + T^2$

GS of Kondo model

has a minima



$$|\Psi\rangle = \left[ \alpha_0 + \sum_k \alpha_k c_{k\sigma} d_{\sigma}^{\dagger} \right] |\text{Filled FS}\rangle \otimes |\text{empty impurity}\rangle$$

$$\xi_k \sim e^{-\frac{\hbar}{T_k}}$$

↳ "highly entangled state"

→ It can locally look like a FLT.  
magnetic moments hybridize with CB

## Anderson's Impurity model

(Ref:- Piers Coleman's book → Chapter on Kondo effect & Philip Philip's book)

$$\mathcal{H} = \sum_k \tilde{\epsilon}_k c_{k\sigma}^{\dagger} c_{k\sigma} + \epsilon_d \epsilon_d^{\dagger} d_{\sigma} + U \underbrace{n_{d\uparrow} n_{d\downarrow}}_{\text{Hubbard } U \text{ for local moments}}$$

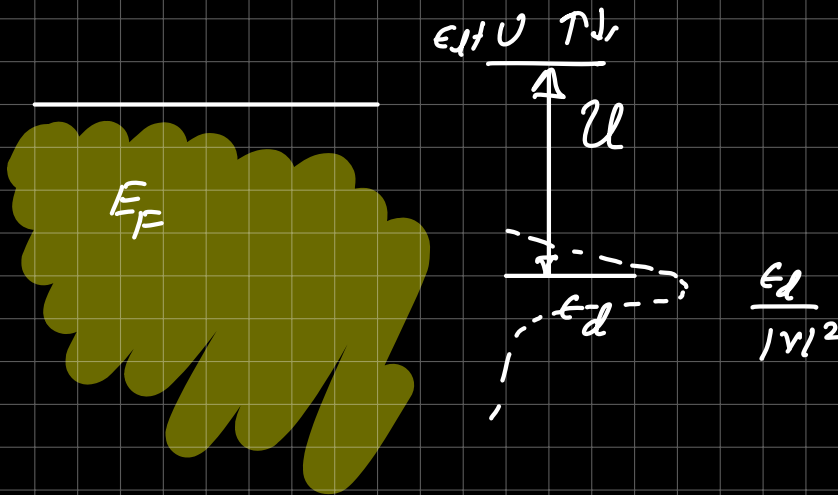
comes from another, gen. large trans. element material  
e.g. Mo

impurity bands are generally flat

$$+ \left( \sum_k V_k c_{k\sigma}^{\dagger} d_{\sigma} + \text{h.c.} \right)$$

Symmetry:  $c \rightarrow c e^{i\theta}$   $d \rightarrow d e^{i\theta}$ , Global  $U(1)$  solution

Anderson's idea:  $\langle n_{d\uparrow} \rangle = \langle c_{d\uparrow}^\dagger c_{d\uparrow} \rangle \neq \langle n_{d\downarrow} \rangle$   
'magnetic solution'



$$U n_{d\uparrow} n_{d\downarrow} \xrightarrow{\text{MF}} U [n_{d\uparrow} \langle n_{d\downarrow} \rangle + \langle n_{d\uparrow} \rangle n_{d\downarrow} - \langle n_{d\uparrow} \rangle \langle n_{d\downarrow} \rangle]$$

'Green's function method for MF'

$$i \frac{\partial c_{k\sigma}}{\partial t} = [c_{k\sigma}, \mathcal{H}_{\text{MF}}] \quad i \frac{\partial d_\sigma}{\partial t} = [d_\sigma, \mathcal{H}_{\text{MF}}]$$

$$\omega c_{k\sigma} = \epsilon_k c_{k\sigma} + v_k d_\sigma \quad - (1)$$

$$\omega d_\sigma = \epsilon_d d_\sigma + U \langle n_{d-\sigma} \rangle d_\sigma + \sum_k v_k^* c_{k\sigma} \quad - (2)$$

From (1),  $c_{k\sigma} = \frac{d_\sigma v_k}{\omega - \epsilon_k}$

$$\Rightarrow \omega = \epsilon_d + U \langle n_{d-\sigma} \rangle + \sum_k \frac{|v_k|^2}{\omega - \epsilon_k}$$

$$G_{dd} = \langle d_{\sigma}^{\dagger}(\omega) d_{\sigma}(\omega) \rangle = \frac{1}{\omega - [\epsilon_d + U \langle n_{d-\sigma} \rangle + \sum_k \frac{|V_k|^2}{\omega - \epsilon_k}]}$$

do:  $\text{Im } G(\omega + i\epsilon)$

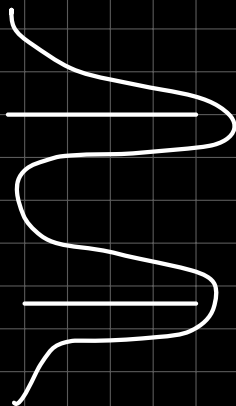
$$f_{d\sigma}(\omega) = \text{Im } G_{dd,\sigma}(\omega + i\epsilon) \big|_{\epsilon=0^+}$$

$$= \frac{1}{\pi} \frac{\Gamma}{\Gamma^2 + (\omega - \epsilon_d - U \langle n_{d-\sigma} \rangle)^2}$$

where  $\Gamma = \pi \sum_k |V_k|^2 \delta(\omega - \epsilon_k)$

(argument for  $PV(\frac{1}{x})$  term leading to level shifts)

$$\simeq \pi |V_k|^2 \rho(E_F)$$



$$\langle n_{d\sigma} \rangle = \int_{-\infty}^{\epsilon_F} f_{d\sigma}(\omega) d\omega$$

$$= \frac{1}{\pi} \cot^{-1} \left( \frac{\tilde{\epsilon}_d + U \langle n_{d-\sigma} \rangle}{\Gamma} \right)$$

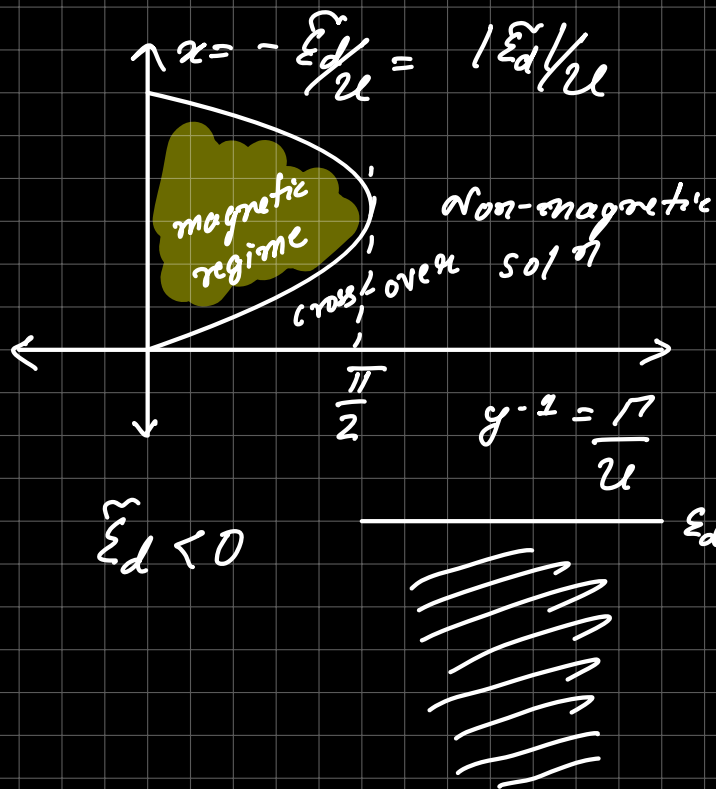
$$\tilde{\epsilon}_d = \epsilon_d - \epsilon_F$$

Limits:- ①  $U \rightarrow 0$ , uncoupled  $\uparrow$  &  $\downarrow$  spins

$$\langle n_{d\uparrow} \rangle = \langle n_{d\downarrow} \rangle$$

②  $\Gamma \rightarrow \infty$ ,  $\cot^{-1}(0) = \frac{\pi}{2}$   $\langle n_{d\uparrow} \rangle = \frac{1}{2} = \langle n_{d\downarrow} \rangle$

so much hybridization  
such that it gets diffused.



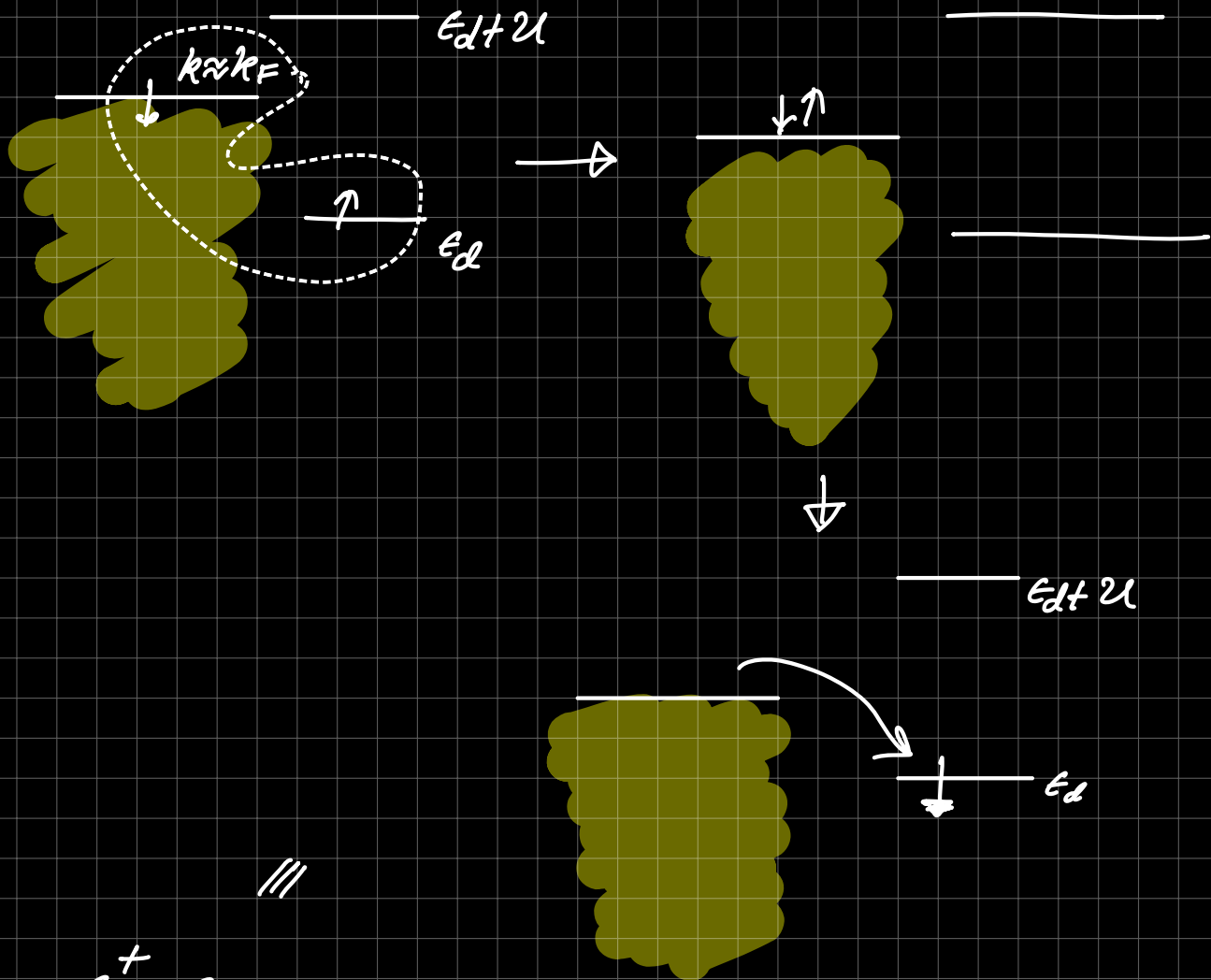
misleading  
as SSB can't happen for  
a 0D quant. system

cross-over  $\neq$  phase transition

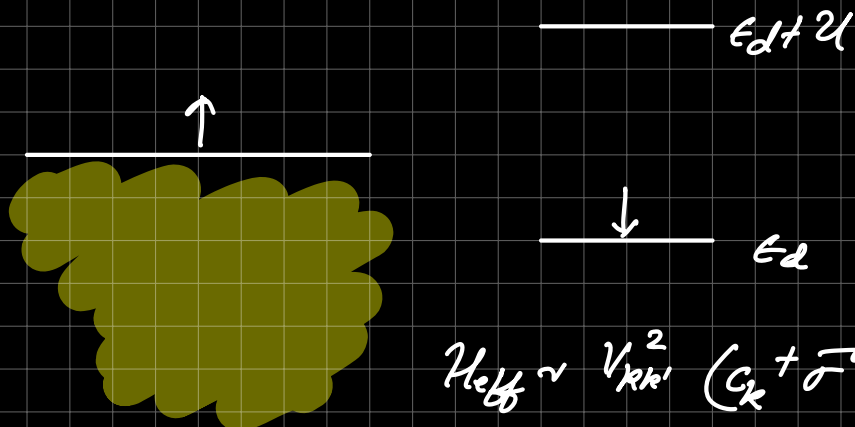
relevance:- The magnetic regime shows that spins can  
strongly interact with a FL.

# Variational wfn for single impurity Anderson model

Spin fluctuation via virtual processes



$$\begin{aligned} & \text{///} \\ & c_{\uparrow}^+ \quad c_{\downarrow} \cdot \sigma^- \\ & \Downarrow \text{SU(2) symmetry} \\ & c^+ \sigma c \cdot \sigma \end{aligned}$$



$$\mathcal{H}_{\text{eff}} \sim V_{k k'}^2 \left( c_k^+ \sigma^a c_{k'} \cdot \vec{S} \right) \times \frac{1}{[\text{en. of virtual state}]}$$

$$\Delta E_1 \sim \epsilon_d - \epsilon_f$$

$$\Delta E_2 \sim U + \epsilon_d - \epsilon_f$$

$$H_{eff} \sim \frac{|V|^2}{(\epsilon_d - \epsilon_f)} \sum_{k,k'} c_k^\dagger \sigma c_{k'} \cdot \vec{S}(0)$$

Antiferromagnetic interaction

$$\sim J_K \underbrace{c_{(0)}^\dagger \sigma c_{(0)}} \cdot \vec{S}$$

form of Kondo model is motivated by such 2nd order processes.

### Variational Wfn for Kondo model

$$|\gamma\rangle = [\alpha_0 + \sum_{k < k_F} \alpha_k c_{k0} d_f^\dagger] |0\rangle$$

$$|0\rangle = |\text{Filled FS}\rangle \otimes |\text{empty d-level}\rangle$$

$$E_{var} = \frac{\langle \gamma | H | \gamma \rangle}{\langle \gamma | \gamma \rangle} = \frac{2 \sum_{k < k_F} [\alpha_k (\epsilon_d - \epsilon_k) + 2 \alpha_k V_k]}{\alpha_0^2 + 2 \sum_k \alpha_k^2}$$

↓  
minimize

$$E_{var} = 2 \sum_{k < k_F} \frac{|V_k|^2}{E_{var} - \epsilon_d + \epsilon_k}$$

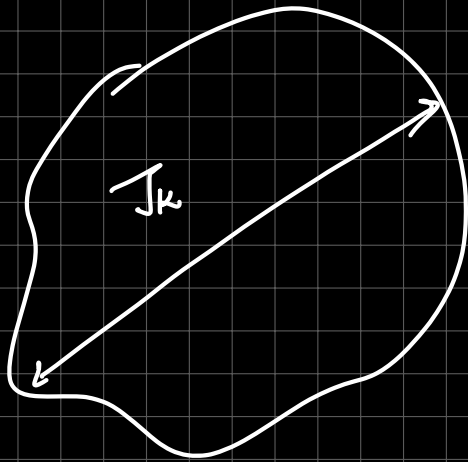
$$\text{Binding energy} = \Delta_k = E_{var} - \epsilon_d$$

when  $\Delta_k < 0$ , then entangled state preferred

$$\epsilon_d + \Delta_K = 2 \sum_{k < k_F} \frac{|v_k|^2}{\Delta_K + \tilde{\epsilon}_k} \approx -2N(0) \int_{\epsilon_F}^0 \frac{v^2 d\tilde{\epsilon}}{\Delta_K + \tilde{\epsilon}}$$

↑  
Kondo,  
not  $\bar{k}^0$

$$\rightarrow \Delta_K \approx -\epsilon_F e^{-\frac{1}{2N(0)J_K}} \quad \xi_K \sim \frac{v_F}{\Delta_K} \sim \text{size of Kondo cloud.}$$



$J_K \sim 1$   
 $\Downarrow$  RG  
 some as BCS  
 (2 like 1d ising)

$$\frac{|v|^2}{\epsilon_F} \sim J_K$$