# Machine Learning Blatt 4

Markus Vieth, David Klopp, Christian Stricker 2. Juni 2016

# Nr.1

b)

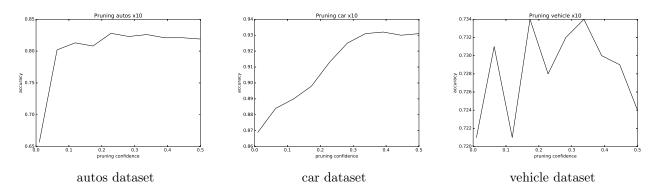


Abbildung 1: Werte wurden über 10 Durchläufe gemittelt

**c**)

```
import com.sun.org.apache.xpath.internal.functions.WrongNumberArgsException;
   import org.omg.CORBA.DynAnyPackage.InvalidValue;
3 import weka.classifiers.Classifier;
 4 import weka.classifiers.Evaluation;
5 import weka.core.*;
 7
   import java.io.Serializable;
 8
    import java.util.ArrayList;
   import java.util.Random;
11
12
    * Created by David on 24.05.16.
13
    // extend RandomizableSingleClassifierEnhancer to use the method setClassifier
15
17
    public class CVParameterSelection extends Classifier implements Serializable,
18
           Cloneable {
21
22
       //-----Internal CVParameter Class-----
23
25
       \ensuremath{//} internal class that represents one parameter
       protected class CVParameter implements Serializable{
26
28
           protected char paramChar;
29
           protected double lowerBound;
30
           protected double upperBound;
           protected double stepValue;
31
32
           // current value to test
33
           protected double value;
           /**
36
```

```
4
```

```
37
38
           * @param paramChar
39
           * @param lowerBound
40
           * @param upperBound
41
           * @param steps
42
           * @throws Exception
43
           */
          public CVParameter(char paramChar, double lowerBound, double upperBound, double steps)
44
              throws Exception {
45
             this.paramChar = paramChar;
             this.lowerBound = lowerBound;
46
             this.upperBound = upperBound;
47
             this.stepValue = steps;
48
50
             // check if values are valid
51
             if (this.lowerBound > this.upperBound) {
52
                 throw new InvalidValue("Lower bound must be lesser than or equal to upper bound");
53
          }
54
56
57
           * Input string given by the user
           * @return name of parameter
58
59
           */
60
          protected String getParameterString() {
             String p = String.valueOf(this.paramChar);
61
62
             String u = String.valueOf(this.upperBound);
63
             String 1 = String.valueOf(this.lowerBound);
64
             String s = String.valueOf(this.stepValue);
             return (p + " " + 1 + " " + u + " " + s);
66
          }
67
       }
68
72
       //-----Attributes-----
73
74
       //-----
77
       private final int DEFAULT_NUM_OF_FOLDS = 10;
79
       // available options
80
       protected String[] classifierOptions = null;
       // parameters which are set
81
       private ArrayList<CVParameter> params = new ArrayList<>();
82
83
       // use 10 number of folds as default
       private int numFolds = DEFAULT_NUM_OF_FOLDS;
84
85
       // classifier for selection
86
       private Classifier classifier;
87
       // lowest error rate
       private double lowestError = Double.MAX_VALUE;
88
89
       // best options for this classifier
90
       private String[] bestOptions = null;
91
       // initial classifierOptions
92
       private String[] initClassifierOptions = null;
95
       //-----Setter-----Setter------
96
```

```
97
        //-----
99
        /**
100
         * @param classifier
101
102
        public void setClassifier(Classifier classifier) {
103
            this.classifier = classifier;
104
            this.initClassifierOptions = this.getClassifier().getOptions();
105
        }
        /**
108
109
         * add a single parameter
110
         * Oparam param name of the parameter
111
         * @return true on success otherwise false
112
         */
113
        public void addCVParameter(String param) throws Exception {
           // we expect a string in format: 'N lower_bound upper_bound steps'
114
116
           // split parameter in its arguments
117
            // we need exactly 4 values
118
            char paramChar;
119
            double lowerBound, upperBound, steps;
120
           String[] args = param.split(" ");
122
            // check input
123
            if (args.length == 4) {
               // get parameter character
124
125
               String paramString = args[0];
126
               if (paramString.length() != 1) {
127
                   throw new IllegalArgumentException("Invalid parameter char.");
128
               } else {
129
                   paramChar = paramString.charAt(0);
130
               }
132
               // save lower bound, upper bound and steps
133
               try {
134
                   lowerBound = Double.parseDouble(args[1]);
                   upperBound = Double.parseDouble(args[2]);
135
136
                   steps = Double.parseDouble(args[3]);
137
               } catch (NumberFormatException e) {
138
                   throw new Exception("Invalid values for parameter " + paramString);
               }
139
141
               // add CVParameter
142
               CVParameter tmp = new CVParameter(paramChar, lowerBound, upperBound, steps);
143
               this.params.add(tmp);
146
            } else {
147
               throw new WrongNumberArgsException("At least 4 values are required.");
148
149
        }
152
        /**
153
154
         * Oparam params parameters to add
155
        public void setCVParameters(String[] params) throws Exception {
156
           for (String param : params) {
157
```

```
this.addCVParameter(param);
158
159
            }
        }
160
162
        /**
163
         * @param numFolds number of folds for crossvalidation
164
        public void setNumberOfFolds(int numFolds) {
165
166
            if (numFolds < 0) {</pre>
                throw new IllegalArgumentException("Number of folds must be positiv");
167
168
169
               this.numFolds = numFolds;
170
171
        }
175
176
        //-----Getter-----
177
        /**
180
181
         * @return classifier
182
         */
183
184
        public Classifier getClassifier() {
185
            return this.classifier;
186
188
        /**
189
190
         * Oparam index of parameter
191
         * @return name of parameter
192
        public String getCVParameter(int index) throws IndexOutOfBoundsException{
193
194
            if (index > 0 && index < this.params.size()) {</pre>
195
               return this.params.get(index).getParameterString();
196
            } else {
197
               throw new IndexOutOfBoundsException("Parameter index out of bounds");
198
199
        }
201
        /**
202
203
         * Oreturn names of all parameters
204
205
        public String[] getCVParameters() {
206
            String[] paramNames = new String[this.params.size()];
207
            for (int i = 0; i < paramNames.length; i++) {</pre>
208
               paramNames[i] = this.getCVParameter(i);
            }
209
210
            return paramNames;
        }
211
        /**
213
214
215
         * @return number of folds
216
         */
        public int getNumberOfFolds() {
217
218
            return this.numFolds;
```

```
219
       }
222
       public String[] getBestClassifierOptions() {
223
           // return bestOptionsArray by deleting empty entries
           int size = 0;
224
           for (String s : this.bestOptions) {
225
226
              if (s.equals("") == false) {
227
                 size++;
228
              }
           }
229
231
           // add values
232
           String[] cleanBestOptions = new String[size];
233
           int i = 0;
           for (String s : this.bestOptions) {
234
              if (s.equals("") == false) {
235
236
                 cleanBestOptions[i] = s;
237
                 i++;
              }
238
           }
239
241
           return cleanBestOptions;
       }
242
245
        //-----
246
        //-----classifier Operations-----
        ·
//-----
247
250
       /**
251
        * Combine cross validation options of this class with the classifier options
252
253
       private String[] getCombinedOptions() throws Exception {
254
           // remove all options from our classifier which are already set by this class
255
           // wekas Util.getOption method does this for us
256
           // Note: this changes the classifierOptions array
257
           String[] classifierOptions = this.getClassifier().getOptions();
258
           for (CVParameter param : this.params) {
259
              Utils.getOption(param.paramChar, classifierOptions);
260
           }
262
           // create new array
263
           int size = classifierOptions.length + 2*this.params.size();
264
           String[] options = new String[size];
           // add crossvalidation options of this class
266
267
           int i = 0;
268
           for (CVParameter param : this.params) {
269
              options[i++] = "-"+String.valueOf(param.paramChar);
270
              options[i++] = String.valueOf(param.value);
           }
271
273
           // add classifier options
274
           for (String opt : classifierOptions) {
              if (opt.equals("") == false) {
275
276
                 options[i++] = opt;
277
              }
278
           }
```

```
280
            // fill the rest
281
            while (i < size) {</pre>
                options[i++] = "";
282
283
285
            return options;
286
         }
         /**
288
289
290
          * @param paramIdx
         * @param dataset
291
292
          * Othrows Exception
293
294
         private void calculateBestValuesForOptions(int paramIdx, Instances dataset) throws Exception {
295
             if (paramIdx < this.params.size()) {</pre>
                // repeat for each parameter
296
                CVParameter param = this.params.get(paramIdx);
297
299
                // calculate our increment value
300
                double u, 1, s;
301
                u = param.upperBound;
302
                1 = param.lowerBound;
303
                s = param.stepValue;
305
                double inc = (u-1)/(s-1);
306
                for (param.value = 1; param.value \lequ; param.value+=inc) {
307
                    // calculate param.value => best options
308
                    calculateBestValuesForOptions(paramIdx+1, dataset);
                }
309
310
            } else {
311
                Evaluation eval = new Evaluation(dataset);
313
                // get combined options
314
                String[] options = this.getCombinedOptions();
315
                // set the option for our classifier
316
                // note this deletes our option array
317
                this.classifier.setOptions(options);
319
                int nFolds = this.getNumberOfFolds();
320
                for (int i = 0; i<nFolds; i++) {</pre>
321
                    // get a trainset and a testset
                    \ensuremath{//} randomize the data the same way each time
322
                    // i-te fold
323
324
                    Instances train = dataset.trainCV(nFolds, i, new Random(1));
                    // is not important to randomize the same way with our test set
325
326
                    Instances test = dataset.testCV(nFolds, i);
                    // build our classifier
327
                    this.getClassifier().buildClassifier(train);
328
329
                    // reset Prior probability of the evaluation
330
                    eval.setPriors(train);
331
                    // evaluate our model for each fold
332
                    eval.evaluateModel(this.getClassifier(), test);
333
                }
335
                // get our error rate and save the options if the error rate is
336
                // better than the last one
337
                double errorRate = eval.errorRate();
338
                /*System.out.print(errorRate);
                System.out.print(" < ");</pre>
339
340
                System.out.println(this.lowestError);*/
```

```
341
               if (errorRate < this.lowestError) {</pre>
342
                   this.lowestError = errorRate;
343
                   this.bestOptions = this.getCombinedOptions();
344
               }
345
            }
346
        }
351
        //-----0verrides-----
352
353
357
         * Build classifier with bestOptions
358
359
         * Oparam instances
360
         * Othrows Exception
361
         */
362
        @Override
        public void buildClassifier(Instances instances) throws Exception {
363
            Instances trainData = new Instances(instances);
364
366
            // shuffle our trainData
367
            trainData.randomize(new Random());
369
            // if the user has not set any options then just build the classifier
370
            if (this.params.isEmpty()) {
371
               this.classifier.buildClassifier(trainData);
372
               // set default params as best params
373
               this.bestOptions = this.initClassifierOptions;
            } else {
374
               // calculate bestOptions
375
376
               this.calculateBestValuesForOptions(0, trainData);
               // set bestOptions for our classifier and build it
378
               // make a copy of our options, because training the classifier deletes the entries
379
380
               String[] opt = new String[this.bestOptions.length];
381
               System.arraycopy(this.bestOptions, 0, opt, 0, opt.length);
383
               this.getClassifier().setOptions(opt);
384
               this.getClassifier().buildClassifier(trainData);
            }
385
387
        }
390
        /**
391
392
         * @return
393
         */
394
        @Override
395
        public Capabilities getCapabilities() {
396
            Capabilities cap = super.getCapabilities();
397
            cap.setMinimumNumberInstances(this.getNumberOfFolds());
398
            return cap;
        }
399
```

```
402
        /**
403
404
         * @param instance
         * @return
405
406
         * Othrows Exception
407
         */
408
        @Override
409
        public double[] distributionForInstance(Instance instance) throws Exception {
410
            return this.getClassifier().distributionForInstance(instance);
411
412 }
 \mathbf{d}
     import weka.core.*;
     import java.util.Random;
 3
    import weka.classifiers.Classifier;
 4
    import weka.classifiers.trees.J48;
 5
    import weka.core.Capabilities;
 7
 8
     * Created by David on 24.05.16.
 9
     */
    public class OptimalJ48 extends Classifier {
10
        protected CVParameterSelection selection;
11
12
        private String[] OPTIONS = {"C 0.1 0.5 10"};
        public OptimalJ48() throws Exception {
14
            J48 temp = new J48();
15
            this.selection = new CVParameterSelection();
16
17
            selection.setCVParameters(OPTIONS);
            //selection.setNumberOfFolds(15);
18
19
            selection.setClassifier(temp);
20
        }
22
        /**
23
         * Generates a classifier. Must initialize all fields of the classifier that
24
         * are not being set via options (ie. multiple calls of buildClassifier must
         \boldsymbol{\ast} always lead to the same result). Must not change the dataset in any way.
25
26
27
         * @param data
28
                   set of instances serving as training data
29
30
         * @throws Exception
31
                   if the classifier has not been generated successfully
32
         */
33
34
        public void buildClassifier(Instances data) throws Exception {
35
            this.selection.buildClassifier(data);
36
38
        /**
         \boldsymbol{\ast} Classifies the given test instance. The instance has to belong to a
39
40
         * dataset when it's being classified. Note that a classifier MUST implement
41
         * either this or distributionForInstance().
42
43
         * Oparam instance
44
                   the instance to be classified
45
         * Oreturn the predicted most likely class for the instance or
46
47
         * Utils.missingValue() if no prediction is made
```

```
48
49
        * Othrows Exception
50
                 if an error occurred during the prediction
51
        */
52
       @Override
53
       public double classifyInstance(Instance instance) throws Exception {
54
           return this.selection.classifyInstance(instance);
55
57
58
        * Predicts the class memberships for a given instance. If an instance is
59
        * unclassified, the returned array elements must be all zero. If the class
60
        * is numeric, the array must consist of only one element, which contains
61
        * the predicted value. Note that a classifier MUST implement either this or
62
        * classifyInstance().
63
64
        * @param instance
                 the instance to be classified
66
67
        * @return an array containing the estimated membership probabilities of the
68
        * test instance in each class or the numeric prediction
69
70
        * Othrows Exception
                if distribution could not be computed successfully
71
72
        */
74
       @Override
75
       public double[] distributionForInstance(Instance instance) throws Exception {
76
           return this.selection.distributionForInstance(instance);
77
79
       /**
80
        * Returns the Capabilities of this classifier. Maximally permissive
81
        * capabilities are allowed by default. Derived classifiers should override
82
        * this method and first disable all capabilities and then enable just those
83
        * capabilities that make sense for the scheme.
84
85
        * @return the capabilities of this object
86
87
        * @see Capabilities
88
        */
89
       @Override
90
       public Capabilities getCapabilities() {
91
           return this.selection.getCapabilities();
92
       // e)
95
e)
 1
       /**
 2
        * User pieces=3 for trainset, validationset and testset
 3
        * @param pieces number of pieces to split the data
 4
        * @return Array containing number of pieces Instances
 5
 6
       public Instances[] splitDataset(int pieces, Instances data) {
           // size of dataset
 8
           int size = data.numInstances();
 9
           int piece_size = size∏eces;
```

```
// randomize the data
11
12
           data.randomize(new Random());
14
           // save trainings, validation and test set
15
           Instances[] datasets = new Instances[pieces];
17
           // split the data
           for (int i = 0; i<pieces; i++) {</pre>
18
19
               int lowerBound = (int)(Math.ceil(piece_size*i));
20
               int upperBound = (int)(Math.ceil(piece_size*(i+1)));
               datasets[i] = new Instances(data, lowerBound, upperBound);
21
           7
22
24
           return datasets;
25
       }
26
   }
f)
   import weka.classifiers.Evaluation;
2 import weka.classifiers.trees.J48;
3 import weka.core.Instances;
4 \quad {\tt import \ weka.core.converters.ConverterUtils;}
6 import java.io.IOException;
   import java.text.NumberFormat;
8 import java.util.*;
9 import java.util.stream.DoubleStream;
11
12
    * Created by markus on 24.05.16.
13
   public class Test {
14
       public static void main(String... args) throws Exception {
15
           Instances car = load("res/car.arff");
17
           Instances autos = load("res/autos.arff");
18
           Instances vehicle = load("res/vehicle.arff");
19
           testPruning(car, 10, 0.01f, 0.5f, 10, 10, "Pruning car x10");
1
2
           testPruning(autos, 10, 0.01f, 0.5f, 10, 10, "Pruning autos x10");
3
           testPruning(vehicle, 10, 0.01f, 0.5f, 10, 10, "Pruning vehicle x10");
1
       }
1
2
        * tests pruning settings of J48 and saves graph as eps
3
        * Oparam data dataset
4
        * Oparam numFolds number of folds
5
        * Oparam lowerBorder start value for pruning
6
        * Oparam upperBorder end value
7
        * Oparam steps number of steps
8
        * Oparam repeats number of repeats fpr average
9
        * Oparam title title for graph
10
        * @throws Exception
11
        */
12
       public static void testPruning(Instances data, int numFolds, float
13
               lowerBorder, float upperBorder, int steps, int repeats,
               String title) throws Exception {
14
           double[][] accuracy = new double[steps][repeats];
15
           double[] x = new double[steps];
16
```

```
17
            float dx = (upperBorder - lowerBorder)/(steps - 1);
19
           for (int j = 0; j < repeats; j++) {
20
               for (int i = 0; i < steps; i++) {</pre>
21
                   float c = lowerBorder + i * dx;
                   J48 classifier = new J48();
22
23
                   classifier.setConfidenceFactor(c);
24
                   Evaluation eval = new Evaluation(data);
25
                   eval.crossValidateModel(classifier, data, numFolds,
26
                          new Random());
27
                   accuracy[i][j] = eval.pctCorrect() / 100.0;
28
                   x[i] = c;
29
               }
30
           }
32
           double[] result = new double[steps];
33
            for (int i = 0; i < steps; i++) {</pre>
               double tmp = DoubleStream.of(accuracy[i]).parallel().sum();
35
               result[i] = tmp / repeats;
           }
36
38
           plotWithPython(title, "pruning confidence", "accuracy", x,
                   result);
39
        }
41
```

#### stdout

Acc aus 10 fold CV über 10 Werte gemittelt. parameter aus CVParameterSelection auf kompletten

Datensätzen.

name	accuracy	parameter
autos	0.8165853658536584	-C, 0.144444444444446, -M, 2
car	0.93142361111111109	-C, 0.32222222222224, -M, 2
vehicle	0.7290780141843971	-C, 0.1, -M, 2

## $\mathbf{g}$

```
1 import weka.classifiers.Evaluation;
 2 import weka.classifiers.trees.J48;
3 import weka.core.Instances;
 4 import weka.core.converters.ConverterUtils;
6 import java.io.IOException;
 7
   import java.text.NumberFormat;
8
   import java.util.*;
   import java.util.stream.DoubleStream;
11
12
     * Created by markus on 24.05.16.
13
    */
14
    public class Test {
       public static void main(String... args) throws Exception {
15
17
           Instances car = load("res/car.arff");
           Instances autos = load("res/autos.arff");
18
           Instances vehicle = load("res/vehicle.arff");
19
           String[] carOpt = getOptions(car);
 2
           String[] autosOpt = getOptions(autos);
```

```
3
           String[] vehicleOpt = getOptions(vehicle);
1
       }
1
2
        * returns pruning for a given dataset in CVParameterSelection
3
        * Oparam data dataset
4
        * Oreturn Options String array
5
        * Othrows Exception
6
        */
7
       public static String[] getOptions(Instances data) throws Exception {
8
           OptimalJ48 oj48 = new OptimalJ48();
9
           oj48.buildClassifier(data);
           return oj48.selection.getBestClassifierOptions();
10
11
       }
```

### stdout

Acc aus 10 fold CV über 10 Werte gemittelt. parameter aus CVParameterSelection auf kompletten

Datensätzen.

name	accuracy	parameter
autos	0.8165853658536584	-C, 0.144444444444446, -M, 2
car	0.93142361111111109	-C, 0.32222222222224, -M, 2
vehicle	0.7290780141843971	-C, 0.1, -M, 2

Gewählte Parameter entsprechen ca. dem lokalen Maximum des Graphen bzw. dem letzten Wert mit Verbesserung.

Anmerkung: Kompletter Source-Code in Moodle

## h)

Wie in Aufgabenteil b) zu sehen war, steigt mit der pruning confidence meist auch die accuarcy. Dieses Wachstum stagniert jedoch ab einem bestimmten Punkt. CVParameterSelection wählt nun aus einer vorher festgelegten Menge von Optionen die Werte aus einem angegebenen Intervall, welche die niedrigste error rate aufweisen. Diese wird mithilfe einer n fold CV ermittelt, wobei n eine vorher belegte Variable ist. Da CVParameterSelection die Option nur dann updatet, wenn eine bessere gefunden wurde und die Optionenswerte in steigender Reihenfolge versucht werden, wird der kleinste beste Wert gewählt. Dadurch bleibt das Model (zumindest beim pruning) so einfach wie möglich.