

# Divide and Conquer Strategy

- Algorithm design is more an art, less so a science.
- There are a few useful strategies, but no guarantee to succeed.
- We will discuss: **Divide and Conquer, Greedy, Dynamic Programming.**
- For each of them, we will discuss a few examples, and try to identify common schemes.

## Divide and Conquer

- Divide the problem into smaller subproblems (**of the same type**).
- Solve each subproblem (**usually by recursive calls**).
- Combine the solutions of the subproblems into the solution of the original problem.

# Merge Sort

## MergeSort

Input: an array  $A[1..n]$

Output: Sort  $A$  into increasing order.

- Use a recursive function  $\text{MergeSort}(A, p, r)$ .
- It sorts  $A[p..r]$ .
- In main program, we call  $\text{MergeSort}(A, 1, n)$ .

# Merge Sort

## MergeSort( $A, p, r$ )

```
1: if ( $p < r$ ) then  
2:    $q = (p + r) / 2$   
3:   MergeSort( $A, p, q$ )  
4:   MergeSort( $A, q + 1, r$ )  
5:   Merge( $A, p, q, r$ )  
6: else  
7:   do nothing  
8: end if
```

- Divide  $A[p..r]$  into two sub-arrays of equal size.
- Sort each sub-array by recursive call.
- Merge( $A, p, q, r$ ) is a procedure that, assuming  $A[p..q]$  and  $A[q + 1..r]$  are sorted, merge them into sorted  $A[p..r]$
- It can be done in  $\Theta(k)$  time where  $k = r - p$  is the number of elements to be sorted.

# Analysis of MergeSort

Let  $T(n)$  be the runtime function of MergeSort( $A[1..n]$ ). Then:

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ 2T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

- If  $n = 1$ , MergeSort does nothing, hence  $O(1)$  time.
- Otherwise, we make 2 recursive calls. The input size of each is  $n/2$ . Hence the runtime  $2T(n/2)$ .
- $\Theta(n)$  is the time needed by Merge( $A, p, q, r$ ) and all other processing.

# Master Theorem

For DaC algorithms, the runtime function often satisfies:

$$T(n) = \begin{cases} O(1) & \text{if } n \leq n_0 \\ aT(n/b) + \Theta(f(n)) & \text{if } n > n_0 \end{cases}$$

- If  $n \leq n_0$  ( $n_0$  is a small constant), we solve the problem directly without recursive calls. Since the input size is fixed (bounded by  $n_0$ ), it takes  $O(1)$  time.
- We make  $a$  recursive calls. The input size of each is  $n/b$ . Hence the runtime  $T(n/b)$ .
- $\Theta(f(n))$  is the time needed by all other processing.
- $T(n) = ?$

# Master Theorem

## Master Theorem (Theorem 4.1, Cormen's book.)

- 1 If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
- 2 If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$ .
- 3 If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and  $af(n/b) \leq cf(n)$  for some  $c < 1$  for sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ .

### Example: MergeSort

We have  $a = 2, b = 2$ , hence  $\log_b a = \log_2 2 = 1$ . So  $f(n) = \Theta(n^1) = \Theta(n^{\log_b a})$ .

By statement (2),  $T(n) = \Theta(n^{\log_2 2} \log n) = \Theta(n \log n)$ .

# Binary Search

## Binary Search

- Input: Sorted array  $A[1..n]$  and a number  $x$
- Output: Find  $i$  such that  $A[i] = x$ , if no such  $i$  exists, output “no”.

We use a rec function **BinarySearch**( $A, p, r, x$ ) that searches  $x$  in  $A[p..r]$ .

### **BinarySearch**( $A, p, r, x$ )

- 1: **if**  $p = r$  **then**
- 2:     **if**  $A[p] = x$  **return**  $p$
- 3:     **if**  $A[p] \neq x$  **return** “no”
- 4: **else**
- 5:      $q = (p + r)/2$
- 6:     **if**  $A[q] = x$  **return**  $q$
- 7:     **if**  $A[q] > x$  **call** **BinarySearch**( $A, p, q - 1, x$ )
- 8:     **if**  $A[q] < x$  **call** **BinarySearch**( $A, q + 1, r, x$ )
- 9: **end if**

# Analysis of Binary Search

- If  $n = p - r + 1 = 1$ , it takes  $O(1)$  time.
- If not, we make at most one recursive call, with size  $n/2$ .
- All other processing take  $f(n) = \Theta(1)$  time
- So  $a = 1$ ,  $b = 2$  and  $f(n) = \Theta(n^0)$  time.  
Since  $\log_b a = \log_2 1 = 0$ ,  $f(n) = \Theta(n^{\log_b a})$ .
- Hence  $T(n) = \Theta(n^{\log_b a} \log n) = \Theta(\log n)$ .



# Example

## Example

A function makes 4 recursive calls, each with size  $n/2$ . Other processing takes  $f(n) = \Theta(n^3)$  time.

$$T(n) = 4T(n/2) + \Theta(n^3)$$

We have  $a = 4$ ,  $b = 2$ . So  $\log_b a = \log_2 4 = 2$ .

$$f(n) = n^3 = \Theta(n^{\log_b a + 1}) = \Omega(n^{\log_b a + 0.5}).$$

This is the case 3 of Master Theorem. We need to check the 2<sup>nd</sup> condition:

$$a \cdot f(n/b) = 4 \left(\frac{n}{2}\right)^3 = \frac{4}{8}n^3 = \frac{1}{2} \cdot f(n)$$

If we let  $c = 1/2 < 1$ , we have:  $a \cdot f(n/b) \leq c \cdot f(n)$ .

Hence by case 3,  $T(n) = \Theta(f(n)) = \Theta(n^3)$ .

# Master Theorem

If  $f(n)$  has the form  $f(n) = \Theta(n^k)$  for some  $k \geq 0$ , We have the following:

## A simpler version of Master Theorem

$$T(n) = \begin{cases} O(1) & \text{if } n \leq n_0 \\ aT(n/b) + \Theta(n^k) & \text{if } n > n_0 \end{cases}$$

- 1 If  $k < \log_b a$ , then  $T(n) = \Theta(n^{\log_b a})$ .
- 2 If  $k = \log_b a$ , then  $T(n) = \Theta(n^k \log n)$ .
- 3 If  $k > \log_b a$ , then  $T(n) = \Theta(n^k)$ .

Only the case 3 is different. In this case, we need to check the  $2^{nd}$  condition. Because  $k > \log_b a$ ,  $b^k > a$  and  $a/b^k < 1$ :

$$a \cdot f(n/b) = a \cdot \left(\frac{n}{b}\right)^k = \frac{a}{b^k} \cdot f(n) = c \cdot f(n)$$

where  $c = \frac{a}{b^k} < 1$ , as needed.

# Master Theorem

- How to understand/memorize Master Theorem?
- The cost of a DaC algorithm can be divided into two parts:
  - ① The total cost of **all recursive calls** is  $\Theta(n^{\log_b a})$ .
  - ② The total cost of **all other processing** is  $\Theta(f(n))$ .
- If  $(1) > (2)$ , (1) dominates the total cost:  $T(n) = \Theta(n^{\log_b a})$ .
- If  $(1) < (2)$ , (2) dominates the total cost:  $T(n) = \Theta(f(n))$ .
- If  $(1) = (2)$ , the cost of two parts are about the same, **somehow we have an extra factor  $\log n$** .
- The proof of Master Theorem is given in textbook.
- We'll illustrate two examples in class.

# Example

For some simple cases, Master Theorem does not work.

## Example

$$T(n) = 2T(n/2) + \Theta(n \log n)$$

- $a = 2, b = 2, \log_a b = \log_2 2 = 1. f(n) = n^1 \log n = n^{\log_b a} \log n$
- $f(n) = \Omega(n)$ , but  $f(n) \neq \Omega(n^{1+\epsilon})$  for any  $\epsilon > 0$ .
- Master Theorem does not apply.

## Theorem

If  $T(n) = aT(n/b) + f(n)$ , where  $f(n) = \Theta(n^{\log_b a} (\log n)^k)$ , then  $T(n) = \Theta(n^{\log_b a} (\log n)^{k+1})$ .

In the above example,  $T(n) = \Theta(n \log^2 n)$

# Matrix Multiplication

- **Matrix multiplication** is a basic operation in **Linear Algebra**.
- Many applications in Science and Engineering.

Let  $A = (a_{ij})_{1 \leq i, j \leq n}$  and  $B = (b_{ij})_{1 \leq i, j \leq n}$  be two  $n \times n$  matrices.

## Definition

### Matrix Addition

$$C = (c_{ij})_{1 \leq i, j \leq n} = A + B$$

is defined by  $c_{ij} = a_{ij} + b_{ij}$  for  $1 \leq i, j \leq n$ .

- We need to calculate  $n^2$  entries in  $C$ .
- Each entry takes  $O(1)$  time.
- So matrix addition takes  $\Theta(n^2)$  time.

# Matrix Multiplication

## Definition

### Matrix Multiplication

$$C = (c_{ij})_{1 \leq i, j \leq n} = A \times B$$

is defined by: for  $1 \leq i, j \leq n$ ,

$$c_{ij} = \sum_{k=1}^n a_{ik} \times b_{kj}$$

## Example

$$A = \begin{pmatrix} 4 & -1 \\ 2 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 3 & 1 \\ 0 & -2 \end{pmatrix}$$

$$C = \begin{pmatrix} 4 \cdot 3 + (-1) \cdot 0 & 4 \cdot 1 + (-1) \cdot (-2) \\ 2 \cdot 3 + 1 \cdot 0 & 2 \cdot 1 + 1 \cdot (-2) \end{pmatrix} = \begin{pmatrix} 12 & 6 \\ 6 & 0 \end{pmatrix}$$

# Matrix Matrix Multiplication

## **MatrixMultiply( $A, B$ )**

```
1: for  $i = 1$  to  $n$  do
2:   for  $j = 1$  to  $n$  do
3:      $c_{ij} = 0$ 
4:     for  $k = 1$  to  $n$  do
5:        $c_{ij} = c_{ij} + a_{ik} \cdot b_{kj}$ 
6:     end for
7:   end for
8: end for
```

- This algorithm clearly takes  $\Theta(n^3)$  time.
- Since MM is an important operation, **can we do better than this?**

# Strassen's MM Algorithm

- Try DaC. Assume  $n = 2^k$  is a power of 2. If not, we can pad  $A$  and  $B$  by extra 0's so that this is true.
- Divide each  $A, B, C$  into 4 sub-matrices, with size  $n/2 \times n/2$ .

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad B = \begin{pmatrix} e & f \\ g & h \end{pmatrix} \quad C = \begin{pmatrix} r & s \\ t & u \end{pmatrix}$$

It can be shown:

$$\begin{aligned} r &= a \times e + b \times g & s &= a \times f + b \times h \\ t &= c \times e + d \times g & u &= c \times f + d \times h \end{aligned}$$



# Strassen's MM Algorithm

- If  $n = 1$ , solve the problem directly (in  $O(1)$  time).
- If not, divide  $A$  and  $B$  into 4 sub-matrices. (This only involves manipulation of indices, no actual division is needed. It actually takes no time).
- Solve sub-problems using recursive calls. Each  $\times$  is a recursive call. There are 8 of them.
- Use the above formulas to obtain  $A \times B$ . This involves 4 matrix additions of size  $n/2 \times n/2$ . It takes  $\Theta(n^2)$  time.

$$T(n) = 8T(n/2) + \Theta(n^2)$$

Thus:  $a = 8, b = 2$  and  $k = 2$ . Since  $\log_b a = \log_2 8 = 3 > 2$ , we get:  
 $T(n) = \Theta(n^{\log_2 8}) = \Theta(n^3)$ .

No better than the simple  $\Theta(n^3)$  algorithm.

# Strassen's MM Algorithm

The problem: We are making **too many recursive calls!**

To improve, **we must reduce the number of recursive calls.**

$A_1 = a$	$B_1 = f - h$	$P_1 = A_1 \times B_1$
$A_2 = a + b$	$B_2 = h$	$P_2 = A_2 \times B_2$
$A_3 = c + d$	$B_3 = e$	$P_3 = A_3 \times B_3$
$A_4 = d$	$B_4 = g - e$	$P_4 = A_4 \times B_4$
$A_5 = a + d$	$B_5 = e + h$	$P_5 = A_5 \times B_5$
$A_6 = b - d$	$B_6 = g + h$	$P_6 = A_6 \times B_6$
$A_7 = a - c$	$B_7 = e + f$	$P_7 = A_7 \times B_7$
$r = P_5 + P_4 - P_2 + P_6$		
$s = P_1 + P_2$		
$t = P_3 + P_4$		
$u = P_5 + P_1 - P_3 - P_7$		

We need 7 recursive calls, and a total 18 additions/subtractions of  $n/2 \times n/2$  sub-matrices.

# Strassen's MM Algorithm

$$T(n) = 7T(n/2) + \Theta(n^2)$$

- $a = 7, b = 2, k = 2$ . So  $\log_a b = \log_2 7 \approx 2.81 > k$ .
- Hence  $T(n) = \Theta(n^{2.81})$ .
- For **small**  $n$ , the simple  $\Theta(n^3)$  algorithm is better.
- For **larger**  $n$ , Strassen's  $\Theta(n^{2.81})$  algorithm is better.
- The **break-even value** is  $20 \leq n \leq 50$ , depending on implementation.
- In some Science/Engineering applications, the matrices in MM are **sparse (namely most entries are 0.)** In such cases, neither the simple, nor the Strassen's algorithm work well. Completely different algorithms have been designed.

# Complexity of a Problem

## Complexity of a Problem

- The **Complexity of an algorithm** is the growth rate of its runtime function.
- The **Complexity of a problem  $P$**  is the complexity of the **best algorithm** (known or unknown) for solving it.
- The **complexity  $C_P(n)$**  of  $P$  is the **most important computational property** of  $P$ .
- If we have an algorithm for solving  $P$  with runtime  $T(n)$ , then  $T(n)$  is an **upper bound** of  $C_P(n)$ .
- To determine  $C_P(n)$ , we need to find a **lower bound  $S_P(n)$** : any algorithm (known or unknown) for solving  $P$  must have runtime at least  $\Omega(S_P(n))$ .
- If  $T(n) = \Theta(S_P(n))$ , then  $C_P(n) = \Theta(T(n))$ .
- In most cases, this is **extremely hard** to do. (How do we determine the runtime function of infinitely many possible algorithms for solving  $P$ ?)
- Or, **in a few cases**, it's trivial.

# Complexity of a Problem

## Example

### Matrix Addition (MA)

- We have a simple algorithm for MA with runtime  $\Theta(n^2)$ . So  $O(n^2)$  is an bound for  $C_{MA}(n)$ .
- $\Theta(n^2)$  is also a lower bound for  $C_{MA}(n)$ : any algorithm for solving MA must at least write down the resulting matrix  $C$ , **doing this alone requires  $\Omega(n^2)$  time**.
- Since the lower and upper bounds are the same, we get  $C_{MA}(n) = \Theta(n^2)$ .

# Complexity of a Problem

## Sorting (general purpose)

Given an array  $A[1..n]$  of elements, sort  $A$ . (The only operations allowed for  $A$ : comparison between array elements).

- MergeSort gives an upper bound:  $C_{\text{sort}}(n) = O(n \log n)$ .
- The Lower Bound Theorem: Any comparison based sorting algorithm must make at least  $\Omega(n \log n)$  comparisons, and hence take at least  $\Omega(n \log n)$  time.
- Since the lower and upper bounds are the same,  $C_{\text{sort}}(n) = \Theta(n \log n)$

# Complexity of a Problem

## Example

### Matrix Multiplication (MM)

- Strassen's algorithm gives an upper bound:  $C_{MM}(n) = O(n^{2.81})$ .
- Currently, the best known upper bound for MM is  $C_{MM}(n) = O(n^{2.376})$ .
- A trivial lower bound: Any MM algorithm must write down the resulting matrix  $C$ , this alone requires at least  $\Omega(n^2)$  time. Thus  $C_{MM}(n) = \Omega(n^2)$ .
- If  $C_{MM}(n) = \Theta(n^\alpha)$ , we know  $2 \leq \alpha \leq 2.376$ .
- Determining the exact value of  $\alpha$  is a long-standing open problem in CS/Math.

# Selection Problem

Three basic problems for ordered sets:

- **Sorting.**
- **Searching:** Given an array  $A[1..n]$  and  $x$ , find  $i$  such that  $A[i] = x$ . If no such  $i$  exists, report “no”.
- **Selection:** Given an unsorted array  $A[1..n]$  and integer  $k$  ( $1 \leq k \leq n$ ), return the  $k^{th}$  smallest element in  $A$ .

## Examples

- Find the maximum element: **Select**( $A[1..n], n$ ).
- Find the minimum element: **Select**( $A[1..n], 1$ ).
- Find the median: **Select**( $A[1..n], n/2$ ).



# Selection Problem

What's the complexity of these three basic problems?

- For **Sorting**, we already know  $C_{\text{sort}}(n) = \Theta(n \log n)$ .

- For **Searching**, there are two versions:

$A[1..n]$  **is not sorted**:

- The simple **Linear Search** takes  $O(n)$  time.
- A **trivial lower bound**: We must look at every element of  $A$  at least once. (If not, we might miss  $x$ ). So  $C_{\text{unsorted-search}}(n) = \Omega(n)$
- Since the lower and upper bounds match we have:

$$C_{\text{unsorted-search}}(n) = \Theta(n)$$

$A[1..n]$  **is sorted**:

- The simple **Binary Search** takes  $O(\log n)$  time.
- It can be shown  $\Omega(\log n)$  is also a lower bound.
- Since the lower and upper bounds match, we have:

$$C_{\text{sorted-search}}(n) = \Theta(\log n)$$

# Selection Problem

What about Selection?

**Simple-Select**( $A[1..n], k$ )

- 1: **MergeSort**( $A[1..n]$ )
- 2: output  $A[k]$

- This algorithm solves the select problem in  $\Theta(n \log n)$  time.
- But this is an **overkill**: to solve the select problem, we don't have to sort.
- We will present a **Linear Time** Select algorithm.
- $\Omega(n)$  is a trivial lower bound for Select: we must look at each array element at least once, otherwise the answer could be wrong.
- This would give:  $C_{\text{Select}}(n) = \Theta(n)$ .

# Selection Problem

This algorithm uses DaC. We need a function **partition(A,p,r)**.  
The goal: rearrange  $A[p..r]$  so that for some  $q$  ( $p \leq q \leq r$ ),

$$\begin{aligned} A[i] &\leq A[q] \quad \forall i = p, \dots, q-1 \\ A[j] &\leq A[j] \quad \forall j = q+1, \dots, r \end{aligned}$$

$p$	$q-1$	$q$	$q+1$	$r$
$\leq A[q]$		$A[q]$	$\geq A[q]$	

# Partition

The following code partitions  $A[p..r]$  around  $A[r]$

**Partition**( $A, p, r$ )

```
1:  $x \leftarrow A[r]$  ( $x$  is “pivot”.)
2:  $i \leftarrow p - 1$ 
3: for  $j \leftarrow p$  to  $r - 1$  do
4:   if  $A[j] \leq x$  then
5:      $i \leftarrow i + 1$ 
6:     swap  $A[i]$  and  $A[j]$ 
7:   end if
8: end for
9: swap  $A[i + 1]$  and  $A[r]$ 
10: return  $i + 1$ 
```

Example: ( $x = 4$  is the pivot element.)

	i	p,j							r		
...		3	1	8	5	6	2	7	4		...

		p,i	j						r		
...		3	1	8	5	6	2	7	4		...

		p	i	j					r		
...		3	1	8	5	6	2	7	4		...

		p	i		j				r		
...		3	1	8	5	6	2	7	4		...

		p	i			j			r		
...		3	1	8	5	6	2	7	4		...

		p	i				j		r		
...		3	1	8	5	6	2	7	4		...

...		3	1	2	5	6	8	7	4		...
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...		3	1	2	5	6	8	7	4		...
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...		3	1	2	4	6	8	7	5		...
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# Partition

We show **Partition**( $A, p, r$ ) achieves the goal. Before the loop 3-8 is entered, the following is true for any index  $k$ :

- ① If  $k \in [p, i]$ , then  $A[k] \leq x$
- ② If  $k \in [i + 1, j - 1]$ , then  $A[k] > x$
- ③ If  $k = r$ , then  $A[k] = x$
- ④ If  $k \in [j, r - 1]$ , then  $A[k]$  is unrestricted.

$p$	$i$	$i + 1$	$j - 1$	$j$	$r - 1$	$r$
$\leq x$		$> x$		unrestricted		$x$

Before the 1<sup>st</sup> iteration,  $i = p - 1, j = p$ .

- $[p, i] = [p, p - 1] = \emptyset$ , condition (1) is trivially true.
- $[i + 1, j - 1] = [p, p - 1] = \emptyset$ , condition (2) is trivially true.
- condition (3) and (4) are trivially true.

# Partition

Case (a)  $A[j] > x$ : Before:

$p$	$i$	$i + 1$	....	$j$	$r - 1$	$r$
$\leq x$	$> x$	$> x$	$> x$	unrestricted		$x$

After:

$p$	$i$	$i + 1$	....		$j$	$r - 1$	$r$
$\leq x$	$> x$	$> x$	$> x$	unrestricted			$x$

Case (b)  $A[j] \leq x$ : Before:

$p$	$i$	$i + 1$	....	$j$	$r - 1$	$r$
$\leq x$	$a > x$	$> x$	$b \leq x$	unrestricted		$x$

After:

$p$	$i$	....		$j$	$r - 1$	$r$
$\leq x$	$b \leq x$	$> x$	$a > x$	unrestricted		$x$

# Partition

After the loop termination  $j = r - 1$ :

$p$	$i$	$i + 1$	$\dots$	$j = r - 1$	$r$
$\leq x$		$a > x$		$> x$	$x$

After final swap:

$p$	$i$	$i + 1$	$\dots$	$j = r - 1$	$r$
$\leq x$	$x$			$> x$	$a > x$

- This is what we want.
- It is easy to see **Partition**( $A, p, r$ ) takes  $\Theta(n)$  time where  $n = r - p + 1$  is the number of elements in  $A[p..r]$ .



# Select

The following algorithm returns the  $i^{\text{th}}$  smallest element in  $A[p..r]$ . (It requires  $1 \leq i \leq r - p + 1$ ).

**Select**( $A, p, r, i$ )

- 1: **if** ( $p = r$ ), **return**  $A[p]$  (in this case we must have  $i = r - p + 1 = 1$ ).
- 2:  $x = A[r]$
- 3: **swap**( $A[r], A[p]$ )
- 4:  $q = \text{Partition}(A, p, r)$
- 5:  $k = q - p + 1$
- 6: **if**  $i = k$ , **return**  $A[q]$
- 7: **if**  $i < k$ , **return** **Select**( $A, p, q - 1, i$ )
- 8: **if**  $i > k$ , **return** **Select**( $A, q + 1, r, i - k$ )

Note: lines (2) and (3) don't do anything here. We will modify it later.

$p$	$q - 1$	$q$	$q + 1$	$r$		
$\leftarrow$	$q - p$ elements	$\rightarrow$	$A[q]$	$\leftarrow$	$n - k$ elements	$\rightarrow$

# Select

- If we pick **any** element  $x \in A[p..r]$ , the algorithm will work correctly.
- It can be shown the **expected or average** runtime is  $\Theta(n)$ .
- However, in the worst case, the runtime is  $\Theta(n^2)$ .

## Worst case example:

$A[1..n]$  is already sorted and we try to find the smallest element.

- **Select**( $A[1..n]$ , 1) calls **Partition**( $A[1..n]$ ) which returns  $q = n$ .
- **Select**( $A[1..n - 1]$ , 1) calls **Partition**( $A[1..n - 1]$ ) which returns  $q = n - 1$ .
- **Select**( $A[1..n - 2]$ , 1) calls **Partition**( $A[1..n - 2]$ ) which returns  $q = n - 2$ .
- ....

The runtime will be  $\Theta(n + (n - 1) + (n - 2) \dots 2 + 1) = \Theta(n^2)$ .

- The problem: the final position  $q$  of the pivot element  $x = A[r]$  can be anywhere, we have no control on this.
- If  $q$  is close to the beginning or the end of  $A[p..r]$ , it will be slow.
- If we can pick  $x$  so that  $q$  is at about the middle of  $A[p..r]$ , then the two sub-problems are about equal size, the runtime will be much better.
- How to do this?

# Select

Replace the line (2) by the following:

## Line 2

- 1: divide  $A[1..n]$  into  $\lceil \frac{n}{5} \rceil$  groups, each containing 5 elements (except the last group which may have  $< 5$  elements).
- 2: For each group  $G_i$ , let  $x_i$  be the median of the group.
- 3: Let  $M = \langle x_1, x_2, \dots, x_{\lceil n/5 \rceil} \rangle$  be the collection of these median elements.
- 4: recursively call  $x = \text{Select}(M[1..\lceil n/5 \rceil], n/10)$ . (Namely,  $x$  is the median of  $M$ ).
- 5: use  $x$  as the pivot element.

We will show in class this modification will give a  $\Theta(n)$  time selection algorithm.

The linear time selection algorithm is complex. The constant hidden in  $\Theta(n)$  is large. It's not a practical algorithm. The significance:

- It settled the complexity issue of a fundamental problem:  
 $C_{selection} = \Theta(n)$ .
- It illustrates two important algorithmic ideas:
  - **Random Sampling**: randomly pick **pivot  $x$**  to partition the array. On average, the algorithm takes  $\Theta(n)$  time.
  - **Derandomization**: Make a clever choice of  $x$ , remove the randomness.
- These ideas are used in other algorithms.

# Summary on Using DaC Strategy

- When divide into subproblems, the size of the sub-problems should be  $n/b$  for some constant  $b > 1$ . If it is only  $n - c$  for some constant  $c$ , and there are at least two subproblems, this usually leads to exp time.

## Example:

**Fib( $n$ )**

- 1: **if**  $n = 0$  **return** 0
- 2: **if**  $n = 1$  **return** 1
- 3: **else return** Fib( $n - 1$ )+Fib( $n - 2$ )

# Summary on DaC Strategy

We have:

$$T(n) = \begin{cases} O(1) & \text{if } n \leq 1 \\ T(n-1) + T(n-2) + O(1) & \text{if } n \geq 2 \end{cases}$$

Thus:

$$T(n) \geq T(n-2) + T(n-2) = 2T(n-2) \geq 2^2T(n-2 \cdot 2) \geq \dots \geq 2^kT(n-2 \cdot k).$$

When  $k = n/2$ , we have:  $T(n) \geq 2^{n/2}T(0) = \Omega((\sqrt{2})^n) = \Omega((1.414)^n)$ .

Actually,  $T(n) = \Theta(\alpha^n)$  where  $\alpha = \frac{\sqrt{5}+1}{2} \approx 1.618$ .

We make two recursive calls, with size  $n-1$  and  $n-2$ . This leads to exp time.

# Summary on DaC Strategy

- When divide into sub-problems, try to divide them into about equal sizes.

In the linear time select algorithm, we took great effort to ensure the size of the sub problem is  $\leq 7n/10$ .

- After we get  $T(n) = aT(n/b) + \Theta(n^k)$ . How to improve?
- If  $\log_b a < k$ , then the cost of other processing dominates the runtime. We must reduce it.
- If  $\log_b a > k$ , then the cost of recursive calls dominates the runtime. We must reduce the number of recursive calls. (Strassen's algorithm).
- If  $\log_b a = k$ , then the cost of two parts are about same. To improve, we must reduce both. Quite often, when you reach this point, you have the best algorithm!



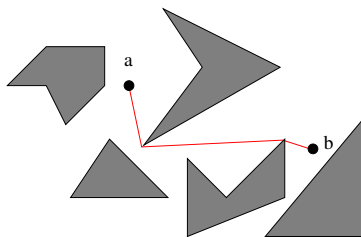
# Computational Geometry

## Computational Geometry

The branch of CS that studies geometry problems. It has applications in **Computer Graphics, Robotics, Motion Planning ...**

## Motion Planing

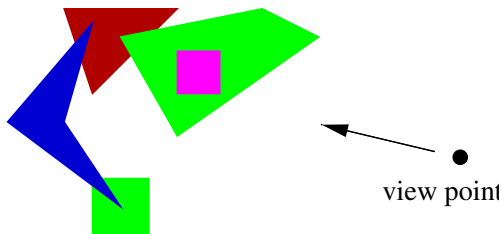
Given a set of polygons in 2D plane and two points  $a$  and  $b$ , find the shortest path from  $a$  to  $b$ , avoiding all polygons.



# Computational Geometry

## Hidden Surfaces Removal

Given a set of polygons in 3D space and a **view point**  $p$ , Identify the portions of the polygons that can be seen from  $p$ .



Application: Computer Graphics.

# Closest Point Pair Problem

## Closest Point Pair Problem

**Input:** A set  $P = \{p_1, p_2 \dots p_n\}$  of  $n$  points ( $p_i = (x_i, y_i)$ ).

**Find:**  $i \neq j$  such that  $\text{dist}(p_i, p_j) \stackrel{\text{def}}{=} [(x_i - x_j)^2 + (y_i - y_j)^2]^{1/2}$  is the smallest among all point pairs.

This is a basic problem in Computational Geometry.

Simple algorithm:

- For each pair  $i \neq j$ , compute  $\text{dist}(p_i, p_j)$ .
- Pick the pair with smallest distance.
- Let  $f(n)$  be the time needed to evaluate  $\text{dist}(*)$ .
- Since there are  $\Theta(n^2)$  point pairs, this algorithm takes  $\Theta(n^2 f(n))$  time.
- By using DaC, we get a  $\Theta(n \log n f(n))$  time algorithm.

# Closest Point Pair Problem

## **ClosestPair**( $P$ )

**Input:** The point set  $P$  is represented by  $X = [x_1, \dots, x_n]$  and  $Y = [y_1, \dots, y_n]$ .

**Preprocessing:** Sort  $X$ ; sort  $Y$ . This takes  $O(n \log n)$  time

1: If  $n \leq 4$ , find the shortest point pair directly. This takes  $O(1)$  time.

2: Divide the point set  $P$  into two parts as follows: Draw a vertical line  $l$  that divides  $P$  into  $P_L$  (points to the left of  $l$ ), and  $P_R$  (points to the right of  $l$ ), so that  $|P_L| = \lceil n/2 \rceil$  and  $|P_R| = \lfloor n/2 \rfloor$ .

Note: Since  $X$  is already sorted, we can draw  $l$  between  $x_{\lceil n/2 \rceil}$  and  $x_{\lceil n/2 \rceil + 1}$ . We scan  $X$  and collect points into  $P_L$  and  $P_R$ . This takes  $O(n)$  time.

3: Recursively call **ClosestPair**( $P_L$ ). Let

- $p_{Li}, p_{Lj}$  be the point pair with smallest distance in  $P_L$ .
- $\delta_L = \text{dist}(p_{Li}, p_{Lj})$ .

# Closest Point Pair Problem

4: Recursively call **ClosestPair**( $P_R$ ). Let

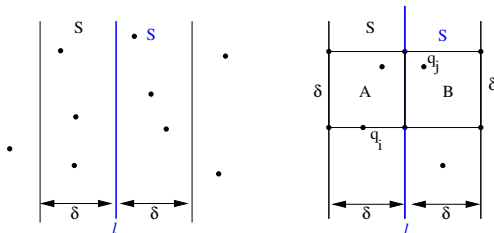
- $p_{Ri}, p_{Rj}$  be the point pair with smallest distance in  $P_R$ .
- $\delta_R = \text{dist}(p_{Ri}, p_{Rj})$ .

5: Let  $\delta = \min\{\delta_L, \delta_R\}$ . This takes  $O(1)$  time.

6: Combine. The solution of the original problem must be one of three cases:

- The closest pair  $p_i, p_j$  are both in  $P_L$ . We have solved this case in (3).
- The closest pair  $p_i, p_j$  are both in  $P_R$ . We have solved this case in (4).
- One of  $\{p_i, p_j\}$  is in  $P_L$  and another one is in  $P_R$ . We must find the solution in this case.

Note: Let  $S$  be the vertical strip with width  $2\delta$  centered at the line  $l$ . Then  $p_i$  and  $p_j$  must be in  $S$ . (Why?)



**6.1:** Let  $P' = \{q_1, q_2, \dots, q_t\}$  be the points in the  $2\delta$  wide strip  $S$ . Let  $Y'$  be the  $y$ -coordinates of points in  $P'$  in increasing order.

Note: Since  $Y$  is already sorted, we scan  $Y$ , and only include the points that are in the strip  $S$ . This takes  $O(n)$  time.

**6.2:** For each  $q_i$  ( $i = 1 \dots t$ ) in  $P'$ , **compute  $\text{dist}(q_i, q_j)$  where  $i < j \leq i + 7$** . Let  $\delta'$  be the smallest distance computed in this step.

Note: If  $(q_i, q_j)$  is the closest pair, then both must be in the region  $A$  or  $B$  ( $q_i$  is at the bottom edge). But any two points in  $A$  have inter-distance at least  $\delta$ .  $A$  can contain at most 4 points. Similarly  $B$  can contain at most 4 points. **So we only need to compare  $\text{dist}$  between  $q_i$  and next 7 points in  $P'$ !**

# Closest Point Pair Problem

**6.3:** If  $\delta' < \delta$ , the shortest distance computed in (6.2) is the shortest distance for the original problem.

If  $\delta' \geq \delta$ , the shortest distance computed in (3) or (4) is the shortest distance for the original problem.

Output accordingly.

**Analysis:** Let  $T(n)$  be the number of computation of  $\text{dist}^*$  by the alg. The algorithm makes two recursive calls, each with size  $n/2$ . All other processing takes  $O(n)$  time. Thus:

$$T(n) = \begin{cases} O(1) & \text{if } n \leq 4 \\ 2T(n/2) + \Theta(n) & \text{if } n > 4 \end{cases}$$

Thus:  $T(n) = \Theta(n \log n)$ .