

CSE 562: Database Systems

Instructor: Oliver Kennedy
okennedy@buffalo.edu

Forbes Global 2000

The [Forbes Global 2000](#) is an annual ranking of the top 2000 companies in the world. In the 2012 list for the "Software & Programming" industry, the top 8 companies were:

1. Microsoft 
2. Oracle 
3. SAP 
4. Symantec 
5. CA 
6. VMware 
7. Adobe Systems 
8. Intuit 

Software Top 100

The Software 500 is an annual ranking by *Software Today* of the top 500 software companies. The companies are ranked according to their revenue.

The top 10 on the 2011 list were:^[2]

1. Microsoft
2. IBM
3. Oracle
4. SAP AG
5. Ericsson
6. Hewlett-Packard
7. Symantec
8. Nintendo
9. Activision Blizzard
10. EMC Corporation

Why Study Databases?

- Datasets are continually increasing in diversity and volume.
 - Digital Libraries, Skyserver, Twitter, Phone Sensors
- Information is one of the most valuable resources.
 - Database Management Systems are everywhere!
 - Search engines are ubiquitous
 - 100+ billion dollar-a-year industry (jobs!)
- Databases encompass much of CS
 - OS, Programming Languages, 'A'I, Logic, ...

What is a Database?

(or a DBMS)

- A very large collection of (?curated?) data.
- A schema (or model) that indicates how the data is organized/can be used.
- Entities (e.g., Starfleet Officers, Starships, Planets)
- Relationships (e.g., Captain Kirk visited Vulcan)

What is a Database?

(or a DBMS)



- A very large collection of (?curated?) data.
- A schema (or model) that indicates how the data is organized/can be used.
- Entities (e.g., Starfleet Officers, Starships, Planets)
- Relationships (e.g., Captain Kirk visited Vulcan)

What is a Database?

(or a DBMS)



- A very large collection of (?curated?) data.
- A schema (or model) that indicates how the data is organized/can be used.
- Entities (e.g., Starfleet Officers, Starships, Planets)
- Relationships (e.g., Captain Kirk visited Vulcan)



What is a Database?

(or a DBMS)



- A very large collection of (?curated?) data.
- A schema (or model) that indicates how the data is organized/can be used.
- Entities (e.g., Starfleet Officers, Starships, Planets)
- Relationships (e.g., Captain Kirk visited Vulcan)

A Database Management System (DBMS) is a software package designed to store and manage databases.



Why use DBMSes?

- Rapid Application Development (Queries)
- Data Independence
- Concurrent Access
- Crash Recovery
- Ease of Management

Questions so far?

General Information

Instructor

Oliver Kennedy (okennedy@buffalo.edu)

TAs

Jie Hu (jhu6@buffalo.edu)

Tong Guan (tongguan@buffalo.edu)

General Information

Course Website

<http://piazza.com/buffalo/spring2013/cse562/home>

We will be monitoring the forums closely
(also has a study/project group coordination tool)

General Information

Course Website

<http://piazza.com/buffalo/spring2013/cse562/home>

We will be monitoring the forums closely
(also has a study/project group coordination tool)

General Information

Instructor Office Hours

Davis 338H

Monday/Thursday, 2:00-3:00

(or by appointment)

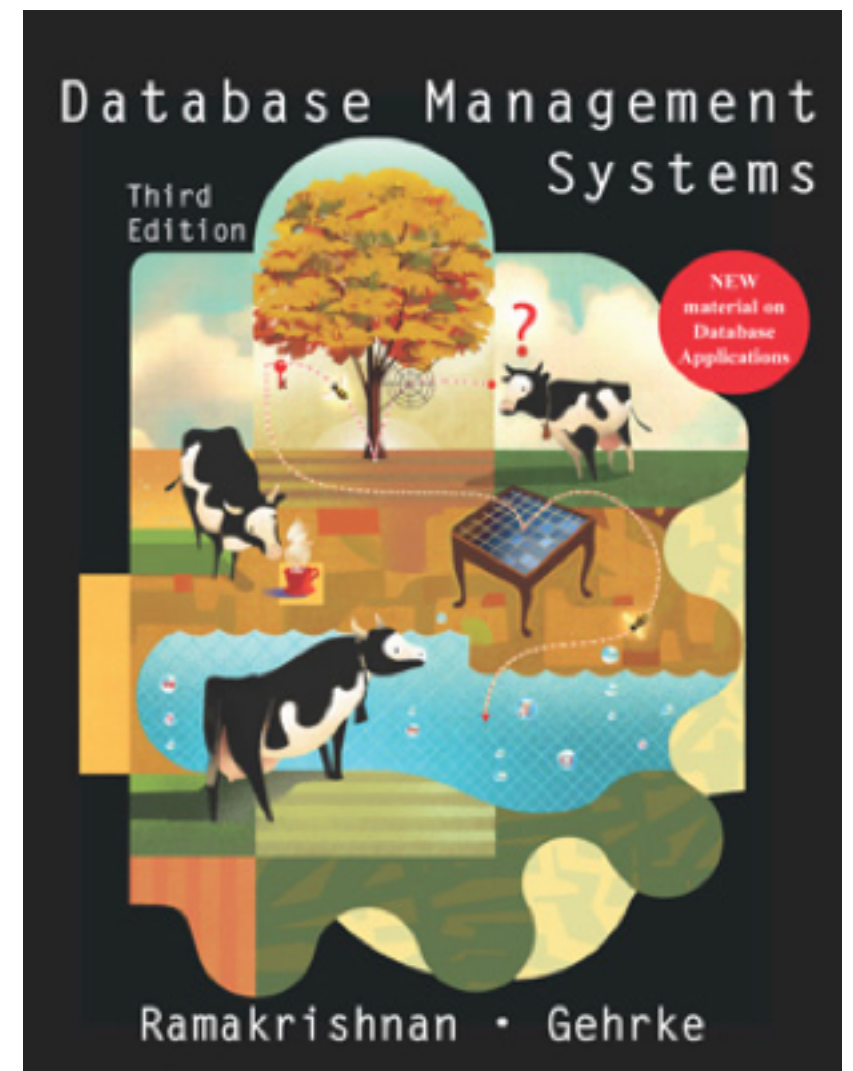
See website for more information.

Course Structure

- 2-3 Person Group Programming Assignment (40%)
 - **Part 1:** Orientation, Parser, Query Evaluation
 - **Part 2:** Index Construction
 - **Part 3:** Query Optimization
 - **Part 4:** Group's Choice Assignment
- Midterm Exam (25%)
- Comprehensive Final Exam (25%)
- ~Weekly Homework Assignments (10%)
 - Homework Grade is Avg, Dropping Lowest

Textbook

- Database Management Systems (3rd Ed)
- By Ramakrishnan & Gehrke
- Required Textbook
- Syllabus Doesn't Follow Textbook Exactly



Syllabus Overview

- SQL and Relational Algebra (~2 weeks)
- Basic Query Evaluation (~1 week)
- Storage and Indexing (~2.5 weeks)
- Query Optimization (~1.5 weeks)
- Data Modeling/Integrity Constraints (~1 week)
- Transactions/Concurrency (~2 weeks)
- Advanced Topics (~4 weeks)

Homework/Project Policies

- **Do discuss** the **concepts** that appear in homeworks/projects.
- **Do not submit** answers/solutions/code created by anyone other than you or your group.
- Read the Departmental Academic Integrity Policy
- All assignments should be submitted through the submission system on the departmental servers.
- Details will be posted on the course website.

Homework/Project Policies

- No Late Submissions!
 - Late homework submissions will receive a 0% grade.
 - Your lowest homework grade will not count.
 - Late project submissions will be penalized by 10% of project grade per day late.
- **No exceptions without medical documentation!**
- Project/Homework grades will be emailed to your UB address.
- Regrade requests must be received within 7 days of grades being distributed.

Exams

- Midterm (25%): **March 4, 1:00-1:50**
 - Closed Book Exam
- Final (25%): **On HUB**
 - Closed Book Exam
 - Cumulative with Emphasis on 2nd Half
- Please avoid scheduling conflicts
 - Poll on Piazza: Who is in Algorithms?

Workload?

- Relatively High
- So why should I take this course?
 - Big Ideas
 - Theory Meets Practice
 - Lots and lots of real-world applications
 - The Job Market!

Questions on the Class Structure?

What does a DBMS do?

(slides adapted from content by J.Gehrke, J.Shanmugasundaram, and/or C.Koch)

What does a DBMS add?

(over raw files)

- Schema Information/Data Independence
- IO/Memory Hierarchy Exploitation
- Consistency Guarantees
- Crash Recovery
- Security and Access Control

Data Independence

“officers” contains [First Name, Last Name, Starfleet ID]
Output the Starfleet ID of every officer named “Kirk”

```
File.open("officers").  
  readlines.  
  each do |line|  
    line = line.split(/, */)   
    if (line[1] == "Kirk")  
      then puts line[2]  
    end  
  end  
end
```

Ruby

```
SELECT starfleet_id  
FROM   officers  
WHERE  last_name = "Kirk"
```

SQL

Data Independence

“officers” contains [First Name, Last Name, Starfleet ID]
Output the Starfleet ID of every officer named “Kirk”

↖
Add [Middle Name]

```
File.open("officers").  
  readlines.  
  each do |line|  
    line = line.split(/, */)   
    if (line[1] == "Kirk")  
      then puts line[2]  
    end  
  end  
end
```

```
SELECT starfleet_id  
FROM   officers  
WHERE  last_name = "Kirk"
```

No Change!

Logical Independence

Ruby

SQL

Data Independence

“officers” contains [First Name, Last Name, Starfleet ID]
Output the Starfleet ID of every officer named “Kirk”

```
File.open("officers").  
  readlines.  
  each do |line|  
    line = line.split(/, */)   
    if (line[1] == "Kirk")  
      then puts line[2]  
    end  
  end  
end
```

Ruby

```
SELECT starfleet_id  
FROM   officers  
WHERE  last_name = "Kirk"
```

SQL

Data Independence

“officers” contains [First Name, Last Name, Starfleet ID]
Output the Starfleet ID of every officer named “Kirk”

Store sorted by Last Name

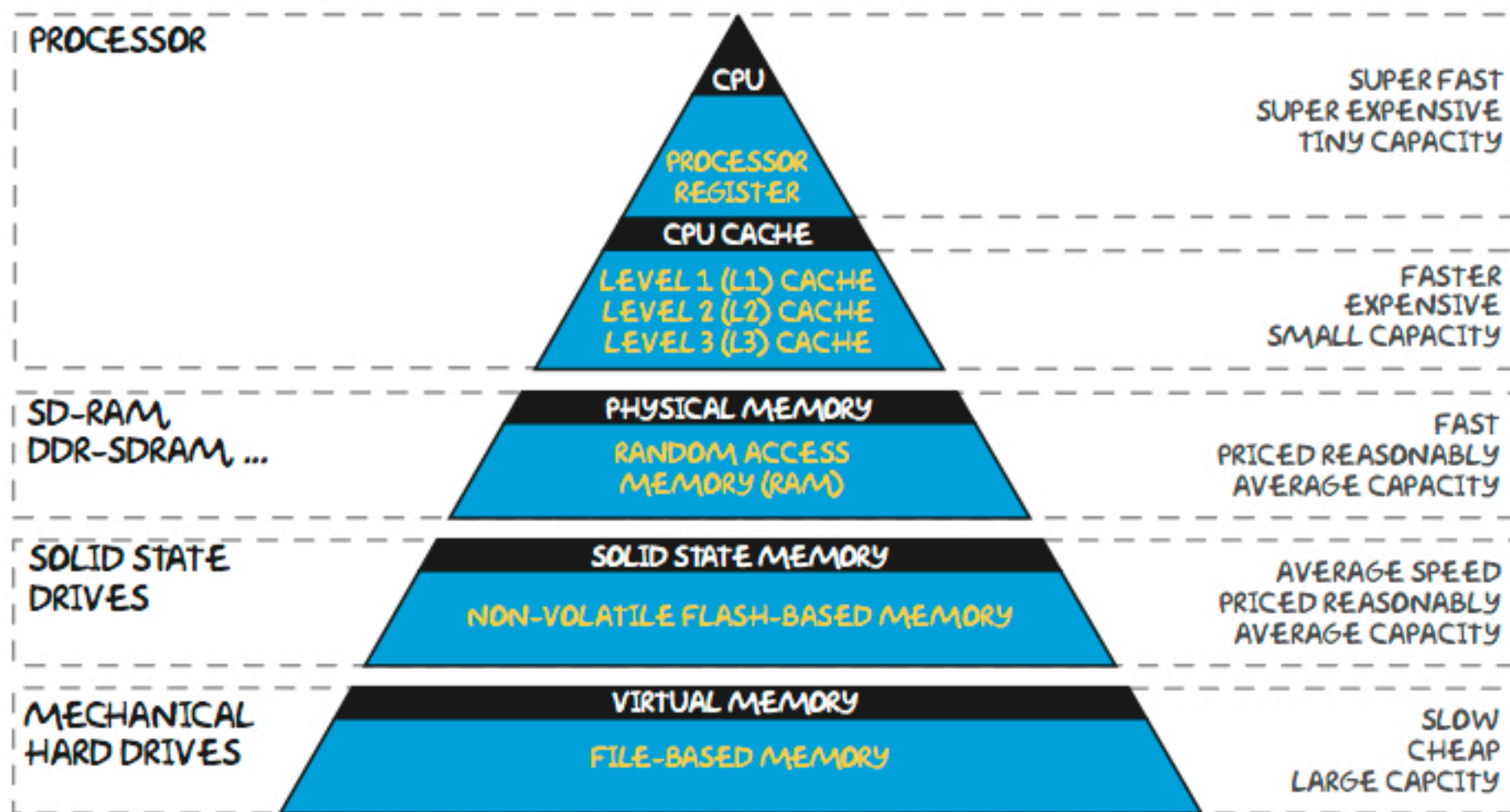
```
File.open("officers").  
  readlines.↖.skip_to(/^[^,]*, *Kirk"/)  
  each do |line|  
    line = line.split(/, */)          SELECT starfleet_id  
    if (line[1] == "Kirk")             FROM   officers  
      then puts line[2]                WHERE  last_name = "Kirk"  
    end ↖                               No Change!  
    else break  
  end  
end
```

Physical Independence

Ruby

SQL

The Memory Hierarchy



Consistency

- Concurrent query execution is good!
 - Disk-IO has high-latencies.
 - Keep the processor busy by working on many things at once!
- Concurrent query execution is bad!
 - Operations can interact poorly.

Consistency



Consistency

Fire a Photon Torpedo



Consistency

Fire a Photon Torpedo



Value

'torpedos'

```
torpedos = read(inventory);
```

```
torpedos = torpedos - 1;
```

```
write(inventory);
```


Consistency

Fire a Photon Torpedo



	<u>Value</u>	<u>'torpedos'</u>
<code>torpedos = read(inventory);</code>	120	120
<code>torpedos = torpedos - 1;</code>	120	119
<code>write(inventory);</code>	119	119

Consistency

Fire a Photon Torpedo

Fire a Photon Torpedo



Value

Tube 1
'torpedos'

Tube 2
'torpedos'

```
torpedos = read(inventory); 120
```

```
torpedos = torpedos - 1;
```

```
write(inventory);
```

Consistency

Fire a Photon Torpedo

Fire a Photon Torpedo



	<u>Value</u>	<u>Tube 1 'torpedos'</u>	<u>Tube 2 'torpedos'</u>
<code>torpedos = read(inventory);</code>	120	120	120
<code>torpedos = torpedos - 1;</code>			
<code>write(inventory);</code>			

Consistency

Fire a Photon Torpedo

Fire a Photon Torpedo



	<u>Value</u>	<u>Tube 1 'torpedos'</u>	<u>Tube 2 'torpedos'</u>
<code>torpedos = read(inventory);</code>	120	120	120
<code>torpedos = torpedos - 1;</code>	120	119	119
<code>write(inventory);</code>			

Consistency

Fire a Photon Torpedo

Fire a Photon Torpedo



	<u>Value</u>	<u>Tube 1 'torpedos'</u>	<u>Tube 2 'torpedos'</u>
<code>torpedos = read(inventory);</code>	120	120	120
<code>torpedos = torpedos - 1;</code>	120	119	119
<code>write(inventory);</code>	119!!!	119	119

Consistency Assured by the DBMS!

Transactions

- An **atomic** sequence of database actions (reads/writes).
- All operations **succeed or fail** together.
- The database is left in a **consistent state**.
- Users can specify **integrity constraints** on the data to be enforced by the DBMS.
(e.g., A ship has only one captain, each SID is unique)
- The DBMS makes no other assumptions about the data semantics.

Atomicity/Crash Recovery

- DBMS ensures atomicity even if the system crashes!
- Keep a record (log) of all actions performed.
- Before actually changing the database, ensure that the log record is safely written to disk.
- After a crash, use the log to undo incomplete transactions.
- If the log record wasn't written, we haven't modified the database either!

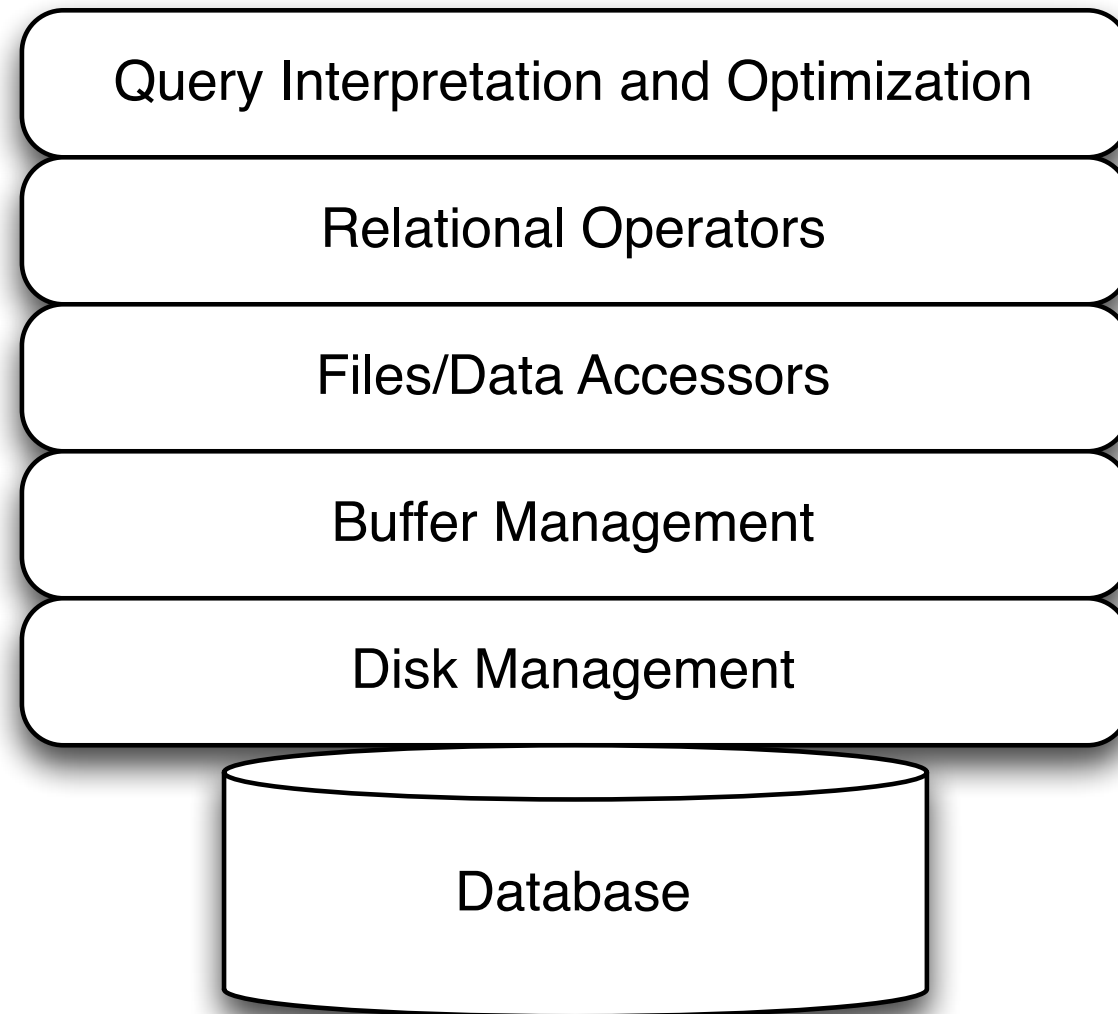
Atomicity/Crash Recovery

- DBMS ensures atomicity even if the system crashes!
- Keep a record (log) of all actions performed.
- Before actually changing the database, ensure that the log record is safely written to disk.
- After a crash, use the log to undo incomplete transactions.
- If the log record wasn't written, we haven't modified the database either!

Crash Recovery Automagically Provided by DBMS!

Structure of a DBMS

(example)



Who needs to know about DBMSes?

- DBMS Vendors/Developers
- Database Users/Application Programmers
 - e.g., Webmasters
- Database Administrators (DBAs)
 - ... design logical/physical schemas.
 - ... handle security/authorization.
 - ... tune the database as workloads change.

Overview of the Overview

- DBMSes...
 - ... maintain and query large datasets.
 - ... automagically provide ...
 - ... concurrency support.
 - ... crash recovery.
 - ... access control.
- Levels of abstraction give data independence.
- A DBMS typically has a layered architecture.
- Database principles are crucial.
 - ...even for NoSQL systems

Overview of the Overview

- DBMSes...
 - ... maintain and query large datasets.
 - ... automagically provide ...
 - ... concurrency support.
 - ... crash recovery.
 - ... access control.
- Levels of abstraction give data independence.
- A DBMS typically has a layered architecture.
- Database principles are crucial.
 - ...even for NoSQL systems

Questions?