

# INT201 Decision, Computation and Language

Lecture 7 – Context-Free Languages (2)  
Dr Yushi Li and Dr Chunchuan Lyu



Assistant Professor-Dr Chunchuan Lyu

- Graduated from The University of Edinburgh and XJTLU  
Studied computational semantics but moved to unsupervised reinforcement learning (what an agent should do if no moral gold standard is given?)
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## Overall Study Tips

- Theory of Computation in 12 Hours by Easy Theory Youtuber  
Really clear explanation
- Theory of Computation 2020 by Michael Sipser MIT OCW  
We are following closely
- The Nature of Computation  
Good complementary book

Please come to office hour, if you are having difficulty or question about anything.



## Noam Chomsky 1928-now

An American professor, father of modern linguistics



- Transformational Analysis (1955)
- Syntactic Structures (1957)
- Minimalist program (1995)

What is language?

Why does it have the properties it has?

Formal Basis of a Language Universal (2021 Miliš Stanojević, Mark Steedman)



## Noam Chomsky 1928-now

A public intellectual



- Manufacturing Consent (1988 with Edward S. Herman)
- On Palestine (2015 with Ilan Pappé)
- Consequences of Capitalism (2021 with Marv Waterstone)

"one of the most notable contemporary champions of the people"  
"pathological hatred of his own country"



## Recap

- Regular languages are context-free
- Every context-free grammar has a Chomsky Normal Form

## Today

- Closure property of context-free grammar
- Syntactic parsing (\*optional)
- Pushdown Automata



## Closure Properties of Context Free Language

Theorem: If  $L_1$  and  $L_2$  are context-free languages, their union  $L_1 \cup L_2$  is also context free.

Example:

$$L_1 = \{a^n b^n c^m \mid m \geq 0, n \geq 0\}$$

$$L_2 = \{a^n b^m c^m \mid m \geq 0, n \geq 0\}$$

$$L_3 = L_1 \cup L_2 = \{a^i b^j c^k \mid i \geq 0, j \geq 0, k \geq 0, i = j \text{ or } j = k\}$$

**Proof idea:**

For  $L_1$  and  $L_2$ , there exists corresponding context free grammars  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  and  $G_2 = (V_2, \Sigma_2, R_2, S_2)$ . Let  $G_3 = (V_1 \cup V_2, \Sigma_1 \cup \Sigma_2, R_1 \cup R_2 \cup \{S \rightarrow S_1 | S_2\}, S)$ , clearly  $L(G_3) = L_1 \cup L_2$ .



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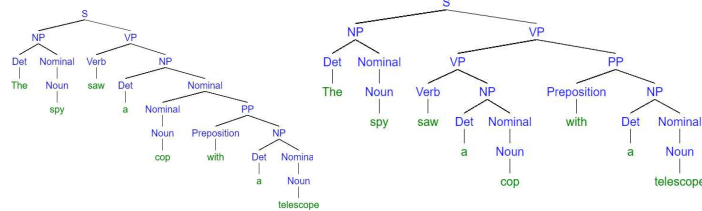
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A different tree with different meaning

## Parsing Natural Language with Context-Free Grammar



Different derivation corresponds to different meaning.

Liu, Alisa et al. "We're Afraid Language Models Aren't Modeling Ambiguity." *ArXiv abs/2304.14399* (2023): n. pag.



下推自动机

## Pushdown Automata (PDAs)

能被 pushdown automata 接受的语言就是上下文无关语言

The class of languages that can be accepted by pushdown automata is exactly the class of context-free languages (finite automata are for regular languages).

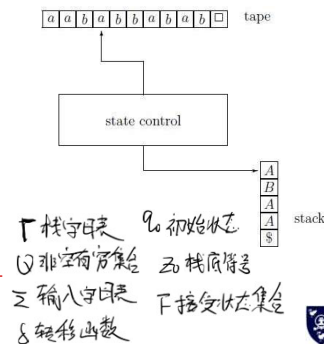
- The input for a pushdown automaton is a string  $w$  in  $\Sigma^*$ . *pda 的输入是  $\Sigma^*$  中的一个字符串*
- PDA accepts or doesn't accept  $w$ . *PDA 可以接受或不接受  $w$*
- Different from finite automata, PDAs have a stack. *和有限自动机不同, PDA 有栈*
- Stack have 2 different operations: *有两种不同的操作*
  - push – adds item to top of stack *推入 将内容放入栈顶*
  - pop – removes item from top of stack *推出 从栈顶移出元素*



## Pushdown Automata (PDAs)

A PDA consists of: a tape, a stack and a state control

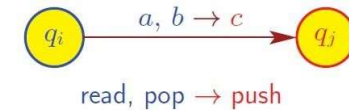
- Tape:** divided into cells that store symbols belonging to  $\Sigma_c = \Sigma \cup \{\epsilon\}$ .
- Tape head:** move along the tape, one cell to the right per move.
- Stack:** containing symbols from a finite set  $\Gamma$ , called the stack alphabet. This set contains a special symbol  $\$$  (often mark bottom of stack).
- Stack head:** reads the top symbol of the stack. This head can also pop the top symbol, and it can push symbols of  $\Gamma$  onto the stack.
- State control:** can be in any one of a finite number of states. The set of states is denoted by  $Q$ . The set  $Q$  contains one special state  $q_0$ , called the start state.



## PDA Transition

If PDA

- in state  $q_i$
- reads  $a \in \Sigma_c$
- pops  $b \in \Gamma_c$  off the stack



If  $a = \epsilon$ , then no input symbol is read.

If  $b = \epsilon$ , then nothing is popped off stack.

then PDA

- moves to state  $q_j$
- push  $c \in \Gamma_c$  onto top of stack

If  $c = \epsilon$ , then  $b$  is popped from stack.

If  $c = u_1 u_2 \dots u_k$  with  $k \geq 1$  and  $u_1, u_2, \dots, u_k \in \Gamma$ , then  $b$  is replaced by  $c$ , and  $u_k$  becomes the new top symbol of the stack.



## PDA Definition

### Definition

后进先出自动机  
A **pushdown automaton** is a 6-tuple  $M = (Q, \Sigma, \Gamma, \delta, q, F)$ :

- $Q$  is finite set of states Γ 栈字母表  $q_0$  初始状态
- $\Sigma$  is (finite) input (tape) alphabet ∅ 非空有限集 为 栈底符号
- $\Gamma$  is (finite) stack alphabet Σ 输入字母表 下接受状态集合
- $\delta$  is the transition function:  $Q \times \Sigma \times \Gamma \rightarrow Q \times \Gamma^*$  δ 转移函数
- $q$  is start state,  $q \in Q$
- $F$  is set of accept states,  $F \subseteq Q$ , **PDA accepts as long as it is in  $F$  regardless of the stack.**

Let  $r, r' \in Q$ ,  $a \in \Sigma^*$  and  $b, c \in \Gamma^*$

$$\delta(r, a, b) = (r', c).$$

In state  $r$ , PDA reads  $a$  on the tape and pop  $b$  from the stack, move to state  $r'$  and push  $c$  to the stack. The tape head moves to the right.

PDA 从 tape 读取  $a$ , 把  $b$  从栈顶弹出, 移到下一个状态  $r'$ , 把  $c$  推入栈. 将状态移到下一个 tape.

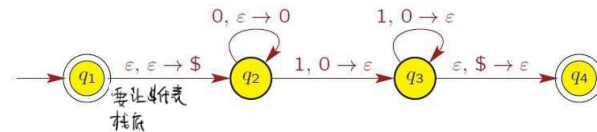
### Example

Given a PDA  $M = (Q, \Sigma, \Gamma, \delta, q_1, F)$

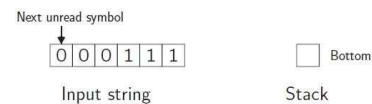
- $Q = \{q_1, q_2, q_3, q_4\}$
- $\Sigma = \{0, 1\}$
- $\Gamma = \{0, \$\}$
- $q_1$  is start state
- $F = \{q_1, q_4\}$
- $\delta: Q \times \Sigma \times \Gamma \rightarrow Q \times \Gamma^*$

Input:	0	1	$\epsilon$
Stack:	0 \$	0 \$	0 \$
$q_1$	$\epsilon$	$\epsilon$	$\epsilon$
$q_2$	$\{(q_2, 0)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, \$)\}$
$q_3$		$\{(q_3, \epsilon)\}$	$\{(q_4, \epsilon)\}$
$q_4$			

### Example

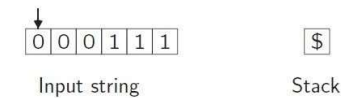
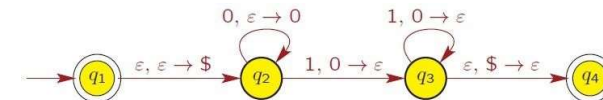


Process string 000111



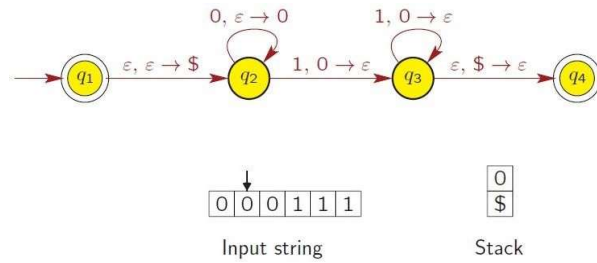
- Start in start state  $q_1$  with stack empty.
- No input symbols read so far.
- Next go to state  $q_2$ 
  - reading nothing, popping nothing, and pushing  $\$$  on stack.

### Example



- Next return to state  $q_2$ 
  - reading input symbol 0
  - popping nothing from stack
  - pushing 0 on stack.

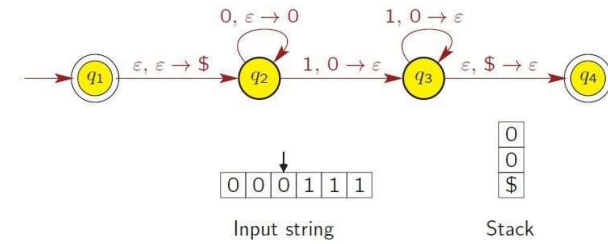
## Example



- Next return to state  $q_2$ 
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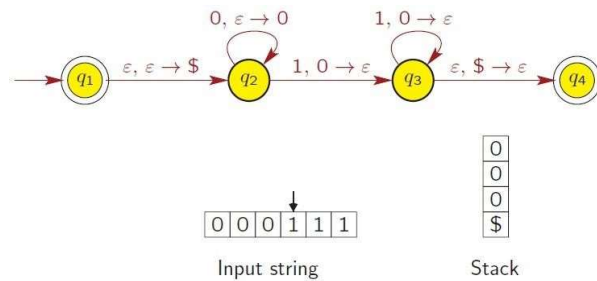
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- Next return to state  $q_2$ 
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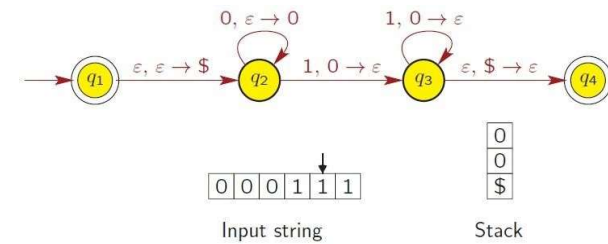
## Example



- Next go to state  $q_3$ 
  - reading input symbol 1
  - popping 0 from stack
  - pushing nothing on stack.



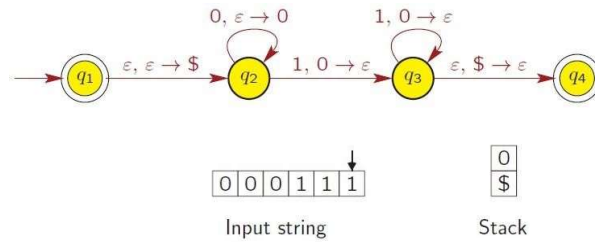
## Example



- Next return to state  $q_3$ 
  - reading input symbol 1
  - popping 0 from stack
  - pushing nothing on stack.



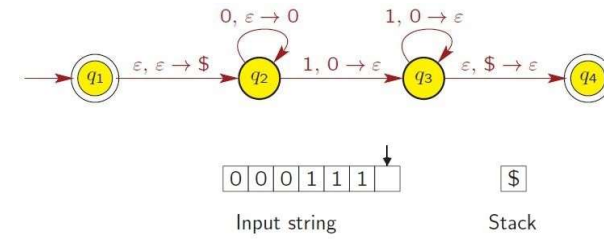
## Example



- Next return to state  $q_3$ 
  - reading input symbol 1
  - popping 0 from stack
  - pushing nothing on stack.



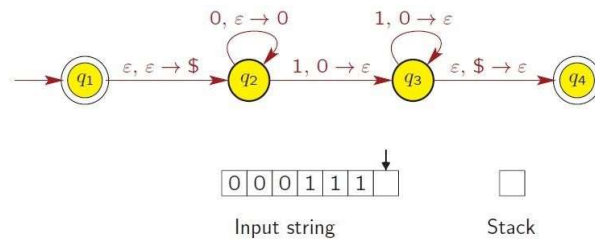
## Example



- Next go to state  $q_4$ 
  - reading nothing
  - popping \$ from stack
  - pushing nothing on stack.



## Example



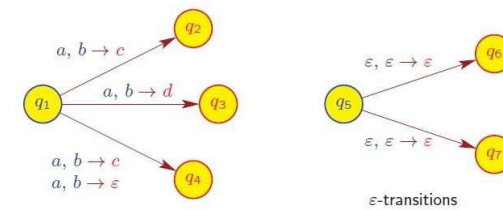
- String 000111 is **accepted** by PDA because
  - $q_4$  is an accept state and
  - PDA read the entire input string without crashing.



## Nondeterministic PDA

PDA transition function allows for nondeterminism

$$\delta: Q \times \Sigma_e \times \Gamma_e \rightarrow P(Q \times \Gamma_e)$$



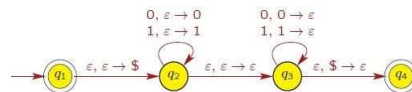
## Language accepted by PDA

### Definition

The set of all input strings that are accepted by PDA  $M$  is the language recognized by  $M$  and is denoted by  $L(M)$ .

### Example

PDA for language  $\{ww^R \mid w \in \{0, 1\}^*\}$



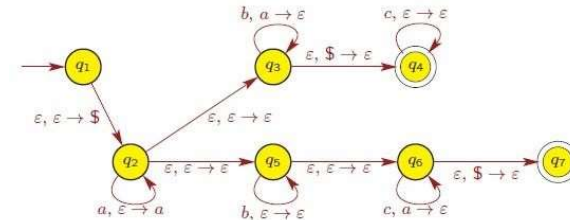
- $q_1 \rightarrow q_2$  : First pushes  $\$$  on stack to mark bottom
- $q_2 \rightarrow q_2$  : Reads in first half  $w$  of string, pushing it onto stack
- $q_2 \rightarrow q_3$  : Guesses that it has reached middle of string
- $q_3 \rightarrow q_3$  : Reads second half  $w^R$  of string, matching symbols from first half in reverse order (recall: stack LIFO)
- $q_3 \rightarrow q_4$  : Makes sure that no more input symbols on stack



## Language accepted by PDA

### Example

PDA for language  $\{a^ib^jck^k \mid i, j, k \geq 0 \text{ and } i = j \text{ or } i = k\}$



## Quick review

- CFLs are closed under concatenation, union and Kleene closure
- CFLs/Natural language exhibits ambiguities (\* optional)
- Pushdown automata has an additional stack to store information



## Q&A

- Does the stack elements have any influence on the accepting condition of PDA?  
No, the acceptance is solely decided by the state.
- Why we put the  $\$$  at the beginning for some PDA?  
Combined with popping  $\$$  before accepting, we make sure that all things being added later will be processed. Back to the first question, if a stack conditioned PDA is defined by accepting when its' state is accepting and stack elements match some criteria. We can add popping transitions to make an equivalent standard PDA.





