

# Regular Language

A collection  $S$  of objects is **closed** under operation  $f$  if applying  $f$  to members of  $S$  always returns an object still in  $S$ .

Regular languages are indeed closed under the regular operations (e.g. union, concatenation, star …)

如果将 $f$ 应用于 $S$ 的成员总是返回一个仍然在 $S$ 中的对象，那么对象的集合 $S$ 在操作 $f$ 下是关闭的。

规则语言在规则操作（例如联合，连接，星…）下确实是关闭的

## Regular Languages Closed Under Union

The set of regular languages is closed under the union operation.

i.e.  $A$  and  $B$  are regular languages over the same alphabet  $\Sigma$ , then  $A \cup B$  is also a regular language.

规则语言集在联合操作下被关闭。

即 $A$ 和 $B$ 是同一字母表 $\Sigma$ 上的规则语言，那么 $A \cup B$ 也是规则语言。

1. Since  $A$  and  $B$  are regular languages, there are finite automata  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  and  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  that accept  $A$  and  $B$ , respectively.
2. In order to prove that  $A \cup B$  is regular, we have to construct a finite automaton  $M$  that accepts  $A \cup B$ . In other words,  $M$  must have the property that for every string  $w \in \Sigma^*$ :

由于 $A$ 和 $B$ 是正则语言，因此有有限自动机 $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  和 $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  分别接受 $A$ 和 $B$ 。

为了证明一个 $A \cup B$ 是正则的，我们必须构造一个接受一个 $A \cup B$ 的有限自动机 $M$ 。换句话说， $M$ 必须具有对于每个字符串 $w \in \Sigma^*$ :

DFA:

## Proof

Given  $M_1$  and  $M_2$  that  $A = L(M_1)$  and  $B = L(M_2)$ , we can define  $M = (Q, \Sigma, \delta, q, F)$ :

- $Q = Q_1 \times Q_2 = \{(q_1, q_2) : q_1 \in Q_1 \text{ and } q_2 \in Q_2\}$
  - $\Sigma$  is same as the alphabet of  $A$  and  $B$
  - $q = (q_1, q_2)$
  - $F = \{(q_1, q_2) : q_1 \in F_1 \text{ or } q_2 \in F_2\}$
  - $\delta : Q \times \Sigma \rightarrow Q$
- $$\delta((q_1, q_2), a) = (\delta(q_1, a), \delta(q_2, a)), a \in \Sigma$$

## Proof

- $\delta^*((q_1, q_2), w) = (\delta^*(q_1, w), \delta^*(q_2, w))$
- $\delta^*((q_1, q_2), w) \in F \Leftrightarrow \delta^*(q_1, w) \in F_1 \text{ or } \delta^*(q_2, w) \in F_2$
- $M \text{ accepts } w \Leftrightarrow \delta^*(q_1, w) \in F_1 \text{ or } \delta^*(q_2, w) \in F_2$
- $M \text{ accepts } w \Leftrightarrow M_1 \text{ accepts } w \text{ or } M_2 \text{ accepts } w$

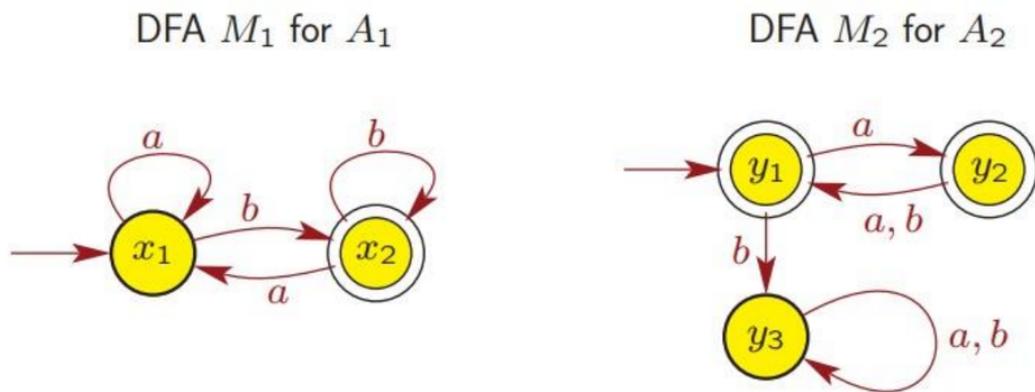
## Proved

## Example

Consider the following DFAs and languages over  $\Sigma = \{a, b\}$ :

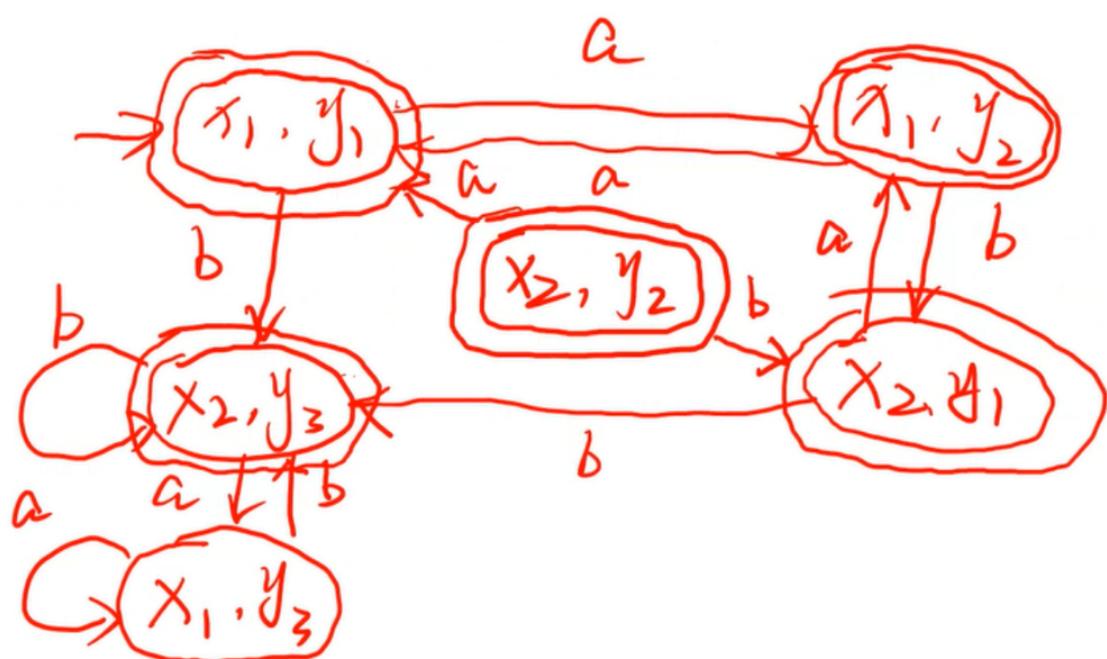
DFA  $M_1$  recognizes  $A_1 = L(M_1)$

DFA  $M_2$  recognizes  $A_2 = L(M_2)$



DFA  $M$  for  $A_1 \cup A_2$ ?

## Example



NFA:

## Proof

Consider the following NFAs:

NFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1 = L(M_1)$

NFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2 = L(M_2)$

We will construct an NFA  $M = (Q, \Sigma, \delta, q, F)$

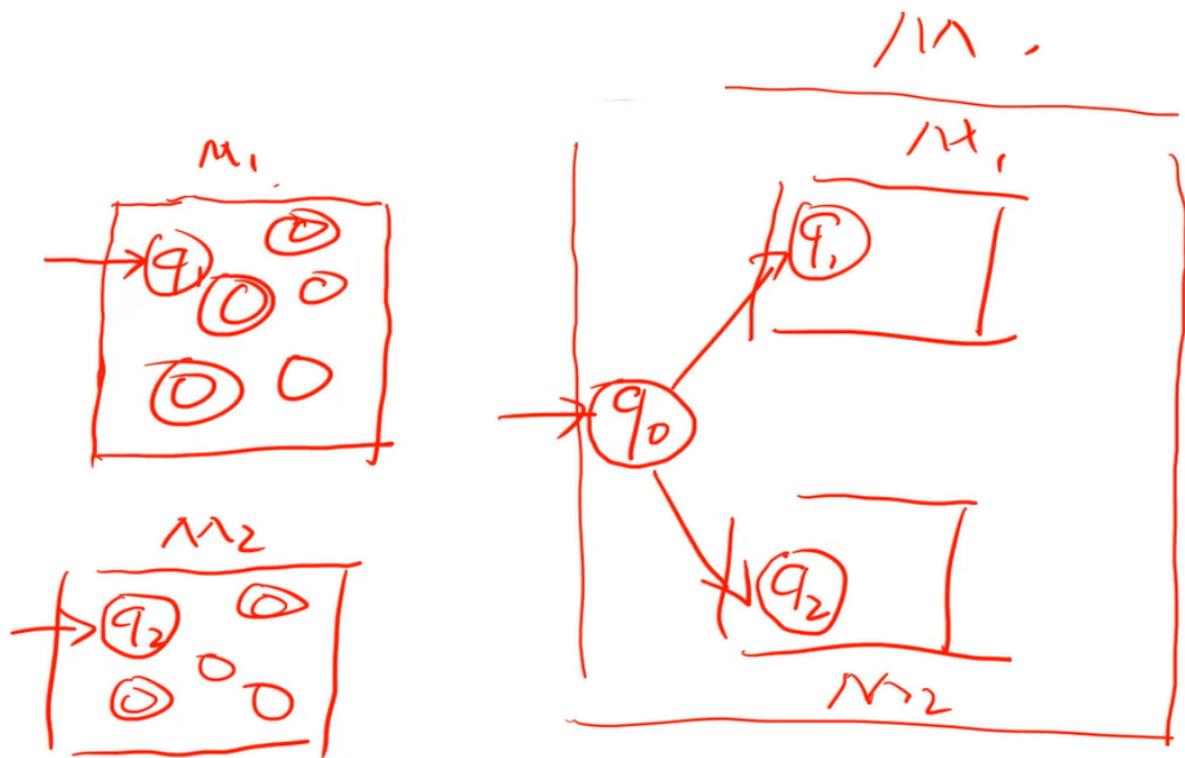
### Regular Languages Closed Under Union

Proof

- $Q = \{q_0\} \cup Q_1 \cup Q_2$
- $q_0$  is the start state of M
- $F = F_1 \cup F_2$
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$  is defined as: For any  $r \in Q$  and for any  $a \in \Sigma_\epsilon$

$$\delta(r, a) = \begin{cases} \delta_1(r, a), & \text{if } r \in Q_1, \\ \delta_2(r, a), & \text{if } r \in Q_2 \\ \{q_1, q_2\}, & \text{if } r = q_0 \text{ and } a = \epsilon \\ \emptyset, & \text{if } r = q_0 \text{ and } a \neq \epsilon \end{cases}$$

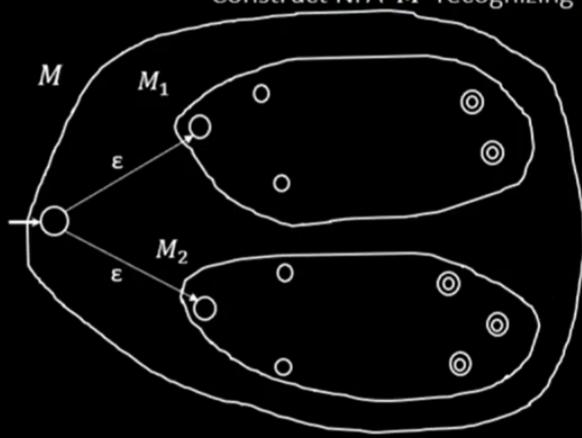
## Proof



## Return to Closure Properties

**Recall Theorem:** If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$   
(The class of regular languages is closed under union)

**New Proof (sketch):** Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1 \cup A_2$



NFA:

## Regular Languages Closed Under Concatenation

The concatenation of  $A_1$  and  $A_2$  is defined as:

$$A_1 A_2 = \{ww' : w \in A_1 \text{ and } w' \in A_2\}$$

### Proof

Consider the following NFAs:

NFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1 = L(M_1)$

NFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2 = L(M_2)$

We will construct an NFA  $M = (Q, \Sigma, \delta, q, F)$  for  $A_1 A_2$

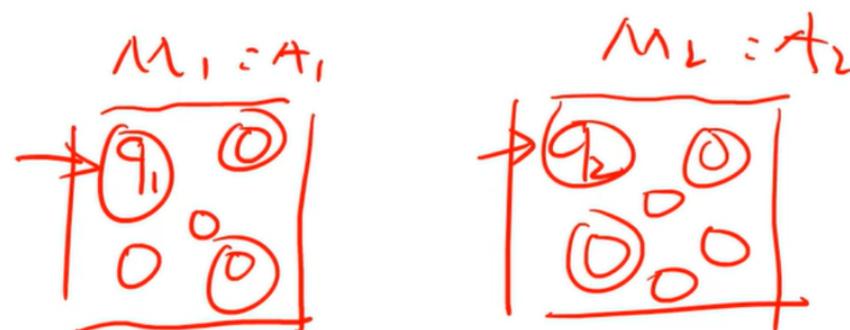
## Regular Languages Closed Under Concatenation

Proof

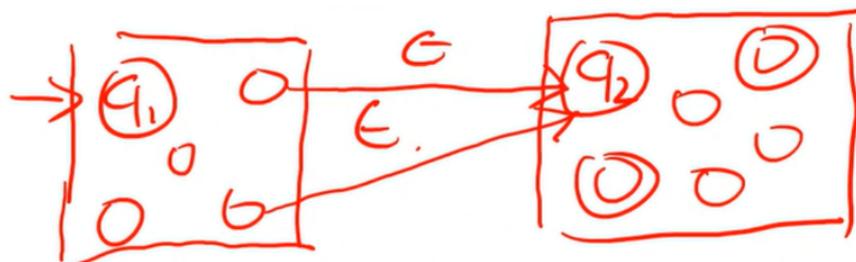
- $Q = Q_1 \cup Q_2$
- M has the same start state as  $M_1 : q_1$
- Set of accept states of M is same as  $M_2 : F_2$
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$  is defined as: For any  $r \in Q$  and for any  $a \in \Sigma_\epsilon$

$$\delta(r, a) = \begin{cases} S(r, a), & \text{if } r \in Q_1 \text{ and } r \notin F_1 \\ S(r, a), & \text{if } r \in F_1 \text{ and } a \neq \epsilon \\ S(r, a) \cup \{q_2\}, & \text{if } r \in F_1 \text{ and } a = \epsilon \\ S(r, a). & \text{if } r \in Q_2 \end{cases}$$

Proof



$M = A_1 A_2$

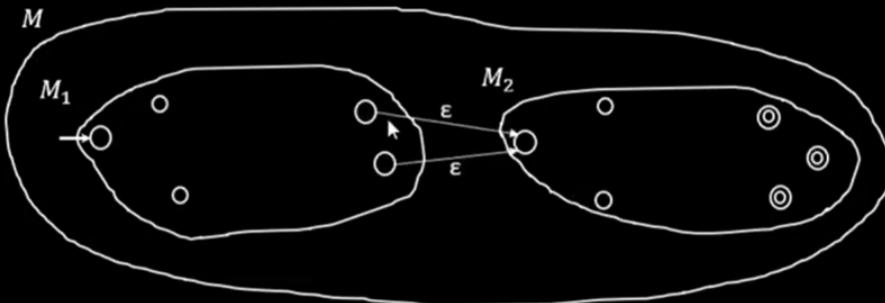


① Convert the acceptance states of  $M_1 \Rightarrow$  middle state

## Closure under $\circ$ (concatenation)

**Theorem:** If  $A_1, A_2$  are regular languages, so is  $A_1A_2$

**Proof sketch:** Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$$w = \underbrace{x}_{\text{---}} + \underbrace{y}_{\text{---}}$$

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## Regular Languages Closed Under Kleene star

NFA:

The star of  $A$  is defined as:

$$A^* = \{u_1 u_2 \dots u_k : k \geq 0 \text{ and } u_i \in A \text{ for all } i = 1, 2, \dots, k\}$$

## Proof

Consider the following NFA:

NFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A = L(M_1)$

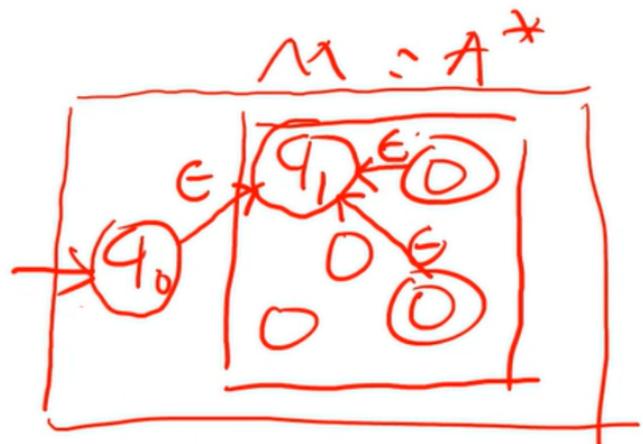
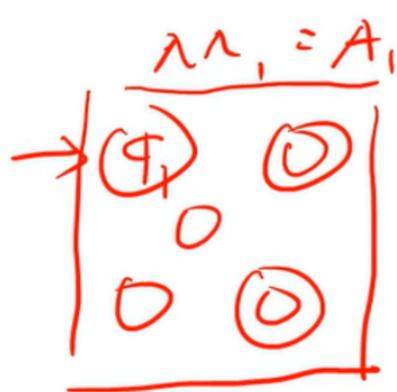
We will construct an NFA  $M = (Q, \Sigma, \delta, q, F)$  for  $A^*$

## Proof

- $Q = \{q_0\} \cup Q_I$
- $q_0$  is the start state of M
- $F = \{q_0\} \cup F_I$
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$  is defined as: For any  $r \in Q$  and for any  $a \in \Sigma_\epsilon$

$$\delta(r, a) = \begin{cases} \{\delta(r, a)\}, & \text{if } r \notin Q_I \text{ and } a \notin F, \\ \delta(r, a), & \text{if } r \in Q_I \text{ and } a \neq \epsilon, \\ \delta(r, a) \cup \{q_f\}, & \text{if } r \in Q_I \text{ and } a = \epsilon, \\ \{q_f\}, & \text{if } r = q_0 \text{ and } a = \epsilon, \\ \emptyset, & \text{if } r = q_0 \text{ and } a \neq \epsilon \end{cases}$$

## Proof

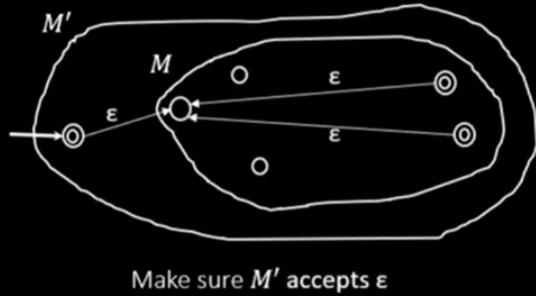




## Closure under \* (star)

**Theorem:** If  $A$  is a regular language, so is  $A^*$

**Proof sketch:** Given DFA  $M$  recognizing  $A$   
Construct NFA  $M'$  recognizing  $A^*$



$M'$  should accept input  $w$   
if  $w = x_1 x_2 \dots x_k$   
where  $k \geq 0$  and  $M$  accepts each  $x_i$

$$w = x_1 | x_2 | x_3 | x_4$$

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## Regular Languages Closed Under Complement and Interaction

The set of regular languages is closed under the complement and interaction operations:

- If  $A$  is a regular language over the alphabet  $\Sigma$ , then the complement:

$$\overline{A} = \{w \in \Sigma^*: w \notin A\}$$

is also a regular language.

- If  $A_1$  and  $A_2$  are regular languages over the same alphabet  $\Sigma$ , then the intersection:

$$A_1 \cap A_2 = \{w \in \Sigma^*: w \in A_1 \text{ and } w \in A_2\}$$

is also a regular language.

## Regular Expressions

Regular expressions are means to describe certain languages.

正则表达式是描述某些语言的方法。

## Example

Consider the expression:

$$(0 \cup 1)01^*$$

The language described by this expression is the set of all binary strings satisfy:

- that start with either 0 or 1 (this is indicated by  $(0 \cup 1)$ ),
- for which the second symbol is 0 (this is indicated by 0),
- that end with zero or more 1s (this is indicated by  $1^*$ ).

## Example

$$\Sigma = \{0, 1\}$$

The language  $\{w : w \text{ contains exactly two } 0\text{s}\}$  is described by the expression:

$$1^*01^*01^*$$

The language  $\{w : w \text{ contains at least two } 0\text{s}\}$  is described by the expression:

$$(0 \cup 1)^*0(0 \cup 1)^*0(0 \cup 1)^*$$

The language  $\{w : 1011 \text{ is a substring of } w\}$  is described by the expression:

$$(0 \cup 1)^*1011(0 \cup 1)^*$$

## Formal Definition of regular expressions

Let  $\Sigma$  be a non-empty alphabet.

1.  $\boxed{\cdot}$  is a regular expression.

$\boxed{\cdot}$ 是一个正则表达式。

2.  $\emptyset$  is a regular expression.

$\emptyset$ 是一个正则表达式。

3. For each  $a \in \Sigma$ ,  $a$  is a regular expression.

对于每个 $a \in \Sigma$ ,  $a$ 都是一个正则表达式

4. If  $R_1$  and  $R_2$  are regular expressions, then  $R_1 \cup R_2$  is a regular expression.

5. If  $R_1$  and  $R_2$  are regular expressions, then  $R_1 R_2$  is a regular expression.

6. If  $R$  is a regular expression, then  $R^\star$  is a regular expression

## Formal Definition of regular expressions

If  $R$  is a regular expression, then  $L(R)$  is the **language** generated (or described or defined) by  $R$ .

Let  $\Sigma$  be a non-empty alphabet.

1. The regular expression  $\emptyset$  describes the language  $\{\emptyset\}$ .

2. The regular expression  $\emptyset$  describes the language  $\emptyset$ .

3. For each  $a \in \Sigma$ , the regular expression  $a$  describes the language  $\{a\}$ .

4. Let  $R_1$  and  $R_2$  be regular expressions and let  $L_1$  and  $L_2$  be the languages described by them, respectively. The regular expression  $R_1 \cup R_2$  describes the language  $L_1 \cup L_2$ .

5. Let  $R_1$  and  $R_2$  be regular expressions and let  $L_1$  and  $L_2$  be the languages described by them, respectively. The regular expression  $R_1 R_2$  describes the language  $L_1 L_2$ .

6. Let  $R$  be a regular expression and let  $L$  be the language described by it. The regular expression  $R^\star$  describes the language  $L^\star$ .

1.正则表达式 $\emptyset$ 描述了语言 $\{\emptyset\}$ 。

2.正则表达式 $\emptyset$ 描述了语言 $\emptyset$ 。

3.对于每个 $\in \Sigma$ , 正则表达式 $a$ 描述了语言 $\{a\}$ 。

4.设 $r_1$ 和 $r_2$ 是正则表达式, 设 $l_1$ 和 $l_2$ 分别是它们所描述的语言。正则表达式 $R_1 \cup R_2$ 描述了语言 $L_1 \cup L_2$ 。

5.设 $r_1$ 和 $r_2$ 是正则表达式, 设 $l_1$ 和 $l_2$ 分别是它们所描述的语言。正则表达式 $R_1 R_2$ 描述了语言 $L_1 L_2$ 。

6.设 $R$ 是一个正则表达式, 设 $L$ 是它所描述的语言。正则表达式 $R^\star$ 描述了语言 $L^\star$ 。

# Regular Expressions $\rightarrow$ NFA

**Theorem:** If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

**Proof:** Convert  $R$  to equivalent NFA  $M$ :



If  $R$  is atomic:      Equivalent  $M$  is:

$$R = a \text{ for } a \in \Sigma \quad \xrightarrow{\circ} \xrightarrow{a} \xrightarrow{\circ}$$

$$R = \epsilon \quad \xrightarrow{\circ}$$

$$R = \emptyset \quad \xrightarrow{\circ}$$

If  $R$  is composite:

$$\begin{aligned} R &= R_1 \cup R_2 \\ R &= R_1 \circ R_2 \\ R &= R_1^* \end{aligned} \quad \left. \vphantom{R_1^*} \right\} \text{Use closure constructions}$$

**Example:**

Convert  $(a \cup ab)^*$  to equivalent NFA

$$a: \xrightarrow{\circ} \xrightarrow{a} \xrightarrow{\circ}$$

$$b: \xrightarrow{\circ} \xrightarrow{b} \xrightarrow{\circ}$$

$$ab: \xrightarrow{\circ} \xrightarrow{a} \xrightarrow{\epsilon} \xrightarrow{\epsilon} \xrightarrow{b} \xrightarrow{\circ}$$

$a \cup ab:$

$$\xrightarrow{\circ} \xrightarrow{\epsilon} \xrightarrow{\circ} \xrightarrow{a} \xrightarrow{\circ}$$

$(a \cup ab)^*:$

$$\xrightarrow{\circ} \xrightarrow{\epsilon} \xrightarrow{\circ} \xrightarrow{a} \xrightarrow{\circ} \xrightarrow{\epsilon} \xrightarrow{\epsilon} \xrightarrow{b} \xrightarrow{\circ}$$

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## Example

Given  $(0 \cup 1)^* 101 (0 \cup 1)^*$ , prove it is a regular expression (note:  $\Sigma = \{0, 1\}$ ).