

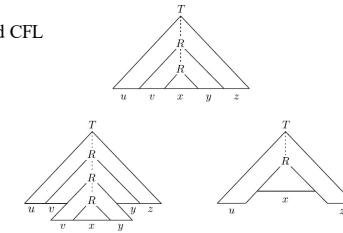
# INT201 Decision, Computation and Language

Lecture 9 – Turing Machine and Variants  
Dr Yushi Li & Dr Chunchuan Lyu



## Recap

- Equivalence between PDA and CFL
- Pumping Lemma for CFL



## Today

- Turing Machine
- Turing-recognizable and Turing-decidable languages
- Multi-tape TM and Nondeterministic TM



## Alan Turing 1912-1954

English mathematician, computer scientist, logician, cryptanalyst, philosopher and theoretical biologist

Run marathon in 2:46:03  
while the 1948 Olympic Marathon winner was 2:34:52

- Computability and  $\lambda$ -Definability (1936)
- On computable numbers, with an application to the Entscheidungsproblem (1937)
- Computing Machinery and Intelligence (1950)



What is the limit of computation?  
Can machines think?



## DFA, NFA and PDA

### DFA

- $M = (Q, \Sigma, \delta, q, F)$
- $\delta : Q \times \Sigma \rightarrow Q$

Finite control ( $\delta$ ) based on

- State
- Input symbol

### PDA

- $M = (Q, \Sigma, \Gamma, \delta, q, F)$ :
- $\delta : Q \times \Sigma \times \Gamma \rightarrow Q \times \Gamma^*$

Finite control ( $\delta$ ) based on

- State
- Input symbol
- Variable popped from stack



## Turing Machine

Finite Automata	Pushdown Automata	Turing Machine
Regular	Context-free	Regular, context-free, context-sensitive, recursively enumerable.

Previous machines can be used to accept or generate regular and context-free languages. However, they are not powerful enough to accept simple language such as

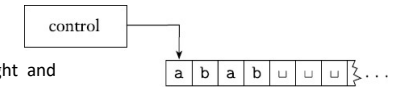
$$A = \{a^m b^n c^m : m \geq 0, n \geq 0\}.$$

Turing machine is a simple model of real computer.



## Turing Machine

- infinitely long tape, divided into cells. Each cell stores a symbol belonging to  $\Gamma$  (tape alphabet).



- Tape head ( $\downarrow$ ) can move both right and left, one cell per move. It read from or write to a tape
- State control can be in any one of a finite number of states  $Q$ . It is based on: state and symbol read from tape
- Machine has one start state, one accept state and one reject state.
- Machine can run forever: infinite loop.



## Properties of Turing Machine

- Turing machine can both read from tape and write on it.
- Tape head can move both right and left.
- Tape is infinite and can be used for storage.
- Accept and reject states take immediate effect.



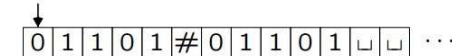
## Turing Machine

### Example

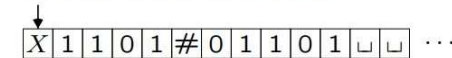
Machine for language  $A = \{s\#s \mid s \in \{0, 1\}^*\}$ , input string is  $01101\#01101 \in A$ .

**Idea:** Zig-zag across tape, crossing off matching symbols.

- Consider string  $01101\#01101 \in A$ .
- Tape head starts over leftmost symbol



- Record symbol in control and overwrite it with  $X$



- Scan right: *reject* if blank " $\square$ " encountered before  $\#$



## Turing Machine

### Example

Machine for language  $A = \{ s\#s \mid s \in \{0, 1\}^* \}$ , input string is 01101#01101  $\in A$ .

- When # encountered, move right one cell.

↓  
X 1 1 0 1 # 0 1 1 0 1 □ □ ...

- If current symbol doesn't match previously recorded symbol, *reject*.
- Overwrite current symbol with X

↓  
X 1 1 0 1 # X 1 1 0 1 □ □ ...

- Scan left, past # to X
- Move one cell right
- Record symbol and overwrite it with X

↓  
X X 1 1 0 1 # X 1 1 0 1 □ □ ...

- Scan right past # to (last) X and move one cell to right ...



## Turing Machine

### Example

Machine for language  $A = \{ s\#s \mid s \in \{0, 1\}^* \}$ , input string is 01101#01101  $\in A$ .

- After several more iterations of zigzagging, we have

↓  
X X X X X # X X X X X □ □ ...

- After all symbols left of # have been matched to symbols right of #, check for any remaining symbols to the right of #.
  - If blank □ encountered, *accept*.
  - If 0 or 1 encountered, *reject*.

↓  
X X X X X # X X X X X □ □ ...

- The string that is accepted or not by our machine is the original input string 01101#01101.



## Turing Machine

### Definition

A Turing machine (TM) is a 7-tuple  $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ , where

- $\Sigma$  is a finite set, called the input alphabet; the blank symbol □ is not contained in  $\Sigma$ ,
- $\Gamma$  is a finite set, called the tape alphabet; this alphabet contains the blank symbol □, and  $\Sigma \subseteq \Gamma$ ,
- $Q$  is a finite set, whose elements are called states,
- $q$  is an element of  $Q$ ; it is called the start state,
- $q_{\text{accept}}$  is an element of  $Q$ ; it is called the accept state,
- $q_{\text{reject}}$  is an element of  $Q$ ; it is called the reject state,  $q_{\text{reject}} \neq q_{\text{accept}}$
- $\delta$  is called the transition function, which is a function  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, N\}$ .  
L: move to left, R: move to right, N: no move.



## Turing Machine

### Transition function

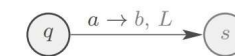
$\delta(q, a) = (s, b, L)$

If TM

- in state  $q \in Q$ ,
- tape head reads tape symbol  $a \in \Gamma$

Then TM

- moves to state  $s \in Q$
- overwrites  $a$  with  $b \in \Gamma$
- moves head left (i.e.,  $L \in \{L, R, N\}$ )



read → write, move

Before  
↓  
a b a a □ □

After  
↓  
a b b a □ □

Is no move necessary?



## Turing Machine

### Computation steps

- Before the computation step, the Turing machine is in a state  $r \in Q$ , and the tape head is on a certain cell.
- TM M proceeds according to transition function:  

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, N\}$$
- Depending on  $r$  and  $k$  symbols read from tape:
  - switches to a state  $r' \in Q$ ;
  - tape head writes a symbol of  $\Gamma$  in the cell it is currently scanning;
  - tape head moves one cell to the left or right or stay at the current cell.
- Computation continues until  $q_{\text{reject}}$  or  $q_{\text{accept}}$  is entered. (stopped once entered)
- Otherwise, M will run forever (input string is neither accepted nor rejected)



## Turing Machine

### Example

TM M for language

$$A = \{0^{2^n} \mid n \geq 0\},$$

which consists of strings of 0s whose length is a power of 2.

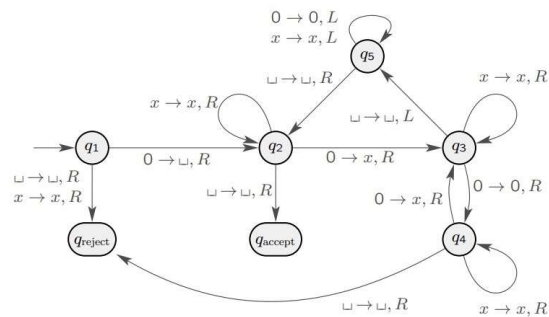
On input string  $w$ :

- Sweep left to right across the tape, crossing off every other 0.
- If in stage 1 the tape contained a single 0, accept.
- If in stage 1 the tape contained more than a single 0 and the number of 0s was odd, reject.
- Return the head to the left end of the tape.
- Go to stage 1.



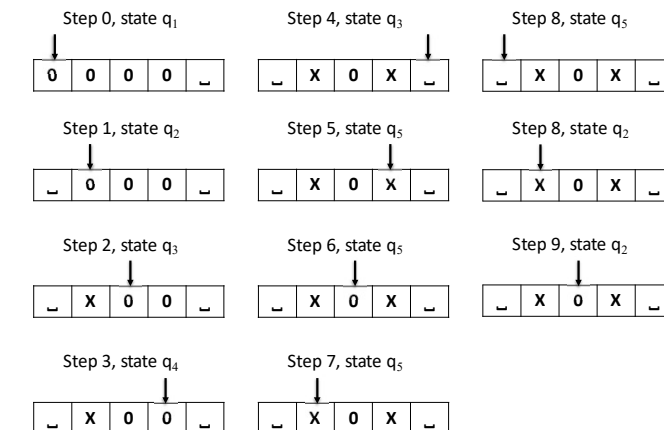
## Turing Machine

### Example



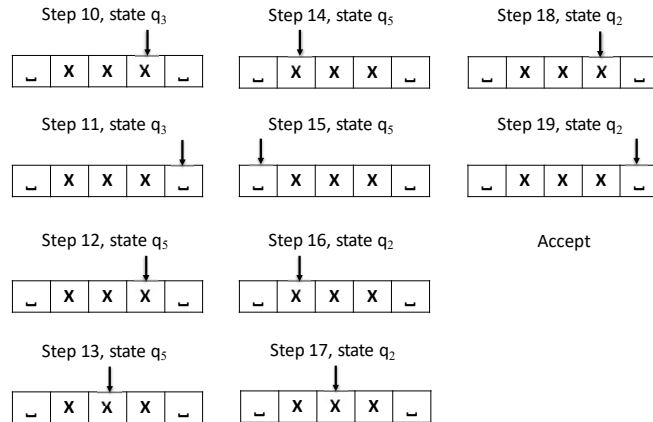
### Example

Run M when input  $w = 0000$



**Example**

Run  $M$  when input  $w = 0000$

**Turing Machine**

- **Start configuration.** The input is a string over the input alphabet  $\Sigma$ . Initially, this input string is stored on the first tape, and the head of this tape is on the leftmost symbol of the input string.
- **Computation and termination.** Starting in the start configuration, the Turing machine performs a sequence of computation steps. The computation terminates at the moment when the Turing machine enters the accept state  $q_{\text{accept}}$  or the reject state  $q_{\text{reject}}$ . (If the machine never enters  $q_{\text{accept}}$  and  $q_{\text{reject}}$  the computation does not terminate. )
- **Acceptance.** The Turing machine  $M$  accepts the input string  $w \in \Sigma^*$ , if the computation on this input terminates in the state  $q_{\text{accept}}$ .

**TM Configuration**

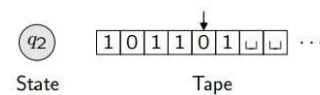
Provides a “snapshot” of TM at any point during computation:

- state
- tape contents
- head location

**Example**

Configuration 1011q01:

- current state is  $q$
- LHS of tape is 1011
- RHS of tape is 01
- head is on RHS 0

**TM Configuration****Definition**

**Configuration** of a TM  $M = (Q, \Sigma, \Gamma, \delta, q, q_{\text{accept}}, q_{\text{reject}})$  is a string  $uqv$  with  $u, v \in \Gamma^*$  and  $q \in Q$ , and specifies that currently

- $M$  is in state  $q$
- tape contains  $uv$
- tape head is pointing to the cell containing the first symbol in  $v$ .

## TM Transitions

### Definition

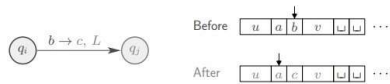
Configuration C1 yields configuration C2 if the Turing machine can legally go from C1 to C2 in a single step. For TM  $M = (Q, \Sigma, \Gamma, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ , suppose

- $u, v \in \Gamma^*$
- $a, b, c \in \Gamma$
- $q_i, q_j \in Q$
- transition function  $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, N\}$ .

### Example

configuration  $uaq_i b v$  yields configuration  $uq_j a c v$

if  $\delta(q_i, b) = (q_j, c, L)$ .



## TM Computation

### Definition

Given a TM  $M = (Q, \Sigma, \Gamma, \delta, q, q_{\text{accept}}, q_{\text{reject}})$  and input string  $w \in \Sigma^*$ .  $M$  accepts input  $w$  if there is a finite sequence of configurations  $C_1, C_2, \dots, C_k$  for some  $k \geq 1$  with

- $C_1$  is the starting configuration  $q_0 w$
- $C_i$  yields  $C_{i+1}$  for all  $i = 1, \dots, k - 1$  (sequence of configurations obeys transition function  $\delta$ )
- $C_k$  is an accepting configuration  $u q_{\text{accept}} v$  for some  $u, v \in \Gamma^*$ .



## Language accepted by TM

### Definition

The language  $L(M)$  accepted by the Turing machine  $M$  is the set of all strings in  $\Sigma^*$  that are accepted by  $M$ .

Language  $A$  is **Turing-recognizable** if there is a TM  $M$  such that  $A = L(M)$

- On an input  $w \notin L(M)$ , the machine  $M$  can either halt in a rejecting state, or it can loop indefinitely.
- Turing-recognizable not practical because never know if TM will halt.



## Decider

### Definition

A **decider** is TM that halts on all inputs, i.e., never loops.

Language  $A = L(M)$  is decided by TM  $M$  if on each possible input  $w \in \Sigma^*$ , the TM finishes in a halting configuration, i.e.,

- $M$  ends in  $q_{\text{accept}}$  for each  $w \in A$
- $M$  ends in  $q_{\text{reject}}$  for each  $w \notin A$ .

Is decidable language nicer?

$A$  is **Turing-decidable** if  $\exists$  TM  $M$  that decides  $A$

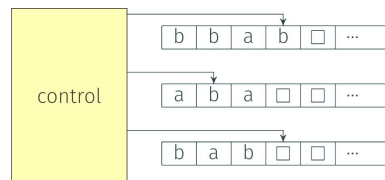
- Differences to Turing-recognizable language:
  - (a) Turing-decidable language has TM that halts on every string  $w \in \Sigma^*$
  - (b) TM for Turing-recognizable language may loop on strings  $w \notin$  this language



## Multi-tape TM

Multi-tape TM has multiple tapes

- Each tape has its own head
- Transition determined by
  - (1) state
  - (2) the content read by all heads
- Reading and writing of each head are independent of others



## Multi-tape TM

### Definition

A  $k$ -tape Turing machine (TM) is a 7-tuple  $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$  has  $k$  different tapes and  $k$  different read/write heads, where

- $\Sigma$  is a finite set, called the input alphabet; the blank symbol  $\_$  is not contained in  $\Sigma$ ,
- $\Gamma$  is a finite set, called the tape alphabet; this alphabet contains the blank symbol  $\_$ , and  $\Sigma \subseteq \Gamma$ ,
- $Q$  is a finite set, whose elements are called states,
- $q$  is an element of  $Q$ ; it is called the start state,
- $q_{\text{accept}}$  is an element of  $Q$ ; it is called the accept state,
- $q_{\text{reject}}$  is an element of  $Q$ ; it is called the reject state
- $\delta$  is called the transition function, which is a function  $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, N\}^k$   
 $\Gamma^k = \Gamma \times \Gamma \times \dots \times \Gamma$



## Multi-tape TM

### Transition

Transition function:

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, N\}^k$$

Given  $\delta(q_i, a_1, a_2, \dots, a_k) = (q_j, b_1, b_2, \dots, b_k, L, R, \dots, L)$

- TM is in state  $q_i$
- heads 1- $k$  read  $a_1, a_2, \dots, a_k$

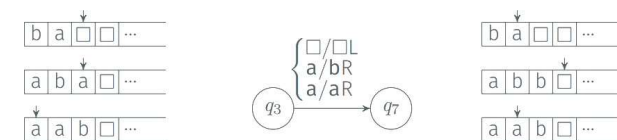
Then

- TM moves to  $q_j$
- heads 1- $k$  write  $b_1, b_2, \dots, b_k$
- Heads move (left or right) or don't move as specified  $(L, R, N)$ .



## Multi-tape TM

### Example



- Multiple tapes are convenient
- Some tapes can serve as temporary storage



## Multi-tape TM equivalent to 1-tape TM

Let  $k \geq 1$  be an integer. Any  $k$ -tape Turing machine can be converted to an equivalent 1-tape Turing machine.

For every multi-tape TM  $M$ , there is a single-tape TM  $M'$  such that  $L(M) = L(M')$ .

### Proof

Basic idea: simulate  $k$ -tape TM using 1-tape TM.



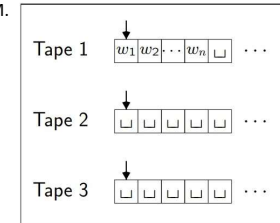
## Multi-tape TM equivalent to 1-tape TM

### Proof

Let TM  $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$  be a  $k$ -tape TM.

$M$  has:

- input  $w = w_1, w_2, \dots, w_k$  on tape 1
- other tapes contain only blanks  $\sqcup$
- each head points to first cell.



Construct 1-tape TM  $M'$  by extending tape alphabet

$$\Gamma' = \Gamma \cup \Gamma \cup \{\#\}$$



Note: head positions of different tapes are marked by dotted symbol



## Multi-tape TM equivalent to 1-tape TM

### Proof

For each step of  $k$ -tape TM  $M$ , 1-tape  $M'$  operates its tape as:

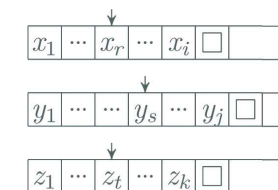
- At the start of the simulation, the tape head of  $M'$  is on the leftmost  $\#$
- Scans the tape from first  $\#$  to  $(k+1)$ st  $\#$  to read symbols under heads.
- Rescans to write new symbols and move heads.



## Multi-tape TM equivalent to 1-tape TM

### Example

Simulate a 3-tape TM

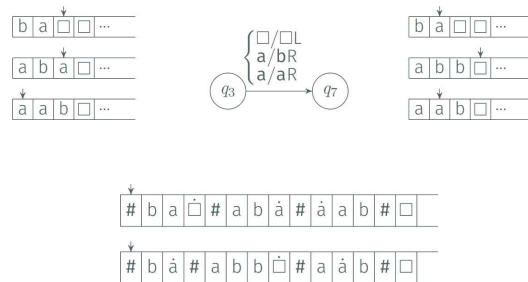




## Multi-tape TM equivalent to 1-tape TM

### Example

Suppose the given TM  $M$  moves like this:



## Multi-tape TM equivalent to 1-tape TM

### Key points of simulation

To simulate a model  $M$  by another model  $N$ :

- Say how the state and storage of  $N$  is used to represent the state and storage of  $M$
- Say what should be initially done to convert the input of  $N$
- Say how each transition of  $M$  can be implemented by a sequence of transitions of  $N$

### Turing-recognizable $\longleftrightarrow$ Multiple-tape Turing-recognizable

Language  $L$  is TM-recognizable if and only if some multi-tape TM recognizes  $L$ .



## Nondeterministic TM

A **nondeterministic Turing machine** (NTM)  $M$  can have several options at every step. It is defined by the 7-tuple  $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ , where

- $\Sigma$  is input alphabet (without blank  $\_$ )
- $\Gamma$  is tape alphabet with  $\{ \_ \} \cup \Sigma \subseteq \Gamma$
- $Q$  is a finite set, whose elements are called states  $\delta$  is transition function  $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R\})$
- $q$  is start state  $q \in Q$
- $q_{\text{accept}}$  is accept state  $q_{\text{accept}} \in Q$
- $q_{\text{reject}}$  is reject state  $q_{\text{reject}} \in Q$

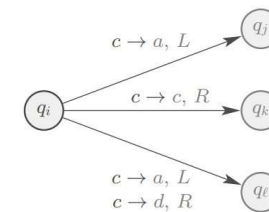


## Nondeterministic TM

### Transition

Transition function

$$\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R\})$$



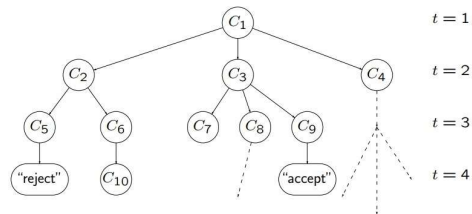
$$\delta(q_i, c) = \{ (q_j, a, L), (q_k, c, R), (q_l, a, L), (q_l, d, R) \}$$



## Nondeterministic TM (NTM)

### Computation

With any input  $w$ , computation of NTM is represented by a configuration tree.



If  $\exists$  (at least) one accepting leaf, then NTM accepts.



## NTM equivalent to TM

Every nondeterministic TM has an equivalent deterministic TM.

### Proof

- Build TM D to simulate NTM N on each input  $w$ . D tries all possible branches of N's tree of configurations.
- If D finds any accepting configuration, then it accepts input  $w$ .
- If all branches reject, then D rejects input  $w$ .
- If no branch accepts and at least one loops, then D loops on  $w$ .



## Address

- Every node in the tree **has at most  $b$  children**.
- $b$  is size of largest set of possible choices for N's transition function.
- Every node in tree has an address that is a string over the alphabet  $\Gamma_b = \{1, 2, \dots, b\}$

To get to node with address 231:

- (1) start at root
- (2) take second branch
- (3) then take third branch
- (4) then take first branch

- Ignore meaningless addresses.
- Visit nodes in breadth-first search order by listing addresses in  $\Gamma_b^*$  in string order:

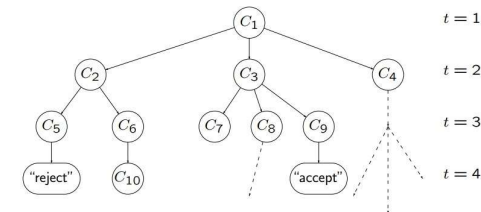
$\epsilon, 1, 2, \dots, b, 11, 12, \dots, 1b, 21, 22, \dots$



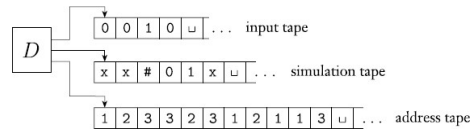
## Address

### Example

- "accept" configuration has address 231
- Configuration  $C_6$  has address 12.
- Configuration  $C_1$  has address  $\epsilon$ .
- Address 132 is meaningless.



## Simulating NTM by DTM



1. Initially, input tape contains input string  $w$ . Simulation and address tapes are initially empty.
2. Copy input tape to simulation tape.
3. Use simulation tape to simulate NTM  $N$  on input  $w$  on path in tree from root to the address on address tape.
  - At each node, consult next symbol on address tape to determine which branch to take.
  - Accept if accepting configuration reached.
  - Skip to next step if
    - a. symbols on address tape exhausted
    - b. nondeterministic choice invalid
    - c. rejecting configuration reached
4. Replace string on address tape with next string in  $\Gamma_b^*$  in string order, and go to Stage 2



## Encoding

Input to a Turing machine is a string of symbols over an alphabet.

When we want TMs to work on different objects such as:

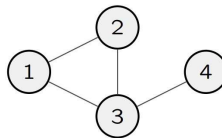
- Polynomials
- Graphs
- Grammars
- etc

We need to encode this object as a string of symbols over an alphabet.



## Encoding of Graph

Given an undirected graph  $G$



One possible encoding

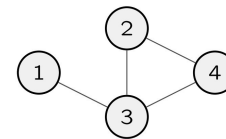
$$\langle G \rangle = (1, 2, 3, 4) \ ( (1, 2), (1, 3), (2, 3), (3, 4) )$$

$\langle G \rangle$  of graph  $G$  is string of symbols over some alphabet  $\Sigma$ , where the string starts with list of nodes and followed by list of edges.

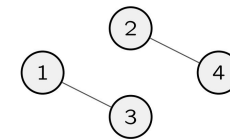


## Encoding of Graph

An undirected graph is **connected** if every node can be reached from any other node by travelling along edge



Connected graph  $G_1$



Unconnected graph  $G_2$

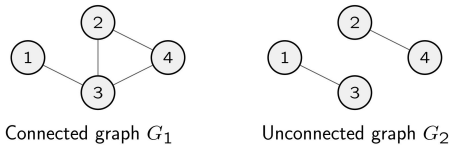
Let  $A$  be the language consisting of strings representing connected undirected graph

$$A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$$

So  $\langle G_1 \rangle \in A$  and  $\langle G_2 \rangle \notin A$ .



### TM to decide the connectedness of a Graph



$$A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$$

On input  $\langle G \rangle \in \Omega$ , where  $G$  is an undirected graph:

1. Check if  $G$  is a valid graph encoding. If not, reject.
2. Select first node of  $G$  and mark it.
3. Repeat until no new nodes marked.
4. For each node in  $G$ , mark it if it's attached by an edge to a node already marked
5. Scan all nodes of  $G$  to see whether they all are marked. If they are, accept; otherwise, reject."

$\Omega$  denotes the **universe** of a decision problem, comprising all instances.



### TM to decide the connectedness of a Graph

For TM  $M$  that decides  $A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$

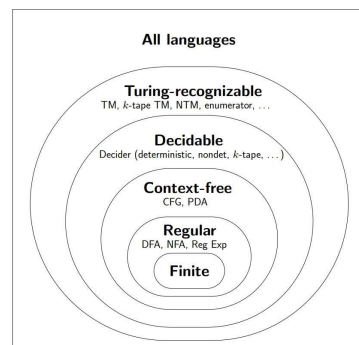
$$\Omega = \{ \langle G \rangle \mid G \text{ is an undirected graph} \}$$

Step 1 checks that input  $\langle G \rangle \in \Omega$  is valid encoding:

- Two list
    - (a) first is a list of numbers
    - (b) second is a list of pairs of numbers
  - First list contains no duplicate
  - Every node in second list appears in first list
- Step 2-5 check if  $G$  is connected.



### Language Hierarchy



### Quick review

- Turing machine is DFA with a Tape
- $A$  is Turing-recognizable if  $A = L(M)$  for some TM  $M$
- $A$  is Turing-decidable if  $A = L(M)$  for some TM  $M$  (decider) that halts on all inputs
- Multi-tape TM and Nondeterministic TM are equivalent to TM



