

INT201 Decision, Computation and Language

Lecture 4 – Regular Language

Dr Yushi Li



Definition

Previous: A language is regular if it is recognized by some **DFA** 如果一种语言被DFA识别, 则是常规的

Now: A language is regular if and only if some **NFA** recognizes it. 当且仅当某些NFA识别出一种语言时, 它是常规的

Some operations on languages: Union, Concatenation and Kleene star

Closed under operation 集合S是封闭的, 如果对其使用操作后依然在S中

A collection S of objects is **closed** under operation f if applying f to members of S always returns an object still in S.

$$a \in S, b \in S, f(a, b) = c, c \in S$$

Regular languages are indeed closed under the regular operations (e.g. union, concatenation, star ...)

正则语言在常规操作下是封闭的



Regular Languages Closed Under Union

The set of regular languages is closed under the union operation.

i.e. A and B are regular languages over the same alphabet Σ , then $A \cup B$ is also a regular language. 如果A, B是正则语言, 那么 $A \cup B$ 也是在相同字母集 Σ 上.

Proof:

A, B都是正则语言, 有自动机 M_1 和 M_2 分别接受A和B

- Since A and B are regular languages, there are finite automata $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ and $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ that accept A and B, respectively.
- In order to prove that $A \cup B$ is regular, we have to construct a finite automaton M that accepts $A \cup B$. In other words, M must have the property that for every string $w \in \Sigma^*$:



Regular Languages Closed Under Union

Proof

Given M_1 and M_2 that $A = L(M_1)$ and $B = L(M_2)$, we can define $M = (Q, \Sigma, \delta, q, F)$:

- $Q = Q_1 \times Q_2 = \{(q_1, q_2) : q_1 \in Q_1 \text{ and } q_2 \in Q_2\}$ 要包含所有的组合可能
- Σ is same as the alphabet of A and B
- $q = (q_1, q_2)$
- $F = \{(q_1, q_2) : q_1 \in F_1 \text{ or } q_2 \in F_2\}$ 只要组合中包含原本 M_1 或 M_2 中的F就可以被标为 accept status
- $\delta : Q \times \Sigma \rightarrow Q$ $\delta((q_1, q_2), a) \rightarrow (q_1, q_2) \xrightarrow{a} \dots$

$$\delta((q_1, q_2), a) = (\delta(q_1, a), \delta(q_2, a)), a \in \Sigma$$



δ^* sequence of transition functions

Regular Languages Closed Under Union

DFA 证明过程

Proof

- $\delta^*((q_1, q_2), w) = (\delta^*(q_1, w), \delta^*(q_2, w))$
- $\delta^*((q_1, q_2), w) \in F \Leftrightarrow \delta^*(q_1, w) \in F_1 \text{ or } \delta^*(q_2, w) \in F_2$
- $M \text{ accepts } w \Leftrightarrow \delta^*(q_1, w) \in F_1 \text{ or } \delta^*(q_2, w) \in F_2$
- $M \text{ accepts } w \Leftrightarrow M_1 \text{ accepts } w \text{ or } M_2 \text{ accepts } w$

Proved



Example

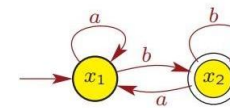
Consider the following DFAs and languages over $\Sigma = \{a, b\}$:

DFA M_1 recognizes $A_1 = L(M_1)$

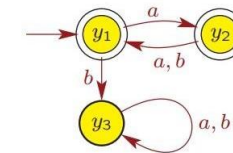
$M = (Q, q_1, \delta, q, F)$

DFA M_2 recognizes $A_2 = L(M_2)$

DFA M_1 for A_1



DFA M_2 for A_2

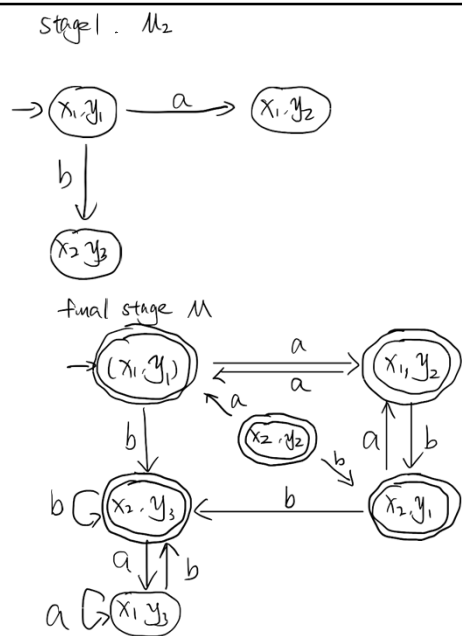


DFA M for $A_1 \cup A_2$?

~~~~~



Example



Example



### Regular Languages Closed Under Union

如何从 NFA 的角度来证明

How to prove this from the perspective of NFA?

#### Proof

Consider the following NFAs:

NFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1 = L(M_1)$

NFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2 = L(M_2)$

We will construct an NFA  $M = (Q, \Sigma, \delta, q, F)$



### Regular Languages Closed Under Union

NFA 证明过程

Proof

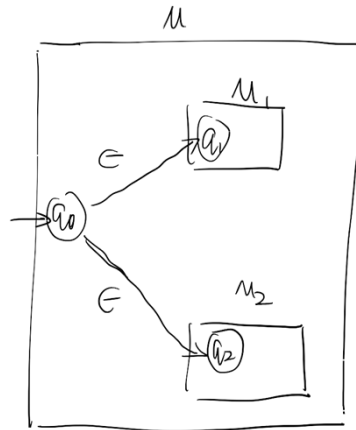
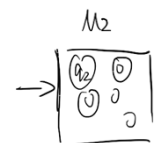
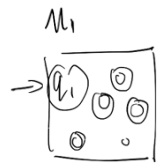
- $Q = \{q_0\} \cup Q_1 \cup Q_2$
- $q_0$  is the start state of  $M$
- $F = F_1 \cup F_2$
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$  is defined as: For any  $r \in Q$  and for any  $a \in \Sigma_\epsilon$

$$\delta(r, a) = \begin{cases} \delta_1(r, a) & \text{if } r \in Q_1, \\ \delta_2(r, a) & \text{if } r \in Q_2, \\ \{q_1, q_2\} & \text{if } r = q_0 \text{ and } a = \epsilon, \\ \emptyset & \text{if } r = q_0 \text{ and } a \neq \epsilon. \end{cases} \quad \star$$



Basic idea only need to know how to transfer the function into graph  
**Regular Languages Closed Under Union**  
 no need to memorize the interval concept.

**Proof**



closed under  
regular operation



### **Regular Languages Closed Under Concatenation** ?

The concatenation of  $A_1$  and  $A_2$  is defined as: 串联

$$A_1 A_2 = \{ww' : w \in A_1 \text{ and } w' \in A_2\}$$

**Proof**

Consider the following NFAs:

NFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1 = L(M_1)$

NFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2 = L(M_2)$

We will construct an NFA  $M = (Q, \Sigma, \delta, q, F)$  for  $A_1 A_2$



## Regular Languages Closed Under ~~Union~~ Concatenation

Proof

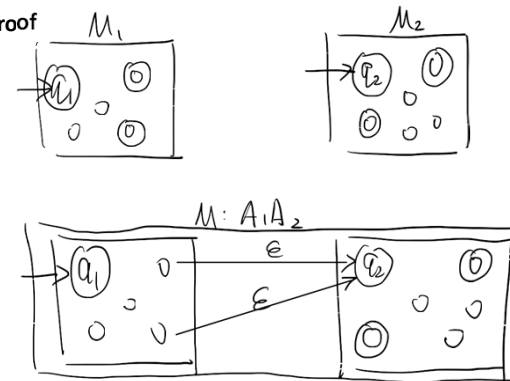
- $Q = Q_1 \cup Q_2$
- M has the same start state as  $M_1 : q_1$
- Set of accept states of M is same as  $M_2 : F_2$
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$  is defined as: For any  $r \in Q$  and for any  $a \in \Sigma_\epsilon$

$$\delta(r, a) = \begin{cases} \delta_1(r, a) & \text{if } r \in Q_1 \text{ and } r \notin F_1, \\ \delta_1(r, a) & \text{if } r \in F_1 \text{ and } a \neq \epsilon, \\ \delta_1(r, a) \cup \{q_2\} & \text{if } r \in F_1 \text{ and } a = \epsilon, \\ \delta_2(r, a) & \text{if } r \in Q_2. \end{cases}$$



## Regular Languages Closed Under ~~Union~~ Concatenation

Proof



Convert the acceptance states of  $M_1 \Rightarrow$  middle state



### Regular Languages Closed Under Kleene star

The star of <sup>String</sup> A is defined as:

$$A^* = \{u_1 u_2 \dots u_k : k \geq 0 \text{ and } u_i \in A \text{ for all } i = 1, 2, \dots, k\}$$

#### Proof

Consider the following NFA:

NFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A = L(M_1)$

We will construct an NFA  $M = (Q, \Sigma, \delta, q, F)$  for  $A^*$



### Regular Languages Closed Under ~~Union~~ <sup>Kleene star</sup>

Proof

- $Q = \{q_0\} \cup Q_1$
- $q_0$  is the start state of M
- $F = \{q_0\} \cup F_1$
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$  is defined as: For any  $r \in Q$  and for any  $a \in \Sigma_\epsilon$

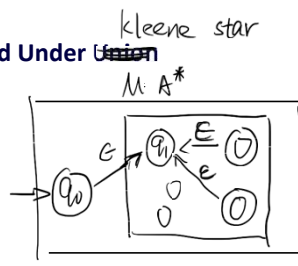
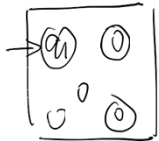
$$\delta(r, a) = \begin{cases} \delta_1(r, a) & \text{if } r \in Q_1 \text{ and } r \notin F_1, \\ \delta_1(r, a) & \text{if } r \in F_1 \text{ and } a \neq \epsilon, \\ \delta_1(r, a) \cup \{q_1\} & \text{if } r \in F_1 \text{ and } a = \epsilon, \\ \{q_1\} & \text{if } r = q_0 \text{ and } a = \epsilon, \\ \emptyset & \text{if } r = q_0 \text{ and } a \neq \epsilon. \end{cases}$$





## Regular Languages Closed Under ~~Union~~ <sup>Kleene star</sup>

Proof  $M_1: A_1$



$a^*$ : iteration



## 有可能会有 Regular Languages Closed Under Complement and Intersection

正则表达式在 complement 和 intersection 操作上是封闭的

The set of regular languages is closed under the complement and intersection operations:

如果 A 是基于  $\Sigma$  字母集的正则语言

- If A is a regular language over the alphabet  $\Sigma$ , then the complement:

$$\bar{A} = \{w \in \Sigma^* : w \notin A\}$$

is also a regular language.

如果  $A_1$  和  $A_2$  是同一字母集  $\Sigma$  上的正则语言

- If  $A_1$  and  $A_2$  are regular languages over the same alphabet  $\Sigma$ , then the intersection:

$$A_1 \cap A_2 = \{w \in \Sigma^* : w \in A_1 \text{ and } w \in A_2\}$$

is also a regular language.



## Regular Expressions

Regular expressions are means to describe certain languages.

正则表达式是描述特定语言的符号

### Example

Consider the expression:

$$(0 \cup 1)01^*$$

The language described by this expression is the set of all binary strings satisfy:

总是满足以下条件的所有二进制字符串集合

- that start with either 0 or 1 (this is indicated by  $(0 \cup 1)$ ), 以0,1开头
- for which the second symbol is 0 (this is indicated by 0), 第二个是0
- that end with zero or more 1s (this is indicated by  $1^*$ ). 以多个或单个1结尾



### Example

\*可以有也可以无

The language  $\{w : w \text{ contains exactly two 0s}\}$  is described by the expression:

正好包含2个0

$$1^*01^*01^*$$

The language  $\{w : w \text{ contains at least two 0s}\}$  is described by the expression:

$$(0 \cup 1)^*0(0 \cup 1)^*0(0 \cup 1)^*$$

The language  $\{w : 1011 \text{ is a substring of } w\}$  is described by the expression:

$$(0 \cup 1)^*1011(0 \cup 1)^*$$



remember all description  
**Formal Definition of regular expressions**

Let  $\Sigma$  be a non-empty alphabet.  $\Sigma$  非空字母集

1.  $\epsilon$  is a regular expression.
2.  $\emptyset$  is a regular expression.
3. For each  $a \in \Sigma$ ,  $a$  is a regular expression.
4. If  $R_1$  and  $R_2$  are regular expressions, then  $R_1 \cup R_2$  is a regular expression.
5. If  $R_1$  and  $R_2$  are regular expressions, then  $R_1 R_2$  is a regular expression.
6. If  $R$  is a regular expression, then  $R^*$  is a regular expression.



**Example**  $0 \in \Sigma$   $1 \in \Sigma$

Given  $(0 \cup 1)^* 101 (0 \cup 1)^*$ , prove it is a regular expression (note:  $\Sigma = \{0, 1\}$ ).

- ①  $0, 1$  are regular expression
- ②  $001$  is regular expression
- ③  $(0 \cup 1)^*$  is regular expression
- ④  $(0 \cup 1)^* 101$  is regular expression
- $(0 \cup 1)^* 101 (0 \cup 1)^*$  is regular expression



### Formal Definition of regular expressions

如果  $R$  是一个正则表达式, 那么  $L(R)$  是由  $R$  生成的 (或描述的) 语言  
 If  $R$  is a regular expression, then  $L(R)$  is the **language** generated (or described or defined) by  $R$ .

Let  $\Sigma$  be a non-empty alphabet.  $\Sigma$  非空字母表

1. The regular expression  $\epsilon$  describes the language  $\{\epsilon\}$ .
2. The regular expression  $\emptyset$  describes the language  $\emptyset$ .
3. For each  $a \in \Sigma$ , the regular expression  $a$  describes the language  $\{a\}$ .
4. Let  $R_1$  and  $R_2$  be regular expressions and let  $L_1$  and  $L_2$  be the languages described by them, respectively. The regular expression  $R_1 \cup R_2$  describes the language  $L_1 \cup L_2$ .
5. Let  $R_1$  and  $R_2$  be regular expressions and let  $L_1$  and  $L_2$  be the languages described by them, respectively. The regular expression  $R_1 R_2$  describes the language  $L_1 L_2$ .
6. Let  $R$  be a regular expression and let  $L$  be the language described by it. The regular expression  $R^*$  describes the language  $L^*$ .



### Example

Given a regular expression  $(0 \cup \epsilon) 1^*$ , it describes the language:

$$\{0, 01, 011, 0111, \dots, \epsilon, 1, 11, 111, \dots\}.$$

Observe that this language is also described by the regular expression  $01^* \cup 1^*$ .



