

# Smooth Functions

## (1) Definition:

① For  $h: \mathbb{R}^n \rightarrow \mathbb{R}^k$ , it's clear what it means to say  $h$  is smooth.

② For  $h: X \rightarrow \mathbb{R}^k$ , we should see it in chart:

Def:  $h$  is smooth at  $x \in X$ , if  $\exists (U_x, f) \in \mathcal{A}_x$

st.  $h \circ f^{-1}: \tilde{U}_x \rightarrow \mathbb{R}^k$  is smooth at  $f(x)$ .

Remark: It's independent with the choice of charts: since for  $(U_1, f_1), (U_2, f_2)$ ,

$$\tilde{h}_1 = h_1 \circ f_1^{-1}, \quad \tilde{h}_2 = h_2 \circ f_2^{-1}$$

$$\Rightarrow \tilde{h}_1 = \tilde{h}_2 \circ \phi_{21}, \quad \text{where } \phi_{21} \text{ is smooth.}$$

③ Generalization:  $H: X \xrightarrow{\text{anti}} Y$ ,  $X, Y$  are smooth manifolds,  $\dim X = n$ ,  $\dim Y = k$ .

Def:  $H$  is smooth at  $x \in X$ , if  $\exists (U_x, f) \in \mathcal{A}_x$ ,

$(V, g) \in \mathcal{A}_Y$ , st.  $H(U_x) \subseteq V$ .

$g \circ H \circ f^{-1}: \tilde{U}_x \rightarrow \tilde{V}$  is smooth at  $f(x)$ .

Remark: i) Smooth Func is automatically conti:

since  $h = g \circ H \circ f^{-1}$  smooth, so anti

$\Rightarrow H = g^{-1} \circ h \circ f$  is conti.



ii) If  $M$  is conti. It's easy to find  $(U, f)$ .

$(V, g)$ . s.t.  $M(U) \subseteq V$ :

Firstly find  $(U_x, f) \in A_x$ , s.t.  $x \in U_x$ .

and  $(V_{M(x)}, g) \in A_y$ , s.t.  $M(x) \in V_{M(x)}$ .

Restrict  $f$  on:  $M^{-1}(V_{M(x)}) \cap U_x$ , open set.

Then:  $(M^{-1}(V_{M(x)}) \cap U_x, f|_{\square}) \in (V_{M(x)}, g)$ .

iii) It's indep't with choice of charts:

For  $(U_1, f_1)$ ,  $(V_1, g_1)$  and  $(U_2, f_2)$ ,  $(V_2, g_2)$ .

$$g_1 \circ M \circ f_1 = \psi_{12} \circ (g_2 \circ M \circ f_2) \circ \phi_{21}$$

Since  $\psi_{12}$ ,  $\phi_{21}$  are smooth.

Def. For  $M: X \rightarrow Y$ ,  $X, Y$  are smooth manifolds

with boundary.  $M$  is smooth at  $x \in X$ , if

$\exists (U, f)$ ,  $(V, g)$  of  $A_x, A_y$ ,  $x \in U$ ,  $M(U) \subseteq V$ .

And  $\exists \hat{U}, \hat{V} \stackrel{\text{open}}{\subseteq} \mathbb{R}^n, \mathbb{R}^k$ , [resp.],  $\hat{F}: \hat{U} \rightarrow \hat{V}$

smooth, s.t.  $\hat{U} \subseteq U$ ,  $\hat{V} \subseteq V$ ,  $\hat{F}|_{\hat{U}} = g \circ M \circ f|_{\hat{U}}$ .

Remark: It's indep't with charts and extension  $\hat{F}$ .

since we can calculate derivatives at

$\{x, 0\}$ , from  $x_1 \rightarrow 0^+$  or  $0^-$ .

Lemma.

$X, Y, Z$  are smooth manifolds.  $M: X \rightarrow Y$ .

$G: Y \rightarrow Z$ , smooth. Func's. Then  $G \circ M$  is

smooth as well.



Pf. Find  $(U, f) \in A_X$  st.  $x \in U$ .  
 $(V, g) \in A_Y$  st.  $H(x) \in V$ .  $\Rightarrow$  restrict on open nbd.  
 $(W, h) \in A_Z$  st.  $G(H(x)) \in W$ .

For  $h \circ G \circ H \circ f^{-1} = h \circ G \circ g^{-1} \circ g \circ H \circ f^{-1}$  smooth.

Remark: There's a category  $\begin{cases} \text{morphisms: smooth funcs} \\ \text{objects: smooth manifolds} \end{cases}$

Lemma. i)  $Z$  is submanifold of  $X$ .  $\iota: Z \rightarrow X$  is inclusion.

Then  $\iota$  is smooth.

ii)  $H: X \rightarrow Y$  smooth between two manifolds.

$Z \subseteq Y$  submanifold. If  $H(X) \subseteq Z$ . Then

$H: X \rightarrow Z$  is smooth.

Pf. i) Find  $(U \cap Z, \tilde{g}), (U, f)$ .

$$f \circ \iota \circ \tilde{g}^{-1}: \tilde{U} \cap \mathbb{R}^k \xrightarrow{id} \tilde{U} \cap \mathbb{R}^k.$$

ii)  $\exists (U, f), (V, g) \in A_X, A_Y$ .

By  $g \circ H \circ f^{-1}$  is smooth.

Since  $H(U) \subseteq Z$ . It equals with:

$(V \cap Z, \tilde{g})$  and  $(U, f)$ .  $\tilde{g}$  is induced by  $g|_{V \cap Z}$ .

i.e.  $g \circ H \circ f^{-1} = \tilde{g} \circ H \circ f^{-1}$  smooth.

Remark: From i) conclude:

$H: X \rightarrow Y$  is smooth.  $Z$  is submanifold of  $Y$ . Then  $H|_Z$  is smooth.



## (2) Rank:

Def:  $F: X \rightarrow Y$  smooth Func between  $X, Y$   
two smooth manifolds. The rank of  $F$   
at  $x$  is:  $D\tilde{F}|_{f(x)}$ , where  $\tilde{F} = g \circ F \circ f^{-1}$   
 $(U, f) \in \mathcal{A}_X, (V, g) \in \mathcal{A}_Y, F(U) \subseteq V$ .

Remark: It's indep't with choices of charts:

$$\text{For } \begin{cases} \tilde{F}_1 = g_1 \circ F \circ f_1^{-1}, \text{ from } (U_1, f_1), (V_1, g_1) \\ \tilde{F}_2 = g_2 \circ F \circ f_2^{-1}, \text{ from } (U_2, f_2), (V_2, g_2) \end{cases}$$

$$\Rightarrow D\tilde{F}_2|_{f(x)} = D\psi_{21}|_{g_1(f(x))} \circ D\tilde{F}_1|_{f_1(x)} \circ D\phi_{12}|_{f(x)}$$

$\Rightarrow$  We can define regular points or critical points.  
for  $F: X \rightarrow Y$ . (Note that  $x$  is regular point  
of  $F \Leftrightarrow f(x)$  is regular point of  $\tilde{F}$ , for some chart)

prop.  $k \leq n$ .  $F: X \rightarrow Y$ .

If  $\eta$  is regular value of  $F$ . Then the level  
set  $Z_\eta = F^{-1}(\eta)$  is  $k$ -dim submanifold of  $X$ .

p.f. For  $(U, f) \in \mathcal{A}_X, (V, g) \in \mathcal{A}_Y, \tilde{F} = g \circ F \circ f^{-1}$ .

$x \in Z_\eta, f(x), g(\eta)$  is regular point/value of  $\tilde{F}$ .

$\therefore \tilde{F}^{-1}(g(\eta))$  is  $k$ -dim submanifold of  $\mathbb{R}^n$ .

$\exists (W, h), f(x) \in W$ , coordinate chart.

$$\text{i.e. } h \circ \tilde{F}^{-1}(g(\eta)) = \mathbb{R}^{n-k} \cap \tilde{W}.$$

$\therefore (f|_W, h \circ f)$  is chart of  $Z_\eta$  at  $x$ .



### (3) Special kinds of Smooth Func's:

① Def: For:  $F: X \rightarrow Y$ . Smooth

i) It's submersion if  $\forall x \in X. \text{rank } D\tilde{F}|_{f(x)} = \dim Y.$

ii) It's immersion if  $\forall x \in X. \text{rank } D\tilde{F}|_{f(x)} = \dim X.$

Where  $\tilde{F}$  is  $F$  in chart.

Remark: Immersion or submersion do nothing with surjection or injection of  $F$ .

iii) It's diffeomorphism if  $F$  is bijection and  $F^{-1}$  is smooth as well

Remark:  $F$  is both immersion and submersion since  $D\tilde{F}^{-1} = (D\tilde{F})^{-1}$  exists.

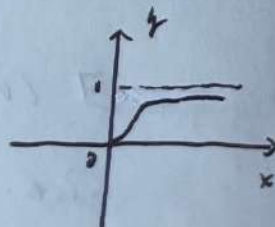
Lemma: For  $\dim X = \dim Y$  equals rank of  $F$ .

If  $F$  is smooth bijection. Then  $F$  is diffeomorphism.

Pf: Apply IFT.

### ② Bump Function:

Consider  $\phi(x) = \begin{cases} 0, & x \leq 0 \\ e^{-\frac{1}{x}}, & x > 0 \end{cases}$



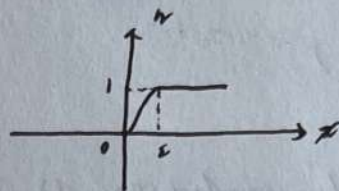


$\phi \in C^\infty(\mathbb{R}, \mathbb{R})$ . (Smooth). But  $\phi$  isn't analytic

at  $x=0$  (It only has Laurent Expansion)

For  $\varphi^z(x) = \frac{\phi(x)}{\phi(x) + \phi(z-x)} \in C^\infty$ .  $\begin{cases} \varphi^z = 0 \Leftrightarrow x \leq 0 \\ \varphi^z = 1 \Leftrightarrow x \geq z. \end{cases}$

Besides,  $0 \leq \varphi^z \leq 1$ .



For  $\mathbb{R}^n \rightarrow \mathbb{R}$  case:

Consider  $\psi^{k,r}(x) = \frac{\phi(R - |x - \eta|)}{\phi(R - |x - \eta|) + \phi(|x - \eta| - r)} \in C^\infty(\mathbb{R}^n, \mathbb{R})$ ,  $0 < r < R$ .

$0 \leq \psi \leq 1$ . Besides:  $\psi = \begin{cases} 1 & \Leftrightarrow x \in B(\eta, r) \\ 0 & \Leftrightarrow x \in B(\eta, R)^c \end{cases}$  (looks like Bump)

## i) Extension:

• Bump Func's can be used to extend locally smooth

Functions defined in  $U \subseteq X$ :

Firstly, for  $X$  smooth manifold,  $f \in C^\infty(U)$ .

We create bump Func on the whole  $X$ :

Let  $x \in X$ ,  $(U, f) \in \mathcal{A}_x$ ,  $x \in U$ . Choose  $\overline{B(0, R)} \subseteq \tilde{U}$ .

which is the largest ball. ( $\forall 0 < r < R$ )

Def:  $\tilde{f}(x) = \begin{cases} (\psi^{k,r} \circ f)(x), & x \in U \\ 0, & x \notin U \end{cases}$  (It's bump-like)

check  $\tilde{f} \in C^\infty(X)$ :



$$\therefore \tilde{\psi} \geq 0 \Leftrightarrow x \in f^{-1}(\overline{B(0, R)}) \text{ opt. in } X$$

$$\therefore X \text{ is Hausdorff} \therefore f^{-1}(\overline{B(0, R)}) \text{ is closed, too}$$

$$\therefore \tilde{\psi} \text{ is smooth in } U \text{ and } X/f^{-1}(\overline{B(0, R)}) \text{ (open, } \tilde{\psi} \equiv 0)$$

$$\therefore \tilde{\psi} \in C^\infty(X).$$

Remark: Hausdorff condition is necessary:

1') Introduce: topo on disjoint union:  $X \sqcup Y$ . ( $X, Y$  top.)

$$\text{Generally, } \bigsqcup_{\alpha \in A} X_\alpha = \bigcup_{\alpha \in A} (X_\alpha, \alpha)$$

$$\mathcal{Z}(\bigsqcup_{\alpha \in A} X_\alpha) = \{ V \subseteq \bigcup_{\alpha \in A} (X_\alpha, \alpha) \mid V \cap X_\alpha \subseteq_{\text{open}} X_\alpha, \forall \alpha \in A \}$$

$$= \{ V \subseteq \bigcup_{\alpha \in A} (X_\alpha, \alpha) \mid \sigma_\alpha^{-1}(V) \subseteq_{\text{open}} X_\alpha, \forall \alpha \in A \}$$

$$\sigma_\alpha: X_\alpha \longrightarrow \bigsqcup_{\alpha \in A} X_\alpha \quad \text{canonical projection.}$$

$$x \longmapsto (x, \alpha)$$

2') Consider  $\mathbb{R}' \sqcup \mathbb{R}'$ :

$$X = \mathbb{R}' \sqcup \mathbb{R}' / (x, 1) \sim (x, 2), \forall x \neq 0. \text{ (has 2 origins)}$$

Then  $X$  isn't Hausdorff. Since we can't separate  $(0, 1)$  and  $(0, 2)$ .  $\forall U_{(0, 1)} \cap U_{(0, 2)} \neq \emptyset$ . under the equivalence relation.

$$3') \text{ Consider } \psi(x) \in C^\infty(\mathbb{R}'). \quad \begin{cases} \psi \equiv 1 & \text{in } (-r, r) \\ \psi \equiv 0 & \text{in } [n, m]^c. \end{cases}$$

Extend  $\psi$  on  $X$ :

$$\tilde{\psi} = \begin{cases} \psi, & x \in (i\mathbb{R}', 1) \\ 0, & x \in (i\mathbb{R}', 2) \end{cases} \quad \begin{matrix} \text{choose } (U, f) = \\ (i\mathbb{R}', 1), (i\mathbb{R}', 2) \end{matrix}$$



Restrict  $\tilde{\psi}$  on  $(k, 2) : \begin{cases} \tilde{\psi} = 1 & \text{in } (-r, 0) \cup (0, r), 2) \\ \tilde{\psi} = 0 & \text{in } (r, 2) \end{cases}$   
 $\therefore \tilde{\psi}$  isn't conti at  $0_2$ .

Secondly. Let  $\hat{g} = \begin{cases} g\tilde{\psi} & x \in U \\ 0 & x \notin U \end{cases} \in C^\infty(X). \quad \hat{g}|_{f_1^{-1}(B(0, r))} = g.$

ii) Whitney Thm:

Thm.  $\forall A \subseteq_{\text{close}} X$ . smooth manifold.  $\exists f \in C^\infty(X)$ . st.  $f|_{A^c} = 0$ .

Pf.  $U = X/A \subseteq_{\text{open}} X$ .  $U = \bigcup f_x^{-1}(B(x, r))$ ,  $(U, f_x) \in \mathcal{A}_X$ .

Since  $X$  is  $C_2$   $\therefore U = \bigcup_{x \in U} f_x^{-1}(B(x, r))$

$B_0$  i).  $\exists \phi_n \in C^\infty(X)$ .  $\phi_n \equiv 1$  in  $f_n^{-1}(B(x_n, r_n))$ .  $\phi_n \equiv 0$  outside  $U$

Choose  $\epsilon_n$ . st.  $\epsilon_n \sup_X \left| \frac{\partial^k \phi_n}{\partial x_{i_1} \dots \partial x_{i_k}} \right| \leq \frac{1}{2^n}$ .  $\forall k \leq n$ . ( $\text{supp } \phi_n$  is cpt)

Set  $g_n(x) = \sum_{i=1}^n \epsilon_i \phi_i \in C^\infty(X)$ .

$\therefore \sum_{i=1}^n \left| \epsilon_i \frac{\partial^k \phi_i}{\partial x_{i_1} \dots \partial x_{i_k}} \right| \leq \sum_{i=1}^k \square + \sum_{k=1}^\infty \frac{1}{2^n} < \infty$ .  $\forall k \in \mathbb{Z}^+$ .

$\therefore g_n \in C^\infty(X) \xrightarrow{n} g = \sum_{i=1}^\infty \epsilon_i \phi_i \in C^\infty(X)$ . what we need.

Cor.  $\forall A, B \subseteq_{\text{close}} X$ .  $\exists \phi : X \rightarrow [0, 1]$ . st.  $\phi|_A = 1$ .  $\phi|_B = 0$ .  $\phi \in C^\infty(X)$ .

Pf. Choose  $\phi_1, \phi_2 \in C^\infty(X)$ .  $\phi_1|_A = 1$ .  $\phi_2|_B = 1$ .  $\phi = \frac{\phi_1}{\phi_1 + \phi_2} \in C^\infty(X)$ .

Remark: i) It's extension of Urysohn Thm.

ii) In particular, let  $X = \mathbb{R}^n$ .