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Master Thesis

Intent Prediction with Vectorized Sequential UI Tree Data

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Kurzfassung

<Short summary of the thesis>

Abstract

The interaction of a user with an end device such as a smartphone or a computer is very diverse and difficult to predict. Nevertheless, user-specific (personalized) as well as global (collaborative) patterns can possibly be worked out with the help of preceding user interactions. These could be used to predict the intention of a user or a group of users. It is interesting to know to what level of detail these predictions can be made reliably. By making use of the continous on-device data an attempt can be made to gain more insights in the user behavior or even forecast their next actions.

It suggests itself to implement this with the help of user interactions in sessions on Android devices. For this purpose, the Sequential UI Tree data of the device could be tracked, filtered and labeled and then trained with a machine learning model to find similar interaction sequences and then make predictions. These can then be very coarse, such as predicting the next app. Or they can be very detailed, e.g., determining the next user action, such as filling out a form field.

A concept will be developed on how a model for predicting user intent could be built and how it could be applied to the user session. To this end, possibilities for collecting and vectorizing sequential UI trees (e.g., from the Android Accessibility Service) will be discussed (e.g., via Recurrent Neural Network (*RNN*) [12] [1] [5], *Seq2Seq* Model [2], *Screen2Vec* Model [9], *Intention2Text* [15], *Html2Vec* [14]), which are designed to predict the user intent. Here, privacy and feature pre-filtering in UI data plays an important role. After that, personalized as well as collaborative data can be used in a hybrid approach. This model should then be made available to the user in an Android app service and, depending on the level of detail, suggest upcoming apps or actions to the user at a suitable time. It should also be considered whether the user can contribute to the learning process and improve suggested actions through feedback (labeling). The performance of the model can be measured, for example, by indicators such as the amount of training data and time spent on the learning process. The effectiveness can be evaluated by accuracy metrics in predicting, for example, app categories [10] or complete test sequences via Rico [3] or ERICA [4].

Furthermore, the machine learning model could provide the following benefits in addition to intent prediction:

- reduction of the complexity and size of the UI tree

- creation of user groups that have similar behavior when using digital UI systems [7]

- elimination of technical expertise on individual features that would be required to manually compare user sessions [6]

- consideration of a user's history over time (sequential)

- comparison of user interactions without providing privacy invasive information

- supporting app developers to improve their app design and usability

- application in psychology and market research

- pre-loading of processes on devices (energy savings) [13]

As listed above, many fields of application can profit by elaborating such a system. It would be exciting to know, how the concrete concept would look like and if it can be implemented successfully e.g. to improve the user experience on end-user devices.

Figure 1: Possible procedure using a Machine-Learning algorithm to predict the next intent from a beginning user session: The input (1) can be a sequence of Android tree data. With help of a Machine-Learning-Model (2) (e.g. RNN) a vector representation can be trained and then predict the most probable action or screen (3) from a given starting sequence, but also can be improvde through the users feedback.

Figure 2: Schedule as a Gantt Chart

Contents

List of Figures

List of Tables

Glossary

Big Data Extremely large and complex data sets which can only be processed with modern computing soft- and hardware. 24

Machine Learning Scientific approach to form statistical models without the need to explicitly program it. 15, 24

Acronyms

1 Introduction

This is a typical human-computer interaction thesis structure for an introduction which is structured in four paragraphs as follows:

1.1 Necessarity of Vectors for Android UI

Motivation for transforming Android UI tree data to vectors

[TODO]: P1.1. What is the large scope of the problem?

[TODO]: P1.2. What is the specific problem?

[TODO]: P2.1. The second paragraph should be about what have others been doing

[TODO]: P2.2. Why is the problem important? Why was this work carried out?

[TODO]: P3.1. What have you done?

[TODO]: P3.2. What is new about your work?

[TODO]: P4.1. What did you find out? What are the concrete results?

[TODO]: P4.2. What are the implications? What does this mean for the bigger picture?

2 Related Work

Describe relevant scientific literature related to your work.

2.1 UI Tree and Datasets

2.1.1 ERICA

See: [4]

2.1.2 RICO / RicoSCA

"Rico is a public UI corpus with 72K Android UI screens mined from 9.7K Android apps. [...] We manually removed screens whose view hierarchies do not match their screenshots by ask- ing annotators to visually verify whether the bound- ing boxes of view hierarchy leaves match each UI object on the corresponding screenshot image.This filtering results in 25K unique screens."

Use web interface to gain tree and interaction traces

See [3]

2.1.3 Mobile UI CLAY Dataset

Learning to Denoise Raw Mobile UI Layouts for Improving Datasets at Scale

- Provides a so-called *CLAY* pipeline which denoises mobile UI layouts from incorrect nodes or adding semantics to it.

- better than heuristic approach

- results are dynamic and out of sync, invisible objects, misaligned, in the background (greyed out)

- aim: "large scale high quality layout dataset"

- 37.4 % of the screens contain invalid objects

See [8] https://github.com/google-research/google-research/tree/master/clay

Key	Type	Shape	Description
Per Trace			
activity_name	string	(1, None)	Name of the activity: "com.my_app.AppName.MainActivity"
is_keyboard_deployed	bool	(1)	Indicates if the keyboard is shown
request_id	int	(1)	[TODO]: TODO
Per Node			
abs-pos	bool	(1)	Indicates if position in bounds is relative to the parent or absolute to the screen boundaries
adapter-view	bool	(1)	Indicates that children are loaded via an adapter, see https://developer.android.com/reference/android/widget/AdapterV
ancestors	bool	(1)	[TODO]: TODO
bounds	bool	(1)	[TODO]: TODO
children	[node]	(1)	[TODO]: TODO
class	bool	(1)	[TODO]: TODO
clickable	bool	(1)	User can interact by press / click
content-desc	bool	(1)	[TODO]: TODO
draw	bool	(1)	[TODO]: TODO
enabled	bool	(1)	[TODO]: TODO
focusable	bool	(1)	[TODO]: TODO
focused	bool	(1)	[TODO]: TODO
font-family	bool	(1)	[TODO]: TODO
long-clickable	bool	(1)	[TODO]: TODO

2.2 Vector models

2.2.1 Doc2Vec and Word2Vec

2.2.2 Screen2Vec

2.2.3 Screen2Words

2.2.4 Intention2Text

2.2.5 Html2Vec

2.2.6 Tree2Vec

2.2.7 Activity2Vec

2.3 Time Series / Sequence models

2.3.1 Seq2Seq Model

3 Theoretical Framework

3.1 Android UI Data

3.1.1 Data tree structure

3.1.2 Retrieval of UI data via Android Accessibility Service

Semantics tree: https://developer.android.com/jetpack/compose/semantics https://android.googlesource.com/platform/fra
dev/uiautomator/library/src/com/android/uiautomator/core/AccessibilityNodeInfoDumper.java
https://github.com/Gustl22/android-accessibility/blob/c158808533d6fc017455184a7317555d3e6946f6/GlobalActionBa

Figure 3.1: https://developer.android.com/studio/debug/layout-inspector,
https://github.com/mimuc/app-ins-gruene
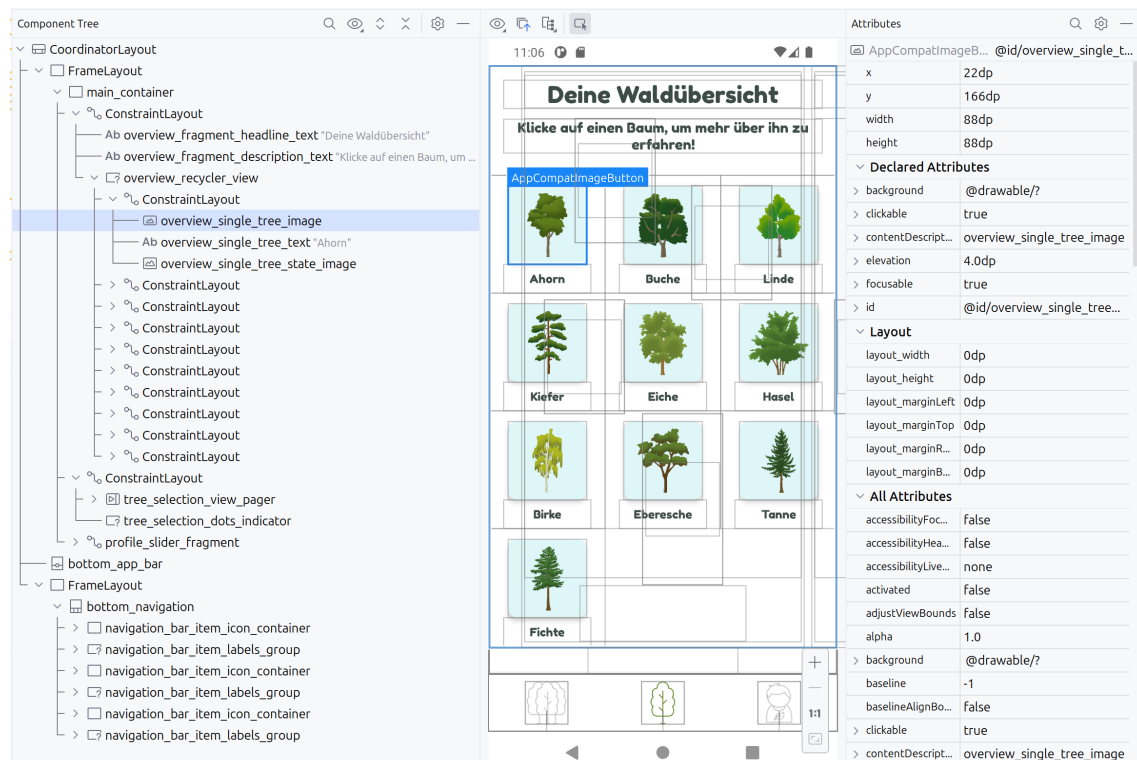
```
<?xml version='1.0' encoding='UTF-8' standalone='yes' ?>
<hierarchy>
    <node index="0" text="" resource-id="" class="android.view.ViewGroup"
        package="de.lmu.treeapp" content-desc="" checkable="false"
        checked="false" clickable="false" enabled="true" focusable="false"
        focused="false" scrollable="false" long-clickable="false"
        password="false" selected="false" visible-to-user="true"
        bounds="[0,137][1440,2923]">
      <node index="0" text="" resource-id=""
          class="androidx.viewpager.widget.ViewPager" package="de.lmu.treeapp"
          content-desc="" checkable="false" checked="false" clickable="false"
          enabled="true" focusable="true" focused="false" scrollable="true"
          long-clickable="false" password="false" selected="false"
          visible-to-user="true" bounds="[4,141][1436,2707]">
        <node index="22" text="Eiche" resource-id=""
            class="android.widget.TextView" package="de.lmu.treeapp"
            content-desc="" checkable="false" checked="false"
            clickable="false" enabled="true" focusable="false"
            focused="false" scrollable="false" long-clickable="false"
            password="false" selected="false" visible-to-user="true"
            bounds="[116,182][1324,315]" />
      </node>
      <node index="1" text="" resource-id="" class="android.view.ViewGroup" ...>
        <node NAF="true" index="0" text="" resource-id=""
            class="android.widget.FrameLayout" package="de.lmu.treeapp" ... />
      </node>
    </node>
</hierarchy>
```

Listing 3.1: Android Accessibility Node in XML.

3.2 Machine Learning

Machine Learning (ML), a term spread by Arthur Lee Samuel, is a method of data analysis, more precisely a scientific approach to form statistical models without the need to explicitly program it. It uses algorithms to iteratively learn how data is structured. In contrast to statistical inference or manually crafted statistical models respectively, ML can solve tasks by automation of model building. Its advantages lie in finding hidden relations and patterns from the context, without having any or only a small pre knowledge of the data, thus it is a strong tool for generalization or abstraction of large datasets, also known as Big Data. ML can be applied to the following fields among others: email and spam filtering, fraud detection, cybersecurity, web search engines, recommender systems (like known from Netflix or Amazon), advertising, translators and text generation, pattern and image recognition [11]. The data driven approach also comes with some drawbacks: the outcome heavily depends on the provided data. It can include biases and therefore may acquire forms of discrimination or unfair treatment.

3.2.1 Preprocessing

Tensors, Datasets

3.2.1.1 Feature selection

Such as Filtering privacy invasive details

Parameterizing the vectorization process a) Vector length b) Weighting of features c) Manipulating individual parameters of model

3.2.1.2 Normalization

3.2.1.3 Padding

3.2.1.4 Embedding

Category Embedding before LSTM

- Embedding layer Dimension near the actual average length of features (?)

3.2.2 Supervised vs Unsupervised vs Semisupervised

3.2.2.1 Supervised Learning

Supervised: Classification and regression Uses **labeled** examples: Input and output is known

Learns by comparison of the output it is provided with the output the model *predicts*.

Steps: - Data acquisition - Data cleaning / Preprocessing (Panadas) - Split into Training Data, Validation data, and Test data (cannot adapt the model after using the test data) - Train the model with the train data - Evaluate the model with the test data, then can adapt the model by the developer - Last deploy the model to production

3.2.2.2 Unsupervised Learning

Reinforcement learning

3.2.3 Under and Overfitting

3.2.4 Evaluation Metrics

3.3 Artificial Neural Nets

- Uses biological neuron systems as paradigm to generate mathematical models - can solve tasks by abstraction or generalization of data relations

Activation Functions Cost function Gradient - Regression: Continous Values - Classification: Multiple class - One Class

3.3.1 Classes of Neural Nets

3.3.1.1 Deep Neural Nets

Neural Net with more than one layer - Dense Layer

3.3.1.2 Convolutional Neural Nets

3.3.1.3 Recurrent Neural Networks and LSTMs / GRU

LSTM 4 dimensional

Limitations to only 3 dimensions, needs flattening

Sample dimension (X -> y) Time (Step) Dimension Feature Dimension Data, Quantity dimension, such as Image dimensions, or multiple nodes

TimeDistributedLayer

3.3.1.4 Autoencoders

Encoder, Decoder

3.3.2 Tensorflow and Keras

Layers FlattenLayer

Positive Integer to Dense Vectors of fixed size

3.4 Evaluation and Metrics

3.4.1 Mean Squared Error

3.4.2 F1 Score

4 Methodology

Start with your overall approach to the research. What research problem or question did you investigate? What type of data did you need to answer it? Quantitative, qualitative, or mixed? Primary or secondary? Experimental or descriptive?

4.1 Data Aquisition

How you collected and analyzed your data Describe the specific methods you used for data collection and analysis. How did you collect and analyze your data? What tools or materials did you use? How did you ensure the quality and accuracy of your data?

Any tools or materials you used in the research. The type of research you conducted

E.g. Google Scholar, Google Research, Tensorflow, Keras, Udemy, Open Source, Reproducible

4.2 Methodological variety

Explain why you chose these methods over others. How do they relate to your research question and literature review? How do they address the limitations or gaps in existing research? How do they suit your research design and objectives?

- No similar approach - No dataset present with consecutive sequential app usages - Many different approaches to solve this problem: - Encoder, Decoder, etc... - A Study can follow

4.3 Methodological choices

Evaluate and justify your methodological choices. Why you chose these methods How did they affect the outcome of your research? What challenges or difficulties did you encounter and how did you overcome them? How can you ensure the credibility and generalizability of your findings?

4.4 Research Biases

How you mitigated or avoided research biases

How this thesis is working? Apparatus, Procedure, Utilities

5 Results

5.1 Datasets

- Problem with sequential data sets

5.1.1 Rico

- Too less frames.

- No transition between apps.

5.2 Preprocessing Android UI tree data

5.2.1 Filtering privacy invasive details

5.2.2 Normalization, Feature selection

Dealing with variable length data tf.io.VarLenFeature()

5.3 Model

Multiple approaches

AutoEncoder:

- Encoder -> Decoder -> LSTM -> Decoder

- Encoder -> LSTM -> Decoder

- LSTM -> Encoder -> Decoder (AutoEncoder)

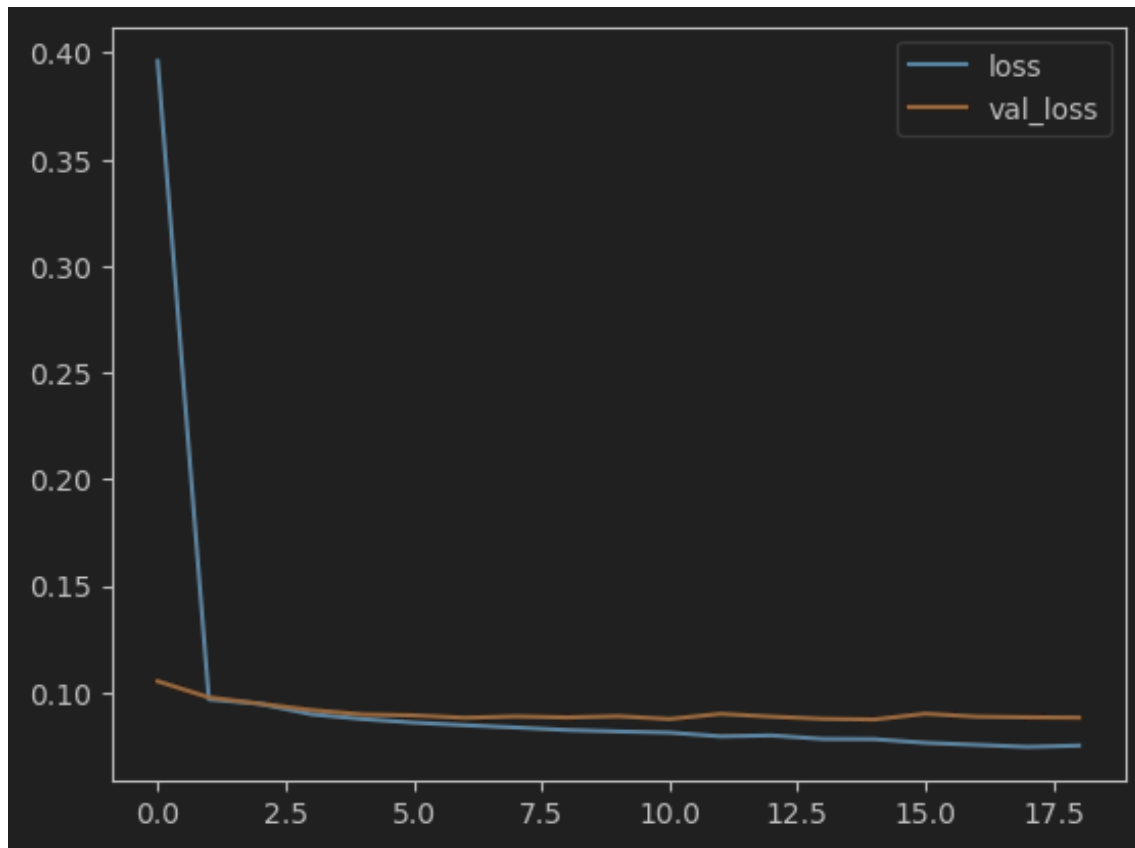Decoder can either only decode to x and y or to whole UI tree.

Figure 5.1: Model loss vs validation loss

5.4 Evaluation

5.4.1 Mean Squared Error

5.4.2 F1 Score

5.5 Limitations

Dataset Dataset is not through different apps, only in one app. Dataset is not detailed enough in the time steps, or not containing all data Dataset is not long enough Dataset has no paid apps or apps with login, which most services require Dataset has wrong data see [8]

Preprocessing Need more time to validate what are the core parameters to predict the next user intent

Model needs more investigation on what data is needed How many neurons are required to achieve this Play around with different layers, also Convolutional and pretrained embeddings

6 Application of Android UI tree vectors

6.1 Automation and testing of Android apps

6.2 UI design similarities

6.3 Action prediction models, User behavior modeling

6.4 Behavioral analyses for smartphone usage patterns

7 Conclusion and Future Work

Summary

Outlook

Future directions for research in this area

ChatGPT – Image Recognition – Limitation als Ausblick

Generate Dataset which overcomes the limitations

Make a study with actual feedback on a prediction system, visualization

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Declaration

I hereby declare that the work presented in this thesis is entirely my own and that I did not use any other sources and references than the listed ones. I have marked all direct or indirect statements from other sources contained therein as quotations. Neither this work nor significant parts of it were part of another examination procedure. I have not published this work in whole or in part before. The electronic copy is consistent with all submitted copies.

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place, date, signature