

METHODOLOGY

Tissue Enrichment Analysis: TEA

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Abstract

**Background:** Over the last ten years, there has been an explosive development in tools capable of measuring gene expression. These tools generate a large number of gene targets, but understanding these datasets, and forming hypotheses based on them remains challenging. One way to analyze these datasets is to associate ontologies, which are controlled, hierarchical, descriptive vocabularies with genes and to look for enrichment of specific terms. Although gene ontology (GO) is available for *Caenorhabditis elegans*, this ontology does not include anatomy or physiology information.

**Results:** We have developed an enrichment analysis tool for the *C. elegans* tissue ontology, is available on the web via WormBase and is available for download using Python's standard pip installer. In order to cut down on verbosity, we have come up with three straightforward filtering criteria that slim the ontology by almost tenfold.

**Conclusions:** Our Tissue Enrichment Analysis (TEA), which can be found at [www.WormBase.org/tea](http://www.WormBase.org/tea), uses a standard hypergeometric function to test a slimmed-down *C. elegans* tissue ontology and provides users with a text and graphic representation of the results.

**Keywords:** Gene Ontology; Tissue Ontology; WormBase; RNA-seq; High-throughput biology

Background

RNA-seq and other high-throughput methods in biology have the ability to identify thousands of genes that are altered between conditions. These genes are often correlated in their biological characteristics or functions, but identifying these functions remains challenging. To interpret these long lists of genes, biologists need to abstract genes into fewer terms that are biologically relevant to form hypotheses about what is happening in the data. One such abstraction method relies on Gene

<sup>1</sup>Ontology (GO). GO provides a controlled set of hierarchically ordered terms in<sup>1</sup>  
<sup>2</sup>the form of an directed acyclic graph [18, 24, 25] that provide detailed information<sup>2</sup>  
<sup>3</sup>about the molecular, cellular or biochemical functions of the gene among others.<sup>3</sup>  
<sup>4</sup>For a given gene list, certain software programs can query whether a particular<sup>4</sup>  
<sup>5</sup>gene is enriched [8, 13, 16]. One area of biological significance that GO does not<sup>5</sup>  
<sup>6</sup>include is anatomy. One way to address this shortcoming is to generate a ‘tissue<sup>6</sup>  
<sup>7</sup>ontology’ that provides a complete anatomical description for an organism or sets of<sup>7</sup>  
<sup>8</sup>organisms, such as ‘tissue’, ‘organ’ or ‘neuronal cell’, for example. Such a tissue on-<sup>8</sup>  
<sup>9</sup>tology has been developed previously [10]. The *C. elegans* database, WormBase [7],<sup>9</sup>  
<sup>10</sup>maintains a carefully curated list of gene expression data from GFP-reporters. Here<sup>10</sup>  
<sup>11</sup>we provide a new framework that analyses user-input list for enrichment of specific<sup>11</sup>  
<sup>12</sup>tissues. We believe that tissues are physiologically relevant units with broad, rela-<sup>12</sup>  
<sup>13</sup>tively well-understood functionalities amenable to hypothesis formation. As such,<sup>13</sup>  
<sup>14</sup>we believe that identification of tissues is likely to provide researchers with enough<sup>14</sup>  
<sup>15</sup>information to be able to form hypotheses about the physiological responses of an<sup>15</sup>  
<sup>16</sup>organism to a specified condition. 16

<sup>17</sup>Another problem frequently associated with GO enrichment analysis is that it is<sup>17</sup>  
<sup>18</sup>often difficult to interpret due to the large number of terms associated with a given<sup>18</sup>  
<sup>19</sup>gene. There exist a number of GO analytic tools for use by the community but a<sup>19</sup>  
<sup>20</sup>shared complaint for many programs is the very large number of GO terms that are<sup>20</sup>  
<sup>21</sup>significantly associated with any given gene list. A common tool for GO analysis,<sup>21</sup>  
<sup>22</sup>DAVID, clusters terms into broad categories that are amenable to exploration by<sup>22</sup>  
<sup>23</sup>researchers [9], whereas PANTHER, a different software package [15, 16], attempts<sup>23</sup>  
<sup>24</sup>to solve this issue by employing a manually reduced ontology, GOSlim (H. Yu and<sup>24</sup>  
<sup>25</sup>P. Thomas, pers. comm. ). 25

<sup>26</sup>To prevent our tool from suffering from the same drawbacks, we have cut down on<sup>26</sup>  
<sup>27</sup>result verbosity by filtering the ontology by using a small set of well-defined criteria<sup>27</sup>  
<sup>28</sup>to remove terms that do not contribute extra information. To our knowledge, such<sup>28</sup>  
<sup>29</sup>filtering has never been performed in an algorithmic fashion for an ontology before—<sup>29</sup>  
<sup>30</sup>indeed, tools such as DAVID do not employ term trimming *a priori* of testing, but<sup>30</sup>  
<sup>31</sup>rather fuzzy clustering *post* testing to reduce the number of ontology terms. We<sup>31</sup>  
<sup>32</sup>believe our trimming methodology strikes a good balance between detailed tissue<sup>32</sup>  
<sup>33</sup>calling and conservative testing. 33

<sup>1</sup> Our tool is available within WormBase and provides users with a text-based file<sup>1</sup>  
<sup>2</sup> of the enrichment results as well as a simple and clear graph of the results that<sup>2</sup>  
<sup>3</sup> exhibit the largest fold-change enrichment. 3

## <sup>4</sup> **Results** 4

### <sup>5</sup> **Generating a Useful Dictionary** 5

#### <sup>6</sup> *Reducing term redundancy through a similarity metric* 6

<sup>7</sup> As a first step to generate our tissue enrichment software, we wished to select tissue<sup>8</sup>  
<sup>9</sup> terms that were reasonably well-annotated, yet specific enough to provide insight<sup>9</sup>  
<sup>10</sup> and not redundant with other terms. We also wanted to avoid testing tissues at<sup>10</sup>  
<sup>11</sup> levels where redundancy becomes problematic. For example, several left and right<sup>11</sup>  
<sup>12</sup> neurons have at least 25 annotated genes and we may want to include them for<sup>12</sup>  
<sup>13</sup> enrichment testing. However, many left/right neuronal pairs (which are sisters in<sup>13</sup>  
<sup>14</sup> the ontology) have almost identical annotations, with at most one or two gene dif-<sup>14</sup>  
<sup>15</sup> ferences between them. We reasoned that when two tissues have almost identical<sup>15</sup>  
<sup>16</sup> annotations, we cannot have statistical confidence in differentiating between them.<sup>16</sup>  
<sup>17</sup> As a result, testing these sister tissues provides no additional information compared<sup>17</sup>  
<sup>18</sup> with testing only the parent node to these sisters. We refer to such sisters as ‘redun-<sup>18</sup>  
<sup>19</sup> dant’. To identify redundancy, we defined a similarity metric (see *Methods* section<sup>19</sup>  
<sup>20</sup> and Figure 1a). Our similarity metric can be used to identify sisters that have very<sup>20</sup>  
<sup>21</sup> high similarity between them; alternatively, redundant sisters could be identified if<sup>21</sup>  
<sup>22</sup> a single sister had a very high similarity score. We referred to these two scoring<sup>22</sup>  
<sup>23</sup> criteria as ‘avg’ and ‘any’ respectively. 23

#### <sup>24</sup> *Terminal branch terms and parent terms can be safely removed in an algorithmic* 24 <sup>25</sup> *fashion* 25

<sup>26</sup> Another problem arises from the fact that the tissue ontology is scarcely populated<sup>26</sup>  
<sup>27</sup> at this point in time. Many nodes have 0-10 annotations, which we consider too few<sup>27</sup>  
<sup>28</sup> to accurately test. To solve this issue, we implemented a straightforward trimming<sup>28</sup>  
<sup>29</sup> algorithm. For a given terminal node, we test whether the node has more than a<sup>29</sup>  
<sup>30</sup> threshold number of annotations. If it does not, the node is removed. The next<sup>30</sup>  
<sup>31</sup> node in the branch is tested and removed recursively until a node which satisfies<sup>31</sup>  
<sup>32</sup> the condition is found. At that point, no more nodes can be removed from that<sup>32</sup>  
<sup>33</sup> branch. This is guaranteed by the structure of the ontology: Parent nodes inherit<sup>33</sup>

<sup>1</sup>all of the annotations of all of their descendants, so the number of annotated terms<sup>1</sup>  
<sup>2</sup>monotonically increases with increasing term hierarchy (see Figure 1b). In this way,<sup>2</sup>  
<sup>3</sup>we ensure that our term dictionary includes only those tissues that are considered<sup>3</sup>  
<sup>4</sup>sufficiently well annotated for statistical purposes. 4

<sup>5</sup>Finally, we also wanted to remove as many terms as possible from the dictionary 5  
<sup>6</sup>with the goals of reducing covariance between terms, decreasing multiple testing and 6  
<sup>7</sup>removing as many non-informative terms as possible. Decreasing covariance between 7  
<sup>8</sup>terms is important because we employ a frequentist approach that assumes all terms 8  
<sup>9</sup>are independent. Large covariation coefficients between some terms means that if 9  
<sup>10</sup>one of these tissues tests significant, the other terms are much more likely to pass 10  
<sup>11</sup>significance testing as well. This makes adequate correction for false positive rates 11  
<sup>12</sup>considerably more difficult. Moreover, from a data analysis perspective, we reasoned 12  
<sup>13</sup>that, for any parent node, if all its daughters were selected for testing, there was no 13  
<sup>14</sup>additional benefit to test the parent. In other words, if all the daughter nodes are 14  
<sup>15</sup>tested, there is little additional information to be gained by including the parent 15  
<sup>16</sup>node. To address this issue we removed parent nodes from the analysis if all their 16  
<sup>17</sup>daughter nodes passed the annotation threshold (see Figure 1c). 17

## <sup>18</sup>*Filtering greatly reduces the number of nodes used for analysis* 18

<sup>19</sup>  
<sup>20</sup>*Filtering greatly reduces the number of nodes used for analysis* 20  
<sup>21</sup>By itself, each of these filters can reduce the number of nodes employed for analysis. 21  
<sup>22</sup>Notably, these filters are not all commutative: while trimming and redundancy 22  
<sup>23</sup>filtering are commutative, applying the ceiling filter is not commutative with either 23  
<sup>24</sup>the trimming or the redundancy filter. If the ceiling filter is applied before any 24  
<sup>25</sup>other filter, only terminal nodes will remain, since all the parents have complete 25  
<sup>26</sup>daughter sets. Since terminal nodes are the most poorly annotated, after applying 26  
<sup>27</sup>the remaining filters very few nodes will be left behind if any. On the other hand, 27  
<sup>28</sup>applying the ceiling operator after trimming and redundancy filtering will result in 28  
<sup>29</sup>greater numbers of nodes. We always applied the ceiling at the end. For validation 29  
<sup>30</sup>(see below) we made a number of different dictionaries. The original ontology has 30  
<sup>31</sup>1675 terms with more than 5 gene annotations. After filtering, dictionary sizes 31  
<sup>32</sup>ranged from 21 to a maximum of 400 terms, which shows the number of terms in 32  
<sup>33</sup>a scarcely annotated ontology can be reduced by tenfold by application of a few 33

<sup>1</sup>simple filters (see Table 1). These filters were used to compile a static dictionary<sup>1</sup>

<sup>2</sup>that we employ for all analyses.<sup>2</sup>

<sup>3</sup>

<sup>4</sup>Tissue enrichment testing via a hypergeometric model<sup>4</sup>

<sup>5</sup>Having built a static dictionary, we generated a Python script that implements a<sup>5</sup>

<sup>6</sup>significance testing algorithm based on the hypergeometric model. Briefly, the hy-<sup>6</sup>

<sup>7</sup>pergeometric model assumes the existence of an urn with a pre-determined number<sup>7</sup>

<sup>8</sup>of balls inside it. The balls can be painted one of several colors. The hypergeometric<sup>8</sup>

<sup>9</sup>model provides an answer to the question: If an individual removes  $N$  balls, what<sup>9</sup>

<sup>10</sup>is the probability of observing  $n_i$  balls of color  $i$ , if the balls are selected without<sup>10</sup>

<sup>11</sup>replacement? Mathematically, this is expressed as:<sup>11</sup>

$$\begin{aligned} & \text{P}(n_i|N, m_i, M) = \frac{\binom{m_i}{n_i} \binom{M - m_i}{N - n_i}}{\binom{M}{N}} \quad (1) \end{aligned}$$

<sup>15</sup>Here,  $n_i$  is the number of balls of type  $i$  drawn,  $N$  is the total number of draws,  $m_i$ <sup>15</sup>

<sup>16</sup>is tissue  $i$  and  $M$  is the total number of balls in the urn. In our specific case,  $M_i$  is<sup>16</sup>

<sup>17</sup>equal to the total number of annotations in our dictionary.  $N$  is found by taking the<sup>17</sup>

<sup>18</sup>user-input list and removing any genes that are not in our annotation dictionary.<sup>18</sup>

<sup>19</sup>The remaining genes are then associated with their annotation profiles—if a tissue<sup>19</sup>

<sup>20</sup>is associated with  $s$  tissues, it generates  $s$  balls of  $s$  colors. Our program counts the<sup>20</sup>

<sup>21</sup>number of times each tissue appears in the user list, and calculates the probability<sup>21</sup>

<sup>22</sup>of having withdrawn as many or more balls for each tissue in the user list. Due to<sup>22</sup>

<sup>23</sup>the discrete nature of the hypergeometric distribution, this algorithm can generate<sup>23</sup>

<sup>24</sup>artifacts when the list is small. To avoid spurious results, a tissue is never considered<sup>24</sup>

<sup>25</sup>significant if there are no annotations for it in the user-provided list.<sup>25</sup>

<sup>26</sup>Once the probability of drawing the labels has been quantified, we apply a stan-<sup>26</sup>

<sup>27</sup>dard FDR correction using a Benjamini-Hochberg step-up algorithm [1]. Genes that<sup>27</sup>

<sup>28</sup>have a q-value less than a given alpha are considered significant. Our default set-<sup>28</sup>

<sup>29</sup>ting is to set the alpha threshold at 0.1, but users will be able to modify this value<sup>29</sup>

<sup>30</sup>either in batch or in our web application. The program returns a text-based table<sup>30</sup>

<sup>31</sup>showing the tissues that tested significant, along with their associated q-value, the<sup>31</sup>

<sup>32</sup>expected number of hits for a list of that size, the observed number of hits and the<sup>32</sup>

<sup>33</sup>enrichment fold change (observed hits / expected hits). Finally, the program can<sup>33</sup>

<sup>1</sup>also return a bar chart of the enrichment fold change for the fifteen tissues with<sup>1</sup>  
<sup>2</sup>the lowest measured q-values. Our software is implemented in an easy to use GUI<sup>2</sup>  
<sup>3</sup>within WormBase. Users input a gene-list using any valid gene name for *C. elegans*.<sup>3</sup>  
<sup>4</sup>These names are processed into standard WBIDs and the result is displayed in the<sup>4</sup>  
<sup>5</sup>same window in an easy to read format containing all the relevant information, and<sup>5</sup>  
<sup>6</sup>a graph of the results is also displayed (see Figure 2). Anatomy terms are displayed<sup>6</sup>  
<sup>7</sup>in human-readable format followed by their unique WBbt ID. <sup>7</sup>

<sup>8</sup>

<sup>8</sup>

### <sup>9</sup>Validation of the algorithm and parameter selection <sup>9</sup>

<sup>10</sup>We wanted to select a dictionary which included enough terms to be specific beyond<sup>10</sup>  
<sup>11</sup>the largest *C. elegans* tissues, yet would minimize the number of spurious results and<sup>11</sup>  
<sup>12</sup>which had a good dynamic range in terms of enrichment fold-change. Selection of a<sup>12</sup>  
<sup>13</sup>dictionary based only on minimization of spurious results would result in a dictio-<sup>13</sup>  
<sup>14</sup>nary with a large number of annotations per tissue, and would therefore include only<sup>14</sup>  
<sup>15</sup>the major tissues. On the other hand, selecting a dictionary that can detect smaller<sup>15</sup>  
<sup>16</sup>tissues will bias us towards tissues with lesser annotations. To our knowledge there<sup>16</sup>  
<sup>17</sup>is no good method for assessing false-positive or false-negative results for annota-<sup>17</sup>  
<sup>18</sup>tions. To help us select an appropriate dictionary and validate our tool, we used<sup>18</sup>  
<sup>19</sup>a set of 30 gold standards based on microarray and RNA-seq literature which are<sup>19</sup>  
<sup>20</sup>believed to be enriched in specific tissues [3, 5, 6, 19, 20, 22, 23, 27]. These data sets<sup>20</sup>  
<sup>21</sup>are annotated gene lists derived from the corresponding Expression Cluster data<sup>21</sup>  
<sup>22</sup>available in the WS252 version of WormBase. Some of these studies have been used<sup>22</sup>  
<sup>23</sup>to annotate gene expression, and so they did not constitute an independent testing<sup>23</sup>  
<sup>24</sup>set. To correct this flaw, we built a clean dictionary that specifically excluded all<sup>24</sup>  
<sup>25</sup>annotation evidence that came from these studies. <sup>25</sup>

<sup>26</sup>As a first attempt to select a good dictionary, we generated all the possible com-<sup>26</sup>  
<sup>27</sup>binations of dictionaries with minimal annotations of 10, 25, 50 and 100 genes and<sup>27</sup>  
<sup>28</sup>similarity cutoffs of 0.9, 0.95 and 1, using ‘avg’ or ‘any’ thresholding criteria for<sup>28</sup>  
<sup>29</sup>the latter (see Table 1). For these dictionaries, the number of tissues tested ranged<sup>29</sup>  
<sup>30</sup>from 21 to 460. The number of tissues was inversely correlated to the minimum<sup>30</sup>  
<sup>31</sup>annotation, as expected, and was largely insensitive to the redundancy threshold,<sup>31</sup>  
<sup>32</sup>at least in the range we explored (0.9-1). Next, we analyzed all 30 datasets using<sup>32</sup>  
<sup>33</sup>each dictionary. Because of the large number of results, instead of analyzing each set<sup>33</sup>

<sup>1</sup>of terms individually, we pooled all results for a given dictionary into histograms.<sup>1</sup>  
<sup>2</sup>When we analyzed the distribution of significant q-values for the dictionaries, we<sup>2</sup>  
<sup>3</sup>found that the similarity threshold mattered relatively little for any dictionary.<sup>3</sup>  
<sup>4</sup>We also noticed that the ‘any’ thresholding method resulted in tighter histograms<sup>4</sup>  
<sup>5</sup>with a mode closer to 0. For this reason, we chose the ‘any’ method for dictionary<sup>5</sup>  
<sup>6</sup>generation. The average q-value increased with decreasing annotation cut-off (see<sup>6</sup>  
<sup>7</sup>Figure 3), which reflects the decreasing statistical power associated with fewer an-<sup>7</sup>  
<sup>8</sup>notations per term, but we remained agnostic as to how significant is the trade-off<sup>8</sup>  
<sup>9</sup>between power and term specificity. Based on these observations, we ruled out the<sup>9</sup>  
<sup>10</sup>dictionary with the 100 gene annotation cut-off: it had the fewest terms and its<sup>10</sup>  
<sup>11</sup>q-values were not low enough to compensate the trade-off in specificity.<sup>11</sup>

<sup>12</sup> To select between dictionaries generated between 50, 33 and 25 annotation cut-<sup>12</sup>  
<sup>13</sup>offs, and also to ensure the terms that are selected as enriched by our algorithm are<sup>13</sup>  
<sup>14</sup>reasonable, we looked in detail at the enrichment analysis results. Most results were<sup>14</sup>  
<sup>15</sup>highly comparable and in line with what was expected. For some sets, all dictionaries<sup>15</sup>  
<sup>16</sup>seemed to perform well. For example, in our ‘all neuron enriched sets’ [23, 27] the<sup>16</sup>  
<sup>17</sup>results were an amalgamation of neuron related terms including mechanosensory<sup>17</sup>  
<sup>18</sup>neurons, thermosensitive neurons, interneurons, ganglions and male rays regardless<sup>18</sup>  
<sup>19</sup>of the dictionary used (see 4). On the other hand, when we looked at a gene set<sup>19</sup>  
<sup>20</sup>enriched for germline precursor expression in the embryo [23], the dictionary with<sup>20</sup>  
<sup>21</sup>the 50 cutoff was only able to identify ‘oocyte WBbt:006797’; whereas the two<sup>21</sup>  
<sup>22</sup>smaller dictionaries were able to single out cells germline precursor cells—at the 33-<sup>22</sup>  
<sup>23</sup>cutoff, our tool identified ‘Z2’ and ‘Z3’ as being five-fold enriched; whereas at the 25<sup>23</sup>  
<sup>24</sup>gene-cutoff the terms ‘Psub4’, ‘Psub3’ and ‘Psub2’ were identified in addition to ‘Z2’<sup>24</sup>  
<sup>25</sup>and ‘Z3’. We queried an embryonic stage intestine precursor geneset [23]. Notably,<sup>25</sup>  
<sup>26</sup>this gene set yielded no enrichment when using the 25 cutoff dictionary, nor when<sup>26</sup>  
<sup>27</sup>using the 50 cutoff dictionary. However, the 33 cutoff dictionary suggested, probably<sup>27</sup>  
<sup>28</sup>correctly, that the E lineage was heavily enriched in this set. Not all queries worked<sup>28</sup>  
<sup>29</sup>equally well. For example, a number of intestinal enriched genes sets [19, 23] were<sup>29</sup>  
<sup>30</sup>not enriched in intestine in any dictionary, but they were enriched for pharynx-<sup>30</sup>  
<sup>31</sup>and hypodermis-related terms. We were somewhat surprised that intestinal gene<sup>31</sup>  
<sup>32</sup>sets performed poorly, since the intestine is a relatively well-annotated tissue. We<sup>32</sup>  
<sup>33</sup>also assessed the internal agreement of our tool by using independent gene-sets<sup>33</sup>

<sup>1</sup>that we expected to be enriched in the same tissues. We had two independent pan-<sup>1</sup>  
<sup>2</sup>neuronal sets [23, 27]; two independent PVD enriched sets [22, 23]; two independent<sup>2</sup>  
<sup>3</sup>GABAergic gene sets [3, 23]; two independent pharyngeal gene sets [6, 23]; and<sup>3</sup>  
<sup>4</sup>two independent intestinal gene sets [19, 23]. Overall, the tool seems to have good<sup>4</sup>  
<sup>5</sup>internal agreement. On most sets, the same terms were enriched, although order was<sup>5</sup>  
<sup>6</sup>somewhat variable (see Figure 5). However, most high-scoring terms were preserved<sup>6</sup>  
<sup>7</sup>between gene sets. The intestinal gene-sets and pharyngeal gene sets comparisons<sup>7</sup>  
<sup>8</sup>were exceptions, since at least one gene set was missing each for intestine and<sup>8</sup>  
<sup>9</sup>pharynx in every dictionary, so we didn't consider them as informative for assessing<sup>9</sup>  
<sup>10</sup>internal agreement. All comparisons can be found online in our Github repository<sup>10</sup>  
<sup>11</sup>(see Availability of data and materials). Overall, the dictionary generated by a 33<sup>11</sup>  
<sup>12</sup>gene annotation cutoff with 0.95 redundancy threshold using the 'any' criterion.<sup>12</sup>  
<sup>13</sup>seemed to perform well, with a good balance between specificity, verbosity and<sup>13</sup>  
<sup>14</sup>accuracy, so we selected this parameter set to generate our static dictionary. <sup>14</sup>

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## <sup>16</sup>A brief example <sup>16</sup>

<sup>17</sup>We applied our tool to the RNA-seq datasets developed by Engelmann *et al.* [4] to <sup>17</sup>  
<sup>18</sup>gain further understanding of the biology underlying these datasets. Engelmann *et* <sup>18</sup>  
<sup>19</sup>*al.* exposed young adult worms to 5 different pathogenic bacteria or fungi for 24 <sup>19</sup>  
<sup>20</sup>hours, after which mRNA was extracted from the worms for sequencing. We ran <sup>20</sup>  
<sup>21</sup>TEA on the genes Engelmann *et al* identified as up- or down-regulated. Initially we <sup>21</sup>  
<sup>22</sup>noticed that genes that are down-regulated tend to be twice better annotated on <sup>22</sup>  
<sup>23</sup>average than genes that were up-regulated, suggesting that our understanding of the <sup>23</sup>  
<sup>24</sup>worm immune system is scarce, in spite of important advances made over the last <sup>24</sup>  
<sup>25</sup>decade. Strikingly, three out of the five samples showed enrichment of neuronal tis- <sup>25</sup>  
<sup>26</sup>ues or neuronal precursor tissues amongst the down-regulated genes (see 6, 7,8). A <sup>26</sup>  
<sup>27</sup>possible explanation for this might be that the infected worms are sick and the neu- <sup>27</sup>  
<sup>28</sup>rons are beginning to shut down; an alternative hypothesis would be that the worm <sup>28</sup>  
<sup>29</sup>is down-regulating specific neuronal pathways as a behavioral response against the <sup>29</sup>  
<sup>30</sup>pathogen. Indeed, several studies [14, 28] have provided evidence that *C. elegans* <sup>30</sup>  
<sup>31</sup>uses chemosensory neurons to identify pathogens. Interestingly, one bacterium did <sup>31</sup>  
<sup>32</sup>not exhibit the same pattern of down-regulation of neuronal-associated genes. *E.* <sup>32</sup>  
<sup>33</sup>*faecalis* showed increased expression of genes associated with neuronal tissues, hint- <sup>33</sup>



ing that *E. faecalis* may have a different pathogenic profile. Up-regulated tissues,<sup>1</sup>  
when detected, almost always included the hypodermis and excretory duct. Our<sup>2</sup>  
results highlight the involvement of various *C. elegans* neuronal tissues in pathogen<sup>3</sup>  
defense and/or illness.<sup>4</sup>

## <sup>6</sup>Discussion<sup>6</sup>

<sup>7</sup>We have presented a tissue enrichment analysis tool that employs a standard hy-<sup>7</sup>  
<sup>8</sup>pergeometric model to test the *C. elegans* tissue ontology. We have also presented<sup>8</sup>  
<sup>9</sup>the first, to our knowledge, ontology trimming algorithm for biomedical ontologies.<sup>9</sup>  
<sup>10</sup>This algorithm, which is very easy to execute, places strong limits on the number<sup>10</sup>  
<sup>11</sup>of terms selected for testing. Due to the nature of all ontologies as hierarchical,<sup>11</sup>  
<sup>12</sup>acyclical graphs with term inheritance, term annotations are correlated along any<sup>12</sup>  
<sup>13</sup>given branch. This correlation reduces the benefits of including all terms for statis-<sup>13</sup>  
<sup>14</sup>tical analysis: for any given term along a branch, if that term passes significance,<sup>14</sup>  
<sup>15</sup>there is a high probability that many other terms along that branch will also pass<sup>15</sup>  
<sup>16</sup>significant. If the branch is enriched by random chance, error propagation along a<sup>16</sup>  
<sup>17</sup>branch means that many more false positives will follow. Thus, a researcher might<sup>17</sup>  
<sup>18</sup>be misled by the number of terms of correlated function and assign importance to<sup>18</sup>  
<sup>19</sup>this finding; the fact that the branching structure of GO amplifies false positive<sup>19</sup>  
<sup>20</sup>signals is a powerful argument for either reducing branch length or branch intra-<sup>20</sup>  
<sup>21</sup>correlation, or both. On the other hand, if a term is actually enriched, we argue<sup>21</sup>  
<sup>22</sup>that there is little benefit to presenting the user with additional terms along that<sup>22</sup>  
<sup>23</sup>branch. Instead, a user will benefit most from testing sparsely along the tree at a<sup>23</sup>  
<sup>24</sup>suitable specificity for hypothesis formation. Related terms of the same level should<sup>24</sup>  
<sup>25</sup>only be tested when there is sufficient annotation to differentiate, with statistical<sup>25</sup>  
<sup>26</sup>confidence, whether one term is enriched above the other. Our algorithm reduces<sup>26</sup>  
<sup>27</sup>branch length by identifying and removing nodes that are insufficiently annotated<sup>27</sup>  
<sup>28</sup>and parents that are likely to include sparse information.<sup>28</sup>

<sup>29</sup>Chikina *et al* [2] report a tissue enrichment model based on a Support Vector<sup>29</sup>  
<sup>30</sup>Machine classifier that has been trained on microarray studies. SVM classifiers are<sup>30</sup>  
<sup>31</sup>powerful tools capable of great sensitivity, but they require continuous retraining<sup>31</sup>  
<sup>32</sup>as tissue expression data becomes more available. Moreover, classifiers require that<sup>32</sup>  
<sup>33</sup>data be rank-ordered by some metric, something which is not possible for some<sup>33</sup>

<sup>1</sup>genome-wide studies. Our tool relies on an annotation dictionary that is continu-<sup>1</sup>  
<sup>2</sup>ously updated, does not require retraining and does not require ranked genes. To<sup>2</sup>  
<sup>3</sup>our knowledge, there are no other tissue ontology enrichment tools in *C. elegans*, but<sup>3</sup>  
<sup>4</sup>similar projects exist for humans and zebrafish [11, 21], highlighting the relevance<sup>4</sup>  
<sup>5</sup>of our tool for high-dimensionality biology. <sup>5</sup>

<sup>6</sup> We have tried hard to benchmark our tool well. However, our analysis suffers <sup>6</sup>  
<sup>7</sup>from the drawback that is very hard to benchmark negative controls. Even for our <sup>7</sup>  
<sup>8</sup>set of positive controls, the statistical analysis sometimes throws out unexpected <sup>8</sup>  
<sup>9</sup>results. For example, the embryonic germline precursor gene set had the term ‘AB’ <sup>9</sup>  
<sup>10</sup>as the most enriched term in the dictionaries with cut off of 25 and 33. Is this <sup>10</sup>  
<sup>11</sup>an error, or does this hint at new biology? Although we were unable to determine <sup>11</sup>  
<sup>12</sup>false-positive and false-negative rates, we do not believe this should deter scientists <sup>12</sup>  
<sup>13</sup>from using our tool. Rather, we encourage researchers to use our tool carefully <sup>13</sup>  
<sup>14</sup>as a guide, integrating evidence from multiple sources to inform the most likely <sup>14</sup>  
<sup>15</sup>hypotheses. As with any other tool based on statistical sampling, our analysis is <sup>15</sup>  
<sup>16</sup>most vulnerable to bias in the data collection stage. For example, we know that <sup>16</sup>  
<sup>17</sup>tissue expression reports are negatively biased against germline expression due to <sup>17</sup>  
<sup>18</sup>the difficulty associated with extrachromosomal array expression in that tissue. <sup>18</sup>  
<sup>19</sup>Support from the community will be crucial in correcting these flaws going forward; <sup>19</sup>  
<sup>20</sup>indeed, without the community reports of tissue expression this tool would not be <sup>20</sup>  
<sup>21</sup>possible. <sup>21</sup>

## <sup>22</sup> **Methods** <sup>23</sup>

### <sup>24</sup> **Fetching annotation terms** <sup>25</sup>

<sup>26</sup> We used the WormBase gene expression data, which includes annotated descriptions <sup>26</sup>  
<sup>27</sup>of spatial-temporal expression patterns of genes, to build our dictionary. Gene lists <sup>27</sup>  
<sup>28</sup>per anatomy term were extracted from a solr document store of gene expression data <sup>28</sup>  
<sup>29</sup>from the WS252 database provided by WormBase (PMID: 26578572). We used the <sup>29</sup>  
<sup>30</sup>solr document store because it provided a convenient access to expression data that <sup>30</sup>  
<sup>31</sup>included inferred annotations. That is, for each anatomy term, the expression gene <sup>31</sup>  
<sup>32</sup>list includes genes that were directly annotated to the term, as well as those that <sup>32</sup>  
<sup>33</sup>were annotated to the term’s descendant terms (if there were any). Descendant <sup>33</sup>

<sup>1</sup>terms were those connected with the focus term by is\_a/part\_of relationship chains<sup>1</sup>

<sup>2</sup>defined in the anatomy term ontology hierarchy.

3

#### 4 Filtering nodes

### 5 Defining a Similarity Metric

<sup>6</sup>In order to identify redundant sisters, we defined the following similarity metric:

$$s_i = \frac{|g_i|}{|\bigcup_{i=0}^k g_i|} \quad (2)$$

<sup>9</sup>Where  $s_i$  is the similarity for a tissue  $i$  with  $k$  sisters;  $g_i$  refers to the set of tissues<sup>9</sup>

<sup>10</sup> associated with tissue  $i$  and  $|g|$  refers to the cardinality of set  $g$ . For a given set<sup>10</sup>

<sup>11</sup>of sisters, we called them redundant if they exceeded a given similarity threshold.<sup>11</sup>

<sup>12</sup>We envisioned two possible criteria and built different dictionaries using each one.<sup>12</sup>

<sup>13</sup>Under a threshold criterion ‘any’ with parameter  $S$  between  $(0, 1)$ , a given set of<sup>13</sup>

<sup>14</sup>sisters  $j$  was considered redundant if the condition

$$s_{i,j} > S \tag{3}$$

17 17

was true for any sister  $i$  in set  $j$ . Under a threshold criterion ‘avg’ with parameter

$S$ , a given set of sisters  $j$  was considered redundant if the condition

$$\mathbb{E}[s_i]_j > S \quad (4)$$

<sup>22</sup>was true for the set of sisters  $j$  (see Figure 1a).

23

## Implementation

All scripts were written in Python. Our software relies on the Pandas, Numpy,

Seaborn and SciPy modules to perform all statistical testing and data handling

 $[12, 17, 26]$ .

## <sup>28</sup> Availability of data and materials

<sup>29</sup> Our web implementation is available at <https://www.WormBase.org/tea>. Our soft-<sup>29</sup>

ware can also be downloaded using Python’s pip installer via the command

```
31 pip install tissue_enrichment_tool
```

<sup>32</sup> Alternatively, our software is available for download at:

<sup>33</sup> <http://dangeles.github.io/TissueEnrichmentAnalysis>

<sup>1</sup> All benchmark gene sets, benchmarking code and Figures can also be found at<sup>1</sup>  
<sup>2</sup> the same address, under the ‘tests’ folder.

<sup>3</sup>

#### <sup>4</sup>Competing interests

<sup>5</sup> The authors declare that they have no competing interests.

#### <sup>6</sup>Author’s contributions

DA and PWS conceived of the project; DA developed algorithm; RYL made intellectual contributions to the project;

<sup>7</sup> RYL and JC developed the web GUI.

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<sup>9</sup> We thank Justin Bois for his help and support. We would like to acknowledge all members of the Sternberg lab for <sup>9</sup>  
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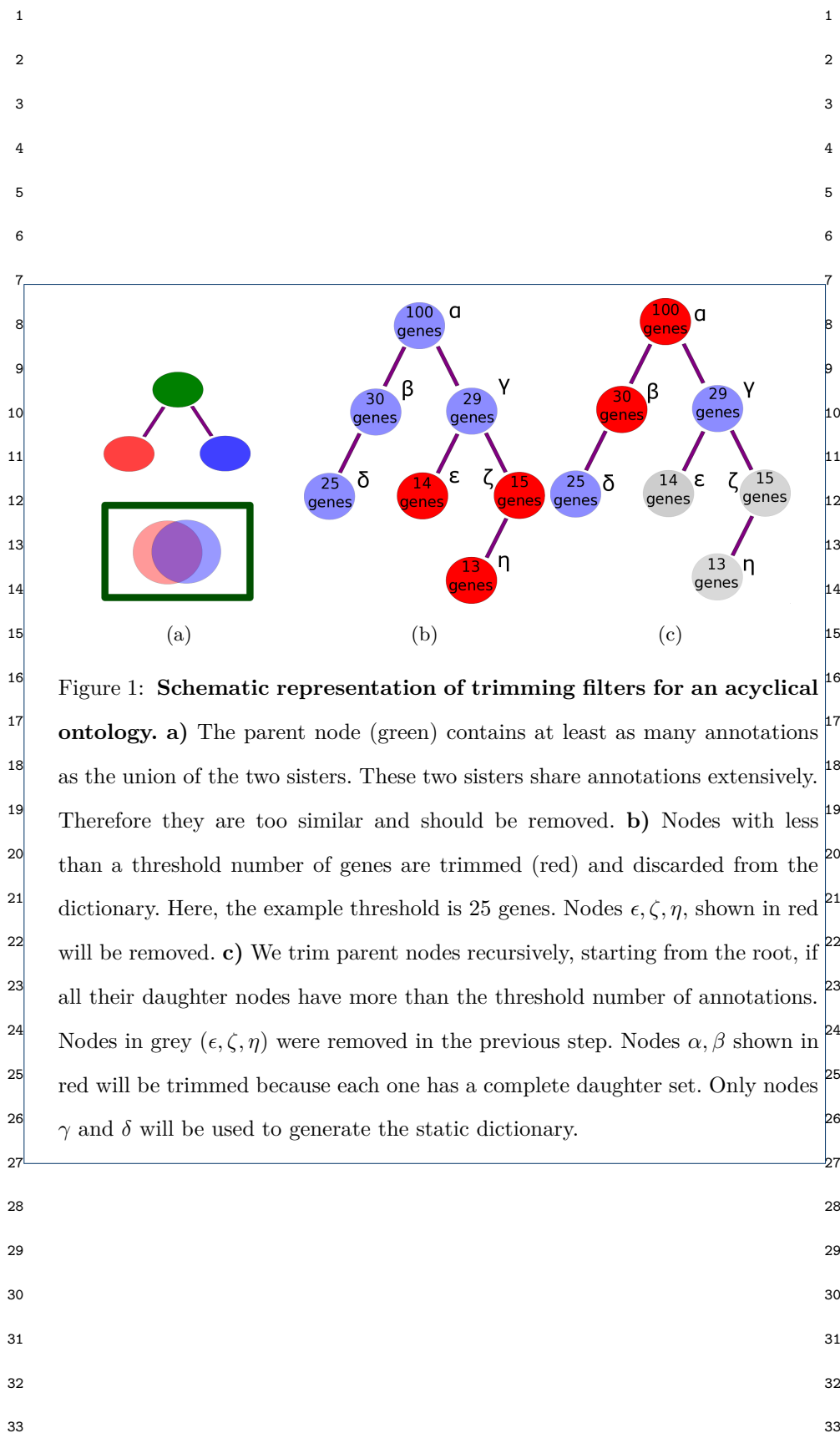
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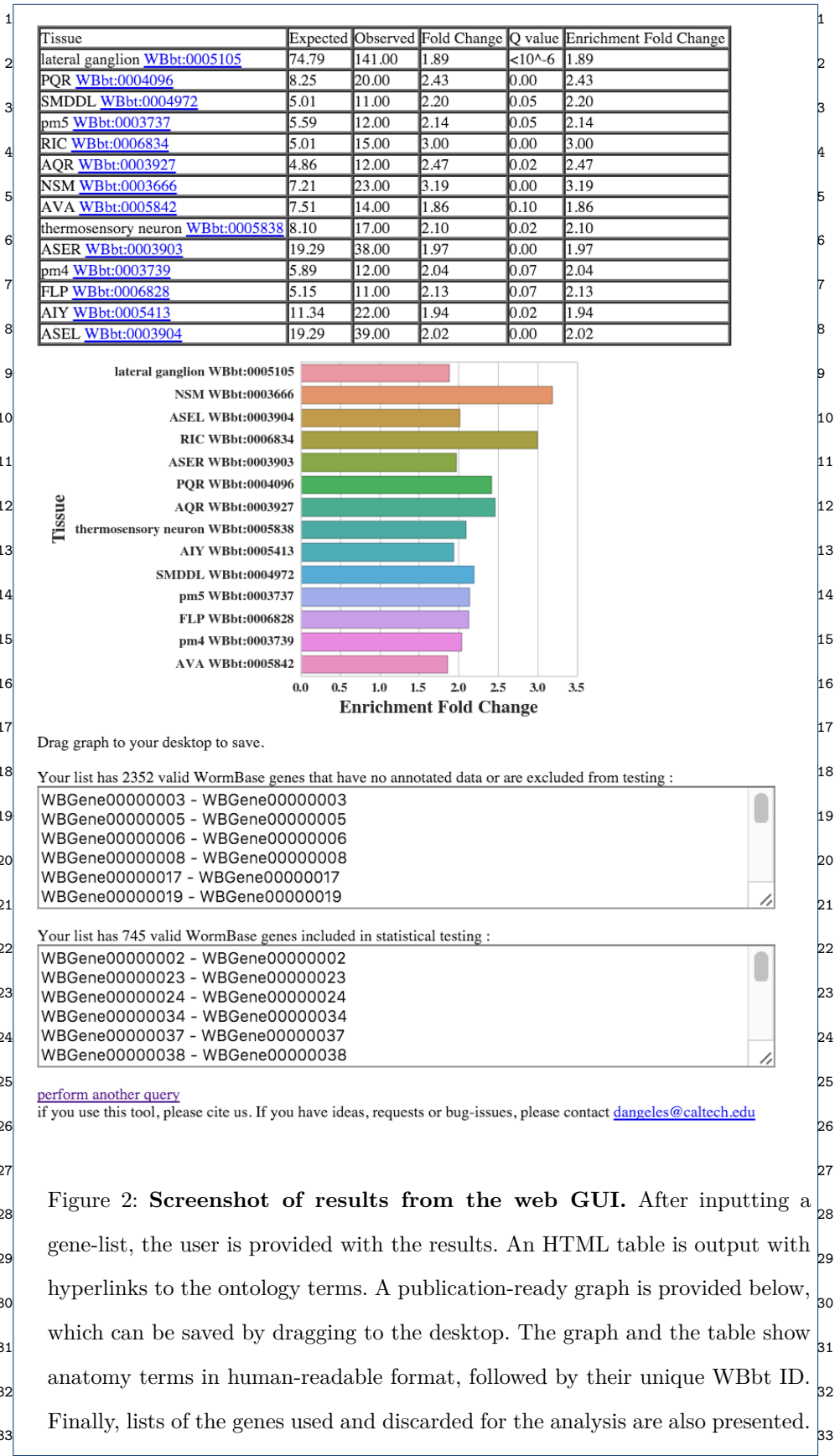
## Figures

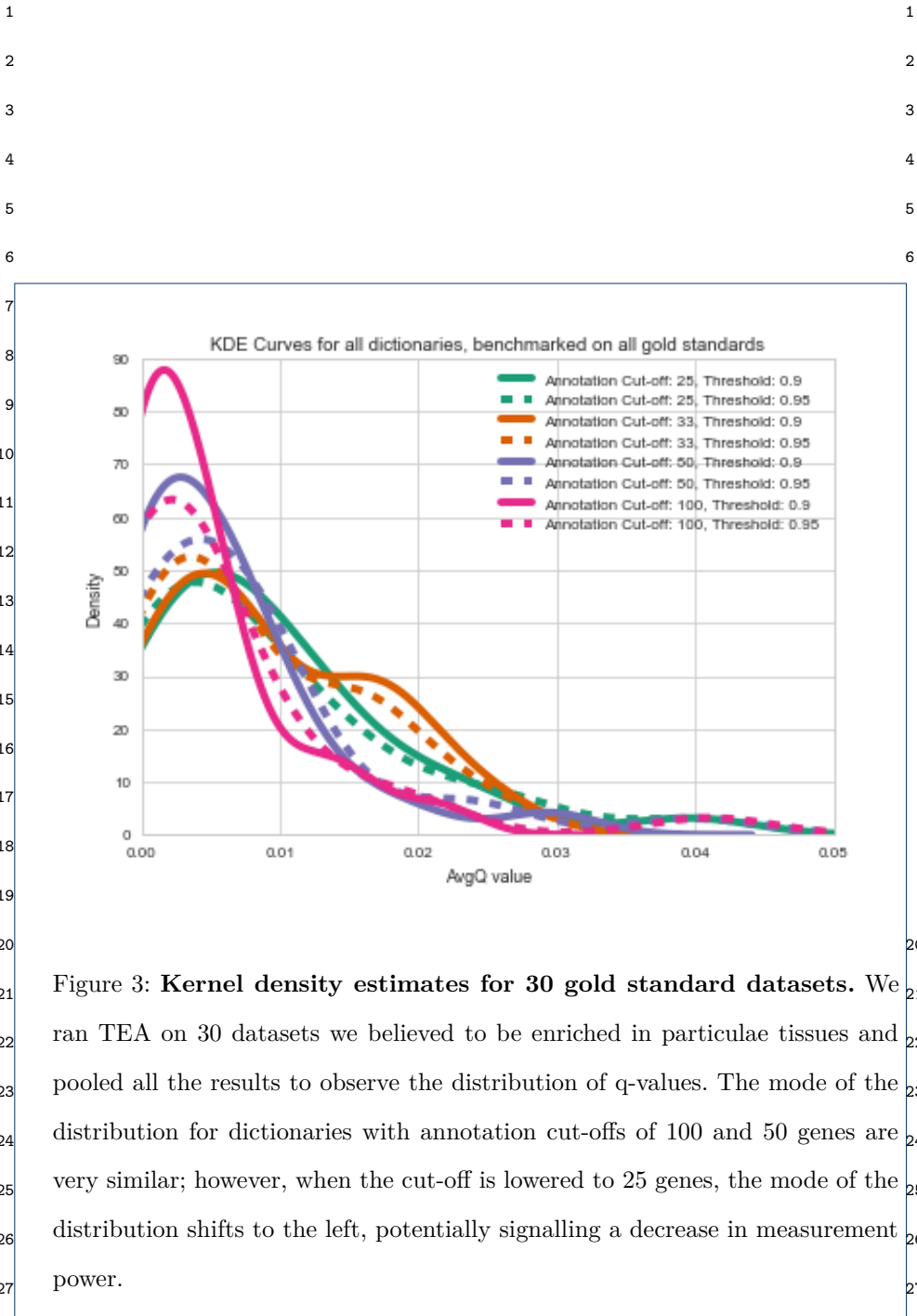
## Tables

### Additional Files

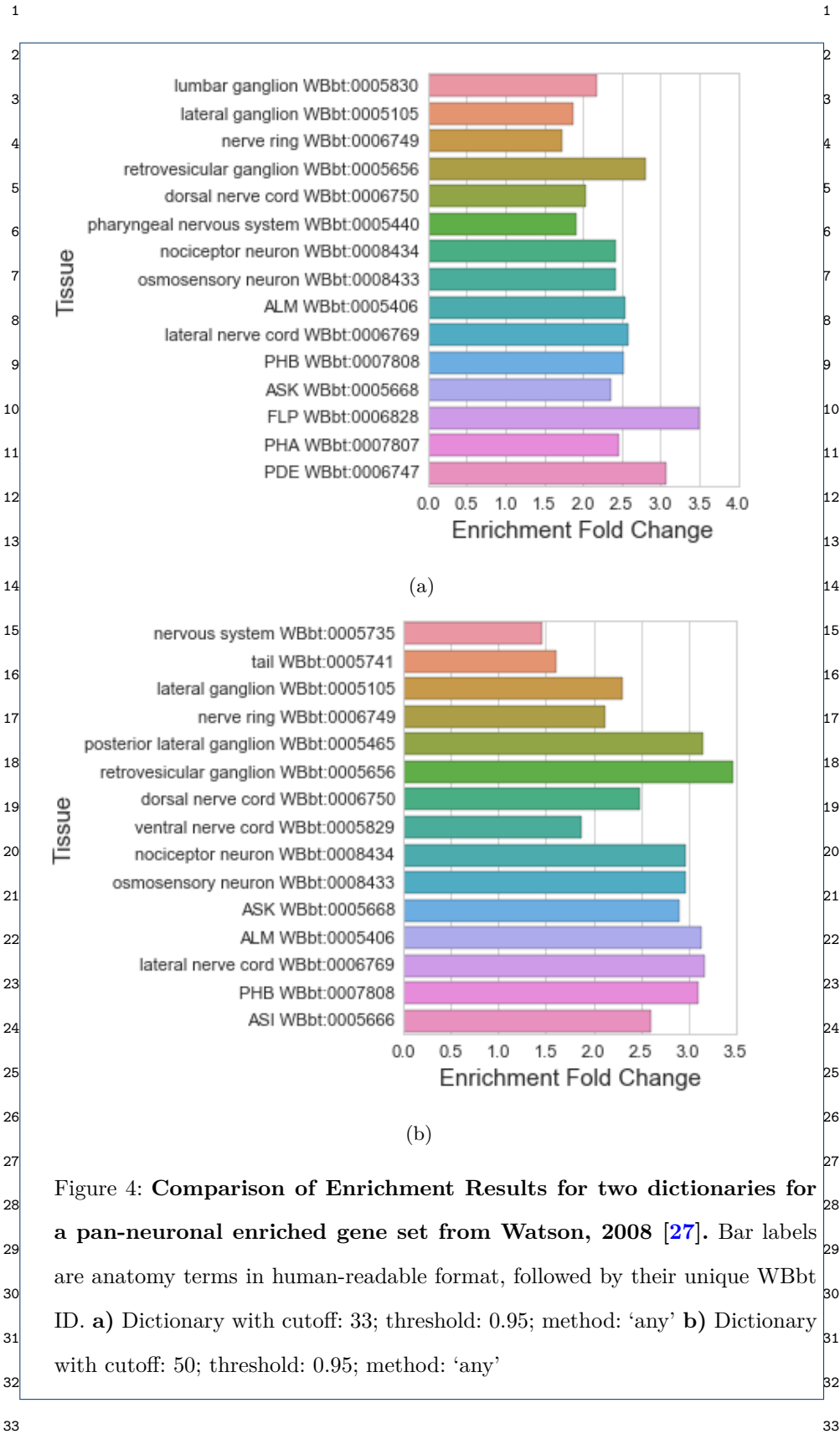
- Additional file 1 — IPython Notebook
- Tutorial for users interested in using our software within a python script

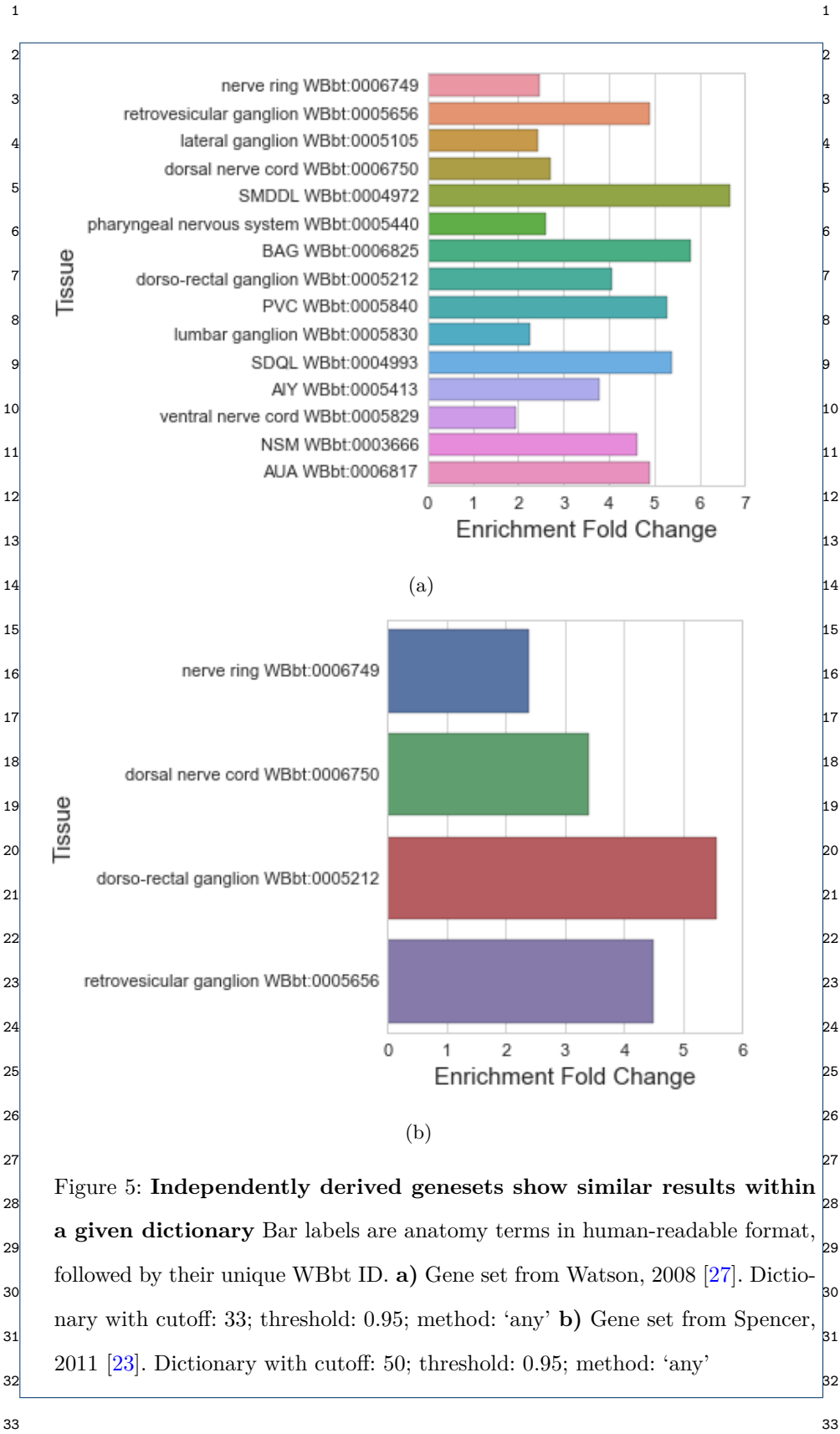


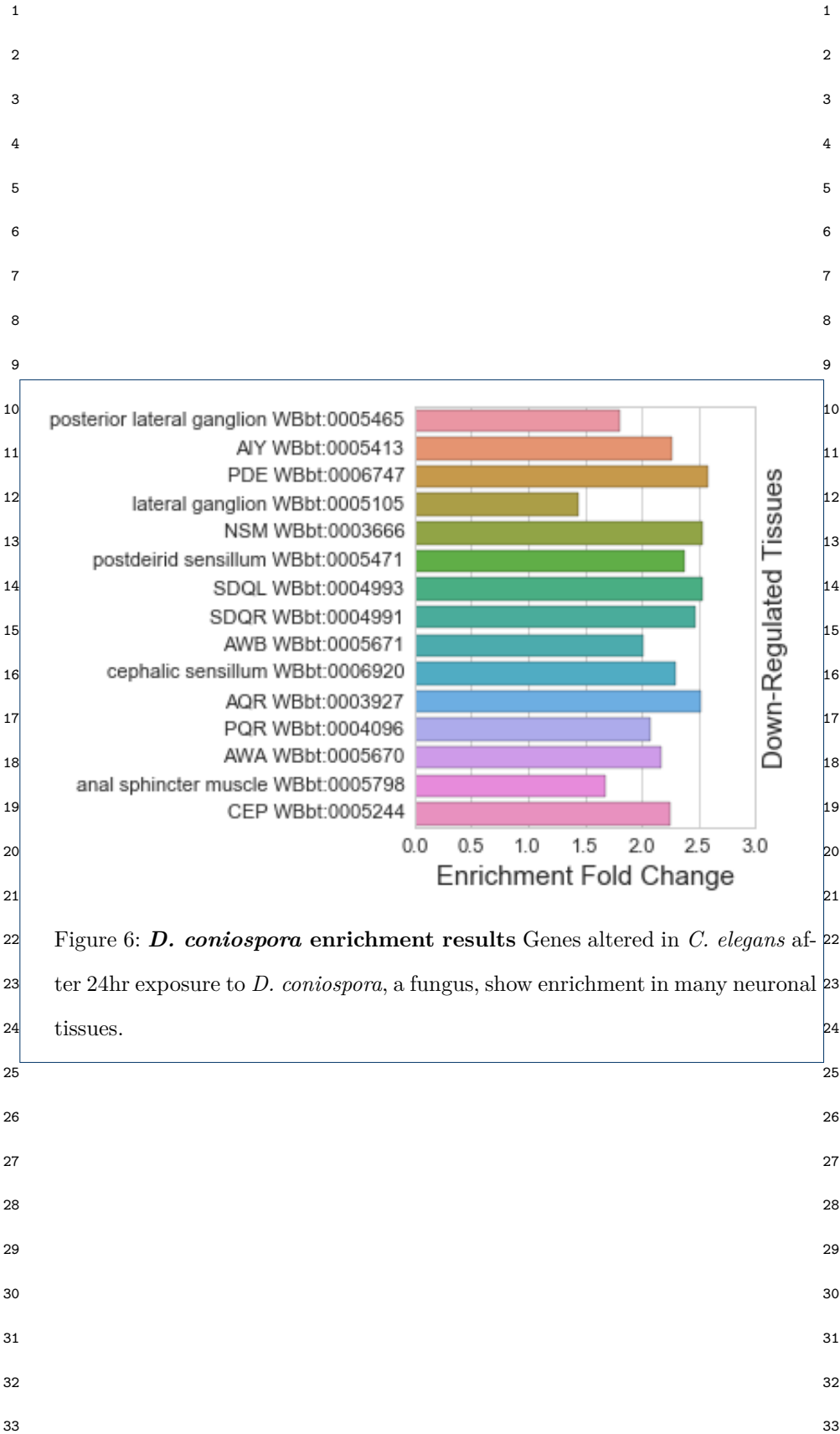












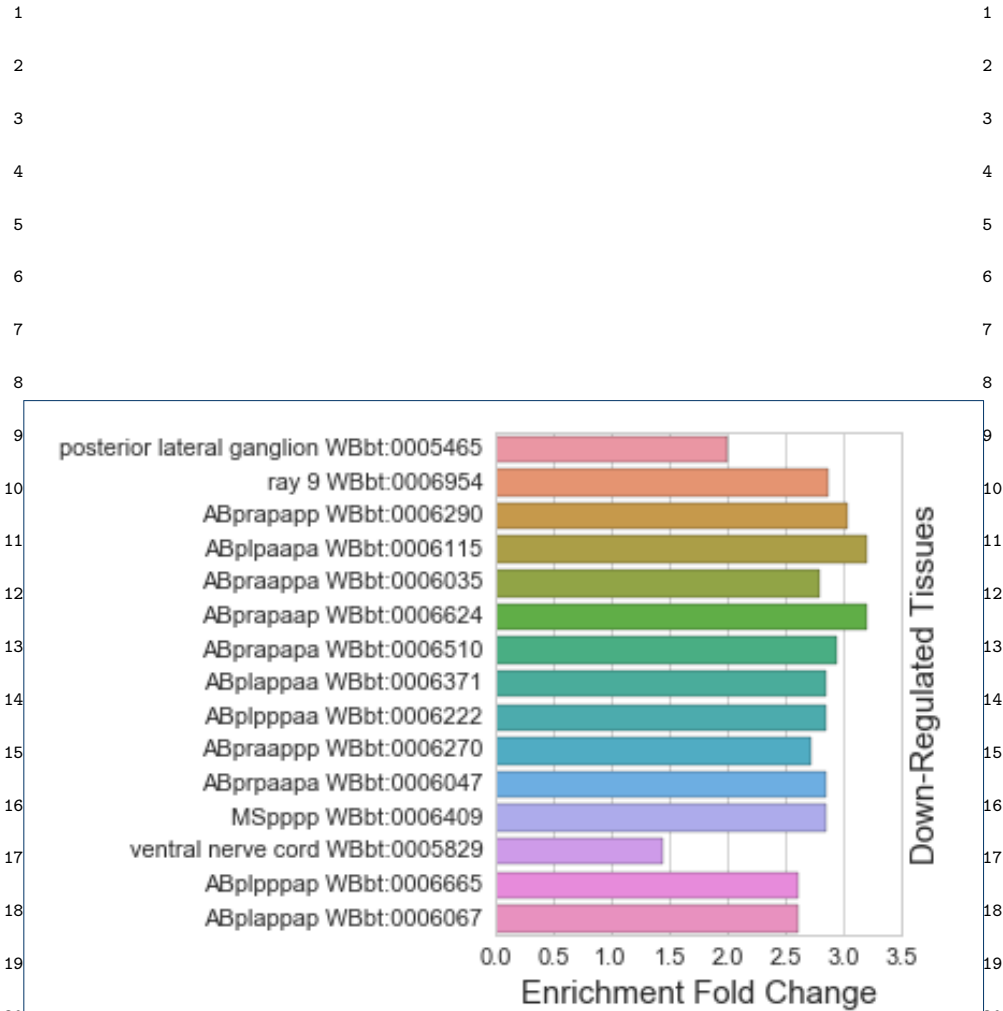


Figure 7: *Harposporium sp.* enrichment results Genes altered in *C. elegans* after 24hr exposure to *Harposporium sp.* a fungus, shows enrichment in the posterior lateral ganglion, similarly to *D. coniospora*. This particular fungus seems to affect genes normally associated with neuronal precursor tissues as well.

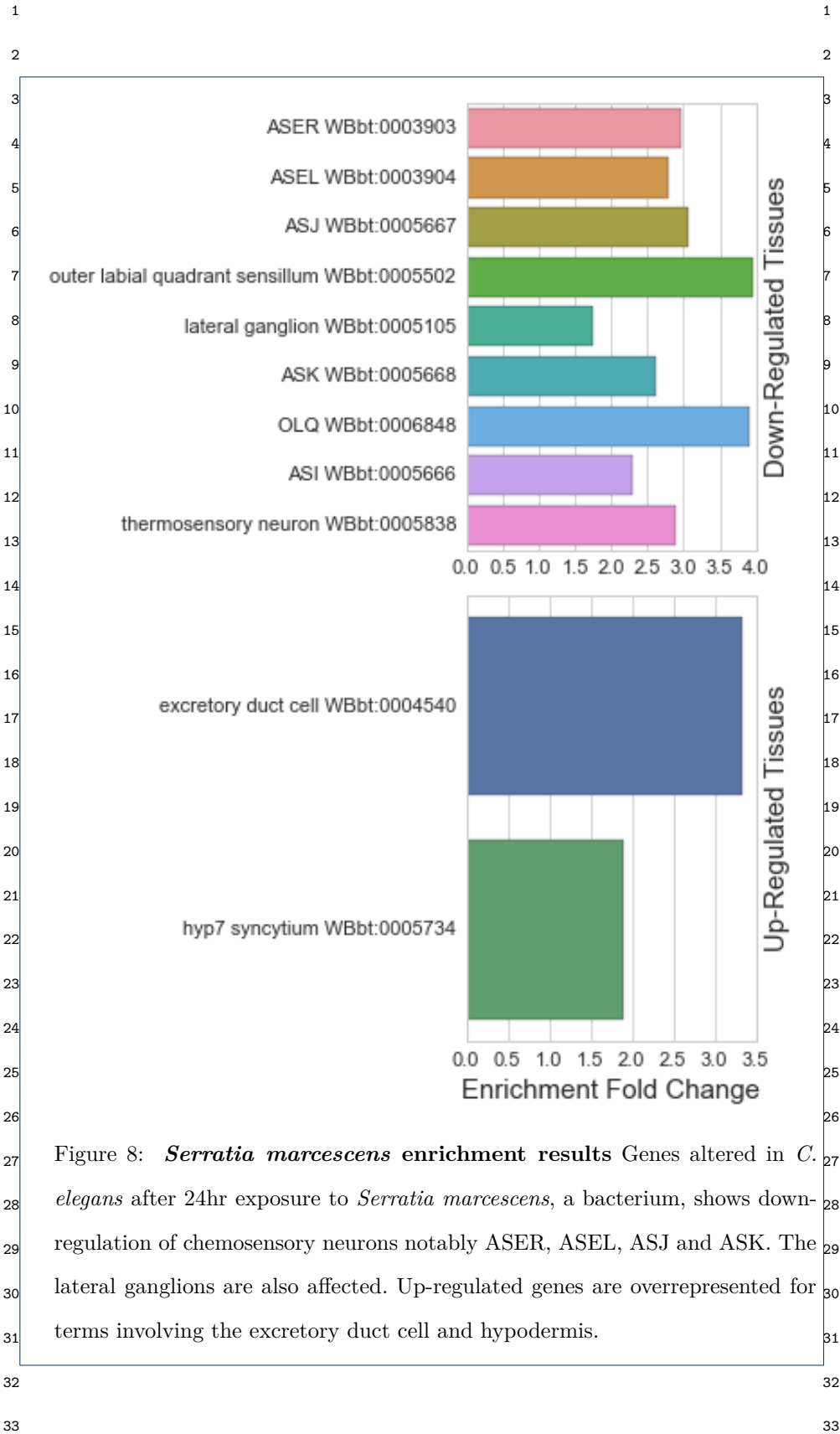
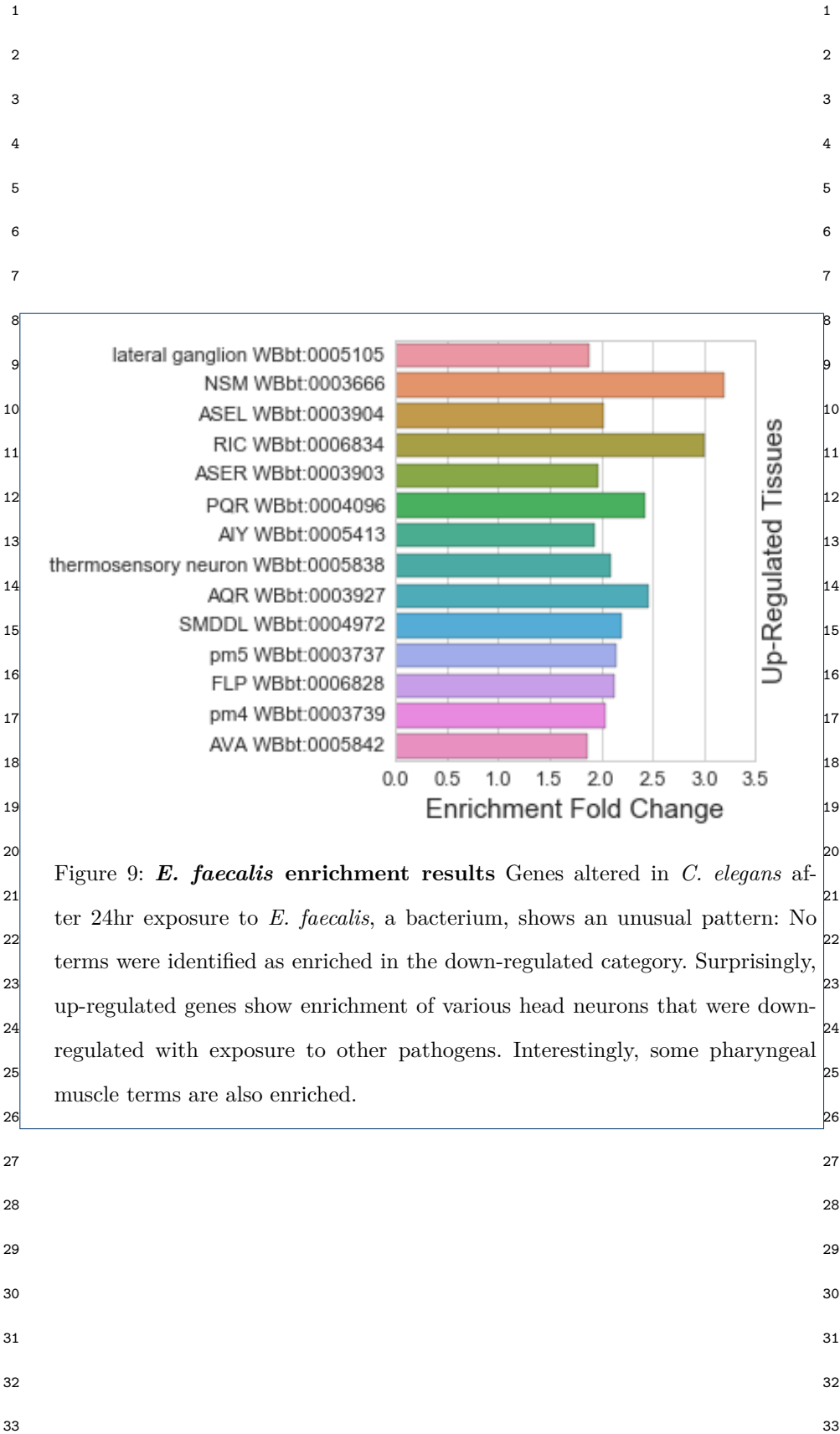


Figure 8: *Serratia marcescens* enrichment results Genes altered in *C. elegans* after 24hr exposure to *Serratia marcescens*, a bacterium, shows down-regulation of chemosensory neurons notably ASER, ASEL, ASJ and ASK. The lateral ganglions are also affected. Up-regulated genes are overrepresented for terms involving the excretory duct cell and hypodermis.



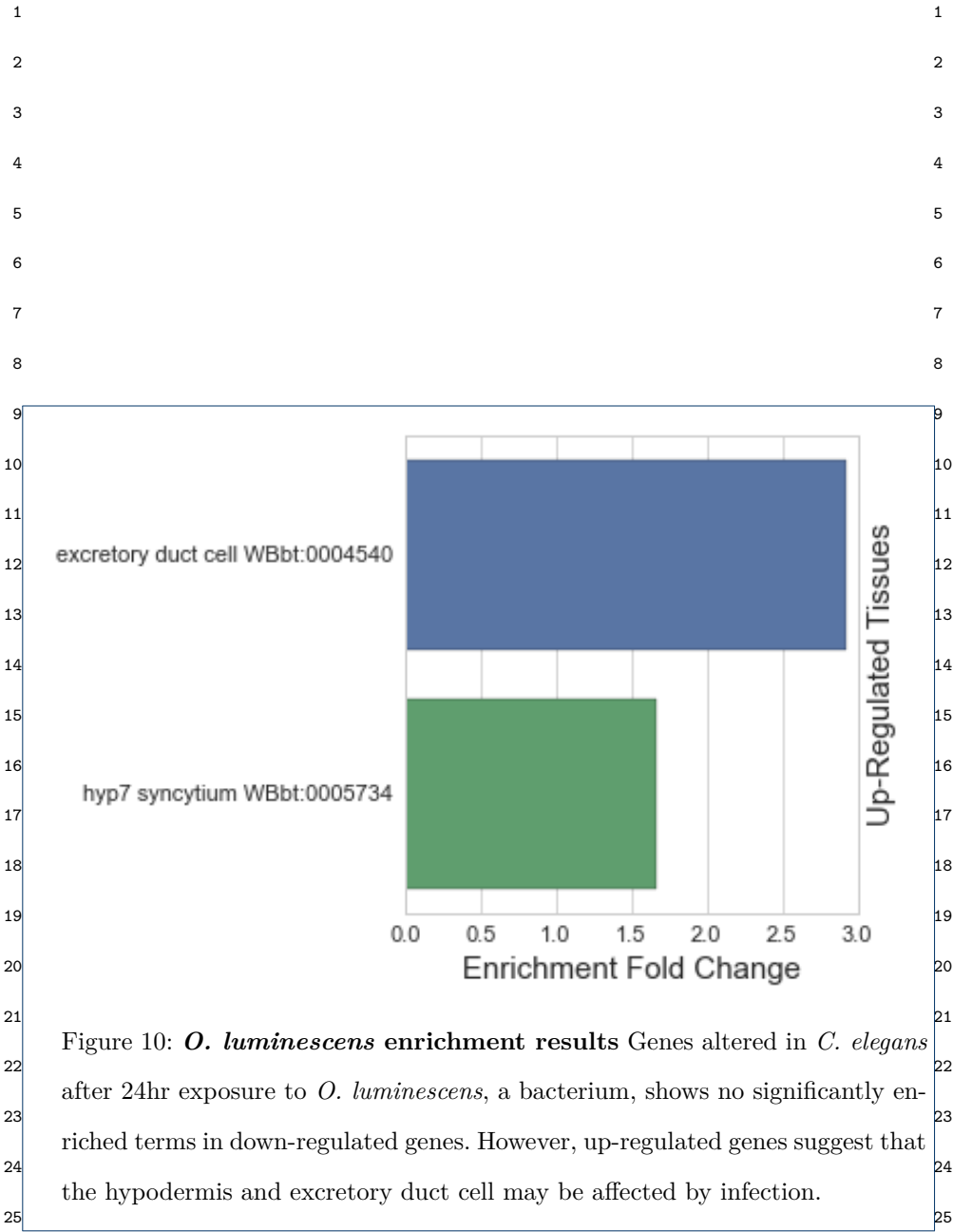


Table 1: Parameter specifications and number of tissues for all dictionaries. The ‘Method’ column refers to the trimming criterion for the similarity metric. We used two such criteria, ‘any’ and ‘avg’. ‘any’: For a given sister set, if any sister had a similarity exceeding the corresponding threshold, all sisters were removed from the final dictionary. ‘avg’: For a given sister set, if the average similarity across all the sisters in the set was greater than the corresponding threshold, all sisters were removed from the final dictionary.

| No. Of Annotations | Threshold | Method | No. Of Tissues in Dictionary |
|--------------------|-----------|--------|------------------------------|
| 25                 | 0.9       | any    | 460                          |
| 25                 | 0.9       | avg    | 461                          |
| 25                 | 0.95      | any    | 466                          |
| 25                 | 0.95      | avg    | 468                          |
| 25                 | 1.0       | any    | 476                          |
| 25                 | 1.0       | avg    | 476                          |
| 33                 | 0.9       | any    | 261                          |
| 33                 | 0.9       | avg    | 255                          |
| 33                 | 0.95      | any    | 261                          |
| 33                 | 0.95      | avg    | 262                          |
| 33                 | 1.0       | any    | 247                          |
| 33                 | 1.0       | avg    | 247                          |
| 50                 | 0.9       | any    | 83                           |
| 50                 | 0.9       | avg    | 77                           |
| 50                 | 0.95      | any    | 82                           |
| 50                 | 0.95      | avg    | 81                           |
| 50                 | 1.0       | any    | 70                           |
| 50                 | 1.0       | avg    | 70                           |
| 100                | 0.9       | any    | 45                           |
| 100                | 0.9       | avg    | 35                           |
| 100                | 0.95      | any    | 42                           |
| 100                | 0.95      | avg    | 36                           |
| 100                | 1.0       | any    | 21                           |
| 100                | 1.0       | avg    | 21                           |