

Q:

## HW3- Theory

A 1-D stride-2-convolution layer is applied twice sequentially to an input 1-D tensor, with the same filter denoted as  $k_2$ . The input is padded with zeros, only once before the sequence of two convolution layers is applied, so that the final output size is exactly  $1/4$  of the input size. Find an equivalent filter  $k_4$  that when applied just once with a stride-4 convolution layer and the same padding, yields the exact same result.

Guidance: Recall that convolution layer (with appropriate padding) actually performs cross-correlation- discrete non-circular convolution with the **flipped** filter. Also note that a convolution with stride is equivalent to a convolution followed by subsampling. Thus,  $k_4$  is defined by:

$$x * \tilde{k}_4 \downarrow_4 = (x * \tilde{k}_2 \downarrow_2) * \tilde{k}_2 \downarrow_2$$

where  $\tilde{k}$  is flipped  $k$  and  $\downarrow_s$  means subsampling by a factor of  $s$  (e.g., subsampling by 2 means taking every second value).

Hint: use the notion of dilated convolution.

Note: Perfect score will be obtained by a full formal proof. However, correct final answer with a reasonable explanation will be considered too.

*Solution:*

Let us first denote  $n \in \mathbb{N}$  our signal length, and our signal as  $x \in \mathbb{R}^n$ . We shall also denote our kernel as  $k_2 \in \mathbb{R}^c$   $c \in \mathbb{N}$ . From the guidance we know we should use the definition of  $\tilde{k}$  to describe convolution as per the definition.

Let us look at:

$$(x * \tilde{k}_2)(u) = \sum_{m=-\infty}^{\infty} x(m) \cdot \tilde{k}_2(u - m)$$

In order for us to maintain the size of the input we pad the image with zeroes. The size of the padding in general is half the size of the kernel -  $\frac{c}{2}$ . And so:

$$= \sum_{m=-\frac{c}{2}}^{n+\frac{c}{2}} x(m) \cdot \tilde{k}_2(u - m)$$

Now, recall that our operator also uses subsampling by a factor of 2, denoted by the operator  $\downarrow_2$ .

And so Let us look at:

$$\sum_{m=-\frac{c}{2}}^{n+\frac{c}{2}} x(m) \cdot \widetilde{k}_2(u-m) \downarrow_2 = \sum_{m \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} x(m) \cdot \widetilde{k}_2(u-m)$$

Now let's look at our final expression we were actually asked to compute:

$$(x \star \widetilde{k}_2 \downarrow_2 \star \widetilde{k}_2 \downarrow_2)(u) = \sum_{m=-\frac{c}{2}}^{n+\frac{c}{2}} \left[ \sum_{t \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} x(t) \cdot \widetilde{k}_2(u-t) \right] \cdot \widetilde{k}_2(u-m) \downarrow_2$$

Recall that convolution is symmetric such that  $f \star g = g \star f$ , and so we can write:

$$= \sum_{m \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} \widetilde{k}_2(m) \cdot \left[ \sum_{t \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} x(t) \cdot \widetilde{k}_2(u-t-m) \right]$$

We are almost at the notation of convolution, all that's missing is that we change the order of the terms. Luckily we can change the order of summation here (discrete Fubini?):

$$= \sum_{t \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} x(t) \cdot \left[ \sum_{m \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} \widetilde{k}_2(m) \cdot \widetilde{k}_2(u-t-m) \right]$$

Now, notice that this is in fact in convolution notation, and that since both summations go over even indices, we get that the signal  $x$  is reduced by a scale of 4 – equivalent of using the  $\downarrow_4$ .

And so, we can write:

$$\widetilde{k}_4(u) = \left[ \sum_{m \in \{[-\frac{c}{2}, n+\frac{c}{2}] \bmod_2\}} \widetilde{k}_2(m) \cdot \widetilde{k}_2(u-t-m) \right] \forall u \text{ s.t. } u \bmod_4 = 0$$

And finally:

$$(x \star \widetilde{k}_2 \downarrow_2 \star \widetilde{k}_2 \downarrow_2)(u) = (x \star \widetilde{k}_4 \downarrow_4)(u)$$