

# Case Study

We use the COMPAS dataset as an example, which is to assess the likelihood of a criminal defendant re-offending (label 1 means high risk and label 0 means low risk). It has 12 attributes with **race the sensitive one**. The following lists each attribute name and its meaning.

Attribute	Description
sex	0: “Female”; 1: “Male”
age	0: “25-45”; 1: “Greater than 45”; 2: “Less than 25”
<b>race</b>	<b>0: “African-American”; 1: “Caucasian”</b>
juv_fel_count (JFC)	a continuous variable containing the number of juvenile felonies
juv_misd_count (JMC)	a continuous variable containing the number of juvenile misdemeanors
juv_other_count (JOC)	a continuous variable containing the number of prior juvenile convictions that are not considered either felonies or misdemeanors
priors_count (PC)	a continuous variable containing the number of prior crimes committed
days_b_screening_arrest (DBSA)	days between the arrest and COMPAS screening (a negative value means the screening is taken before the arrest)
jail_time (JT)	the total number of days arrested in jail
date_dif_in_jail (DDIJ)	the date interval between two times arrested in jail
charge_degree (CD)	0: “Felony”; 1: “Misdemeanor charge”
is_recid (IR)	“not a recidivist”; 1: “a recidivist”

The following shows a few example pairs of original samples and our generated adversarial samples. Each table has three rows. The first row denotes the attributes, the second row the original sample, and the last row the generated adversarial sample. The last column presents the predicted label of the sample.

## Case 1

Attribute	sex	age	<b>race</b>	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	0	<b>1</b>	0	0	0	5	0	6	7	0	0	0
Adversarial	1	1	<b>0</b>	0	0	0	4	1	5	6	0	0	1

In this case, six attributes are perturbed, namely, age, race, priors\_count, days\_b\_screening\_arrest, jail\_time, and date\_dif\_in\_jail, respectively. Observe that the sensitive attribute race is changed from “Caucasian” to “African-American”. Other attributes in the adversarial example are similar to those in the original sample. Values for priors\_count and jail\_time of the adversarial sample are smaller than those of the original sample. This adversarial sample is predicted as label 1 by the model, meaning the defendant has high risk, which does not seem reasonable compared to the original sample. We hence use label 0 during training.

## Case 2

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	0	<b>0</b>	0	0	0	0	-1	41	41	0	0	0
Adversarial	0	0	<b>1</b>	0	0	0	0	-2	40	42	0	0	1

In this case, the perturbed attributes are sex, race, days\_b\_screening\_arrest, jail\_time, and date\_dif\_in\_jail, respectively. Observe that the race attribute is changed from “African-American” to “Caucasian”. The value of jail\_time is reduced. The date interval (date\_dif\_in\_jail) is larger between two times arrested in jail. The predicted label for this adversarial sample is 1 (high risk), different from the original label. We use label 0 for training.

### Case 3

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	0	<b>0</b>	0	0	0	6	-1	2	3	0	1	0
Adversarial	1	1	<b>1</b>	0	0	0	5	0	1	2	0	0	1

In this case, the perturbed attributes are age, race, priors\_count, days\_b\_screening\_arrest, jail\_time, date\_dif\_in\_jail, and is\_recid, respectively. Observe that the race attribute is changed from “African-American” to “Caucasian”. The values of priors\_count and jail\_time are decreased. The is\_recid notes the defendant is “not a recidivist”. But the model predicts label 1 (high risk) for this adversarial sample. We use label 0 for training.

### Case 4

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	2	<b>0</b>	0	0	0	0	0	22	23	0	1	0
Adversarial	1	2	<b>1</b>	0	0	0	0	-1	21	24	0	0	1

In this case, the perturbed attributes are race, days\_b\_screening\_arrest, jail\_time, date\_dif\_in\_jail, and is\_recid, respectively. Observe that the race attribute is changed from “African-American” to “Caucasian”. The value of jail\_time is decreased. The date interval (date\_dif\_in\_jail) is larger between two times arrested in jail. The is\_recid notes the defendant is “not a recidivist”. But the model predicts label 1 (high risk) for this adversarial sample, which does not seem reasonable. We use label 0 for training.

### Case 5

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	0	<b>0</b>	0	0	0	4	-1	1	1	0	1	0
Adversarial	1	1	<b>1</b>	0	0	0	3	0	0	0	0	0	1

In this case, the perturbed attributes are age, race, priors\_count, days\_b\_screening\_arrest, jail\_time, date\_dif\_in\_jail, and is\_recid, respectively. Observe that the race attribute is changed from “African-American” to “Caucasian”. The values of priors\_count and jail\_time are decreased. The is\_recid notes the defendant is “not a recidivist”. But the model predicts label 1 (high risk) for this adversarial sample, which does not seem reasonable. We use label 0 for training.

### Case 6

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	0	0	<b>1</b>	0	0	0	0	0	0	0	1	0	1
Adversarial	0	0	<b>0</b>	0	1	0	-1	-1	1	0	0	1	0

In this case, the perturbed attributes are race, juv\_other\_count, days\_b\_screening\_arrest, jail\_time, charge\_degree, and is\_recid, respectively. Observe that the race attribute is changed from “Caucasian” to “African-American”. The values of juv\_other\_count and jail\_time are increased. Attribute charge\_degree is changed from “Misdemeanor charge” to “Felony” and attribute is\_recid is changed to “recidivist”. However, the predicted label for this adversarial sample is 0 (low risk), which does not seem reasonable. We hence use label 1 during training.

### Case 7

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	2	<b>1</b>	0	0	1	0	0	0	0	1	1	1
Adversarial	1	1	<b>0</b>	0	0	2	0	1	0	1	0	1	0

In this case, the perturbed attributes are age, race, juv\_other\_count, days\_b\_screening\_arrest, date\_dif\_in\_jail, and charge\_degree, respectively. Observe that the race attribute is changed from “Caucasian” to “African-American”. The value of juv\_other\_count is increased. The charge\_degree is also changed to “Felony”. But the model predicts label 0 (low risk) for this adversarial sample, which does not seem reasonable. We use label 1 for training.

### Case 8

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	2	<b>0</b>	0	0	3	0	0	0	0	0	1	0
Adversarial	1	2	<b>1</b>	0	0	2	0	-1	0	1	0	0	1

In this case, the perturbed attributes are race, juv\_other\_count, days\_b\_screening\_arrest, date\_dif\_in\_jail, and is\_recid, respectively. Observe that the race attribute is changed from “African-American” to “Caucasian”. The value of juv\_other\_count is decreased. The is\_recid attribute notes the defendant is “not a recidivist”. But the model predicts label 1 (high risk) for this adversarial sample, which does not seem reasonable. We use label 0 for training.

### Case 9

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	1	0	<b>0</b>	0	0	0	0	-1	33	33	0	1	1
Adversarial	0	0	<b>1</b>	0	0	0	0	-2	34	32	0	0	0

In this case, the perturbed attributes are sex, race, days\_b\_screening\_arrest, jail\_time, date\_dif\_in\_jail, and is\_recid, respectively. Observe that the race attribute is changed from “African-American” to “Caucasian”. The value of jail\_time is increased. But the model predicts label 0 (low risk) for this adversarial sample. We use label 1 for training.

### Case 10

Attribute	sex	age	race	JFC	JMC	JOC	PC	DBSA	JT	DDIJ	CD	IR	Label
Original	0	0	<b>1</b>	0	0	0	0	-4	0	1	0	0	1
Adversarial	1	0	<b>0</b>	1	1	0	0	-3	0	0	0	1	0

In this case, the perturbed attributes are sex, race, juv\_fel\_count, juv\_misd\_count, days\_b\_screening\_arrest, date\_dif\_in\_jail, and is\_recid, respectively. Observe that the race attribute is changed from “Caucasian” to “African-American”. The values of juv\_fel\_count and juv\_misd\_count are increased. The is\_recid notes the defendant is “a recidivist”. But the model predicts label 0 (low risk) for this adversarial sample, which does not seem reasonable. We use label 1 for training.