

## Folding and Misfolding of Human Membrane Proteins in Health and Disease: From Single Molecules to Cellular Proteostasis

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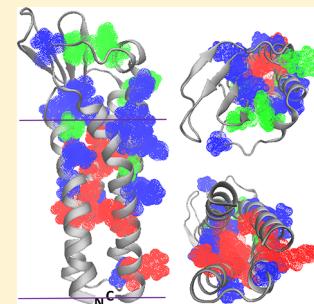
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**ABSTRACT:** Advances over the past 25 years have revealed much about how the structural properties of membranes and associated proteins are linked to the thermodynamics and kinetics of membrane protein (MP) folding. At the same time biochemical progress has outlined how cellular proteostasis networks mediate MP folding and manage misfolding in the cell. When combined with results from genomic sequencing, these studies have established paradigms for how MP folding and misfolding are linked to the molecular etiologies of a variety of diseases. This emerging framework has paved the way for the development of a new class of small molecule “pharmacological chaperones” that bind to and stabilize misfolded MP variants, some of which are now in clinical use. In this review, we comprehensively outline current perspectives on the folding and misfolding of integral MPs as well as the mechanisms of cellular MP quality control. Based on these perspectives, we highlight new opportunities for innovations that bridge our molecular understanding of the energetics of MP folding with the nuanced complexity of biological systems. Given the many linkages between MP misfolding and human disease, we also examine some of the exciting opportunities to leverage these advances to address emerging challenges in the development of therapeutics and precision medicine.



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particular importance for understanding how MPs fold in the context of living cells. We also examine recent progress in the myriad of studies devoted to identifying the key molecular players for managing MP folding and misfolding *in vivo*. In particular, we focus on the chaperones and other proteins that comprise the folding quality control system of the endoplasmic reticulum (ER), which serves as the primary site of MP assembly in eukaryotic cells. Finally, we examine how MP misfolding under physiological conditions contributes to numerous diseases and examine emerging chemical biology and medicinal chemistry approaches that directly address defects in MP folding to treat these diseases. It is hoped that this review will stimulate cross-talk between traditionally disparate areas of study, resulting in synergy that results in true “bench to bedside” progress that both illuminates the detailed chemical basis for key life processes and also is of great benefit to humankind.

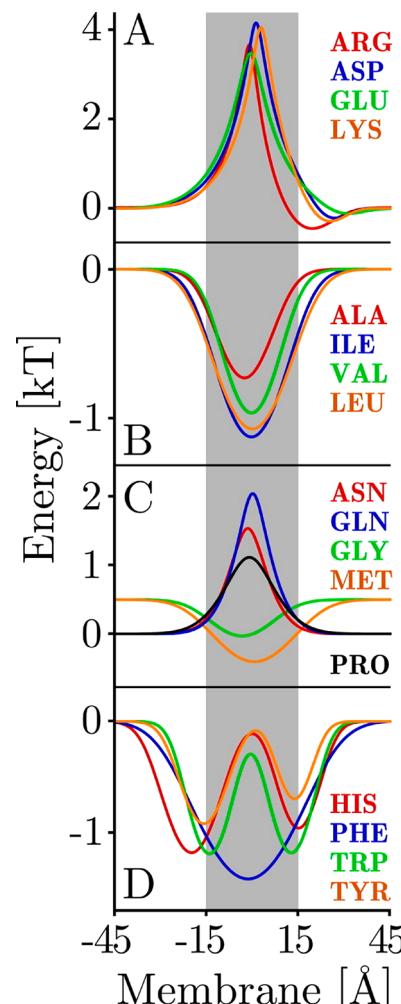
## 2. INTRINSIC DIFFERENCES BETWEEN MEMBRANE PROTEINS AND WATER-SOLUBLE PROTEINS

### 2.1. The Membrane Environment and the Native Structures of Membrane Proteins

Natively folded MPs adopt conformational states that are partly, or in some cases nearly completely, embedded within the membrane. With a handful of important exceptions (such as the cyclooxygenases and caveolins),<sup>32–34</sup> the vast majority of mammalian MPs have at least one segment that spans the bilayer. These transmembrane (TM) segments typically consist of an  $\alpha$  helix with a hydrophobic stretch of 18–28 amino acids flanked by polar residues.<sup>35–37</sup>  $\beta$  Barrel MPs composed of antiparallel transmembrane beta sheets are found in mitochondrial and prokaryotic outer membranes. For both classes of MPs, polar side chains near the edge of TM domains interact with lipid head groups and water molecules in a manner that helps stabilize their native topological orientation in the membrane.<sup>38,39</sup> Energetic barriers associated with the translocation of these and other polar groups across membrane is likely to prohibit many topological rearrangements.<sup>40</sup> With some notable exceptions (see section 3.7), it seems likely that few helical MPs are capable of efficient spontaneous insertion across the bilayer. This energetic constraint restricts the number of topological orientations that are kinetically accessible to integral MPs.<sup>41</sup> In most cases, the native topology (or something close to it) must be established cotranslationally with the assistance of the Sec translocon protein complex (see section 4.1) or a related membrane-integrative system such as Tim/Tom mitochondrial membrane translocases. The Sec translocon effectively circumvents the insertion barrier by providing hydrophobic segments access to the membrane core through a lateral opening within its transbilayer pore.<sup>42</sup> This represents one of enabling strategies developed by nature to allow polypeptides to fold to a functional state within lipid bilayers on biologically relevant time scales.

After MP translation, the physicochemical properties of the lipid bilayer enforce constraints on the conformational equilibria of integral MPs. For instance, the hydrophobic nature of the membrane core imposes a steep energetic penalty associated with the solvation of unpaired hydrogen bond donors and acceptors.<sup>14,15</sup> This essentially forces the backbone of TM segments to adopt a regular secondary structure within the bilayer so that the hydrogen bonding potential of the backbone amide protons and carbonyl oxygens are satisfied by

intramolecular interactions. This requirement is satisfied both for MPs with  $\alpha$ -helical transmembrane segments and those that form transmembrane beta barrels. As a result of these energetic constraints, the central portions of naturally evolved transmembrane segments are enriched in the hydrophobic amino acids, and polar residues are typically rare within the membrane core (Figure 1). This sequence bias is particularly



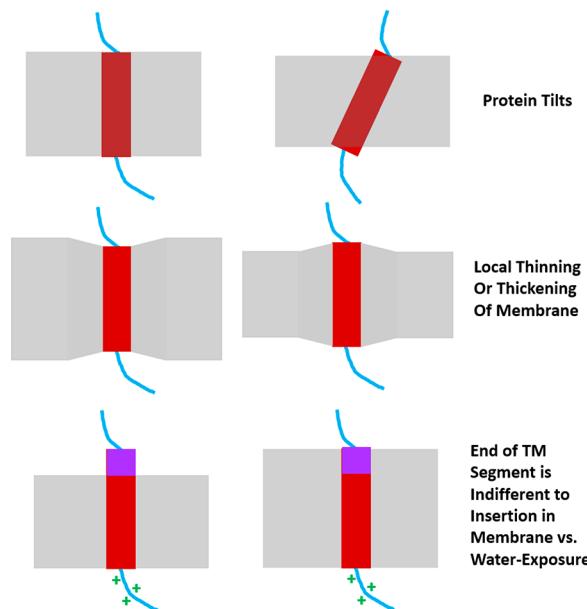
**Figure 1.** Depth-dependent statistical distributions of amino acids within transmembrane domains. Experimental depth dependent compositional biases within transmembrane domains were used to train a probabilistic potential energy function (A) charged, (B) hydrophobic, (C) polar, and (D) aromatic amino acid. Lower energies correspond to higher probabilities of finding the corresponding residue at a given depth. An X-coordinate of 0 Å corresponds to the center of the membrane normal. Reprinted with permission from ref 44. Copyright 2005 John Wiley and Sons.

pronounced on the lipid-exposed surface of the TM domain.<sup>43,44</sup> Nevertheless, in light of the presence of backbone carbonyl oxygens, amide hydrogens, and the occasional polar side chain, TM segments are actually quite rich in hydrogen bonding groups. This abundance of polar groups provides ample opportunities to form intramolecular hydrogen bonds in a manner that provides some structural plasticity.<sup>45,46</sup> Furthermore, though the membrane core is hydrophobic, the dynamics and imperfect packing of lipid acyl chains allows water to penetrate the bilayer to a surprisingly high degree.<sup>47,48</sup> Bound water molecules are often observed in the TM domains

of MP crystal structures.<sup>49</sup> Biophysical experiments such as pulse radiolysis and FT-IR spectroscopy have also provided confirmation that water molecules are often associated with TM domains under native-like conditions.<sup>50</sup> The presence of water within the bilayer helps explain a number of anomalous structural features that have been observed within the TM domains of some MPs. For example, aquaporins and some other membrane proteins have “re-entrant” strands of residues that extend into one face of the membrane and return to the same face without spanning the bilayer. The residues in these extended membrane-buried segments appear to have unsatisfied backbone hydrogen bonding potentials and possibly interact with water molecules.<sup>51–53</sup> There are also TM helices in polytopic membrane proteins that are surprisingly polar.<sup>54,55</sup> Access of water to the membrane may also help to explain the observation that the kinetic barriers associated with rearrangement of membrane-buried hydrogen bonds in flexible MPs can be surprisingly low.<sup>46</sup> Even without buried water, TM helices are often kinked due to proline residues and/or native tertiary contacts.<sup>46,56,57</sup> Some MPs also feature helices bearing a pi bulge or helical break.<sup>52,58</sup> Certain MPs also feature sizable gaps (fenestrations) within their TM domains connecting the membrane phase to polar cavities within the protein core.<sup>59–61</sup> Together, these observations suggest the surprising conformational diversity of MPs may arise partly from the appreciable hydration of proteins within membranes. As the pace of MP structure determination continues to accelerate (<http://blanco.biomol.uci.edu/mpstruc/>), we likely will continue to find even more exciting twists and turns that underlie their biochemical functions.

MPs are often sensitive to the physical properties of their bilayer solvent. Most lipids are roughly cylindrical in shape and are arranged with their long axes orthogonal to the plane of the membrane, which allows them to neatly pack into a two-dimensional sheets. However, in part due to the abundance of unsaturated fatty acids in mesophilic organisms, there is typically a gradient of lateral pressure extending from the bilayer interface into the highly dynamic bilayer core, where the fluidity can approach that of liquid hydrocarbons.<sup>62</sup> The lateral pressure exerted by lipid acyl chains has a direct impact on the conformational equilibria of MPs.<sup>63–66</sup> Moreover, lateral pressure can be tuned by membrane curvature, which is another factor that influences the conformational energetics of MPs.<sup>67</sup> Highly curved membranes are sometimes enriched in lipid packing defects, which can lower the energetic barriers associated with the insertion of proteins across the membrane.<sup>68,69</sup> Membrane curvature is, of course, critical for many biological processes.<sup>70,71</sup> Surface binding proteins such as caveolins, proteins containing amphipathic helices, and those containing BAR domains can dynamically control membrane curvature. This manipulation of curvature is required for many cellular processes such as vesicle budding, transport, and fusion.<sup>72–74</sup> Lateral pressure may also influence the orientation of TM domains. In some cases, TM helices are tilted relative to the bilayer normal, which may occur as a result of a mismatch between the length of the TM domain and the thickness of the membrane (hydrophobic mismatch, Figure 2).<sup>75,76</sup> In other cases, it appears that the span of TM segments is asymmetrically adjustable.<sup>77</sup> Thus, thickness represents an additional membrane property that may tune the conformational states of integral MPs (Figure 2).

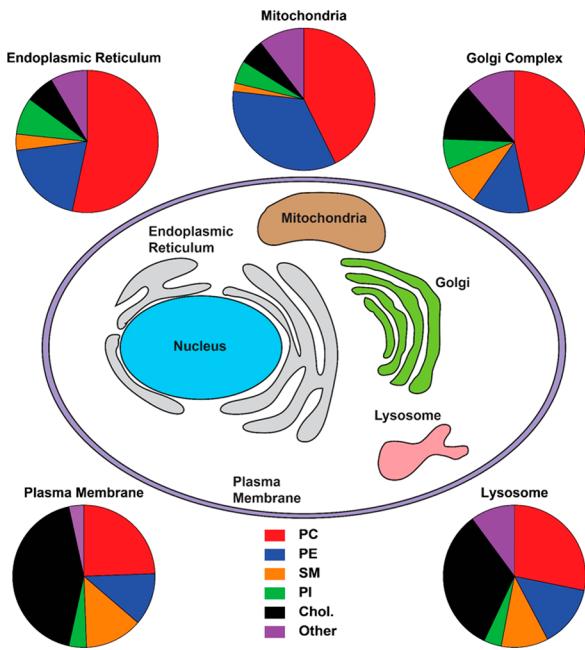
Native membranes have protein-to-lipid mass ratios (P/L) ranging from 0.25 to 5.<sup>78</sup> MPs occupy 20% of the surface area



**Figure 2.** Adaptation of transmembrane domains to variations in bilayer thickness. Cartoons depict ways TM domains adapt to changes in bilayer thickness. A thinning of the membrane may cause TM domains to tilt with respect to the membrane (top). Alternatively, the bilayer may be locally distorted in order to facilitate the solvation of a lengthy TM domain (middle). Thickening of the bilayer may also result in the extension of TM helices out of the bilayer when sites located at the end of the helix have similar preferences for aqueous exposure or membrane burial (bottom).

of red blood cells,<sup>79</sup> which have a P/L of 1.3. Under nonphysiological conditions in which the concentration of MPs within the bilayer is typically much lower, membrane thickness is largely determined by lipid composition. Saturated acyl chains produce thicker bilayers, whereas unsaturated chains dynamically splay outward in a manner that allows the two leaflets to pack more closely together. In contrast, the rigid, flat surface of cholesterol stabilizes extended conformational states of adjacent phospholipid acyl chains in a manner that typically increases membrane thickness and lipid conformational order.<sup>80</sup> There is also evidence to suggest that, in the context of protein-rich environments of cellular membranes, the properties of the MPs themselves influence membrane thickness, which varies from organelle to organelle.<sup>81</sup> In some cases, proteins satisfy the energetic strain associated with hydrophobic mismatch of transmembrane segments by altering the packing of their annular lipids in order to change the thickness of the local bilayer (hydrophobic matching, see Figure 2).<sup>82</sup> Other MPs may form oligomers to reduce the solvent-accessible surface area of mismatched TM domains.<sup>83,84</sup> In many cases, the formation of transmembrane oligomers helps to optimize van der Waals interactions through interhelical packing<sup>85,86</sup> and can reduce unfavorable clashes between polar side chains and acyl chains within the membrane core.<sup>87</sup> It has been suggested that 60% of all single span plasma membrane proteins form homodimers.<sup>88</sup> Together, the physical constraints imposed by the bilayer significantly restrict the conformational space that is accessible to integral MPs. Indeed, Bowie and co-workers have argued that the total number of possible folds that are accessible to MPs is limited relative to soluble proteins.<sup>89</sup>

A distinctive trait of plasma, endosome, and lysosome membranes relative to those of the nucleus, mitochondria, endoplasmic reticulum, and Golgi is the presence of higher concentrations of both cholesterol and sphingolipids in the former (Figure 3).<sup>78,90–92</sup> Cholesterol has an ordering effect on



**Figure 3.** Lipid compositions of membranes from various organelles in mammalian cells. Lipid compositions of organelle membranes from rat liver cells (derived from multiple sources) are shown. Abbreviations: PC: phosphatidylcholine, PE: phosphatidylethanolamine, SM: sphingomyelin, PI: phosphatidylinositol, Chol: cholesterol. The data on lipid composition is from ref 92.

the chains of neighboring lipids even when the bilayer remains in the disordered phase.<sup>93,94</sup> One of the ways that sphingolipids differ from glycerolipids is that the sphingosine backbone includes a potential hydrogen bond-donating moiety that may lead to enhanced lipid–lipid and interfacial water–lipid interactions.<sup>95,96</sup> Whether the presence of high levels of cholesterol and sphingolipids in membranes alter the energetics of membrane protein folding and stability is largely unexplored, but seems likely. In this regard, an interesting preliminary observations is that high levels of cholesterol in the membrane tend to promote alignment of otherwise tilted transmembrane helices with the bilayer normal.<sup>97</sup> It has also been reported that plasma MPs tend to have longer TM segments than resident MPs of the Golgi and endoplasmic reticulum.<sup>37</sup>

The original fluid mosaic model<sup>98</sup> continues to serve as a point of reference for our understanding of the organization and dynamics of biological membranes. Nevertheless, the simplistic assumptions of this model have been subject to a variety of clarifications, revisions, and updates over the years.<sup>99–101</sup> The controversy associated with “lipid rafts” is of particular note with respect to MP folding.

It has long been appreciated that bilayers are capable of forming a liquid-ordered phase ( $L_o$ ) at certain temperatures and lipid compositions (especially those rich in cholesterol and sphingolipids). Lipids within  $L_o$  phase membranes exhibit conformational, axial rotational, and diffusional order that is somewhere between those within dynamic liquid-disordered

( $L_d$ , also referred to as the fluid, liquid crystalline, or  $L_a$  phase) and highly ordered gel phase membranes.<sup>102–104</sup> Various cholesterol and sphingolipid-rich membranes such as those of myelin, caveolae, and the apical surfaces of some epithelial cells may sometimes have characteristics akin to  $L_o$  phase membranes.<sup>105–108</sup> Like synthetic  $L_o$  membranes, these natural membranes are also resistant to detergent solubilization.<sup>109–111</sup> These considerations have contributed to the hypothesis that the plasma membranes of higher organisms contain phase-separated “lipid rafts” that exhibit  $L_o$ -like phase behavior.<sup>102,112–115</sup>

Macroscopic  $L_d$  and  $L_o$  phases are capable of coexisting within a single lipid vesicle in a manner that can be visualized by confocal fluorescence microscopy.<sup>116,117</sup> However, until very recently<sup>118</sup> there was little evidence to suggest that the intact membranes of living cells were capable of undergoing robust phase separation. For this reason, the very existence of lipid rafts and their potential biological relevance have proven controversial.<sup>119,120</sup>

The existence of lipid rafts is typically debated in the context of eukaryotic plasma membranes. Direct observation of coexisting phases in the plasma membranes of living cells has proven extremely challenging. However, macroscopic phase separation does occur upon lowering the temperature (to well below physiological temperature) in giant plasma membrane-derived vesicles” (GPMVs) that have been blebbled from eukaryotic plasma membranes.<sup>113,117,120</sup> Many different membrane proteins, especially those that are palmitoylated, appear to preferentially partition into the  $L_o$  phase under these conditions.<sup>121–124</sup> Others are enriched at the *interface* of the  $L_o$  and  $L_d$  phases.<sup>125–128</sup> However, how these observations pertain to the behavior of intact bilayers under physiological conditions remains the subject of active investigation

There is considerable evidence to suggest that small (<50 nm) ordered domains are capable of transiently forming in the context of otherwise disordered plasma membranes. Over the past 15 years, a classic series of studies from the Veatch, Keller, and Baird laboratories have offered a satisfying explanation for the dynamic coexistence of less-ordered and more-ordered phases within plasma membranes.<sup>129–132</sup> This explanation is based on appreciation of the fact that the phase diagrams of multicomponent systems (in this case for membrane bilayers) sometimes have compositions for which there is a critical temperature,  $T_c$ .<sup>133</sup> Critical points, which are a general feature of multidimensional phase diagrams, occur at the limit of the two-phase region in which the  $L_o$  and  $L_d$  phases coexist. At a critical point, the compositions and populations of both phases are equal and lipids randomly fluctuate between phases in a manner mediated by thermal energy ( $k_B T$ ). Below  $T_c$  (at fixed membrane composition) the membrane demixes into two stable macroscopic phases. Above  $T_c$ , the phases appear to mix into a single phase. Nevertheless, ensembles of lipids are still capable of transiently sampling ordered phases above  $T_c$  through “critical fluctuations.” As the temperature is increased above  $T_c$ , the size of these fluctuations (their “correlation length”) decreases. The shapes of these transient ordered domains are irregular as a result of the reduced line tension between phases. Notably, the physical basis for this framework can be modeled reasonably well using a two-dimensional Ising model, which provides a mechanistic framework that can be used to rationalize membrane organization.<sup>134</sup>

Remarkably, studies of GPMVs from mammalian plasma membranes exhibit critical behavior at reduced temperatures,

with  $T_c$  values on the order of 10–20° below 37 °C.<sup>129,131,132</sup> This phenomenon has been documented in GPMVs derived from multiple cell types through a series of painstaking and rigorous results.<sup>129–132</sup> These collective observations suggest that small portions (<50 nm) of the plasma membrane are likely to transiently sample ordered phases at physiological temperature. These phases likely have only slight differences in composition and order relative to the comingled disordered phase. This framework offers a very satisfying resolution to the “lipid raft” controversy by providing a generalized physical explanation for the extensive number of nuanced biochemical and biophysical observations suggesting biological membranes do not behave as ideal liquid phase assemblies. Plasma membranes do contain more-highly disordered domains in coexistence with more fluid microdomains. However, not only are these domains transient—mere fluctuations!—but the differences in the lipid compositions and order between the less and more highly ordered domains are likely to be quite modest.<sup>133</sup> Indeed, imaging mass spectrometry studies have documented that cholesterol is distributed uniformly across intact mammalian plasma membranes,<sup>136,137</sup> though sphingomyelin appears to form small clusters.<sup>138,139</sup>

The biological implications of critical behavior within biological membranes is just beginning to be explored. For example, critical behavior provides a quantitative framework that accounts for how certain receptors undergo spatial clustering and oligomerization.<sup>134</sup> It is interesting to ponder how the dynamic jostling of MPs between percolating more-and less-ordered phases in the plasma membrane might alter MP stability and the energetics of oligomerization. This is clearly an avenue for future exploration. At the same time, we note that appreciation of critical behavior in biological membrane will ultimately need to be melded with what is understood about of how cytoskeletal attachment points, lipid asymmetry, and MP crowding alter membrane-based phenomena, including MP folding and stability.

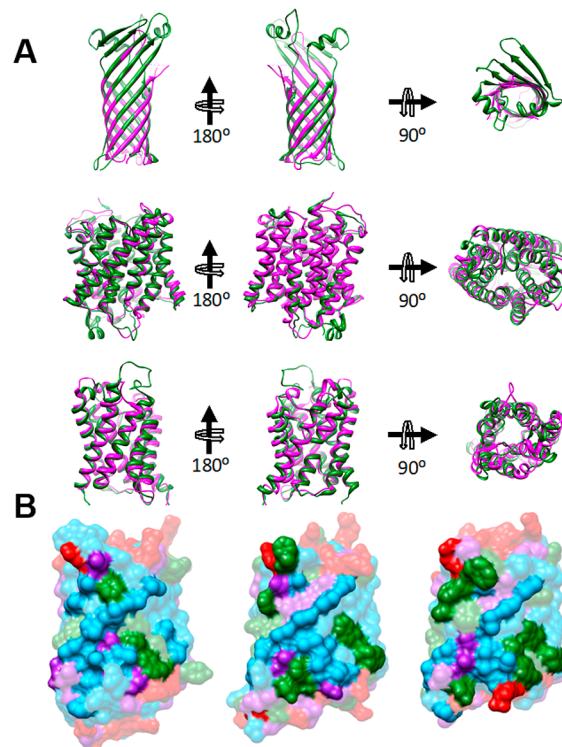
## 2.2. Tolerance versus Adaptation to Varying Membrane Environment

Longstanding interest in how lipids interact with MPs has heightened in recent years as advances in both computational and experimental structural biology (especially mass spectrometry) have provided new details on the nature of these interactions.<sup>140,141</sup> Moreover, biochemical and biophysical studies have revealed some of the ways by which MP function is regulated by lipids that act as allosteric ligands.<sup>142–146</sup> For instance, phosphatidylinositol-4,5-diphosphate (PIP2) and polyunsaturated fatty acids (PUFAs) regulate the function of many different MPs through specific binding interactions.<sup>147,148</sup> The functions of MPs can also be tuned by variations in bulk lipid composition.<sup>149,150</sup>

Stoichiometric complexes between certain proteins and lipids have been found to promote the stability and organization of native MP complexes.<sup>151–155</sup> The formation of correct membrane topology and folding of certain proteins sometimes requires specific protein–lipid interactions.<sup>156–159</sup> MP folding and stability also depends on both bulk membrane composition and the physical properties of the bilayer.<sup>63,160–164</sup> Disruption of lipid-MP interactions is likely responsible for some diseases.<sup>165</sup>

In addition to adapting to the chemical and physical properties of the membrane environment, native MP conformations must, to some extent, also have evolved to

tolerate variations in membrane lipid composition.<sup>166,167</sup> Eukaryotic MPs must remain folded and functional in the face of fairly dramatic changes in lipid composition that occur as proteins are shuttled from the ER to the Golgi and beyond. Each of these organelles has its own distinctive lipid composition (Figure 3),<sup>91,92</sup> which likely plays a role in the tuning of the structure and function of resident MPs. It has been empirically shown that the subset of MPs that reside within these organelles exhibit distinct distributions of TM domain lengths, which implies these proteins may have been tailored to fold and function within distinct membranes.<sup>37</sup> Nevertheless, there are several lines of evidence to suggest their native structures typically persist across divergent membranes. For instance, the lipids of archaebacteria have exotic structures compared to eubacteria and eukaryotes,<sup>168</sup> yet the native structures of MPs from archaea appear to be similar to those of the homologous proteins from eubacteria and eukaryotes (Figure 4).<sup>166,169</sup> Furthermore, certain lipid biosynthetic



**Figure 4.** Comparison of MP structures from the disparate domains of life. (A) Superpositions of structures of thermophilic archaeal MPs on those of mesophilic counterparts reveal high similarity. Superposition of porins TtoA from *Thermus thermophilus* (green, PDB ID: 3DZM) and OmpA from *E. coli* (magenta, PDB ID: 1QJP). (Middle panel) Superposition of ammonium transporters Amt-1 from the archaeal hyperthermophile *A. fulgidus* (green, PDB ID: 2B2H) and AmtB from *E. coli* (magenta, PDB ID: 1U77). (Bottom panel) Superposition of aquaporin from *A. fulgidus* (green, PDB ID: 3NE2) with AqpZ from *E. coli* (magenta, PDB ID: 1RC2). View onto the membrane surface is from the periplasm/extracellular space. (B) The lipid-contact faces of aquaporins from three domains of life exhibit common features. Shown are aquaporins from a hyperthermophilic archaeabacterium, *A. fulgidus* (PDB ID: 3NE2, left), *E. coli* (PDB ID: 1RC2, center), and *O. aries* (sheep) (PDB ID: 3M9I, right). Residues are colored as follows: red: polar residues; blue: large hydrophobic; green: aromatic/His; purple: small (Gly, Ala, Ser, Cys). Figure used with permission from ref 172. Copyright 2015 ACS.

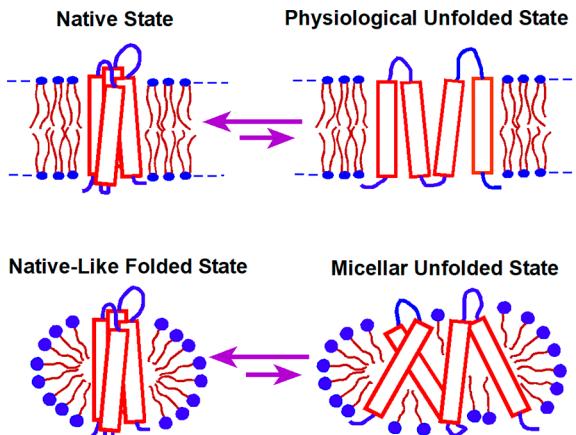
pathways have been completely knocked out in *E. coli* to dramatically alter membrane lipid composition and yet result in only limited influence on cellular viability.<sup>170,171</sup> This implies that most of the proteins that reside within these reformatted membranes retain function. Indeed, it has been shown that there is no single type of phospholipid that *E. coli* cannot survive without.<sup>171,172</sup> Given this apparent tolerance, it is perhaps unsurprising that a great many MPs retain their native fold within the artificial environment of detergent micelles, which have very different physical chemical properties than *bona fide* membranes. Indeed, some MPs continue to fold and function even when solubilized by amphipathic polymers, which shield the hydrophobic portions of the molecule from water.<sup>31,173,174</sup>

All together, the growing body of data regarding how MPs interact with bilayers and specific lipids indicates that MPs have evolved in concert with the membranes in which they reside to satisfy the imperative of being able to robustly fold and function even in membranes of varying compositions, while in many cases also being appropriately regulated by specific lipid binding and varying membrane properties. When considering this dichotomy from the perspective of MP folding, it should not be surprising that there are examples where specific lipid interactions are required for folding.<sup>156–159</sup>

### 3. KINETICS AND THERMODYNAMICS OF MEMBRANE PROTEIN FOLDING

#### 3.1. Conformational Stability and the Physiologically Relevant Unfolded States of Integral Membrane Proteins

Proteins sample a continuum of conformational states regardless of whether they reside in water or in a lipid bilayer. The relative abundance of molecules that adopt a given conformational state is primarily dictated by the kinetic and/or thermodynamic barriers that separate this state from competing conformations.<sup>175</sup> The magnitude of these energetic barriers is largely determined by the primary structure of the protein, how the molecule is solvated, and the abundance of cofactors that bind and stabilize certain conformations. Only a small subset of compactly folded, energetically accessible conformational states are capable of mediating protein function. Thus, the fraction of protein that is functional may largely depend on the free energy difference between the native ensemble and the lowest energy nonfunctional conformational state(s) populated under native conditions, which we will refer to hereafter as the *physiological unfolded state* (Figure 5). Physiological unfolded states are likely akin to transient partially unfolded states of water-soluble proteins that lack ordered structure in one or more subdomains.<sup>176,177</sup> However, far less is known about the physiological unfolded states of helical integral MPs, or how they exchange with the native state. This uncertainty constitutes a central caveat to ongoing discussions of MP folding and stability. Nevertheless, some educated guesses about the properties of the physiological unfolded states of  $\alpha$ -helical MPs can be made in light of the physicochemical properties of these proteins and of the membrane itself. First, given their sheer hydrophobicity, polytopic  $\alpha$ -helical MPs are likely to remain confined within the membrane throughout most of their lifespan, regardless of their conformational state. Given the low dielectric constant within this environment,<sup>14,40</sup> hydrophobic TM segments are likely to retain their helical secondary structure, even under conditions in which the native tertiary structure is lost. Thus,



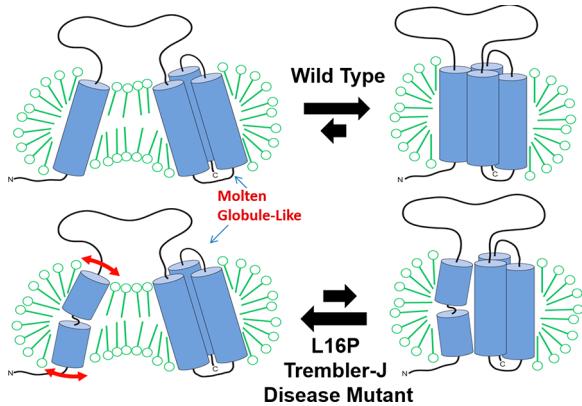
**Figure 5.** Folding equilibrium for a MP in lipid bilayers versus in detergent micelles. The native conformation is often the most favorable conformational state for MPs in both bilayers and micelles. However, the structural properties of the accessible denatured states, the energies between folded and unfolded states, and the magnitude of the energetic barriers that separate them from the native state may often differ in bilayers and in micelles.

the physiological unfolded states likely constitute bundles of weakly interacting helices within the membrane; an ensemble of structures akin to the first stage of the classic 2-stage model for MP folding originally suggested by Popot and Engelman.<sup>14</sup>

Though technical barriers have largely prevented characterization of physiologically relevant unfolded states in their native environment, a handful of studies have provided clues about the properties of non-native states that are energetically accessible *in vitro*.<sup>3,178–182</sup> We focus on two recent examples. Solution nuclear magnetic resonance (NMR) studies of peripheral myelin protein 22 (PMP22) in micelles have revealed that the folded form of this protein is in equilibrium with a conformational state in which the N-terminal TM segment is fully dissociated from the other three TM segments, the latter of which interact in a molten globule-like manner (Figure 6).<sup>182</sup> Furthermore, this conformational state is promoted by the pathogenic L16P mutation within its first TM domain. In a second example, NMR studies of the KCNQ1 channel voltage sensor domain have also revealed that mutations known to promote cellular mistrafficking of the full length channel also perturb how the TM helices interact within LMPG micelles.<sup>183</sup> None of the 47 mutations examined in that study caused the protein to dissociate from the micelle or to transition to a random coil state. However, the NMR spectra of mistrafficked KCNQ1 variants exhibited peak broadening that is consistent with molten globular structure, a well-known folding intermediate state for many water-soluble proteins (see section 5.4.1).<sup>183,184</sup> The extent to which these conformational transitions in detergent micelles relate to physiological unfolded states is not yet well-established. Nevertheless, the observed effects of these destabilizing mutations *in vitro* are intriguing. In the following, we summarize current knowledge regarding the conformational equilibria of integral MPs, with how folding/unfolding transitions relate to the molecular basis of MP misfolding and disease.

#### 3.2. Native Membrane Protein Structures Are Thermodynamically Stable

Christian Anfinsen's landmark investigations into the folding of RNase A established that the native conformations of water-

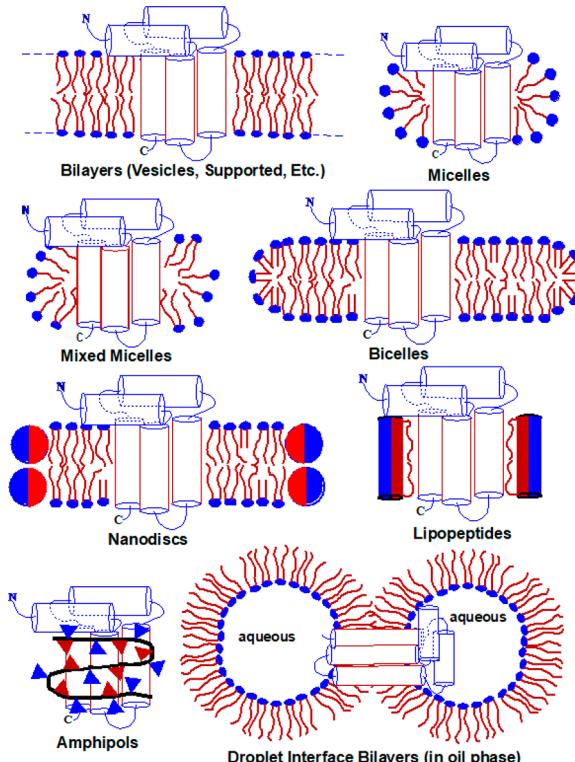


**Figure 6.** Folding equilibrium for WT and L16P mutant PMP22. This is determined using NMR and other methods under conditions where PMP22 is solubilized in tetradecylphosphocholine micelles at 25 °C. The L16P disease mutation site is located in the first TM helix with the proline substitution resulting in the flexible hinge illustrated in the lower left panel. We suggest that the “partially unfolded state” depicted on the left may actually be similar to the true physiological unfolded state. Further unfolding is restrained by the short loops connect TM2 to TM3 and TM3 to TM4. Reprinted with permission from ref 182. Copyright 2011 Cell Press.

soluble proteins tend to reside within free energy minima.<sup>9,10</sup> Like all conformational transitions, it stands to reason that MP folding should also serve to reduce the free energy of the system. However, MPs must navigate their conformational energy landscapes within a much more complex solvent, which is likely to alter the kinetic barriers to folding. For this reason, early investigations of MP folding were mindful of the possibility that the functional structures of integral MPs could be kinetically accessible yet thermodynamically unstable. Pioneering investigations of the  $\alpha$ -helical MP bacteriorhodopsin (bR) demonstrated the native fold could be regenerated from the SDS-denatured state upon addition of cholate and/or soy bean lipids.<sup>3</sup> This key observation echoed Anfinsen’s finding that the primary structure contains all of the information needed for the protein to achieve its functional structure under native-like conditions. This conclusion was also supported by subsequent observations that natively folded bR could be regenerated from denatured proteolytic bR fragments.<sup>6</sup> Since these investigations there have been numerous studies of MP folding in mixed micelles, bicelles, and synthetic lipid bilayers<sup>185,186</sup> showing that a wide array of  $\beta$ -barrel and  $\alpha$ -helical MPs are capable of reclaiming their native structures regardless of whether the proteins are first denatured using organic solvent,<sup>187</sup> chaotropes,<sup>164,188–192</sup> anionic detergents,<sup>8,46,193–195</sup> steric trapping,<sup>196,197</sup> or mechanical force.<sup>198–200</sup> Thus, there is now ample evidence to suggest the native conformations of integral MPs are thermodynamically stable relative to an array of non-native denatured states including the “physiological unfolded state” described in section 3.1 and Figure 5. It is also clear that some denatured integral MPs can find their way back to the native conformation on experimentally accessible time scales. Nevertheless, even when a MP reaches its thermodynamically favored functional conformation, it is not always clear that such folded states are at equilibrium with physiological unfolded states, as is discussed further below.

Experimental investigations of water-soluble proteins most often utilize concentrated urea or guanidinium to induce global

unfolding. Although certain proteins retain residual structure under these conditions,<sup>201</sup> the denatured ensemble of most water-soluble proteins is dominated by random coil structure. This lack of well-defined intramolecular interactions provides a useful reference state in investigations of the contributions of intramolecular interactions to conformational stability. However, many  $\alpha$ -helical MPs cannot generally be unfolded in this way,<sup>202</sup> and even those that exhibit sensitivity to urea typically retain helical secondary structure within a diverse array of commonly employed membranes and membrane mimetics (Figure 7).<sup>164,190–192</sup> In contrast,  $\beta$ -barrel MPs globally unfold



**Figure 7.** Classes of model membrane used in studies of purified MPs. Not illustrated here are “lipodisqs”, which resemble nanodiscs except that a synthetic amphipathic polymer is used to stabilize the edge of the bilayered disc instead of an amphipathic protein.

and partition into the aqueous phase in concentrated urea solutions,<sup>188</sup> a transition that can be rendered fully reversible. Under controlled conditions,<sup>189</sup> dilution of protein/denaturant solutions in the presence of lipid vesicles results in the spontaneous transfer of denatured  $\beta$ -barrel proteins into the bilayer in a manner that is reversibly coupled to folding. The energetics of these folding transitions are highly sensitive to the experimental conditions (especially the properties of the lipid bilayer) and vary considerably among members of the  $\beta$ -barrel family.<sup>23,24,186,188,203</sup> Nevertheless, in many cases  $\beta$ -barrel folding appears to be extremely favorable under ambient conditions. Indeed, the strong driving force associated with this reaction appears to provide some of the energy needed for the sorting of proteins destined for the outer membrane in the periplasm.<sup>204</sup> Ongoing studies of the mechanisms by which molecular chaperones assist in the folding and assembly of  $\beta$ -barrels offer the potential to provide additional insights into the energetics of bacterial proteostasis systems.<sup>205,206</sup> Beyond the biological relevance of these measurements, the nature of

this transition has also provided unique opportunities to evaluate the transfer free energies of amino acid side chains from the aqueous phase into the lipid bilayer.<sup>189,207–209</sup> Together these special properties of  $\beta$ -barrels have made them an extremely useful system for investigating MP insertion and folding.

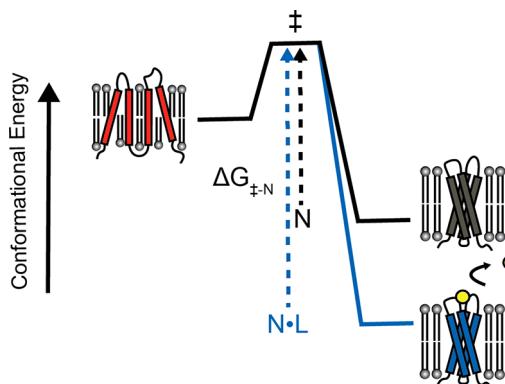
In contrast to  $\beta$ -barrels,  $\alpha$ -helical MPs typically cannot be driven from membranes into the aqueous phase without excessive aggregation (with exceptions described in section 3.7). In the cell, most  $\alpha$ -helical MPs are cotranslationally inserted into the membrane, obviating the need for them to transiently reside in the aqueous phase. Furthermore, the removal of helical proteins from the membrane under physiological conditions requires hydrolysis of hundreds of ATP molecules<sup>210</sup> and is typically coupled to proteolysis or to the formation of ordered aggregates known as aggresomes.<sup>211</sup> Thus,  $\alpha$ -helical MPs are unlikely to sample fully hydrated states under physiological conditions. Accordingly, it is likely that the physiological folding trajectories within the membrane primarily involve transitions between non-native helical intermediate states. Experimental efforts to assess the conformational stability of these proteins have most often employed anionic detergents (typically SDS) to induce denaturation in the context of mixed micelle solvents.<sup>3,5,8</sup> Helical MPs typically retain secondary structure but usually lose their native tertiary structure in the SDS-denatured state.<sup>8,212,213</sup> When the concentration of denaturant is expressed in terms of detergent mole fraction,<sup>8</sup> equilibrium unfolding transitions typically exhibit the markings of a cooperative two-state unfolding reaction.<sup>8,194,195,214–216</sup> In the context of these conformational transitions, mutagenesis studies have revealed that, despite vast differences in solvation, the stabilization afforded by native hydrogen bonds and packing interactions are on par with those of water-soluble proteins.<sup>46,203,217</sup> The interpretation of these measurements is certainly complicated by the presence of residual structure in the denatured state. The loss of native contacts upon denaturation is likely coupled to the formation of a spectrum of weaker non-native interactions in the denatured ensemble. Nevertheless, this likely parallels the physiological reaction coordinate in which the native conformation must compete with a spectrum of alternative arrangements of weakly interacting helices in the physiological unfolded state. Overall, these observations suggest that the effective strength of native interactions are likely to be considerably lower than would be expected based on the dielectric constant within the bilayer.<sup>14,40</sup> In addition to the presence of modest levels of water in the membrane core, the protein itself offers hydrogen bonding groups that are capable of competing with native interactions.<sup>45</sup> A better understanding of the structural properties of the physiological unfolded state within biological membranes is needed in order to clarify the true stability of the native fold relative to physiological unfolded states.

### 3.3. Native Membrane Protein Structures Can Be Kinetically Stable

Cells are nonequilibrium systems, and kinetic control of chemical reactions is a mechanistic pillar of biomolecular regulation. With regard to protein folding and assembly in the cell, many chaperone-assisted folding and degradation networks appear to be under kinetic control.<sup>218–221</sup> Thus, in some cases slow unfolding (kinetic stability) may be an essential property of long-lived MPs. Pioneering investigations into the

relaxation kinetics of bR in mixed micelles as a function of the mole fraction of the denaturing detergent SDS ( $X_{\text{SDS}}$ ) was interpreted to suggest the half-life of bR unfolding is on the order of thousands of years in lipid bicelles.<sup>213</sup> Though the unfolding of bR is undoubtedly slow, this estimation required a lengthy extrapolation from a condition in which the protein resides within an SDS-rich mixed micelle to a condition in which the protein resides within a DMPC-rich, SDS-free bicelle. Linear extrapolations of rate constants and  $\Delta G$  values across wide ranges of detergent mole fractions have since proven unreliable.<sup>196,197</sup> Despite this caveat, a number of subsequent observations have suggested that at least some MPs may unfold slowly. Bowie and co-workers showed that the half-life for dissociation of the subunits of diacylglycerol kinase in beta-octylglucoside micelles (considered mildly destabilizing relative to more ideal model membranes) is on the order of 2 weeks.<sup>222</sup> A recent investigation of the *E. coli* intramembrane protease GlpG revealed that unfolding requires weeks in lipid bicelles as monitored by steric trapping,<sup>210</sup> a timespan in reasonable agreement with its extrapolated rate constants for SDS-mediated denaturation.<sup>194</sup> Indeed, the application of magnetic tweezers to GlpG also revealed the native state resides within a steep energy well.<sup>223</sup> It remains unclear whether high kinetic stability is a common property of integral MPs or whether this is a special trait of these particular proteins, each of which also exhibits considerable thermodynamic stability.<sup>8,194,196,197</sup> DsbB exhibits modest thermodynamic stability and folds and unfolds relatively rapidly.<sup>224,225</sup> Nevertheless, the metastable human protein PMP22, which is only marginally stable in DPC micelles, requires hours for relaxation under this condition.<sup>195</sup> The notion that some helical MPs fold into thermodynamically preferred native states that are then effectively kinetically trapped potentially has wide-ranging implications for MP folding in the cell. Considerations regarding the kinetics of conformational exchange may also be a relevant factor in efforts to develop small molecules that correct the folding and stability of disease-linked MPs, as is discussed further below.

Could kinetic entrapment of the native state of some MPs be biochemically tunable? Interestingly, it has been demonstrated that the binding of retinal to the apoform of bR appears to decrease its rate of unfolding by over 10 orders of magnitude.<sup>226</sup> The physical basis for this effect suggests the binding of small molecules may potentially play a general role in the tuning of the kinetic and/or thermodynamic stability of integral MPs.<sup>227–232</sup> Because small molecules tend to selectively bind to natively folded proteins, binding should universally decrease the rate of unfolding and increase thermodynamic stability in a manner related to the binding affinity and ligand concentration, provided ligand dissociation occurs prior to formation of the transition state for unfolding (Figure 8). Indeed, many G protein-coupled receptors (GPCRs) are known to bind agonists and antagonists with nanomolar to picomolar affinity, resulting in increased protein stability.<sup>233,234</sup> Specific interactions of lipids with proteins also frequently appears to enhance the stability of MP oligomers.<sup>151</sup> Thus, it is quite plausible that MPs rely on specific lipid and/or physiological small molecule interactions to tune their relaxation kinetics in a manner that alters their cellular trafficking and turnover. In many cases, the extent to which drug binding influences the unfolding kinetics of MPs may also be relevant to their mechanism of drug action, as is discussed further below (see section 6.2). It is also noteworthy that, in



**Figure 8.** Effect of ligand binding on the kinetic stability of integral membrane proteins. If the native binding pocket is disrupted prior to the rate limiting step for unfolding, then excess ligand will selectively stabilize the native conformation (N) relative to the transition state for unfolding ( $\ddagger$ ) and the denatured state (red). In this case, the rate of unfolding and the fraction of unfolded protein at equilibrium will be decreased in the presence of ligand.

some cases, metabolite binding to certain MPs appear to destabilize the folded state, leading to regulated degradation.<sup>228,235,236</sup> Additional investigations into the linkage between ligand binding, kinetic stability, and the cellular proteostasis of integral MPs are needed.

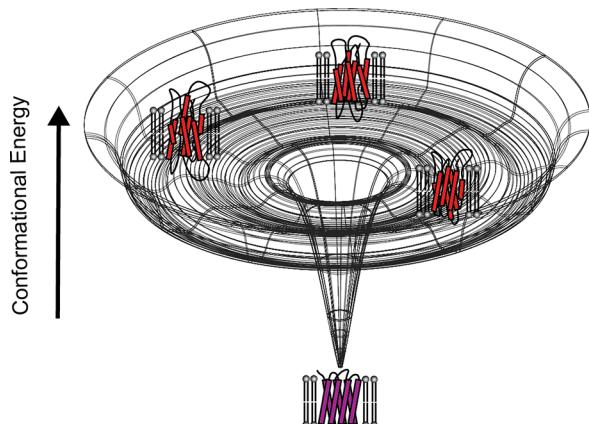
### 3.4. Membrane Protein Folding Kinetics

Anfinsen's formative experiments provided a framework for understanding how proteins select their functional structures from a vast sea of competing non-native conformations. Nevertheless, Leventhal subsequently noted that this thermodynamic perspective did not provide an obvious explanation for the fact that proteins navigate this immense conformational space within remarkably short time scales.<sup>237</sup> Considerable efforts spanning decades sought to elucidate the nature of the kinetic intermediates involved in the rapid folding of water-soluble proteins. These investigations revealed considerable heterogeneity in the pathways by which proteins achieve their native secondary, tertiary, and quaternary structures. Many proteins appear to fold through a discrete set of structurally defined intermediates,<sup>238,239</sup> while others appear to fold through an array of parallel pathways.<sup>240</sup> Despite this mechanistic heterogeneity, the fact that certain structural intermediates seem to form more readily than others suggests that proteins solve this kinetic dilemma through a biased search: the formation of early intermediate structures (or a folding core) dramatically reduces the accessible search space for subsequent transitions. Though considerably less is known about MP folding kinetics, it is clear that even the physiological unfolded state of MPs tends to retain secondary structure within the bilayer in a manner that should severely constrict their conformational search. Accordingly, the topological and secondary structural constraints imposed by the bilayer may vastly simplify the MP folding problem.<sup>241</sup> Nevertheless, technical challenges have plagued efforts both to measure the rate of protein folding within the membrane and to elucidate the factors that influence the kinetics of this process. It is therefore unclear whether the kinetic mechanisms that govern MP folding reactions parallel those of soluble proteins.

Pioneering investigations into MP folding kinetics focused on the folding of SDS-denatured bR in bicelles (see Figure 7). Spectroscopic investigations of this process revealed that,

much like the folding of soluble proteins, the folding of bR occurs through a series of transient structural intermediates.<sup>7</sup> Observations of this process using multiple spectroscopic tools suggested early intermediates involve the formation of tertiary contacts while the binding of the retinal ligand and the extension of the native helices occur later.<sup>7,242,243</sup> Nevertheless, these investigations were insufficient to reveal the nature of the structural transitions that limit the rate of folding. Efforts to probe the structural properties of the transition state for bR folding under conditions in which folding occurs through a single phase were initially probed using phi-value analysis.<sup>244</sup> Phi-value analysis is applied to proteins in the form of a mutagenic approach to identify native tertiary contacts that are formed within the transition state.<sup>245</sup> However, interpretation of these kinetic measurements was complicated by the fact that variations in the concentrations of lipids and detergents obscured the influence of mutations on the rate of bR folding under these conditions.<sup>246</sup> Under more controlled conditions, a subsequent analysis of an array of bR mutants distributed throughout its three-dimensional structure failed to identify any native tertiary contacts that appreciably limit the rate of folding.<sup>247</sup> The apparent absence of a folding core is interesting considering that the kinetics of water-soluble protein folding is typically rate-limited by the formation of sequence-distant tertiary contacts.<sup>248</sup> Based on these results, it was proposed that bR folding is rate-limited by a topological search, in which preformed TM helices sample an array of interhelical contacts.<sup>247</sup> This interpretation was supported by the recent finding that bR folding can be accelerated by simply reducing the size of the bicelle, which is likely to reduce the degrees of freedom in the denatured ensemble.<sup>249</sup> Thus, it appears the energetic barrier to bR folding is rooted in conformational entropy, at least in bicelles. These investigations paint a picture of the conformational energy landscape of bR that resembles the hypothetical champagne glass-shaped landscape originally described by Dill and Chan (Figure 9);<sup>175</sup> the protein must explore a variety of near-isoenergetic orientations of preformed helices before eventually stumbling upon the native topology. Nevertheless, given the artificial nature of the micellar/bicellar solvent used for these studies, it is uncertain whether these findings can be fully extrapolated to the mechanism of bR folding within natural membranes, much less to other polytopic MPs.

Phi-value analysis has been employed to evaluate the nature of the transition state of two other  $\alpha$ -helical MPs to date. An analysis of the kinetic effects of 12 alanine mutants enabled phi-value analysis of the *E. coli* disulfide bond reducing protein B (DsbB),<sup>250</sup> an  $\alpha$ -helical MP that folds by way of a single observable kinetic intermediate in mixed micelles. The results of this analysis revealed that two residues near the edge of a TM domain appear to be involved in the rate limiting step for the formation of the intermediate and that native contacts appear to propagate from this region within the intermediate state.<sup>250</sup> Similarly, an exhaustive kinetic analysis of 69 GlpG variants also identified two residues near the cytosolic edges of two neighboring N-terminal helices that appear to form native contacts in the transition state.<sup>194</sup> In contrast with the findings for bR folding, the folding of these two proteins appears to be rate-limited by the formation of native contacts near the edges of specific TM domains. Though these results are potentially suggestive of mechanistic differences between bR, DsbB, and GlpG, caution must be exercised when comparing these studies. It is possible that differences in the mixed micelle/



**Figure 9.** Hypothetical morphology of the conformational energy landscape for bR in DMPC/CHAPSO/SDS bicelles. This cartoon depicts a hypothetical energy landscape that describe the conformational energetics of bR in bicelles. The upper rim of the conformational energy landscape represents the random coil state, which is unlikely to be sampled within membranous environments. Instead, the TM segments are likely to persist in an ensemble of helical bundles within the denatured state, which is represented by the secondary basin of the energy landscape. To find the native conformation, helical TM segments must explore various topological configuration until the native topology is achieved and folding can proceed downhill. It is emphasized that, for many membrane proteins, the folding funnel may be much more complicated than as proposed here for the well-characterized case of BR.

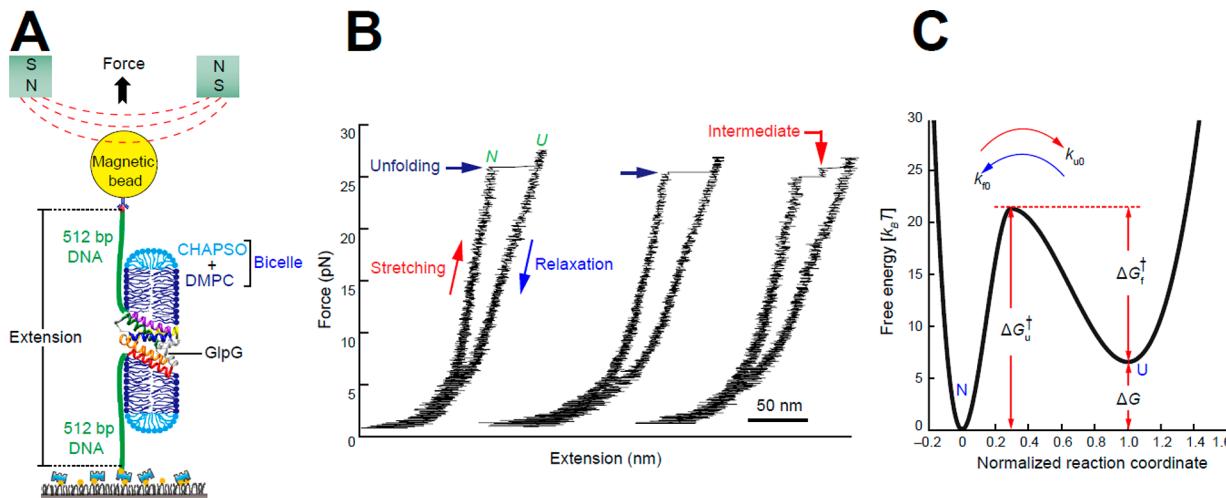
bicelle components may alter the denatured state ensemble in a way that fundamentally distorts their folding trajectories. Nevertheless, these findings highlight the potential for mechanistic diversity in the folding kinetics of helical MPs. Moving forward, it may be particularly interesting to consider how folding pathways may vary for helical MPs containing stable soluble domains, which often appear to have evolved from soluble proteins.<sup>251</sup> Can the rapid folding of a soluble domain seed folding within the membrane, or vice versa? Additional studies are needed to explore how folding pathways may be navigated under such circumstances.

Unlike  $\alpha$ -helical MPs, investigations of the folding kinetics of  $\beta$ -barrels are less muddled by the potential influence of residual structure in the denatured state (although see<sup>181</sup>). Furthermore, investigations of the folding kinetics of  $\beta$ -barrels can be carried out using true lipid bilayers, which is a distinct advantage relative to kinetic investigations of  $\alpha$ -helical MPs. Nevertheless, the kinetic mechanism(s) that modulate the folding of  $\beta$ -barrels *in vitro* still appear to be exquisitely complex. Initial studies of outer membrane protein (OMP) folding kinetics from aqueous solution to the folded form in lipid vesicles were conducted by Jahnig and co-workers<sup>69,205,206,252,253</sup> and subsequently continued in an extensive study by Kleinschmidt and Tamm.<sup>186,254–257</sup> Many of the kinetic constraints of these reactions have been characterized, including the general magnitude of the activation energies associated with rate-limiting transitions. However, the interpretation of  $\beta$ -barrel folding kinetics is complicated by the fact that structural transitions coincide with the transfer of the protein from the aqueous phase to the membrane interface and eventually from the interface to the membrane core. Indeed, the rate limiting transitions for  $\beta$ -barrel folding are sensitive to the lipid-to-protein ratio, to the composition of the lipid head groups and chain lengths, and to the lateral pressure

of the bilayer.<sup>258</sup> Nevertheless, phi-value analysis of the  $\beta$ -barrel protein PagP revealed that the rate-limiting step for folding likely involves the formation of numerous native-like interactions between side chains that are coupled with the transfer of the protein from the interface to the membrane core.<sup>259</sup> This transition state presumably also involves protein–lipid contacts that distort the bilayer, as the rate of OMP folding is accelerated by conditions that introduce lipid packing defects.<sup>260</sup> A recent comprehensive investigation of the folding kinetics of OmpA revealed that the folding of this protein occurs with no fewer than five intermediate states, some of which are off-pathway, even under the most optimal conditions.<sup>261</sup> Given the vast array of intermediates that accumulate *in vitro* along with the fact that the folding of purified  $\beta$ -barrels is rate-limited by its transfer into the bilayer under certain experimental conditions, it is perhaps unsurprising that outer MP biogenesis in cells relies on the activity of BamA,<sup>262</sup> a chaperone that catalyzes the insertion of  $\beta$ -barrels into the membrane.<sup>263</sup> The reader is also referred to elegant studies of the interactions of unfolded OmpA with the periplasmic chaperone Skp, which helps the nascent porin reach the other membrane without aggregating or prematurely forming tertiary structure.<sup>206,264</sup>

### 3.5. Helical Membrane Protein Folding within Lipid Bilayers

Kinetic and thermodynamic investigations of folding and unfolding in micelles, bicelles, and synthetic membranes have yielded considerable insights into the conformational energetics of integral MPs. However, given the drawbacks of these artificial solvents, there is still much to be learned about the conformational equilibria of MPs in their native environments. This is especially true for  $\alpha$ -helical MPs, for which biophysical studies in lipid bilayers are few and far between. Nevertheless, several recent breakthroughs have paved the way for the next generation of folding studies.<sup>198</sup> For instance, a recent report from the Booth lab demonstrated that *E. coli* LeuT, a structural homologue of neurotransmitter sodium symporters, can be reversibly unfolded by urea in the context of a variety of synthetic liposomes, provided that submicellar concentrations of  $\beta$ -octylglucoside are included to facilitate the equilibration of urea across the bilayer.<sup>164</sup> Under these conditions, urea induces a partial loss of secondary structure and a complete loss of function,<sup>164</sup> as would be anticipated for a physiological unfolded state. Unlike the OMPs, urea does not lead to dissociation of LeuT from the bilayer to the aqueous phase. Instead, the results show that the structural properties of its denatured state and the free energy of unfolding can be tuned by lipid head groups and by the lateral pressure within the bilayer.<sup>164</sup> The native conformation is modestly favored (2.5–3.8 kcal/mol) over the corresponding denatured ensembles in vesicles of varying composition.<sup>164</sup> This suggests that differences in the bilayer can tune the properties of the unfolded state to reshape the relevant features of the conformational energy landscape, a key consideration for eukaryotic MPs that must traffic through a range of different membranes within the secretory pathway.<sup>172</sup> The Booth lab has demonstrated that several transporter proteins are susceptible to denaturation by urea,<sup>164,190,191</sup> which may open the door for comparative studies on a range of other transporters using this approach. Thus, the unique properties of these proteins may provide an opportunity to explore a range of hypotheses regarding the nature of  $\alpha$ -helical MP folding within the bilayer.



**Figure 10.** Single-molecule forced unfolding of a MP, GlpG. (A) Schematic of the single-molecule magnetic tweezers experiment for studying the unfolding and refolding of GlpG in a bicelle. The protein termini have been conjugated with DNA, with the end of one DNA molecule being surface anchored and the other end being attached to a bead that can be pulled away from the surface to force unfolding of the protein in the plane of the bicelle bilayer. (B) Representative force–extension curves for repeated GlpG unfolding and refolding transitions. (C) The energy landscape for folding/unfolding of GlpG in biccelles, where  $k_{f0}$  and  $k_{u0}$  are the kinetic rates for folding and unfolding at zero force,  $\Delta G$  is the unfolding free energy, and  $\Delta G_u^\dagger$  and  $\Delta G_f^\dagger$  are the kinetic energy barriers for unfolding and folding, respectively. Reprinted with adaptations from ref 269. Copyright 2015 Springer Nature.

An additional class of next-generation experiments have sought to do away with chemical denaturants entirely. The development of steric trapping approaches, which couple the energetics of biotin–streptavidin binding to the occlusion of intra or intermolecular contacts,<sup>265</sup> has been employed to probe the partial unfolding of helical MPs and the dissociation of helical oligomers within micelles, biccelles, and membranes (see more detailed reviews in refs 21 and 266). This approach offers several key advantages, including the fact that the denatured or dissociated state remains embedded within the membrane environment. Initial applications to measure the strength of glycophorin A dimers demonstrated the power of this technique, as dimerization was found to be stronger in synthetic membranes than in micelles.<sup>267</sup> Strikingly, subsequent investigation found that natural lipid compositions significantly weaken this dimerization.<sup>268</sup>

Application of steric trapping to the unfolding of GlpG, which contains six TM domains, has provided evidence that this protein unfolds through a series of subglobal unfolding transitions.<sup>197</sup> These results were recently echoed by single molecule studies in which GlpG was mechanically unfolded within a lipid biccelle.<sup>269</sup> This denaturant-free approach probes the dissociation of TM helices within lipid biccelles as the N- and C-termini are pulled apart laterally using magnetic tweezers (Figure 10). A recent application of this approach to the ClC chloride transporter revealed that the intact subdomains of this protein are capable of separating prior to force-mediated subglobal unfolding within the bilayer.<sup>199</sup> Interestingly, each of these magnetic tweezer studies has provided compelling evidence that both the native and partially unfolded forms of these proteins are kinetically stable, a clear indication that excursions between the native and partially unfolded forms occur on a time scale of minutes to hours. The apparent spectrum of partially unfolded forms that are accessible by these techniques as well as the marginal free energy differences that separate them is reminiscent of the transient partially unfolded forms of soluble proteins that are observable by hydrogen/deuterium exchange.<sup>176</sup> Indeed, it has

long been postulated that TM helices and/or helical bundles may behave as domain-like structural units.<sup>14,40,270</sup> Thus, this interpretation of the conformational energy landscape seems quite plausible in light of recent observations.

Next-generation approaches to study the conformational transitions within lipid biccelles and synthetic liposomes will play a critical role in ongoing efforts to rationalize the conformational energy landscapes of integral MPs. However, additional steps will be needed to bridge the current gap between MP biophysics and the gritty reality of biological membranes. In this regard, advances in quantitative microscopy have provided new insights into how MPs move and interact within eukaryotic plasma membranes. Recent theoretical and methodological advances from the Hristova lab have yielded a quantitative fluorescence resonance energy transfer (FRET) approach for the determination of equilibrium constants for MP dimerization within the plasma membranes of live cells.<sup>199,271,272</sup> Emerging applications of this technique have revealed that, in contrast with established views, several receptor tyrosine kinases including fibroblast growth factor (FGF) and vascular endothelial growth factor 2 (VEGFR-2) form dimers and autoprophosphorylate in the absence of activating ligands.<sup>273</sup> Recent advances in fluorescence cross-correlation spectroscopy have also provided an additional route to measure equilibrium dissociation constants, as well as the lateral diffusion coefficients for monomers and oligomers within the plasma membrane of live mammalian cells.<sup>274,275</sup> Advanced applications of super-resolution microscopy have also provided a fascinating glimpse into how critical fluctuations of membrane phases<sup>132</sup> drive the sorting and activation of B cell receptors within the plasma membrane.<sup>134</sup> These and other emerging advances in microscopy and single particle tracking show great promise for future efforts to understand how MPs exist within their native cellular environment.

### 3.6. Misfolding of Purified Membrane Proteins

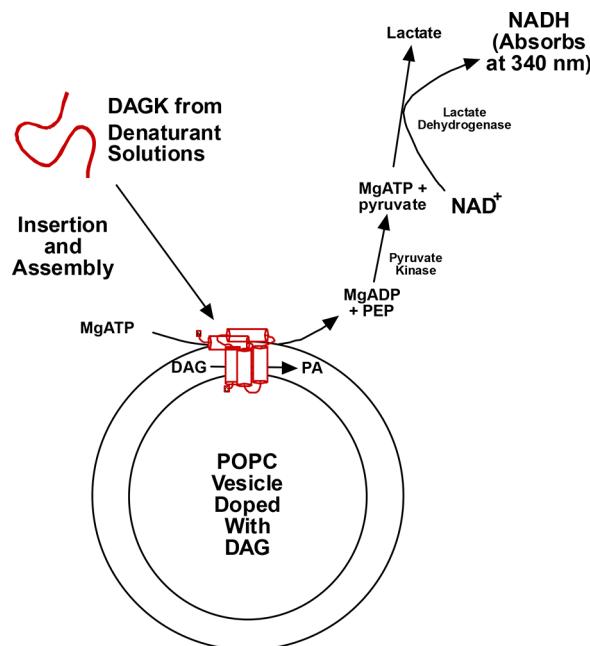
Misfolding is very often the unwanted companion of scientists seeking to reconstitute purified MPs into model membranes. Misfolding of nascent MPs also routinely occurs under physiological conditions, which is part of the reason that cells have an elaborate system for detecting, correcting, and sometimes degrading misfolded MPs (see section 4). MP misfolding in the cell often results in a pathogenic loss of MP function or in the formation of toxic aggregates. Nevertheless, despite considerable biomedical relevance, there have been relatively few structural studies of MP misfolding in vitro. The most extensively developed of these studies involved *E. coli* diacylglycerol kinase (DAGK),<sup>276</sup> a 122 residue homotrimer in which each subunit contains three TM helices.<sup>277,278</sup>

Pioneering studies in the Bowie lab quantified the thermodynamic stability of DAGK in mixed micelles.<sup>8</sup> Wild type (WT) DAGK exhibits considerable thermodynamic stability under these conditions. Moreover, the Bowie lab found that DAGK seems to be structurally and catalytically tolerant of mutations.<sup>279,280</sup> This paved the way to a long-term study by the Sanders lab of a library of 120 single-cysteine DAGK mutants generated starting with a catalytically native-like quadruple mutant form of DAGK in which both native Cys residues were mutated to Ala (C46A, C13A) and that also contained W117R and S118T mutations. It was soon discovered that, unlike the WT protein, many variants within this single-Cys library are highly prone to misfolding in vitro.<sup>281</sup> These variants therefore afforded an opportunity to systematically probe MP misfolding.

Exploration of DAGK misfolding benefited from two additional properties of this small yet complex membrane enzyme.<sup>192</sup> First, in the presence of concentrated urea or guanidinium, it is possible to solubilize DAGK in the absence of any detergent or lipid. In concentrated urea under acidic conditions, DAGK retains some secondary structure but loses its quaternary and tertiary structure. However, at low pH in concentrated guanidinium the protein is almost completely unfolded. Second, dilution of small aliquots from these DAGK/denaturant solutions into neutral pH detergent/lipid mixed micelles or into solutions containing synthetic liposomes results in the spontaneous insertion and folding of DAGK to its functional state (Figure 11). However, this coupled insertion and folding reaction is typically inefficient. Interestingly, nonproductive folding does not typically result in classical aggregation.<sup>192</sup> Careful kinetic studies by Lorch and Booth revealed considerable complexity in the kinetics of these folding transitions.<sup>282</sup>

The rates and efficiency of DAGK folding were typically greater when folding was initiated from detergent solutions (rather than denaturants) into vesicles, an observation that likely reflects both the preservation of structural elements in micelles and also the potential impact of submicellar detergent concentrations on the properties of the bilayer.<sup>192</sup> Moreover, the enzyme retained an ability to assemble into its functional state when urea solutions were diluted into buffer prior to the addition of mixed micelles.<sup>283</sup>

Initial WT studies were followed by studies of the folding and insertion of the single-Cys and other mutant forms of DAGK. For a panel of ~30 mutants, it was observed that the rate and efficiency of folding into vesicles is strongly correlated with protein stability as determined by resistance both to SDS-induced unfolding and irreversible heat inactivation.<sup>283,284</sup> There were, however, interesting outliers. For example, the



**Figure 11.** Assay to measure the efficiency of spontaneous insertion, folding, and trimerization of DAGK into preformed lipid vesicles following a many-fold dilution of a small aliquot of the pure enzyme in lipid and detergent-free urea or guanidinium solutions. Successful folding of the protein is accompanied by the appearance of enzyme activity that is monitored through a spectrophotometrically detected coupled assay system. The degree of misfolding is assessed based on comparing the final observed enzyme activity with the activity expected for 100% folding efficiency. Abbreviations: DAG, diacylglycerol; PEP, phosphoenolpyruvate; NAD<sup>+</sup> and NADH, oxidized and reduced forms of nicotinamidedinucleotide. Figure used with permission from ref 192. Copyright 2015 ACS.

Y16C mutation does not destabilize DAGK and yet this mutant was severely folding-deficient.<sup>285</sup> Y16C likely affects the kinetics of a key step in the DAGK insertion and folding pathway without affecting protein stability.

Altogether, the results of the folding and misfolding of DAGK led to two potentially important observations that may broadly extend to MP folding in physiological and possibly even disease conditions. First, the strong correlation between folding efficiency and protein stability led to the hypothesis that, when considering a panel of mutant forms of the same MP, the key determinant of the relative folding efficiencies for these mutants in cells is the relative thermodynamic stability of each mutant.<sup>284,286</sup> As will be described later in this review, this hypothesis has now been tested for two disease-linked mutant forms of human proteins in cells and has, so far, held up well.<sup>183,287</sup> Second, while the “misfolding is linked to instability” correlation is strong, it is not absolute, as revealed by the Y16C DAGK mutant, which appears to adversely alter the folding transition state but not the stability. Though they are likely to be rare, mutations that destabilize the folding transition state are likely to be represented among the large number of human mutations that promote human disease (see section 5). Successful therapeutic approaches to stabilize the transition state may be very different from those required to address destabilized mutant forms of the very same protein.

Whether MP misfolding transitions are commonly related to formation of amyloid-like assemblies remains unclear, though there is good reason to believe these phenomena may

sometimes be connected. DAGK was not found to form amyloids or even classical aggregates *in vitro*.<sup>192</sup> However, Vendruscolo and colleagues recently demonstrated that lactose permease is capable of forming fibrils with many characteristics of classical amyloid fibrils under certain conditions.<sup>288</sup> This observation provides additional support for the notion that nearly any protein can form an amyloid,<sup>289</sup> though in this case the physiological relevance is unclear.

The conversion of a membrane protein to amyloids does appear to play a direct role in the molecular basis of at least one human disease. Mature lung surfactant protein-C (SP-C) has 35 residues and, in its healthy physiological form, has a single transmembrane  $\alpha$ -helix.<sup>290</sup> However, this same segment, which is rich in valine, also has a strong propensity to form beta assemblies, leading to formation of amyloid structures. For this reason, nature has endowed nascent SP-C with a BRICHOS prodomain that suppresses amyloid formation, ensuring healthy SP-C function. However, any one of roughly 50 known mutations in pro-SP-C is sufficient to disrupt the protective function of the BRICHOS domain, which results in amyloid formation that causes interstitial lung disease (ILD).<sup>290</sup>

Another likely physiological connection between membranes and amyloid formation is for the amyloid- $\beta$  polypeptide, which is a proteolytic fragment of the transmembrane C99 domain of the amyloid precursor protein and represents the primary component of the amyloid plaques found in Alzheimer's patients. While amyloid- $\beta$  is somewhat soluble, under some conditions it is known to spontaneously insert into membranes in a manner that promotes its homomeric assembly into pores.<sup>291–295</sup> Moreover, even short of insertion to adopt a transbilayer structure, amyloid- $\beta$  retains considerable affinity for membranes, a fact that impacts formation of amyloid- $\beta$  oligomers and amyloid fibrils.<sup>296–306</sup> The same is true for a variety of other membrane-active peptides that form amyloid fibrils (such as the  $\alpha$ -synuclein protein involved in Parkinson's<sup>307,308</sup>) and the islet amyloid polypeptide that may contribute to some forms of diabetes.<sup>309–311</sup> The interactions of amyloid-forming proteins and amyloid assemblies with membranes is highly likely to be important in the etiology and pathology of disorders such as Alzheimer's and Parkinson's, although definitively establishing the pathophysiological relevance of phenomena observed in studies of isolated molecules (or even in model cell lines) to neurodegenerative disease in a living human being remains a daunting task.

The human prion protein (PrP), which is the root cause of several related neurodegenerative disorders, exists in both a membrane-anchored glycosylphosphatidylinositol (GPI) modified form and a form that contains a single TM helix. Interestingly, the TM form of PrP can exist in both possible topologies (review in ref 312). The conversion of "healthy" PrP to the toxic and infectious PrP<sup>sc</sup> form of that seeds the formation of toxic aggregates appears to occur at the membrane.<sup>313</sup> This process may also be linked to the formation of amyloid-like PrP fibrils.<sup>314</sup> Whether these phenomena are etiologically related to the dread prion disorders is not yet established.

### 3.7. Proteins that Spontaneously Insert into Membranes under Native Conditions

Many microbial toxins spontaneously insert into bilayers to form multispan membrane proteins with both helical and beta-

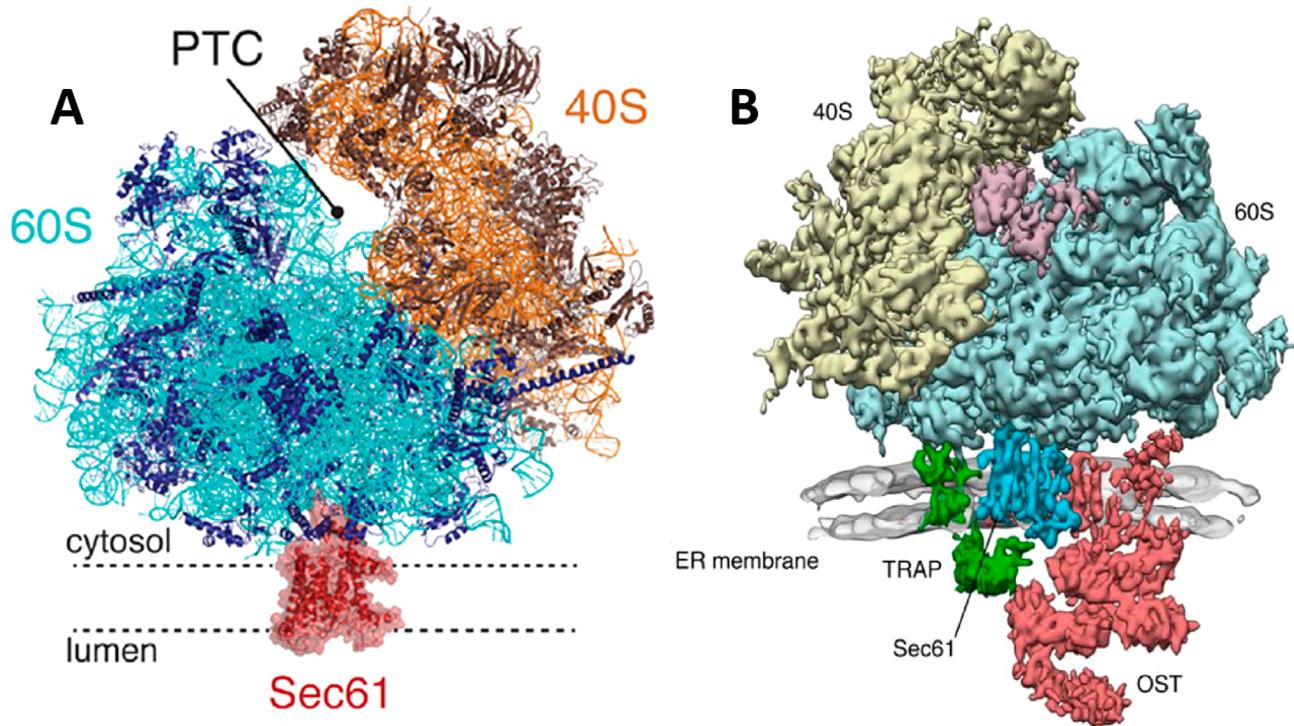
sheet secondary structure, often forming pores.<sup>315–325</sup> Toxins differ from most other MPs in that they are usually folded and freely soluble in aqueous solution following secretion from the pathogen through specialized membrane translocation machinery.<sup>326</sup> Membrane insertion nearly always requires the binding of a soluble toxin to a specific lipid (often cholesterol) or protein on the surface of the target cell. Surface binding is followed by structural changes on the membrane surface (often including oligomerization) prior to or during the process of membrane insertion. Toxins can be thought of as weaponized MPs. Whether there is an ancient evolutionary relationship between toxins and more conventional modern MPs is not well established, but the ability of toxins to spontaneously insert into membranes is a testament to the fact that translocation machinery is not always required for a protein to efficiently insert into a membrane.

Numerous small natural and artificial proteins/peptides, including "cell penetrating peptides" are capable of spontaneous bilayer insertion, sometimes in a pH-triggered manner.<sup>68,317,327–334</sup> Some of these peptides insert from solution into bilayers where they remain as stable membrane proteins. Others are able to dynamically insert into and cross lipid bilayers but only transiently adopt transmembrane configurations, with their preferred states being either surface-associated or soluble.

As summarized earlier, MPs such as DAGK and the OMPs exhibit a capacity for membrane autoinsertion when diluted from detergent-free denaturant solutions into preformed lipid vesicles (other examples given in ref 335). It is unclear how many other nontoxin polytopic helical MPs have the ability to autoinsert from denaturant solutions, but it has been shown that DsbB and GlpG cannot.<sup>336</sup> It has been shown that some purified membrane proteins can be "delivered" to preformed lipid vesicles followed by spontaneous insertion using amphipols or membrane-noninteractive fluorinated surfactants.<sup>167,337,338</sup>

There has been considerable interest during the past 20 years in developing *in vitro* translation methods for producing membrane proteins.<sup>339–341</sup> Among the approaches developed is the PURE system, which employs purified ribosomes and translation factors rather than cell extracts. This enables, among other applications, well-controlled studies of translation and cotranslational folding.<sup>342</sup> PURE has been successfully used to synthesize membrane proteins, with evidence being presented that some MPs produced with this system are integrated and folded into preformed lipid vesicles in the absence of a translocon or other translocase system.<sup>343–345</sup> Booth and co-workers have recently adopted PURE as a platform for quantitative studies of cotranslational folding of membrane proteins. Using this system to synthesize DsbB and GlpG in the presence of preformed lipid vesicles they have shown that insertion and folding of both proteins can occur with fairly high (>60%) efficiency, with the results depending on the protein, lipid composition, and the lateral surface pressure vesicles.<sup>336</sup> The insertion pathways were shown to involve cotranslational engagement of the nascent DsbB and GlpG emerging from the ribosome with the bilayer.

It is interesting to speculate<sup>192</sup> that cell membranes in some ancient life forms might not have had the functional equivalent of a translocon or other modern membrane translocase systems. The existence of spontaneously inserting membrane proteins such as DAGK, the OMPs, and bacterial toxins, combined with the observation of cotranslational membrane



**Figure 12.** (A) Structure of the ribosome-translocon complex. A 3.4 Å resolution model of a mammalian ribosome (blue and brown) bound to the Sec61 complex (red) determined by single particle Cryo-EM is shown. The absence of tRNA in the peptidyl transfer center (PTC) indicates this complex represents the inactive conformation of the complex. Subtle structural rearrangements have been observed within the active complex (not shown). Panel adapted with permission from 359. Copyright 2014, Elsevier under CC BY 3.0 <https://creativecommons.org/licenses/by/3.0/>. (B) Sec61 translocon and complex with the ribosome, TRAP, and the oligosaccharyl transferase. Segmented densities for the 40S (yellow) and 60S (light blue) ribosomal subunits, translation elongation factors (magenta), Sec61 (blue), TRAP (green), and OST (red) from a subtomogram average of the ER membrane associated translocon complex filtered to 9.0 Å resolution. Figure adapted with permission from ref 365. Copyright 2015 Nature under CC BY 4.0 <http://creativecommons.org/licenses/by/4.0/>.

integration of proteins such as DsbB and GlpG in the absence of a translocon supports the notion that early forms of life might have been able to persist without membrane translocation/integration systems such as Sec61/SecYEG.

#### 4. FOLDING OF MEMBRANE PROTEINS IN EUKARYOTIC CELLS

##### 4.1. Membrane Integration at or near the Translocon

The vast majority of MPs are integrated into cell membranes with the assistance of dedicated cellular machinery. The most common pathway involves the action of the heterotrimeric translocon complex known as Sec61 in eukaryotes or SecYEG in bacteria and archaea, which acts in concert with the ribosome to thread the nascent chain into the membrane. However, there are subsets of MPs that rely on other assembly pathways. For example, certain inner MPs in Gram negative bacteria utilize an insertase known as YidC,<sup>346</sup> whereas the OMPs in Gram negatives are dependent on a mechanistically distinct membrane integrase known as BamA.<sup>262</sup> Posttranslational membrane integration of some bacterial proteins can also be achieved through the actions of the SecA ATPase.<sup>347</sup> Eukaryotic tail anchored proteins find their way into the membrane by way of the guided entry of tail-anchored protein (GET) pathway, including the membrane-bound GET1/GET2 complex.<sup>348–350</sup> Furthermore, the recently characterized endoplasmic reticulum membrane protein complex (EMC) appears to actively facilitate the integration of a range of MPs, possibly often in concert with the Sec61 complex.<sup>351–353</sup> Other

organelles, such as mitochondria, have their own systems. Given the central importance of MP biosynthesis and assembly in life processes, it is unsurprising that nature has developed multiple mechanisms to both facilitate membrane integration and to suppress and manage misfolding during and after MP translation. For the purposes of this review, we will focus on the structure, mechanism, and activity of the Sec61 translocon complex and its associated chaperones and other folding accessory proteins

**4.1.1. Structure and Function of the Translocon.** MPs across all kingdoms of life are produced and integrated into the membrane through the concerted actions of the ribosome and the Sec61/YEG complex. Structural models derived from crystallographic and cryo-EM data have provided a wealth of insight into the structure and function of this complex (Figure 12). The core functional unit of the translocon is a heterotrimeric complex consisting of Sec  $\alpha$ ,  $\beta$ , and  $\gamma$  subunits in the ER membrane of eukaryotes or SecY, E, and G in the plasma membrane of bacterial and archaeal cells.<sup>354,355</sup> In most cases, stalled ribosomes carrying the transcripts of secreted proteins or of integral MPs are delivered to this heterotrimeric complex by the signal recognition particle (SRP) and its receptor. Upon delivery, the ribosome associates with the translocon through its universal ribosomal adaptor site located within the cytosolic loops connecting TM segments 6/7 and TM segments 8/9 of Sec $\alpha$ /Y.<sup>354,356–359</sup> Once associated with the translocon, the ribosome resumes translation of the client protein and the nascent polypeptide chain is guided into the protein-conducting channel (PCC) by the C-terminal helix of

Sec  $\alpha$ /Y.<sup>360</sup> The PCC is contained within the Sec  $\alpha$ /Y subunit, which contains ten TM helices that form two pseudosymmetric lobes composed of TM segments 1–5 and 6–10.<sup>360</sup> The interface between these lobes creates a polar, hourglass-shaped cavity that is filled with water.<sup>361,362</sup> The cytosolic and luminal chambers are separated by a 5–6 Å pore created by a ring of hydrophobic residues, which forms a seal around the nascent chain and minimizes the exchange of ions and small molecules between the cytosol and ER lumen during translation.<sup>354,361,362</sup> In the inactive state, ion leakage through the PCC is also prevented by the association of a plug domain (TM2a) with the luminal face of Sec  $\alpha$ /Y.<sup>358,361,363,364</sup> Association of the translating ribosome with the translocon causes a subtle conformational change that guides the nascent chain through the gasket into the ER lumen and displaces the plug.<sup>359</sup> During translation, the separation of TM segments 2b/3 and 7/8b creates a lateral gate that allows the nascent chain to transiently sample the membrane environment.<sup>42,356–360,362,365</sup> Upon entry of a hydrophobic segment of the nascent chain into the PCC, a rigid body rotation of several TM helices within the N-terminal lobe of Sec  $\alpha$ /Y opens the lateral gate to facilitate entry of the nascent chain into the bilayer.<sup>359,366</sup> This movement of hydrophobic segments through the lateral gate and into the membrane (topogenesis) establishes the orientation of TM helices with respect to the membrane (topology). It is widely assumed that nascent TM helices typically move from the PCC to the membrane. However, given the energetic and geometric constraints involved in topogenesis, it has also been argued that many nascent TM domains may initially partition into the membrane interface prior to topological isomerization.<sup>367</sup> Considering the relatively weak energetic drivers involved in these reactions and the passive nature of these molecular machines, it seems likely that nascent membrane proteins may find more than one way into the membrane.

Though a single monomeric Sec61/YEG complex is sufficient to mediate topogenesis, it should be noted that several ribosome-translocon complexes may occupy a single mRNA transcript at the ER membrane.<sup>355,368</sup> Formation of these polysomes presumably increases the local concentration of nascent MPs in a manner that may help nascent MPs to form native oligomeric assemblies. Indeed, this aspect of topogenesis appears to bias the oligomerization state of AcrB in the inner membrane of *E. coli*.<sup>369</sup> A minimal complex composed of Sec  $\alpha$ /Y and Sec  $\gamma$ /E is sufficient to mediate basal translocation.<sup>370</sup> Nevertheless, native translocons are associated with a wide variety of accessory subunits that serve to tune the activity of the translocon and to carry out processing of the nascent chain in order to better suit the needs of divergent client proteins.

Figure 12B depicts how some of these chaperones associate with the ribosome-translocon complex. The ~200 kDa hetero-octameric complex known as the oligosaccharyltransferase complex (OST)<sup>371</sup> is found in nearly half of all native translocons<sup>372,373</sup> and catalyzes the glycosylation of asparagine side chains of N-X-S/T motifs (where X is any amino acid except Pro), which is one of the most common protein modifications in eukaryotes. Indeed, N-linked glycosylation is known to play a key role in the folding and trafficking of a wide array of MP substrates.<sup>374</sup> N-Glycosylation can take place either cotranslationally or post-translationally.<sup>375</sup> In many cases, maturation of the nascent chain also requires proteolytic removal of signal peptides, which are often found in MPs

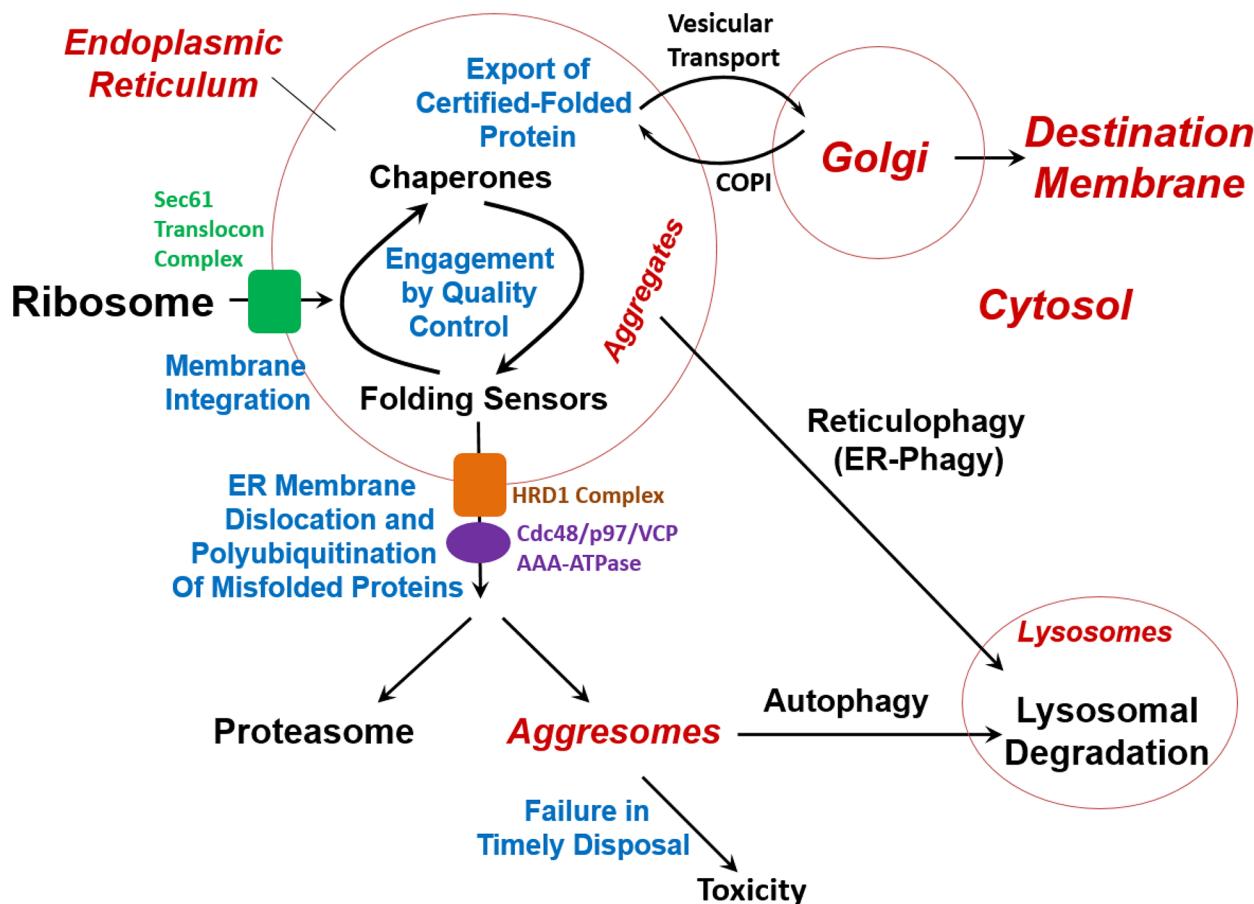
containing luminal/extracellular domains upstream of their TM domains. Removal of signal peptides is mediated by signal peptidases, which are a family of intramembrane proteases.<sup>376</sup>

Proper folding of translocon substrates may also hinge on interactions of the nascent chain with certain intramembrane chaperones within the translocon complex. For instance, the translocating chain-associated membrane protein (TRAM) is an abundant integral membrane glycoprotein that associates with the translocon and improves the translocation of certain substrates.<sup>377</sup> Though its precise mechanism of action is unclear, TRAM appears to mediate the handoff of polar TM domains from the translocon into the lipid bilayer in a way that depends on the sequence context of the client protein.<sup>42,378</sup> Similarly, the heterotetrameric translocon-associated protein (TRAP) complex<sup>379</sup> appears to enhance the translocation of certain substrates bearing ambiguous topogenic signals.<sup>380,381</sup> The EMC also associates with some emerging MPs cotranslationally, especially those enriched with charged residues, to facilitate proper membrane integration, to protect clients from premature degradation, and to enable interactions with chaperones.<sup>352</sup> Correct orientation of proteins with semipolar signal peptides appears to depend on the highly abundant Sec62 subunit,<sup>382</sup> which also mediates the posttranslational translocation of certain client proteins.<sup>383</sup> Sec62 is associated with another Hsp40 homologue at the translocon known as Sec63, the J-domain of which facilitates BiP-mediated ratcheting of nascent polypeptides into the ER lumen.<sup>384</sup> Thus, some of these subunits serve to connect the translocon to other components of the cellular proteostasis network.<sup>385</sup>

It should be recognized that many of the accessory subunits alluded to above are present at substoichiometric concentrations within the ER membrane, which renders native translocon complexes functionally heterogeneous.<sup>372,386</sup> This polydispersity is clearly physiologically relevant, as mutations that alter the relative abundance and association of OST are linked to congenital glycosylation diseases.<sup>387</sup> Furthermore, this observation implies that the cotranslational folding of integral MPs cannot be mediated by a single set of core chaperones. Rather, the chaperones available to the nascent chain are likely to be determined by the organization of its ribosome–translocon complex. Ongoing investigation into the structure and function of these subunits and other relevant chaperones will undoubtedly provide key insights into how these dynamic assemblies facilitate the production and processing of the membrane proteome.

#### 4.1.2. Energetics of Translocon-Mediated Membrane Integration.

Though the structure of the translocon complex is intricate, topogenesis itself is thought to be driven by the minimalist principles associated with the partitioning of the nascent polypeptide chain between the PCC and the membrane.<sup>42,388</sup> Because the PCC is hydrated<sup>361</sup> and the membrane core is hydrophobic, the energetics associated with lateral partitioning of the nascent chain between the translocon and the membrane mirrors the transfer free energies of polypeptides between oil and water,<sup>388,389</sup> with subtle deviations potentially arising from certain kinetic constraints.<sup>390</sup> An analysis of the contribution of non-native amino acids to the energetics of translocon-mediated membrane integration revealed that transfer free energy scales with the hydrophobic surface area of the nascent chain.<sup>391</sup> However, biological membranes are not a uniform solvent, and the relative abundance of bulk water and other polar chemical groups varies as a function of membrane depth.<sup>392,393</sup> Due to

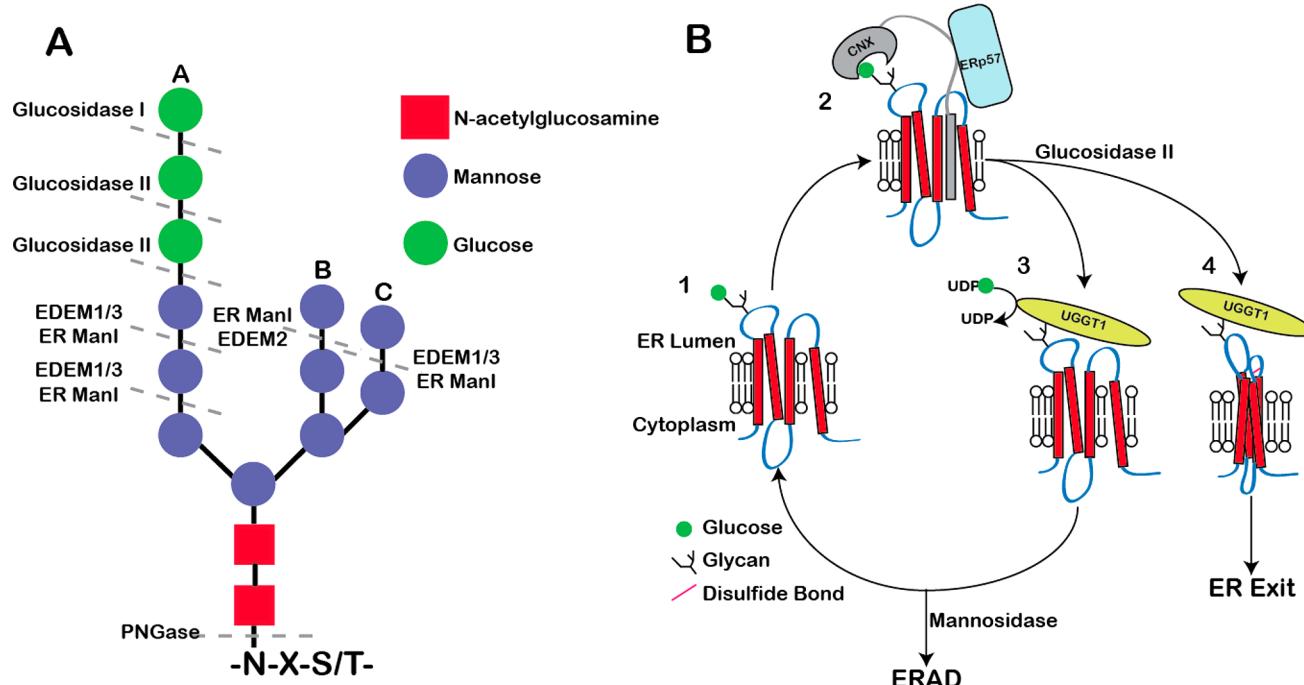


**Figure 13.** Overview of MP folding in the early secretory pathway of mammalian cells. This figure encompasses ERAD, ERAF, and ERES, plus some components of the broader proteostatic network.

this transverse heterogeneity, the transfer free energy of amino acid side chains from the translocon into the bilayer (or from the aqueous phase to the bilayer)<sup>189,207</sup> also exhibits an appreciable dependence upon depth within the membrane.<sup>394,395</sup> Native bilayers are also asymmetric with respect to the electrostatic properties of the inner and outer leaflets, as lipids with anionic head groups are enriched on the cytosolic leaflet. The net-negative charge of the cytosolic membrane interface facilitates the formation of electrostatic interactions between lipid head groups and cationic amino acid side chains in a manner that biases the orientation of TM domains with respect to the membrane.<sup>396</sup> This energetic bias apparently plays a key role in the stabilization of the native topology, as cationic side chains are highly enriched near the cytosolic edge of TM domains.<sup>38,397</sup> The generality of this “positive-inside rule” in combination with current estimates for the energetics of translocon-mediated membrane integration can be used to predict MP topology from sequence with considerable accuracy.<sup>395,398</sup>

The energetics of topogenesis are sufficient to guide the cotranslational membrane integration of thousands of chemically diverse substrate proteins in the absence of their native structures. This is remarkable considering that the magnitude of the forces driving membrane integration of the nascent chain are relatively modest. Furthermore, many client proteins bear TM domains that are enriched with polar residues, which are critical for function.<sup>15,399</sup> An analysis of the sequences of known MPs using an experimentally trained energetic algorithm revealed that ~25% of TM domains within polytopic

MPs are likely too polar to spontaneously partition into the membrane in the absence of additional stabilizing interactions.<sup>400</sup> Polar TM segments like these introduce frustration into the nascent chain, which can promote the formation of aberrant topomers.<sup>401,402</sup> The formation of these non-native topologies during biosynthesis is perhaps inevitable for certain client proteins considering the tertiary contacts that stabilize the native topology may be inaccessible during translation. Proper membrane integration of some topologically frustrated segments may hinge on the formation of interhelical contacts with neighboring TM domains.<sup>397,403</sup> Strong topological preferences in neighboring TM domains can also drive polar segments into the membrane.<sup>391</sup> For instance, the topology of the nascent form of aquaporin 1 features only four of six TM domains within the membrane, and the membrane integration of the remaining two TM domains is accomplished through a posttranslational inversion of its third TM domain.<sup>243,404</sup> Protein–lipid interactions also appear capable of inverting the entire N-terminal domain of *E. coli* lactose permease.<sup>405</sup> However, there are few examples of proteins that undergo such an extreme topological rearrangement, and it seems that many MPs are likely to remain trapped within the global topology established by the translocon.<sup>41</sup> Nevertheless, a comparison of crystal structures and energetic predictions suggests that, in many cases, the segments selected by the translocon may only partially overlap with native TM helices.<sup>406</sup> Thus, posttranslational folding reactions may often involve mild to moderate adjustments to the nascent topology. These sorts of topological isomerizations are likely constrained by the polarity and size of



**Figure 14.** N-Linked glycan (A) and the calnexin cycle (B). As proteins are translocated into the ER membrane they are tagged with a 14-sugar moiety at N-X-S/T (where X is any amino acid but P) sequence motifs as shown in panel A. This post-translational modification serves a multitude of functions for the protein including increasing hydrophilicity, preventing aggregation, influencing tertiary contacts, and serving as a folding “barcode”. Through sequential cleavage of monosaccharides (gray dotted lines), the folding polypeptide can be engaged with different lectin chaperones involved in either ERAF or ERAD. The enzymes responsible for cleaving various glycosidic linkages are shown. The predominant folding pathway for glycosylated proteins is shown in panel B. Monoglycosylated proteins (1) are engaged by the membrane-anchored lectin chaperone calnexin (2, gray). Calnexin provides the protein with an isolated environment to fold, recruits essential cochaperones such as the disulfide isomerase ERP57 (cyan), and may also facilitate the proper tertiary packing of a MP by associating with exposed hydrophilic residues in the plane of the membrane. Association is transient; glucosidase II cleaves the terminal glucose residue on the glycan chain freeing the client polypeptide from engagement with calnexin. Proteins that have yet to attain their proper tertiary structure (3), are sensed by UGGT1 (orange) and reglycosylated, allowing reentry into the calnexin cycle (1). Proteins that have obtained their proper structure and post-translational modifications (particularly disulfide bonding) are sensed by UGGT1 and funneled toward the ER export machinery for ER exit (4). Polypeptides that fail to complete folding after consecutive cycles are eventually funneled out of the cycle and targeted for ERAD by the action of mannosidases.

soluble loops, which influence the magnitude of the kinetic barriers involved in their movement across the bilayer.<sup>407,408</sup>

The persistence of nascent MPs within non-native topologies may potentially contribute to cellular misfolding, as aberrant topomers are rapidly degraded in the cell.<sup>409</sup> Indeed, it has been estimated that 10–15% of mutations associated with diseases of MP misfolding enhance formation of aberrant topomers,<sup>287,410</sup> suggesting that the fidelity of topogenesis is tied to the efficiency of MP folding. Proteins exhibiting gross topological defects are likely to remain kinetically trapped in non-native topologies. Additional investigations into the connection between cotranslational folding and membrane proteostasis are needed.

#### 4.2. Formation of Tertiary and Quaternary Structure in the Endoplasmic Reticulum

MP folding in the cell is assisted not only by the translocon and related MP complexes but also by a series of other “quality control” proteins that assess the conformational state of the client MP. These processes ultimately facilitate correct folding in a manner that is coupled to the subsequent trafficking of the protein through the secretory pathway. These proteins also target misfolded MPs for terminal degradation. Quality control systems vary considerably between organisms, tissues, cells, and organelles. For the purposes of this review, we focus here on the quality control system of the mammalian endoplasmic

reticulum. However, it must be noted that a good deal of what we know about ER quality control stems from studies of quality control in yeast, as is represented by a vast literature (cf. ref 411). A diagram summarizing the central ER-based pathways for MP folding, misfolding, and degradation is given in Figure 13.

**4.2.1. Endoplasmic Reticulum Quality Control.** MPs begin to fold into their proper tertiary structure concurrent with their cotranslational membrane integration. While MP folding QC begins even at the ribosome,<sup>412</sup> this process is mainly surveilled and managed by the ER quality control (ERQC) network.<sup>413–422,6,416</sup> Beyond the translocon, ERQC can be further broken down into three overlapping subsystems: the coterie of proteins involved in facilitating ER-associated folding (ERAF), those involved in recognizing properly folded proteins and targeting them for export to the Golgi or other destinations, and those involved in recognizing and targeting misfolded proteins for degradation in a pathway known as “ER associated degradation” (ERAD). In the following sections, we will discuss these systems. We note that the ERQC network also works in collaboration with the ER-based unfolded protein response (UPR), a system that produces a transcriptional response to stress associated with the burden of protein production and folding in the lumen and membrane of the ER.<sup>415,417,423</sup> This linkage between ERQC and the UPR is critical to the function of the overall cellular proteostasis

network and for the biogenesis of the ~35% of all cellular proteins that reside in or pass through the secretory pathway.

The extent to which MP folding occurs at or near the translocon versus after cleavage from the translocon likely varies among client proteins. Nevertheless, there are two observations that suggest many MPs may complete folding only well after dissociation from the translocon complex. First, while it has long been recognized that there are spatially distinct domains of the ER—the translocon-rich “rough ER” and the translocon-depleted “smooth ER”—studies over the past decade have revealed that the architecture of the ER is actually much more complex. The ER now appears to extend throughout the cell, making direct contacts with other organelles thorough “membrane contact sites”.<sup>424</sup> Different domains of the ER feature biased protein compositions, which are likely associated with distinct ER region-specific functions.<sup>223</sup> This observation implies that certain aspects of MP folding may be facilitated and managed in spatially distal domains of the ER. Consistent with this notion is the fact that MPs can spend considerable time in the ER prior to export to the Golgi, much longer than the time required for completion of translation and membrane integration. For instance, mature cystic fibrosis transmembrane regulator (CFTR) begins to appear at the plasma membrane on the order of 1–2 h after the initiation of translation, while degradation of misfolded CFTR occurs with a half-life of 45 min.<sup>425–427</sup> Similarly, the half-life of biosynthesis and trafficking of the epithelial ENaC channel is roughly 1 h, with half-lives for the degradation of misfolded protein on the order of 15–20 min.<sup>428,429</sup> Nascent PMP22 associates with the ER-resident lectin chaperone calnexin with a half-life of 11 min, indicating nascent PMP22 molecules that engage within this chaperone spend at least minutes in the ER before trafficking on to the Golgi. By comparison, the folding-defective L16P disease mutant of PMP22 forms a complex with calnexin that exhibits a half-life of over 1 h.<sup>430</sup> These rough kinetic estimates highlight the fact that most MPs require considerable time to clear ERQC. Further studies and advances in technology are needed to better understand how kinetic control of MP trafficking influences MP biogenesis.

**4.2.2. The Calnexin Cycle as a Central Component of Endoplasmic Reticulum Quality Control for Membrane Protein Folding.** An estimated 70% of proteins inserted into the ER are cotranslationally N-glycosylated on their luminal domain by the addition of one or more units of a 14-sugar complex oligosaccharide (“core N-glycan”).<sup>431,432</sup> In mammals, this glycoform is composed of three glucoses, nine mannoses, and three N-acetylglucosamines arranged in a tree-like structure (shown in Figure 14A). Core N-glycan biosynthesis is initiated on the cytosolic face of the ER membrane and completed on the luminal side via sequential additions of uridine diphosphate-linked monosaccharides to a membrane-anchored dolichol phosphate scaffold.<sup>433,434</sup> OST catalyzes the luminal addition of this oligosaccharide en bloc to asparagine residues of N-X-S/T sequence motifs of nascent proteins.<sup>416,433,434</sup> In order to catalyze N-glycosylation, OST requires local structural flexibility at the glycosylation site.<sup>433</sup> N-Glycosylation can be disrupted by tunicamycin, a compound often used to induce ER stress and that inhibits GlcNAc-1-phosphotransferase responsible for catalyzing the initial addition of N-acetylglucosamine to dolichol phosphate.<sup>435</sup> N-Glycans increase the hydrophilicity of the polypeptide chain and may potentially facilitate arrangement of the ER-luminal

segments/domains in a way that could stabilize and/or alter the tertiary structure of the membrane domain. The steric properties of these glycans can also help prevent proteolytic cleavage and decrease the propensity for protein aggregation. Beyond the physical effects of these modifications on the folding process, N-glycans also serve as “folding barcodes” for components of the ERQC to track the trajectory of the nascent protein through the lectin chaperone pathway (Figure 14B).<sup>433,434,436</sup> Modification of the core oligosaccharide through the addition or removal of sugars is coupled to the folding of the nascent protein and its binding to ERQC proteins.

Once transferred onto a nascent protein, the terminal glucose residue on the core N-glycan is cleaved by the membrane-anchored glucosidase I. This hydrolytic event enables the nascent glycoprotein protein to engage with the lectin chaperone malectin.<sup>416,437</sup> Malectin displays an affinity for misfolded conformations of proteins, and its overexpression reduces the total protein trafficking out of the ER.<sup>438</sup> Malectin may serve as the initial sensor of the conformational state of nascent chains early in their lifetime, possibly targeting certain misfolded proteins for degradation. This function would reduce the burden on downstream chaperones by reducing the flux through later branches of ERAD. Malectin may also protect against aggregation and accumulation of misfolded proteins in the ER.<sup>439</sup> However, it is not yet clear whether malectin is involved in the surveillance of all nascent N-glycoproteins or if it exhibits selectivity.

ER luminal glycosidase II, the next component of the lectin pathway, cleaves the outermost remaining glucose to yield a monoglycosylated N-linked glycan. Cleavage of this glucose residue promotes interactions with either the membrane-anchored lectin chaperone calnexin or its soluble paralog calreticulin (Figure 14B step 2).<sup>414–417,434</sup> Because of its colocalization in the ER membrane, most nascent integral MPs preferentially interact with calnexin.<sup>437,440</sup> Calnexin is a Ca<sup>2+</sup> binding protein composed of a luminal N-terminal lectin domain (275 residues) followed by an extended proline-rich “P-domain” (ca. 135 residues), a single TM segment, and a highly acidic cytosolic domain (ca. 90 residues).<sup>437,441</sup> Calnexin and its water-soluble homologue, calreticulin, appear to be critical folding sensors of ERQC. Elegant biochemical studies have shown that calnexin interacts with misfolded, monoglycosylated glycoproteins with submicromolar affinities in vitro.<sup>434,442</sup> Transient interactions of calnexin with partially folded proteins occur with a half-life on the order of minutes to hours depending on the substrate, with sequestration by calnexin, affording clients a protective environment during folding.<sup>434,443</sup> Calnexin also recruits the accessory chaperones ER protein 57 (ERp57) and cyclophilin P (CycP) via interactions with its P domain, which catalyze the oxidation of free cysteines and cis/trans isomerization of proline residues, respectively.<sup>414,416,434,437</sup> Interestingly, it has been shown that calnexin can selectively bind misfolded conformations of integral MPs, even in the absence of glycosylation.<sup>443–446</sup> For glycoproteins, it is possible that the glycosylation status of a protein may trigger initial binding or increase the affinity of a client for calnexin but that its actual chaperone activity involves a different set of client-calnexin interactions involving their TM domains. For example, the lone TM segment of calnexin has been shown to be both necessary and sufficient to retain trafficking-defective mutants of the  $\gamma$ -aminobutyric acid (GABA) transporter in the ER,

localizing it to concentric assemblies that can be visualized by EM (discussed further below).<sup>447</sup> Likewise, the recognition of the misfolding-prone L16P disease mutant form of PMP22 by calnexin appears to involve direct recognition by calnexin of folding defects in the PMP22 TM domain.<sup>430,443,448</sup> It has also been hypothesized that the TM domain of calnexin can recognize mis- or partially assembled helices of polytopic MPs or unassembled oligomers by serving as a temporary stand-in for unpaired TM domains.<sup>447,449</sup> Thus, the chaperone activity of calnexin is multifaceted and involves both glycosylation-dependent and independent modes.

Once released from calnexin/calreticulin, the terminal glucose residue on the *N*-glycan of a substrate protein is cleaved by glucosidase II, which lowers the affinity of the substrate for calnexin/calreticulin. At this point, the client protein is engaged by another folding sensor, the UDP-glucose:glycoprotein glucosyltransferase-1 (UGGT1).<sup>415–417,437,450</sup> Proteins that have failed to mature fully at this point are recognized by UGGT1, which catalyzes the readdition of a glucose residue from UDP-glucose to the *N*-glycan of the client protein (Figure 14B, step 3). This modification reactivates interactions of the client protein with calnexin and/or calreticulin and continued ER retention.<sup>415–417,437</sup> Only some proteins must be cycled back through the lectin chaperone pathway in this manner. Biochemical and cellular biological assays have shown UGGT1 exhibits a preference for incompletely folded substrates over either fully folded or irreversibly misfolded proteins.<sup>451–453</sup> Thus, folded proteins appear to escape reglucosylation of UGGT1, which allows them to proceed to engage the ER export machinery and escape the ER (see Figure 14B step 4). Knocking out UGGT1 in mice results in embryonic lethality, suggesting that this pathway is essential in higher organisms. However, studies in mouse embryonic fibroblasts show that the maturation of most proteins is unaffected by the loss of UGGT1,<sup>454</sup> suggesting that only a subset of essential proteins need to associate with calnexin more than once to complete maturation.

How UGGT1 monitors the conformational state of integral MP substrates is unclear. UGGT1 is a soluble 170 kDa protein whose N-terminal region contains a hydrophobic pocket that could potentially detect misfolded polypeptides, with its C-terminal domain potentially catalyzing glucosylation.<sup>455</sup> Interestingly, UGGT1 accommodates a wide variety of substrates that vary considerably in terms of both size and shape. It should also be noted that this protein catalyzes glucosylation of *N*-glycans as far as 40 Å from misfolded domains.<sup>456,457</sup> Recent structural studies of UGGT1 showed that there is a high degree of flexibility between the folding sensor region of the protein and the glucose transfer region.<sup>456,457</sup> Introduction of interdomain disulfide bridges reduced this intrinsic flexibility and decreased enzymatic activity.<sup>458</sup> The interdomain flexibility of UGGT1 may facilitate protein substrate promiscuity and promote the ability of the enzyme to reglucosylate *N*-glycans located at variable distances from the misfolding-recognition site. Based on our current knowledge of UGGT1, it seems likely to interact with misfolded substrates through improperly exposed hydrophobic residues within the ER lumen. It has also been suggested that that UGGT1 is able to recognize aberrant introduction of a polar residue into a TM site.<sup>459</sup>

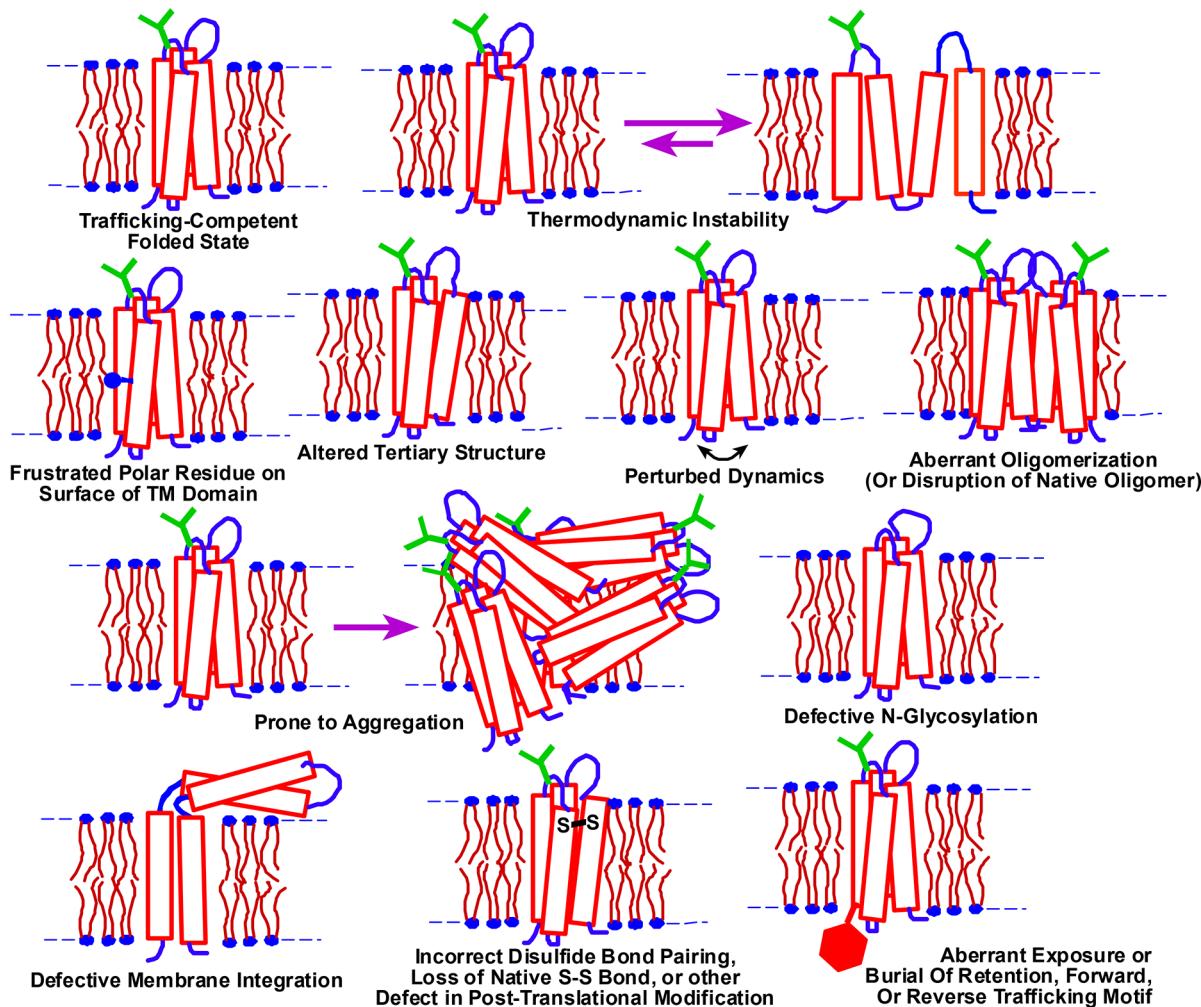
Terminally misfolded or slowly folding proteins are eventually funneled out of the calnexin cycle through the

action of mannosidases. Cleavage of the terminal mannose residue on the A chain of the *N*-glycan (Figure 14A) inhibits glucose readdition and targets the protein for degradation (see below). Multiple proteins with mannosidase activity are present in the ER, including ER  $\alpha$ -1,2 mannosidase I (ER Man I) and ER degradation enhancing  $\alpha$ -mannosidase protein (EDEM) isoforms 1, 2, and 3.<sup>415–417,434,437</sup> ER Man I inhibitors have been shown to selectively slow the degradation of misfolded proteins in the ER.<sup>416</sup> Thus, these enzymes appear to convert glycoproteins into targets for the ERAD pathway (discussed below). These observations have collectively led to the “mannose timer” hypothesis for ERQC,<sup>415,434,460</sup> which is that nascent glycoproteins only have a certain amount of time to fold and exit the ER before they are eventually cleaved and targeted to ERAD by a catalytically sluggish mannosidase. This logic may explain why degradation of nascent proteins is typically slow, even under conditions of proteotoxic stress.<sup>461</sup> Slow folding kinetics may well constitute a key determinant of the fraction of nascent proteins that face cleavage following mannosidase action. Various aspects of the activity of these mannosidases have yet to be characterized in detail, though there is some evidence that they have the capacity to directly recognize conformational defects (see section 4.3.1).<sup>462</sup>

#### 4.2.3. Other Mechanisms of Endoplasmic Reticulum Quality Control.

Not all ERQC is dependent on protein glycosylation, and there is mounting evidence to suggest nonglycosylated proteins can also interact with ERQC proteins that were previously believed to serve in a glycosylation-dependent fashion.<sup>445,446,463</sup> Additionally, some components of the ERQC pathway may play a role in both glycan-dependent and glycan-independent pathways. For instance, the ER-localized heat shock protein 70 (Hsp70) family member “binding immunoglobulin protein” (BiP) is involved in ERAF and ERAD of both glycosylated and nonglycosylated MPs.<sup>417,464</sup> This chaperone is found in the ER lumen where it plays a role in the recognition of misfolded MPs. BiP contains both an ATPase domain and a substrate-binding domain.<sup>465</sup> The substrate binding domain contains a hydrophobic binding pocket that recognizes exposed hydrophobic residues. When bound to ATP, BiP exists in an open state. Upon substrate binding, BiP hydrolyzes ATP and “clamps” down on its substrate. Nucleotide exchange factors (NEFs) Sill1 and Drp170 catalyze the exchange of ADP for ATP and facilitate the release of the client protein.<sup>466</sup> BiP also associates with a variety of cochaperones including DNAJ/Hsp40 family (ERdj1–7) proteins that facilitate oxidative folding, rearrangement of disulfide bonds, and disulfide bond reduction.<sup>467</sup> Since BiP is soluble, it presumably interacts with misfolded MPs through recognition of exposed hydrophobic patches in luminal domains or through interactions with mis-incorporated membrane domains that become exposed to the aqueous lumen.

BiP clients and those for the calnexin cycle are not mutually exclusive; proteins may “ping-pong” between both pathways during maturation.<sup>434,464</sup> The location of the *N*-glycan within the primary structure of the nascent chain may also influence its trajectory through ERQC. *N*-Glycans within the first ~50 residues of a protein usually target it to the calnexin cycle, bypassing BiP.<sup>468</sup> Indeed, BiP binding to misfolded substrates is increased when calnexin is knocked down or out.<sup>469,470</sup> Thus, it appears that nascent MP substrates may kinetically



**Figure 15.** Some of the possible folding defects in MPs that must be recognized and managed by the folding quality control systems of all cells.

partition between the BiP and calnexin pathways in a way that is dictated by their intrinsic conformational properties.

Another important chaperone involved in ERQC is the Hsp90 family member, glucose-regulated protein 94 (GRP94). GRP94 is involved in both ERAF and in targeting proteins to ERAD and is the only known Hsp90 member within the ER lumen.<sup>471</sup> The ATPase activity of GRP94 is essential for its chaperoning activity *in vivo*. However, the exact mechanism of action of GRP94 has yet to be elucidated.<sup>472</sup> Unlike BiP, GRP94 appears to interact with a more limited number of substrates, including the Toll-like receptors, integrins, and members of the low density lipoprotein receptor (LDLR) family.<sup>473–475</sup> Cytosolic Hsp90s typically bind substrates after Hsp70, and it appears likely that GRP94 engages substrates released by BiP.<sup>473</sup> GRP94 also interacts with OS-9, which is a component of the ERAD pathway. Nevertheless, how GRP94 facilitates the degradation of misfolded proteins is unclear.<sup>476</sup> Much work is still needed to elucidate the role(s) of GRP94 in both the ERAF and ERAD pathways.

Protein disulfide isomerases (PDIs) also play important roles in ERQC.<sup>416,464,477</sup> PDIs are the primary oxidants of cysteine thiols in the ER, and can break (reduce), form (oxidize), or rearrange (isomerize) disulfide bonds depending on the oxidation state of its Cys-X-X-Cys active site.<sup>478</sup> PDIs sometimes serve as chaperones that recognize exposed hydrophobic patches in misfolded proteins.<sup>479</sup> Of particular

importance is the ERdj5 protein, which has the dual function of being both a PDI and a J-domain cochaperone.<sup>479</sup> Recent solution state NMR studies have mapped the PDI substrate binding site using unfolded ribonuclease A as substrate, and also using substrate peptides mastoparan and somatostatin.<sup>480</sup> These studies showed that PDIs specifically recognize misfolded proteins with exposed hydrophobic patches in a manner that circumvents the kinetic barriers associated with the isomerization of disulfide bonds. This activity helps overcome kinetic entrapment of intermediate folding states.

The compartmentalization afforded by the ER is also essential for these quality control reactions. The segregation of proteins into ER subcompartments appears to help guide immature, mature, and misfolded proteins through the cell.<sup>223</sup> Certain proteins appear to cycle between the bulk ER and so-called quality control vesicles (QCVs) that are enriched for ER Man I.<sup>481</sup> This spatial segregation presumably protects nascent glycoproteins against premature mannose trimming by ER Man I. Misfolded proteins also appear to localize within subcompartments known as ER quality control compartments. ERAD machinery that recognize misfolded proteins, such as OS-9 and HMG-CoA reductase degradation protein 1 (Hrd1, discussed below), are enriched within this compartment. Additional quality control proteins and ERAD machinery including calnexin, calreticulin, EDEM1, and Derlin-1, are also found within this compartment in the presence of proteotoxic

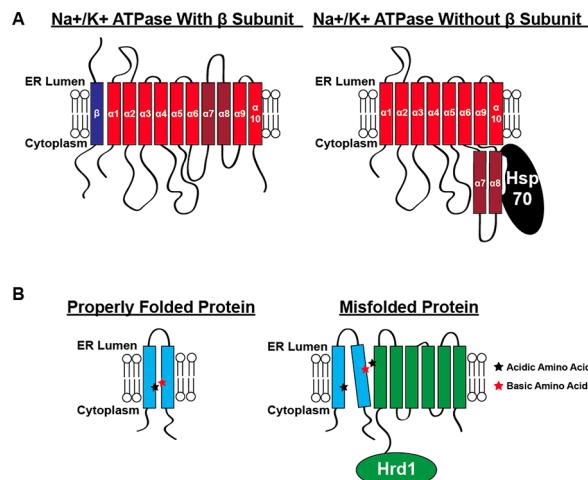
stress.<sup>481,482</sup> Finally, mature proteins appear to accumulate near ER exit sites, which stem from smooth ER membranes.<sup>483</sup> These exit sites are enriched with ER to Golgi transport machinery, as further discussed below.

Calnexin appears to play a critical role in the sorting of substrate proteins between different ER subcompartments. As noted above, the TM region of calnexin sequesters misfolded GABA receptors in distinct regions of the ER.<sup>447</sup> In yeast, it has been shown that a model misfolded integral MP Ste6p localizes to distinct vesicular ER quality control compartments adjacent to the bulk ER.<sup>484,485</sup> These compartments are composed of proliferated, tubular, ER membranes that are absent in cells not expressing the misfolded variant of Ste6p, and their presence was shown not to affect the trafficking of other proteins. Compartmentalization of misfolded proteins is likely to be advantageous because it restricts their diffusion and concentrates them to potentially increase the efficiency of degradation.<sup>485</sup> Additionally, the sequestration of misfolded proteins likely minimizes their aberrant interactions with healthy proteins.

Finally, many MPs, such as the CFTR, have large soluble domains facing the cytosol. The folding of these domains is mediated by some of the same chaperones (Hsp70s and Hsp90s) and accessory proteins that interact with water-soluble cytosolic proteins (cf. ref 486). The folding of proteins such as CFTR involves the coordinated action of both ER-resident and cytosolic chaperones and folding sensors.

**4.2.4. Recognition of Misfolded Membrane Proteins and the Logic of Endoplasmic Reticulum Quality Control.** Figure 15 diagrams some of the tertiary and quaternary defects in MPs that could potentially occur within the ER membrane. MP folding quality control systems must have mechanisms for the specific recognition of these defects.<sup>487</sup> This is a tall order; native protein structures vary considerably with respect to their shapes, sizes, and conformational dynamics. What are the structural features that the folding sensors of ERQC proteins recognize and how specific are these interactions? For soluble proteins or aqueous domains of MPs within the ER lumen, ERQC seems most often to recognize exposed hydrophobic segments.<sup>414–417,477</sup> UGGT1 and BiP utilize this mechanism, for example.<sup>465,488</sup>

How misassembly of TM domains is recognized is poorly understood but likely involves their intrinsic structural properties.<sup>489,490</sup> The aberrant partial or total exposure of a TM segment within the ER lumen or cytosol may represent a structural cue indicating misfolding or instability of these proteins (Figure 16A).<sup>429,491</sup> As noted earlier, many polytopic integral MPs (and single pass integral MPs that function as dimers or higher order oligomers) have TM segments that are only marginally hydrophobic.<sup>16,492</sup> Solvation of these helices within the membrane may be contingent on the formation of proper tertiary or quaternary contacts. Single amino acid mutations within these domains could potentially disrupt native helical packing interactions. These helices or helical hairpins could then “slip” into the ER lumen (or cytosol), thereby exposing hydrophobic patches for recognition by BiP or other folding sensors/chaperones, as has been demonstrated for the Na<sup>+</sup>/K<sup>+</sup> ATPase.<sup>243,493,494</sup> This pump is comprised of a 10 TM  $\alpha$  subunit and a single TM  $\beta$  subunit, which heterooligomerize to form the functional transporter. In the absence of the  $\beta$  subunit, the C terminal TM helices fail to properly integrate into the membrane, and the TM7/8 hairpin becomes exposed to the cytosol (Figure 16A).<sup>493</sup> Exposure of



**Figure 16.** Examples of mechanisms for ERQC detection of a misfolded integral MP. In panel A, the 10 TM  $\alpha$  subunit (red) of the Na<sup>+</sup>, K<sup>+</sup> ATPase is able to properly integrate into the ER membrane in the presence of the single-pass TM  $\beta$  subunit (blue). In the absence of the  $\beta$  subunit, TM helices 7 and 8 fail to properly integrate into the ER membrane and splay into the cytoplasm where they can be recognized by the Hsp70 chaperone (black), leading to targeting for degradation. In panel B, a hypothetical dual pass TM protein (cyan) requires a salt-bridge (acidic and basic amino acids shown with stars) in the plane of the membrane in order to maintain its proper fold. If the protein is misfolded or unstable, it can expose these hydrophilic amino acids in the plane of the membrane. Hrd1 (green) contains a number of hydrophilic amino acids in the plane of the membrane that may aid in recognizing aberrantly exposed polar residues in MPs, resulting in ERAD targeting.

hydrophobic residues apparently results in the recognition of this domain by cytosolic sensors, leading to increased degradation of the protein within the cell. The single pass TM  $\alpha$  subunit of the  $\alpha\beta$  T cell receptor ( $\alpha\beta$ TCR) provides a second example of this phenomenon.<sup>491</sup> In the absence of the  $\beta$  subunit, the  $\alpha$  subunit of TCR slips completely out of the membrane and into the ER lumen where it is recognized by BiP and targeted for degradation. If the  $\beta$  subunit is present, or if the  $\alpha$  subunit is rendered more hydrophobic by addition of Leu residues, the  $\alpha$  subunit instead remains embedded within the ER membrane and eventually traffics to the plasma membrane.<sup>491</sup> Thus, the marginal hydrophobicity of some TM helices seems actually to be exploited by ERQC as a means for monitoring the folding and assembly of certain MP complexes.

A second mechanism by which ERQC may monitor the folding and oligomerization status of TM domains is through the exposure of hydrophilic residues in the hydrophobic membrane core (Figure 16B). Many polytopic integral MPs contain hydrophilic amino acid residues in the membrane that are important for formation of tertiary or quaternary structure and/or functional dynamics.<sup>16,492</sup> Misassembled proteins may expose these residues within the hydrophobic membrane phase, where they may readily form lateral interactions with MPs of ERQC. For instance, the TM segments of the E3 ubiquitin ligase Hrd1, a key player in ERAD (discussed below), appear to specifically recognize some misfolded polytopic MPs with exposed polar residues in the hydrophobic interior of the membrane.<sup>495,496</sup> The presence of a variety of hydrophilic residues within the TM domain of Hrd1 may endow this protein with a high degree of substrate promiscuity. Calnexin may also recognize some misfolded MPs through this

mechanism. The hydroxyl groups of Tyr<sup>487</sup> and Thr<sup>490</sup> within its lone TM domain of calnexin may mediate recognition of exposed hydrophilic sites; they appear to be involved in the QC of GABA receptors and other misfolded, nonglycosylated MPs.<sup>447,470</sup>

A third mechanism by which components of ERQC can recognize unstable or misfolded MPs may involve the recognition of “dangling strands” within polytopic MPs, or TM domains that fail to associate with their neighboring TM domains. The recognition of unstable PMP22 variants by calnexin may be based on this principle. Cell biology results have suggested that calnexin recognizes certain unstable mutants of PMP22 via a mechanism that involves recognition of the first of four TM helices present in PMP22.<sup>443,497</sup>

Structural studies later showed that this helix transiently dissociates from TM helices 2–4 (see Figure 6).<sup>182</sup> Together, these observations raise the intriguing possibility that calnexin and other ERQC proteins may recognize dangling TM helices in polytopic proteins. Yet, it remains unclear how the single TM helix of calnexin accomplishes this feat of molecular recognition.

ERQC also appears to have the capacity to recognize aggregated membrane proteins in the ER and to mediate their disposal via ERAD.<sup>498,499</sup> The protein(s) responsible for this recognition event are not yet known.

Finally, some MPs have short amino acid motifs referred to as degrons that are structurally buried and thereby masked from recognition by components of ERQC in folded proteins but are exposed for recognition by folding sensors upon unfolding or misfolding. Exposure of these motifs lead to their ER retention and/or degradation.<sup>500–503</sup>

As indicated above and recently reviewed elsewhere,<sup>489</sup> the structural “symptoms” of MP misfolding as well as the manner in which they may be recognized are becoming clearer. ERQC appears to include a number of mechanisms for detecting thermodynamically unstable and/or slow folding MPs as well as misfolded conformations and aggregates. There is still much to learn about this fascinating topic; we know just enough to whet our appetite for discovery of additional classes of defects, folding sensor, and recognition mechanisms.

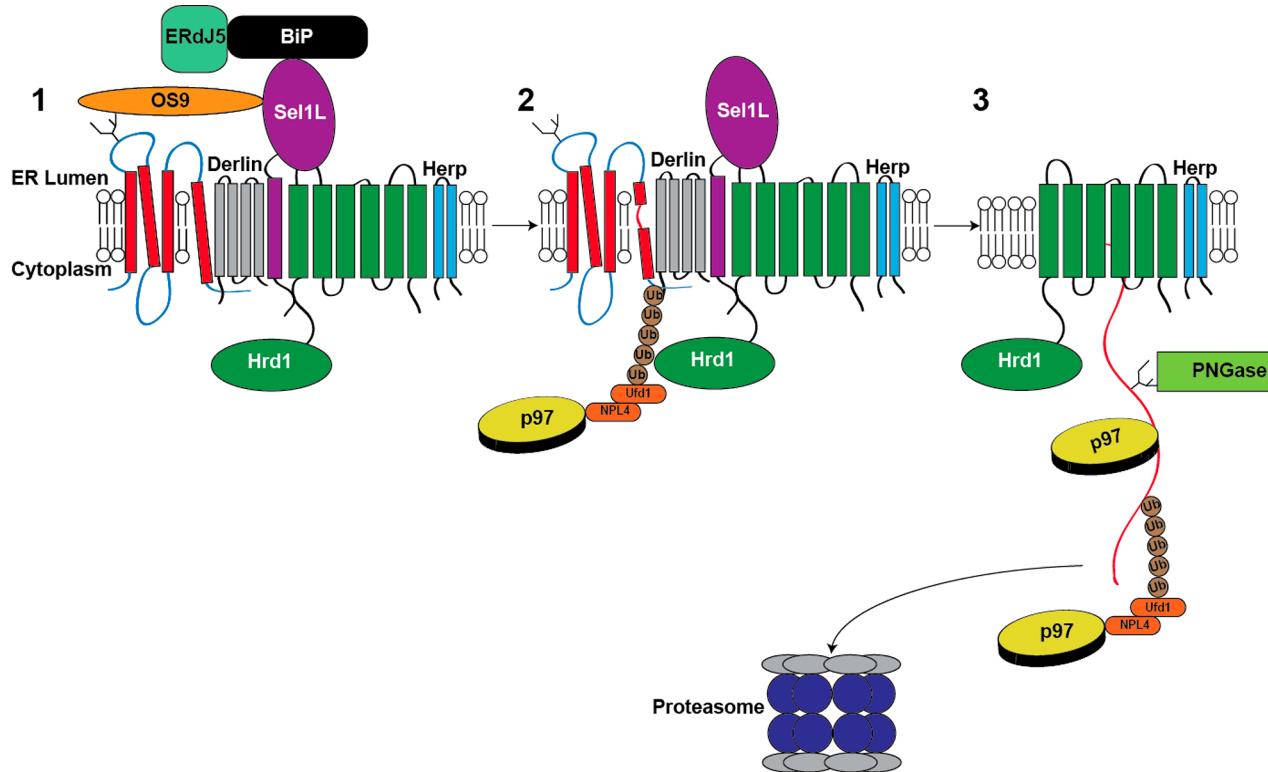
**4.2.5. The Endoplasmic Reticulum-to-Golgi Export System.** Transport of mature proteins from the ER to the Golgi complex is the productive outcome of ERQC. Transport is a highly selective process mediated by coat protein complex II (COPII) transport vesicles.<sup>504</sup> Selectivity is maintained by two distinct, yet complementary mechanisms: selective loading of mature cargo into transport vesicles and sequestration of incompletely folded proteins away from transport vesicles. Export occurs at specific exit sites in the smooth ER, which are enriched for proteins involved in COPII mediated trafficking.<sup>505</sup> The selective loading of cargo into transport vesicles is mediated by Sec24 in conjunction with specific cargo receptors in COPII vesicles.<sup>504,506</sup> Sec24 binds to export signals exposed on the cytosolic side of the ER membrane present on cargo proteins or on cargo receptors. Along with its heterodimeric partner Sec23, Sec24 recruits the remaining proteins necessary for COPII vesicular transport from the ER to the Golgi.<sup>506</sup> Eukaryotic organisms express four separate isoforms of Sec24 (A–D) and each isoform contains up to four nonoverlapping cargo recognition sites that accommodate the diverse cargo proteins that transit through this pathway.<sup>507</sup> Properly folded and/or oligomerized MPs sometimes present export signals that promote direct interactions with Sec24. However, not all

proteins bound for ER exit contain these signals; cargo can also be selected for COPII trafficking by specific TM cargo receptors.<sup>504,506</sup> These receptors specifically bind mature proteins either within the membrane core or on the luminal face of the ER membrane, whereas Sec24 proteins tend to bind their recognition sequences on the cytosolic face of the membrane. Some of these receptors, such as ERGIC53, VIP36, and VIPL, are lectins that recognize the glycosylation state of the cargo protein in order to differentiate between mature and immature states.<sup>508</sup> Other receptors such as Erv14, Erv26, and iRhoms interact with TM regions of cargo proteins and direct them into transport vesicles.<sup>509–511</sup> Our understanding of how these receptors specifically recognize integral MP cargo is in its infancy.

In order to prevent the inappropriate forward trafficking of immature proteins, immature or misfolded proteins are selectively excluded from transport vesicles. The resident ER chaperones BiP, calnexin, and PDI, which interact with immature or misfolded proteins, are depleted from ER exit sites.<sup>415,504</sup> By sequestering these chaperones away from exit sites, the probability of exporting immature proteins to the Golgi is reduced. Upon inhibition of certain chaperone interactions involved in this concentrative export pathway, misfolded MP variants that are normally retained within the ER sometimes manage to leak through the export system.<sup>512</sup> This suggests that compartmentalization of misfolded proteins away from ER exit sites by ER chaperones helps retain these proteins in the ER.

It is worth considering whether the sequestration of MPs from the ER export machinery may be promoted by the biophysical properties of the membrane itself. The hydrophobicity of TM domains appears to influence their access to export sites.<sup>513–515</sup> Although not definitively proven, it has been suggested that ER exit sites have a different lipid composition than the bulk ER.<sup>513</sup> This may reflect an enrichment of these sites with lipids that are synthesized within the ER (such as sterols and ceramide) primarily for export to downstream organelle membranes (Golgi and plasma membranes).<sup>91,516</sup> This idea is supported by the observation that the depletion of cholesterol from the ER inhibits COPII transport.<sup>517,518</sup> Mature (well-folded) polytopic MPs may preferentially partition into these cholesterol-rich ER exit site membrane domains.<sup>513</sup>

**4.2.6. Quality Control beyond the Endoplasmic Reticulum.** Cellular sorting mechanisms are not 100% efficient. Furthermore, MPs presumably continue to sample non-native conformations well after they are exported from the ER provided their unfolding rates are not glacial. To prevent issues arising from misfolded molecules in the late secretory pathway and beyond, the cell has developed a number of QC mechanisms for service beyond the ER. For instance, the Rer1 and ERp44 proteins specifically recognize immature proteins in the Golgi and facilitate their retrograde trafficking to the ER.<sup>448,519,520</sup> Rer1 is a TM protein that has been shown to specifically recognize misfolded integral MPs, most likely through contacts with hydrophilic residues in its transmembrane domain.<sup>448,519</sup> Rer1 contains an ER retention KDEL motif, which allows it to be recognized by the KDEL (ERD2) receptor and to associate with COPI vesicles as cargo for return to the ER. ERp44 is a soluble member of the PDI family that contains only a single cysteine residue within its active site, rendering it nonfunctional as an isomerase.<sup>520,521</sup> In the neutral environment of the ER, the substrate binding site of



**Figure 17.** ERAD of a representative integral MP (red). In step 1, a misfolded protein (red) is recognized either through its N-glycan by the ER lectin chaperone OS9 (orange) or in the plane of the membrane by proteins such as the derlins (gray) or Hrd1 (green). Sel1L (purple) nucleates an ERAD complex in the ER membrane and also recruits ER luminal factors such as BiP and its PDI cochaperone ERdj5 (teal). Herp (cyan) localizes the E3 ubiquitin ligase Hrd1 to ERAD sites. In step 2, the derlins may function to lower the energetic barrier for substrate retrotranslocation by partially unwinding helices in the plane of the membrane. The cytoplasmic region of Hrd1 catalyzes the addition of ubiquitin (brown) to lysine residues on the ERAD substrate. Ufd1 and NPL4 (dark orange) associate with the AAA ATPase p97 (yellow) and recognize the ubiquitinated ERAD substrate. In step 3, the ERAD substrate is retrotranslocated into the cytoplasm potentially through a pore formed by Hrd1. PNGase (light green) then removes the N-linked glycan from the ERAD substrate. The substrate is pulled out of the membrane via the energy provided by p97 ATPase activity which also functions as a retrochaperone to maintain the solubility of the ERAD substrate in the cytoplasm. The ERAD substrate is eventually degraded via the 26S proteasome (gray and navy).

ERp44 is occupied by its own C-terminal tail. However, within the more acidic environment of the Golgi lumen, this C-terminus is displaced and its single reduced cysteine residue is exposed in order to facilitate mixed disulfide bond formation with immature proteins. This conformational change also exposes a KDEL motif, which enables ERp44 and its substrate to traffic back to the ER as cargo in COPI vesicles. Once in the ER, conventional PDIs can remove the substrate from ERp44, which allows it to return to the Golgi.<sup>520,521</sup> Some immature proteins have been shown to transport out of the ER in complex with BiP.<sup>522,523</sup> These Golgi retrieval mechanisms appear to function as an additional layer of quality control beyond the ER. There is now much evidence that even the plasma membrane has its own quality control system to monitor the structural integrity of MPs, triggering degradation of those deemed defective.<sup>524,525</sup>

#### 4.3. The Degradative ERAD Branch of Endoplasmic Reticulum Quality Control

Proteins that are unable to pass QC in a timely manner are removed from the ER and degraded via the ERAD pathway (Figure 13). ERAD involves four coupled steps: substrate selection, substrate retrotranslocation from the ER to the cytosol, substrate ubiquitination, and substrate degradation via the 26S proteasome (Figure 17).<sup>223,490,526–528</sup> Most of these steps are accomplished by a multiprotein complex centered

around RING finger-containing membrane-embedded E3 ubiquitin ligases (E3s).<sup>529,530</sup> Failure to degrade misfolded MPs may induce ER stress, which typically leads to activation of an additional wing of the proteostasis network, the UPR.<sup>531</sup> Among many other effects, the activation of the UPR leads to the upregulation of a series of chaperones that help to buffer protein misfolding within the ER lumen.<sup>532</sup> In extreme cases the failure of ERAD and consequent accumulation of misfolded protein can lead to a UPR-triggered apoptotic responses, which in some cases can contribute to human disease. This trans-acting effect of misfolded proteins may constitute a form of “toxic gain of function”. While ERAD is also responsible for degradation of water-soluble proteins that misfold in the ER lumen, we focus on MPs. Examples of MPs that appear to sometimes elude ERAD upon misfolding, and for which a loss of function is compounded by toxic gain of function include the proteolipid protein (Pelizaeus-Merzbacher disease), PMP22 (Charcot-Marie-Tooth disease, CMTD), and rhodopsin (retinitis pigmentosa).<sup>418,533,534</sup> Here, we will briefly explain some of the known pathways and proteins involved in ERAD, as well as how the cell overcomes the energy barriers involved in the removal of integral MPs from the ER membrane.

**4.3.1. Pathways and Proteins of ERAD.** Many of the pioneering studies on the biochemical mechanisms of ERAD utilized yeast as a model organism. Although the main

principles are conserved from yeast to mammals, the mammalian system is far more complicated. For example, the degradation of all ERAD substrates is mediated by only two E3s in yeast, Hrd1p and Doa10, in combination with a number of shared ERAD factors.<sup>419,526,535</sup> Hrd1p is utilized to degrade proteins with conformational defects within the membrane or luminal domains, while Doa10 seems to mainly degrade proteins with defects within cytosolic domains. By comparison, greater than 30 E3s localize to the ER membrane in mammals, where they have been hypothesized and sometimes confirmed to play a role in ERAD.<sup>536,537</sup> These E3s exhibit a broad range of substrate specificities: some are nonspecific while others appear to specialize in the degradation of a single substrate. Additionally, distinct combinations of ERAD factors are required for the degradation of certain client proteins.<sup>538</sup> Beautiful work integrating proteomics, functional genomics, and gene expression data has elucidated the organization of many of these ERAD complexes in mammals.<sup>529,530</sup> For the sake of brevity, we here focus on the most studied ERAD complex found in mammals, centered around Hrd1 and some of its more prominent auxiliary factors. Hrd1 has been implicated in the degradation of numerous integral MPs.<sup>233,448,529,535</sup>

As described in section 4.2.2, glycosylated ERAD substrates are removed from the calnexin cycle and targeted for ERAD through the trimming of mannose residues by ERMaNI and the EDEMs.<sup>433,434,463,477</sup> Moreover, substrates containing anywhere from five to seven mannose residues interact with the OS9 or XTP3B lectins through a mannose-6 phosphate receptor homology (MRH) domain, which uses a double Trp motif to recognize the sugar on the ERAD substrate (Figure 17 step 1).<sup>533,539,540</sup> Surprisingly, this pathway does not appear to exclusively handle glycosylated proteins. Overexpression of EDEM1 (with or without its carbohydrate recognition domain) increases the degradation of both glycosylated and nonglycosylated MPs.<sup>463</sup> OS9 and XTP3B may also interact with substrates through exposed hydrophobic residues, either directly or indirectly, through interactions with BiP or GRP94.<sup>476,535,539,540</sup> OS9 and XTP3B then link substrates to the membrane-anchored scaffolding protein Sel1L, most likely through interactions with ER lumen-exposed N-linked glycans on Sel1L.<sup>476</sup> Sel1L also scaffolds essential reductases such as ERFAD and BiP-associated ERdj5 on the luminal side of the ER membrane in order to reduce disulfide bonds prior to removal of the substrate from the ER membrane.<sup>529</sup> Additionally, Sel1L nucleates a complex with integral membrane ERAD components including but not limited to Herp, VIMP, Derlin1, Derlin2, Derlin3, and Hrd1 (Figure 17 step 1).<sup>526,529,530</sup> This complex in turn recruits the cytosolic VCP/p97/Cdc48 AAA+ ATPase (hereafter referred to as p97) as well as necessary cofactors required to drive substrate retrotranslocation.<sup>526,529,530</sup>

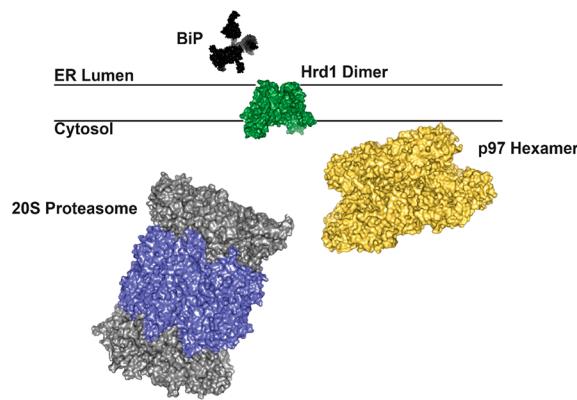
ERAD factors located in the ER membrane and in the cytosol serve distinct functions. Herp, which is upregulated during ER stress, has been shown to localize Hrd1 to sites at the ER membrane where ERAD occurs.<sup>541</sup> The highly tunable expression of Herp affords the cell granular control over the ERAD process. VIMP has been implicated in both substrate and p97 recruitment to the ERAD complex, although its interaction with p97 may be functionally redundant considering that Hrd1 and the Derlin proteins also contain cytosolic p97-binding motifs.<sup>299,529,542</sup> The function of the Derlin family of proteins remains somewhat enigmatic. The Derlins are a

family of inactive rhomboid pseudoproteases proposed to carry out a variety of functions including ERAD substrate recognition, retrotranslocation of misfolded proteins (passage across the ER membrane into the cytosol), or destabilization of TM helices (Figure 17 step 2).<sup>526,529,543,544</sup> This latter function will be expanded on in the next section. Hrd1 is a polytopic protein with a cytosolic ubiquitin E3 RING finger ubiquitin ligase that ubiquitinates ERAD substrates on their cytosolic face.<sup>527,530,535</sup>

Hrd1 has also been hypothesized to serve as the major retrotranslocation channel responsible for the extrusion of misfolded ubiquitinated MPs from the ER membrane (Figure 17, step 3). Reconstitution of Hrd1 in liposomes containing a membrane-anchored ERAD substrate is sufficient to catalyze retrotranslocation of the substrate in the presence of cytosolic ATP and requisite components for ubiquitination.<sup>362</sup> However, it is unclear from these experiments if the substrate was fully extracted from the membrane in the absence of p97. In any case, Hrd1 autoubiquitination was sufficient to initiate substrate retrotranslocation. *In vitro* analysis of the retrotranslocation of HMG-CoA reductase showed this process to be dependent on Hrd1 but not on other proposed retrotranslocation channels (Sec61 and Derlins).<sup>495</sup> Interestingly, a recent cryo-EM structure of the dimeric form of yeast Hrd1 revealed an aqueous cavity bridging the ER lumen to the cytosol.<sup>545</sup> This structure also features a “lateral seal” that could potentially accommodate entry of an integral MP substrate into the channel. This feature is reminiscent of the lateral gate of the Sec61 translocon (see section 4.1.1), which facilitates cotranslational membrane integration of nascent proteins. Once a client protein is exposed to the cytosol, Hrd1 ubiquitinates lysine residues using its RING finger domain and expands these ubiquitin chains to enhance the affinity of the substrate protein for the proteasome.<sup>233,419,490,526,527,536</sup>

The cytosolic components of the ERAD complex, which include the p97 AAA+ ATPase and the glycolytic enzyme PNGase, function to prepare the ERAD substrates for proteasomal degradation and to deliver the substrate to the 26S proteasome (Figure 17, steps 2 and 3). p97 binds to ubiquitinated substrates on the cytosolic side of the ER membrane via its cofactors Ufd1 and Npl4.<sup>546</sup> It then removes the substrate from the membrane by using ATP hydrolysis to generate force (discussed further below).<sup>547</sup> Once substrates are removed from the ER membrane, PNGase removes all N-linked glycans from the substrate to prepare it for proteolytic digestion.<sup>490</sup> It was recently demonstrated that the yeast homologue of p97, Cdc48, also helps to maintain the solubility of dislocated integral MPs prior to proteasomal degradation.<sup>544</sup> Additionally, Hsp104 has been shown to associate with ubiquitinated, and retrotranslocated substrates in complex with the p97 homologue in yeast.<sup>498</sup> Thus, p97 and Hsp104 appear to function together as “retrochaperones” in order to prevent the cytosolic aggregation of hydrophobic substrates before delivering them to the 26S proteasome for degradation. Once an ERAD substrate is delivered to the proteasome, its ubiquitin linkages are cleaved prior to protein degradation. The proteasome appears to be tightly coupled to p97.<sup>548</sup> Figure 18 provides a space filling representations of key components of the later ERAD pathway, offering a perspective of scale.

**4.3.2. Energetics of Membrane Protein Retrotranslocation.** The removal of misfolded integral MPs from the membrane comes at a steep energetic cost. The free energy difference between native, membrane-integrated bacteriorho-



**Figure 18.** To-scale surface representation the structures of some of the key players involved in ERAD. Human BiP (black; PDB: 5E84), Hrd1 from *S. cerevisiae* (green; PDB: 5V6P), human p97 (yellow; PDB: SFTJ), and the human 20S proteasome ( $\alpha$  subunits in gray,  $\beta$  subunits in navy; PDB: SLES).

dopsin and a hydrated, unfolded state of the protein was estimated at  $230 \pm 40$  kcal mol $^{-1}$  by atomic force microscopy; an average free energy change of about 1.3 kcal mol $^{-1}$  per residue.<sup>200</sup> Although this may be an extreme case given the high stability of bacteriorhodopsin, it nevertheless serves as a useful benchmark for the magnitude of the energetic barriers the cell must overcome in order to dislocate hydrophobic proteins from the membrane. One of the machines that accomplishes this task is the *E. coli* protease FtsH, which forms a TM hexamer with the proteolytic/ATPase sites located in the cytosol. Elegant studies of the degradation of the helical multispan rhomboid GlpG by purified FtsH in the lab of Hee-dok Hong revealed that FtsH acts by accelerating the unfolding rate of GlpG by a factor of at least 800, a process that is coupled to complete degradation and solubilization of the rhomboid fragments.<sup>210</sup> The half-life for FtsH-mediated degradation of a single rhomboid molecule is on the order of 25 min, with the combined unfolding-degradation-translocation process being driven by consumption of 380–550 ATP molecules.<sup>210</sup> In eukaryotes, p97 is also able to couple ATP hydrolysis to mechanical force by way of a series of conformational changes. Each p97 forms a water-soluble homohexameric complex, with each subunit containing an N-terminal domain and two conserved AAA domains that, together, form stacked rings.<sup>549,550</sup> The p97 oligomer contains six ATP binding sites and physiological ATPase activity.<sup>550,551</sup> The specific mechanisms by which p97 bridges its ubiquitinated substrates with the other components of the retrotranslocation complex in order to pull it through Hrd1 is not certain, but has been hypothesized to involve ATP-mediated molecular “ratcheting”.<sup>546,550</sup>

Certain aspects of the structure and function of the Derlin proteins may also shed light on how the energetic barriers to retrotranslocation can be overcome. The Derlins are a family of inactive pseudorhomboid proteases that lack the catalytic Ser-His dyad.<sup>529,544</sup> Rhomboid proteases are believed to bind their substrates in the plane of the membrane to partially (and passively) unfold the TM helices of substrate proteins in order to expose the scissile bond for proteolysis. Since the noncatalytic pseudorhomboids retain the essential active site architecture, they may also be able to bind proteins in the plane of the membrane and partially unwind the TM helix (Figure 17, step 2). Such unwinding would lower the energy

required for retrotranslocation since the per-residue free energy cost of disrupting H-bonds within the membrane is on the order of  $\sim 4$  kcal mol $^{-1}$ .<sup>16,552</sup> The unique structure of the rhomboid proteins has also been proposed to reduce the thickness of the membrane bilayer, reducing the permeability barrier and potentially altering hydration within the membrane to facilitate the breaking of native hydrogen bonds.<sup>433</sup> It was recently shown that the pseudorhomboid domain of a yeast homologue of the Derlin proteins, Dfm1, was required for retrotranslocation of multiple integral MP substrates.<sup>544</sup> The association of ERAD substrates with Derlin proteins may therefore constitute a required precursor for retrotranslocation of integral MPs that helps to lower the energetic cost of removing them from the membrane.

Another mechanism by which cells lower the energetic cost of retrotranslocation is through intramembrane proteolysis. Cleavage of MP substrates by presenilin, the catalytic subunit of the  $\gamma$ -secretase complex, or by rhomboid proteases constitute two classic examples.  $\gamma$ -Secretase cleaves a wide variety of single-span MPs within the TM domain, which results in the formation of new polar termini and reduced summed hydrophobicity that promotes release of the remnants of the TM domain from the membrane along with any associated soluble domains. Prominent  $\gamma$ -secretase substrates include the 99 residue amyloid precursor protein C-terminal domain (C99, the immediate precursor of the amyloid-beta polypeptides), the Notch receptor, and receptor tyrosine kinases such as the ErbB epidermal growth factor receptors. The ER-resident rhomboid-like protein 4 protease (RHBTL4) also mediates the turnover of certain MP substrates.<sup>553</sup> However, RHBTL4, which is upregulated in response to ER stress, cleaves both single pass and polytopic MPs.<sup>553,554</sup> Although RHBTL4 has yet to be linked to the turnover of any endogenous ERAD substrates, proteolytic processing by this protein could very well be involved in the dissociation of misfolded MPs from the membrane.

**4.3.3. Aggresomes and Autophagy.** The balance between chaperone-mediated folding and degradation are typically kept in check through various lines of cellular regulation. However, the accumulation of misfolded MPs under stress conditions sometimes exceeds the capacity of the proteasomal degradation pathway. Under these conditions, the accumulation of protein aggregates can trigger disposal through orthogonal degradation pathways. As the proteasome becomes saturated, cytosolic aggregates of ERAD substrates are actively side-tracked to perinuclear foci known as aggresomes (Figure 13).<sup>555,556</sup> This process is believed to protect cells by sequestering cytotoxic aggregates and promoting their clearance through macroautophagy. A number of disease-linked MPs are known to form aggresomes, including CFTR,<sup>211</sup> PMP22,<sup>557–560</sup> rhodopsin,<sup>561,562</sup> caveolin-1,<sup>563</sup> SIMPLE,<sup>564</sup> ABCG2,<sup>565</sup> and presenilin.<sup>566</sup> However, how the cell senses proteotoxic stress, adapts, and rerouts the flux of misfolded proteins remains unclear. The nature of the intermediate states that bridge retrotranslocation from the ER membrane to aggresome deposition is also not known.

Water-soluble proteins can also be transported into aggresomes via pathways that likely overlap with those of MPs.<sup>567</sup> Indeed, a wide variety of proteostatic stressors can promote the accumulation of soluble protein aggregates that are also picked up by common components of the proteostasis network.<sup>568,569</sup> In some cases, aggresome formation seems to arise from specific unstable domains or signal sequences. For

example, an ankyrin repeat domain within synphilin-1 is sufficient to direct it to aggresomes, though replacement of this domain with an aggregation-prone segment of the huntingtin protein is also capable targeting the protein to the aggresome network.<sup>570</sup> Alternatively, ERAD substrates can be differentially directed to these pathways by specific ubiquitin linkages. For instance, K63-linked polyubiquitination (attachment of ubiquitin to substrate and subsequent polyubiquitination via ubiquitin's K63 residue, K63U) appears to target certain clients for degradation through the aggresomal/lysosomal pathway, whereas K48-linked polyubiquitination targets misfolded proteins for proteasomal degradation.<sup>571</sup> Furthermore, the specificity of these pathways appears to be linked to certain pathologies. For example, the E3 ligases parkin and TRAF6 are involved in catalyzing K63U modifications, with mutations in parkin constituting a common cause of familial Parkinson disease.<sup>572,573</sup> Knockdown of the deubiquitinating enzyme ataxin reduces the extent to which destabilized CFTR and superoxide dismutase variants are packaged into aggresomes; the consequences of which include programmed cell death.<sup>574,575</sup> Similarly, knockdown of PLIC1, a ubiquitin-like protein that binds to the ubiquitin-interacting motif of ataxin 3, also inhibits aggresome formation.<sup>576</sup>

The downstream recruitment of client proteins to perinuclear aggresomes requires a network of adaptor and motor proteins that come in diverse shapes and sizes. The cargo receptors p62 and NBR1 bind to ubiquitinated proteins to promote the stabilization of these aggregates.<sup>567</sup> Other adapters, such as histone deacetylase (HDAC6) recognizes K63U-modified proteins in a way that connects them to dynein motors. These motor proteins then engage in retrograde transport along the microtubule network in order to deliver protein aggregates to the aggresome, which are then bundled into vimentin cages at the microtubule organizing center.<sup>577</sup> It should be noted that the activity of HDAC6 is also modulated both by phosphorylation and through protein–protein interactions with various cargo receptors, chaperones, and E3 ligases.<sup>578</sup> Finally, aggresome formation can be mediated by the Bcl-2-associated athanogene 3 (BAG3) cochaperone in response to the upregulation of Hsp70. This is mediated through formation of a ternary complex containing the molecular adaptor 14-3-3 and dynein.<sup>579,580</sup> Taken together, the complexity of these aggresomal pathways provides an example of the multifaceted regulation of the proteostasis network. Aggresome formation is rendered tunable through gene expression, protein–protein interactions, and post-translational modifications.

Though protein aggregates are typically associated with toxicity, we emphasize that aggresomes are generally considered to be protective storage compartments for sequestering misfolded proteins until they can be safely disposed of via autophagy. Indeed, certain adaptors and cargo receptors found near aggresomes appear to seed the formation of autophagosomes that eventually fuse with lysosome to promote their degradation.<sup>581,582</sup> Aging and/or mutations that compromise autophagy result in a failure to clear aggresomes, which potentially promotes disease states.<sup>567,583</sup>

In a related vein, it should be appreciated that misfolded proteins sometimes aggregate within the ER. Such aggregates and the associated local membrane can be targeted for autophagy and lysosomal degradation via a pathway sometimes

referred to as ER-phagy or reticulophagy (see Figure 13).<sup>584–587</sup>

## 5. MEMBRANE PROTEIN MISFOLDING IN HUMAN DISEASE

### 5.1. The Sometimes Delicate Balance between Folding and Misfolding

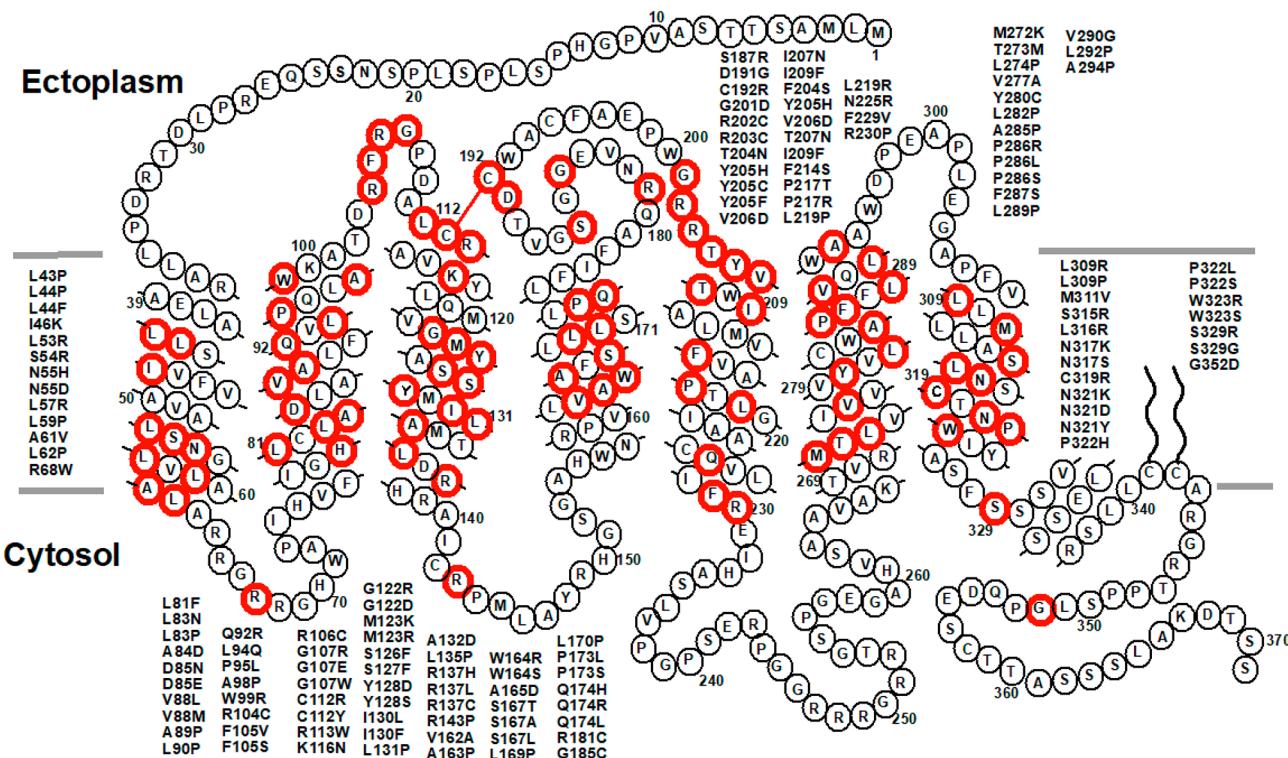
While some proteins appear remarkably tolerant to single amino acid mutations,<sup>588,589</sup> there is much evidence that the folding of nascent proteins in the cell, including some MPs, is often strikingly inefficient.<sup>418,590–597</sup> For example, the *in vivo* efficiency for the folding and maturation of human wild type PMP22, as inferred from its steady-state glycosylation and cellular trafficking, has been reported by multiple groups to be only ca. 20%, which apparently is sufficient to generate the population of functional PMP22 required to maintain healthy peripheral nervous system (PNS) myelin in humans.<sup>287,598,599</sup> Inefficient folding, which typically leads to significant levels of misfolding and/or degradation, implies that the energetic barriers involved in folding and misfolding pathways are often similar in magnitude. As we have previously treated in more detail,<sup>286</sup> this implies that mutations that disrupt only a single hydrogen bond, ion pair, or hydrophobic interaction may significantly reduce the yield of folded protein. In these cases, pathology can arise when the level of the functional protein drops below the threshold required for normal health and/or when the accumulation of misfolded proteins becomes toxic. This often-delicate balance between folding and misfolding of wild type MPs possibly helps to explain why a diverse spectrum of mutations distributed throughout the three-dimensional structure of a misfolding-prone protein (see below) are all capable of causing the same human disease phenotype.<sup>286,591</sup>

### 5.2. Contributions of Pathogenic Mutations in Integral Membrane Proteins to Disease Etiology

Mutations that promote MP misfolding are known to cause or contribute to a wide variety of human diseases. While our focus will be destabilized MP *variants*, it should be noted that the propensity of *wild-type* proteins to misfold is also relevant to certain pathologies. For instance, the proteotoxic stress arising from the overexpression of WT PMP22 upon gene duplication is responsible for the most common (type 1A) form of CMTD. The misfolding of WT proteins may also exacerbate proteotoxicity arising from post-translational modifications<sup>600</sup> or environmental stressors that include fever, oxidative stress, or defects in QC stemming from gene variations affecting components of the proteostasis network.<sup>601–603</sup> In this regard, it is important to recognize the implications of the extensive connectivity of the proteostasis network. Sometimes the cumulative load of misfolded proteins may represent the root source of pathophysiology rather than the defects in a single protein.<sup>604</sup> For hereditary diseases or those caused by a germline mutation, the deleterious effects of the mutation may have consequences in whichever tissues the affected protein is expressed. Alternatively, diseases can also arise from somatic mutations that occur spontaneously within a single cell.<sup>605</sup> Somatic mutations within oncogenes can cause a single cell to proliferate into a tumor.<sup>606</sup> It has long been hypothesized that sporadic mutations may also trigger the sporadic forms of prion disorders in which mutant PrP from a single cell adopts the toxic scrapie conformation that can seed the toxic conversions of WT protein from surrounding cells into its infectious conformation.<sup>607,608</sup> It has also been suggested that

## Human Vasopressin V2 Receptor

- nephrogenic diabetes insipidus mutation sites



**Figure 19.** Documented nephrogenic diabetes insipidus mutations in the vasopressin V2 receptor. List of mutation is from.<sup>615</sup>

the progression of the sporadic form of Alzheimer's disease may also involve the propagation of toxic oligomer folds in the brain.<sup>609</sup> Small amounts of misfolded proteins resulting from sporadic mutations could also conceivably trigger toxic autoimmune responses.

Diseases that arise from mutations in a single gene (monogenic) and that follow a Mendelian pattern of inheritance represent the best-characterized examples of diseases of MP misfolding. For many disorders, it only takes one mutation in one protein to cause disease. However, for a given inherited disorder, there may be an entire panel of proteins in which a single mutation is sufficient for causation. For example, mutations impacting the expression level or amino acid sequence of PMP22 are by far the most common cause of CMTD. Nevertheless, the same clinical pathophysiology can also arise from mutations in any one of more than 40 other proteins, many of which are likely to carry out functions on pathways linked to PMP22 function.<sup>610</sup> However, mechanistic insights garnered from investigations of inherited disorders are likely to be relevant both to sporadic disorders and to complex disorders that arise from a combination of genetic and nongenetic risk factors. In the case of complex disorders involving the interplay and additivity of multiple disease-predisposing risk factors, MP misfolding may represent one piece of a larger puzzle.

How common are diseases arising from the pathogenic consequences of MP misfolding? A search of the UNIPROT database<sup>611</sup> for all disease-linked human proteins returned ca. 4000 hits (not counting splice-variants), which accounts for ~20% of the proteome. This number is perhaps unsurprising given that ca. 20% of yeast proteins are essential for viability.<sup>612</sup>

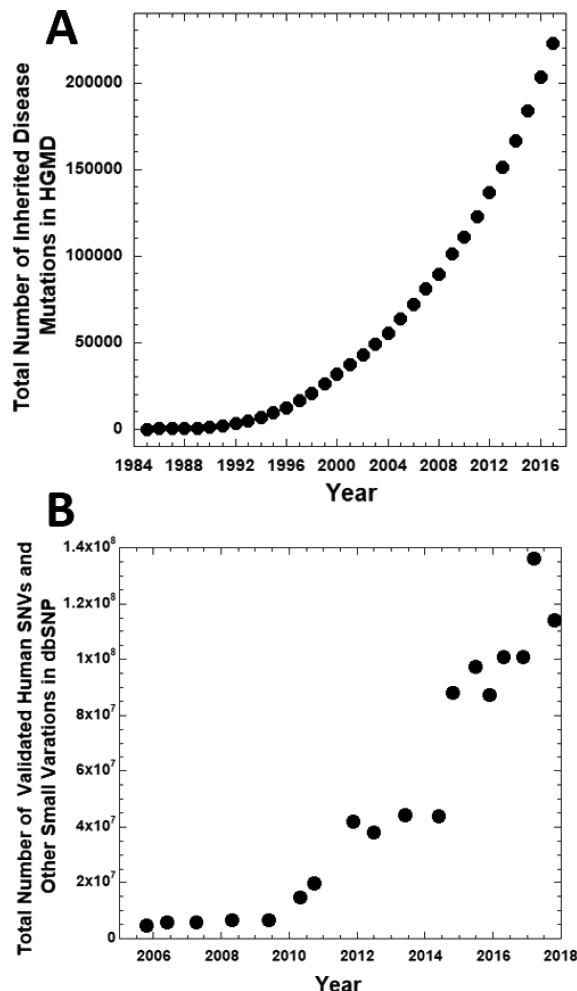
Of the 4000 disease-linked human proteins in UNIPROT, about 1100 have at least one TM segment. Given that MPs constitute ~25% of the proteome, this seems reasonable. How many of these 1100 disease-linked MPs undergo misfolding as the primary disease-promoting defect? We suggest that there are four lines of evidence to suggest that misfolding is the most common disease mechanism. (1) Many disease-linked MPs are mistrafficked within the cell.<sup>418,613,614</sup> While misfolding is not the only phenomenon that can cause mistrafficking, it seems likely to be the most common cause in light of what we know about the intimate linkage between folding and trafficking along the ER-to-plasma membrane pathway. (2) The pathogenic defects in most disease-linked MPs can typically be promoted by a wide variety of substitutions that typically do not cluster within a functional site or domain.<sup>590</sup> For example, Figure 19 shows both the sites of known diabetes insipidus mutations in the human vasopressin V2 receptor, as well as a list of the specific disease-causing mutations.<sup>615</sup> This scatter of mutation sites throughout the sequence suggests the pathogenic defect often does not directly involve perturbation of an active site or of a protein–protein binding interface, as was also found to be the case for retinitis pigmentosa mutations in rhodopsin, a related class A GPCR of known 3D structure (see Section 5.4.3).<sup>286</sup> Instead, this distribution indicates that most mutations are likely to either disrupt the cooperative interactions between TM helices that stabilize the native fold or destabilize interaction of the protein with the membrane phase. Indeed, it is known that the vast majority of disease mutations in the V2R cause mistrafficking of the receptor, consistent with these classes of defects.<sup>616–621</sup> (3) Pathogenic mutations in MPs are biased toward non-

conservative mutations that are likely to perturb tightly packed native conformations or TM domain-membrane interactions. Of 96 sites in V2R for which there are known disease mutations, 80 of them are located in TM helices.<sup>615</sup> Moreover, mutations that introduce charged residues, proline, or glycine for native aliphatic residues within TM domains are common among pathogenic mutations within MPs.<sup>622</sup> (4) Rigorous experimental investigations of the effects of pathogenic mutations in disease-linked MPs have revealed that a majority of the tested disease mutations reduce the conformational stability of the protein in a way that appears to be directly linked to their cellular mistrafficking (cf. refs 183 and 287). Similar observations have previously been made for water-soluble proteins that are linked to inherited disorders.<sup>623–627</sup> Taken together, the available data suggest the pathogenic misfolding of MPs is of central importance to a wide variety of diseases.<sup>628</sup> Thus, investigations into the nature of these conformational defects are needed to provide basic insight into the many ways that mutations disrupt the folding of disease-linked MPs. In the following, we outline how the tools and perspectives arising from such investigations can be applied to address emerging challenges in pharmacology and precision medicine.

### 5.3. Membrane Proteins and Precision Medicine

Precision (or “personalized”) medicine refers to the practice of medicine in a way that is informed by knowledge of the patient’s genotype in order to deliver optimal care.<sup>629</sup> Most genetic variants within the human genome are harmless, even when they result in changes to a consensus “wild-type” amino acid sequence. Indeed, most human proteins bear multiple common variants (frequency >1%).<sup>630</sup> As of mid-2018, roughly 225 000 variants that cause or enhance the risk of known heritable human diseases have been identified (Figure 20A).<sup>615</sup> However, the causal variants for approximately half of all known genetic diseases remain unidentified.<sup>631</sup> Among known disease variants, by far the most common (~45%) are missense variants that encode a single amino acid change in the affected protein. Nonsense mutations, which encode for premature stop codons that improperly terminate protein translation, account for 11% of pathogenic mutations. 22% of pathogenic mutations are small insertions or deletions (indels) that result either in insertion or deletion of one or more amino acid residues or cause a frameshift that scrambles the downstream C-terminal sequence of the protein.<sup>615</sup> Considering that TM domains are less tolerant to sequence variations than their soluble counterparts,<sup>632</sup> it is perhaps unsurprising that mutations within a wide array of functionally distinct MPs have been linked to the onset of numerous diseases. An understanding of the mechanistic effects of these mutations at the level of MP structure and function is therefore essential for efforts to elucidate and treat the molecular defects underlying disease.

Pathogenic mutations that encode for changes in amino acid sequence can promote disease by inducing either a loss of protein function (LOF) or a toxic “gain of protein function” (GOF), a term that includes the toxicity associated with amyloids and other protein aggregates. Pathogenic variants that act by inducing protein LOF appear to be much more common than GOF variants. In some cases, LOF may arise from the mutagenic perturbation of functional sites. However, the most common mechanism underlying LOF is the mutation-induced enhancement of protein misfold-



**Figure 20.** (A) Growth with time in the total number of identified human mutations that result in inherited (Mendelian) monogenic disorders Data from the Human Gene Mutation Database.<sup>615</sup> (B) Growth with time in the total number of validated human genome variations (SNVs and other small scale variations) as logged in the online dbSNP Database. The small decreases in the number of variations seen in this plot for some time points reflect the consequences of changes in the reference genome and its annotation with time. Figure adapted with permission from ref 629. Copyright 2015 ACS.

ing.<sup>624,626,633,634</sup> As noted earlier, “misfolding” is used broadly in this review to indicate the formation of alternative non-native/nonfunctional conformational states. Mutations that induce misfolding effectively reduce the yield of properly folded, functional protein within the cell. While there has not been a systematic examination of the many tens of thousands of known pathogenic protein variants, studies of water-soluble proteins have consistently pointed to protein misfolding as the single most common mechanism underlying pathogenic protein variants.<sup>623–626</sup> In contrast to LOF variants, many gain of function mutations act by causing dysregulation of normal protein function. For example, certain mutations within ligand- or voltage-gated ion channels induce constitutive channel activation, which can cause atrial fibrillation and other disorders. In other cases, GOF mutations lead to the formation of cytotoxic preamyloid oligomers and/or amyloid aggregates. Overall, the mechanistic diversity associated with known disease variants, often even for a different mutations in

the same protein (cf. ref 183), significantly complicates personalized medicine diagnostics and therapeutic decision-making.

For the ca. 6000 so-called “simple” (monogenic) diseases that follow Mendelian inheritance patterns, mutations that induce a LOF or GOF of the corresponding protein are sufficient to independently manifest a disease phenotype. For dominant and fully penetrant Mendelian disorders, the disease can be caused by a single variant allele. Alternatively, both alleles must contain pathogenic variants in order to manifest a recessive disorder. Nevertheless, the most common human diseases are “complex” and arise from the interplay of multiple contributing genetic risk factors. These factors typically include a series of genes and genetic variants that individually increase disease risk by only a modest factor.<sup>635,636</sup> A variety of nongenetic factors also influence risk for most complex diseases, such as diet, exercise, exposure to environmental risk factors, and so forth. Many diseases arise from the interplay between genetic risk factors and these stressors. For example, carriers of low density lipoprotein receptor (LDLR) variants that enhance the risk of hypercholesterolemia sometimes can avoid this disease by exercise and a healthy diet. It should also be recognized that some risk factor mutations are germline (noninherited sperm or egg mutations passed on to the zygote) or somatic (any mutation that occurs following conception in the zygote or in differentiated cells).

Our understanding of human genetic variation has been transformed since the determination of the first human genome sequence thanks in part to the subsequent acceleration of DNA sequencing technology.<sup>637</sup> These advances enabled widespread whole genome sequencing (WGS), and its more cost-effective cousin, whole exome sequencing (WES), in which approximately 2% of the human genome that codes for proteins is specifically captured and sequenced. It is estimated that across the academic, clinical, and private sectors, more than one million human genomes have been sequenced.<sup>637</sup> Publicly available genomic data include whole genomes from more than 2500 diverse humans from around the world (collected by the 10 000 Genomes Project) as well as more than 120 000 whole exome sequences and 15 000 whole genome sequences collected by the Genome Aggregation Database.<sup>638,639</sup> These data provide a preview into the vast diversity of human genetic variation. A typical haploid human genome containing  $3.2 \times 10^9$  total base pairs differs with respect to the reference genome at between 4 and 5 million positions. Analysis and comparison of these sequences has led to validation of more than 110 000 000 human genetic variants (Figure 20B), which now include >99% of all of the common (>1% frequency) variants in the human population. Among the insights arising from analyses of the current databases of genetic variants is an appreciation for the fact that one out of every eight coding bases has been observed to vary. It should also be noted that a typical human genome has between 10 000 to 12 000 coding variants.<sup>640</sup> This means that each person has non-“wild type” variant alleles encoding about half of their proteins! In light of the awe-inspiring magnitude of this genetic diversity, it is perhaps unsurprising that the pharmacological profiles of individuals exhibit considerable variability. Rationalizing the functional and medical implications of the many millions of observed sequence variants recorded to date and how they interact is a formidable task.

As the clinical utility of genetic information increases, genome and exome sequencing will become even more

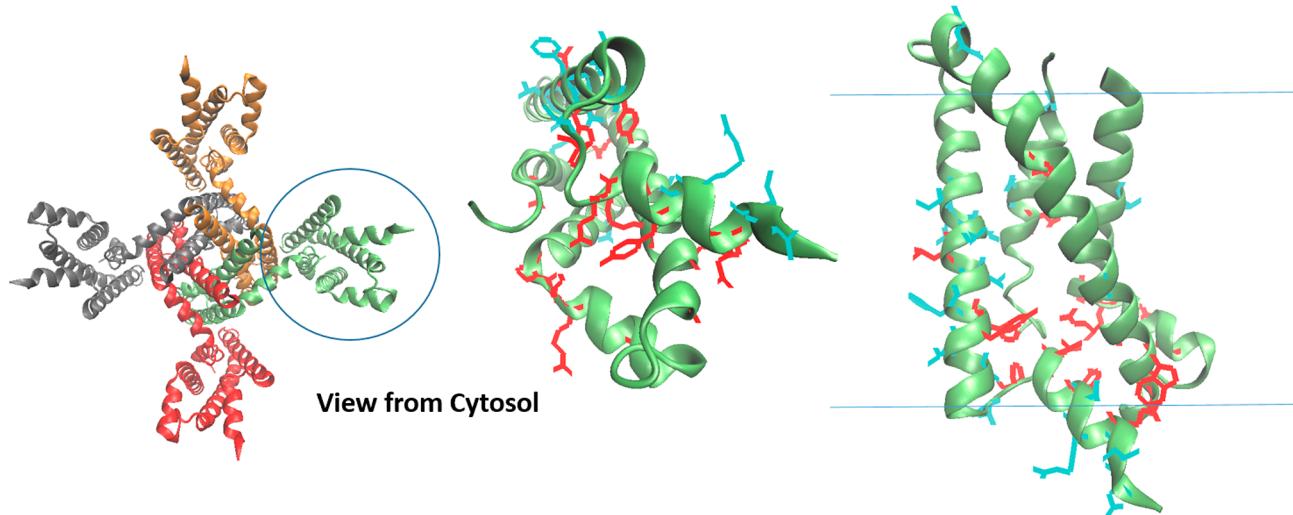
widespread. The patterns of variation observed among sequenced genomes indicate that we currently have a near complete catalog of common variants. Nevertheless, we are likely to continue to discover novel rare variants.<sup>639</sup> These are often referred to as “variants of unknown significance” (VUS), for which there is not yet sufficient data to decrypt whether they are benign or pathogenic. VUS that encode for amino acid substitutions in disease-linked genes are perhaps most likely to manifest adverse effects. In such cases, successful decryption of VUS in known disease-linked proteins can be “medically actionable”, meaning that treatment or prophylactic medical action is available that can then be pursued to prevent the disease or slow its progression.<sup>629</sup> For example, patients who are known to be at risk for LQTS cardiac arrhythmias based on the fact that they carry known LQTS-predisposing mutations in certain ion channels can often avoid sudden death by preemptive treatment such as surgical implantation of a cardioverter defibrillator in the chest.<sup>641,642</sup> Genotypic information may therefore inform best medical practice if the effects of relevant VUS can be inferred from experiment or predictions. The American College of Medical Genetics and Genomics has established standards for the use of genomic data in medical decision-making, in which experimental observation that a given protein variant is functionally defective under laboratory conditions is classified as “strong evidence” in support of a “call” that the variant is indeed pathogenic.<sup>643</sup> Such information may eventually also be of utility for optimal matching of specific therapeutic compounds to certain patient populations, as the FDA has recently ruled that experimental data from *in vitro* assays may be employed for these purposes in certain cases.

Given that numerous disease-promoting mutations adversely impact MP folding, there is an imperative to continue basic research into how and why MPs fold and misfold. There is also a strong impetus to study the folding of actual disease-linked mutant forms of human MPs. If civilization persists, it seems likely that the cumulative observations from these studies will ultimately translate into improved disease prevention and therapy. Ongoing efforts to illuminate the molecular mechanisms underlying folding-related diseases may also eventually provide critical insight for the development of algorithms to decrypt the impacts of VUS and to determine whether they are benign or pathogenic, a central challenge in the development of precision medicine. In this regard, the recently documented failure of various existing algorithms to successfully predict the stabilities of mutant forms of membrane proteins highlights opportunities for future innovation.<sup>644</sup> Continued study is also needed as the basis for developing methods to distinguish pathogenic mutations that induce misfolding from those that perturb the activity of the folded protein, as the efficacy of certain drugs is tied to the mechanistic effects of the mutations. In the following section, we highlight examples of MPs for which knowledge of the effects of specific pathogenic mutations may offer utility for drug discovery and implementation of therapeutic regimens in the clinic.

#### 5.4. Examples of Membrane Proteins that Misfold and Contribute to Human Diseases

##### 5.4.1. Voltage-Gated KCNQ1 Potassium Channel and Long QT Syndrome Cardiac Arrhythmia.

KCNQ1 (K<sub>v</sub>7.1) is a homotetrameric voltage-gated potassium channel in which each subunit consists of six TM segments, the first four of



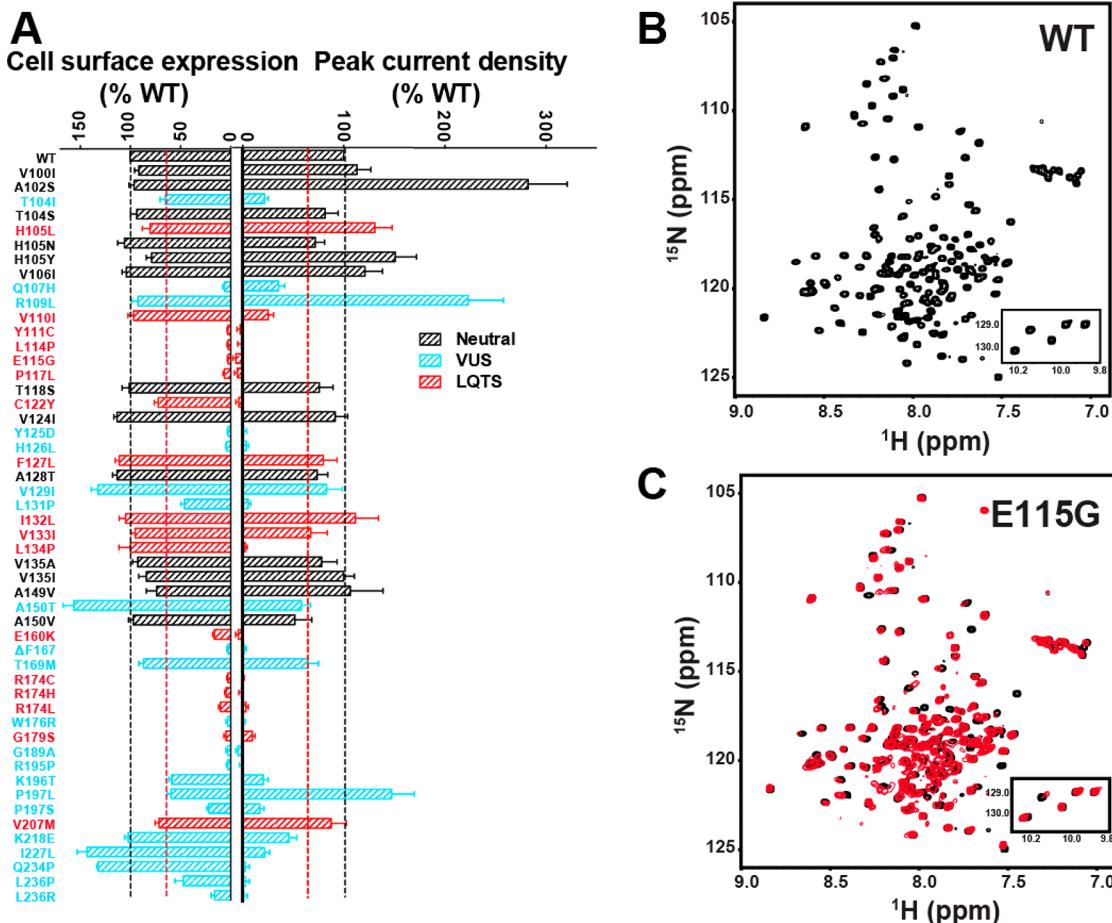
**Figure 21.** Structure of KCNQ1 and location of mutations examined in Huang et al. (2018).<sup>183</sup> (Left) Cryo-EM structure of *Xenopus* KCNQ1, highlighting one of its four voltage sensor domains. Note that some of the connecting loops between TM helices were not resolved in the EM structure and are therefore not depicted. (Center and Right) Orthogonal views of an isolated voltage sensor domain with side chains shown for sites that correspond to those experimentally characterized by Huang et al. in their study of the human KCNQ1 channel. Blue sites are those where mutations did not dramatically alter the stability or trafficking of KCNQ1. These sites are seen to be enriched on the surface of the domain. Red sites correspond to mutants that were seen to cause both mistrafficking in cells and (usually) instability of the voltage sensor domain under NMR conditions. These sites tend to cluster in the interior of the VSD.

which (S1–S4) comprise a voltage sensor domain and the final two of which (S5 and S6) contribute to the central pore domain of the fully assembled channel. KCNQ1 forms heteromeric complexes with different regulatory  $\beta$ -subunits (KCNE family members), which tune the kinetics, voltage dependence of channel activation, and conductance as needed to mediate diverse tissue-specific physiological functions.<sup>645–651</sup> In the heart, KCNQ1 coassembles with KCNE1 (single TM span) to form a channel complex that serves as the slow component of the delayed rectifier current ( $I_{K_s}$ ) that is critical for the cardiac action potential.<sup>652,653</sup> Genetically dominant mutations in KCNQ1 are responsible for about 50% of all cases of congenital long-QT syndrome (LQTS), an arrhythmia that is characterized by a prolonged QT interval on electrocardiograms.<sup>654,655</sup> LQTS also predisposes children and young adults to cardiac arrest and sudden death, as is often triggered by swimming or other stressful physical activity. Recently, the structure of *Xenopus* KCNQ1 has been determined by cryo-EM (Figure 21).<sup>656</sup>

More than 350 dominant LQTS mutations in KCNQ1 have been identified in humans.<sup>615</sup> Such mutations may cause LOF or dysfunction by promoting misfolding, mistrafficking, assembly, and/or improper gating of the channel protein. Experimental studies have revealed that KCNQ1 mutations often impair trafficking and that the resulting reduction in the concentration of the channel at the plasma membrane occurs independently of attenuated protein synthesis rates.<sup>657–660</sup> We and our collaborators recently completed a comprehensive characterization of a set of 51 KCNQ1 variants involving single mutation sites located in the voltage sensor domain.<sup>183</sup> The selected variants included 20 known LQTS mutants, 18 variants of unknown significance (VUS), and 13 predicated benign variants (locations illustrated in Figure 21, center and right panels). For each variant, the channel electrophysiological function, total expression level, cell surface trafficking efficiency, protein stability, and impact of proteasome inhibition on channel levels were quantitatively compared

(Figure 22A). For the 51 mutants studied, 31 exhibited loss of channel function (potassium conductance), with an additional 5 exhibiting various other forms of dysfunction.<sup>183</sup> For the 31 LOF variants, 22 exhibited very low expression levels, which accounts for the LOF of those variants (Figure 22A). Low expression levels arise due to proteasomal degradation of the nascent channel rather than through inefficient biosynthesis. This observation, in conjunction with the fact that poorly expressed mutants also traffic inefficiently, suggests a majority of LOF mutations result in targeting of the channel for ERAD degradation. Taken together, these observations indicate that 22 LOF variants cause misfolding of the channel within the cell. Examination of the NMR spectra from 47 of these mutant voltage sensor domains revealed that the NMR spectra for 17 out of 22 low-expressing mutants clearly exhibited clear conformational defects (see example in Figure 22B).<sup>183</sup> In contrast no conformational defects were detected by NMR among the more than 20 variants that exhibited WT-like expression and trafficking. We also observed that some mistrafficked KCNQ1 mutants, especially those completely fail to traffic to the plasma membrane, exert a dominant negative effect when coexpressed with the WT channel.<sup>183</sup> This suggests that these mutants are still able to form heterooligomers with the WT protein, but remain sufficiently misfolded to be recognized as folding-defective by ERQC, resulting in degradation of the entire heterooligomer. While the trends summarized above from studying S1 mutant remain to be confirmed for the other >300 currently known LQTS mutant forms of KCNQ1, these results suggest that mutation-induced destabilization, mistrafficking, and degradation of the channel is the single most common mechanism underlying LQTS.

**5.4.2. Voltage-Gated hERG (K<sub>v</sub>11.1) Potassium Channel.** The human *ether-à-go-go*-related gene, KCNH2, encodes the voltage-gated potassium channel hERG (also known as K<sub>v</sub>11.1) that conducts the rapid-delayed rectifier  $I_{Kr}$  current of the cardiac action potential in cardiomyocytes. Full-length



**Figure 22.** Results from characterizing the channel function, trafficking, and stability of 51 mutant forms of the human KCNQ1 potassium channel. (A) KCNQ1 potassium channel cell surface expression levels versus measured K<sup>+</sup> channel peak current density as determined in HEK293 cells. Data are color-coded: known LQTS disease mutants (red), variants of unknown significance (VUS) observed in humans but not previously classified (cyan), or predicted neutral polymorphism (black). The vertical red lines indicate values that are 65% of WT, corresponding to the approximate cutoff between “healthy” and LQTS-predisposing. These data illustrate that for a majority of disease mutants, loss of channel function is the consequence of failure of the channel to traffic to the cell surface. It is also seen that a number of the VUS mutants exhibit loss of channel function, again usually as a result of mistrafficking. These VUS are likely LQTS-predisposing. (B) <sup>1</sup>H-<sup>15</sup>N-TROSY NMR spectrum of the WT KCNQ1 voltage sensor domain (residues 100 to 249) and (C) spectrum of a mistrafficking-prone disease mutant form of KCNQ1, E115G (red) superimposed on the WT spectrum (back). These spectra were acquired for the two forms of the VSD solubilized in lysomyristoylphosphatidylglycerol (LMPG) micelles. The spectrum of the E115G disease mutant exhibits extensive broadening, disappearance, and shifts of peaks, indicating that its structure is destabilized relative to that of the WT protein. NMR revealed that the vast majority of the mistrafficking-prone mutants were folding-destabilized. Adapted with permission from 183. Copyright 2018 American Association for the Advancement of Science.

hERG1 is composed of the cytosolic N-terminal Per-Arnt-Sim (PAS) domain, six TM segments that form the channel domain, followed by the cytosolic C-linker domain and C-terminal cyclic nucleotide-binding domain (cNBD). Similar to other K<sub>v</sub> channels, the hERG channel functions as homotetramer. Mutations in hERG cause LQTS type 2 (LQT2), an arrhythmia that is commonly triggered by emotional stress.<sup>661</sup> LQT2 may also be acquired as a side effect of certain drug treatments. Indeed, approximately 8% of all marketed drugs exert an off-target effect on hERG,<sup>662</sup> leading to blocked hERG channel conductance, impaired protein trafficking, and/or accelerated protein degradation.<sup>663</sup>

More than 700 disease-linked mutations in hERG have been identified to date. Deficient trafficking is the most common defect of pathogenic hERG missense mutations, as confirmed by a large-scale mutational analysis.<sup>664</sup> This study concluded that 88% (169 out of 193) of known mutations in the PAS, C-linker/CNBD, and pore domains cause mistrafficking of

hERG, with 76% of pore mutations exhibit dominant negative effects on the WT channel. On the other hand, mutations in the distal C-terminus do not appear to impede cellular trafficking. Mutations that promote mistrafficking located in the PAS domain also tend to exhibit moderate to significant reductions in both thermal and thermodynamic stability.<sup>665,666</sup> The spectrum of mutational effects on hERG parallel those in KCNQ1: while there is more than one way to break this channel, cellular mistrafficking seems to be the most common pathogenic mechanism.

The balance between ER export and retention of hERG appears to be reciprocally controlled by the cytosolic molecular chaperones Hsp70 and Hsc70, which promote its folding and degradation, respectively.<sup>667,668</sup> It is unsurprising that much of its folding quality control is based on cytosolic chaperones since the majority of its residues are located in cytosolic domains.<sup>663</sup> Coexpression of Hsp70 with hERG increases the levels of both immature and mature forms of hERG and

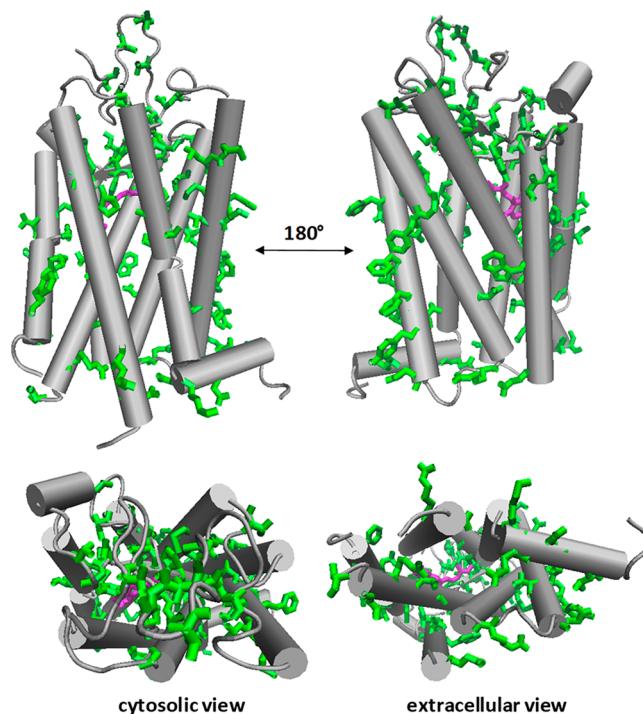
decreases its ubiquitination, opposite to the case of Hsc70. Hsp70-mediated folding is assisted by Hsp90 and Hsp40 DNAJA1.<sup>669,670</sup> Both DNAJA1 and DNAJA2 promote hERG degradation through Hsc70, which interacts with the E3 ubiquitin ligase C-terminal Hsp70-interacting protein (CHIP).<sup>670</sup> The polyubiquitinated protein is then targeted for proteasomal degradation. How the channel domain of hERG is extruded from the ER membrane as part of this pathway does not yet seem to be known.

hERG is also subjected to post-ER folding quality control.<sup>663</sup> Cardiac glycosides destabilize hERG at the plasma membrane in a manner that promotes chaperone and CHIP-dependent polyubiquitination. Ubiquitinated channels are then endocytosed and degraded by the lysosome. CHIP ablation partially inhibits this glycoside-induced hERG removal from the plasma membrane.<sup>671</sup>

**5.4.3. Rhodopsin and Retinitis Pigmentosa.** A variety of G protein-coupled receptors (GPCRs) are subject to mutations that cause or promote various human disorders. In most cases, a majority of the disease mutations result in trafficking defects that reflect misfolding. These include the V2R (NDI, see Figure 19),<sup>616–621</sup> the melanocortin 2 receptor (MC2R, glucocorticoid deficiency),<sup>672</sup> the melanocortin-4 receptor (MC4R, severe obesity and flaming red hair),<sup>673</sup> and certain developmental factor receptors (linked to achondroplasia and disorders of sexual development).<sup>674</sup> However, the most prominent of the GPCRs for which misfolding appears to be a common disease mechanism is the visual photoreceptor rhodopsin, for which there over 140 known mutations that cause retinitis pigmentosa (RP). The sites bearing pathogenic missense mutations are rather evenly distributed throughout both the sequence and the 3D structure of the receptor (Figure 23). We focus here on rhodopsin as a representative GPCR.

RP involves serious visual impairment that begins in adolescence and progresses throughout adulthood.<sup>675,676</sup> Most patients are considered legally blind by the age of 40, though the disease itself exhibits heterogeneity with respect to age of onset, symptoms, and severity.<sup>675</sup> Like many other genetic disorders, RP is less a single disease than a panel of genetic disorders that result in the dysfunction and/or death of rod cells in the retina. Though mutations in the gene encoding rhodopsin are the most common cause of autosomal dominant RP (adRP), mutations in any one of ~70 other genes involved in the phototransduction cascade, the retinoid cycle, or the visual sensory system have also been linked to the onset of RP and/or congenital night blindness.<sup>677</sup> The exact pathophysiological mechanism of RP remains elusive. Nevertheless, the onset of retinal degeneration is typically marked by the accumulation of pigmented deposits known as bone spicules along the periphery of the retina.<sup>678,679</sup> At the cellular level, retinal degeneration often coincides with the onset of chronic ER stress, which is triggered by the accumulation of misfolded rhodopsin and/or other proteins within rod cells.<sup>680,681</sup> For this reason, many efforts to develop therapeutics for the treatment of adRP and related conditions have focused on correcting the underlying molecular defects responsible for the lapse of protein homeostasis within rod cells.<sup>682</sup>

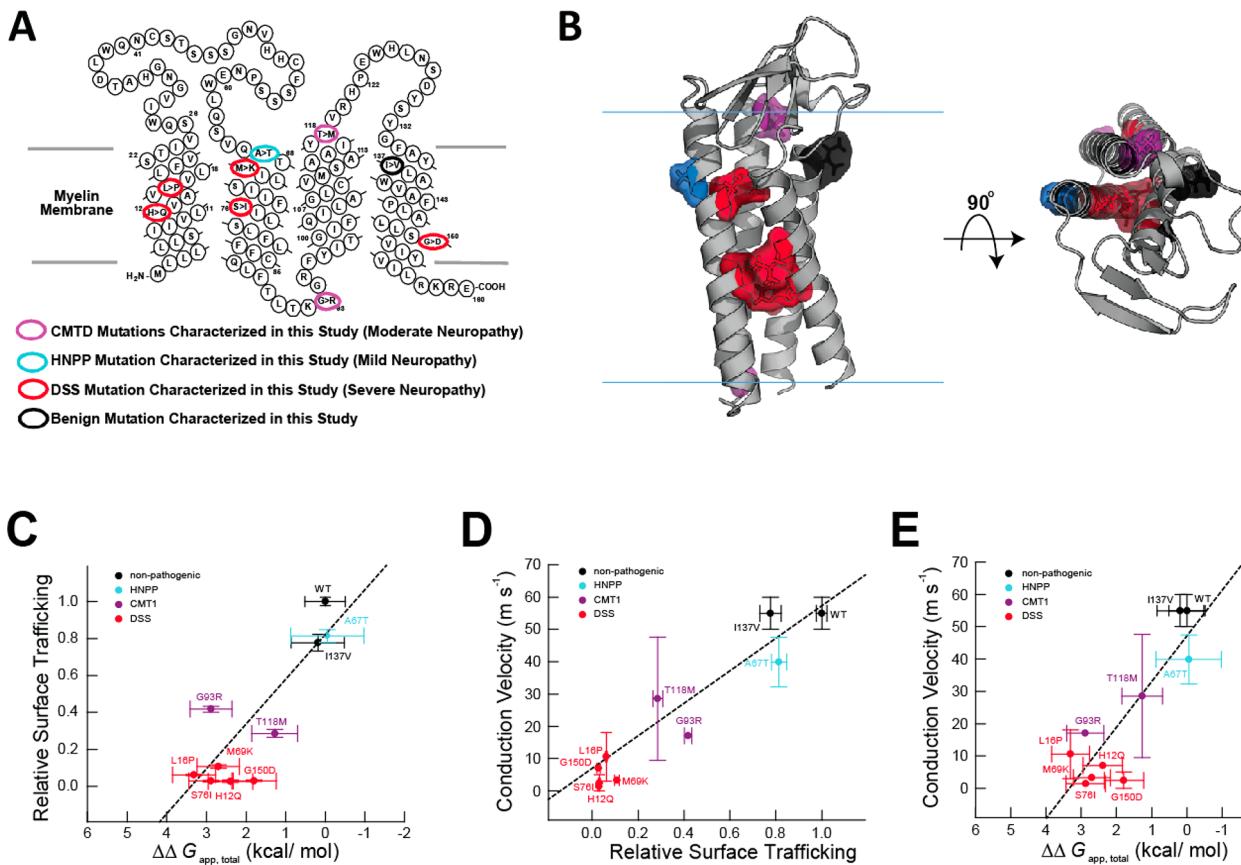
The discovery of three seemingly unrelated missense mutations in the rhodopsin gene in adRP patients provided the first clinical evidence linking defects in the rhodopsin protein to the molecular basis of the disease.<sup>683</sup> Subsequent investigations of RP mutations revealed that they induce a



**Figure 23.** Four views of the structure of rhodopsin, illustrating the sites of known retinitis pigmentosa point mutations (green). The retinal cofactor is violet. PDB code: 1L9H.

spectrum of defects in the cellular processing and/or function of the rhodopsin protein.<sup>684</sup> Some RP variants disrupt binding of the protein's 9-cis-retinal cofactor or decouple the photoisomerization of retinal from the activation of transducin (Class I).<sup>684,685</sup> However, the lion's share of RP mutations appear to compromise the stability of the protein in a manner that attenuates its export from the endoplasmic reticulum (Class II) and/or reduce its accumulation within the cell (Class III).<sup>684,685</sup> Many class II mutants disrupt native tertiary contacts that impart stability to the native rhodopsin fold.<sup>686</sup> Others are likely to perturb the early steps of translocon-mediated cotranslational folding.<sup>687</sup> Folding-defective forms of rhodopsin are targeted by ERQC for degradation, with both the proteasomal and aggresome/autophagy pathways being active.<sup>562,688</sup> The extent to which individual RP mutations destabilize the folded form of rhodopsin appears to be correlated with the age of onset as well as the severity of other pathogenic phenotypes,<sup>689</sup> though parsing mutations according to the severity of their corresponding conformational defects remains a pressing challenge.<sup>629,682</sup> Nevertheless, the effects of certain RP mutations in model cellular systems can be partially offset by the stabilization afforded by excess 9-cis-retinal cofactor or other retinal analogs.<sup>690–693</sup> Gaging the true therapeutic utility of these compounds remains a challenge given both the native cellular physiology of rod cells and because trafficking of rhodopsin proteins within these cells is distinct from that in more easily studied transfected model cell lines that are amenable to screening.<sup>682</sup> Despite this caveat, there remains considerable optimism regarding the possibility of developing adRP therapeutics that directly target the folding defects in rhodopsin.

When referring to the stability or instability of rhodopsin in the above section, the "folding stability/instability" that is being referred to has been either computationally estimated or



**Figure 24.** Thermodynamic destabilization of human PMP22 results in mistrafficking of the protein and Charcot-Marie Tooth disease (peripheral neuropathy). Panels A and B show the locations of the disease mutants in the sequence and modeled 3-D structure of the protein, respectively. Panel C shows a strong correlation between surface trafficking efficiency and stability across the panel of tested PMP22 mutants. Panel D shows that the extent of surface trafficking correlates well with nerve conduction velocity in humans carrying each mutant form. Healthy patients present with high conduction velocities, with reductions in conduction velocity correlating with disease severity. Panel E shows that there is also a strong correlation between the stability of PMP22 and nerve conduction velocity. Figures adapted with permission from ref 287. Copyright 2015 ACS.

reflects experimental measurement of thermal stability, rather than experimentally measured thermodynamic stability. A particular challenge when considering how mutations in rhodopsin and other GPCRs impact protein folding is that it has thus far not been possible to experimentally measure the true thermodynamic folding stability for any GPCR, WT or otherwise. This is not from want of trying. Rather, reversible refolding from the unfolded state has proven elusive for reasons that are not well understood. If induced unfolding is not fully reversible, thermodynamic stability cannot be measured. GPCRs represent a prominent family of proteins for which it is hoped that the emerging “next generation” methods for assessing MP stability (i.e., steric trap, optical tweezers, etc.) may lead to a welcome breakthrough.

**5.4.4. Peripheral Myelin Protein 22 and Charcot-Marie-Tooth Disease.** Mutations affecting the *PMP22* gene are the leading cause of the debilitating peripheral neuropathy CMTD as well as the related dysmyelinating disorders Djerine-Sottas syndrome (DSS, severe) and hereditary neuropathy with liability to pressure palsies (HNPP, mild).<sup>433,694,695</sup> Patients with CMTD suffer from clinical symptoms ranging in severity (depending on the causative mutation), including impaired tendon reflexes, progressive weakness and atrophy of the distal musculature, abnormalities of peripheral nerves and its adjacent myelin sheath, and—in the most severe cases—blindness, auditory loss, and confinement to a wheel-

chair.<sup>433,696,697</sup> Disease symptoms are thought to be the consequence of abnormal myelin production and assembly by the myelin-producing Schwann cells of the PNS. PMP22 is one of the most abundant proteins in compact myelin, where it is believed to play a structural role.<sup>166</sup> However, PMP22 is likely also involved a number of other processes within Schwann cells including cellular proliferation, differentiation, and cell death.<sup>166,433,695,698</sup>

PMP22 is a tetraspan integral MP, and was the first multispan eukaryotic MP for which thermodynamic stability of folding was measured.<sup>699</sup> Spectroscopic studies revealed that the conformational stability of WT PMP22 is strikingly modest in detergent micelles: the native conformation is favored over the denatured ensemble by only  $1.5 \pm 0.1$  kcal mol<sup>-1</sup> in the presences of stabilizing osmolytes. Such marginal stability perhaps accounts for why most of the nascent protein is rapidly degraded within the ER following biosynthesis; only ~20% of the nascent protein manages to fully mature and traffic to the plasma membrane.<sup>598,599</sup> The rest is either degraded by the proteasome or deposited into aggresomes.<sup>433,448</sup>

The most common (type 1A) form of CMTD (CMT1A) arises from a heterozygous duplication of the chromosome 17p.11–2.12, which results in trisomy (three copies) of WT PMP22. CMT1A is a common inherited disorder (1:5,000 people).<sup>433,694,695</sup> The exact mechanism underlying the pathogenicity associated with expression of third copy of

PMP22 is not yet clearly established. However, it has been hypothesized that the elevated expression in conjunction with the instability of the PMP22 protein imposes a heavy burden on ERQC, causing proteotoxic stress and the formation of aggresomes.<sup>558,699</sup> While aggresomes are not toxic if they are properly engaged by the autophagy pathway, the activity of the autophagy pathway is believed to decline with age,<sup>700</sup> which could lead to chronic accumulation of PMP22 aggresomes.<sup>558,701</sup> This may account for the fact that CMT1A patients only exhibit disease symptoms later in life even though they are born with the causative mutations. This model for the etiology of CMT1A, if correct, provides an example of a disease related to MP misfolding that is caused by a combination of both toxicity of the misfolded protein and loss of native function.

More rare forms of Charcot-Marie-Tooth disease (recently dubbed CMT1E<sup>694</sup>) as well as the related DSS and HNPP are caused by heterozygous expression of WT PMP22 in combination with missense variants of PMP22, over 35 of which have been identified to date. Experimental correlations between conformational stability, trafficking efficiency, and disease severity have been demonstrated for a cross-section of these variants.<sup>287</sup> The thermodynamic stability of these variants appears to be directly correlated with the efficiency of cellular trafficking: the efficiency of protein folding scales with trafficking to the plasma membrane (Figure 24). The degree of PMP22 destabilization also correlates linearly with patient nerve conduction velocities, which serve as quantitative clinical readouts of disease severity (Figure 24).<sup>287</sup> Mutations causing the mild HNPP phenotype were the least destabilizing, while mutations causing the severe DSS were the most destabilizing. These results point to the thermodynamic stability of PMP22 as being the prime determinant of the maturation and trafficking of PMP22 in the cell. ERQC evidently recognizes some conformational trait of misfolded PMP22 in the ER that scales with the stability of the native tertiary structure. Figure 24 shows the structural locations of the PMP22 mutants whose stabilities were probed, revealing that most of the severe mutations are for sites located in the interior of the TM domain, where side chains interact mostly with other TM sites rather than with the lipid phase.<sup>702</sup>

Interestingly, a heterozygous deletion in the chromosome bearing the PMP22 gene also causes a mild disease phenotype (HNPP).<sup>433</sup> Disease in these patients arises from the lack of a second allele and the resulting deficiency of WT PMP22 expression. This mild disease phenotype is actually less severe than those arising from the heterozygous expression of missense variants (CMT1A and DSS patients).<sup>695</sup> There are two possible explanations that may contribute to this difference. First, a toxic gain-of-function due to formation of mutant PMP22 aggregates may exacerbate the partial loss of PMP22 expression in heterozygotes expressing a single mutant variant in combination with WT. Second, certain mutant forms of PMP22 expressed in heterozygotes are capable of forming nonproductive oligomers with the WT protein.<sup>703</sup> Recognition of these non-native oligomers by ERQC may cause a dominant negative effect leading to the degradation of the WT protein, which may further reduce the abundance of the WT protein.

Exactly how the misfolding of PMP22 is managed by ERQC is not yet well understood, but there are some clues. BiP, calreticulin, and ERp57 do not appear to be important for the maturation of PMP22.<sup>430,497</sup> However, there is evidence that calnexin may serve as both a PMP22 chaperone and folding

sensor.<sup>430,443,448,497</sup> As noted earlier, calnexin binds WT PMP22 under cellular conditions with a half-life of about 11 min, an interaction that depends on the presence of PMP22's single N-linked glycan. However, calnexin sequesters the severely misfolded L16P PMP22 mutant ("Trembler-J") with a half-life of more than 1 h. This interaction appears to specifically involve TM1, which includes the mutated residue. Interestingly, binding of calnexin to L16P PMP22 also appears to occur in a manner that is independent of the glycosylation state of the mutant protein.<sup>443</sup> NMR structural studies have shown that this mutant samples a conformational state in which TM1 is dissociated from the other three TM helices, a conformational state that may be detected by calnexin (Figure 6).<sup>182</sup> However, it cannot be ruled out that calnexin may instead recognize the swiveling kink introduced into TM1 helix by the L16P mutation. It is interesting to note that *cnx* – /– calnexin knockout mice are viable but display abnormalities in their peripheral nerves, potentially highlighting the role that calnexin plays in managing folding and misfolding of PMP22 and perhaps other myelin MPs.<sup>704</sup> It is also noteworthy that misfolded PMP22 variants that escape the ER are retrieved from the Golgi complex and returned to the ER through the action of the Rer1 protein,<sup>448</sup> while inducible cytosolic Hsp70 may be involved in shepherding misfolded PMP22 molecules through the lysosomal degradation pathway.<sup>705</sup>

#### 5.4.5. Myelin Protein Zero and Charcot-Marie-Tooth Disease.

The single-pass MP known as myelin protein zero (MPZ) is the most abundant protein found within the compact myelin of the PNS, and makes up 20–50% of the total protein content in these tissues by mass.<sup>296,706</sup> MPZ forms a homotetramer within the myelin membrane and functions as "molecular glue" between spiraled myelin membranes.<sup>296</sup> Adhesion is accomplished through "trans" homophilic interactions that are mediated by its extracellular domain. MPZ also interacts with juxtaposed PMP22 molecules in a similar fashion, although the role of these interactions in myelin compaction are currently unclear.<sup>497</sup> Over 200 different mutations in *MPZ* that cause Charcot-Marie-Tooth Disease type B (CMT1B) have been identified to date. The severity of the neuropathy depends on the specific mutation, though CMT1B phenotypes are generally similar to those of CMT1A and range from dys- or demyelination and attenuated nerve conduction velocities to severe axonal defects.<sup>707</sup>

Most of the pathogenic mutations in MPZ reside within its extracellular domain, although a few TM domain variants have also been identified, including G163R and G167R. It has been shown that a peptide corresponding to the TMD of WT MPZ homodimerizes as driven by its G<sub>xxx</sub>G<sub>163</sub>xxxG<sub>167</sub> glycine zipper motif. The G163R disease mutation was seen to disrupt homodimerization, which likely is the root cause of how it disrupts MPZ assembly and function in myelin.<sup>708</sup> It is also known that many disease mutations in MPZ cause the protein to be accumulate in the ER, triggering stress and activating the UPR.<sup>709,710</sup> Indeed, the ablation of pro-apoptotic factors that result from ER stress ameliorates the neuropathic phenotype in mouse models.<sup>711,712</sup> Efforts to increase MPZ trafficking out of the ER or to suppress its aggregation may be useful in treating many patients with CMT1B.

#### 5.4.6. Myelin Proteolipid Protein and Pelizaeus-Merzbacher Disease.

Myelin proteolipid protein (PLP) and its shorter splice variant lacking 35 residues in its intracellular loop (DM20) make up about 50% of the total protein content in compact myelin of the central nervous

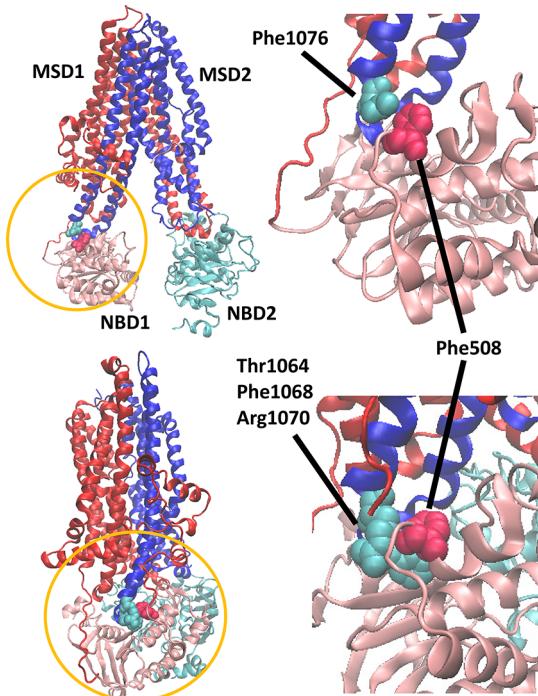
system (CNS).<sup>713</sup> PLP is expressed in oligodendrocytes, and is composed of four TM domains and two sizable extracellular loops. Its intracellular loop is post-translationally lipidated, which further increases its hydrophobicity.<sup>296</sup> The specific function of PLP has not been fully elucidated, but seems likely that it plays a central role in both the assembly and stability of CNS myelin.<sup>714,715</sup> Gene duplication and a variety of missense mutations in the gene encoding PLP (*PLP1*) lead to demyelination in the CNS, a pathology associated with Pelizaeus-Merzbacher disease (PMD).<sup>716</sup>

Much like PMP22 and MPZ, different missense mutations in PLP cause a broad range of disease phenotypes. Most disease mutations in PLP disrupt its cellular trafficking and cause accumulation of the protein in the ER.<sup>709</sup> During myelination, oligodendrocytes produce a high quantity of both lipids and myelin proteins, including PLP, which requires the secretory pathway to operate at maximum capacity. Mutations that induce PLP misfolding or increased expression levels (e.g., due to gene duplication) can overload the secretory pathway and saturate the ERAD pathway, inducing ER stress and activation of the UPR.<sup>717,718</sup> Perpetual UPR activation can lead to the induction of CHOP-mediated pro-apoptotic pathways that ultimately promote demyelination. PLP variants that are efficiently degraded by ERAD cause a much milder clinical phenotype than those that accumulate and aggregate within the ER.<sup>719</sup> Collectively, the evidence suggests that efforts to improve the degradation of PLP in patients suffering from PMD may alleviate disease severity.

#### 5.4.7. Cystic Fibrosis Transmembrane Conductance Regulator.

Cystic fibrosis (CF) is a lethal monogenic LOF disease that impacts more than 85 000 individuals worldwide.<sup>720</sup> CF is caused by an array of mutations in the CFTR, an ATP-binding cassette (ABC) transporter that functions as a  $\text{Cl}^-/\text{HCO}_3^-$  channel.<sup>721–723</sup> Most CF mutations disrupt the folding, trafficking, and/or activity of the CFTR channel protein.<sup>724,725</sup> The resulting loss of CFTR function causes irregularities in the pancreas, intestines, sweat glands, and lung epithelia.<sup>726</sup> Clinical manifestations include malnourishment, increased sweat chloride concentration, and chronic pulmonary infection, the latter of which is often fatal. An imbalance in the salinity and a corresponding thickening of the airway surface liquid within the lungs of CF patients disrupts the mucociliary escalator, which normally clears debris from the lungs.<sup>363,727</sup> Accumulation of this debris over time eventually leads to infection, hyperinflammation, scarring, and a loss of pulmonary fitness.<sup>728</sup> Given the underlying molecular mechanisms of CF, drug discovery efforts have mostly focused on the identification of compounds that restore function to mutant CFTR channels.

CFTR is a complex, multidomain protein comprised of a pair of membrane spanning domains (MSD1/2, 6 TM helices each), two cytosolic nucleotide binding domains (NBD1/2), and an unstructured regulatory region (R domain) that is unique among ABC transporters.<sup>729</sup> A series of cryo-EM structures of CFTR in different functional states has recently been published (see Figure 25).<sup>729–732</sup> The ca. 2000 known pathogenic mutations within the CFTR gene are distributed across all five domains, which is a classical predictor that most induce misfolding.<sup>733,734</sup> Nevertheless, an examination of CF mutations in conjunction with the emerging structural insight has revealed that several of the most clinically abundant mutations cluster at the interface between NBD1 and MSD1, which highlights the importance of this interface to the



**Figure 25.** Structures of CFTR in its resting conformation (top) and in its phosphorylated+ATP-bound state (bottom). The side chain of Phe508 shown in van der Waals form (red) along with the interacting residues (cyan) from the hairpin connecting the 10th and 11th TM helices (blue). PDB: 5TSI (top) and 6MSM (bottom).

conformational stability of the channel.<sup>733</sup> CFTR mutations have been classified according to whether they compromise the biosynthesis (type I), folding (type II), function (types III and IV), expression level (type V), or turnover (type VI) of the protein.<sup>735,736</sup> However, as the effects of these variants have been studied in greater detail, it has become clear that many CF variants induce compound defects.<sup>736</sup> For instance, the ΔF508 mutation present in ~90% of CF patients primarily compromises CFTR folding, yet also exhibits secondary functional deficits when exported to the plasma membrane.<sup>737</sup>

Although the exact nature of the conformational defects in CFTR have yet to be unambiguously mapped, it is clear that the fidelity of both cotranslational and posttranslational folding processes are central for the assembly and accumulation of mature CFTR at the plasma membrane.<sup>254,738–740</sup> The formation of interdomain contacts appears to be a key mediator of CFTR folding and stability.<sup>254,741</sup> Notably, the primary structural defect associated with the ΔF508 mutation is the decoupling of the interactions between NBD1, MSD1, and NBD2.<sup>742–744</sup> The location of ΔF508 in two different functional states of CFTR is highlighted in Figure 25. Though the dynamics of interdomain coupling may be rapid in the context of full-length proteins, it should be noted that initial interdomain interactions must form slowly during biosynthesis and cotranslational folding of the CFTR molecule given its size (1480 residues) and the rate of eukaryotic translation (~6 AA/s). Indeed, maturation of CFTR in the cell requires up to 2 h and hinges on the concerted activities of a wide array of molecular chaperones.<sup>425–427</sup> Furthermore, the introduction of the ΔF508 mutation has been reported to lead to the formation of over 200 new protein–protein interactions.<sup>427,745</sup> In addition to the formation of new QC interactions, the decoupling of interdomain interaction by the ΔF508 mutation

also appears to change the stoichiometry of the interactions between CFTR and various core cytosolic chaperones including Hsp40, Hsp70, and Hsp90.<sup>746</sup> These perturbations can have dramatic proteostatic consequences, as changes in the interaction of CFTR and Hsp70s appear to coincide with the recruitment of E3 ubiquitin ligases that tag the protein for retrotranslocation and proteasomal degradation.<sup>747</sup> Under conditions of proteotoxic stress, misfolded CFTR molecules form large aggresomes, which are eventually cleared through autophagy.<sup>553</sup> Though the chain of molecular events involved in the misfolding, mistrafficking, and premature degradation of pathogenic CFTR mutants is obviously complex and multifaceted, correctors and potentiators partially restore some native protein–protein interactions to certain variants (see section 6.3.6).<sup>748</sup> Thus, approaches to correcting the underlying conformational defects may be sufficient to restore order to the complex network of interactions involved in CFTR biosynthesis. Together, these insights into the pathophysiology and pharmacology of CF provide a benchmark for ongoing efforts to identify and target other disease-linked integral MPs.

### 5.5. The Most Common Defect of Disease-Linked MPs Appears to Be Destabilization of Native Structure

From studies of both model and disease-linked MPs (examples above), it seems to be the case that the most common defect leading to misfolding is rooted in thermodynamics: destabilization of the native state.<sup>283,284,418,592,593</sup> It should also, of course, be emphasized that some disease mutations operate via mechanisms that are unrelated to misfolding. For example, while studies of LQTS mutations in KCNQ1 revealed that destabilizing mutations that lead to misfolding are by far the most common single class of disease mutations, there are less common disease mutants that cause loss of channel function without altering folding and/or trafficking.<sup>183</sup>

The notion that many mutations promote disease through a reduction in protein stability may be good news from a therapeutic standpoint for two reasons. First, it implies that a single drug that acts by stabilizing protein structure could potentially be used to treat most patients carrying any one of a series of destabilizing mutations in the target protein. Second, the fact that energetic perturbations associated with the disease mutant forms are modest suggest that drugs need not be “super-stabilizers”, a modest enhancement in stability conferred by drug binding may often be all that is needed to restore native-like folding efficiency. In the following section we turn our attention to therapeutics development based on targeting membrane protein instability and misfolding.

## 6. SMALL MOLECULE MANIPULATION OF MEMBRANE PROTEIN FOLDING

Roughly 30 years ago, the discovery that many diseases are mechanistically linked to defects in the MP folding and trafficking led to the first efforts to “rescue” misfolded MPs. Early on, it came to be appreciated that protein folding efficiency could be altered under cellular conditions by varying the temperature or using chemical chaperones (usually at high concentrations), approaches that are reviewed in section 6.1 below. This early work eventually led to the discovery of compounds that correct the folding defects of specific proteins through direct interactions: pharmacological chaperones (PCs, see sections 6.2 to 6.5). The concept of cellular “proteostasis” networks emerged in subsequent years, followed soon after by the development of small molecule proteostasis regulators that

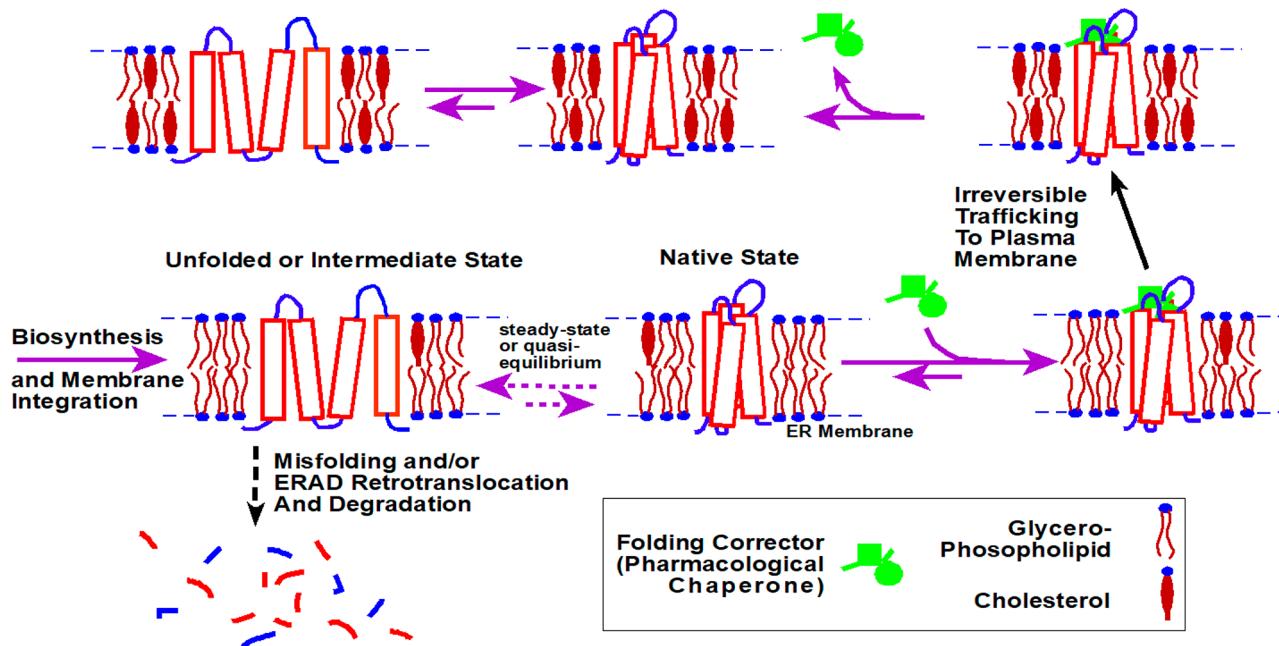
indirectly promote protein folding by tuning the activity of the proteostasis network (i.e., upregulation of the UPR). Proteostatic optimization can perhaps be regarded as “a high tide that lifts all boats” (see section 6.6). For the sake of completeness, we note that the destabilizing effects of certain mutations can be compensated for by suppressor mutants, though this observation offers no clinical utility.<sup>748–750</sup> Diseases of MP misfolding could also be hypothetically repaired using CRISPR/Cas9 technology, although the timetable associated with the application of this technology remains unclear for technical and ethical reasons.

### 6.1. Use of Chemical Chaperones to Rescue Misfolded Membrane Proteins

Misfolded proteins that are engaged by ERQC and other cellular quality control systems are typically aggregated and/or degraded before reaching the cellular compartments in which they function. However, some misfolded MP variants, including ΔF508 CFTR, retain residual function if they manage to escape QC. Accordingly, there can be functional benefit to “rescuing” the cellular trafficking of misfolded MPs. A variety of approaches to restore trafficking of misfolding-prone variants have been evaluated in cultured cells. Temperature often has a profound impact on folding efficiency in the cell. A reduction in growth temperature from 37 °C to room temperature markedly improves the trafficking of pathogenic variants for a variety of proteins including CFTR,<sup>751</sup> luteinizing hormone receptor (LHR),<sup>752</sup> the hERG channel,<sup>753</sup> and the V2R.<sup>617</sup> Given that protein stability is typically maximal at 25 °C, at least for soluble proteins, this observation again suggests a decrease in conformational stability as a key driver of cellular mistrafficking. Furthermore, stabilizing osmolytes including dimethyl sulfoxide (DMSO), trehalose, trimethylamine-N-oxide (TMAO), and glycerol also restore cellular trafficking to certain variants. These “chemical chaperones” are cosolvents that stabilize compact protein conformations to minimize their repulsive interactions with the peptide backbone.<sup>754</sup> It has also been suggested that osmolytes may also enhance the activity of endogenous molecular chaperones to suppress aggregation.<sup>755–757</sup> Despite the revealing nature of these observations, they offer little to no direct clinical utility. The high concentrations of osmolytes that are needed to stabilize proteins also render them clinically bereft. It should be added that some compounds originally identified as “chemical chaperones” impact protein folding by indirect means and are better classified as proteostasis modulators (see section 6.6). These include 4-phenylbutyrate and docosahexaenoic acid.

### 6.2. Pharmacological Chaperones and Their Mechanisms of Action

Because native binding pockets are typically disrupted in unfolded or misfolded states, the binding of small molecules usually stabilizes the native state. This intrinsic linkage between binding and folding can be exploited to restore folding and trafficking to destabilized variants. A wide variety of small molecule pharmacological chaperones (PCs), which are also sometimes referred to as “correctors” or “pharmacoperones,” have been developed as potential therapeutics.<sup>227,758–761</sup> These molecules come in many shapes and sizes, but share the properties of being able to permeate cell membranes and specifically bind a target protein with high affinity. Indeed, even low concentrations of a potent inhibitor of native function can result in a partial recovery of activity by binding



**Figure 26.** Hypothetical scenario of action for a PC as a drug. In this case the PC selectively binds to and stabilizes the folded form of the with target MP, tipping the balance between correct folding/trafficking and ERAD degradation in favor of folding and trafficking. The initial binding/rescue event occurs shortly after administration of the PC as a drug, at which point the PC concentration is fairly high. Once the MP reaches the plasma membrane and the total PC concentration is cleared (due to cytochrome P450 action, for example) the PC will dissociate and not be replenished, at which point the protein remains mostly folded because it is thermodynamically stable in the plasma membrane.

and stabilizing the folded protein to increase the yield of mature protein.<sup>762</sup> For PCs that inhibit MP function, rescue of folding and trafficking does, of course, also require that the PC then dissociate from the correctly folded and trafficked target. This likely requires both that the dissociation rate of the PC-MP complex not be too slow and also that excess free compound be cleared from the physiological milieu following “rescue” of the target (so that saturation of binding is not chronically maintained).

To date, small molecules that behave as PCs have been identified for a variety of GPCRs, channels, enzymes, and other MPs (below). The therapeutic potential of PCs has also been evaluated in cellular assays, animal models, and, in a few cases, successful clinical trials. The development of stabilizing molecules offers an attractive and generalized approach to treat diseases of MP misfolding, some of which were previously regarded as undruggable.<sup>763</sup> Small molecule PCs may also provide a safer alternative to riskier therapeutic approaches like gene therapy.<sup>764</sup>

PCs bind, stabilize, and promote the functional maturation of nascent MPs in the ER membrane. Depending on the location of the relevant binding pocket, some compounds may bind to the cytosolic face of the target protein, while others likely permeate the ER to reach and bind to the luminal/extracellular domain. Given that most binding pockets are formed through the association of a complete set of TM segments, it seems likely that most of these compounds act on the nascent protein *after* it clears the translocon. Eukaryotic proteins are thought to be synthesized on the minute time scale given the average translation rate of 5.6 amino acids per second in higher organisms.<sup>765</sup> This is a long time relative to the kinetics of molecular association to form a complex. Nevertheless, the time required for translation typically pales in comparison to the half-lives of nascent MPs in the ER, which

range from roughly 15 min to hours.<sup>620,766–768</sup> Thus, cotranslational folding intermediates are likely short-lived in relation to later folding intermediates for the fully translated protein. In support of this notion, it has been demonstrated that certain PCs still promote the maturation of nascent MPs after inhibition of protein synthesis, suggesting that these compounds primarily act post-translationally on full length proteins.<sup>769–771</sup>

There are at least 4 mechanisms by which PCs could influence MP folding in the cell. (1) In the simplest, their efficacy effects stems from their selective binding and thermodynamic stabilization of the native state. (2) PCs could also potentially bind and stabilize on-pathway folding intermediates to accelerate the folding reaction. Indeed, some metabolites may also accelerate folding this way.<sup>772</sup> There may be some overlap between mechanisms 1 and 2, as certain folding intermediates may contain a partially or fully formed binding pocket that is also present in the native conformation.<sup>773</sup> (3) The PC may act to prevent conversion of the target MP into toxic oligomers or aggregates, a mechanism that could overlap with mechanisms 1 and/or 2 above. (4) The stabilization of monomeric forms by PCs could facilitate the disaggregation of toxic oligomers. The distinction between mechanisms 3 and 4 could potentially arise from the extreme kinetic barriers involved in certain aggregation processes.

Of these four mechanisms, thermodynamic stabilization of the native state is probably the most common (Figure 26). This is supported by the fact that many agonists and antagonists known to bind the native form also act as PCs. The efficacy of PCs is also typically proportional to binding affinity,<sup>774–776</sup> which is thermodynamically linked to the folding equilibrium (Figures 8 and 26).<sup>777,778</sup> Indeed, for at least one PC it was recently demonstrated that there is no relationship between its PC activity and its agonistic or

antagonistic effects on function,<sup>779</sup> which is consistent with the interpretation that PC activity is directly linked to binding energy. One aspect of this mechanism that is less clear is how PC-mediated stabilization plays out in the context of cellular compartmentalization. Is stabilization only relevant at the stage of maturation of nascent proteins in the ER? The conformational stability of MPs in the ER membrane could potentially be lower as a consequence of the low cholesterol content of that membrane (Figure 3). Moreover, nascent MPs are also more likely to be poked and prodded by chaperones in the ER, which may effectively destabilize these proteins by virtue of their selective interaction with misfolded conformations.<sup>780</sup> Next-generation experiments are needed to tease out the effects of these compounds on MPs within specific cellular compartments.

Compounds that reduce the kinetic barriers to folding are likely to be relatively rare given that the transition states for membrane protein folding are potentially disordered (see section 3.4). Nevertheless, this mechanism has for some cases been suggested. For instance, an allosteric agonist (NPS R-568) of the calcium-sensing receptor is believed to act on nascent proteins during or shortly after protein synthesis based on its effects on biosynthesis and stability. By comparison, an allosteric antagonist of this protein (NPS-2143) promotes protein degradation.<sup>781,782</sup> A similar observation has been made for the nicotinic acetylcholine receptor, for which nicotine promotes a critical subunit–subunit interaction that enhances protein maturation.<sup>783</sup> Nevertheless, the unambiguous differentiation of the first two mechanisms will likely require kinetic and thermodynamic measurements on purified proteins in the presence and absence of these compounds.

Compounds that principally serve to suppress aggregation (mechanisms 3 and 4) have long been sought for the treatment of various amyloidogenic disorders, such as Alzheimer's disease.<sup>784–789</sup> Interestingly, the PC known as Fe-TMPyP appears to reshape the energy landscape of the protease-resistant fragment of the prion protein.<sup>790</sup> Force spectroscopy measurements revealed that this compound binds to non-native states to suppress aggregation, specifically, by reducing levels of a specific dimeric intermediate leading to aggregation of the prion protein. This effectively increases the stability of the native state by increasing the kinetic barriers to unfolding (Figure 8). It is unclear how common this mechanism of action may be. Nevertheless, these studies make it clear that there are multiple ways by which small molecules might correct conformational defects.

### 6.3. Protein-Specific Small Molecule Pharmacological Chaperones

**6.3.1. P-Glycoprotein.** Some of the very first PCs to be identified target the P-glycoprotein (PGP, MDR1).<sup>791</sup> PGP, an ABC transporter, is an ATP-dependent efflux pump that exhibits little substrate specificity. PGP is notorious for its ability to promote multidrug resistance in cancer cells. Both substrates and modulators of PGP act as PCs in a manner that enhances the maturation and trafficking of destabilized PGP variants. Administration of these compounds alters the processing of the protein within a few hours. Given that the activity of PGP promotes cancer drug resistance, there is no obvious therapeutic utility for PGP PCs. Nevertheless, these early studies helped to establish the generality of the linkage between binding, folding, and maturation within the cell.

**6.3.2. Vasopressin V2 Receptor and Diabetes Insipidus.** As noted earlier V2R is a GPCR expressed in the kidney that, when stimulated by the vasopressin hormone, triggers water reabsorption into the bloodstream and concentration of urine to optimize blood osmolarity. A variety of mutations in V2R cause X-linked nephrogenic diabetes insipidus (see Figure 19) in which patients fail to properly reabsorb water, resulting in salty blood and watery urine. Over 90% of these disease mutations induce destabilization/misfolding of V2R.<sup>618</sup>

In cellular studies, a number of small molecule antagonists (VPA985, YM087, SR121463A, SR121463B, SR49059, OPC31260, and OPC41061) and biased agonists (MCF14, MCF18, and MCF57) have been found to enhance the folding and trafficking of pathogenic V2R mutants (Figure 27).<sup>616,619,775,792–796</sup> Small molecule screening has identified

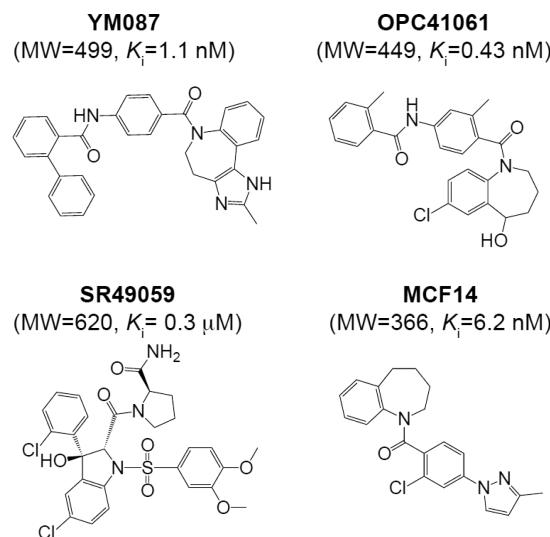
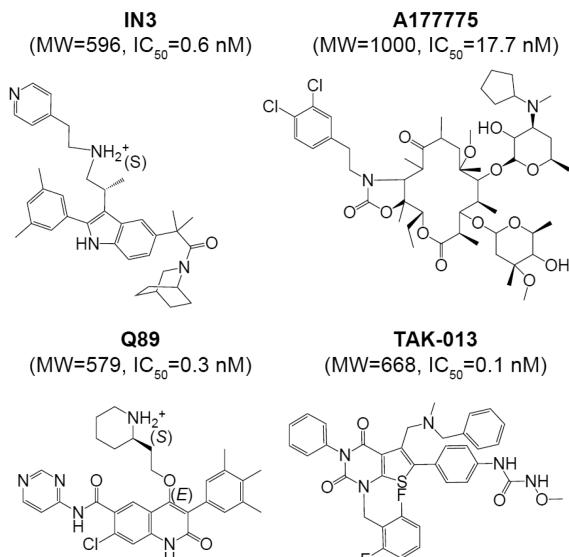


Figure 27. Structures of PCs for the V2 vasopressin receptor. The apparent affinities of each ligand for the WT V2R are indicated.<sup>793–796</sup>

additional molecules that act as PCs, though it is unclear how these compounds influence the activation state of V2R.<sup>799</sup> Only one such PC has been evaluated in short-term NDI clinical trials to date.<sup>616</sup> A 24 h administration of SR49059 in NDI patients bearing V2R mutations significantly decreased patient urine volume, increased urine osmolarity, and increased water intake into the bloodstream, all suggesting a significant clinical benefit in these patients. However, efforts to develop this particular compound were discontinued due to its unfortunate hepatic toxicity. Despite this setback, the encouraging results for SR49058 bode well for the potential utility of PC therapy for the treatment of NDI.

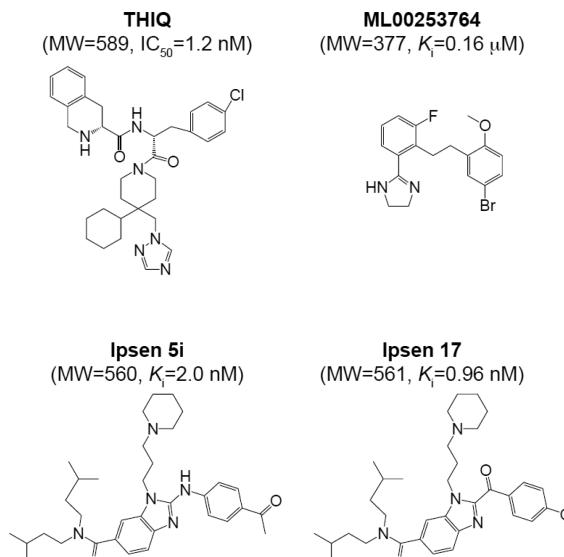
**6.3.3. Gonadotropin-Releasing Hormone Receptor and Hypogonadotropic Hypogonadism.** The gonadotropin-releasing hormone receptor (GnRHR) is a GPCR expressed in the pituitary gland that has proven to be an excellent model for the study of PCs, largely through work of the lab of the late P. Michael Conn. Mutations in GnRHR cause hypogonadotropic hypogonadism, a condition in which patients fail to enter puberty. GnRH peptidomimetic antagonists from four different chemical classes including indoles, quinolones, thienopyrimidinediones, and erythromycin-derived macrolides, have been comprehensively studied and documented to rescue misrouted GnRHR mutants (Figure 28).<sup>774,800–804</sup> All peptidomimetics studied that exhibited an



**Figure 28.** Structures of PCs for the gonadotropin-releasing hormone receptor. The apparent affinities of each ligand for the WT GnRHR are indicated.<sup>774,804</sup>

$IC_{50}$  value of <2.4 nM had measurable effects on the maturation of several different pathogenic GnRHR variants.<sup>774</sup> Investigations in cultured cells were extended to a knock-in mouse model expressing the pathogenic E90K variant.<sup>805</sup> Pulsed administration of the experimental PC IN3 using a catheter to directly inject the compound into the pituitary generated significant rescue of misfolded GnRHR. Furthermore, the rescued receptor exhibited a functional response to GnRH stimulation. This treatment also corrects steroidogenesis and spermatogenesis in mice, demonstrating considerable efficacy in the context of this mouse model.

**6.3.4. Melanocortin-4 Receptor and Morbid Obesity.** The MC4R, another GPCR target, is critical for the regulation of energy homeostasis. Mutations in MC4R cause a severe form of early onset obesity.<sup>806,807</sup> Of the many MC4R variants identified in humans to date, 45% impair the cellular maturation and trafficking of the receptor.<sup>776,808,809</sup> An agonist and several antagonists have been found to enhance the trafficking and function of misfolded MC4R variants (Figure 29).<sup>776,810–812</sup> The potency of these compounds appears to be related to their binding affinities. For example, ligands with high affinity such as Ipsen 5i ( $K_d$ , 2.0 nM) and Ipsen 17 ( $K_d$ , 0.96 nM) exhibit rescue  $EC_{50}$  values in the nanomolar range, whereas ligands with lower affinity such as ML00253764 ( $K_d$ , 0.17  $\mu$ M) and DCPMP ( $K_d$ , 0.02  $\mu$ M) exhibit rescue  $EC_{50}$  in the micromolar range.<sup>776,813–815</sup> Of special note, Ipsen 5i exhibits broad efficacy; 21 of 23 mutants studied could be partially rescued by this compound.<sup>776,815</sup> Only two nonsense mutants that truncate TM7 or the intracellular C-terminal domain were unresponsive. The recovered function exhibited by 14 of these mutant receptors generally scales with the increased abundance of the receptor at the plasma membrane, indicating that the rescued receptors retain their ability to signal. The efficacies exhibited by most of these PCs were independent of the cell line employed. For example, the antagonists ML00253764 and Ipsen 5i have similar effects in different cell lines, although the agonist THIQ was more effective in neuronal cell lines (rescuing 7 of 10 mutants studied) than in HEK293 cells (rescuing 3 of 10 mutants



**Figure 29.** Structures of PCs for the melanocortin-4 receptor. The apparent ligand affinities for the WT MC4R are indicated.<sup>810–812</sup>

studied).<sup>776,815,816</sup> The  $EC_{50}$  values associated with THIQ ( $IC_{50}$ , 1.2 nM) also vary from 10 nM to 1  $\mu$ M among MC4R mutants.<sup>816</sup> Interestingly, THIQ also improves the function of two MC4R mutants without improving their trafficking. This peculiar observation could reflect an increased signaling efficiency for those receptors upon reaching the plasma membrane. Although these compounds show very good PC activity in model cell lines, their physiological utility has yet to be tested.

**6.3.5. hERG, SCN5A, and Cardiac Arrhythmias.** The pore-forming subunit of the hERG voltage-gated potassium ion channel mediates the cardiac repolarizing  $I_{Kr}$  current, and a variety of LOF mutations in hERG give rise to LQTS type 2 cardiac arrhythmia (see section 5.4.2). A series of hERG channel blockers that include E-4031, astemizole, cisapride, quinidine, and ranolazine also appear to exhibit PC activity toward some hERG variants.<sup>753,770,817</sup> However, trafficking defects exhibited by variants bearing mutations in the C-terminal domain typically fail to respond to these compounds, probably because this domain folds autonomously relative to the rest of this multidomain channel.<sup>817</sup>

Surprisingly, VX-809 (lumacaftor), a small molecule approved for the treatment of CF patients (see below), also appears to enhance the maturation of misfolded hERG mutants in induced pluripotent stem cell-derived cardiomyocytes.<sup>818</sup> Similar observations for certain other pairs of proteins have also been reported for other pairs of proteins. For instance, 1-deoxygalactonojirimycin was developed as a PC for alpha-galactosidase but also acts as a PC for alpha-N-acetylgalactosaminidase.<sup>819</sup> The sodium channel blocker carbamazepine also exhibits cross-reactivity toward ATP-sensitive potassium ( $K_{ATP}$ ) channel mutants.<sup>691</sup> Some degree of cross-reactivity is not surprising considering these compounds likely target native binding pockets for metabolites or signaling molecules. Depending on the nature of the screening employed, it may also be difficult to differentiate compounds that exhibit PC activity from those that actually act as proteostasis modulators.

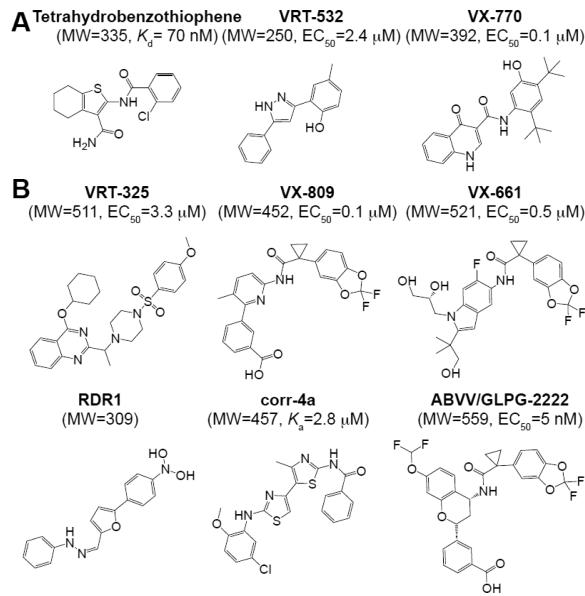
Another arrhythmia-linked ion channel is the cardiac sodium channel  $Na_v1.5$ , which is encoded by the SCN5A gene that mediates the fast depolarization of the cardiac action potential.

Pathogenic LOF variants of the Nav1.5 channel are associated with Brugada syndrome and cardiac conduction disease.<sup>820</sup> The antiarrhythmia drugs mexiletine, quinidine, and flecainide improve the functional expression and trafficking of some misfolded Na<sub>v</sub>1.5 variants.<sup>821,822</sup> However, mexiletine and the I<sub>Kr</sub> blocker cisapride also exacerbate the pathogenic phenotype associated with the torsades de pointes form of LQTS, which is associated with an augmented late inward sodium current.<sup>823,824</sup> Such SCNSA mutants implicated in type 3 LQTS appear to induce toxic GOF. These variants cause complex variations in electrophysiology such as a coincident delay in I<sub>Na</sub> and a reduction in peak I<sub>Na</sub> currents.<sup>823,824</sup> These observations highlight the fact that even the most successful PCs will not necessarily address the defects associated with all disease mutant forms they target because not all disease mutations operate by promoting misfolding. Moreover, some channelopathies feature both pathogenic LOF and aberrant GOF mutations associated with a spectrum of diseases. Optimal clinical use of PCs will therefore require data on the disease-promoting mechanism of each mutation in the target protein so that PCs are targeted to those patients carrying mutant forms likely to be responsive to PC treatment. The spectrum of disease mutations of SCNSA provides a clear example of this emerging challenge in precision medicine.

### 6.3.6. Cystic Fibrosis Transmembrane Conductance Regulator.

The most successful PCs developed to date are those that correct the misfolding and mistrafficking of CFTR variants associated with the recessive genetic disorder CF. Though over 2000 CFTR mutations have been identified in CF patients to date, ~90% of CF patients carry ΔF508 CFTR.<sup>725</sup> The enrichment of the ΔF508 allele in humans of European ancestry may be the consequence of natural selection, as heterozygous expression of this mutant (WT/ΔF508 conditions) has been suggested to confer resistance to certain infectious diseases.<sup>825,826</sup> Historically, CF treatments have been symptomatic; with saline breathing treatments, supplemental digestive enzymes, and antibiotics typically being employed to address respiratory and gastrointestinal dysfunction.<sup>726,827</sup> However, building on pioneering early work with chemical chaperones and prototype PCs, the past decade has seen remarkable progress in the development of CFTR-targeting small molecules aimed either at repairing channel gating defects ("potentiators," Figure 30A) or conformational/folding defects (PCs, also referred to as "correctors").<sup>Figure 30B</sup><sup>,737,828–834</sup> RDR1 rescues ΔF508 CFTR at micromolar concentrations.<sup>835</sup> Chief among these compounds are three medications developed by Vertex that have been approved for clinical use by the FDA since 2012: the potentiator Ivacaftor (VX-770, kalydeco) and the correctors Lumacaftor (VX-809) and Tezacaftor (VX-661). The administration of these and other emerging compounds is transforming treatment and management of CF.

The potentiator Ivacaftor was the first clinically approved CF therapeutic designed to treat the molecular basis of the disease. This compound has proven transformative for a subgroup of CF patients that express CFTR variants that traffic to the plasma membrane but exhibit functional defects. For example, Ivacaftor generates a lasting decrease in sweat chloride concentration, weight gain, and an improved pulmonary function as indicated by an increased forced expiratory volume in patients expressing G551D CFTR, which causes a channel gating defect.<sup>653,829,836–838</sup> However, Ivacaftor is ineffective toward misfolded variants like ΔF508



**Figure 30.** Structures of functional potentiators (A) and PCs (B) for the CFTR channel. The apparent ligand affinities or rescue potencies of each compound for the ΔF508 or G551D mutant forms of CFTR are indicated.<sup>737,829–834</sup> RDR1 rescues ΔF508 CFTR at micromolar concentrations.<sup>835</sup>

CFTR, which fail to traffic to the plasma membrane. Furthermore, chronic administration of Ivacaftor increases the turnover of both ΔF508 and WT CFTR at the plasma membrane by stimulating interactions between CFTR and the RFFL E3-Ubiquitin ligase.<sup>525,839,840</sup> Rescue of complex variants like ΔF508, which exhibit deficient trafficking and function, instead requires the combination of a potentiator and one or more PCs. Lumacaftor, the first clinically approved PC, corrects the misfolding and mistrafficking of ΔF508 and various other misfolded CFTR variants through stabilization of the interfaces between subdomains.<sup>841,842</sup> Administration of the correctors Lumacaftor and/or Tezacaftor in combination with Ivacaftor serves to rescue the folding and trafficking of these variants and promotes their activity at the plasma membrane.<sup>843,844</sup> The initially improved combination therapy of this nature (Lumacaftor + Ivacaftor: Orkambi) offered modest clinical benefits for most patients. However, a more recently approved combination therapy (Tezacaftor + Ivacaftor: Symdeko) offers a measurable improvement for a wide array of patient genotypes.<sup>737,845–848</sup> More promising therapies also appear to be on the horizon, however, as combinations of Symdeko with the novel correctors VX-445 or VX-659 have shown remarkably positive results in recently completed phase II clinical trials.<sup>849,850</sup> While both VX-659 and VX-445 exhibit unique mechanisms of action, each triple combination greatly increases surface expression of mature CFTR as well as chloride flux in cultured patient-derived ΔF508 homozygous and heterozygous cells. Clinically, these combinations appear to provide patients with significant and durable improvements in FEV1, sweat chloride concentration, and quality of life.<sup>199</sup>

Ongoing investigations have also evaluated the potential for synergistic effects of proteostasis regulators that alter the activity of the cellular proteostasis network.<sup>828,851</sup> A promising example of this is the autophagy activator cysteamine in combination with the polyphenol epigallocatechin gallate,

**Table 1.** Representative Pharmacological Chaperones and Their Membrane Protein Targets

membrane protein	function	disease	PC	refs
P-glycoprotein	drug efflux	multidrug resistance and inflammatory bowel disease	capsaicin, cyclosporine, vinblastine, verapamil, rhodamine, propafenone analogs, and VRT-325	867–870
CFTR	salt and water homeostasis	CF	corr-2b, corr-4a, CFpot-532, KM11060, RDR1, glafenine, VRT-325, VX-809, VX-661, ABBV/GLPG-2222	737, 830, 831, 835, 847, 870–875
ABCB4	biliary phosphatidylcholine excretion	familial intrahepatic cholestasis	cyclosporine A	876
sulfonylurea receptor-1	insulin secretion	hyperinsulinemia hypoglycemia	sulfonylureas and carbamazepine	874, 875, 877, 878
solute carrier 6(s)	neurotransmission	epilepsy, depression, and neuropathic pain	noribogaine, bupropion, ibogaine, and PAL-1045	659, 863, 879, 880
nicotinic acetylcholine receptor	post- and presynaptic excitation	epilepsy, Alzheimer disease, and myasthenia	nicotine	881, 882
hERG potassium channel	repolarization of cardiac action potential	LQTS	E-4031, astemizole, cisapride, quinidine, and VX-809	753, 770, 817, 818, 882, 883
SCN1A (Na <sub>v</sub> 1.1)	signal transmission in the brain	epilepsy and migraine	phenytoin and lamotrigine	884–886
SCNSA (Na <sub>v</sub> 1.5)	depolarization of cardiac action potential	LQTS and Brugada syndrome	mexiletine, quinidine, flecainide, and cisapride	770, 821–824, 882, 883
rhodopsin	visual phototransduction	retinitis pigmentosa	11-cis-7-ring retinal, β-ionone, and NSC45012	887–889
V2R	water reabsorption	NDI	VPA985, YM087, SR121463A, SR121463B, SR49059, OPC31260, OPC41061, MCF14, MCF18, and MCF57	616, 775, 793
GnRHR	gonadotropin release	hypogonadotropic hypogonadism	IN3, Q89, TAK-013, and A177775	774, 803, 890
MC4R	food intake and energy expenditure	obesity	ML00253764, DCPMP, Ipsen 5i, Ipsen 17, and THIQ	776, 813, 814, 816, 891, 892
luteinizing hormone receptor	steroidogenesis and spermatogenesis	hypergonadotropic hypogonadism	Org42599	893
follicle-stimulating hormone receptor	follicular development and spermatogenesis	hypergonadotropic hypogonadism	Org41841	802
glucagon receptor	glucose homeostasis	type 2 diabetes	L-168,049	894
calcium-sensing receptor	calcium homeostasis	hypocalciuric hypercalcemia and hyperparathyroidism	NPS R-568	782, 865, 895
opioid receptors	analgesia, emotion and motor control	drug addiction, alcohol dependence, and anorexia nervosa	naltrexone, naltrindole, naloxone, norbinaltorphimine, buprenorphine, and etorphine	864, 896, 897
adenosine A1 receptor	cardio- and neuroprotection	cognitive dysfunction, sleep deprivation, and glioma	DFCPX, IBMX, CPA, and adenosine	898, 899
D4 dopamine receptor	cognition, memory, learning, and motor control	Parkinson's disease and psychiatric disorders	quinpirole, haloperidol, butaclamol(+), clozapine, domperidone, and dopamine	771
frizzled 4 receptor	retinal vascularization	familial exudative vitreoretinopathy	FzM1, 2, and 3	763

which together improve the maturation and function of ΔF508 CFTR in cell culture models.<sup>852</sup> A proof of concept clinical trial also demonstrated this combination resulted in decreased sweat chloride concentration and an increased forced expiratory volume; promising indications of clinical efficacy.<sup>852,853</sup>

Efforts to discover, develop, and evaluate small molecule therapies for CF have highlighted several critical considerations for ongoing drug discovery efforts for other diseases of MP misfolding. Clinical trials have revealed that the mechanistic diversity associated with rare mutations in conjunction with variations in genetic background can undermine the utility of PCs such as Lumacaftor. These complex variables highlight the potential utility of emerging precision medicine platforms in the administration of clinical trials and in the interpretation of clinical data. Furthermore, of the ~2000 known pathogenic CFTR mutations, nearly 1200 of them have been observed in 5 or fewer patients, which precludes the design of traditional clinical trials for specific patient genotypes.<sup>854</sup> In a historic decision aimed at addressing this shortcoming, the FDA recently approved the use of *in vitro* cellular assays to demonstrate efficacy of a compound with respect to individual variants, a ruling that could have wide ranging implications for

the pharmacology of genetic diseases.<sup>854,855</sup> Since this ruling, a number of more pointed *in vitro* assays as well as genotype-oriented clinical trials have served to expand the list of druggable CFTR variants.<sup>855</sup> These efforts to pair specific CF compounds to specific patient genotypes may serve as a template to guide the development of next-generation targeted therapeutics for other diseases of MP misfolding. CF and other such diseases are also likely to constitute a prominent target for the development of CRISPR/Cas9-based therapies to directly correct the genetic lesions within lung tissues and the gastrointestinal tract.<sup>856–859</sup> Together, these developments highlight the role of CFTR therapies as a harbinger for both the clinical use of PCs and the future of precision medicine.

#### 6.4. Influence of Small Molecule Pharmacological Chaperones on the Folding and Trafficking of Wild Type Membrane Proteins

As reviewed in section 5.1 the folding and trafficking of many WT MPs is often inefficient. The evolutionary mechanisms that give rise to this biochemical inefficiency remain unclear. However, it has been suggested that the inefficient folding of cellular MPs may confer a regulatory benefit.<sup>860</sup> Indeed, the marginal free energy differences associated with many of the

co- and post-translational folding processes discussed herein are likely to render ER export exquisitely tunable. Furthermore, the accumulation of a pool of mislocalized protein in the ER provides an available source of proteins that can potentially be quickly rerouted to the plasma membrane through modifications to the composition and/or activity of the proteostasis network. Changes in the concentrations of natural ligands and/or substrates that bind and stabilize immature proteins might also adjust the flux of nascent proteins through the ER exit pathway.<sup>227–229</sup> Indeed, glutamate binding is required for glutamate receptor biogenesis, and choline enhances the maturation of nicotinic acetylcholine receptor.<sup>783,861</sup> Conversely, certain intermediates on the mevalonate pathway involved in the biosynthesis of steroids and related compounds are known to bind to the yeast Hmg2p reductase (the rate-limiting enzyme of the pathway), resulting in its destabilization.<sup>228,235</sup> This results in ERQC targeting of Hmg2p to the ERAD pathway, downregulating biosynthetic flux through the mevalonate pathway. A somewhat analogous system is used to reduce levels of HMG-CoA reductase in higher organisms, although the details are more complex.<sup>235,862</sup>

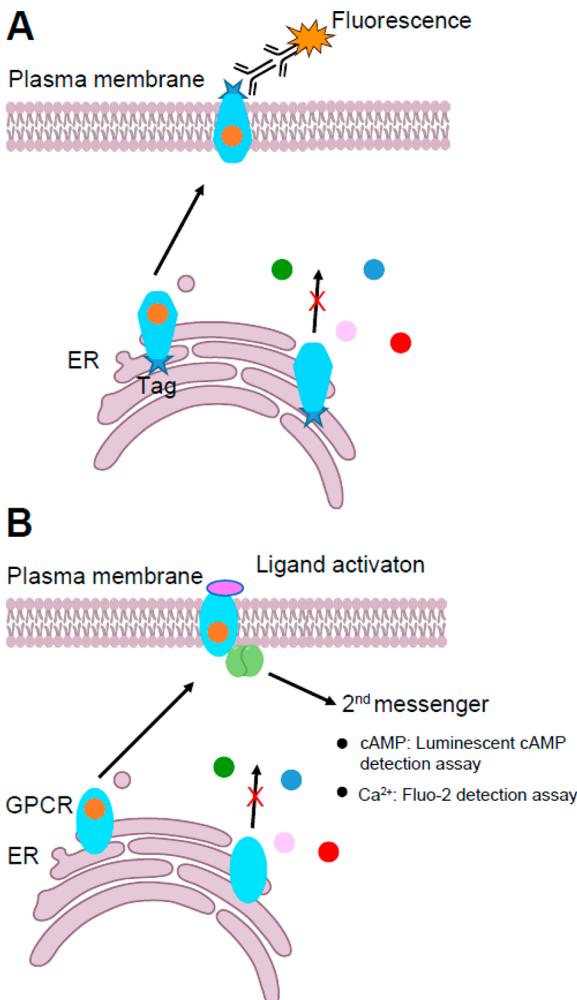
The energetic tunability of partitioning between degradation, ER retention, and forward trafficking also has important pharmacological implications in the context of heterozygous patients carrying a single WT allele, given that PCs designed to stabilize misfolded variants typically also influence the physiological folding efficiency of WT proteins. Indeed, the biogenesis of the WT forms of the dopamine transporter,<sup>863</sup> delta-opioid receptor,<sup>864</sup> calcium-sensing receptor (CaSR),<sup>782,865</sup> GnRHR,<sup>800,866</sup> MC4R,<sup>776</sup> and the frizzled 4 receptor<sup>763</sup> are known to be sensitive to the effects of PCs. Thus, the administration of these compounds to heterozygous individuals must consider the effects of these compounds on both the WT and mutant forms of the protein. Of course, in many cases this may be beneficial: an increase in the folding efficiency of the WT protein may help to compensate for the loss of irreversibly misfolded variants. The ultimate relevance of these effects is likely to vary from case to case depending on the specific threshold of protein functional activity required to support normal health.

Table 1 presents a representative sampling of wild type and mutant proteins that can be pharmacologically rescued from mistrafficking and/or degradation by PCs.

### 6.5. High-Throughput Screening to Identify Novel Pharmacological Chaperones

Natural substrates, inhibitors, or ligands are often employed as the initial scaffolds for the development of PCs. For GPCRs, many of the starting PCs are orthosteric antagonists that compete with endogenous agonists, though some agonists and allosteric modulators have also been utilized as lead compounds.<sup>229,865,893</sup> However, the classic function-altering activities of these compounds may result in detrimental side effects. For instance, the PC activity of certain agonists may be offset by their effects on activation-induced internalization, or through inhibition of receptor recycling.<sup>816,839,840,864</sup> Thus, in some cases allosteric PCs may offer the most promising therapeutic potential. It should also be added that the cognate ligands of many orphan receptors have yet to be discovered, which significantly limits currently available avenues for medicinal chemistry. Given these caveats, unbiased high-throughput screening (HTS) may often represent an attractive approach to identify novel PCs.

HTS typically leverages cellular assays to identify small molecules that enhance the accumulation of misfolded variants at the plasma membrane (Figure 31). Carlile et al. developed a



**Figure 31.** Examples of cell-based HTS for pharmacological chaperones that restore plasma membrane trafficking (A) or function (B) of a MP, in this case a GPCR. Cells stably expressing a misfolded mutant of the target MP are treated with different compounds (represented as small colored balls). Compounds that act as PCs stabilize the misfolded protein and facilitate its trafficking to the plasma membrane where its expression and/or function can be detected or reported.

corrector-screening assay of this nature to identify PCs that influence the trafficking of ΔF508 CFTR.<sup>871</sup> A screen of 2000 compounds identified 224 that enhanced the trafficking of ΔF508 CFTR, which were further characterized according to their ability to thermally stabilize the first nucleotide binding domain of ΔF508 CFTR (NBD1) as determined by differential scanning fluorimetry.<sup>835,900,901</sup> Of the 224 hits, only one compound (RDR1) appreciably increased the thermal stability of NBD1 in a dose-dependent manner. Follow-up efforts revealed that a related compound (RDR3) also thermally stabilized the ΔF508 NBD1, while RDR2, which lacks the terminal phenyl ring, did not. Interestingly, RDR1 does not compete with ATP binding, which indicates this compound associates with a distinct binding pocket. Follow-up investigations also demonstrated that RDR1 partially rescues the damage of ΔF508 CFTR, which is partially dysfunctional

even when folded. Thus, despite the fact that this screen was carried out to identify PCs, this molecule might also act as a weak potentiator of ΔF508 CFTR. Similar HTS studies involving measurement of the cell surface expression of tagged pathogenic variants have also been successfully performed for other MPs such as clarin-1<sup>902</sup> and frizzled4.<sup>763</sup>

An ultrahigh-throughput cellular assay that leverages changes in cellular cAMP, a downstream reporter of  $\text{G}\alpha_s$  signaling, has been developed to identify PCs for destabilized V2R variants.<sup>797–799</sup> This assay was employed using HeLa cells stably expressing L83Q V2R under the control of a tetracycline-controlled transactivator. The use of an inducible expression system provides a convenient means to detect false positives. A screen of 645 000 compounds identified 83 compounds that significantly increased the activity of L83Q V2R. These hits clustered into three predominant structural groups by affinity.<sup>798</sup> A similar cell-based HTS assay to identify PCs for GnRHR has been completed using HeLa cells stably expressing E90K GnRHR, though the screen was carried out using a cytoplasmic calcium release assay to detect  $\text{G}\alpha_q$  activation.<sup>760,903</sup> Though effective, it should be noted that these blinded screens are likely to return numerous compounds in addition to PCs that induce a positive assay result.

When structural information for the protein of interest is available, *in silico* computational methods are sometimes used to identify potential interacting compounds. *In silico* screening can (ideally) narrow down the number of possible compounds from a large virtual library of small molecules, providing an inexpensive and fast way to identify candidate PCs.<sup>888,904–906</sup>

## 6.6. Modulation of Proteostasis Networks

As summarized in section 4, MPs often rely on a specific set of molecular chaperones to facilitate their folding and assembly.<sup>907</sup> Because these chaperones are shared between a wide variety of client proteins, the folding efficiency of one protein may depend on the aggregation state of seemingly unrelated members of the proteome.<sup>604</sup> Whether through the actions of proteostasis regulators or through direct manipulation of expression profiles, the tuning of cellular chaperone levels can have dramatic effects on the maturation and aggregation levels of misfolding-prone variants.<sup>908–911</sup> Moreover, the accumulation of misfolded or oxidatively damaged proteins over time also enhances the collective proteomic burden on the proteostasis network, which contributes to the pathology of many diseases of aging. Thus, pathogenic defects in MP folding and assembly may sometimes arise, in part, from a wider failure of the proteostasis network. Fortunately, the interconnectedness of the proteostasis network affords a variety of approaches to indirectly tune the efficiency of MP biosynthesis. An emerging class of therapeutic compounds known as proteostasis regulators provide an alternative to small molecule pharmacological chaperones that selectively stabilize a single target protein.<sup>912</sup> Targeting the activity of the wider proteostasis network provides considerable flexibility, as lead compounds can be discovered from general phenotypic screens.<sup>913–915</sup> In addition to the relative ease associated with screening, compounds that target the proteostasis network may offer therapeutic potential for the treatment of multiple diseases.<sup>916</sup> Furthermore, proteostasis regulators can also be used to synergistically enhance the effects of pharmacological chaperones on the folding and trafficking of integral MPs.<sup>916,917</sup> Thus, this class of compounds is likely to

play a key role in the pharmacology of folding disorders going forward.

A variety of proteostasis regulators have been developed for the rescue of misfolded soluble proteins. However, it is unclear whether these compounds will be equally efficacious toward misfolded MPs. Nevertheless, early indications are encouraging. Inhibition of the proteasome by MG-132 not only reduces the degradation of misfolded soluble proteins, but also seems to increase the steady-state concentrations of stable and unstable variants of CFTR and KCNQ1.<sup>183,425,916,918</sup> Compounds that reduce the degradation of CFTR through inhibition of ubiquitination also appear to enhance the surface expression of misfolding-prone CFTR variants.<sup>919</sup> Inhibition of E3 ubiquitin ligases using an *N*-aryl benzimidazole derivative also seems to reduce the toxicity associated with  $\alpha$ -synuclein.<sup>920</sup> Even in cases where the response of soluble proteins and MPs may differ, these compounds may provide valuable tools to identify the portions of the proteostasis network that are most relevant to pathogenic defects. For instance, beyond the direct effects of MG-132 on the activity of the proteasome, it has been shown that this compound also triggers the UPR in certain cells.<sup>916</sup> Thus, it is likely that some of the beneficial effects of MG-132 on CFTR expression may arise from the UPR-mediated upregulation of chaperones. Compounds that modulate the UPR and associated ER stress pathways may therefore provide additional routes for the correction of MP misfolding.<sup>239,921</sup> The heat shock responses and its associated chaperones has also proven to be a fruitful target.<sup>922–924</sup> The HSP coinducer Arimoclomal is currently being evaluated as a possible treatment for Niemann-Pick Disease Type C (NPC), a fatal neurodegenerative disease associated with mutations in the cholesterol transporter Niemann-Pick C1 Protein (NPC1).<sup>362,925,926</sup>

The HDAC inhibitor suberoylanilide hydroxamic acid (SAHA), an FDA-approved drug also known as Vorinostat, increases the expression and partially corrects the function of epilepsy-associated GABA type A (GABA<sub>A</sub>) receptors by both enhancing receptor gene transcription and promoting the interaction of GABA<sub>A</sub> with BiP and calnexin.<sup>927</sup> A combination of SAHA treatment and ERAD pathway inhibition produces an additive effect on rescuing the GABA<sub>A</sub>  $\alpha 1$ (A322D).<sup>928</sup> HDAC inhibition has also been shown to enhance the proteostasis of other proteins including CFTR.<sup>929</sup> The discovery of new proteostasis regulators that target different quality control proteins will ultimately expand our ability to elucidate the nature of protein misfolding pathways and expand potential avenues for therapeutic interventions.

## 7. FUTURE DIRECTIONS

Progress in our understanding of the kinetics and thermodynamics of MP folding *in vitro* has progressed immensely during the past three decades. These advances are underscored by impressive *de novo* design efforts that have yielded membrane peptides and MPs with fundamentally new structures and/or functions.<sup>930–936</sup> Methods are now in place for enhancing the stability of MPs<sup>937,938</sup> and totally new ways of studying MP folding continue to be devised. Moreover, the interactions of client MPs with chaperone networks are beginning to be explored with experimentally restrained systems modeling.<sup>220</sup> However, many gaps remain in our understanding of how the conformational properties of MPs relate to their behavior within the cell. For example, in the past decade, spectacular advances in crystallography and cryo-EM have given rise to a

structural revolution in GPCR biochemistry and pharmacology. Nevertheless, quantitative investigations of the folding/unfolding kinetics and thermodynamic stability of GPCRs have, to date, proven elusive. Moreover, current computational platforms are still incapable of predicting the effects of mutations on the conformational equilibria of MPs.<sup>644</sup> Based on our collective observations, we posit that the next such revolution is unlikely to arise solely from an understanding of MPs in isolation but rather from insights into how their conformational equilibria are navigated in the context of the cellular milieu.

It is imperative that emerging insight into the conformational stability of MPs is connected to a broader understanding of cellular processes. Recent progress provides considerable room for optimism. For example, the physical mechanisms associated with the Sec61-mediated cotranslational folding of nascent MPs have been outlined in considerable detail. However, the recent discovery that the EMC complex appears to work closely with Sec61 to initiate integration of MPs into the ER membrane<sup>353</sup> suggests that there are stunning discoveries still to be made, even for systems that appeared to be reasonably well understood. Along the same lines the energetics of cotranslational folding has yet to be connected with the structural properties of the nascent ensemble or the outcomes of cellular QC. The superstructural organization of the endoplasmic reticulum throughout the cell is now appreciated to be much more complex and sophisticated than long realized, with different domains of this organelle serving as focal points for different subsystems of ERQC. Our current understanding of this superstructure and the spatial distributions of the components of ERQC is fuzzy, at best. While the pertinent biochemical activities of many central components of ERAD, such as the Hrd1 retrotranslocon, have been biochemically characterized, additional work is needed to rationalize how these activities interface with specific conformational states of client proteins. There are still numerous proteins that are believed to be ERQC factors but otherwise have unknown functions. The anticipated wave of experiments in these areas will likely yield major discoveries that merge structural biochemistry and biophysical chemistry with a broader understanding of cellular systems.

It is also essential to consolidate and establish new linkages between MP folding and misfolding in the cell and the molecular basis of disease. In many cases, recent observations have revealed the sources of the smoke—clear disease linkages for numerous membrane proteins, lists of mutations, and so forth—but have yet to elucidate the mechanistic basis of the fire. Beyond their emerging impact in the clinic, small molecule pharmacological chaperones represent a tremendous tool for biochemical and biophysical investigations of MP misfolding in the cell. Ongoing HTS efforts to identify new stabilizing molecules in conjunction with mechanistic studies of their effects are likely to provide new insights into the many ways in which MP misfolding can be curtailed in the cell. Such advances are also likely to help streamline next-generation drug discovery platforms.

Future investigations of these topics must find new ways to reckon with and utilize the emerging wave of genome sequencing data. Indeed, the opportunities for molecular scientists to contribute to personalized (or “precision”) medical diagnostics and decision-making are numerous.<sup>629</sup> The tools and perspectives of biochemistry and biophysics are needed to interpret the effects of rare variants in disease-linked

MPs. Such information may prove critical for the use of genomic information in the clinic, especially for cases in which different pathogenic mechanisms can arise from a spectrum of mutations within a single protein.<sup>183</sup> Such advances may provide novel ways to optimally match certain medications to specific patient genotypes. Deciphering the complexity within individual genomes will require next-generation tools to enable rapid, low cost, and reliable experimental or predictive methods for these purposes. It is increasingly clear that misfolding is the most common consequence of pathogenic mutations in MPs. However, novel methods to parse the spectrum of molecular defects associated with these mutations are sorely needed.

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### Notes

The authors declare no competing financial interest.

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Jonathan P. Schlebach received his Ph.D. in Medicinal Chemistry and Molecular Pharmacology from Purdue University, where he studied the kinetics and thermodynamics of MP folding in the laboratory of Chiwook Park. In 2012, he began postdoctoral studies in the laboratory of Charles R. Sanders at Vanderbilt University, where he studied the biophysical properties of disease-linked integral MPs. He joined the Department of Chemistry at Indiana University, Bloomington as an Assistant Professor in 2016. His laboratory focuses on the biochemistry and biophysics of MP folding and misfolding in the cell.

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